#### Chapter 4: SQL

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#### **Basic Structure**

- SQL is based on set and relational operations with certain modifications and enhancements
- A typical SQL query has the form:

```
select A_1, A_2, ..., A_n
from r_1, r_2, ..., r_m
where P
```

- $A_i$ s represent attributes
- r<sub>i</sub>s represent relations
- *P* is a predicate.
- This query is equivalent to the relational algebra expression:

```
\Pi_{A_1, A_2, \dots, A_n}(\sigma_P(r_1 \times r_2 \times \dots \times r_m))
```

• The result of an SQL query is a relation.

#### The select Clause

- The **select** clause corresponds to the projection operation of the relational algebra. It is used to list the attributes desired in the result of a query.
- Find the names of all branches in the *loan* relation select branch-name from *loan*

In the "pure" relational algebra syntax, this query would be:

 $\Pi_{branch-name}$  (loan)

• An asterisk in the select clause denotes "all attributes"

select \* from loan

# The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword **distinct** after **select**.

Find the names of all branches in the *loan* relation, and remove duplicates

# select distinct branch-name from loan

• The keyword all specifies that duplicates not be removed.

select all branch-name from loan

# The select Clause (Cont.)

- The select clause can contain arithmetic expressions involving the operators, +, -, \*, and /, and operating on constants or attributes of tuples.
- The query:

**select** *branch-name*, *loan-number*, *amount* \* 100 **from** *loan* 

would return a relation which is the same as the *loan* relation, except that the attribute *amount* is multiplied by 100

#### The where Clause

- The **where** clause corresponds to the selection predicate of the relational algebra. It consists of a predicate involving attributes of the relations that appear in the **from** clause.
- Find all loan numbers for loans made at the Perryridge branch with loan amounts greater than \$1200.

```
select loan-number
from loan
where branch-name = "Perryridge" and amount > 1200
```

• SQL uses the logical connectives **and**, **or**, and **not**. It allows the use of arithmetic expressions as operands to the comparison operators.

# The where Clause (Cont.)

- SQL includes a between comparison operator in order to simplify where clauses that specify that a value be less than or equal to some value and greater than or equal to some other value.
- Find the loan number of those loans with loan amounts between \$90,000 and \$100,000 (that is,  $\geq$  \$90,000 and  $\leq$  \$100,000)

select *loan-number* from *loan* where *amount* between 90000 and 100000

#### The from Clause

- The **from** clause corresponds to the Cartesian product operation of the relational algebra. It lists the relations to be scanned in the evaluation of the expression.
- Find the Cartesian product  $\textit{borrower} \times \textit{loan}$

select \*
from borrower, loan

• Find the name and loan number of all customers having a loan at the Perryridge branch.

#### The Rename Operation

• The SQL mechanism for renaming relations and attributes is accomplished through the **as** clause:

old-name as new-name

• Find the name and loan number of all customers having a loan at the Perryridge branch; replace the column name *loan-number* with the name *loan-id*.

select distinct customer-name, borrower.loan-number as loan-id
from borrower, loan
where borrower.loan-number = loan.loan-number and
branch-name = "Perryridge"

#### **Tuple Variables**

- Tuple variables are defined in the **from** clause via the use of the **as** clause.
- Find the customer names and their loan numbers for all customers having a loan at some branch.

**select distinct** *customer-name, T.loan-number* **from** *borrower* **as** *T*, *loan* **as** *S* **where** *T.loan-number* = *S.loan-number* 

• Find the names of all branches that have greater assets than some branch located in Brooklyn.

select distinct T.branch-name
from branch as T, branch as S
where T.assets > S.assets and S.branch-city = "Brooklyn"

#### String Operations

- SQL includes a string-matching operator for comparisons on character strings. Patterns are described using two special characters:
  - percent (%). The % character matches any substring.
  - underscore (\_). The \_ character matches any character.
- Find the names of all customers whose street includes the substring 'Main'.

select customer-name
from customer
where customer-street like "%Main%"

• Match the name "Main%"

like "Main\%" escape "\"

# **Ordering the Display of Tuples**

• List in alphabetic order the names of all customers having a loan at Perryridge branch

select distinct customer-name
from borrower, loan
where borrower.loan-number = loan.loan-number and
 branch-name = "Perryridge"
order by customer-name

- We may specify **desc** for descending order or **asc** for ascending order, for each attribute; ascending order is the default.
- SQL must perform a sort to fulfill an **order by** request. Since sorting a large number of tuples may be costly, it is desirable to sort only when necessary.

#### Duplicates

- In relations with duplicates, SQL can define how many copies of tuples appear in the result.
- *Multiset* versions of some of the relational algebra operators given multiset relations  $r_1$  and  $r_2$ :
  - 1. If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$ , and  $t_1$  satisfies selection  $\sigma_{\theta}$ , then there are  $c_1$  copies of  $t_1$  in  $\sigma_{\theta}(r_1)$ .
  - 2. For each copy of tuple  $t_1$  in  $r_1$ , there is a copy of tuple  $\Pi_A(t_1)$  in  $\Pi_A(r_1)$ , where  $\Pi_A(t_1)$  denotes the projection of the single tuple  $t_1$ .
  - 3. If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$  and  $c_2$  copies of tuple  $t_2$  in  $r_2$ , there are  $c_1 \times c_2$  copies of the tuple  $t_1.t_2$  in  $r_1 \times r_2$ .

#### **Duplicates (Cont.)**

Suppose relations r<sub>1</sub> with schema (A, B) and r<sub>2</sub> with schema (C) are the following multisets:

$$r_1 = \{(1, a), (2, a)\} \qquad r_2 = \{(2), (3), (3)\}$$

• Then  $\Pi_B(r_1)$  would be  $\{(a), (a)\}$ , while  $\Pi_B(r_1) \times r_2$  would be

 $\{(a, 2), (a, 2), (a, 3), (a, 3), (a, 3), (a, 3)\}$ 

• SQL duplicate semantics:

select  $A_1$ ,  $A_2$ , ...,  $A_n$ from  $r_1$ ,  $r_2$ , ...,  $r_m$ where Pis equivalent to the *multiset* version of the expression:

$$\Pi_{A_1, A_2, \dots, A_n}(\sigma_P(r_1 \times r_2 \times \dots \times r_m))$$

#### Set Operations

- The set operations union, intersect, and except operate on relations and correspond to the relational algebra operations ∪, ∩, and −.
- Each of the above operations automatically eliminates duplicates; to retain all duplicates use the corresponding multiset versions union all, intersect all and except all.
   Suppose a tuple occurs *m* times in *r* and *n* times in *s*, then, it occurs:
  - m + n times in r union all s
  - min(m, n) times in r intersect all s
  - max(0, m n) times in r except all s

#### Set Operations

- Find all customers who have a loan, an account, or both: (select customer-name from depositor) union (select customer-name from borrower)
- Find all customers who have both a loan and an account. (select customer-name from depositor) intersect (select customer-name from borrower)
- Find all customers who have an account but no loan.
   (select customer-name from depositor) except (select customer-name from borrower)

#### Aggregate Functions

These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value
min: minimum value
max:maximum value
sum: sum of values
count: number of values

# Aggregate Functions (Cont.)

• Find the average account balance at the Perryridge branch.

select avg (balance)
from account
where branch-name = "Perryridge"

• Find the number of tuples in the *customer* relation.

select count (\*)
from customer

• Find the number of depositors in the bank

**select count** (**distinct** *customer-name*) **from** *depositor* 

## Aggregate Functions – Group By

• Find the number of depositors for each branch.

select branch-name, count (distinct customer-name)
from depositor, account
where depositor.account-number = account.account-number
group by branch-name

Note: Attributes in **select** clause outside of aggregate functions must appear in **group by** list.



# Null Values

- It is possible for tuples to have a null value, denoted by *null*, for some of their attributes; *null* signifies an unknown value or that a value does not exist.
- The result of any arithmetic expression involving *null* is *null*.
- Roughly speaking, all comparisons involving *null* return *false*. More precisely,
  - Any comparison with null returns unknown
  - (true or unknown) = true, (false or unknown) = unknown (unknown or unknown) = unknown,
    (true and unknown) = unknown, (false and unknown) = false, (unknown and unknown) = unknown
  - Result of where clause predicate is treated as *false* if it evaluates to *unknown*
  - "P is unknown" evaluates to true if predicate P evaluates to unknown

## Null Values (Cont.)

• Find all loan numbers which appear in the *loan* relation with null values for *amount*.

select loan-number from loan where amount is null

• Total all loan amounts

select sum (amount) from loan

Above statement ignores null amounts; result is null if there is no non-null amount.

• All aggregate operations except **count(\*)** ignore tuples with null values on the aggregated attributes.

#### **Nested Subqueries**

- SQL provides a mechanism for the nesting of subqueries.
- A subquery is a **select-from-where** expression that is nested within another query.
- A common use of subqueries is to perform tests for set membership, set comparisons, and set cardinality.



#### **Example Query**

• Find all customers who have both an account and a loan at bank.

select distinct *customer-name* from *borrower* where *customer-name* in (select *customer-name* from *depositor*)

• Find all customers who have a loan at the bank but do not have an account at the bank.

select distinct *customer-name* from *borrower* where *customer-name* not in (select *customer-name* from *depositor*)

#### **Example Query**

• Find all customers who have both an account and a loan at the Perryridge branch.

select distinct customer-name
from borrower, loan
where borrower.loan-number = loan.loan-number and
 branch-name = "Perryridge" and
 (branch-name, customer-name) in
 (select branch-name, customer-name
 from depositor, account
 where depositor.account-number =
 account.account-number)

#### Set Comparison

• Find all branches that have greater assets than some branch located in Brooklyn.



#### Example Query

• Find all branches that have greater assets than some branch located in Brooklyn.

select branch-name
from branch
where assets > some
 (select assets
 from branch
 where branch-city = "Brooklyn")



#### Example Query

• Find the names of all branches that have greater assets than all branches located in Brooklyn.

select branch-name
from branch
where assets > all
 (select assets
 from branch
 where branch-city = "Brooklyn")

# **Test for Empty Relations**

- The **exists** construct returns the value **true** if the argument subquery is nonempty.
- exists  $r \Leftrightarrow r \neq \emptyset$
- not exists  $r \Leftrightarrow r = \emptyset$

# **Example Query** Find all customers who have an account at all branches located in Brooklyn. select distinct S.customer-name from depositor as S where not exists ( (select branch-name from branch **where** *branch-city* = "Brooklyn") except (select R.branch-name from depositor as T, account as R where T.account-number = R.account-number and S.customer-name = T.customer-name))

• Note that 
$$X - Y = \emptyset \Leftrightarrow X \subseteq Y$$



#### **Example Query**

• Find all customers who have at least two accounts at the Perryridge branch.

select distinct T.customer-name
from depositor T
where not unique (
 select R.customer-name
 from account, depositor as R
 where T.customer-name = R.customer-name and
 R.account-number = account.account-number and
 account.branch-name = "Perryridge")

#### **Derived Relations**

• Find the average account balance of those branches where the average account balance is greater than \$1200.

select branch-name, avg-balance
from (select branch-name, avg (balance)
 from account
 group by branch-name)
 as result (branch-name, avg-balance)
where avg-balance > 1200

Note that we do not need to use the **having** clause, since we compute in the **from** clause the temporary relation *result*, and the attributes of *result* can be used directly in the **where** clause.
# Views

• Provide a mechanism to hide certain data from the view of certain users. To create a view we use the command:

create view v as <query expression>

where:

- <query expression> is any legal expression
- the view name is represented by v





### **Example Query**

 Delete the records of all accounts with balances below the average at the bank

> delete from account where balance < (select avg (balance) from account)

- Problem: as we delete tuples from *deposit*, the average balance changes
- Solution used in SQL:
  - 1. First, compute **avg** balance and find all tuples to delete
  - Next, delete all tuples found above (without recomputing avg or retesting the tuples)



### Modification of the Database – Insertion

 Provide as a gift for all loan customers of the Perryridge branch, a \$200 savings account. Let the loan number serve as the account number for the new savings account

insert into account

select branch-name, loan-number, 200 from loan where branch-name = "Perryridge" insert into depositor select customer-name, loan-number from loan, borrower where branch-name = "Perryridge" and loan.account-number = borrower.account-number



### Update of a View

• Create a view of all loan data in the *loan* relation, hiding the *amount* attribute

create view branch-loan as select branch-name, loan-number from loan

• Add a new tuple to *branch-loan* 

insert into branch-loan values ("Perryridge", "L-307")

This insertion must be represented by the insertion of the tuple

("Perryridge", "L-307", null)

into the *loan* relation.

• Updates on more complex views are difficult or impossible to translate, and hence are disallowed.

### **Joined Relations**

- Join operations take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause.
- Join condition defines which tuples in the two relations match, and what attributes are present in the result of the join.
- Join type defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

Join Types inner join left outer join right outer join full outer join

Join Conditions

natural

```
on <predicate>
using (A_1, A_2, \ldots, A_n)
```



### Joined Relations – Examples

loan inner join borrower on
 loan.loan-number = borrower.loan-number

branch-name	loan-number	amount	customer-name	loan-number
Downtown	L-170	3000	Jones	L-170
Redwood	L-230	4000	Smith	L-230

• loan left outer join borrower on loan.loan-number=borrower.loan-number

branch-name	loan-number	amount	customer-name	loan-number
Downtown	L-170	3000	Jones	L-170
Redwood	L-230	4000	Smith	L-230
Perryridge	L-260	1700	null	null

### Joined Relations – Examples

• loan natural inner join borrower

branch-name	loan-number	amount	customer-name
Downtown	L-170	3000	Jones
Redwood	L-230	4000	Smith

• loan natural right outer join borrower

branch-name	loan-number	amount	customer-name
Downtown	L-170	3000	Jones
Redwood	L-230	4000	Smith
null	L-155	null	Hayes

### **Joined Relations – Examples**

• *loan* full outer join *borrower* using (*loan-number*)

branch-name	loan-number	amount	customer-name
Downtown	L-170	3000	Jones
Redwood	L-230	4000	Smith
Perryridge	L-260	1700	null
null	L-155	null	Hayes

• Find all customers who have either an account or a loan (but not both) at the bank.

select customer-name

from (*depositor* natural full outer join *borrower*) where *account-number* is *null* or *loan-number* is *null* 

### Data Definition Language (DDL)

Allows the specification of not only a set of relations but also information about each relation, including:

- The schema for each relation.
- The domain of values associated with each attribute.
- Integrity constraints.
- The set of indices to be maintained for each relation.
- Security and authorization information for each relation.
- The physical storage structure of each relation on disk.

# Domain Types in SQL

- char(n). Fixed length character string, with user-specified length n.
- varchar(n). Variable length character strings, with user-specified maximum length *n*.
- int. Integer (a finite subset of the integers that is machine-dependent).
- **smallint**. Small integer (a machine-dependent subset of the integer domain type).
- **numeric(p,d)**. Fixed point number, with user-specified precision of *p* digits, with *n* digits to the right of decimal point.

# Domain Types in SQL (Cont.)

- **real, double precision**. Floating point and double-precision floating point numbers, with machine-dependent precision.
- float(n). Floating point number, with user-specified precision of at least *n* digits.
- date. Dates, containing a (4 digit) year, month and date.
- time. Time of day, in hours, minutes and seconds.
- Null values are allowed in all the domain types. Declaring an attribute to be **not null** prohibits null values for that attribute.
- create domain construct in SQL-92 creates user-defined domain types

create domain person-name char(20) not null

### **Create Table Construct**

• An SQL relation is defined using the **create table** command:

```
create table r (A_1 \ D_1, \ A_2 \ D_2, \ \dots, \ A_n \ D_n,
(integrity-constraint<sub>1</sub>),
```

```
\langle integrity-constraint_k \rangle)
```

- r is the name of the relation
- each  $A_i$  is an attribute name in the schema of relation r
- $D_i$  is the data type of values in the domain of attribute  $A_i$
- Example:

#### create table branch

```
(branch-name char(15) not null,branch-city char(30),assets integer)
```

### Integrity Constraints In Create Table

- not null
- primary key  $(A_1, \ldots, A_n)$
- **check** (*P*), where *P* is a predicate

Example: Declare *branch-name* as the primary key for *branch* and ensure that the values of *assets* are non-negative.

#### create table branch

(branch-namechar(15)not null,branch-citychar(30),assetsinteger,primary key (branch-name),check (assets >= 0))

 primary key declaration on an attribute automatically ensures not null in SQL-92

### Drop and Alter Table Constructs

- The **drop table** command deletes all information about the dropped relation from the database.
- The **alter table** command is used to add attributes to an existing relation. All tuples in the relation are assigned *null* as the value for the new attribute. The form of the **alter table** command is

#### alter table *r* add *A D*

where A is the name of the attribute be added to relation r and and D is the domain of A.

• The **alter table** command can also be used to drop attributes of a relation

#### alter table *r* drop *A*

where A is the name of an attribute of relation r.

### Embedded SQL

- The SQL standard defines embeddings of SQL in a variety of programming languages such as such as Pascal, PL/I, Fortran, C, and Cobol.
- A language in which SQL queries are embedded is referred to as a *host* language, and the SQL structures permitted in the host language comprise *embedded* SQL.
- The basic form of these languages follows that of the System R embedding of SQL into PL/I.
- EXEC SQL statement is used to identify embedded SQL requests to the preprocessor

EXEC SQL <embedded SQL statement > END EXEC

### **Example Query**

From within a host language, find the names and account numbers of customers with more than the variable *amount* dollars in some account.

• Specify the query in SQL and declare a *cursor* for it

```
EXEC SQL

declare c cursor for

select customer-name, account-number

from depositor, account

where depositor.account-number = account.account-number

and account.balance > :amount

END-EXEC
```

# Embedded SQL (Cont.)

- The **open** statement causes the query to be evaluated EXEC SQL **open** *c* END-EXEC
- The **fetch** statement causes the values of one tuple in the query result to be placed in host language variables.

EXEC SQL **fetch** *c* **into** :*cn* :*an* END-EXEC

Repeated calls to **fetch** get successive tuples in the query result; a variable in the SQL communication area indicates when end-of-file is reached.

• The **close** statement causes the database system to delete the temporary relation that holds the result of the query.

EXEC SQL **close** *c* END-EXEC

# Dynamic SQL

- Allows programs to construct and submit SQL queries at run time.
- Example of the use of dynamic SQL from within a C program.

```
char * sqlprog = "update account set balance = balance *1.05
    where account-number = ?"
EXEC SQL prepare dynprog from :sqlprog;
char account[10] = "A-101";
EXEC SQL execute dynprog using :account;
```

• The dynamic SQL program contains a ?, which is a place holder for a value that is provided when the SQL program is executed.

### Other SQL Features

- Fourth-generation languages special language to assist application programmers in creating templates on the screen for a user interface, and in formatting data for report generation; available in most commercial database products
- SQL sessions provide the abstraction of a client and a server (possibly remote)
  - client *connects* to an SQL server, establishing a session
  - executes a series of statements
  - disconnects the session
  - can *commit* or *rollback* the work carried out in the session
- An SQL environment contains several components, including a user identifier, and a *schema*, which identifies which of several schemas a session is using.