

## ABSTRACT

Most of the important codes are special types of so-called **linear codes**.

Linear codes are of very large importance because they have  
very concise description,  
very nice properties,  
very easy encoding

And,

in principle, easy to describe decoding.

## IV054 Linear codes

**Linear codes** are special sets of words of the length  $n$  over an alphabet  $\{0, \dots, q-1\}$ , where  $q$  is a power of prime.

Since now on sets of words  $F_q^n$  will be considered as vector spaces  $V(n, q)$  of vectors of length  $n$  with elements from the set  $\{0, \dots, q-1\}$  and arithmetical operations will be taken modulo  $q$ .

The set  $\{0, \dots, q-1\}$  with operations  $+$  and  $\bullet$  modulo  $q$  is called also the Galois field  $GF(q)$ .

**Definition** A subset  $C \subseteq V(n, q)$  is a linear code if

- (1)  $u + v \in C$  for all  $u, v \in C$
- (2)  $au \in C$  for all  $u \in C, a \in GF(q)$

**Example** Codes  $C_1, C_2, C_3$  introduced in Lecture 1 are linear codes.

**Lemma** A subset  $C \subseteq V(n, q)$  is a linear code if one of the following conditions is satisfied

- (1)  $C$  is a subspace of  $V(n, q)$
- (2) sum of any two codewords from  $C$  is in  $C$  (for the case  $q = 2$ )

If  $C$  is a  $k$ -dimensional subspace of  $V(n, q)$ , then  $C$  is called  $[n, k]$ -code. It has  $q^k$  codewords. If minimal distance of  $C$  is  $d$ , then it is called  $[n, k, d]$  code.

Linear codes are also called “group codes”.

## IV054 Exercise

Which of the following binary codes are linear?

$$C_1 = \{00, 01, 10, 11\}$$

$$C_2 = \{000, 011, 101, 110\}$$

$$C_3 = \{00000, 01101, 10110, 11011\}$$

$$C_5 = \{101, 111, 011\}$$

$$C_6 = \{000, 001, 010, 011\}$$

$$C_7 = \{0000, 1001, 0110, 1110\}$$

### How to create a linear code

**Notation** If  $S$  is a set of vectors of a vector space, then let  $\langle S \rangle$  be the set of all linear combinations of vectors from  $S$ .

**Theorem** For any subset  $S$  of a linear space,  $\langle S \rangle$  is a linear space that consists of the following words:

- the zero word,
- all words in  $S$ ,
- all sums of two or more words in  $S$ .

**Example**

$$S = \{0100, 0011, 1100\}$$

$$\langle S \rangle = \{0000, 0100, 0011, 1100, 0111, 1011, 1000, 1111\}.$$

## IV054 Basic properties of linear codes

**Notation:**  $w(x)$  (weight of  $x$ ) denotes the number of non-zero entries of  $x$ .

**Lemma** If  $x, y \in V(n, q)$ , then  $h(x, y) = w(x - y)$ .

**Proof**  $x - y$  has non-zero entries in exactly those positions where  $x$  and  $y$  differ.

**Theorem** Let  $C$  be a linear code and let **weight of  $C$** , notation  $w(C)$ , be the smallest of the weights of non-zero codewords of  $C$ . Then  $h(C) = w(C)$ .

**Proof** There are  $x, y \in C$  such that  $h(C) = h(x, y)$ . Hence  $h(C) = w(x - y) \geq w(C)$ .

On the other hand for some  $x \in C$

$$w(C) = w(x) = h(x, 0) \geq h(C).$$

### Consequence

- If  $C$  is a code with  $m$  codewords, then in order to determine  $h(C)$  one has to make  $\binom{m}{2} = \frac{m(m-1)}{2}$  comparisons in the worst case.
- If  $C$  is a linear code, then in order to compute  $h(C)$ ,  $m - 1$  comparisons are enough.

# IV054 Basic properties of linear codes

If  $C$  is a linear  $[n, k]$ -code, then it has a basis consisting of  $k$  codewords.

## Example

Code

$$C_4 = \{0000000, 1111111, 1000101, 1100010, \\ 0110001, 1011000, 0101100, 0010110, \\ 0001011, 0111010, 0011101, 1001110, \\ 0100111, 1010011, 1101001, 1110100\}$$

has the basis

$$\{1111111, 1000101, 1100010, 0110001\}.$$

How many different bases has a linear code?

**Theorem** A binary linear code of dimension  $k$  has

$$\frac{1}{k!} \binom{2^k - 2}{k-1}$$

bases.

# IV054 Advantages and disadvantages of linear codes I.

**Advantages** - big.

1. Minimal distance  $h(C)$  is easy to compute if  $C$  is a linear code.
2. Linear codes have simple specifications.
  - To specify a non-linear code usually all codewords have to be listed.
  - To specify a linear  $[n,k]$  -code it is enough to list  $k$  codewords.

**Definition** A  $k \times n$  matrix whose rows form a basis of a linear  $[n,k]$  -code (subspace)  $C$  is said to be the **generator matrix** of  $C$ .

**Example** The generator matrix of the code

$$C_2 = \begin{Bmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{Bmatrix} \text{ is } \begin{Bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \end{Bmatrix}$$

and of the code

$$C_4 \text{ is } \begin{Bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 1 \end{Bmatrix}$$

3. There are simple encoding/decoding procedures for linear codes.

## IV054 Advantages and disadvantages of linear codes II.

**Disadvantages** of linear codes are small:

1. Linear  $q$  -codes are not defined unless  $q$  is a prime power.
2. The restriction to linear codes might be a restriction to weaker codes than sometimes desired.

## IV054 Equivalence of linear codes

**Definition** Two linear codes  $GF(q)$  are called equivalent if one can be obtained from another by the following operations:

- (a) permutation of the positions of the code;
- (b) multiplication of symbols appearing in a fixed position by a non-zero scalar.

**Theorem** Two  $k \times n$  matrices generate equivalent linear  $[n,k]$  -codes over  $GF(q)$  if one matrix can be obtained from the other by a sequence of the following operations:

- (a) permutation of the rows
- (b) multiplication of a row by a non-zero scalar
- (c) addition of one row to another
- (d) permutation of columns
- (e) multiplication of a column by a non-zero scalar

**Proof** Operations (a) - (c) just replace one basis by another. Last two operations convert a generator matrix to one of an equivalent code.



# IV054 Equivalence of linear codes

Theorem Let  $G$  be a generator matrix of an  $[n,k]$  -code. Rows of  $G$  are then linearly independent. By operations (a) - (e) the matrix  $G$  can be transformed into the form:  $[I_k | A]$  where  $I_k$  is the  $k \times k$  identity matrix, and  $A$  is a  $k \times (n - k)$  matrix.

## Example

$$\begin{array}{cccccccc|cccc}
 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\
 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 0 \\
 1 & 1 & 0 & 0 & 0 & 1 & 0 & \rightarrow & ) & 1 & 1 & 1 & 0 & 1 & \rightarrow \\
 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0
 \end{array}$$

$$\begin{array}{cccccccc|cccc}
 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\
 0 & 1 & 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 \\
 0 & 0 & 1 & 1 & 1 & 0 & 1 & \rightarrow & ) & 1 & 1 & 1 & 0 & 1 & \rightarrow \\
 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0
 \end{array}$$

# IV054 Encoding with a linear code

is a vector  $\times$  matrix multiplication

Let  $C$  be a linear  $[n,k]$  -code over  $GF(q)$  with a generator matrix  $G$ .

**Theorem**  $C$  has  $q^k$  codewords.

**Proof** Theorem follows from the fact that each codeword of  $C$  can be expressed uniquely as a linear combination of the basis vectors.

**Corollary** The code  $C$  can be used to encode uniquely  $q^k$  messages. Let us identify messages with elements  $V(k,q)$ .

**Encoding** of a message  $u = (u_1, \dots, u_k)$  with the code  $C$ :

$$u \cdot G = \begin{bmatrix} u_1 & u_2 & \dots & u_k \end{bmatrix} \cdot \begin{bmatrix} \text{rows of } G \end{bmatrix} \quad \text{where } u_k \text{ are rows of } G$$

**Example** Let  $C$  be a  $[7,4]$  -code with the generator matrix

$$G = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix}$$

A message  $(u_1, u_2, u_3, u_4)$  is encoded as:???

For example:

- 0 0 0 0 is encoded as .....
- 1 0 0 0 is encoded as .....
- 1 1 1 0 is encoded as .....

# IV054 Uniqueness of encodings

with linear codes

**Theorem** If  $G = \{w_i\}_{i=1}^k$  is a generator matrix of a binary linear code  $C$  of length  $n$  and dimension  $k$ , then

$$v = uG$$

ranges over all  $2^k$  codewords of  $C$  as  $u$  ranges over all  $2^k$  words of length  $k$ .

Therefore

$$C = \{ uG \mid u \in \{0,1\}^k \}$$

Moreover

$$u_1G = u_2G$$

if and only if

$$u_1 = u_2.$$

**Proof** If  $u_1G - u_2G = 0$ , then

$$0 = \sum_{i=1}^k u_{1i} w_i - \sum_{i=1}^k u_{2i} w_i = \sum_{i=1}^k (u_{1i} - u_{2i}) w_i$$

And, therefore, since  $w_i$  are linearly independent,  $u_1 = u_2$ .

## IV054 Decoding of linear codes

**Decoding problem:** If a codeword:  $x = x_1 \dots x_n$  is sent and the word  $y = y_1 \dots y_n$  is received, then  $e = y - x = e_1 \dots e_n$  is said to be the error vector. The decoder must decide, from  $y$ , which  $x$  was sent, or, equivalently, which error  $e$  occurred.

To describe main **Decoding method** some technicalities have to be introduced

**Definition** Suppose  $C$  is an  $[n,k]$  -code over  $GF(q)$  and  $u \in V(n,q)$ . Then the set

$$u + C = \{ u + x \mid x \in C \}$$

is called a **coset** ( $u$ -coset) of  $C$  in  $V(n,q)$ .

**Example** Let  $C = \{0000, 1011, 0101, 1110\}$

Cosets:

$$0000 + C = C,$$

$$1000 + C = \{1000, 0011, 1101, 0110\},$$

$$0100 + C = \{0100, 1111, 0001, 1010\} = 0001 + C,$$

$$0010 + C = \{0010, 1001, 0111, 1100\}.$$

Are there some other cosets in this case?

**Theorem** Suppose  $C$  is a linear  $[n,k]$  -code over  $GF(q)$ . Then

- every vector of  $V(n,k)$  is in some coset of  $C$ ,
- every coset contains exactly  $q^k$  elements,
- two cosets are either disjoint or identical.

# IV054 Nearest neighbour decoding scheme:

Each vector having minimum weight in a coset is called a coset leader.

1. Design a (Slepian) standard array for an  $[n,k]$  -code  $C$  - that is a  $q^{n-k} \times q^k$  array of the form:

codewords	coset leader	codeword 2	...	codeword $2^k$
	coset leader	+	...	+
	..	+	+	+
	coset leader	+	...	+
	coset leader			

## Example

0000	1011	0101	1110
1000	0011	1101	0110
0100	1111	0001	1010
0010	1001	0111	1100

A word  $y$  is decoded as codeword of the first row of the column in which  $y$  occurs.

Error vectors which will be corrected are precisely coset leaders!

In practice, this decoding method is too slow and requires too much memory.

## IV054 Probability of good error correction

What is the probability that a received word will be decoded as the codeword sent (for binary linear codes and binary symmetric channel)?

Probability of an error in the case of a given error vector of weight  $i$  is

$$p^i (1 - p)^{n-i}.$$

Therefore, it holds.

**Theorem** Let  $C$  be a binary  $[n, k]$  -code, and for  $i = 0, 1, \dots, n$  let  $\alpha_i$  be the number of coset leaders of weight  $i$ . The probability  $P_{\text{corr}}(C)$  that a received vector when decoded by means of a standard array is the codeword which was sent is given by

$$P_{\text{corr}}(C) = \sum_{i=0}^n \alpha_i p^i (1-p)^{n-i}$$

**Example** For the  $[4, 2]$  -code of the last example

$$\alpha_0 = 1, \alpha_1 = 3, \alpha_2 = \alpha_3 = \alpha_4 = 0.$$

Hence

$$P_{\text{corr}}(C) = (1 - p)^4 + 3p(1 - p)^3 = (1 - p)^3(1 + 2p).$$

If  $p = 0.01$ , then  $P_{\text{corr}} = 0.9897$

## IV054 Probability of good error detection

Suppose a binary linear code is used only for error detection.

The decoder will fail to detect errors which have occurred if the received word  $y$  is a codeword different from the codeword  $x$  which was sent, i. e. if the error vector  $e = y - x$  is itself a non-zero codeword.

The probability  $P_{\text{undetected}}(C)$  that an incorrect codeword is received is given by the following result.

**Theorem** Let  $C$  be a binary  $[n, k]$ -code and let  $A_i$  denote the number of codewords of  $C$  of weight  $i$ . Then, if  $C$  is used for error detection, the probability of an incorrect message being received is

$$P_{\text{undetected}}(C) = \sum_{i=1}^n A_i p^i (1-p)^{n-i}$$

**Example** In the case of the  $[4, 2]$  code from the last example

$$A_2 = 1 \quad A_3 = 2$$

$$P_{\text{undetected}}(C) = p^2(1-p)^2 + 2p^3(1-p) = p^2 - p^4.$$

For  $p = 0.01$

$$P_{\text{undetected}}(C) = 0.000099.$$

## IV054 Dual codes

**Inner product** of two vectors (words)

$$u = u_1 \dots u_n, \quad v = v_1 \dots v_n$$

in  $V(n,q)$  is an element of  $GF(q)$  defined (using modulo  $q$  operations) by

$$u \cdot v = u_1 v_1 + \dots + u_n v_n.$$

**Example** In  $V(4,2)$ :  $1001 \cdot 1001 = 0$

In  $V(4,3)$ :  $2001 \cdot 1210 = 2$

$1212 \cdot 2121 = 2$

If  $u \cdot v = 0$  then words (vectors)  $u$  and  $v$  are called **orthogonal**.

**Properties**

If  $u, v, w \in V(n,q)$ ,  $\lambda, \mu \in GF(q)$ , then  
 $u \cdot v = v \cdot u$ ,  $(\lambda u + \mu v) \cdot w = \lambda (u \cdot w) + \mu (v \cdot w)$ .

Given a linear  $[n,k]$ -code  $C$ , then **dual code** of  $C$ , denoted by  $C^\perp$ , is defined by

$$C^\perp = \{v \in V(n,q) \mid v \cdot u = 0 \text{ if } u \in C\}.$$

**Lemma** Suppose  $C$  is an  $[n,k]$ -code having a generator matrix  $G$ . Then for  $v \in V(n,q)$

$$v \in C^\perp \iff vG^T = 0,$$

where  $G^T$  denotes the transpose of the matrix  $G$ .

**Proof** Easy.



## IV054 PARITE CHECKS versus ORTHOGONALITY

For understanding of the role the parity checks play for linear codes, it is important to understand relation between orthogonality and special parity checks.

If words  $x$  and  $y$  are orthogonal, then the word  $y$  has even number of ones (1's) in the positions determined by ones (1's) in the word  $x$ .

This implies that if words  $x$  and  $y$  are orthogonal, then  $x$  is a parity check word for  $y$  and  $y$  is a parity check word for  $x$ .

**Exercise:** Let the word

100001

be orthogonal to a set  $S$  of binary words of length 6. What can we say about the words in  $S$ ?

## IV054 EXAMPLE

For the  $[n,1]$  -repetition code  $C$ , with the generator matrix

$$G = (1, 1, \dots, 1)$$

the dual code  $C^\perp$  is  $[n, n-1]$  -code with the generator matrix  $G^\perp$ , described by

$$G^\perp = \begin{pmatrix} 1 & 1 & 0 & 0 & \dots & 0 \\ 1 & 0 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 0 & 0 & 0 & \dots & 1 \end{pmatrix}$$

# IV054 Parity check matrices

Example If

$$C_5 = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \text{ then } C_5^\perp = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \end{pmatrix}.$$

If

$$C_6 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \\ 1 & 1 \\ 0 & 1 \end{pmatrix} \text{ then } C_6^\perp = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}.$$

**Theorem** Suppose  $C$  is a linear  $[n, k]$  -code over  $GF(q)$ , then the dual code  $C^\perp$  is a linear  $[n, n - k]$  -code.

**Definition** A **parity-check matrix**  $H$  for an  $[n, k]$  -code  $C$  is a generator matrix of  $C^\perp$ .

## IV054 Parity check matrices

**Definition** A **parity-check matrix**  $H$  for an  $[n,k]$  -code  $C$  is a generator matrix of  $C^\perp$ .

**Theorem** If  $H$  is parity-check matrix of  $C$ , then

$$C = \{x \in V(n,q) \mid xH^T = 0\},$$

and therefore any linear code is completely specified by a parity-check matrix.

**Example** Parity-check matrix for

$$C_5 \text{ is } \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}$$

and for

$$C_6 \text{ is } \begin{pmatrix} 1 & 1 & 1 \end{pmatrix}.$$

The rows of a parity check matrix are **parity checks** on codewords. They say that certain linear combinations of the coordinates of every codeword are zeros.

# IV054 Syndrome decoding

**Theorem** If  $G = [I_k \mid A]$  is the standard form generator matrix of an  $[n, k]$ -code  $C$ , then a parity check matrix for  $C$  is  $H = [-A^T \mid I_{n-k}]$ .

**Example**

$$\text{Generator matrix } G = \left[ \begin{array}{ccc|ccc} 1 & 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{array} \right] \Rightarrow \text{check matrix } H = \left[ \begin{array}{ccc|ccc} 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{array} \right] I_3$$

**Definition** Suppose  $H$  is a parity-check matrix of an  $[n, k]$ -code  $C$ . Then for any  $y \in V(n, q)$  the following word is called the **syndrome** of  $y$ :

$$S(y) = yH^T.$$

**Lemma** Two words have the same syndrome iff they are in the same coset.

**Syndrom decoding** Assume that a standard array of a code  $C$  is given and, in addition, let in the last two columns the syndrom for each coset be given.

0	0	0	0	1	0	1	1	0	1	0	1	1	1	1	0	0	0
1	0	0	0	0	0	1	1	1	1	0	1	0	1	1	0	1	1
0	1	0	0	1	1	1	1	0	0	0	1	1	0	1	0	0	1
0	0	1	0	1	0	0	1	0	1	1	1	1	1	0	0	1	0

When a word  $y$  is received, compute  $S(y) = yH^T$ , locate  $S(y)$  in the “syndrom column”, and then locate  $y$  in the same row and decode  $y$  as the codeword in the same column and in the first row.

When preparing a "syndrome decoding" it is sufficient to store only two columns: one for **coset leaders** and one for **syndromes**.

### Example

<u>coset leaders</u>	<u>syndromes</u>
$l(z)$	$z$
0000	00
1000	11
0100	01
0010	10

### Decoding procedure

- **Step 1** Given  $y$  compute  $S(y)$ .
- **Step 2** Locate  $z = S(y)$  in the syndrome column.
- **Step 3** Decode  $y$  as  $y - l(z)$ .

Example If  $y = 1111$ , then  $S(y) = 01$  and the above decoding procedure produces

$$1111 - 0100 = 1011.$$

**Syndrom decoding is much faster than searching for a nearest codeword to a received word.** However, for large codes it is still too inefficient to be practical.

In general, the problem of finding the nearest neighbour in a linear code is NP-complete. Fortunately, there are important linear codes with really efficient decoding.

# IV054 Hamming codes

An important family of simple linear codes that are easy to encode and decode, are so-called **Hamming codes**.

**Definition** Let  $r$  be an integer and  $H$  be an  $r \times (2^r - 1)$  matrix columns of which are non-zero distinct words from  $V(r,2)$ . The code having  $H$  as its parity-check matrix is called **binary Hamming code** and denoted by  $Ham(r,2)$ .

**Example**

$$Ham(2) = H = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \end{bmatrix} \Rightarrow G = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \end{bmatrix}$$

$$Ham(3) = H = \begin{bmatrix} 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{bmatrix} \Rightarrow G = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

**Theorem** Hamming code  $Ham(r,2)$

- is  $[2^r - 1, 2^r - 1 - r]$  -code,
- has minimum distance 3,
- is a perfect code.

**Properties of binary Hamming codes** Coset leaders are precisely words of weight  $\leq 1$ . The syndrome of the word  $0 \dots 010 \dots 0$  with 1 in  $j$ -th position and 0 otherwise is the transpose of the  $j$ -th column of  $H$ .

## IV054 Hamming codes - decoding

Decoding algorithm for the case the columns of  $H$  are arranged in the order of increasing binary numbers the columns represent.

- **Step 1** Given  $y$  compute syndrome  $S(y) = yH^T$ .
- **Step 2** If  $S(y) = 0$ , then  $y$  is assumed to be the codeword sent.
- **Step 3** If  $S(y) \neq 0$ , then assuming a single error,  $S(y)$  gives the binary position of the error.



## IV054 Example

For the Hamming code given by the parity-check matrix

$$H = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix}$$

and the received word

$$y = 110\ 1011,$$

we get syndrome

$$S(y) = 110$$

and therefore the error is in the sixth position.

Hamming code was discovered by Hamming (1950), Golay (1950).

It was conjectured for some time that Hamming codes and two so called Golay codes are the only non-trivial perfect codes.

### Comment

Hamming codes were originally used to deal with errors in long-distance telephon calls.

## IV054 ADVANTAGES of HAMMING CODES

Let a binary symmetric channel is used which with probability  $q$  correctly transfers a binary symbol.

If a 4-bit message is transmitted through such a channel, then correct transmission of the message occurs with probability  $q^4$ .

If Hamming (7,4,3) code is used to transmit a 4-bit message, then probability of correct decoding is

$$q^7 + 7(1 - q)q^6.$$

In case  $q = 0.9$  the probability of correct transmission is 0.651 in the case no error correction is used and 0.8503 in the case Hamming code is used - an essential improvement.

## IV054 IMPORTANT CODES

- **Hamming (7,4,3) -code**. It has 16 codewords of length 7. It can be used to send  $2^4 = 16$  messages and can be used to correct 1 error.
- **Golay (23,12,7) -code**. It has 4 096 codewords. It can be used to transmit 8 388 608 messages and can correct 3 errors.
- **Quadratic residue (47,24,11) -code**. It has  
16 777 216 codewords  
and can be used to transmit  
140 737 488 355 238 messages  
and correct 5 errors.
- Hamming and Golay codes are the only non-trivial perfect codes.

# IV054

## GOLAY CODES - DESCRIPTION

Golay codes  $G_{24}$  and  $G_{23}$  were used by *Voyager I* and *Voyager II* to transmit color pictures of Jupiter and Saturn. Generation matrix for  $G_{24}$  has the form

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

$G_{24}$  is (24,12,8) –code and the weights of all codewords are multiples of 4.  $G_{23}$  is obtained from  $G_{24}$  by deleting last symbols of each codeword of  $G_{24}$ .  $G_{23}$  is (23,12,7) –code.

## IV054 GOLAY CODES - CONSTRUCTION

Matrix  $G$  for Golay code  $G_{24}$  has actually a simple and regular construction.

The first 12 columns are formed by a unitary matrix  $I_{12}$ , next column has all 1's.

Rows of the last 11 columns are cyclic permutations of the first row which has 1 at those positions that are squares modulo 11, that is

0, 1, 3, 4, 5, 9.

## IV054 SINGLETON BOUND

If  $C$  is a linear  $[n,k,d]$ -code, then  $n - k \geq d - 1$  (Singleton bound).

To show the above bound we can use the following lemma.

**Lemma** If  $u$  is a codeword of a linear code  $C$  of weight  $s$ , then there is a dependence relation among  $s$  columns of any parity check matrix of  $C$ , and conversely, any dependence relation among  $s$  columns of a parity check matrix of  $C$  yields a codeword of weight  $s$  in  $C$ .

**Proof** Let  $H$  be a parity check matrix of  $C$ . Since  $u$  is orthogonal to each row of  $H$ , the  $s$  components in  $u$  that are nonzero are the coefficients of the dependence relation of the  $s$  columns of  $H$  corresponding to the  $s$  nonzero components. The converse holds by the same reasoning.

**Corollary** If  $C$  is a linear code, then  $C$  has minimum weight  $d$  if  $d$  is the largest number so that every  $d - 1$  columns of any parity check matrix of  $C$  are independent.

**Corollary** For a linear  $[n,k,d]$  it holds  $n - k \geq d - 1$ .

A linear  $[n,k,d]$ -code is called **maximum distance separable (MDS code)** if  $d = n - k + 1$ .

MDS codes are codes with maximal possible minimum weight.

## IV054 REED-MULLER CODES

Reed-Muller codes form a family of codes defined recursively with interesting properties and easy decoding.

If  $D_1$  is a binary  $[n, k_1, d_1]$  -code and  $D_2$  is a binary  $[n, k_2, d_2]$  -code, a binary code  $C$  of length  $2n$  is defined as follows  $C = \{ u + v \mid u \in D_1, v \in D_2 \}$ .

**Lemma**  $C$  is  $[2n, k_1 + k_2, \min\{2d_1, d_2\}]$  -code and if  $G_i$  is a generator matrix for  $D_i$ ,  $i = 1, 2$ , then  $\begin{pmatrix} G_1 & G_2 \\ 0 & G_2 \end{pmatrix}$  is a generator matrix for  $C$ .

Reed-Muller codes  $R(r, m)$ , with  $0 \leq r \leq m$  are binary codes of length  $n = 2^m$ .  $R(m, m)$  is the whole set of words of length  $n$ ,  $R(0, m)$  is the repetition code.

If  $0 < r < m$ , then  $R(r + 1, m + 1)$  is obtained from codes  $R(r + 1, m)$  and  $R(r, m)$  by the above construction.

**Theorem** The dimension of  $R(r, m)$  equals  $\sum_{i=0}^r \binom{m}{i}$ . The minimum weight of  $R(r, m)$  equals  $2^{m-r}$ . Codes  $R(m - r - 1, m)$  and  $R(r, m)$  are dual codes.

## IV054 Singleton Bound

**Singleton bound:** Let  $C$  be a  $q$ -ary  $(n, M, d)$ -code.

Then

$$M \leq q^{n-d+1}.$$

**Proof** Take some  $d - 1$  coordinates and project all codewords to the resulting coordinates.

The resulting codewords are all different and therefore  $M$  cannot be larger than the number of  $q$ -ary words of length  $n-d-1$ .

Codes for which  $M = q^{n-d+1}$  are called MDS-codes (Maximum Distance Separable).

**Corollary:** If  $C$  is a  $q$ -ary linear  $[n, k, d]$ -code, then

$$k + d \leq n + 1.$$



## IV054 Shortening and puncturing of linear codes

Let  $C$  be a  $q$ -ary linear  $[n, k, d]$ -code. Let

$$D = \{(x_1, \dots, x_{n-1}) \mid (x_1, \dots, x_{n-1}, 0) \in C\}.$$

Then  $D$  is a linear  $[n-1, k-1, d]$ -code – a shortening of the code  $C$ .

**Corollary:** If there is a  $q$ -ary  $[n, k, d]$ -code, then shortening yields a  $q$ -ary  $[n-1, k-1, d]$ -code.

Let  $C$  be a  $q$ -ary linear  $[n, k, d]$ -code. Let

$$E = \{(x_1, \dots, x_{n-1}) \mid (x_1, \dots, x_{n-1}, x) \in C, \text{ for some } x \in \mathbb{F}_q\},$$

then  $E$  is a linear  $[n-1, k, d-1]$ -code – a puncturing of the code  $C$ .

**Corollary:** If there is a  $q$ -ary  $[n, k, d]$ -code with  $d > 1$ , then there is a  $q$ -ary  $[n-1, k, d-1]$ -code.

## IV054 Lengthening of Codes – Constructions X and XX

**Construction X** Let  $C$  and  $D$  be  $q$ -nary linear codes with parameters  $[n, K, d]$  and  $[n, k, D]$ , where  $D > d$ , and  $K > k$ . Assume also that there exists a  $q$ -nary code  $E$  with parameters  $[l, K - k, \delta]$ . Then there is a "longer"  $q$ -nary code with parameters

$$[n + l, K, \min(d + \delta, D)].$$

The lengthening of  $C$  is constructed by appending  $\varphi(x)$  to each word  $x \in C$ , where  $\varphi : C/D \rightarrow E$  is a bijection – a well known application of this construction is the addition of the parity bit in binary codes.

**Construction XX** Let the following  $q$ -ary codes be given: a code  $C$  with parameters  $[n, k, d]$ ; its sub-codes  $C_i, i = 1, 2$  with parameters  $[n, k - k_i, d_i]$  and with  $C_1 \cap C_2$  of minimum distance  $\geq D$ ; auxiliary  $q$ -nary codes  $E_i, i = 1, 2$  with parameters  $[l_i, k_i, \delta_i]$ . Then there is a  $q$ -ary code with parameters

$$[n + l_1 + l_2, k, \min\{D, d_2 + \delta_1, d_1 + \delta_2, d + \delta_1 + \delta_2\}].$$

## IV054 Strength of Codes

- **Strength of codes** is another important parameter of codes. It is defined through the concept of the strength of so-called **orthogonal arrays** - an important concepts of combinatorics.
- An orthogonal array  $QA_\lambda(t, n, q)$  is an array of  $n$  columns,  $\lambda q^t$  rows with elements from  $\mathbf{F}_q$  and the property that in the projection onto any set of  $t$  columns each possible  $t$ -tuple occurs the same number  $\lambda$  of times.  $t$  is called **strength** of such an orthogonal array.
- For a code  $C$ , let  $t(C)$  be the strength of  $C$  - if  $C$  is taken as an orthogonal array.
- Importance of the concept of strength follows also from the following **Principle of duality**: For any code  $C$  its minimum distance and the strength of  $C^\perp$  are closely related. Namely
$$d(C) = t(C^\perp) + 1.$$

## IV054 Dimension of Dual Linear Codes

If  $C$  is an  $[n, k]$ -code, then its dual code  $C^\perp$  is  $[n, n - k]$  code.

A binary linear  $[n, 1]$  repetition code with codewords of length  $n$  has two codewords: all-0 codeword and all-1 codeword.

Dual code to  $[n, 1]$  repetition code is so-called **sum zero code** of all binary  $n$ -bit words whose entries sum to zero (modulo 2). It is a code of dimension  $n - 1$  and it is a linear  $[n, n - 1, 2]$  code

## IV054 Reed-Solomon Codes

An important example of MDS-codes are  $q$ -ary Reed-Solomon codes  $\text{RSC}(k, q)$ , for  $k \leq q$ .

They are codes generator matrix of which has rows labelled by polynomials  $X^i$ ,  $0 \leq i \leq k - 1$ , columns by elements  $0, 1, \dots, q - 1$  and the element in a row labelled by a polynomial  $p$  and in a column labelled by an element  $u$  is  $p(u)$ .

$\text{RSC}(k, q)$  code is  $[q, k, q - k + 1]$  code.

**Example** Generator matrix for  $\text{RSC}(3, 5)$  code is

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 3 & 4 \\ 0 & 1 & 4 & 4 & 1 \end{pmatrix}$$

**Interesting property of Reed-Solomon codes:**

$$\text{RSC}(k, q)^\perp = \text{RSC}(q - k, q).$$

Reed-Solomon codes are used in digital television, satellite communication, wireless communication, barcodes, compact discs, DVD,... They are very good to correct **burst errors** - such as ones caused by solar energy.

## IV054 Trace and Subfield Codes

- Let  $p$  be a prime and  $r$  an integer. A trace  $tr$  is mapping from  $\mathbf{F}_{p^r}$  into  $\mathbf{F}_p$  defined by

$$tr(x) = \sum_{i=0}^{r-1} x^{p^i}.$$

- Trace is additive ( $tr(x_1 + x_2) = tr(x_1) + tr(x_2)$ ) and  $\mathbf{F}_p$ -linear ( $tr(\lambda x) = \lambda tr(x)$ ).
- If  $C$  is a linear code over  $\mathbf{F}_{p^r}$  and  $tr$  is a trace mapping from  $\mathbf{F}_{p^r}$  to  $\mathbf{F}_p$ , then **trace code**  $tr(C)$  is a code over  $\mathbf{F}_p$  defined by

$$(tr(x_1), tr(x_2), \dots, tr(x_n))$$

where  $(x_1, x_2, \dots, x_n) \in C$ .

- If  $\mathbf{F}_{p^r}^n \supset C$  is a linear code of strength  $t$ , then strength of  $tr(C)$  is at least  $t$ .
- Let  $C$  be a linear code. The **subfield code**  $C_{\mathbf{F}_p}$  consists of those codewords of  $C$  all of whose entries are in  $\mathbf{F}_p$ .
- Delsarte theorem** If  $C$  is a linear code. Then

$$tr(C)^\perp = (C^\perp)_{\mathbf{F}_p}.$$

## IV054 Soccer Games Betting System

Ternary Golay code with parameters  $(11, 729, 5)$  can be used to bet for results of 11 soccer games with potential outcomes 1 (if home team wins), 2 (if guests win) and 3 (in case of a draw).

If 729 bets are made, then at least one bet has at least 9 results correctly guessed.

In case one has to bet for 13 games, then one can usually have two games with pretty sure outcomes and for the rest one can use the above ternary Golay code.