

IA169 Model Checking

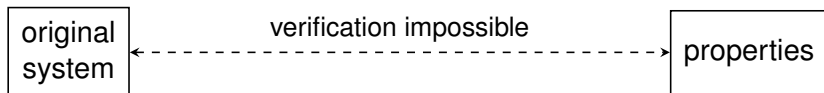
Abstraction and CEGAR

Jan Strejček

Faculty of Informatics
Masaryk University

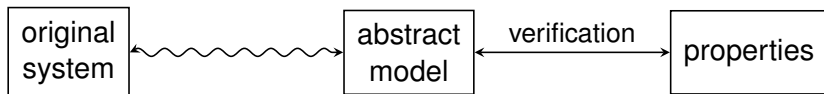
Abstraction is one of the most important techniques for reducing the state explosion problem.

[CGKPV18]



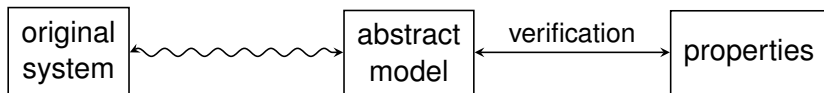
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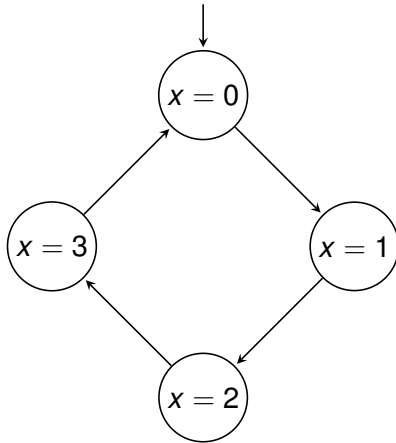


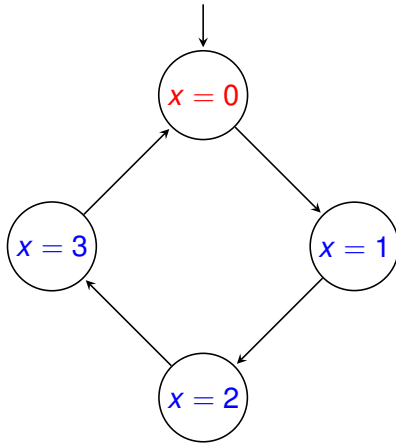
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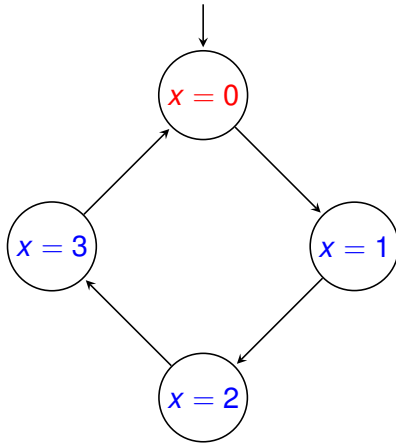


- large finite systems \longrightarrow smaller finite systems
- infinite-state systems \longrightarrow finite systems

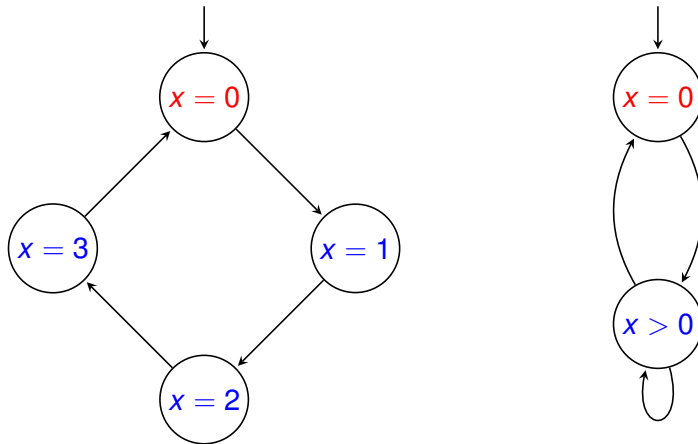




Intuition



Intuition



- equivalent with respect to $F(x > 0)$
- nonequivalent with respect to $GF(x = 0)$

agenda

- simulation
- exact abstractions
- non-exact abstractions, in particular **predicate abstraction**
- abstraction in practice
- **CEGAR**: counterexample-guided abstraction refinement

sources

- Chapter 13 of **E. M. Clarke, O. Grumberg, D. Kroening, D. Peled, and H. Veith: *Model Checking*, Second Edition, MIT, 2018.**
- **R. Pelánek: *Reduction and Abstraction Techniques for Model Checking*, PhD thesis, FI MU, 2006.**
- **E. M. Clarke, O. Grumberg, S. Jha, Y. Lu, H. Veith: *Counterexample-guided Abstraction Refinement for Symbolic Model Checking*, J. ACM 50(5), 2003.**

Simulation

Definition (simulation)

Given two Kripke structures $M = (S, \rightarrow, S_0, L)$ and $M' = (S', \rightarrow', S'_0, L')$, we say that M' **simulates** M , written $M \leq M'$, if there exists a relation $R \subseteq S \times S'$ such that:

- $\forall s_0 \in S_0 . \exists s'_0 \in S'_0 . (s_0, s'_0) \in R$
- $(s, s') \in R \implies L(s) = L'(s')$
- $(s, s') \in R \wedge s \rightarrow p \implies \exists p' \in S' . s' \rightarrow' p' \wedge (p, p') \in R$

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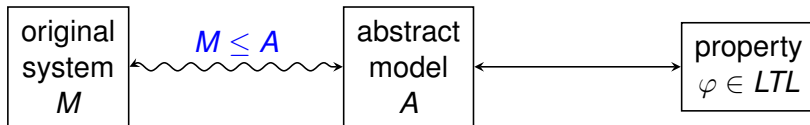
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Lemma

If $M \leq M'$, then for every path $\sigma = s_1 s_2 \dots$ of M starting in an initial state there is a run $\sigma' = s'_1 s'_2 \dots$ of M' starting in an initial state and satisfying

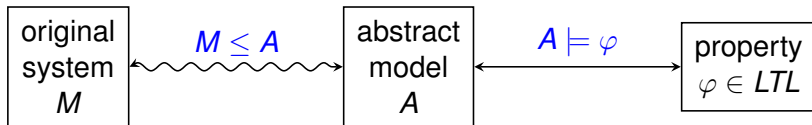
$$L(s_1)L(s_2)\dots = L'(s'_1)L'(s'_2)\dots$$

Relations between original and abstract systems



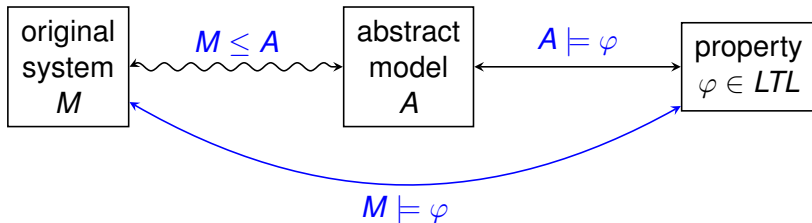
$M \leq A \implies$ all behaviours of M are also in A
(but not vice versa)

Relations between original and abstract systems



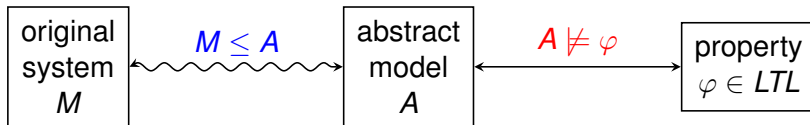
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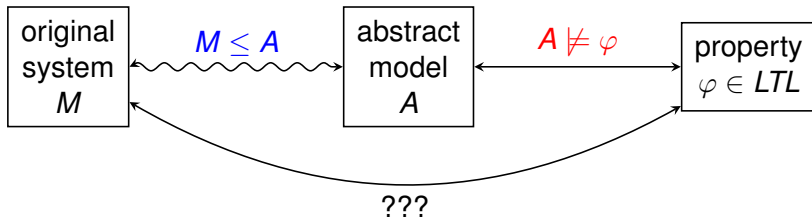
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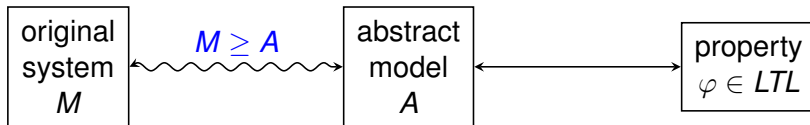
Relations between original and abstract systems



If A has a behaviour violating φ (i.e. $A \not\models \varphi$), then either

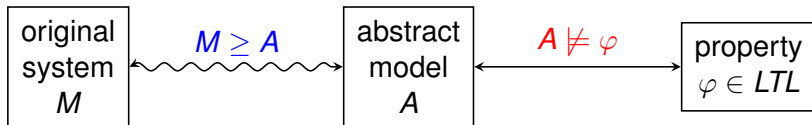
- 1 M has this behaviour as well (i.e. $M \not\models \varphi$), or
- 2 M does not have this behaviour, which is then called **false positive** or **spurious counterexample** ($M \models \varphi$ or $M \not\models \varphi$ due to another behaviour violating φ).

Relations between original and abstract systems



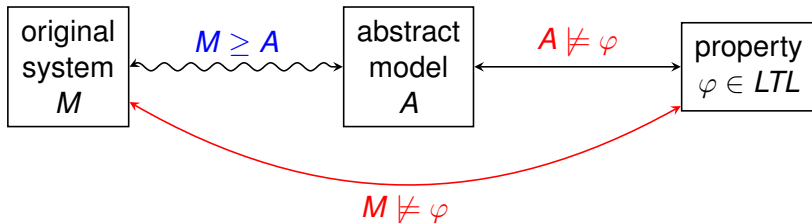
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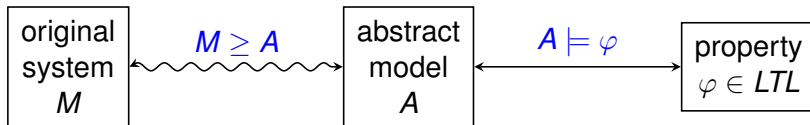
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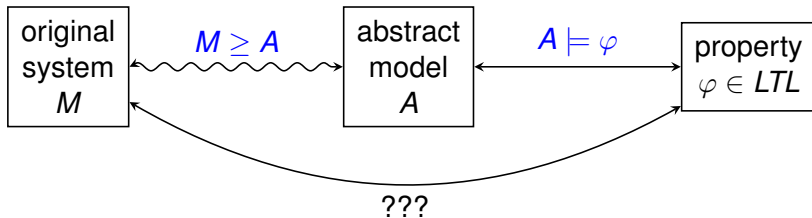
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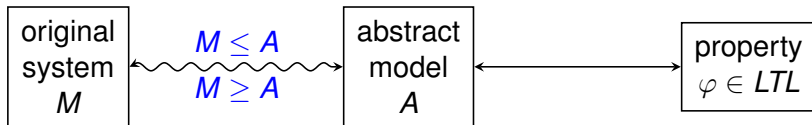
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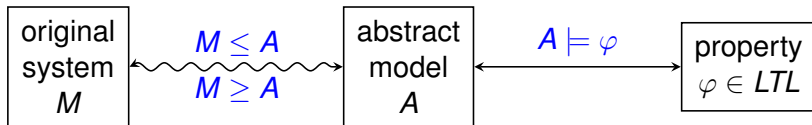
Relations between original and abstract systems



$M \leq A \leq M \implies A$ and M have the same behaviours
 A is an **exact abstraction** of M

note: A and M are bisimilar $\implies M \leq A \leq M$
 \nleftarrow

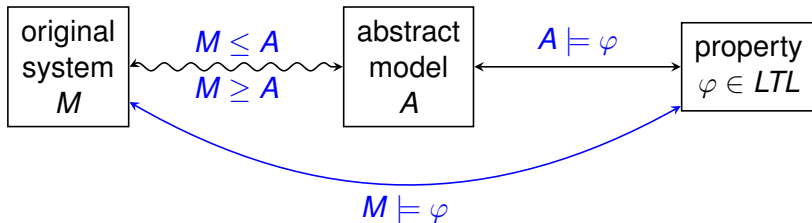
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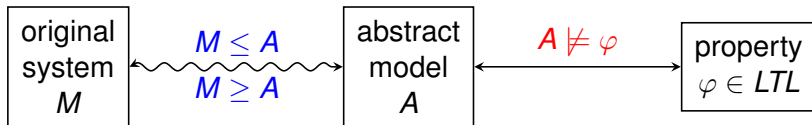
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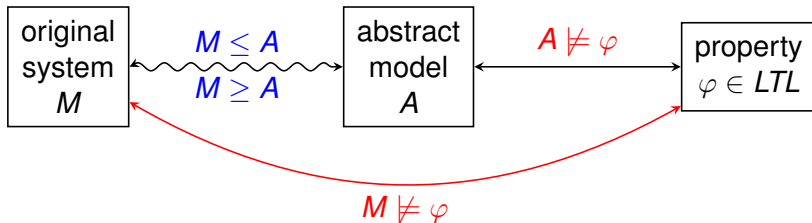
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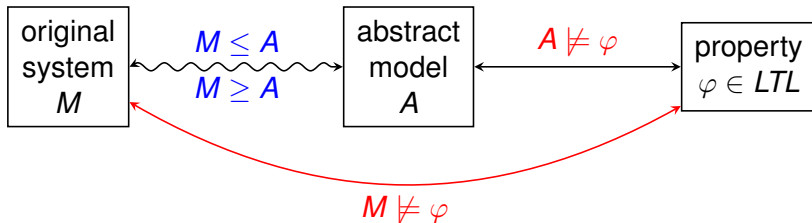
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Relations between original and abstract systems



All these relations hold even for $\varphi \in \text{CTL}^*$.

Exact abstractions

Cone of influence (aka dead variables)

Idea

We eliminate the state variables that do not influence the variables in the specification.

Cone of influence (aka dead variables)

- assume that our system is a program
- let V be the set of variables appearing in specification
- **cone of influence** C of V is the minimal set of variables such that
 - $V \subseteq C$
 - if v occurs in a test affecting the control flow, then $v \in C$
 - if there is an assignment $v := e$ for some $v \in C$, then all variables occurring in the expression e are also in C
- C can be computed by the source code analysis
- variables that are not in C can be eliminated from the code together with all commands they participate in

Cone of influence: example

```
S: v = getinput();  
   x = getinput();  
   y = 1;  
   z = 1;  
   while (v > 0) {  
       z = z * x;  
       x = x - 1;  
       y = y * v;  
       v = v - 1;  
   }  
   z = z * y;
```

E:

specification: $F(pc = E)$

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$V = \emptyset, C = \{v\}$

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   }
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```

E:

specification: $F(pc = E)$
 $V = \emptyset, C = \{v\}$

```
S: v = getinput();
   skip;
   skip;
   skip;
   while (v > 0) {
     skip;
     skip;
     skip;
     v = v - 1;
   }
   skip;
```

E:

symmetry reduction

- in systems with more identical parallel components, their order is not important

equivalent values

- if the set of behaviours starting in a state s is the same for values a, b of a variable v , then the two values can be replaced by one
- applicable to larger sets of values as well
- used in timed automata for timer values

Non-exact abstractions, in particular **predicate abstraction**

we face two problems

- 1 to find a suitable set of **abstract states** (called **abstract domain**) and a mapping between the original states and the abstract ones
- 2 to compute a **transition relation on abstract states**

abstract states are usually defined in one of the following ways

- 1 for each variable x , we replace the original variable domain D_x by an **abstract variable domain** A_x and we define a total function $h_x : D_x \rightarrow A_x$

a state $s = (v_1, \dots, v_m) \in D_{x_1} \times \dots \times D_{x_m}$ given by values of all variables corresponds to an abstract state

$$h(s) = (h_{x_1}(v_1), \dots, h_{x_m}(v_m)) \in A_{x_1} \times \dots \times A_{x_m}$$

- 2 **predicate abstraction** - we choose a finite set $\Phi = \{\phi_1, \dots, \phi_n\}$ of predicates over the set of variables;
we have several choices of an abstract domain

The first approach can be seen as a special case the latter one.

sign abstraction

- $A_x = \{a_+, a_-, a_0\}$
- $h_x(v) = \begin{cases} a_- & \text{if } v < 0 \\ a_0 & \text{if } v = 0 \\ a_+ & \text{if } v > 0 \end{cases}$

parity abstraction

- $A_x = \{a_e, a_o\}$
- $h_x(v) = \begin{cases} a_e & \text{if } v \text{ is even} \\ a_o & \text{if } v \text{ is odd} \end{cases}$
- good for verification of properties related to the last bit of binary representation

congruence modulo an integer

- $A_x = \{0, 1, \dots, m - 1\}$ for some $m > 1$
- $h_x(v) = v \bmod m$
- nice properties

$$((x \bmod m) + (y \bmod m)) \bmod m = (x + y) \bmod m$$

$$((x \bmod m) - (y \bmod m)) \bmod m = (x - y) \bmod m$$

$$((x \bmod m) \cdot (y \bmod m)) \bmod m = (x \cdot y) \bmod m$$

representation by logarithm

- $h_x(v) = \lceil \log_2(v + 1) \rceil$
- the number of bits needed to represent v
- good for verification of properties related to overflow problems

single bit abstraction

- $A_x = \{0, 1\}$
- $h_x(v) =$ the i -th bit of v for a fixed i

single value abstraction

- $A_x = \{0, 1\}$
- $h_x(v) = \begin{cases} 1 & \text{if } v = c \\ 0 & \text{otherwise} \end{cases}$

...and others

Let $\Phi = \{\phi_1, \dots, \phi_n\}$ be a set of predicates over the set of variables.

abstract domain $\{0, 1\}^n$

- a state $s = (v_1, \dots, v_m)$ corresponds to an abstract state given by a vector of truth values of $\{\phi_1, \dots, \phi_n\}$, i.e.,

$$h(s) = (\phi_1(v_1, \dots, v_m), \dots, \phi_n(v_1, \dots, v_m)) \in \{0, 1\}^n$$

- example: $\phi_1 = (x_1 > 3)$ $\phi_2 = (x_1 < x_2)$ $\phi_3 = (x_2 > 10)$
 $s = (5, 7)$
 $h(s) = (1, 1, 0)$

assume that

- we have an original Kripke structure $M = (S, \rightarrow, S_0, L)$
- we have an abstract domain A and a mapping $h : S \rightarrow A$

we define an **abstract model** as a Kripke structure $(A, \rightarrow', A_0, L_A)$, where

- $A_0 = \{h(s_0) \mid s_0 \in S_0\}$
- $L_A : A \rightarrow 2^{AP}$ has to be correctly defined, i.e.,
 - for **abstraction based on variable domains**, validity of atomic propositions is determined by abstract states in $A_{x_1} \times \dots \times A_{x_m}$
 - for **predicate abstraction**, validity of atomic propositions is determined by abstraction predicates $\{\phi_1, \dots, \phi_n\}$ (AP is typically a subset of it)

and L_A has to agree with L , i.e., $L(s) = L_A(h(s))$

- \rightarrow' is defined in one of the following ways

May abstraction

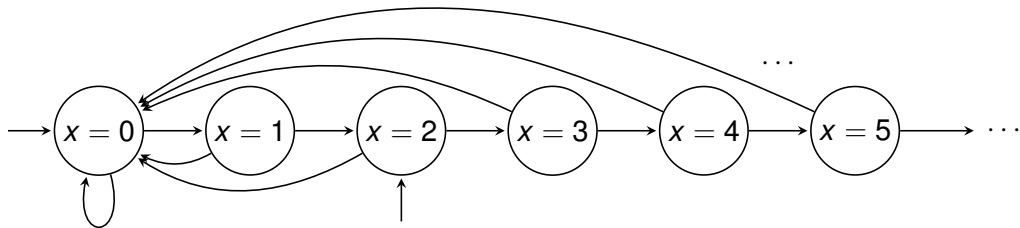
may abstraction produces $M_{may} = (A, \rightarrow_{may}, A_0, L_A)$

- $a_1 \rightarrow_{may} a_2$ iff there exist $s_1, s_2 \in S$ such that $h(s_1) = a_1$, $h(s_2) = a_2$, $s_1 \rightarrow s_2$

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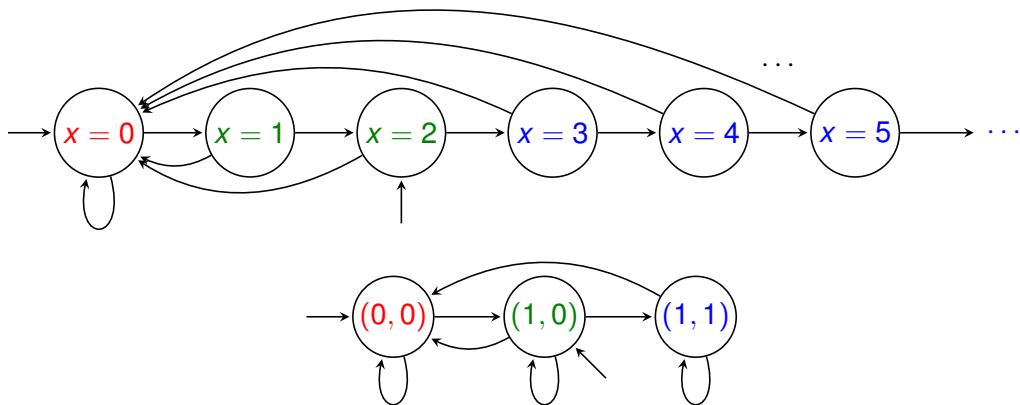
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- example: construct M_{may} for the following system using predicate abstraction with predicates $\phi_1 = (x > 0)$ and $\phi_2 = (x > 2)$ and abstract domain $\{0, 1\}^2$



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Must abstraction

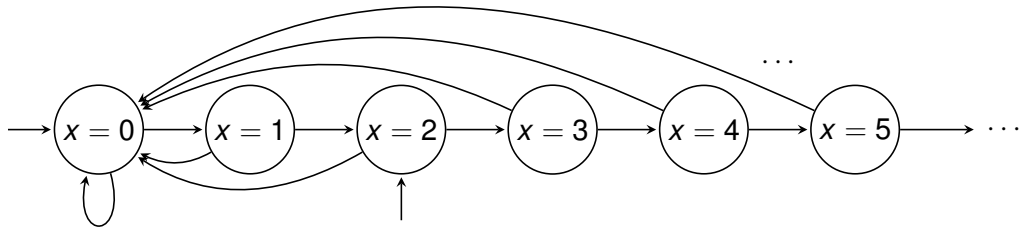
must abstraction produces $M_{must} = (A, \rightarrow_{must}, A_0, L_A)$

- $a_1 \rightarrow_{must} a_2$ iff for each $s_1 \in S$ satisfying $h(s_1) = a_1$ there exists $s_2 \in S$ such that $h(s_2) = a_2$ and $s_1 \rightarrow s_2$

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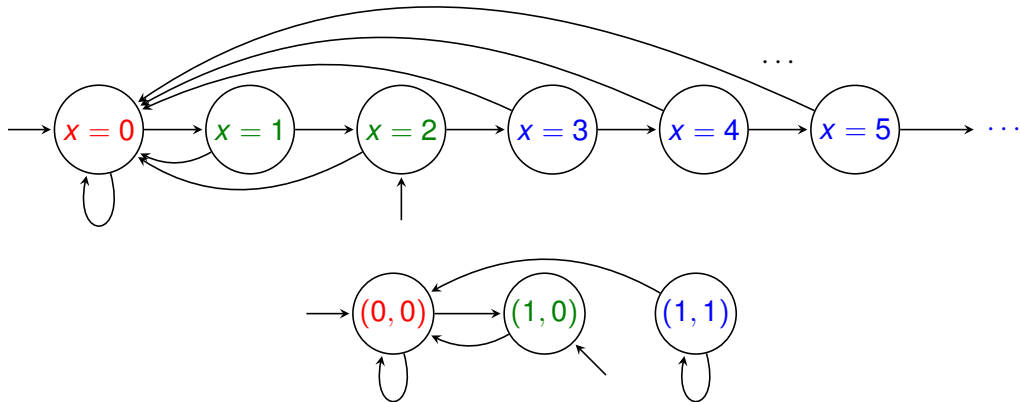
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Lemma

For every Kripke structure M , abstract domain A with a mapping function h it holds

$$M_{must} \leq M \leq M_{may}.$$

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For every Kripke structure M , abstract domain A with a mapping function h it holds

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- computing M_{must} or M_{may} requires constructing M first (recall that M can be very large or even infinite)
- we rather compute an **under-approximation** M'_{must} of M_{must} or an **over-approximation** M'_{may} of M_{may} directly from the implicit representation of M
- it holds that $M'_{must} \leq M_{must} \leq M \leq M_{may} \leq M'_{may}$

Abstraction in practice

Predicate abstraction: abstracting sets of states

Abstract domain $\{0, 1\}^n$ can lead to too many transitions \implies it is sometimes better to assign a single abstract state to a set of original states.

abstract domain $2^{\{0,1\}^n}$

- let $\vec{b} = \langle b_1, \dots, b_n \rangle$ be a vector of $b_i \in \{0, 1\}$
- we set $[\vec{b}, \Phi] = b_1 \cdot \phi_1 \wedge \dots \wedge b_n \cdot \phi_n$, where $0 \cdot \phi_i = \neg\phi_i$ and $1 \cdot \phi_i = \phi_i$
- let X denotes the set of original states
- $h(X) = \{\vec{b} \in \{0, 1\}^n \mid \exists s \in X : s \models [\vec{b}, \Phi]\}$
- example: $\phi_1 = (x_1 > 3)$ $\phi_2 = (x_1 < x_2)$ $\phi_3 = (x_2 > 10)$
 $X = \{(5, 7), (4, 5), (2, 9)\}$
 $h(X) = \{(1, 1, 0), (0, 1, 0)\}$
- nice theoretical properties
- not used in practice (this abstract domain grows too fast)

abstract domain $\{0, 1, *\}^n$ (predicate-cartesian abstraction)

- let $\vec{b} = \langle b_1, \dots, b_n \rangle$ be a vector of $b_i \in \{0, 1, *\}$
- we set $[\vec{b}, \Phi] = b_1 \cdot \phi_1 \wedge \dots \wedge b_n \cdot \phi_n$, where $0 \cdot \phi_i = \neg\phi_i$, $1 \cdot \phi_i = \phi_i$, $* \cdot \phi_i = \top$
- $h(X) = \min\{\vec{b} \in \{0, 1, *\}^n \mid \forall s \in X : s \models [\vec{b}, \Phi]\}$, where min means “the most specific”
- example: $\phi_1 = (x_1 > 3)$ $\phi_2 = (x_1 < x_2)$ $\phi_3 = (x_2 > 10)$
 $X = \{(5, 7), (4, 5), (2, 9)\}$
 $h(X) = (*, 1, 0)$
- this one is sometimes used in practice

syntax

- let V be a finite set of integer variables
- Act is a set of **action names**
- **model** is a pair $M = (V, E)$, where $E = \{t_1, \dots, t_m\}$ is a finite set of **transitions** of the form $t_j = (a_j, g_j, u_j)$, where
 - $a_j \in Act$
 - g_j is a first-order formula called **guard** and built with V , integers, standard binary operations $(+, -, \cdot, \dots)$ and relations $(=, <, >, \dots)$
 - u_j is a finite sequence of assignments $x := e$, where $x \in V$ and e is an expression built with V , integers, and standard binary operations $(+, -, \cdot, \dots)$

Guarded command language

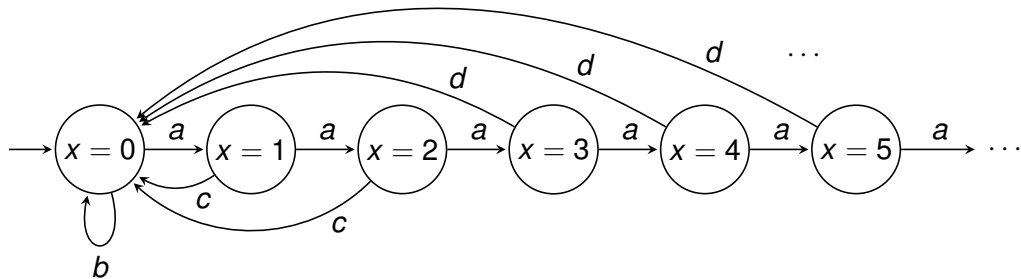
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semantics

- M defines a labelled transition system where
 - states are valuations of variables $S = 2^{V \rightarrow \mathbb{Z}}$
 - initial state is the zero valuation $s_0(v) = 0$ for all $v \in V$
 - $s \xrightarrow{a_i} s'$ whenever $s \models g_i$ and s' arises from s by applying the assignments in u_i
- M can also describe a Kripke structure if we add a labelling function

Example



implicit description in guarded command language by model (V, E) , where

$$\begin{aligned} V &= \{x\} \\ E &= \{(a, \top, \quad x := x + 1), \\ &\quad (b, \neg(x > 0), \quad x := 0), \\ &\quad (c, (x > 0) \wedge (x \leq 2), x := 0), \\ &\quad (d, (x > 2), \quad x := 0)\} \end{aligned}$$

Abstraction in practice

- we use predicate abstraction with domain $\{0, 1, *\}^n$
- given a formula φ with free variables \vec{x} from V , we set

$$pre(a_i, \varphi) = (g_i \implies \varphi[\vec{x}/u_i(\vec{x})])$$

where $\varphi[\vec{x}/u_i(\vec{x})]$ denotes the formula φ with each free variable x replaced by $u_i(x)$, which is the expression representing the value of x after the assignments in u_i

- intuitively, $pre(a_i, \varphi)$ transforms the condition φ to the situation before taking the transition (a_i, g_i, u_i)
- we use a sound (potentially not complete) decision procedure *is_valid*, i.e.,

$$is_valid(\varphi) = \top \implies \varphi \text{ is a tautology}$$

Abstraction in practice

for every abstract state $\vec{b} \in \{0, 1, *\}^n$ and for every transition $t_i = (a_i, g_i, u_i)$, we compute an **over-approximation of a may-successor of \vec{b} under t_i** as

- if $is_valid([\vec{b}, \Phi] \implies \neg g_i)$ then there is no successor
- otherwise, the successor \vec{b}' is given by

$$b'_j = \begin{cases} 1 & \text{if } is_valid([\vec{b}, \Phi] \implies pre(a_i, \phi_j)) \\ 0 & \text{if } is_valid([\vec{b}, \Phi] \implies pre(a_i, \neg\phi_j)) \\ * & \text{otherwise} \end{cases}$$

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- example: consider the abstract state $\vec{b} = (1, 0)$ where $\phi_1 = (x > 0)$ and $\phi_2 = (x > 2)$ and compute the successor corresponding to $(a, \top, x := x + 1)$

$$(1, 0) \xrightarrow{a}_{may'} (,)$$

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$$(1, 0) \xrightarrow{a}_{may'} (1, *)$$

- $(x > 0) \wedge (x \leq 2) \implies (\top \implies (x + 1 > 0))$ is true
- $(x > 0) \wedge (x \leq 2) \implies (\top \implies (x + 1 > 2))$ is not true
- $(x > 0) \wedge (x \leq 2) \implies (\top \implies (x + 1 \leq 2))$ is not true

Abstraction in practice

- for every transition, we compute successors of all abstract states
- based on the successors, we transform the original implicit representation of a system into a **Boolean program**
- it is very similar to a model in guarded command language, but instead of integers it uses only Boolean variables \vec{b} representing the validity of abstraction predicates Φ
- Boolean program is an **implicit** representation of an over-approximation of M_{may}
- Boolean program can be used as an input for a suitable model checker (of finite-state systems)

Example

- consider the model (V, E) , where

$$\begin{aligned} V &= \{x\} \\ E &= \{(a, \top, x := x + 1), \\ &\quad (b, \neg(x > 0), x := 0), \\ &\quad (c, (x > 0) \wedge (x \leq 2), x := 0), \\ &\quad (d, (x > 2), x := 0)\} \end{aligned}$$

- using the predicates $\phi_1 = (x > 0)$, $\phi_2 = (x > 2)$, we get the following Boolean program defining an over-approximation of M_{may}

$$\begin{aligned} V &= \{b_1, b_2\}, \text{ where } b_1, b_2 \text{ represents the validity of } \phi_1, \phi_2 \\ E &= \{(a, \top, b_1 := \text{if } b_1 \text{ then } 1 \text{ else } *; \\ &\quad b_2 := \text{if } b_2 \text{ then } 1 \text{ else if } b_1 \text{ then } * \text{ else } 0), \\ &\quad (b, \neg b_1, b_1 := 0; b_2 := 0), \\ &\quad (c, b_1 \wedge \neg b_2, b_1 := 0; b_2 := 0), \\ &\quad (d, b_2, b_1 := 0; b_2 := 0)\} \end{aligned}$$

Example of a real NQC code and its abstraction

```
task light_sensor_control() {
  int x = 0;
  while (true) {
    if (LIGHT > LIGHT_THRESHOLD) {
      PlaySound(SOUND_CLICK);
      Wait(30);
      x = x + 1;
    } else {
      if (x > 2) {
        PlaySound(SOUND_UP);
        ClearTimer(0);
        brick = LONG;
      } else if (x > 0) {
        PlaySound(SOUND_DOUBLE_BEEP);
        ClearTimer(0);
        brick = SHORT;
      }
      x = 0;
    }
  }
}
```

Example of a real NQC code and its abstraction

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      } else if (x > 0) {
        PlaySound(SOUND_DOUBLE_BEEP);
        ClearTimer(0);
        brick = SHORT;
      }
      x = 0;
    }
  }
}
```

```
task A_light_sensor_control() {
  bool b = false;
  while (true) {
    if (*) {

      b = b ? true : * ;
    } else {
      if (b) {

        brick = LONG;
      } else if (b ? true : *) {

        brick = SHORT;
      }
      b = false;
    }
  }
}
```

CEGAR: counterexample-guided abstraction refinement

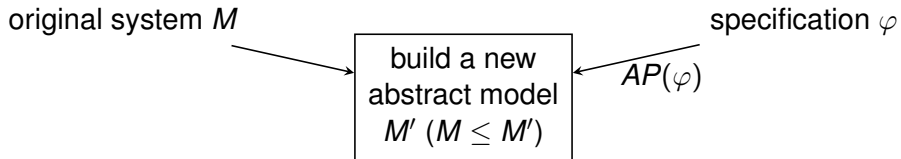
- it is hard to find a small and valuable abstraction
- abstraction predicates were originally provided by a user
- CEGAR tries to find a suitable abstraction automatically
- implemented in SLAM, BLAST, **Static Driver Verifier (SDV)**, and many others
- incomplete method, but very successful in practice

Principle of CEGAR

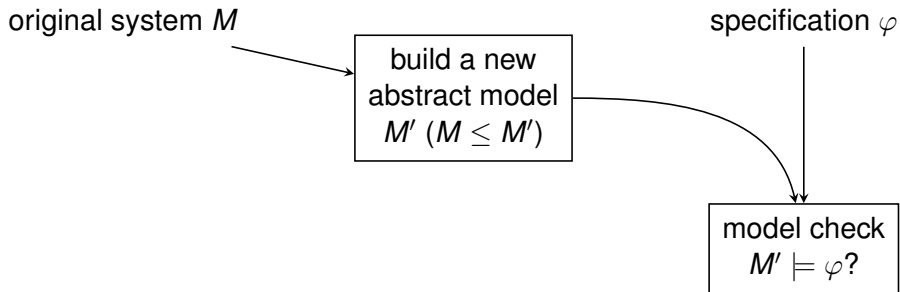
original system M

specification φ

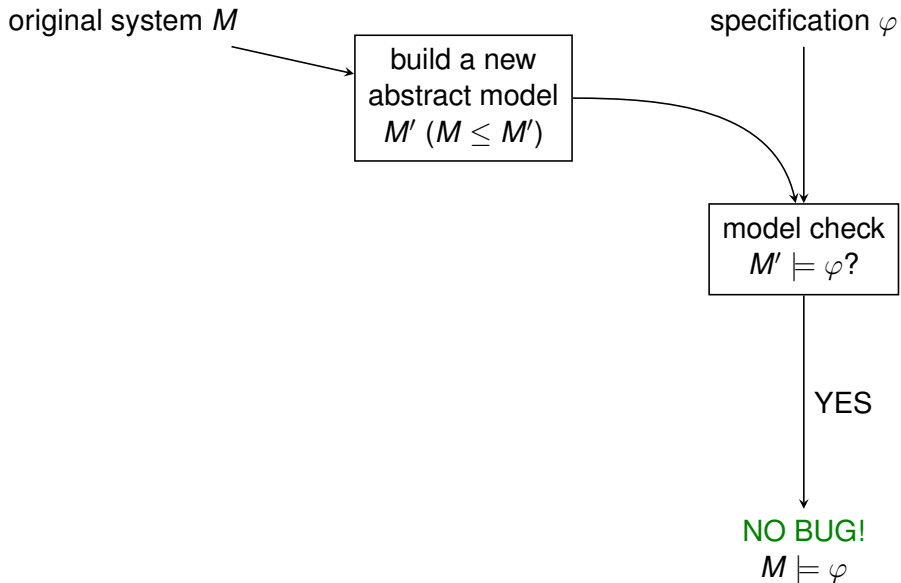
Principle of CEGAR



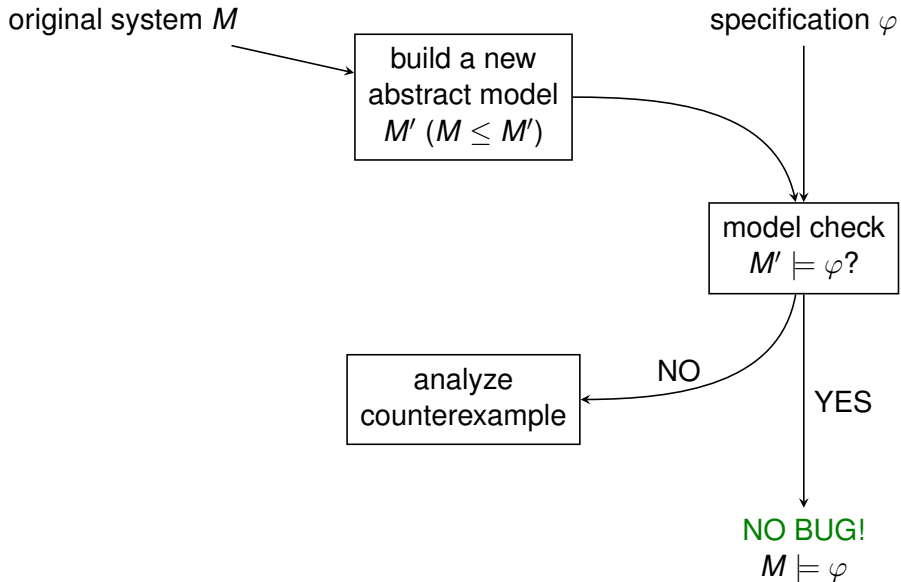
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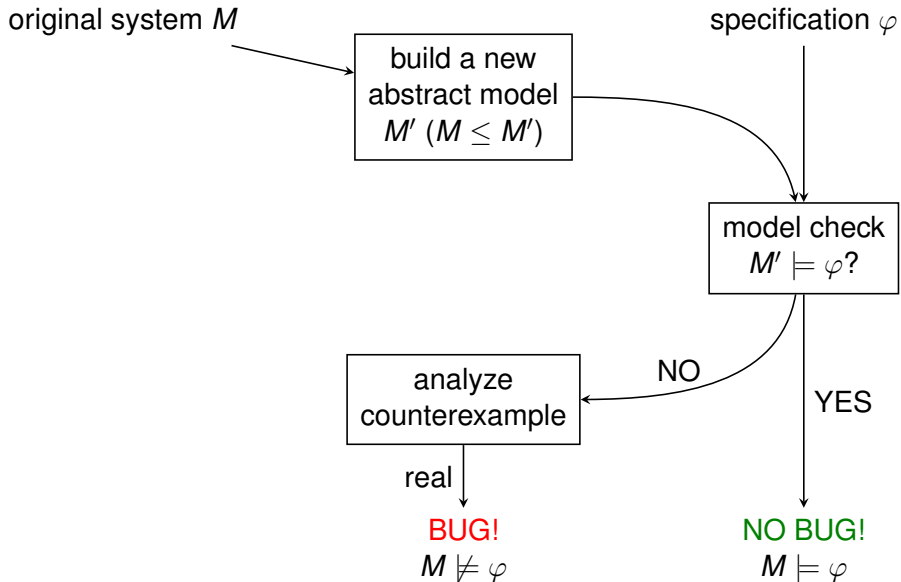
Principle of CEGAR



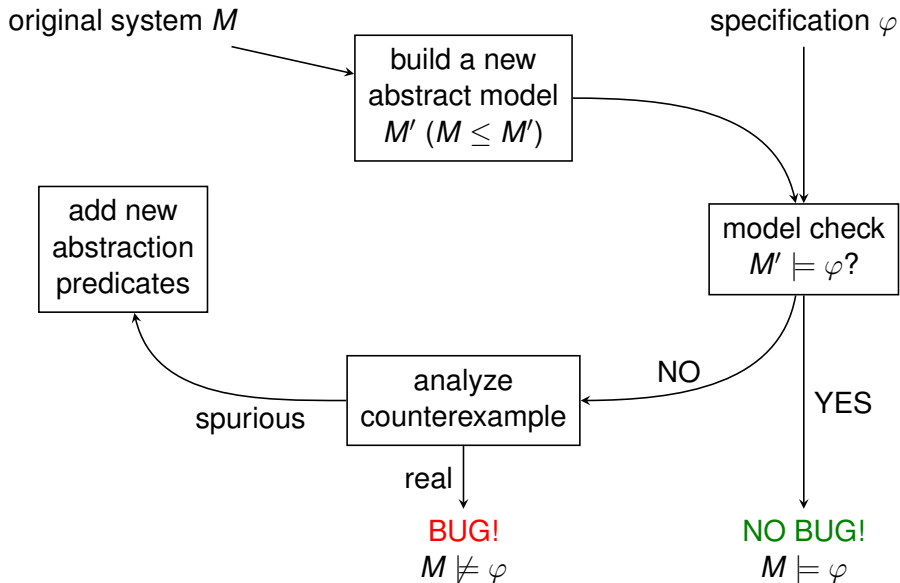
Principle of CEGAR



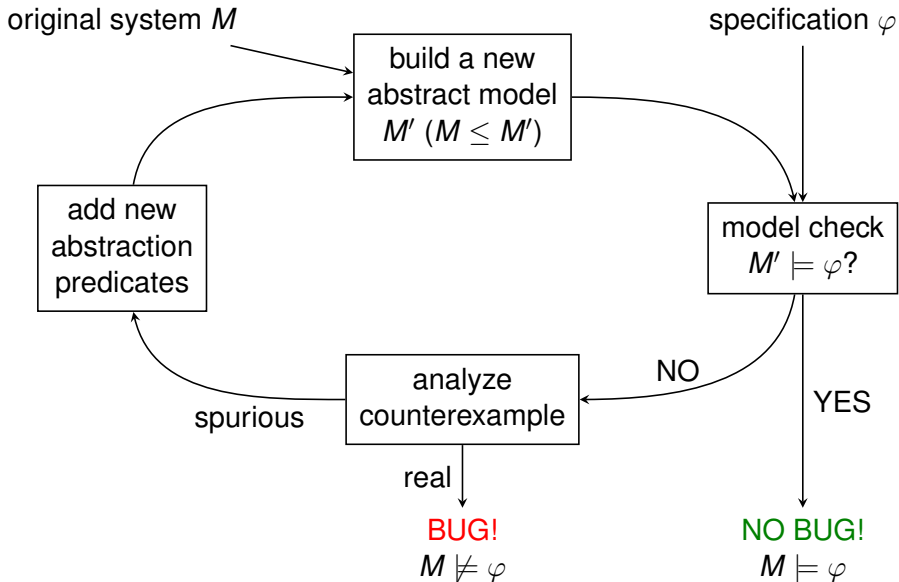
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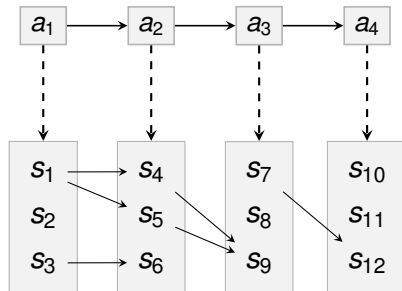
Principle of CEGAR



- added abstraction predicates ensure that the new abstract model M' does not have the behaviour corresponding to the spurious counterexample of the previous M'
- the analysis of an abstract counterexample and finding new abstract predicates are nontrivial tasks
- the method is **sound** but **incomplete**: the algorithm can run in the cycle forever or fail to find new abstraction predicates

Counterexample analysis

- an **abstract path** is a finite or infinite path in an abstract model
- an abstract path $a_1 a_2 \dots$ is **real** if there exists a path $s_1 s_2 \dots$ in the original system M of the same length such that s_1 is initial and $s_i \in h^{-1}(a_i)$ for all i
- an abstract path that is not real is called **spurious**

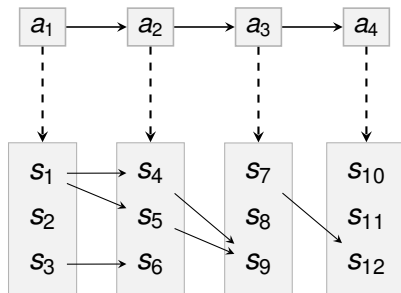


Analysis of finite counterexamples (e.g. for reachability)

input : a nonempty abstract path $a_1 \dots a_n$,
an original system $M = (S, \rightarrow, S_0, L)$, an abstraction function h

output: “real” if the path is real; j, R' otherwise, where j is the length of the maximal real prefix of the path and R' is the set of the last states of the paths in M corresponding to the prefix

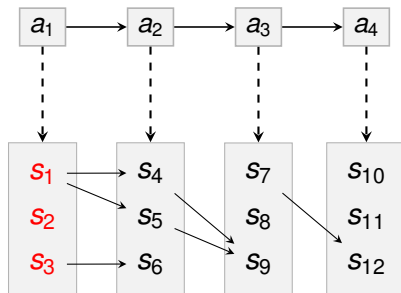
```
 $R \leftarrow h^{-1}(a_1) \cap S_0$   
if  $R = \emptyset$  then return  $0, \emptyset$  // spurious  
 $j \leftarrow 1$   
while  $R \neq \emptyset \wedge j < n$  do  
   $j \leftarrow j + 1$   
   $R' \leftarrow R$   
   $R \leftarrow \{s \mid \exists s' \in R. s' \rightarrow s\} \cap h^{-1}(a_j)$   
if  $R \neq \emptyset$  then return real  
return  $j - 1, R'$  // spurious
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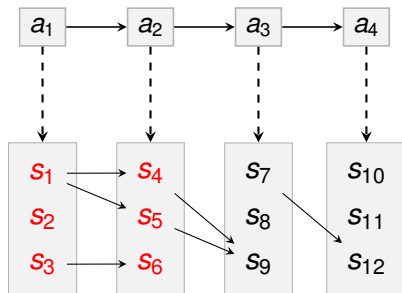
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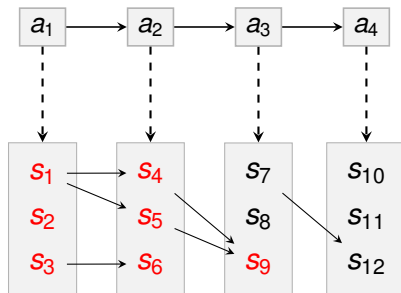
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Analysis of finite counterexamples (e.g. for reachability)

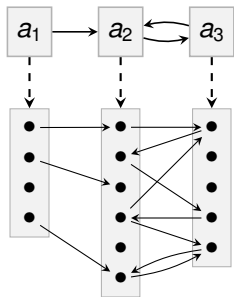
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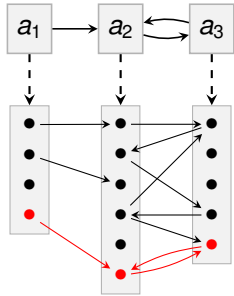


produced output: 3, $\{s_9\}$

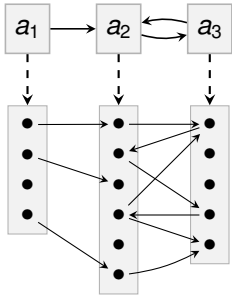
Analysis of lasso-shaped counterexamples



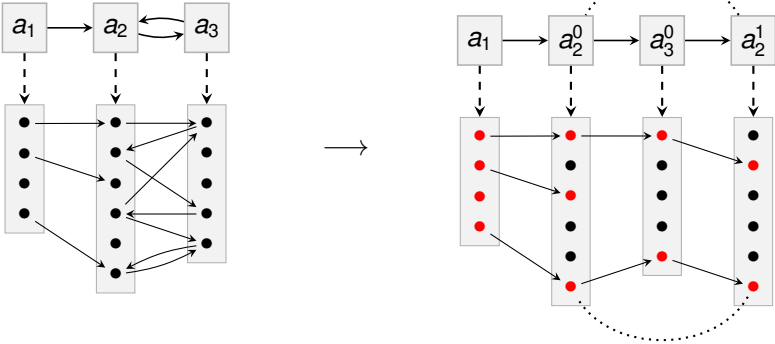
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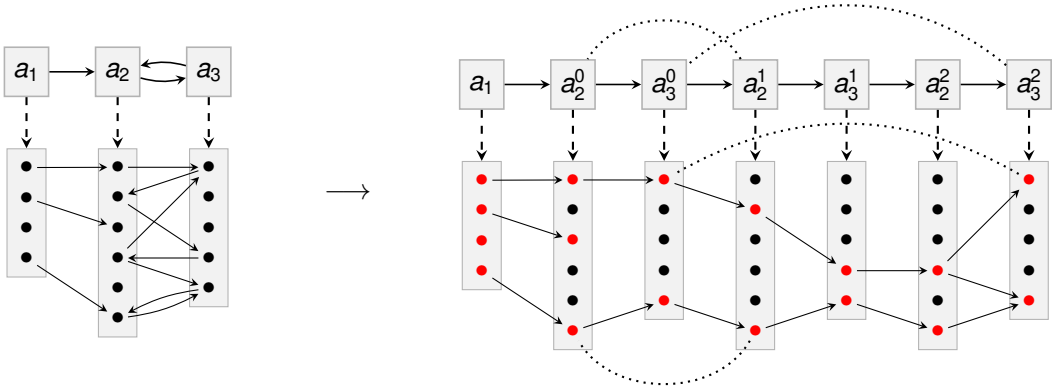
Analysis of lasso-shaped counterexamples



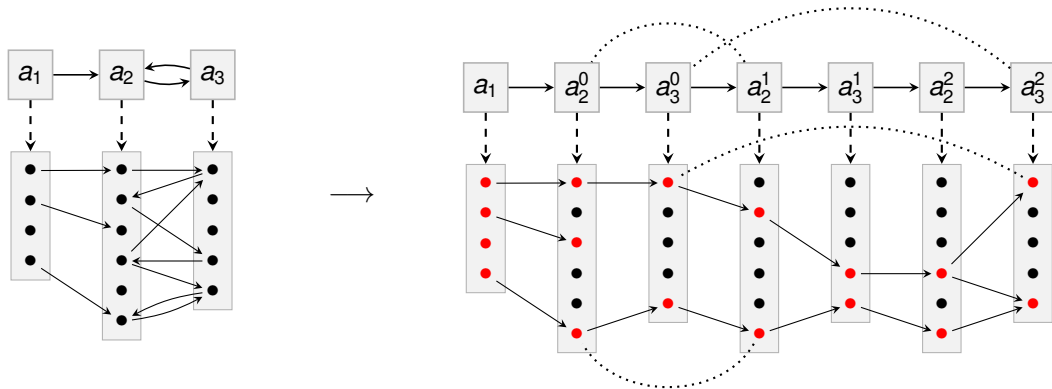
Analysis of lasso-shaped counterexamples



Analysis of lasso-shaped counterexamples



Analysis of lasso-shaped counterexamples



- an abstract loop may correspond to loops of different size and starting at different stages of the unwinding
- the unwinding eventually becomes periodic, the size of the period is the least common multiple of the size of individual loops

Analysis of lasso-shaped counterexamples

Analysis of a lasso-shaped counterexample can be reduced to analysis of a finite path counterexample.

Theorem

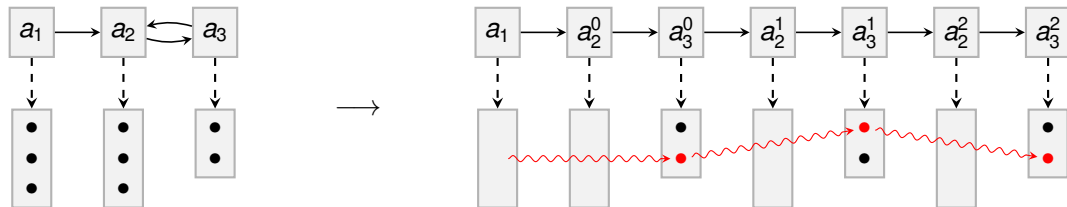
An abstract lasso-shaped path $a_1 \dots a_i (a_{i+1} \dots a_n)^\omega$ is real iff the abstract path $a_1 \dots a_i (a_{i+1} \dots a_n)^{m+1}$ is real, where $m = \min_{i+1 \leq j \leq n} |h^{-1}(a_j)|$.

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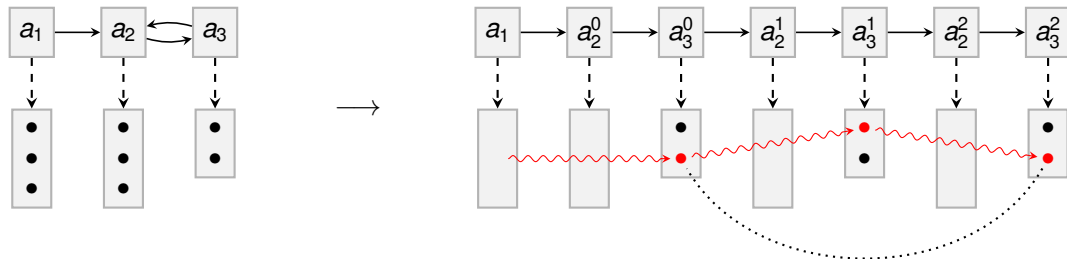


Analysis of lasso-shaped counterexamples

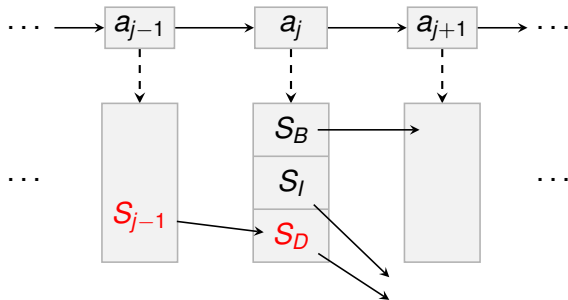
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Abstraction refinement



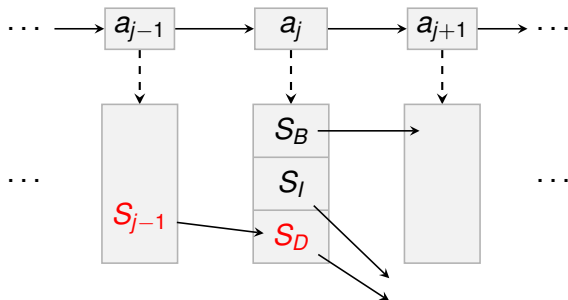
$$S_B = h^{-1}(a_j) \cap \{s \mid \exists s' \in h^{-1}(a_{j+1}) . s \rightarrow s'\}$$

$$S_I = h^{-1}(a_j) \setminus (S_B \cup S_D)$$

$$S_D = S_j$$

bad states
irrelevant states
dead-end states

Abstraction refinement



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$$S_I = h^{-1}(a_j) \setminus (S_B \cup S_D)$$

$$S_D = S_j$$

bad states
irrelevant states
dead-end states

- to eliminate the spurious counterexample, we need to refine the abstraction such that no abstract state contains states from both S_B and S_D
- typically, we add an abstraction predicate that is an **interpolant** of S_B and S_D

Abstraction refinement

Consider abstract state $(3 \leq x \leq 5) \wedge (7 \leq y \leq 9)$ and S_B, S_I, S_D :

	3	4	5
7	B	I	I
8	D	I	B
9	I	D	D

Abstraction refinement

Consider abstract state $(3 \leq x \leq 5) \wedge (7 \leq y \leq 9)$ and S_B, S_I, S_D :

	3	4	5
7	B	I	I
8	D	I	B
9	I	D	D

→

	3	4	5
7	B + I	I	
8	D + I	B	
9	D + I	D	

or

	3	4	5
7	B + I	D + I	
9	D	B + I	

?

- there could be more possible abstraction refinements
- we want the coarsest refinement (i.e., with the least number of abstract states)

Abstraction refinement

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7	B + I	I	
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or

	3	4	5
7	B + I	D + I	?
9	B + I	D + I	?
8	D	B + I	?

- there could be more possible abstraction refinements
- we want the coarsest refinement (i.e., with the least number of abstract states)

Theorem

The problem of finding the coarsest refinement is NP-hard.

- there are heuristics that select suitable refinements