IA008: Computational Logic 1. Propositional Logic

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# **Basic Concepts**

## **Propositional Logic**

#### **Syntax**

- Variables  $A, B, C, \ldots, X, Y, Z, \ldots$
- Operators  $\land$ ,  $\lor$ ,  $\neg$ ,  $\rightarrow$ ,  $\leftrightarrow$

### Semantics

 $\mathfrak{J} \models \varphi$   $\mathfrak{J} : \text{Variables} \rightarrow \{\text{true, false}\}$ 

#### **Examples**

$$\begin{split} \varphi &\coloneqq A \land (A \to B) \to B, \\ \psi &\coloneqq \neg (A \land B) \leftrightarrow (\neg A \lor \neg B) \,. \end{split}$$

- entailment  $\varphi \vDash \psi$
- equivalence  $\varphi \equiv \psi$

(do not confuse with  $\mathfrak{J} \models \varphi$ !) (do not confuse with  $\varphi = \psi$ !)

•  $\varphi \equiv \psi$  iff  $\varphi \models \psi$  and  $\psi \models \varphi$ 

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- $\varphi \equiv \psi$  iff  $\varphi \vDash \psi$  and  $\psi \vDash \varphi$
- **satisfiability**  $\varphi \not\equiv$  false
- validity  $\varphi \equiv \text{true}$
- Every valid formula is satisfiable.
- $\varphi$  is valid iff  $\neg \varphi$  is not satisfiable.
- $\varphi \vDash \psi$  iff  $\varphi \rightarrow \psi$  is valid.

### **Examples**

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### **Examples**

- $A \land (A \to B) \to B$  is valid.
- $A \lor B$  is satisfiable but not valid.
- $\neg A \land A$  is

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### **Examples**

- $A \land (A \rightarrow B) \rightarrow B$  is valid.
- $A \lor B$  is satisfiable but not valid.
- $\neg A \land A$  is not satisfiable.

## **Equivalence Transformations**

### **De Morgan's laws**

$$\neg(\varphi \land \psi) \equiv \neg\varphi \lor \neg\psi$$
$$\neg(\varphi \lor \psi) \equiv \neg\varphi \land \neg\psi$$

## **Equivalence Transformations**

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### **Distributive laws**

$$\begin{array}{l} \varphi \land (\psi \lor \vartheta) \equiv (\varphi \land \psi) \lor (\varphi \land \vartheta) \\ \varphi \lor (\psi \land \vartheta) \equiv (\varphi \lor \psi) \land (\varphi \lor \vartheta) \end{array}$$

### **Normal Forms**

### **Conjunctive Normal Form (CNF)**

$$(A \lor \neg B) \land (\neg A \lor C) \land (A \lor \neg B \lor \neg C)$$

### **Disjunctive Normal Form (DNF)**

$$(A \land C) \lor (\neg A \land \neg B) \lor (A \land \neg B \land \neg C)$$

### Clauses

### Definitions

- literal  $A \text{ or } \neg A$
- ► clause set of literals  $\{A, B, \neg C\}$ short-hand for disjunction  $A \lor B \lor \neg C$

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#### Example

CNF  $\varphi := (A \lor \neg B \lor C) \land (\neg A \lor C) \land B$ clauses  $\{A, \neg B, C\}, \{\neg A, C\}, \{B\}$ 

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CNF 
$$\varphi := (A \lor \neg B \lor C) \land (\neg A \lor C) \land B$$
  
clauses  $\{A, \neg B, C\}, \{\neg A, C\}, \{B\}$ 

#### Notation

$$\Phi[L := \operatorname{true}] := \left\{ C \smallsetminus \{\neg L\} \mid C \in \Phi, L \notin C \right\}.$$

# **The Satisfiability Problem**

### Davis-Putnam-Logemann-Loveland (DPLL) Algorithm

**Input:** a set of clauses  $\Phi$ **Output:** true if  $\Phi$  is satisfiable, false otherwise.

 $DPLL(\Phi)$ for every singleton  $\{L\}$  in  $\Phi$ (\* simplify  $\Phi$  \*)  $\Phi := \Phi[L := \text{true}]$ for every literal L whose negation does not occur in  $\Phi$  $\Phi := \Phi[L := \text{true}]$ if  $\phi$  contains the empty clause then (\* are we done? \*) return false if  $\Phi$  is empty then return true choose some literal L in  $\Phi$ (\* try L := true and L := false \*) if DPLL( $\Phi[L := true]$ ) then return true else **return** DPLL( $\Phi[L := \text{false}]$ )

$$\Phi := \{ \{A, B, \neg C\}, \{\neg B, C, D\}, \{\neg A, \neg B, \neg D\}, \{B, C, D\}, \\ \{\neg A, \neg B, \neg C\}, \{\neg A, \neg C, \neg D\} \}$$

Step 1:  $A \coloneqq$  true

$$\begin{split} \Phi &\coloneqq \{\{A, B, \neg C\}, \ \{\neg B, C, D\}, \ \{\neg A, \neg B, \neg D\}, \ \{B, C, D\}, \\ \{\neg A, \neg B, \neg C\}, \ \{\neg A, \neg C, \neg D\} \} \end{split}$$

Step 1: A := true

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Step 2: B := true

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Step 3: C := false and D := false

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 $\{D\}, \{\neg D\}$ 

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Step 2: B := true

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Step 3: C := false and D := false

 ${D}, {\neg D}$ Ø failure

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Step 3: C := true

 $\{\neg D\}$  satisfiable

Solution: A = true, B = false, C = true, D = false

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Variables:

 $C_{\nu}$  vertex  $\nu$  belongs to the cover

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### **The** $Size_k^{\geq}$ **formulae**

Fix an enumeration  $v_0, \ldots, v_{n-1}$  of *V*.

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$$S_m^k$$
 at least k variables  $C_{v_i}$  with  $i < m$  are true

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 at least k variables  $C_{v_i}$  with  $i < m$  are true

Formulae:

$$S_{m}^{0} - S_{0}^{k} \quad \text{for } k > 0$$

$$C_{\nu_{i}} \rightarrow \left[S_{i}^{k} \leftrightarrow S_{i+1}^{k+1}\right] - C_{\nu_{i}} \rightarrow \left[S_{i}^{k} \leftrightarrow S_{i+1}^{k}\right]$$

$$S_{n}^{k}$$

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Formulae:

$S_m^0$			$v_0$		$v_1$		$v_2$	
$\neg S_0^k$ for $k > 0$	$C_{\nu_i}$		1		0		1	
$C_{\nu_i} \to \left[S_i^k \leftrightarrow S_{i+1}^{k+1}\right]$	$S_i^0$	1		1		1		1
$\neg C_{\nu_i} \rightarrow \left[S_i^k \leftrightarrow S_{i+1}^k\right]$	$S_i^{i}$	0		1		1		1
$S_n^k$	$S_i^2$	0		0		0		1
	$S^3$	0		0		0		0

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$S_n^k$	$S_i^2$	0		0		0		1
	$S_i^3$	0		0		0		0

A similar construction works for  $\text{Size}_k^{\leq}$ .

## **The Satisfiability Problem**

Theorem

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#### Proof

Given Turing machine  $\mathcal{M}$  and input w, construct formula  $\varphi$  such that

 $\mathcal{M}$  accepts w iff  $\varphi$  is satisfiable.

## Proof

### **Turing machine** $\mathcal{M} = \langle Q, \Sigma, \Delta, q_0, F_+, F_- \rangle$

- Q set of states
- $\Sigma$  tape alphabet
- $\Delta \quad \text{set of transitions } \langle p, a, b, m, q \rangle \in Q \times \Sigma \times \Sigma \times \{-1, 0, 1\} \times Q$
- $q_0$  initial state
- $F_+$  accepting states
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### **Encoding in PL**

 $\begin{array}{ll} S_{t,q} & \text{state } q \text{ at time } t \\ H_{t,k} & \text{head in field } k \text{ at time } t \\ W_{t,k,a} & \text{letter } a \text{ in field } k \text{ at time } t \end{array}$ 

$$\varphi_{w} \coloneqq \bigwedge_{t < r(n)} \left[ \text{ADM}_{t} \land \text{INIT} \land \text{TRANS}_{t} \land \text{ACC} \right]$$
$\begin{array}{ll} S_{t,q} & \text{state } q \text{ at time } t \\ H_{t,k} & \text{head in field } k \text{ at time } t \\ W_{t,k,a} & \text{letter } a \text{ in field } k \text{ at time } t \end{array}$ 

#### **Admissibility formula**

$$ADM_{t} := \bigwedge_{\substack{p \neq q}} \left[ \neg S_{t,p} \lor \neg S_{t,q} \right] \qquad \mathbf{u}$$
$$\land \bigwedge_{\substack{k \neq l}} \left[ \neg H_{t,k} \lor \neg H_{t,l} \right] \qquad \mathbf{u}$$
$$\land \bigwedge_{\substack{k \neq b}} \left[ \neg W_{t,k,a} \lor \neg W_{t,k,b} \right] \qquad \mathbf{u}$$

unique state

unique head position

unique letter

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### **Initialisation formula** for input: $a_0 \dots a_{n-1}$

$$\operatorname{NIT} := S_{0,q_0} \\ \wedge H_{0,0} \\ \wedge \bigwedge_{k < n} W_{0,k,a_k} \wedge \bigwedge_{n \le k \le r(n)} W_{0,k,\square}$$

initial state initial head position initial tape content

#### **Acceptance formula**

 $ACC := \bigvee_{q \in F_+} \bigvee_{t \le r(n)} S_{t,q}$ 

accepting state

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### **Transition formula**

$$TRANS_{t} := \bigvee_{\substack{\langle p,a,b,m,q \rangle \in \Delta \\ k \le r(n) }} \bigvee_{\substack{k \le r(n) \\ k \le r(n) }} \begin{bmatrix} S_{t,p} \land H_{t,k} \land W_{t,k,a} \land \\ S_{t+1,q} \land H_{t+1,k+m} \land W_{t+1,k,b} \end{bmatrix}$$
effect of transition
$$\land \bigwedge_{\substack{k \le r(n) \\ a \in \Sigma }} [\neg H_{t,k} \land W_{t,k,a} \rightarrow W_{t+1,k,a}]$$

rest of tape remains unchanged

$$\operatorname{TRANS}_{t} := \bigvee_{(p,a,b,m,q) \in \Delta} \bigvee_{k \le r(n)} \left[ S_{t,p} \wedge H_{t,k} \wedge W_{t,k,a} \wedge S_{t+1,q} \wedge H_{t+1,k+m} \wedge W_{t+1,k,b} \right] \wedge \dots$$

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equivalently:

$$\bigwedge_{k \le r(n)} \bigwedge_{p \in Q} \bigwedge_{a \in \Sigma} \left[ S_{t,p} \land H_{t,k} \land W_{t,k,a} \to \bigvee_{q \in TS(p,a)} S_{t+1,q} \right]$$

$$TS(p,a) \coloneqq \{ q \in Q \mid \langle p, a, b, m, q \rangle \in \Delta \}$$

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$$\land \bigwedge_{k \le r(n)} \bigwedge_{p,q \in Q} \bigwedge_{a \in \Sigma} \left[ S_{t,p} \land H_{t,k} \land W_{t,k,a} \land S_{t+1,q} \to \bigvee_{m \in TH(p,a,q)} H_{t+1,k+m} \right]$$

$$TH(p, a, q) \coloneqq \{ m \mid \langle p, a, b, m, q \rangle \in \Delta \}$$

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equivalently:

$$\begin{split} & \bigwedge_{k \le r(n)} \bigwedge_{p \in Q} \bigwedge_{a \in \Sigma} \left[ S_{t,p} \land H_{t,k} \land W_{t,k,a} \to \bigvee_{q \in TS(p,a)} S_{t+1,q} \right] \\ & \land \bigwedge_{k \le r(n)} \bigwedge_{p,q \in Q} \bigwedge_{a \in \Sigma} \left[ S_{t,p} \land H_{t,k} \land W_{t,k,a} \land S_{t+1,q} \to \bigvee_{m \in TH(p,a,q)} H_{t+1,k+m} \right] \\ & \land \bigwedge_{k \le r(n)} \bigwedge_{p,q \in Q} \bigwedge_{a \in \Sigma} \bigwedge_{m \in \{-1,0,1\}} \left[ S_{t,p} \land H_{t,k} \land W_{t,k,a} \land S_{t+1,q} \land H_{t+1,k+m} \to \bigvee_{k \le r(n)} W_{t+1,k,k} \right] \\ & TW(p,a,m,q) \coloneqq \left\{ b \in Q \mid \langle p,a,b,m,q \rangle \in \Delta \right\} \xrightarrow{b \in TW(p,a,m,q)} W_{t+1,k,k} \end{split}$$

### **Properties of** $\varphi_w$

- It is in CNF.
- It has length  $\sim r(n)^3$ .
- It is satisfiable if, and only if, the Turing machine accepts *w*.

Consequently, the satisfiability problem for PL-formulae in CNF is NP-complete.

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#### **Reduction to 3-CNF**

 $\{L_0, L_1, L_2, \dots, L_n\} \quad \mapsto \quad \{L_0, L_1, X\}, \ \{\neg X, L_2, \dots, L_n\}$ (X new variable)

# **Resolution**

# Resolution

#### **Resolution Step**

The resolvent of two clauses

 $C = \{L, A_0, \dots, A_m\}$  and  $C' = \{\neg L, B_0, \dots, B_n\}$ 

is the clause

 $\{A_0,\ldots,A_m,B_0,\ldots,B_n\}.$ 

#### Lemma

Let *C* be the resolvent of two clauses in  $\Phi$ . Then

 $\Phi \vDash \Phi \cup \{C\}.$ 

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(This is the inverse of the splitting trick from the last slide.)

#### Lemma

Let *C* be the resolvent of two clauses in  $\Phi$ . Then

 $\Phi \vDash \Phi \cup \{C\}.$ 

# **The Resolution Method**

#### **Observation**

If  $\Phi$  contains the empty clause  $\emptyset$ , then  $\Phi$  is not satisfiable.

### **Resolution Method**

**Input:** a set of clauses  $\Phi$ **Output:** true if  $\Phi$  is satisfiable, false otherwise.

 $RM(\Phi)$ add to  $\Phi$  all possible resolvents repeat until no new clauses are generated if  $\emptyset \in \Phi$  then return false else return true

#### Theorem

The resolution method for propositional logic is sound and complete.

 $\{A,C\} \qquad \{B,\neg C\} \qquad \{\neg A,B,C\} \qquad \{A,\neg B\} \quad \{\neg A,\neg B,\neg C\} \quad \{\neg B,C\}$ 



# **Davis-Putnam Algorithm**

**Input:** a set of clauses  $\Phi$ **Output:** true if  $\Phi$  is satisfiable, false otherwise.

 $DP(\Phi)$ remove all tautological clauses from  $\Phi$ if  $\Phi = \emptyset$  then return true if  $\Phi = \{\emptyset\}$  then return false select a variable X add to  $\Phi$  all resolvents over X remove all clauses containing *X* or  $\neg X$  from  $\Phi$ repeat

### $\left\{A,C\right\}\left\{B,\neg C\right\}\left\{\neg A,B,C\right\}\left\{A,\neg B\right\}\left\{\neg A,\neg B,\neg C\right\}\left\{\neg B,C\right\}$

 $\{A, C\} \{B, \neg C\} \{\neg A, B, C\} \{A, \neg B\} \{\neg A, \neg B, \neg C\} \{\neg B, C\}$ select *A*:  $\{B, C\} \{\neg B, C, \neg C\} \{B, \neg B, C\} \{\neg B, \neg C\}$ 

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 $\{A, C\} \{B, \neg C\} \{\neg A, B, C\} \{A, \neg B\} \{\neg A, \neg B, \neg C\} \{\neg B, C\}$ select *A*:  $\{B, C\} \{\neg B, C, \neg C\} \{B, \neg B, C\} \{\neg B, \neg C\}$ removals:  $\{B, \neg C\} \{\neg B, C\} \{B, C\} \{\neg B, \neg C\}$ select *B*:  $\{C, \neg C\} \{\neg C\} \{C\} \{C, \neg C\}$ removals:  $\{\neg C\} \{C\}$ 

```
 \{A, C\} \{B, \neg C\} \{\neg A, B, C\} \{A, \neg B\} \{\neg A, \neg B, \neg C\} \{\neg B, C\} 
select A: \{B, C\} \{\neg B, C, \neg C\} \{B, \neg B, C\} \{\neg B, \neg C\} 
removals: \{B, \neg C\} \{\neg B, C\} \{B, C\} \{\neg B, \neg C\} 
select B: \{C, \neg C\} \{\neg C\} \{C\} \{C, \neg C\} 
removals: \{\neg C\} \{C\} 
select C: \emptyset
```

# Horn formulae

### **Linear Resolution**

A **linear resolution** is a sequence of resolution steps where in each step the resolvent of the previous step is used.



# Horn formulae and linear resolution

#### Horn formulae

A Horn clause is a clause C that contains at most one positive literal.

### Example

 $A_0 \wedge \dots \wedge A_n \rightarrow B \equiv \{\neg A_0, \dots, \neg A_n, B\}$ 

# Horn formulae and linear resolution

### Horn formulae

A Horn clause is a clause *C* that contains at most one positive literal.

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$$A_0 \wedge \dots \wedge A_n \to B \quad \equiv \quad \{\neg A_0, \dots, \neg A_n, B\}$$

#### Theorem

A set of Horn clauses is unsatisfiable if, and only if, one can use linear resolution to derive the empty clause from it.

#### **SLD Resolution**

**Linear resolution** where the clauses are **sequences** instead of sets and we always resolve the **leftmost literal** of the current clause.

# **Minimal models**

Lemma

Every satisfiable set of Horn-formulae has a minimal model.

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Every satisfiable set of Horn-formulae has a minimal model.

Algorithm to compute it:

**Input:**  $\Phi$  set of Horn-formulae  $T := \emptyset$  **repeat for all**  $A_0 \land \dots \land A_{n-1} \rightarrow B \in \Phi$  **do if**  $A_0, \dots, A_{n-1} \in T$  **then**   $T := T \cup \{B\}$ **until** T does not change anymore

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### Theorem

Satisfiability for sets of Horn-formulae can be checked in linear time.

 $B \land C \to A \qquad A \land D \to B \qquad F \to C \qquad E \to D$  $D \land E \to A \qquad C \land F \to B \qquad 1 \to F$ 

 $B \land C \to A \qquad A \land D \to B \qquad \mathbf{F} \to C \qquad E \to D$  $D \land E \to A \qquad C \land \mathbf{F} \to B \qquad 1 \to \mathbf{F}$ 

 $B \land \mathbf{C} \to A \qquad A \land D \to B \qquad \mathbf{F} \to \mathbf{C} \qquad E \to D$  $D \land E \to A \qquad \mathbf{C} \land \mathbf{F} \to B \qquad 1 \to \mathbf{F}$ 

 $B \land C \to A \qquad A \land D \to B \qquad F \to C \qquad E \to D$  $D \land E \to A \qquad C \land F \to B \qquad 1 \to F$ 

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Finite Games  $\mathcal{G} = \langle V_{\diamondsuit}, V_{\Box}, E \rangle$ 

Players  $\diamondsuit$  and  $\Box$ 



Winning regions:  $W_{\diamondsuit}$ ,  $W_{\Box}$ 

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## Reduction

### positions

 $V_{\diamondsuit} = \text{variables } \langle A \rangle \quad \text{and} \quad V_{\Box} = \text{formulae} \left[ A_0 \land \dots \land A_{n-1} \rightarrow B \right]$ 

### edges

#### Lemma

A variable A belongs to  $W_{\diamondsuit}$  iff it is true in the minimal model.

 $B \land C \to A \qquad A \land D \to B \qquad F \to C$  $D \land E \to A \qquad C \land F \to B \qquad 1 \to F$ 



# **Simple Algorithm**

 $Win(v, \sigma)$ if  $v \in V_{\sigma}$  then if there is an edge  $v \rightarrow u$  with Win $(u, \sigma)$  then return true else return false  $(*\overline{\diamondsuit}:=\Box \quad \overline{\Box}:=\diamondsuit^*)$ if  $v \in V_{\overline{\sigma}}$  then if for every edge  $v \rightarrow u$  we have Win $(u, \sigma)$  then return true else return false

## **Linear Algorithm**

```
Input: game \langle V_{\diamondsuit}, V_{\Box}, E \rangle

forall v \in V do

win[v] := \bot (* winner or

P[v] := \varnothing (* set of pre-

n[v] := 0 (* number of

end
```

```
(* winner of the position *)
(* set of predecessors of v *)
(* number of successors of v *)
```

```
forall \langle u, v \rangle \in E do

P[v] := P[v] \cup \{u\}

n[u] := n[u] + 1

end
```

```
forall v \in V_{\diamondsuit} do

if n[v] = 0 then \operatorname{Propagate}(v, \Box)

forall v \in V_{\Box} do

if n[v] = 0 then \operatorname{Propagate}(v, \diamondsuit)

return win
```

```
procedure Propagate(v, \sigma) =

if win[v] \neq \bot then return

win[v] \coloneqq \sigma

forall u \in P[v] do

n[u] \coloneqq n[u] - 1

if u \in V_{\sigma} or n[u] = 0 then Propagate(u, \sigma)

end

end
```