PV260 - SOFTWARE QUALITY

LECT 6. Basic Principles of Testing. Requirements and test cases. Test plans and risk analysis. Specific issues in testing OO Software.

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Outline

- Software Testing
 - \rightarrow Introduction
 - \rightarrow Basic Principles
- From Requirements to Test Cases
 - \rightarrow Functional testing
 - \rightarrow Translating specifications into test cases
- Software Testing Risk Analysis
- Specific Issues in Testing Object Oriented Software

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"Discovering the unexpected is more important than confirming the known." George Box

Introduction

- In Eclipse and Mozilla, 30-40% of all changes are fixes (Sliverski et al., 2005)
- Fixes are 2-3 times smaller than other changes (Mockus +Votta, 2000)
- 4% of all one-line changes introduce new errors (Purushothaman + Perry, 2004)



A. Zeller, Why Programs Fail, Second Edition: A Guide to Systematic Debugging, 2 edition. Amsterdam; Boston: Morgan Kaufmann, 2009.



Motivating Examples



F-16 Landing Gear





A. Zeller, Why Programs Fail, Second Edition: A Guide to Systematic Debugging, 2 edition. Amsterdam; Boston: Morgan Kaufmann, 2009.



Example: A Memory Leak

Apache web server, version 2.0.48 Response to normal page request on secure (https) port

Static void ssl_io_filter_disable(ap_filter_t *f)
{ bio_filter_in_ctx_t *inctx = f->ctx;

inctx->ssl = NULL; inctx->filter ctx->pssl = NUL normal use) or quickly (if

exploited for a DOS attack)







Example: A Memory Leak

Apache web server, version 2.0.48

Response to normal page request on secure (https) port

Static void ssl_io_filter_disable(ap_filter_t *f)
{ bio_filter_in_ctx_t *inctx = f->ctx;
 SSL_free(inctx -> ssl);
 inctx->ssl = NULL;
 inctx->filter ctx->ps
 accessed through an opaque pointer.

SOFTWARE TESTING







Example: A Memory Leak

Apache web server, version 2.0.48

Response to normal page request on secure (https) port

Static void ssl_io_filter_disable(ap_filter_t *f)
{ bio_filter_in_ctx_t *inctx = f->ctx;
 SSL_free(inctx -> ssl);
 inctx->ssl = NULL;
 Almost impossible to find with unit
 inctx->filter ctx->ps sting. (Inspection and some
 dynamic techniques could have found
 it.)







What is Software Testing

 "Testing is the process of exercising or evaluating a system or system component by manual or automated means to verify that it satisfies specified requirements." IEEE standards definition







What is Software Testing

Reminder for some important terms:

- **Defect:** "An *imperfection* or *deficiency* in a work product where that work product *does not meet its requirements* or *specifications* and needs to be either repaired or replaced."
- Error: "A human action that produces an incorrect result"
- Failure: "(A) Termination of the ability of a product to perform a required function or its inability to perform within previously specified limits.
 (B) An event in which a system or system component does not perform a required function within specified limits.

 \rightarrow A failure may be produced when a fault is encountered. "

- Fault: "A manifestation of an error in software."
- Problem: "(A) Difficulty or uncertainty experienced by one or more persons, resulting from an unsatisfactory encounter with a system in use.
 (B) A negative situation to overcome"



Definitions according to IEEE Std 1044-2009 "IEEE Standard Classification for Software Anomalies"

Hopefully you haven't seen some of these

Software Failure. Press left mouse button to continue. Guru Meditation #00000025.65045338

> A problem has been detected and ReactOS has been shut down to preve to your computer.

If this is the first time you've seen this Stop error screen, restart your computer. If this screen appears again, follow these steps:

Check to be sure you have adequate disk space. If a driver is identified in the Stop message, disable the driver or check with the manufacturer for driver updates. Try changing video adapters.

Check with your hardware vendor for any BIOS updates. Disable BIOS memory options such as caching or shadowing. If you need to use Safe Mode to remove or disable components, restart your computer, press F8 to select Advanced Startup Options, and then select Safe Mode.

Technical information:

*** STOP: 0x0000001E (0x80000003,0x8008CB62,0x9F4DCA60,0x00000000)

(** NTOSKRNL.EXE - Address 80000003 base at 80000000, Dates Booting 'Fedora Core (2.6.9-1.667)'

Windows

An error has occurred. To continue:

Press Enter to return to Windows, or

Press CTRL+ALT+DEL to restart your computer. If you do this, you will lose any unsaved information in all open applications.

Error: 0E : 016F : BFF9B3D4

Press any key to continue _

root (hd0,0)

Filesystem type is ext2fs, partition type 0x83
kernel /vmlinuz-2.6.9-1.667 ro root=/dev/Vol1Group00
[Linux-bzImage, setup=01400, size=0x155da5]
initrd /initrd-2.6.9-1.667.img
[Linux-initrd @ 0x4000000, 0xed293 bytes]

Your PC ran into a problem and needs to restart. We're just

complete)

collecting some error info, and then we'll restart for you. (0%

[Linak inford (Skittered) (Skittered)

Uncompressing Linux .. Ok, booting the kernel. ACPI: Bios age (1998) fails cutoff (2001), acpi forc audit(1148855271.587:0): initialized Red Hat mash version 4.1.18 starting Reading all physical volumes. This may take a whil Found volume group "VOlGroup00" using metadata typ 2 logical volume(s) in volume group "VolGroup00" n Enforcing mode requested but no policy loaded. Halti Kernel panic - not syncing: Attempted to kill init!



Maybe some of these...



500 Internal Server Error

Sorry, something went wrong.

A team of highly trained monkeys has been dispatched to deal with this situation.

If you see them, show them this information:

AB38WEPIDWfs5FLs3YWvAJbHZzGGd1X3seRUSOX7Kh9K1gde_FLVY4GDBjkn 8jPuyamICiGBZExjMpiZT4j7rx-0NZ707H-cPNSEbJ0n_b7MYf692YtZtrQI DsAGxZ38bYUMy4UyGJHtGSUG4N0BuXXX35-jWJZDtkJoj_ZNdJoOTOJSG2PC X_mCxpP5lQi7-rZUcx83I33yavfWr2WcE4EUyS0TyqzFqzh_QJVNbc7_yxRH 8udCCKkxQVBdsBDK2qejBUTemZ31SF0WC10wUulgiE-L750WxOmGjsP2GiSp 6Z3-0IepREkPtU649pzpZ6PBIqWlBXOZ8GnoQIiAiqqOcneErAHFs0aCNi9tB34vR08oFi JtZ4AzvPEVTpaLiaAs_PwERN2NRADOPVartEPbUGZh-c7PdZ

Google

404. That's an error.

The requested URL /intl/en/options/ was not found on this server. That's all we know.







And defects are everywhere...

This is one failure I encountered when preparing this presentation on *LibreOffice* 4.2.7.2

A formula in ppt that got converted into image – looks good when editing

Ρ	artition - Example	
•	Non-uniform distribution of faults	
•	Example: Java class "roots" applies quadratic equation $ax^2+bx+c=0$	
	$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$	
•	Incomplete implementation logic: Program does not properly handle the case in which b^2 - 4ac = 0 and a = 0	
	These would make good input values for test cases	
	→ Failing values are sparse in the input space – needles in a very big haystack. Random sampling is unlikely to choose a=0.0 and b=0.0	
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When converted to pdf...

The slides preview on the left, looks a bit strange...



Partition - Example

• Non-uniform distribution of faults

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- Example: Java class "roots" applies quadratic equation $ax^2+bx+c=0$
- Incomplete implementation logic: Program does not properly handle the case in which b^2 4ac = 0 and a = 0

These would make good input values for test cases

 \rightarrow Failing values are sparse in the input space – needles in a very big haystack. Random sampling is unlikely to choose a=0.0 and b=0.0





What about the term "Bug"?

Where is the term "bug"?

 Very often a synonymous of "defect" so that "debugging" is the activity related to removing defects in code

However:

 \rightarrow it may lead to confusion: it is not rare the case in which "bug" is used in natural language to refer to different levels:

"this line is buggy" - "this pointer being null, is a bug" - "the program crashed: it's a bug"

 \rightarrow starting from Dijkstra, there was the search for terms that could increase the responsibility of developers - the term "bug" might give the impression of something that *magically* appears into software



Who's to blame?





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Basic Principles of Testing

- Sensitivity: better to fail every time than sometimes
- **Redundancy:** making intentions explicit
- **Restrictions:** making the problem easier
- **Partition:** divide and conquer
- Visibility: making information accessible
- Feedback: applying lessons from experience in process and techniques







Sensitivity: better to fail every time than sometimes

- Consistency helps:
 - a test selection criterion works better if every selected test provides the same result, i.e., if the program fails with one of the selected tests, it fails with all of them (reliable criteria)
 - run time deadlock analysis works better if it is machine independent, i.e., if the program deadlocks when analyzed on one machine, it deadlocks on every machine







Look at the following code fragment

```
char before[] = "=Before=";
char middle[] = "Middle";
char after [] = "=After=";
int main(int argc, char *argv){
    strcpy(middle, "Muddled"); /* fault, may not fail */
    strncpy(middle, "Muddled", sizeof(middle)); /* fault, may not fail */
```

What's the problem?







Let's make the following adjustment

```
char before[] = "=Before=";
char middle[] = "Middle";
char after [] = "=After=";
int main(int argc, char *argv){
    strcpy(middle, "Muddled"); /* fault, may not fail */
    strncpy(middle, "Muddled", sizeof(middle)); /* fault, may not fail */
    stringcpy(middle, "Muddled", sizeof(middle)); /* guaranteed to fail */
}
void stringcpy(char *target, const char *source, int size){
    assert(strlen(source) < size);
    strcpy(target, source);
}
```

This adds sensitivity to a non-sensitive solution







• Let's look at the following Java code fragment. We use the ArrayList as a sort of queue and we remove one item after printing the results

```
public class TestIterator {
   public static void main(String args[]) {
        List<String> myList = new ArrayList<>();
        myList.add("PV260");
        myList.add("SW");
        myList.add("Quality");
        Iterator<String> it = myList.iterator();
        while (it.hasNext()) {
            String value = it.next();
            System.out.println(value);
            myList.remove(value);
               Will this output
               "PV260
               SW
               Quality"?
```



• Let's look at the following Java code fragment. We use the ArrayList as a sort of queue and we remove one item after printing the results

```
public class TestIterator {
    public static void main(String args[]) {
        List<String> myList = new ArrayList<>();
        myList.add("PV260");
        myList.add("SW");
        myList.add("Quality");
        Iterator<String> it = myList.iterator();
        while (it.hasNext()) {
            String value = it.next();
            System.out.println(value);
            myList.remove(value);
        }
}
```

Actually, this throws java.util.ConcurrentModificationException



• From Java SE documentation:



- "[...] Some Iterator implementations (including those of all the general purpose collection implementations provided by the JRE) may choose to throw this exception if this behavior is detected. Iterators that do this are known as *fail-fast* iterators, as they fail quickly and cleanly, rather that risking arbitrary, non-deterministic behavior at an undetermined time in the future."
- "Note that *fail-fast* behavior cannot be guaranteed as it is, generally speaking, impossible to make any hard guarantees in the presence of unsynchronized concurrent modification. *Fail-fast* operations throw *ConcurrentModificationException* on a best-effort basis. Therefore, it would be wrong to write a program that depended on this exception for its correctness: ConcurrentModificationExceptionException Should be used only to detect bugs."



Redundancy: making intentions explicit

- Redundant checks can increase the capabilities of catching specific faults early or more efficiently.
 - Static type checking is redundant with respect to dynamic type checking, but it can reveal many type mismatches earlier and more efficiently.
 - Validation of requirement specifications is redundant with respect to validation of the final software, but can reveal errors earlier and more efficiently.
 - **Testing and proof of properties are redundant**, but are often used together to increase confidence







Redundancy Example

 Adding redundancy by asserting that a condition must always be true for the correct execution of the program

```
void save(File *file, const char *dest){
    assert(this.isInitialized());
    ...
}
```

 From a language (e.g. Java) point of view, why are we obliged to declare the exception we throw from a method - isn't this redundant?



Restriction: making the problem easier

- Suitable restrictions can reduce hard (unsolvable) problems to simpler (solvable) problems
 - A weaker spec may be easier to check: it is impossible (in general) to show that pointers are used correctly, but the simple Java requirement that pointers are initialized before use is simple to enforce.
 - A stronger spec may be easier to check: it is impossible (in general) to show that type errors do not occur at run-time in a dynamically typed language, but statically typed languages impose stronger restrictions that are easily checkable.





Restriction Example

• Will the following compile in Java?



But restrictions can be applied at different levels, e.g. at the architectural level the decision of making the HTTP protocol stateless hugely simplified testing (and as such made the protocol more robust)



Partition: divide and conquer

- Hard testing and verification problems can be handled by suitably partitioning the input space:
 - both structural (white box) and functional test (black box) selection criteria identify suitable partitions of code or specifications (partitions drive the sampling of the input space)
 - verification techniques fold the input space according to specific characteristics, grouping homogeneous data together and determining partitions

→ Examples of structural (white box) techniques: unit testing, integration testing, performance testing
 → Examples of functional (black box) techniques: system testing, acceptance testing, regression testing





Partition - Example

- Non-uniform distribution of faults
- Example: Java class "roots" applies quadratic equation $ax^2+bx+c=0$

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

Incomplete implementation logic: Program does not properly handle the case in which $b^2 - 4ac = 0$ and a = 0

These would make good input values for test cases

→ Failing values are sparse in the input space – needles in a very big haystack. Random sampling is unlikely to choose a=0.0 and b=0.0



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Partition - Example



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Visibility: Judging status

- The ability to measure progress or status against goals
 - X visibility = ability to judge how we are doing on X, e.g., schedule visibility = "Are we ahead or behind schedule," quality visibility = "Does quality meet our objectives?"
 - Involves setting goals that can be assessed at each stage of development
 - The biggest challenge is early assessment, e.g., assessing specifications and design with respect to product quality
- Related to observability
 - Example: Choosing a simple or standard internal data format to facilitate unit testing

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Visibility - Example

The HTTP Protocol

GET /index.html HTTP/1.1 Host: www.google.com

Why wasn't a more efficient binary format selected?

To note HTTP 2.0 will use a binary format (from https://http2.github.io/faq): "Binary protocols are more efficient to parse, more compact "on the wire", and most importantly, they are much less error-prone, compared to textual protocols like HTTP/1.x, because they often have a number of affordances to "help" with things like whitespace handling, capitalization, line endings, blank links and so on." In fact, reduction of visibility is confirmed by "It's true that HTTP/2 isn't usable through telnet, but we already have some tool support, such as a Wireshark plugin."



Feedback: tuning the development process

- Learning from experience: Each project provides information to improve the next
- Examples
 - Checklists are built on the basis of errors revealed in the past
 - Error taxonomies can help in building better test selection criteria
 - Design guidelines can avoid common pitfalls

Using a software reliability model fitting past project data Looking for problematic modules based on prior knowledge

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From Requirements to Test Cases





Characteristics of Requirements

According to ISO/IEC/IEEE 29148-2011 standard:

- **Correctness:** requirements represent the client's view
- **Completeness:** all possible scenarios through the system are described, including exceptional behavior by the user
- **Consistency:** There are functional or nonfunctional requirements that contradict each other
- **Clarity:** There are no ambiguities in the requirements
- **Realism:** Requirements can be implemented and delivered
- Traceability: Each system function can be traced to a corresponding set of functional requirements



Test Cases Definition

According to IEEE Std 829-1998:

Test Case Specification: "(A) A set of test inputs, execution conditions, and expected results developed for a particular objective, such as to exercise a particular program path or to verify compliance with a specific requirement.
 (B) A document specifying inputs, predicted results, and a set of execution conditions for a test item"





Functional Testing

- Functional testing: Deriving test cases from program specifications
 - Functional refers to the source of information used in test case design, not to what is tested
- Also known as:
 - specification-based testing (from specifications)
 - black-box testing (no view of the code)
- Functional specification = description of intended program behavior
 - either formal or informal




Functional testing: exploiting the specification

- Functional testing uses the specification (formal or informal) to partition the input space
 - E.g., specification of "roots" program suggests division between cases with zero, one, and two real roots
- Test each category, and boundaries between categories
 - No guarantees, but experience suggests failures often lie at the boundaries (as in the "roots" program)







Why functional Tests?

• The base-line technique for designing test cases

- Timely
 - Often useful in refining specifications and assessing testability before code is written
- Effective
 - finds some classes of fault (e.g., missing logic) that can elude other approaches
- Widely applicable
 - to any description of program behavior serving as spec
 - at any level of granularity from module to system testing.
- **Economical**
 - typically less expensive to design and execute than structural (code-based) test cases

OFTWARE TEST







Early Functional Test Design

- Program code is not necessary
 - Only a description of intended behavior is needed
 - Even incomplete and informal specifications can be used
 - Although precise, complete specifications lead to better test suites
- Early functional test design has side benefits
 - Often reveals ambiguities and inconsistency in spec
 - Useful for assessing testability
 - And improving test schedule and budget by improving spec
 - Useful explanation of specification
 - or in the extreme case (as in XP), test cases are the spec





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Functional vs structural test: granularity levels

- Functional test applies at all granularity levels:
 - Unit (from module interface spec)
 - Integration (from API or subsystem spec)
 - System (from system requirements spec)
 - Regression (from system requirements + bug history)
- Structural (code-based) test design applies to relatively small parts of a system:
 - Unit
 - Integration
- Functional testing is best for missing logic faults
 - A common problem: Some program logic was simply forgotten



Structural (code-based) testing will never focus on code that isn't there!



Steps: from specifications to test cases



1. Decompose the specification

 If the specification is large, break it into *independently testable features* to be considered in testing

2. Select representatives

- Representative values of each input, or

Representative behaviors of a model

- Often simple input/output transformations don't describe a system. We use models in program specification, in program design, and in test design

3. Form test specifications

- Typically: combinations of input values, or model behaviors

4. Produce and execute actual tests



Steps: from specifications to test cases: example



Example One: using category partitioning

Using **combinatorial testing** (category partition) from the specifications

- We are building a catalogue of computer components in which customers can select the different parts and assemble their PC for delivery
- A model identifies a specific product and determines a set of constraints on available components
- A set of (slot, component) pairs, corresponding to the required and optional slots of the model. A component might be empty for optional slots





Step 1: Identify independently testable units

Parameter Model

- Model number
- Number of required slots for selected model (#SMRS)
- Number of optional slots for selected model (#SMOS)

Parameter Components

- Correspondence of selection with model slots
- Number of required components with selection \neq empty
- Required component selection
- Number of optional components with selection ≠ empty
- Optional component selection

Environment element: Product database

Number of models in database (#DBM)



Number of components in database (#DBC)



Step 2: Identify relevant values: Component (1/3)

Model number Malformed Not in database Valid Number of required slots for selected model (#SMRS) 0 Many Number of optional slots for selected model (#SMOS) 0 Many SOFTWARE TESTIN (c) 2007 Mauro Pezzè & Michal Young



Step 2: Identify relevant values: Component (2/3)

Correspondence of selection with model slots

Omitted slots

Extra slots

Mismatched slots

Complete correspondence

Number of required components with non empty selection

0

- < number required slots
- = number required slots

Required component selection

Some defaults

All valid

- ≥ 1 incompatible with slots
- \geq 1 incompatible with another selection
- ≥ 1 incompatible with model

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2 1 not in database

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Number of optional components with non empty selection

- 0
- < #SMOS
- = #SMOS

Optional component selection

Some defaults

- All valid
- \geq 1 incompatible with slots
- ≥ 1 incompatible with another selection
- \geq 1 incompatible with model
- \geq 1 not in database



Step 2: Identify relevant values: Component (3/3)



Note 0 and 1 are unusual (special) values. They might cause unanticipated behavior alone or in combination with particular values of other parameters.

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Step 3: Introduce constraints

- A combination of values for each category corresponds to a test case specification
 - in the example we have 314.928 test cases
 - most of which are impossible!
 - example zero slots and at least one incompatible slot
- Introduce constraints to
 - rule out impossible combinations
 - reduce the size of the test suite if too large

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Step 3: error constraint

[Error] indicates a value class that

- corresponds to a erroneous values
- need be tried only once

Example

Model number: Malformed and Not in database *error* value classes

- No need to test all possible combinations of errors
- One test is enough (we assume that handling an error case bypasses other program logic)

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Example - Step 3: error constraint

Model number

Malformed	[error
Not in database	[error
Valid	-

Correspondence of selection with model slots

- Omitted slots[error]Extra slots[error]Mismatched slots[error]
- Complete correspondence

Number of required comp. with non empty selection

- 0 [error]
- < number of required slots [error]

Required comp. selection

≥ 1 not in database [error] Number of models in database (#DBM) 0 [error] Number of components in database (#DBC)

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0

Error constraints reduce test suite from 314.928 to 2.711 test cases



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Step 3: property constraints

constraint [property] [if-property] rule out invalid combinations of values

[property] groups values of a single parameter to identify subsets of values with common properties

[if-property] bounds the choices of values for a category that can be combined with a particular value selected for a different category

Example

combine

Number of required comp. with non empty selection = number required slots [if RSMANY]

<mark>o</mark>nly with

software Number of required slots for selected model (#SMRS) = Many [Many]





Example - Step 3: property constraints

Number of required slots for selected model (#SMRS)

1[property RSNE]Many[property RSNE] [property RSMANY]

Number of optional slots for selected model (#SMOS)

1 [property OSNE] Many [property OSNE] [property OSMANY]

Number of required comp. with non empty selection

0	[if RSNE] [error]
< number required slots	[if RSNE] [error]
= number required slots	[if RSMANY]

Number of optional comp. with non empty selection

- < number required slots
- = number required slots

[if OSNE] [if OSMANY]

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Step 3 (cont): single constraints

[single] indicates a value class that test designers choose to test only once to reduce the number of test cases

Example

value some default for required component selection and optional component selection may be tested only once despite not being an erroneous condition

note -

single and error have the same effect but differ in rationale. Keeping them distinct is important for documentation and regression testing







Example - Step 3: single constraints

Number of required slots for selected model (#SMRS) [single] () [property RSNE] [single] Number of optional slots for selected model (#SMOS) 0 [single] [single] [property OSNE] Required component selection Some default [single] Optional component selection Some default [single] Number of models in database (#DBM) [single] Number of components in database (#DBC) [single]





Example - Summary

Parameter Model

- Model number
 - Malformed [error]
 - Not in database [error]
 - Valid
- Number of required slots for selected model (#SMRS)
 - 0 [single]
 - 1 [property RSNE] [single]
 - Many [property RSNE] [property RSMANY]
- Number of optional slots for selected model (#SMOS)
 - 0 [single]
 - 1 [property OSNE] [single]
 - Many [property OSNE] [property OSMANY]

Environment Product data base

- Number of models in database (#DBM)
 - 0 [error]
 - 1 [single]
 - Many
 - Number of components in database (#DBC)
 - 0 [e<mark>rror]</mark>
 - 1 [s<mark>ingle]</mark>
 - Many

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Parameter Component

- Correspondence of selection with model slots
 - Omitted slots [error]
 - Extra slots [error]
 - Mismatched slots [error]
 - Complete correspondence
- # of required components (selection \mathfrak{A} empty)
 - 0 [if RSNE] [error]
 - < number required slots</p>
- [if RSNE] [error] [if RSMANY]
- = number required slots
- Required component selection
- Some defaults [single]
- All valid
 - \geq 1 incompatible with slots
 - \geq 1 incompatible with another selection
 - \geq 1 incompatible with model
 - ≥ 1 not in database [error]
- # of optional components (selection \mathfrak{A} empty)
 - 0
 - < #SMOS [if OSNE]
 - = #SMOS [if OSMANY]
 - Optional component selection
 - Some defaults [single]
 - All valid
 - \geq 1 incompatible with slots
 - \geq 1 incompatible with another selection
 - \geq 1 incompatible with model
 - ≥ 1 not in database



[error]

Example Two: Deriving a model

From an informal specification:

Maintenance: The Maintenance function records the history of items undergoing maintenance.

- If the product is covered by warranty or maintenance on Multiple choices in the first requested either by calling the maintenance toll free number, or the step in web site, or by bringing the item to a designated maintenance station.
- If the maintenance is requested by phone or web site and the customer is a US or EU resident, the item is picked up at the customer site otherwise, the customer shall ship the item with an express courier.
- If the maintenance contract number provided by the custome for the next steps. follows the procedure for items not covered by warranty.
- If the product is not covered by warranty or maintenance contract, maintenance can be requested only by bringing the item to a maintenance station. The maintenance station informs the customer of the estimated costs for repair. Maintenance starts only when the customer accepts the estimate.
- If the customer does not accept the estimate, the product is returned to the customer.
- Small problems can be repaired directly at the maintenance station. If the maintenance station cannot solve the problem, the product is sent to the maintenance regional headquarters (if in US or EU) or to the maintenance main headquarters (otherwise).
- If the maintenance regional headquarters cannot solve the problem, the product is sent to

Maintenance is suspended if some components are not available.

Once repaired, the product is returned to the customer.



57-100

Example Two: Deriving a model



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Example Two: Deriving a model To a test suite:

TC1 0 2 4 1 0					Mean 2 to s	iing: F tate 4	rom sta to state	ate 0 to e 1 to	o state state C)		
102	0	5	2	4	5	6	0					
TC3	0	3	5	9	6	0						
TC4	0	3	5	7	5	8	7	8	9	6	0	

Is this a thorough test suite? How can we judge?

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Example Two: Deriving a model

Using transition coverage:



A complementary point of view (1/5)

eXtreme Programming (XP) process



In the Agile context, the problem of functional testing has been addressed by having user stories and acceptance tests in collaboration with customers, constantly updated and runnable



A complementary point of view (2/5)

Using Fitnesse to write acceptance tests so that the customer can actually write the acceptance conditions for the software

looking at our previous example the "root" case

 $ax^2+bx+c=0$

That we solve by means of

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

FitNesse



A complementary point of view (3/5)

```
public class Root {
    double rootOne, rootTwo;
    int numRoots;
   public Root (double a, double b, double c) {
       double q;
       double r;
       q = b*b - 4 * a *c;
       if (q > 0 \&\& a != 0) {
           // if b^2 > 4ac there are two dinstict roots
           numRoots = 2;
           r = (double) Math.sqrt(q);
           rootOne = ((0-b) + r) / (2*a);
           rootTwo = ((0-b) - r) / (2*a);
       } else if (q==0) { // DEFECT HERE
           numRoots = 1;
           rootOne = (0-b)/(2*a);
           rootTwo = rootOne;
       }else {
           // equation had no roots if b^2<4ac</pre>
           numRoots = 0;
           rootOne = -1;
           rootTwo = -1;
```





Source code from Mauro Pezzè & Michal Young

A complementary point of view (4/5)

Our first attempt returns the number of solutions, but **the customer did not want only this** - so this is a mistake we would not have captured with unit

tests

cz.muni.pv260.RootFixture				
а	b	с	runRoot?	
1	25	2	2	
3	25	3	2	
4	2	4	0	
16	2	12	0	
1	2	1	1	

The customer **also wanted the solutions to the equation**, however this opens other discussions \rightarrow how should we deal with no solutions? What about imaginary numbers?

cz.r	cz.muni.pv260.RootFixture								
а	b	с	runRoot?	getRootOne?	getRootTwo?				
1	25	2	2	-0.08025765162577869<=-0.08	-24.91974234837422<=-24.91				
3	25	3	2	-0.12177963349613445<=-0.12	-8.211553699837198 <=-8.21				
4	2	4	0	-1.0	-1.0				
16	2	12	0	-1.0	-1.0				
1	2	1	ACC	-1.0	-1.0				
	51		633						





A complementary point of view (5/5)

Running with *a*=0 reports the mistake and also opens up a discussion about the format for returning the solutions and what were the original requirements in these cases

a b 1 25 3 25 4 2 16 2 1 2	c 5 2 5 3 4 1	: 2 3 1	runRoot? 2 2						
1 25 3 25 4 2 16 2 1 2	5 2 5 3 4 1	2 3 1	2 2						
3 25 4 2 16 2 1 2	5 3 4 1	3 1	2						
4 2 16 2 1 2	4	1							
16 2 1 2	1		0	nuni.	pv26	50.RootFixtur	e		
1 2		12	0 a b				runRoot?	getRootOne?	getRootTwo?
	1	1	1			2	2	-0.08025765162577869<=-0.08	-24.91974234837422 <=-24.91
			0	3	25	3	2	-0.12177963349613445<=-0.12	-8.211553699837198 <=-8.21
				4	2	4	0	-1.0	-1.0
			java.lang.ArithmeticException: / by zero at cz.muni.pv260.Root.(Root.java:18) at cz.muni nv260.RootEivture runBoot(RootEivture java:24)	16	2	12	0	-1.0	-1.0
			at sun.reflect.NativeMethodAccessorImpl.invokeO(Native Method) at sun.reflect.NativeMethodAccessorImpl.invoke(NativeMethodAccessorImpl at sun.reflect.DelegatingMethodAccessorImpl.invoke(DelegatingMethodAcce: at java.lang.reflect.Method.invoke(Method.java:606) at jit TvnedMather.invoke(TvnedMather.java:108)	1	2	1	1	-1.0	-1.0
				0 0 :	0	_	0 expected	-1.0 expected	-1.0 expected
			at fit.TypeAdapter.get(TypeAdapter.java:97) at fit.Fixture\$CellComparator.compareCellToResult(Fixture.java:374)		2	1 actual	NaN actual	NaN actual	
0 0	2	2	<pre>at fit.Fixtures(ellComparator.access\$100(Fixture.java:360) at fit.Fixture.compareCellToResult(Fixture.java:202) at fit.Fixture.check(Fixture.java:298) at fit.ColumnFixture.check(ColumnFixture.java:218) at fit.ColumnFixture.doCell(ColumnFixture.java:218) at fit.Fixture.doCellS(Fixture.java:174) at fit.Fixture.doCell(ColumnFixture.java:27) at fit.Fixture.doCellS(Fixture.java:162) at fit.ColumnFixture.doCell(ColumnFixture.java:27) at fit.Fixture.doTable(Fixture.java:162) at fit.Fixture.doTable(Fixture.java:162) at fit.Fixture.doTable(Fixture.java:162) at fit.Fixture.doTable(Fixture.java:163) at fit.Fixture.doTable(Fixture.java:164) at fit.Fixture.doTable(Fixture.java:165) at fit.Fixture.doTable(Fixture.java:163) at fit.Fixture.interpretTables(Fixture.java:164) at fit.FitServer.nu(FitServer.java:165) at fit.FitServer.nu(FitServer.java:164) at fit.FitServer.main(FitServer.java:41)</pre>				5		



Software Testing Risk Analysis





Risk-based Testing

- It is not feasible to test everything in a software system
- We need some ways to prioritize which parts to test more thoroughly
 - One way is to use the so-called risk-based testing: prioritizing test cases based on risks
 - This is a business-driven decision based on the possible damage that a defect may cause





What is a Risk





https://www.cs.tut.fi/tapahtumat/testaus04/schaefer.pdf

Risk-based Testing

• What if we can reduce risks non-linearly with the testing effort?





"A Strategy for Risk-Based Testing", https://www.stickyminds.com/article/strategy-risk-based-testing lasaris

Risk Analysis

- Risk analysis deals with the identification of the risks (damage and probabilities) in the software testing process and in the prioritization of the test cases
- We usually start from a **Test Plan:** "A document describing the scope, approach, resources, and schedule of intended test activities. It identifies test items, the features to be tested, the testing tasks, who will do each task, and any risks requiring contingency planning" (IEEE-829-2008)





IEEE Std 829-2008

- IEEE Std 829-2008 (IEEE Standard for Software and System Test Documentation) is the main standard for Software Testing documentation
- It revolves around the idea of integrity levels of software components that influence the level of testing tasks to be provided



IEEE Std 829-2008 Example (1/2)

• Description of integrity levels and consequences of failures

Integrity level		Description				
4	A failure in a function or system feature causes catastrophic consequences to the syste (including consequences to users, the environment, etc.) with reasonable, probable, occasional likelihood of occurrence of an operating state that contributes to the error.					
3	A failt probab error.	are in a function or system feature causes critical consequences with reasonable, le, or occasional likelihood of occurrence of an operating state that contributes to the				
2	A failure in a function or system feature causes marginal consequences with probable, or occasional likelihood of occurrence of an operating state that contrerror.					
1 A fail probat contril		ure in a function or system feature causes negligible consequences with reasonable, le, occasional, or infrequent likelihood of occurrence of an operating state that utes to the error.				
Consequen	<u> </u>	Definitions				

Consequence	Definitions						
Catastrophic	Loss of human life, complete mission failure, loss of system security and safety, or extensive financial or social loss.						
Critical	Major and permanent injury, partial loss of mission, major system damage, or major financial or social loss.						
Marginal	Moderate injury or illness, degradation of secondary mission, or moderate financial or social loss.						
Negligible	Minor injury or illness, minor impact on system performance, or operator inconvenience.						



IEEE Std 829-2008 Example (2/2)

• Risk Assessment for each function/component

	Likelihood of occurrence of an operating state that contributes to error							
Consequence	Likely Probable Occasional Unlikely							
				2				
Catastrophic	4	4	4 or 3	3				
Critical	4	4 or 3	3	2 or 1				
Marginal	3	3 or 2	2 or 1	1				
Negligible	2	2 or 1	1	1				

- Depending on the identified level, the standard suggests specific nr. of test documents (e.g. level 4 suggests 10: 1. Master Test Plan, 2. Level Test Plan, 3. Level Test Design, 4. Level Test Case, 5. Level Test Procedure, 6. Level Test Log, 7. Anomaly Report, 8. Level Interim Test Status Report, 9. Level Test Report, 10. Master Test Report)
- level test documents are usually related to a. Unit Test Plan, b. Integration Test Plan, c. System Test Plan, d. Acceptance Test Plan
IEEE Std 829-2008 & Agile?

- IEEE 829-2008 provides indications for the testing documentation for more heavy-weight processes
- It can still be useful in an agile context if applied partially, to get an idea about which documents/information might still be useful to plan the testing process
- It provides also a context in which to apply risk-based testing, to prioritize/enhance testing for parts of the system depending on potential damage & probability of failure

Chen, Ning. "IEEE std 829-2008 and Agile Process-Can They Work Together?." Proceedings of the International Conference on Software Engineering Research and Practice (SERP). The Steering Committee of The World Congress in Computer Science, Computer Engineering and Applied Computing (WorldComp), 2013.



Specific Issues in Testing Object Oriented Software





OO definitions of unit and integration testing

Procedural software

unit = single program, function, or procedure more often: a unit of work that may correspond to one or more intertwined functions or programs

Object oriented software

- **unit** = class or (small) cluster of strongly related classes (e.g., sets of Java classes that correspond to exceptions)
- unit testing = **intra-class testing**
- integration testing = **inter-class testing** (cluster of classes)

 \rightarrow dealing with single methods separately is usually too expensive (complex scaffolding), so methods are usually tested in the context of the class they belong to





"Unit" in Unit Testing

- The Unit in Unit Testing is usually a class, however, there are specific issues that need to be taken into account when considering OO:
 - State dependent behavior
 - Encapsulation
 - Inheritance
 - Polymorphism and dynamic binding
 - Abstract and generic classes
 - Exception handling







"Isolated" calls: the combinatorial explosion problem



The combinatorial problem: $3 \times 5 \times 3 = 45$ possible combinations of dynamic bindings (just for this one method!)

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The combinatorial approach

Identify a set of combinations that cover all pairwise combinations of dynamic bindings

Same motivation as **pairwise** specification-based testing

the idea is that **instead of considering all combinations** we just have **pair-wise combination**s and add the third option later so we have 15 test cases instead of 45...

The assumption is that very often failures are given by just combination of factors



Account
USAccount
USAccount
USAccount
UKAccount
UKAccount
UKAccount
EUAccount
EUAccount
EUAccount
JPAccount
JPAccount
JPAccount
OtherAccount
OtherAccount
OtherAccount

Credit EduCredit **BizCredit** individualCredit EduCredit **BizCredit** individualCredit EduCredit **BizCredit** individualCredit EduCredit **BizCredit** individualCredit EduCredit **BizCredit** individualCredit

creditCard VISACard AmExpCard ChipmunkCard AmExpCard VISACard ChipmunkCard ChipmunkCard AmExpCard VISACard VISACard ChipmunkCard AmExpCard ChipmunkCard VISACard AmExp

asar

Combined calls: undesired effects

```
public abstract class Account { ...
   public int getYTDPurchased() {
  if (ytdPurchasedValid) { return ytdPurchased; }
  int totalPurchased = 0;
  for (Enumeration e = subsidiaries.elements() ;
  e.hasMoreElements(); )
          Account subsidiary = (Account) e.nextElement();
  totalPurchased += subsidiary.getYTDPurchased();
  for (Enumeration e = customers.elements();
  e.hasMoreElements(); )
          Customer aCust = (Customer) e.nextElement();
  totalPurchased += aCust.getYearlyPurchase();
                                     Problem:
  ytdPurchased = totalPurchased;
  ytdPurchasedValid = true;
```

return totalPurchased;

different implementations of methods getYDTPurchased refer to different currencies.







Def-Use (dataflow) testing of polymorphic calls

- Derive a test case for each possible polymorphic <def,use> pair
 - Each binding must be considered individually
 - Pairwise combinatorial selection may help in reducing the set of test cases
- *Example*: Dynamic binding of currency
 - We need test cases that bind the different calls to different methods in the same run
 - We can reveal faults due to the use of different currencies in different methods





Inheritance

- When testing a subclass ...
 - We would like to re-test only what has not been thoroughly tested in the parent class
 - for example, no need to test hashCode and getClass methods inherited from class Object in Java
 - But we should test any method whose behavior may have changed
 - even accidentally!

AND ANALYSIS

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Reusing Tests with the Testing History Approach

- Track test suites and test executions
 - determine which new tests are needed
 - determine which old tests must be re-executed
- New and changed behavior ...
 - new methods must be tested
 - redefined methods must be tested, but we can partially reuse test suites defined for the ancestor
 - other inherited methods do not have to be retested

ADD ANALYSIS





Testing history









Inherited, unchanged



Inherited, unchanged ("recursive"): No need to re-test







Newly introduced methods



New: Design and execute new test cases







Overridden methods



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Testing history - some details

- Abstract methods (and classes)
 - Design test cases when abstract method is introduced (even if it can't be executed yet)
- Behavior changes
 - Should we consider a method "redefined" if another new or redefined method changes its behavior?
 - The standard "testing history" approach does not do this
 - It might be reasonable combination of data flow (structural) OO testing with the (functional) testing history approach

SOFTWARE TESTING AND ANALYSIS





Testing History - Summary









Does Testing History help?

- Executing test cases should (usually) be cheap
 - It may be simpler to re-execute the full test suite of the parent class
 - ... but still add to it for the same reasons
- But sometimes execution is not cheap ...
 - Example: Control of physical devices
 - Or very large test suites
 - Ex: Some Microsoft product test suites require more than one night (so daily build cannot be fully tested)
 - Then some use of testing history is profitable





Testing Generic Classes

A generic class

class PriorityQueue<Elem Implements Comparable> { ... }
is designed to be instantiated with many different parameter types
PriorityQueue<Customers>
PriorityQueue<Tasks>

A generic class is typically designed to behave consistently some set of permitted parameter types.

Testing can be broken into two parts

- Showing that some instantiation is correct
- showing that all permitted instantiations behave consistently





Show that some instantiation is correct

- Design tests as if the parameter were copied textually into the body of the generic class.
 - We need source code for both the generic class and the parameter class







Identify (possible) interactions

- Identify potential interactions between generic • and its parameters
 - Identify potential interactions by inspection or analysis, not testing
 - Look for: method calls on parameter object, access to parameter fields, possible indirect dependence
 - Easy case is no interactions at all (e.g., a simple container class)
- Where interactions are possible, they will need to be tested







Example Interaction

class PriorityQueue

<Elem implements Comparable> {...}

- Priority queue uses the "Comparable" interface of Elem to make method calls on the generic parameter
- We need to establish that it does so consistently
 - So that if priority queue works for one kind of Comparable element, we can have some confidence it does so for others

SOFTWARE TESTING AND ANALYSIS



Testing variation in instantiation

- We can't test every possible instantiation
 - Just as we can't test every possible program input
- ... but there is a contract (a specification) between the generic class and its parameters
 - Example: "implements Comparable" is a specification of possible instantiations
 - Other contracts may be written only as comments
- Functional (specification-based) testing techniques are appropriate
 - Identify and then systematically test properties implied by the specification







Example: Testing variation in instantiation

Most but not all classes that implement Comparable also satisfy the rule

```
(x.compareTo(y) == 0) == (x.equals(y))
```

(from java.lang.Comparable)

So test cases for PriorityQueue should include

- instantiations with classes that do obey this rule:
 class String
- instantiations that violate the rule:
 class BigDecimal with values 4.0 and 4.00

AND ANALYSIS



Exception handling

```
exceptions
void addCustomer(Customer theCust) {
                                                        create implicit
  customers.add(theCust);
                                                         control flows
   public static Account
                                                         and may be
  newAccount(...)
                                                          handled by
  throws InvalidRegionException
                                                           different
  Account thisAccount = null;
                                                           handlers
  String regionAbbrev = Regions.regionOfCountry(
         mailAddress.getCountry());
  if (regionAbbrev == Regions.US) {
      thisAccount = new USAccount();
  } else if (regionAbbrev == Regions.UK)
  } else if (regionAbbrev == Regions.Invalid)
      throw new InvalidRegionException(mailAddress.getCountry());
```





Testing Exception Handling

- Impractical to treat exceptions like normal flow
 - too many flows: every array subscript reference, every memory allocation, every cast, ...
 - multiplied by matching them to every handler that could appear immediately above them on the call stack.
 - many actually impossible
- So we separate testing exceptions
 - and ignore program error exceptions (test to prevent them, not to handle them)
- What we do test: Each exception handler, and
 each explicit throw or re-throw of an exception

SUP INALYSIS



Testing program exception handlers

- Local exception handlers
 - test the exception handler (consider a subset of points bound to the handler)
- Non-local exception handlers
 - Difficult to determine all pairings of <points, handlers>
 - So enforce (and test for) a design rule: if a method propagates an exception, the method call should have no other effect







References

Most of the source code examples, class diagrams, etc... from [2] if not differently stated

[1] A. Zeller, Why Programs Fail, Second Edition: A Guide to Systematic Debugging, 2 edition. Amsterdam; Boston: Morgan Kaufmann, 2009.

[2] M. Pezzè and M. Young, Software Testing And Analysis: Process, Principles And Techniques. Hoboken, N.J.: John Wiley & Sons Inc, 2007.

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Acceptance Testing example using Fitnesse (www.fitnesse.org) **FitNesse**





