# **HMM Tagging**

PA154 Jazykové modelování (6.2)

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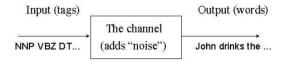
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Source: Introduction to Natural Language Processing (600.465) Jan Hajič, CS Dept., Johns Hopkins Univ. www.cs.jhu.edu/~hajic

# The Setting

■ Noisy Channel setting:



- Goal (as usual): discover "input" to the channel (T, the tag seq.) given the "output" (W, the word sequence)
  - p(T|W) = p(W|T)p(T)/p(W)
  - ▶ p(W) fixed (W given)...  $argmax_T p(T|W) = argmax_T p(W|T)p(T)$

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#### The HMM Model Definition

- (Almost) general HMM:
  - output (words) emitted by states (not arcs)
  - ▶ states: (n-1)-tuples of tags if n-gram tag model used
  - five-tuple  $(S, s_0, Y, P_S, P_Y)$  where:
    - lacksquare  $S=\{s_0,s_1,\ldots,s_T\}$  is the set of states,  $s_0$  is the initial state,
    - $Y = \{y_1, y_2, \dots, y_y\}$  is the output alphabet (the words),
    - ▶  $P_S(s_j|s_i)$  is the set of prob. distributions of transitions  $-P_S(s_j|s_i) = p(t_i|t_{i-n+1}, \ldots, t_{i-1}); s_j = (t_{i-n+2}, \ldots, t_i), s_i =$  $(t_{i-n+1}, \ldots, t_{i-1})$
    - $P_Y(y_k|s_i)$  is the set of output (emission) probability distributions -another simplification:  $P_Y(y_k|s_j)$  if  $s_i$  and  $s_j$  contain the same tag as the rightmost element:  $P_Y(y_k|s_i) = p(w_i|t_i)$

#### Review

- Recall:
  - ► tagging ~ morphological disambiguation
  - ▶ tagset  $V_T \subset (C_1, C_2, \dots C_n)$ 
    - ► C<sub>i</sub> morphological categories, such as POS, NUMBER, CASE, PERSON, TENSE, GENDER,...
  - ▶ mapping  $w \to \{t \in V_T\}$  exists
    - $\,\blacktriangleright\,$  restriction of Morphological Analysis:  $A^+ \to 2^{(L,C2,C2,...,Cn)}$  where A is the language alphabet,  $\boldsymbol{L}$  is the set of lemmas
  - extension of punctuation, sentence boundaries (treated as words)

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#### The Model

- Two models (d = |W| = |T| word sequence length):
  - ►  $p(W|T) = \prod_{i=1...d} p(w_i|w_1,...,w_{i-1},t_1,...,t_d)$
  - ►  $p(T) = \prod_{i=1...d} p(t_i|t_1,...,t_{i-1})$
- Too much parameters (as always)
- Approximation using the following assumptions:
  - words do not depend on the context
  - tag depends on limited history:
    - $p(t_i|t_1,\ldots,t_{i-1}) \cong p(t_i|t_{i-n+1},\ldots,t_{i-1})$ 
      - ► n-gram tag "language" model
  - ▶ word depends on tag only:  $p(w_i|w_1,...,w_{i-1},t_1,...,t_d) \cong p(w_i|t_i)$

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## Supervised Learning (Manually Annotated Data Available)

- Use MLE
  - $p(w_i|t_i) = c_{wt}(t_i, w_i)/c_t(t_i)$
  - $\qquad \qquad p(t_i|t_{i-n+1},) = c_{tn}(t_{i-n+1},\ldots,t_{i-1},t_i)/c_{t(n-1)}(t_{i-n+1},\ldots,t_{i-1})$
- Smooth(both!)
  - $ightharpoonup p(w_i|t_i)$  : "Add 1" for all possible tag, word pairs using a predefined dictionary (thus some 0 kept!)
  - ▶  $p(t_i|t_{i-n+1},...,t_{i-1})$ : linear interpolation:

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e.g. for trigram model:  $p_{\lambda}'(t_{i}|t_{i-2},t_{i-1}) = \lambda_{3}p(t_{i}|t_{i-2},t_{i-1}) + \lambda_{2}p(t_{i}|t_{i-1}) + \lambda_{1}p(t_{i}) + \lambda_{0}/|V_{T}|$ 

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#### Unsupervised Learning

- Completely unsupervised learning impossible
  - ▶ at least if we have the tagset given- how would we associate words with tags?
- Assumed (minimal) setting:
  - ► tagset known
  - ▶ dictionary/morph. analysis available (providing possible tags for any
- lacktriangle Use: Baum-Welch algorithm (see class 15,10/13)
  - ▶ "tying": output (state-emitting only, same dist. from two states with same "final" tag)

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Comments on Unsupervised Learning

- Initialization of Baum-Welch
  - ▶ is some annotated data available, use them
  - keep 0 for impossible output probabilities
- Beware of:
  - ▶ degradation of accuracy (Baum-Welch criterion: entropy, not
  - ▶ use heldout data for cross-checking
- Supervised almost always better

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#### Unknown Words

- "OOV" words (out-of-vocabulary)
  - ▶ we do not have list of possible tags for them
  - ▶ and we certainly have no output probabilities
- Solutions:
  - try all tags (uniform distribution)
  - ► try open-class tags (uniform, unigram distribution)
  - ▶ try to "guess" possible tags (based on suffix/ending) use different output distribution based on the ending (and/or other factors, such as capitalization)

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# Running the Tagger

- Use Viterbi
  - ▶ remember to handle unknown words
  - ► single-best, n-best possible
- Another option
  - ▶ assign always the best tag at each word, but consider all possibilities for previous tags (no back pointers nor a path-backpass)
  - ▶ introduces random errors, implausible sequences, but might get higher accuracy (less secondary errors)

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### (Tagger) Evaluation

- A must. Test data (S), previously unseen (in training)
  - ► change test data often if at all possible! ("feedback cheating")
  - ► Error-rate based
- Formally:
  - ► Out(w) = set of output "items" for an input "item" w
  - ► True(w) = single correct output (annotation) for w

  - ► Errors(S) =  $\sum_{i=1..|S|} \delta$  (Out( $w_i$ ) ≠ True( $w_i$ )) ► Correct(S) =  $\sum_{i=1..|S|} \delta$  (True( $w_i$ ) ∈ Out( $w_i$ ))
  - Generated(S) =  $\sum_{i=1..|S|}^{\cdot} \delta |\mathsf{Out}(w_i)|$

# **Evaluation Metrics**

- Accuracy: Single output (tagging: each word gets a single tag)
  - Error rate: Err(S) = Errors(S)/|S|
  - $\qquad \qquad \mathsf{Accuracy:} \ \, \mathsf{Acc}(S) = 1 (\mathsf{Errors}(S)/|S|) = 1 \ \, \mathsf{Err}(S) \\$
- What if multiple (or no) output?
  - ► Recall: R(S) = Correct(S)/|S|

  - ► Precision: P(S) = Correct(S)/Generated(S)► Combination: F measure:  $F = 1/(\alpha/P + (1-\alpha)/R)$ 
    - $\alpha$  is a weight given to precision vs. recall; for  $\alpha = 5, F = 2PR/(R+P)$

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