# SIMILARITY SEARCH The Metric Space Approach

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- Foundations of metric space searching
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#### Parallel and Distributed Indexes

#### 1. preliminaries

- 2. processing M-trees with parallel resources
- 3. scalable and distributed similarity search
- 4. performance trials

# Parallel Computing

#### Parallel system

- Multiple independent processing units
- Multiple independent storage places
- Shared dedicated communication media
- Shared data

#### Example

 Processors (CPUs) share operating memory (RAM) and use a shared internal bus for communicating with the disks

#### Parallel Index Structures

- Exploiting parallel computing paradigm
- Speeding up the object retrieval
  - Parallel evaluations
    - using multiple processors at the same time
  - Parallel data access
    - several independent storage units
- Improving responses
  - CPU and I/O costs

#### Parallel Search Measures

The degree of the parallel improvement

#### Speedup

- Elapsed time of a fixed job run on
  - a small system (ST)
  - a big system (BT)

$$speedup = \frac{ST}{BT}$$

- Linear speedup
  - *n*-times bigger system yields a speedup of *n*

### Parallel Search Measures

#### Scaleup

Elapsed time of

- a small problem run on a small system (STSP)
- a big problem run on a big system (BTBP)

$$scaleup = \frac{STSP}{BTBP}$$

- Linear scaleup
  - The *n*-times bigger problem on *n*-times bigger system is evaluated in the same time as needed by the original system to process the original problem size

# Distributed Computing

- Parallel computing on several computers
  - Independent processing and storage units
    - CPUs and disks of all the participating computers
  - Connected by a network
    - High speed
    - Large scale
    - Internet, corporate LANs, etc.
- Practically unlimited resources

#### Distributed Index Structures

- Data stored on multiple computers
  - Navigation (routing) algorithms
- Solving queries and data updates
  - Network communication
- Efficiency and scalability
  - Scalable and Distributed Data Structures
  - Peer-to-peer networks

### Scalable & Distributed Data Structures

- Client/server paradigm
  - Clients pose queries and update data
  - Servers solve queries and store data
- Navigation algorithms
  - Use local information
  - Can be imprecise
    - image adjustment technique to update local info



### **SDDS** Properties

#### Scalability

 data migrate to new network nodes gracefully, and only when the network nodes already used are sufficiently loaded

#### No hotspot

there is no master site that must be accessed for resolving addresses of searched objects, e.g., centralized directory

#### Independence

 the file access and maintenance primitives (search, insert, node split, etc.) never requires atomic updates on multiple nodes

#### Peer-to-Peer Data Networks

- Inherit basic principles of the SDDS
- Peers are equal in functionality
  - Computers participating in the P2P network have the functionality of both the client and the server
- Additional high-availability restrictions
  - Fault-tolerance
  - Redundancy



#### Parallel and Distributed Indexes

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#### Processing M-trees with parallel resources

- Parallel extension to the basic M-Tree
  - To decrease both the I/O and CPU costs
  - Range queries
  - k-NN queries
- Restrictions
  - Hierarchical dependencies between tree nodes
  - □ Priority queue during the *k*-NN search

### M-tree: Internal Node (reminder)

- Internal node consists of an entry for each subtree
- Each entry consists of:
  - Pivot: *p*
  - Covering radius of the sub-tree: r<sup>c</sup>
  - Distance from p to parent pivot  $p^{p}$ :  $d(p,p^{p})$
  - Pointer to sub-tree: ptr

 $|\langle p_1, r_1^c, d(p_1, p^p), ptr_1\rangle| |\langle p_2, r_2^c, d(p_2, p^p), ptr_2\rangle| \cdots |\langle p_m, r_m^c, d(p_m, p^p), ptr_m\rangle|$ 

All objects in the sub-tree *ptr* are within the distance *r<sup>c</sup>* from *p*.

### M-tree: Leaf Node (reminder)

- Leaf node contains data entries
- Each entry consists of pairs:
  - Object (its identifier): o
  - Distance between *o* and its parent pivot:  $d(o, o^p)$

$$\langle o_1, d(o_1, o^p) \rangle \langle o_2, d(o_2, o^p) \rangle \cdots \langle o_m, d(o_m, o^p) \rangle$$

# Parallel M-Tree: Lowering CPU costs

- Inner node parallel acceleration
  - Node on given level cannot be accessed
    - Until all its ancestors have been processed
  - □ Up to *m* processors compute distances to pivots  $d(q,p_i)$



- Leaf node parallel acceleration
  - □ Independent distance evaluation  $d(q,o_i)$  for all leaf objects

$$\langle o_1, d(o_1, o^p) \rangle \langle o_2, d(o_2, o^p) \rangle \cdots \langle o_m, d(o_m, o^p) \rangle$$

- k-NN query priority queue
  - One dedicated CPU

# Parallel M-Tree: Lowering I/O costs

- Node accessed in specific order
  - Determined by a specific similarity query
  - Fetching nodes into main memory (I/O)
- Parallel I/O for multiple disks
  - Distributing nodes among disks
  - Declustering to maximize parallel fetch
    - Choose disk where to place a new node (originating from a split)
    - Disk with as few nodes with similar objects/regions as possible is a good candidate.

## Parallel M-Tree: Declustering

- Global allocation declustering
  - Only number of nodes stored on a disk taken into account
    - Round robin strategy to store a new node
    - Random strategy
  - No data skew
- Proximity-based allocation declustering
  - Proximity of nodes' regions determine allocation
  - Choose the disk with the lowest sum of proximities
    - between the new node region
    - and all the nodes already stored on the disk

### Parallel M-Tree: Efficiency

#### Experimental evaluation

- Good speedup and scaleup
- Sequential components not very restrictive
- Linear speedup on CPU costs
  - Adding processors linearly decreased costs
- Nearly constant scaleup
  - Response time practically the same with
    - a five times bigger dataset
    - a five times more processors
  - Limited by the number of processors

# Parallel M-Tree: Object Declustering

- Declusters objects instead of nodes
  - Inner M-Tree nodes remain the same
  - Leaf nodes contain pointers to objects
    - Objects get spread among different disks
- Similar objects are stored on different disks
  - Objects accessed by a similarity query are maximally distributed among disks
    - Maximum I/O parallelization
  - □ Range query  $R(o_N, d(o_N, p))$  while inserting  $o_N$ 
    - Choose the disk for physical storage
      - with the minimum number of retrieved objects

#### Parallel and Distributed Indexes

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- **3.** scalable and distributed similarity search
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### Distributed Similarity Search

- Metric space indexing technique
  - Generalized hyper-plane partitioning
- Peer-to-Peer paradigm
  - Self organizing
  - Fully scalable
  - No centralized components

#### **GHT\* Structure**

#### GHT\* Architecture

#### Peers

- Computers connected by the network
  - message passing paradigm
  - request and acknowledgment messages
- Unique (network node) identifier NNID
- Issue queries
- Insert/update data
- Process data and answer queries

# GHT\* Architecture (cont.)

#### Buckets

- Storage for data
  - metric space objects
  - no knowledge about internal structure
- Limited space
  - Splits/merges possible
- □ Held by peers, multiple buckets per peer
  - there can be no bucket in a peer
  - identified by *BID*, unique within a peer





### GHT\* Architecture (cont.)

- Precise location of every object
  - Impossible to maintain on every peer
  - Navigation needed in the network
- Address search tree (AST)
  - Present in every peer
  - May be imprecise
    - repeating navigation in several steps
    - image adjustment

### GHT\* Address Search Tree

- Based on Generalized Hyperplane Tree
- Binary tree
- Inner nodes
  - pairs of pivots
  - serial numbers
- Leaf nodes
  - BID pointers to buckets
  - NNID pointers to peers



#### GHT\* Address Search Tree



### GHT\* Inserting Objects

Peer 1 starts inserting an object o

- Use local AST
- Start from the root
- In every inner node:
  - take right branch if  $d(p_1, o) > d(p_2, o)$
  - take left branch if  $d(p_5, o) \le d(p_6, o)$
- □ Till a leaf node is reached



### GHT\* Inserting Objects (cont.)

Peer 1 inserting the object o

□ If a *BID* pointer is found

- Store the object *o* into the pointed bucket
- The bucket is local (stored on *peer 1*)



### GHT\* Inserting Objects (cont.)

Peer 1 inserting the object o

□ If an *NNID* pointer is found

- The inserted object o is sent to peer 2
- Where the insertion resumes



### GHT\* Binary Path

- Represents an AST traversal path
- String of ones and zeros
  - '0' means left branch
  - '1' means right branch
- Serial numbers
  - in inner nodes
  - detect obsolete parts
- Traversal example:


#### GHT\* Binary Path (cont.)

#### Example of a different path



### GHT\* Storage Management

Database grows as new data are inserted

Buckets have limited capacity

#### Bucket splits

- Allocate a new bucket
- Extend routing information
  - choose new pivots
- Move objects

# Splitting

- Bucket capacity is reached
- Allocate a new bucket
  - Either a new local bucket
  - or at another peer





#### Splitting **AST** $p_3$ $p_4$ Bucket capacity is reached $p_7$ $p_8$ Allocate a new bucket Either a new local bucket **BID/NNID BID** • or at another peer Choose new pivots **Overfilled bucket New bucket** Adjust AST $\bigcirc$ $p_8$ Inner node with pivots $\bigcirc$ Leaf node for the new bucket Move objects

Similarity Search:

 $p_7$ 

## Pivot Choosing Algorithm

- Pivots are pre-selected during insertion
  - Two objects are marked at any time
  - □ The marked objects become pivots on split
- Heuristic to maximize the distance between pivots
  - Mark the first two inserted objects
  - Whenever a new object arrives
    - Compute its distances from the currently marked objects
    - If one of the distances is greater than the distance between marked objects
      - change the marked objects



### GHT\* Range Search

Peer 1 starts evaluating a query R(q,r)

- Use the local AST
- Start from the root
- In each inner node:
  - take right branch if  $d(p_a,q)+r > d(p_b,q)-r$
  - take left branch if  $d(p_a,q) r \le d(p_b,q) + r$
  - both branches can qualify
- Till a leaf node is reached in each followed path



### GHT\* Range Search (cont.)

Peer 1 evaluating the range query R(q,r)

- □ For every *BID* pointer found
  - Search the corresponding local bucket
  - Retrieve all objects *o* in the bucket that satisfy

 $d(q,o) \le r$ 

 Any centralized similarity search method can be used



### GHT\* Range Search (cont.)

- Peer 1 evaluating the range query R(q,r)
  - □ For every *NNID* pointer found
    - Continue with the search at corresponding peers



### GHT\* Range Search (cont.)

Peer 1 evaluating the range query R(q,r)

- □ For every *NNID* pointer found
  - Continue with the search at corresponding peers
    - Build BPATH for the traversal
    - Forward the message
  - Destination peers consult their ASTs
    - Avoid repeated computations using the *BPATH*
  - Wait until the results are gathered from all active peers
  - Merge them with results from local buckets



### GHT\* Nearest Neighbor Search

- Based on the range search
  - Estimate the query radius
- Evaluate k-nearest neighbors query k-NN(q)
  - Locate a bucket where *q* would be inserted
    - use the strategy for inserting an object
  - Start a range query with radius *r* equal to the distance between *q* and the *k*-th nearest neighbor of *q* in this bucket
    - If the bucket contains less than **k** objects, estimate *r* using:
      - an optimistic strategy
      - an pessimistic strategy
  - □ The result of the range query contains the *k*-*NN* result

## GHT\* *k*-NN Search Example

#### Example 5-NN(q)

Use the insert strategy in the local AST

 $d(p_1,q) > d(p_2,q)$ 

 $d(p_5,q) \le d(p_6,q)$ 

- Until a BID pointer is found
  - Continue searching at other peer whenever an NNID pointer is found
- Search the destination bucket



## GHT\* &-NN Search Example (cont.)

#### Example 5-NN(q)

- Retrieve five nearest neighbors of *q* in the local bucket
- Set r to the distance of the fifth nearest neighbor found
- Evaluate a distributed range search *R(q,r)*
  - results include at least five nearest neighbors from the local bucket
  - however, some additional objects closer to *q* can be found



□ Get the first five nearest objects of *R(q,r)* 

## GHT\* Updates and Deletions

- Updating an object
  - Delete the original object
  - Insert the updated version
- Deleting an object
  - Locate the bucket where the object is stored
    - the insert navigation algorithm is used
  - Remove the object from the bucket
  - The bucket occupation may become too low
    - merge the bucket with another one
    - update the corresponding nodes in the AST

## GHT\* Merging Buckets

#### Remove a bucket

- Get its sibling
  - either a leaf node (bucket)
  - or an inner node
- Reinsert all remaining objects
  - into the sibling
    - multiple buckets possibly
- Remove the inner node N<sub>p</sub>
- Increase the node's serial number



### AST: Image Adjustment

The AST is modified on bucket splits and merges
 Only changed peers are aware of the change (4 and 5)



## AST: Image Adjustment (cont.)

- The AST is modified on bucket splits and merges
  Only changed peers are aware of the change (4 and 5)
- When other peer searches

Forwards the query to a peer



## AST: Image Adjustment (cont.)

- The AST is modified on bucket splits and merges
  - Only changed peers are aware of the change (4 and 5)
- When other peer searches
  - Forwards the query to a peer
    - which has a different AST view
  - The incomplete search is detected
    - by too short BPATH
  - The search evaluation resumes
    - possibly forwarding the query to some other peers



## AST: Image Adjustment (cont.)

- The AST is modified on bucket splits and merges
  - Only changed peers are aware of the change (4 and 5)
- When other peer searches
  - Forwards the query to a peer
    - which has a different AST view
  - The incomplete search is detected
    - by too short BPATH
  - The search evaluation resumes
    - possibly forwarding the query to some other peers
- Image adjustment is sent back



## AST: Logarithmic Replication

- The full AST on every peer is space consuming
  many pivots must be replicated at each peer
- Only a limited AST stored
  - all paths to local buckets
  - nothing more
- Hidden parts
  - replaced by the NNIDs of the leftmost peers



## AST: Logarithmic Replication (cont.)

Result of logarithmic replication

The partial AST

- Hidden parts
  - replaced by the NNIDs of the leftmost peers



## GHT\* Joining P2P Network

A new node joining the network sends "I'm here"

- Received by each active peer
- Peers add the node to their lists of available peers
- If a node is needed by a split
  - Get one peer from the list
    - send an activation request
  - The peer sends "I'm being used"
    - the other peers remove it from their lists
  - The peer is "Ready to serve"



### GHT\* Leaving P2P Network

- Unexpected leaves not handled
  - Requires replication or other fault-tolerant techniques
- Peers without storage
  - Can leave without restrictions
- Peers storing some data
  - Delete all stored data
    - all buckets are merged
  - Reinsert data back to the structure
    - without offering its own storage capacity

Better leaving/fault-tolerant is a research challenge

#### Parallel and Distributed Indexes

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#### Performance Trials

Objectives: show the performance of the distributed similarity search index structure

The same datasets as for the centralized ones
 Comparison possible

⇒ Experiments show that the response times are nearly constant

#### Datasets

- Trials performed on two datasets:
  - VEC: 45-dimensional vectors of image color features compared by the *quadratic distance* measure
  - STR: sentences of a Czech language corpus compared by the *edit distance*

#### Datasets: Distance Distribution



Distribution of the distances within the datasets

- VEC: practically normal distance distribution
- STR: skewed distribution

### Computing Infrastructure

- 300 Intel Pentium workstations
  - Linux operating system
  - available for use to university students
- Connected by a 100Mbps network
  access times approximately 5ms
- Memory based buckets
  - □ limited capacity up to 1,000 objects
- Basic datasets:
  - 100,000 objects
  - □ 25 peers

#### Performance Trials: Measures

#### Distance computations

- Number of all evaluations of the metric function
  - either in the AST or in buckets
- Represent the CPU costs
  - depends on the metric function complexity
    - the evaluation may vary from hundreds of nanoseconds to seconds

#### Accessed buckets

- Number of buckets accessed during a query evaluation
- Represents the I/O costs

### Performance Trials: Measures (cont.)

#### Messages sent

- Transmitted between peers using the computer network
- Represent the communication costs
  - depends on the size of the sent objects

#### Performance Trials: Remarks

- Response times are imprecise:
  - not dedicated computers
  - depend on the actual load of used computers and the underlying network
  - other influences
- Query objects follow the dataset distribution
- Average over 50 queries:
  - different query objects
  - □ the same selectivity (radius or number of nearest neighbors)

#### Performance Trials: Outline

#### Performance of similarity queries

- Global costs
  - CPU, I/O and communication
  - similar to the centralized structures
- Parallel costs
- □ Comparison of range and *k*-nearest neighbors queries
- Data volume scalability
  - Costs changes while increasing the size of the data
    - Intraquery parallelism
    - Interquery parallelism

- Changing range query radius
- Result set size
  - grows exponentially
- Buckets accessed (I/O costs)
  - grows practically linearly
- Similar to centralized structures
- Peers accessed
  - Only slight increase
    - more buckets accessed per peer



- Changing k for k-NN queries
  - logarithmic scale

#### Buckets accessed

- □ grows very quickly as *k* increases
- k-NN is very expensive
  - similar to centralized structures
- Peers accessed
  - follows the number of buckets
  - practically all buckets per peer are accessed for higher values of k



- Changing range query radius
- **Distance computations** (CPU costs)
  - Divided for AST and buckets
    - small percentage of distance comp. during the AST navigation
  - Buckets use linear scan
    - all objects must be accessed
    - no additional pruning technique used
- Similar to centralized structures



50

0

n

5

20

15

10

range query radius

- Changing k for k-NN queries
  - logarithmic scale

#### Distance computations

- only a small percentage of distance computations during the AST navigation is needed
- k-NN very expensive
  - also with respect to the CPU costs




# Similarity Queries Global Costs

- Changing range query radius
- Number of messages
  (Communication costs)
  - Divided for requests and forwards
    - Forward messages means misaddressing
    - Only 10% messages forwarded
      - even though logarithmic replication used
- No communication in centralized structures





# Similarity Queries Global Costs

- Changing k for k-NN queries
  - logarithmic scale

#### Number of messages

- very small number of messages forwarded
- corresponds with the number of peers accessed
  - practically all peers accessed for k greater than 100
- Slightly higher than for range queries



# Similarity Queries Global Costs

GHT\* is comparable to centralized structures

- No pruning techniques in buckets
  - slightly increased number of distance computations
- Buckets accessed on peers
  - not fixed size of blocks, but fixed bucket capacity
- Trends are similar
  - Costs increase linearly

- Correspond to the actual response times
- More difficult to measure
  - Maximum of the serial costs from all accessed peers
  - □ Example: the parallel distance comp. of a range query
    - number of distance computations at each peer accessed
      at a peer, it is a sum of costs for accessed buckets
    - maximum of the values needed on active peers
- k-NN has the serial phase of locating the first bucket
  - we must sum the first part with the range query costs
  - additional serial iterations may be required if optimistic/pessimistic strategy is used

- Changing range query radius
- Parallel buckets accessed (I/O costs)
  - Maximal number of buckets accessed per peer
  - It is bounded by the capacity
    - a peer has at most five buckets
- Not affected by the query size



- Changing k for k-NN queries
  - logarithmic scale
- Iterations
  - one additional optimistic strategy iteration for k greater than 1,000

#### Parallel bucket access costs

- bounded by the capacity
  - practically all 5 buckets per peer are always accessed
- second iteration increases the costs







- Changing the range query radius
- Parallel distance computations (CPU costs)
  - Maximal number of distance computations per peer
    - the costs of the linear scans of the peer's accessed buckets
  - It is bounded by the capacity
    - a peer has maximally five buckets of maximally 1,000 objects
- Good response even for large radii





logarithmic scale

#### Parallel distance computations

- bounded by the capacity
  - maximally 5,000 distance computations per peer
  - all objects per peer are evaluated
- Second iteration (k > 1,000)
  Increases the cost
  Although k-NN query is expensive, if the CPU costs are bounded



- Measure for the messages sent (the communication costs)
  - during the query execution, the peer may send messages to several other peers
    - the cost is equal to sending only one, because the peer sends them all at once
  - the serial part is thus the forwarding
- The number of peers sequentially contacted
  - hop count

Changing range query radius

### Hop count

(Communication costs)

- logarithmically proportional to the number of peers accessed
- in practice, this cost is very hard to notice
  - forwarding is executed before the local buckets scan





- Changing k for k-NN queries
  - logarithmic scale

### Hop count

- Since only few messages are forwarded, the *k-NN* queries have practically the same costs as the range queries
- Small amount of additional hops during the second phase
  - approximately one additional hop is needed



# Similarity Queries Comparison

- k-NN and range queries
  - logarithmic scale
  - range query has the radius set to the distance of the k-th nearest object
    - that is the perfect estimate

#### Total distance computations

the *k*-NN query is slightly more
 expensive than the range query

#### Parallel distance computations

 clearly visible differences of the first phase and additional iteration(s) VEC



- GHT\* real costs summary
  - □ the real response of the indexing system
- GHT\* exhibits
  - constant parallel CPU costs
    - distance computations bounded by bucket capacity
  - Constant parallel I/O costs
    - number of buckets accessed bounded by peer capacity
  - Logarithmic parallel communication costs
    - even with the logarithmic replication

- Dataset gradually expanded to 1,000,000 objects
  - measurements after every increment of 2,000 objects
- Intraquery parallelism
  - parallel response of a query measured in distance comp.
  - maximum of costs incurred at peers involved in the query
- Interquery parallelism
  - simplified by the ratio of the number of peers involved in a query to the total number of peers
  - the lower the ratio, the higher the chances for other queries to be executed in parallel

- Changing dataset size
  - two different query radii

#### Intraquery parallelism

- Practically constant responses
  - even for the growing dataset
  - some irregularities for small datasets observed
- Larger radii result in higher costs
  - though, not much



- Changing dataset size
  - two different k for k-NN
  - corresponding range queries

#### Intraquery parallelism

- by analogy to range queries the responses are nearly constant
- There is a small difference for different values of k



Changing dataset size
 Two different query radii

#### Interquery parallelism

- As the size of the dataset increases, the interquery parallelism gets better
- Better for the smaller radii
  - smaller percentage of peers involved in a query



- GHT\* scalability for one query
  - Intraquery parallelism
    - both the AST navigation and the bucket search
  - Remains practically constant for growing datasets
- GHT\* scalability for multiple queries
  - Interquery parallelism
    - a simplification by percentage of used peers
  - Allows more queries executed at the same time as the dataset grows