

# HMM Tagging

## PA154 Jazykové modelování (6.2)

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**Source:** Introduction to Natural Language Processing (600.465)  
Jan Hajíč, CS Dept., Johns Hopkins Univ.  
[www.cs.jhu.edu/~hajic](http://www.cs.jhu.edu/~hajic)

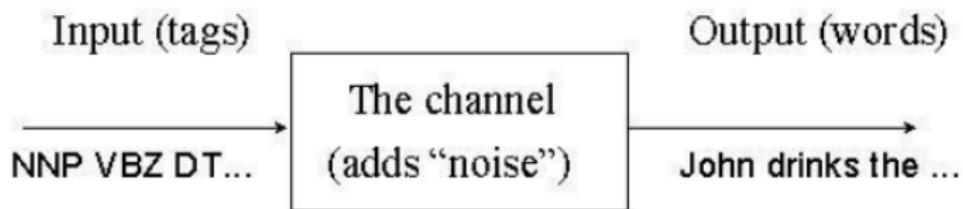
# Review

## ■ Recall:

- ▶ tagging  $\sim$  morphological disambiguation
- ▶ tagset  $V_T \subset (C_1, C_2, \dots, C_n)$ 
  - ▶  $C_i$  - morphological categories, such as POS, NUMBER, CASE, PERSON, TENSE, GENDER,...
- ▶ mapping  $w \rightarrow \{t \in V_T\}$  exists
  - ▶ restriction of Morphological Analysis:  $A^+ \rightarrow 2^{(L, C_2, C_2, \dots, C_n)}$  where  $A$  is the language alphabet,  $L$  is the set of lemmas
- ▶ extension of punctuation, sentence boundaries (treated as words)

# The Setting

- Noisy Channel setting:



- Goal (as usual): discover "input" to the channel ( $T$ , the tag seq.) given the "output" ( $W$ , the word sequence)
  - $p(T|W) = p(W|T)p(T)/p(W)$
  - $p(W)$  fixed ( $W$  given)...  $\text{argmax}_T p(T|W) = \text{argmax}_T p(W|T)p(T)$

# The Model

- Two models ( $d = |W| = |T|$  word sequence length):
  - ▶  $p(W|T) = \prod_{i=1}^d p(w_i|w_1, \dots, w_{i-1}, t_1, \dots, t_d)$
  - ▶  $p(T) = \prod_{i=1}^d p(t_i|t_1, \dots, t_{i-1})$
- Too much parameters (as always)
- Approximation using the following assumptions:
  - ▶ words do not depend on the context
  - ▶ tag depends on limited history:  
 $p(t_i|t_1, \dots, t_{i-1}) \cong p(t_i|t_{i-n+1}, \dots, t_{i-1})$ 
    - ▶ n-gram tag "language" model
  - ▶ word depends on tag only:  $p(w_i|w_1, \dots, w_{i-1}, t_1, \dots, t_d) \cong p(w_i|t_i)$

# The HMM Model Definition

## ■ (Almost) general HMM:

- ▶ output (words) emitted by states (not arcs)
- ▶ states: (n-1)-tuples of tags if n-gram tag model used
- ▶ five-tuple  $(S, s_0, Y, P_S, P_Y)$  where:
  - ▶  $S = \{s_0, s_1, \dots, s_T\}$  is the set of states,  $s_0$  is the initial state,
  - ▶  $Y = \{y_1, y_2, \dots, y_y\}$  is the output alphabet (the words),
  - ▶  $P_S(s_j|s_i)$  is the set of prob. distributions of transitions
    - $P_S(s_j|s_i) = p(t_i|t_{i-n+1}, \dots, t_{i-1}); s_j = (t_{i-n+2}, \dots, t_i), s_i = (t_{i-n+1}, \dots, t_{i-1})$
  - ▶  $P_Y(y_k|s_i)$  is the set of output (emission) probability distributions
    - another simplification:  $P_Y(y_k|s_j)$  if  $s_i$  and  $s_j$  contain the same tag as the rightmost element:  $P_Y(y_k|s_i) = p(w_i|t_i)$

# Supervised Learning (Manually Annotated Data Available)

## ■ Use MLE

- ▶  $p(w_i|t_i) = c_{wt}(t_i, w_i)/c_t(t_i)$
- ▶  $p(t_i|t_{i-n+1}, \dots, t_{i-1}) = c_{tn}(t_{i-n+1}, \dots, t_{i-1}, t_i)/c_{t(n-1)}(t_{i-n+1}, \dots, t_{i-1})$

## ■ Smooth(both!)

- ▶  $p(w_i|t_i)$  : "Add 1" for all possible tag, word pairs using a predefined dictionary (thus some 0 kept!)
- ▶  $p(t_i|t_{i-n+1}, \dots, t_{i-1})$  : linear interpolation:

- ▶ e.g. for trigram model:

$$p'_\lambda(t_i|t_{i-2}, t_{i-1}) = \lambda_3 p(t_i|t_{i-2}, t_{i-1}) + \lambda_2 p(t_i|t_{i-1}) + \lambda_1 p(t_i) + \lambda_0 / |V_T|$$

# Unsupervised Learning

- Completely unsupervised learning impossible
  - ▶ at least if we have the tagset given- how would we associate words with tags?
- Assumed (minimal) setting:
  - ▶ tagset known
  - ▶ dictionary/morph. analysis available (providing possible tags for any word)
- Use: Baum-Welch algorithm (see class 15,10/13)
  - ▶ "tying": output (state-emitting only, same dist. from two states with same "final" tag)

# Comments on Unsupervised Learning

- Initialization of Baum-Welch
  - ▶ is some annotated data available, use them
  - ▶ keep 0 for impossible output probabilities
- Beware of:
  - ▶ degradation of accuracy (Baum-Welch criterion: entropy, not accuracy!)
  - ▶ use heldout data for cross-checking
- Supervised almost always better

# Unknown Words

- "OOV" words (out-of-vocabulary)
  - ▶ we do not have list of possible tags for them
  - ▶ and we certainly have no output probabilities
- Solutions:
  - ▶ try all tags (uniform distribution)
  - ▶ try open-class tags (uniform, unigram distribution)
  - ▶ try to "guess" possible tags (based on suffix/ending) - use different output distribution based on the ending (and/or other factors, such as capitalization)

# Running the Tagger

- Use Viterbi
  - ▶ remember to handle unknown words
  - ▶ single-best, n-best possible
- Another option
  - ▶ assign always the best tag at each word, but consider all possibilities for previous tags (no back pointers nor a path-backpass)
  - ▶ introduces random errors, implausible sequences, but might get higher accuracy (less secondary errors)

# (Tagger) Evaluation

- **A must.** Test data ( $S$ ), previously unseen (in training)
  - ▶ change test data often if at all possible! ("feedback cheating")
  - ▶ Error-rate based
- Formally:
  - ▶  $\text{Out}(w)$  = set of output "items" for an input "item"  $w$
  - ▶  $\text{True}(w)$  = single correct output (annotation) for  $w$
  - ▶  $\text{Errors}(S) = \sum_{i=1..|S|} \delta (\text{Out}(w_i) \neq \text{True}(w_i))$
  - ▶  $\text{Correct}(S) = \sum_{i=1..|S|} \delta (\text{True}(w_i) \in \text{Out}(w_i))$
  - ▶  $\text{Generated}(S) = \sum_{i=1..|S|} \delta |\text{Out}(w_i)|$

# Evaluation Metrics

- Accuracy: Single output (tagging: each word gets a single tag)
  - ▶ Error rate:  $\text{Err}(S) = \text{Errors}(S)/|S|$
  - ▶ Accuracy:  $\text{Acc}(S) = 1 - (\text{Errors}(S)/|S|) = 1 - \text{Err}(S)$
- What if multiple (or no) output?
  - ▶ Recall:  $R(S) = \text{Correct}(S)/|S|$
  - ▶ Precision:  $P(S) = \text{Correct}(S)/\text{Generated}(S)$
  - ▶ Combination: F measure:  $F = 1/(\alpha/P + (1 - \alpha)/R)$ 
    - ▶  $\alpha$  is a weight given to precision vs. recall; for  $\alpha = 5$ ,  $F = 2PR/(R + P)$