## CHAPTER 5: Public-key cryptography I. RSA

Rapidly increasing needs for flexible and secure transmission of information require to use new cryptographic methods.

The main disadvantage of the classical (symmetric) cryptography is the need to send a (long) key through a super secure channel before sending the message itself.

In the classical or secret-key (symmetric) cryptography both sender and receiver share the same secret key.

In the public-key (assymetric) cryptography there are two different keys:
a public encryption key (at the sender side)
and
a private (secret) decryption key (at the receiver side).

## Basic idea - example

Basic idea: If it is infeasible from the knowledge of an encryption algorithm $\mathbf{e}_{\mathbf{k}}$ to construct the corresponding description algorithm $\boldsymbol{d}_{\mathrm{k}}$, then $\mathbf{e}_{\mathrm{k}}$ can be made public.

Toy example: (Telephone directory encryption)
Start: Each user $\boldsymbol{U}$ makes public a unique telephone directory $t d_{U}$ to encrypt messages for $\boldsymbol{U}$ and $\boldsymbol{U}$ is the only user to have an inverse telephone directory itd $_{U}$.

Encryption: Each letter $\boldsymbol{X}$ of a plaintext $\boldsymbol{w}$ is replaced, using the telephone directory $t d_{U}$ of the intended receiver $\boldsymbol{U}$, by the telephone number of a person whose name starts with letter $\boldsymbol{X}$.

Decryption: easy for $\boldsymbol{U}_{\mathbf{k}}$, with the inverse telephone directory, infeasible for others.

## Analogy:

Secret-key cryptography 1. Put the message into a box, lock it with a padlock and send the box. 2. Send the key by a secure channel.


Public-key cryptography Open padlocks, for each user different one, are freely available. Only legitimate user has key from his padlocks. Transmission: Put the message into the box of the intended receiver, close the padlock and send the box.

## Public Establishment of Secret Keys

Main problem of the secret-key cryptography: a need to make a secure distribution (establishment) of secret keys ahead of transmissions.
Diffie+Hellman solved this problem in 1976 by designing a protocol for secure key establishment (distribution) over public channels.
Diffie-Helmann Protocol: If two parties, Alice and Bob, want to create a common secret key, then they first agree, somehow, on a large prime $p$ and a $q<p$ of large order in $Z_{p}^{*}$ and then they perform, through a public channel, the following activities.

- Alice chooses, randomly, a large $1 \leq x<p-1$ and computes
- Bob also chooses, again randomly, $=q^{\mathrm{x}} \mathrm{mag}^{\mathrm{m}} \mathrm{P}_{\dot{y}}<p-1$ and computes

$$
Y=q^{y} \bmod p .
$$

- Alice and Bob exchange $\boldsymbol{X}$ and $\boldsymbol{Y}$, through a public channel, but keep $\boldsymbol{x}, \boldsymbol{y}$ secret.
- Alice computes $Y^{\mathrm{x}}$ mod $p$ and Bob computes $X^{\mathrm{y}} \bmod p$ and then each of them has the key

$$
K=q^{x y} \bmod p
$$

An eavesdropper seems to need, in order to determine $\boldsymbol{x}$ from $\boldsymbol{X}, \boldsymbol{q}, \boldsymbol{p}$ and $\boldsymbol{y}$ from $\boldsymbol{Y}$, $\boldsymbol{q}, \boldsymbol{p}$, a capability to compute discrete logarithms, or to compute $\boldsymbol{q}^{\times y}$ from $\boldsymbol{q}^{\times}$and $\boldsymbol{q}$ y, what is believed to be infeasible.

## KEY DISTRIBUTION / AGREEMENT

One should distinguish between key distribution and key agreement.

- Key distribution is a mechanism whereby one party chooses a secret key and then transmits it to another party or parties.
- Key agreement is a protocol whereby two (or more) parties jointly establish a secret key by communication over a public channel.

The objective of key distribution or key agreement protocols is that, at the end of the protocols, the two parties involved both have possession of the same key $k$, and the value of $k$ is not known (at all) to any other party.

## MAN-IN-THE-MIDDLE ATTACK

The following attack, by a man-in-the-middle, is possible against the Diffie-Hellman key establishment protocol.

1. Eve chooses an exponent $z$.
2. Eve intercepts $q^{x}$ and $q^{y}$.
3. Eve sends $q^{\boldsymbol{z}}$ to both Alice and Bob. (After that Alice believes she has received $\boldsymbol{q}^{\text {y }}$ and Bob believes he has received $q^{\mathrm{x}}$.)
4. Eve computes $K_{\mathrm{A}}=q^{\mathrm{xz}}(\bmod p)$ and $K_{\mathrm{B}}=q^{\mathrm{yz}}(\bmod p)$.

Alice, not realizing that Eve is in the middle, also computes $K_{\mathrm{A}}$ and
Bob, not realizing that Eve is in the middle, also computes $K_{\mathrm{B}}$.
5. When Alice sends a message to Bob, encrypted with $\boldsymbol{K}_{\mathrm{A}}$, Eve intercepts it, decrypts it, then encrypts it with $\boldsymbol{K}_{\mathrm{B}}$ and sends it to Bob.
6. Bob decrypts the message with $K_{\mathrm{B}}$ and obtains the message. At this point he has no reason to think that communication was insecure.
7. Meanwhile, Eve enjoys reading Alice's message.

## Blom's key pre-distribution protocol

allows to a trusted authority (Trent - TA) to distributed secret keys to $\boldsymbol{n}(\boldsymbol{n - 1})$ / 2 pairs of $\boldsymbol{n}$ users.

Let a large prime $\boldsymbol{p}>\boldsymbol{n}$ be publiclly known. Steps of the protocol:

1. Each user $\boldsymbol{U}$ in the network is assigned, by Trent, a unique public number $\boldsymbol{r}_{\boldsymbol{U}}<\boldsymbol{p}$.
2. Trent chooses three random numbers $\boldsymbol{a}, \boldsymbol{b}$ and $\boldsymbol{c}$, smaller than $\boldsymbol{p}$.
3. For each user $\boldsymbol{U}$, Trent calculates two numbers

$$
a_{U}=\left(a+b r_{U}\right) \bmod p, \quad b_{U}=\left(b+c r_{U}\right) \bmod p
$$

and sends them via his secure channel to $U$.
4. Each user $\boldsymbol{U}$ creates the polynomial

$$
g_{U}(x)=a_{U}+b_{U}(x)
$$

5. If Alice (A) wants to send a message to Bob (B), then Alice computes her key
$K_{\mathrm{AB}}=g_{\mathrm{A}}\left(r_{\mathrm{B}}\right)$ and Bob computes his key $K_{\mathrm{BA}}=g_{\mathrm{B}}\left(r_{\mathrm{A}}\right)$.
6. It is easy to see that $K_{\mathrm{AB}}=K_{\mathrm{BA}}$ and therefore Alice and Bob can now use their (identical) keys to communicate using some secret-key cryptosystem.

## Secure communication with secret-key cryptosystems

## and without any need for secret key distribution

(Shamir's "no-key algorithm")
Basic assumption: Each user $\boldsymbol{X}$ has its own secret encryption function $e_{x}$ secret decryption function $d_{x}$
and all these functions commute (to form a commutative cryptosystem).

## Communication protocol

with which Alice can send a message $w$ to Bob.

1. Alice sends $e_{A}(w)$ to Bob
2. Bob sends $e_{B}\left(e_{A}(w)\right)$ to Alice
3. Alice sends $d_{A}\left(e_{\mathrm{B}}\left(e_{\mathrm{A}}(w)\right)\right)=e_{\mathrm{B}}(w)$ to Bob
4. Bob performs the decryption to get $d_{\mathrm{B}}\left(\mathrm{e}_{\mathrm{B}}(w)\right)=w$.

Disadvantage: 3 communications are needed (in such a context 3 is a much too large number) .
Advantage: A perfect protocol for distribution of secret keys.

## Cryptography and Computational Complexity

Modern cryptography uses such encryption methods that no "enemy" can have enough computational power and time to do encryption (even those capable to use thousands of supercomputers during tens of years for encryption).
Modern cryptography is based on negative and positive results of complexity theory - on the fact that for some algorithm problems no efficient algorithm seem to exists, surprisingly, and for some "small" modifications of these problems, surprisingly, simple, fast and good (randomized) algorithms do exist. Examples:

Integer factorization: Given $\boldsymbol{n}(=\boldsymbol{p q})$, it is, in general, unfeasible, to find $\boldsymbol{p}, \boldsymbol{q}$.

There is a list of "most wanted to factor integers". Top recent successes, using thousands of computers for months.
(*) Factorization of $2^{2^{\wedge} 9}+1$ with 155 digits (1996)
(**) Factorization of a "typical" 155-digits integer (1999)

Primes recognition: Is a given $\boldsymbol{n}$ a prime? - fast randomized algorithms exist (1977). The existence of polynomial deterministic algorithms has been shown only in 2002

## Computationaly infeasible problems

Discrete logarithm problem: Given $\boldsymbol{x}, \boldsymbol{y}, \boldsymbol{n}$, determine integer a such that $\boldsymbol{y} \equiv \boldsymbol{x}^{\mathrm{a}}(\bmod \boldsymbol{n})$ - infeasible in general.

Discrete square root problem: Given integers $\boldsymbol{y}, \boldsymbol{n}$, compute an integer $\boldsymbol{x}$ such that $\boldsymbol{y} \equiv \boldsymbol{x}^{2}(\bmod \boldsymbol{n})$ - infeasible in general, easy if factorization of $\boldsymbol{n}$ is known

Knapsack problem: Given a ( knapsack - integer) vector $X=\left(x_{1}, \ldots, x_{n}\right)$ and a (integer capacity) $c$, find a binary vector $\left(b_{1}, \ldots, b_{\mathrm{n}}\right)$ such that

$$
\sum_{i=1}^{n} b_{i} x_{i}=c .
$$

Problem is $N P$-hard in general, but easy if

$$
x_{i}>\sum_{j=1}^{i-1} x_{j}, 1<i \leq n .
$$

## One-way functions

Informally, a function $F: N->N$ is said to be one-way function if it is easily computable - in polynomial time - but any computation of its inverse is infeasible.
A one-way permutation is a 1-1 one-way function.


A more formal approach
Definition A function $f:\{0,1\}^{*} \rightarrow\{0,1\}^{*}$ is called a strongly one-way function if the following conditions are satisfied:

1. $f$ can be computed in polynomial time;
2. there are $c, \varepsilon>0$ such that $|\mathrm{x}|^{\varepsilon} \leq|\mathrm{f}(\mathrm{x})| \leq|\mathrm{x}|^{\text {; }}$;
3. for every randomized polynomial time algorithm $A$, and any constant $c>0$, there exists an $n_{c}$ such that for $n>n_{c}$

$$
P_{r}\left(A(f(x)) \in f^{-1}(f(x))\right)<\frac{1}{n^{c}} \text {. }
$$

Candidates: Modular exponentiation: $\boldsymbol{f}(\boldsymbol{x})=\boldsymbol{a} \times \bmod \boldsymbol{n}$
Modular squaring $f(x)=x^{2} \bmod n, n-$ a Blum integer
Prime number multiplication $\boldsymbol{f}(\boldsymbol{p}, \boldsymbol{q})=\boldsymbol{p q}$.

## Trapdoor One-way Functions

The key concept for design of public-key cryptosystems is that of trapdoor one-way functions.

A function $\boldsymbol{f}: \boldsymbol{X} \rightarrow \boldsymbol{Y}$ is trapdoor one-way function

- if $f$ and its inverse can be computed efficiently,
- yet even the complete knowledge of the algorithm to compute $f$ does not make it feasible to determine a polynomial time algorithm to compute the inverse of $f$.

A candidate: modular squaring with a fixed modulus.

- computation of discrete square roots is unfeasible in general, but quite easy if the decomposition of the modulus into primes is known.

A way to design a trapdoor one-way function is to transform an easy case of a hard (one-way) function to a hard-looking case of such a function, that can be, however, solved easily by those knowing how the above transformation was performed.

## Example - Computer passwords

A naive solution is to keep in computer a file with entries as login CLINTON password BUSH,
that is with logins and their passwords. This is not sufficiently safe.

A more safe method is to keep in the computer a file with entries as login CLINTON password BUSH one-way function $f_{c}$

The idea is that BUSH is a "public" password and CLINTON is the only one that knows a "secret" password, say MADONA, such that

$$
f_{\mathrm{c}}(M A D O N A)=B U S H
$$

## LAMPORT's ONE-TIME PASSWORDS

One-way functions can be used to create a sequence of passwords:

- Alice chooses a random $w$ and computes, using a one-way function $h$, a sequence of passwords

$$
w, h(w), h(h(w)), \ldots, h^{n}(w)
$$

- Alice then transfers securely "the initial secret" $\mathrm{w}_{0}=\mathrm{h}^{\mathrm{n}}(\mathrm{w})$ to Bob.
- The i-th authentication, $0<i<n+1$, is performed as follows:
------- Alice sends $\mathrm{w}_{\mathrm{i}}=\mathrm{h}^{\mathrm{n-}}(\mathrm{w})$ to Bob for $\mathrm{I}=1,2, \ldots, \mathrm{n}-1$
------- Bob checks whether $w_{i-1}=h\left(w_{i}\right)$.

When the number of identifications reaches $n$, a new $w$ has to be chosen.

## General knapsack problem - unfeasible

KNAPSACK PROBLEM: Given an integer-vector $X=\left(x_{1}, \ldots, x_{\mathrm{n}}\right)$ and an integer $c$.
Determine a binary vector $B=\left(b_{1}, \ldots, b_{\mathrm{n}}\right)$ (if it exists) such that $X B^{\top}=c$.

## Knapsack problem with superincreasing vector - easy

Problem Given a superincreasing integer-vector $X=\left(x_{1}, \ldots, x_{\mathrm{n}}\right)$ (i.e. $\left.x_{i}>\sum_{j=1}^{i-1} x_{j}, i>1\right)$ and an integer $c$,
determine a binary vector $B=\left(b_{1}, \ldots, b_{\mathrm{n}}\right)$ (if it exists) such that $X B^{\top}=c$.
Algorithm - to solve knapsack problems with superincreasing vectors:
for $i \leftarrow n$ downto 2 do
if $c \geq 2 x_{i}$ then terminate $\{$ no solution\}
else if $c>x_{i}$ then $b_{i} \leftarrow 1 ; c \leftarrow c-x_{i}$;
else $b_{i}=0$;
if $c=x_{1}$ then $b_{1} \leftarrow 1$
else if $c=0$ then $b_{1} \leftarrow 0$;
else terminate \{no solution\}
Example $\quad X=(1,2,4,8,16,32,64,128,256,512) \quad c=999$
$X=(1,3,5,10,20,41,94,199) \quad c=242$

## KNAPSACK ENCODING - BASIC IDEAS

Let a (knapsack) vector

$$
A=\left(a_{1}, \ldots, a_{n}\right)
$$

be given.
Encoding of a (binary) message $B=\left(b_{1}, b_{2}, \ldots, b_{\mathrm{n}}\right)$ by $A$ is done by the vector/vector multiplication:

$$
A B^{\top}=c
$$

and results in the cryptotext $c$.
Decoding of $c$ requires to solve the knapsack problem for the instant given by the knapsack vector $A$ and the cryptotext $c$.

The problem is that decoding seems to be infeasible.

## Example

If $A=(74,82,94,83,39,99,56,49,73,99)$ and $B=(1100110101)$ then

$$
A B^{\top}=
$$

## Design of knapsack cryptosystems

1. Choose a superincreasing vector $\boldsymbol{X}=\left(\boldsymbol{x}_{1}, \ldots, \boldsymbol{x}_{\mathrm{n}}\right)$.
2. Choose $m, u$ such that $m>2 x_{n}, \operatorname{gcd}(m, u)=1$.
3. Compute $u^{-1} \bmod m, X^{\prime}=\left(x_{1}^{\prime}, \ldots, x_{n}^{\prime}\right), x_{i}^{\prime}=\underbrace{u x_{i}} \bmod m$. diffusion
confusion
Cryptosystem: $\quad \boldsymbol{X}$ - public key

$$
\boldsymbol{X}, \mathbf{u}, \boldsymbol{m} \text { - trapdoor information }
$$

Encryption: of a binary vector $w$ of length $n$ : $\quad \boldsymbol{c}=\boldsymbol{X} \boldsymbol{w}$
Decryption: compute $\boldsymbol{c}^{\boldsymbol{\prime}}=\boldsymbol{u}^{-1} \boldsymbol{c} \bmod \boldsymbol{m}$
and solve the knapsack problem with $X$ and $c^{\prime}$.
Lemma Let $X, m, u, X^{\prime}, c, c^{\prime}$ be as defined above. Then the knapsack problem instances $\left(X, c^{\prime}\right)$ and $(X, c)$ have at most one solution, and if one of them has a solution, then the second one has the same solution.
Proof Let $X^{\prime} w=c$. Then

$$
c^{\prime} \equiv u^{-1} c \equiv u^{-1} X^{\prime} w \equiv u^{-1} u X w \equiv X w(\bmod m) .
$$

Since $X$ is superincreasing and $m>2 x_{n}$ we have

$$
(X w) \bmod m=X w
$$

and therefore

$$
c^{\prime}=X w .
$$

## Design of knapsack cryptosystems - example

Example

$$
\begin{aligned}
& X=(1,2,4,9,18,35,75,151,302,606) \\
& m=1250, u=41 \\
& X^{\prime}=(41,82,164,369,738,185,575,1191,1132,1096)
\end{aligned}
$$

In order to encrypt an English plaintext, we first encode its letters by 5-bit numbers - 00000, A - 00001, B-00010,... and then divide the resulting binary strings into blocks of length 10.
Plaintext: Encoding of AFRICA results in vectors

$$
\begin{gathered}
w_{1}=(0000100110) \quad w_{2}=(1001001001) \quad w_{3}=(0001100001) \\
\text { Encryption: } c_{1},=X^{\prime} w_{1}=3061 \quad c_{2^{\prime}}=X^{\prime} w_{2}=2081 \quad c_{3^{\prime}}=X^{\prime} w_{3}=2203
\end{gathered}
$$

Cryptotext: $(3061,2081,2203)$
Decryption of cryptotexts: (2163, 2116, 1870, 3599)
By multiplying with $u^{-1}=61(\bmod 1250)$ we get new cryptotexts (several new c') (693, 326, 320, 789)

And, in the binary form, solutions $B$ of equations $X B^{T}=c^{\prime}$ have the form
(1101001001, 0110100010, 0000100010, 1011100101)

Therefor, the resulting plaintext is:

Invented: 1978 - Ralp C. Merkle, Martin Hellman
Patented: in 10 countries
Broken: 1982: Adi Shamir
New idea: iterated knapsack cryptosystem using hyper-reachable vectors.
Definition A knapsack vector $X^{\prime}=\left(x_{1^{\prime}}, \ldots, x_{n^{\prime}}\right)$ is obtained from a knapsack vector $X=\left(x_{1}, \ldots, x_{n}\right)$ by strong modular multiplication if

$$
X_{i}^{\prime}=u x_{i} \bmod m, i=1, \ldots, n,
$$

where

$$
m>2 \sum_{i=1}^{n} x_{i}
$$

and $\operatorname{gcd}(u, m)=1$. A knapsack vector $X$ is called hyper-reachable, if there is a sequence of knapsack vectors $\quad X=x_{0}, x_{1}, \ldots, x_{k}=X^{\prime}$,
where $x_{0}$ is a super-increasing vector and for $\left.i=1, \ldots, \mathrm{k}\right\}$ and $x_{\mathrm{i}}$ is obtained from $x_{\mathrm{i}-1}$ by a strong modular multiplication.
Iterated knapsack cryptosystem was broken in 1985 - E. Brickell
New ideas: dense knapsack cryptosystems. Density of a knapsack vector:
$X=\left(x_{1}, \ldots, x_{n}\right)$ is defined by $d(x)=\frac{n}{\log \left(\max \left\{x_{i} \mid 1 \leq i \leq n\right\}\right)}$
Remark. Density of super-increasing vectors is $\leq \frac{n}{n-1}$

## KNAPSACK CRYPTOSYSTEM - COMMENTS

The term "knapsack" in the name of the cryptosystem is quite misleading.
By the Knapsack problem one usually understands the following problem:

Given $n$ items with weights $w_{1}, w_{2}, \ldots, w_{\mathrm{n}}$ and values $v_{1}, v_{2}, \ldots, v_{\mathrm{n}}$ and a knapsack limit c , the task is to find a bit vector $\left(b_{1}, b_{2}, \ldots, b_{\mathrm{n}}\right)$ such that $\sum_{i=1}^{n} b_{i} w_{i} \leq c$ and $\sum_{i=1}^{n} b_{i} v_{i}$ is as large as possible.

The term subset problem is usually used for the problem used in our construction of the knapsack cryptosystem. It is well-known that the decision version of this problem is $N P$-complete.

Sometimes, for our main version of the knapsack problem the term MerkleHellmman (Knapsack) Cryptosystem is used.

## McEliece Cryptosystem

McEliece cryptosystem is based on a similar design principle as the Knapsack cryptosystem. McEliece cryptosystem is formed by transforming an easy to break cryptosystem into a cryptosystem that is hard to break because it seems to be based on a problem that is, in general, NP-hard.

The underlying fact is that the decision version of the decryption problem for linear codes is in general NP-complete. However, for special types of linear codes polynomial-time decryption algorithms exist. One such a class of linear codes, the so-called Goppa codes, are used to design McEliece cryptosystem.

Goppa codes are $\left[2^{m}, n-m t, 2 t+1\right]$-codes, where $n=2^{m}$. (McEliece suggested to use $m=10, t=50$.)

## McEliece Cryptosystem - DESIGN

Goppa codes are $\left[2^{m}, n-m t, 2 t+1\right]$-codes, where $n=2^{m}$.
Design of McEliece cryptosystems. Let

- $G$ be a generating matrix for an $[n, k, d]$ Goppa code $C$;
- $S$ be a $k \times k$ binary matrix invertible over $Z_{2}$;
- $P$ be an $n \times n$ permutation matrix;
- $G^{\prime}=S G P$.

Plaintexts: $\boldsymbol{P}=\left(Z_{2}\right)^{k}$; cryptotexts: $\mathbf{C}=\left(Z_{2}\right)^{\mathrm{n}}$, key: $\boldsymbol{K}=\left(G, S, P, G^{\prime}\right)$, message: w $G^{\prime}$ is made public, $G, S, P$ are kept secret.

Encryption: $e_{K}(w, e)=w G^{*}+e$, where $e$ is any binary vector of length $n \&$ weight $t$.
Decryption of a cryptotext $c=w G^{\prime}+e \in\left(Z_{2}\right)^{n}$.

1. Compute $c_{1}=c P^{-1}=w S G P P^{-1}+e P^{-1}=w S G+e P^{-1}$
2. Decode $c_{1}$ to get $w_{1}=w S$
3. Compute $w=w_{1} S^{-1}$

## COMMENTS on McELIECE CRYPTOSYSTEM

1. Each irreducible polynomial over $Z_{2}{ }^{m}$ of degree $t$ generates a Goppa code with distance at least $2 t+1$.
2. In the design of McEliece cryptosystem the goal of matrices $S$ and $C$ is to modify a generator matrix $G$ for an easy-to-decode Goppa code to get a matrix that looks as a general random matrix for a linear code for which decoding problem is NPcomplete.
3. An important novel and unique trick is an introduction, in the encoding process, of a random vector $e$ that represents an introduction of up to $t$ errors - such a number of errors that are correctable using the given Goppa code and this is the basic trick of the decoding process.
4. Since $P$ is a permutation matrix $e P^{-1}$ has the same weight as $e$.
5. As already mentioned, McEliece suggested to use a Goppa code with $m=10$ and $t=50$. This provides a [1024, 524, 101]-code. Each plaintext is then a 524-bit string, each cryptotext is a 1024-bit string. The public key is an $524 \times 1024$ matrix.
6. Observe that the number of potential matrices $S$ and $P$ is so large that probability of guessing these matrices is smaller that probability of guessing correct plaintext!!!
7. It can be shown that it is not safe to encrypt twice the same plaintext with the same public key (and different error vectors).

## FINAL COMMENTS

1. Public-key cryptosystems can never provide unconditional security. This is because an eavesdropper, on observing a cryptotext $c$ can encrypt each possible plaintext by the encryption algorithm $e_{\mathrm{A}}$ until he finds an $c$ such that $e_{\mathrm{A}}(w)=c$.
2. One-way functions exists if and only if $\mathbf{P}=\mathbf{U P}$, where $U P$ is the class of languages accepted by unambiguous polynomial time bounded nondeterministic Turing machine.
3. There are actually two types of keys in practical use: A session key is used for sending a particular message (or few of them). A master key is usually used to generate several session keys.
4. Session keys are usually generated when actually required and discarded after their use. Session keys are usually keys of a secret-key cryptosystem.
5. Master keys are usually used for longer time and need therefore be carefully stored. Master keys are usually keys of a public-key cryptosystem.

## SATELLITE VERSION of ONE-TIME PAD

Suppose a satellite produces and broadcasts several random sequences of bits at a rate fast enough that no computer can store more than a small fraction of the output.

If Alice wants to send a message to Bob they first agree, using a public key cryptography, on a method of sampling bits from the satellite outputs.

Alice and Bob use this method to generate a random key and they use it with ONE-TIME PAD for encryption.

By the time Eve decrypted their public key communications, random streams produced by the satellite and used by Alice and Bob to get the secret key have disappeared, and therefore there is no way for Eve to make decryption.

The point is that satellites produce so large amount of date that Eve cannot store all of them

## RSA cryptosystem

The most important public-key cryptosystem is the RSA cryptosystem on which one can also illustrate a variety of important ideas of modern public-key cryptography.

For example, we will discuss various possible attacks on the RSA cryptosystem and problems related to security of RSA.

A special attention will be given in Chapter 7 to the problem of factorization of integers that play such an important role for security of RSA.

In doing that we will illustrate modern distributed techniques to factorize very large integers.

## DESIGN and USE of RSA CRYPTOSYSTEM

Invented in 1978 by Rivest, Shamir, Adleman
Basic idea: prime multiplication is very easy, integer factorization seems to be unfeasible.

## Design of RSA cryptosystems

1. Choose two large s-bit primes $p, q$, s in $[512,1024]$, and denote

$$
n=p q, \phi(n)=(p-1)(q-1)
$$

2. Choose a large $d$ such that

$$
\begin{gathered}
\operatorname{gcd}(d, \phi(n))=1 \\
e=d^{-1}(\bmod \phi(n))
\end{gathered}
$$

and compute

## Public key: $n$ (modulus), e (encryption algorithm)

Trapdoor information: $p, q, d$ (decryption algorithm)

## Plaintext w

Encryption: cryptotext $c=w^{e} \bmod n$
Decryption: plaintext $w=c^{\mathrm{d}} \bmod n$
Details: A plaintext is first encoded as a word over the alphabet $\{0,1, \ldots, 9\}$, then divided into blocks of length $i-1$, where $10^{\mathrm{i}-1}<\mathrm{n}<10^{i}$. Each block is taken as an integer and decrypted using modular exponentiation.

## Correctness of RSA

Let $c=w^{e} \bmod n$ be the cryptotext for a plaintext $w$, in the cryptosystem with

$$
n=p q, e d \equiv 1(\bmod \phi(n)), \operatorname{gcd}(d, \phi(n))=1
$$

In such a case

$$
w \equiv c^{d} \bmod n
$$

and, if the decryption is unique, $w=c^{d} \bmod n$.
Proof Since $e d \equiv 1(\bmod \phi(n))$, there exist a $j € \mathrm{~N}$ such that $e d=j \phi(n)+1$.

- Case 1. Neither $p$ nor $q$ divides $w$.

In such a case $\operatorname{gcd}(n, w)=1$ and by the Euler's Totien Theorem we get that

$$
c^{d}=w^{e d}=w^{j \phi(n)+1} \equiv w(\bmod n)
$$

- Case 2. Exactly one of $p, q$ divides $w$ - say $p$.

In such a case $w^{\text {ed }} \equiv w(\bmod p)$ and by Fermat's Little theorem $w^{q-1} \equiv 1(\bmod q)$

$$
\begin{aligned}
\Rightarrow w^{q-1} \equiv 1(\bmod q) & \Rightarrow w^{\phi(n)} \equiv 1(\bmod q) \\
& \Rightarrow w^{j \phi(n)} \equiv 1(\bmod q) \\
& \Rightarrow w^{e d} \equiv w(\bmod q)
\end{aligned}
$$

Therefore: $w \equiv w^{e d} \equiv c^{d}(\bmod n)$

- Case 3 Both $p, q$ divide w.

This cannot happen because, by our assumption, $w<n$.

## DESIGN and USE of RSA CRYPTOSYSTEM

Example of the design and of the use of RSA cryptosystems.

- By choosing $p=41, q=61$ we get $n=2501, \phi(n)=2400$
- By choosing $d=2087$ we get $\mathrm{e}=23$
- By choosing d=2069 we get e=29
- By choosing other values of d we would get other values of e.

Let us choose the first pair of encryption/decryption exponents ( $e=23$ and $d=2087$ ).
Plaintext: KARLSRUHE
Encoding: 100017111817200704
Since $10^{3}<n<10^{4}$, the numerical plaintext is divided into blocks of 3 digits $\Rightarrow 6$ plaintext integers are obtained

Encryption: 100, 017, 111, 817, 200, 704
$100^{23} \bmod 2501,17^{23} \bmod 2501,111^{23} \bmod 2501$
$817^{23} \bmod 2501,200^{23} \bmod 2501,704^{23} \bmod 2501$
provides cryptotexts: 2306, 1893, 621, 1380, 490, 313
Decryption:

$$
\begin{aligned}
& 2306^{2087} \bmod 2501=100, \quad 18932087 \bmod 2501=17 \\
& 621^{2087} \bmod 2501=111, \quad 13802087 \bmod 2501=817 \\
& 4900^{2087} \bmod 2501=200, \quad 313^{2087} \bmod 2501=704
\end{aligned}
$$

## RSA challenge

One of the first description of RSA was in the paper.
Martin Gardner: Mathematical games, Scientific American, 1977 and in this paper RSA inventors presented the following challenge.

Decrypt the cryptotext:
96869613754622061477140922254355882905759991124574319874
69512093081629822514570835693147662288398962801339199055 1829945157815154

Encrypted using the RSA cryptosystem with 129 digit number, called also RSA129
n: 114381625757888867669235779976146612010218296721242362 562561842935706935245733897830597123513958705058989075147 599290026879543541.
and with $e=9007$.
The problem was solved in 1994 by first factorizing $n$ into one 64-bit prime and one 65 -bit prime, and then computing the plaintext

THE MAGIC WORDS ARE SQUEMISH OSSIFRAGE

1. How to choose large primes $p, q$ ?

Choose randomly a large integer $p$, and verify, using a randomized algorithm, whether $p$ is prime. If not, check $p+2, p+4, \ldots$
From the Prime Number Theorem if follows that there are approximately

$$
\frac{2^{d}}{\log 2^{d}}-\frac{2^{d-1}}{\log 2^{d-1}}
$$

$d$ bit primes. (A probability that a 512-bit number is prime is 0.00562 .)
2. What kind of relations should be between $p$ and $q$ ?
2.1 Difference $|p-q|$ should be neither too small not too large.
$2.2 \operatorname{gcd}(p-1, q-1)$ should not be large.
2.3 Both $p-1$ and $q-1$ should contain large prime factors.
2.4 Quite ideal case: $q, p$ should be safe primes - such that also $(p-1) / 2$ and $(q-1) / 2$ are primes. $\left(83,107,10^{100}-166517\right.$ are examples of safe primes).
3. How to choose e and $d$ ?
3.1 Neither $d$ nor e should be small.
$3.2 d$ should not be smaller than $n^{1 / 4}$. (For $d<n^{1 / 4}$ a polynomial time algorithm is known to determine $d$ ).

## Prime recognition and factorization

The key problems for the development of RSA cryptosystem are that of prime recognition and integer factorization.

On August 2002, the first polynomial time algorithm was discovered that allows to determine whether a given $m$ bit integer is a prime. Algorithm works in time $O\left(m^{12}\right)$.

Fast randomized algorithms for prime recognition has been known since 1977. One of the simplest one is due to Rabin and will be presented later.

## For integer factorization situation is somehow different.

- No polynomial time classical algorithm is known.
- Simple, but not efficient factorization algorithms are known.
- Several sophisticated distributed factorization algorithms are known that allowed to factorize, using enormous computation power, surprisingly large integers.
- Progress in integer factorization, due to progress in algorithms and technology, has been recently enormous.
- Polynomial time quantum algorithms for integer factorization are known since 1994 (P. Shor).

Several simple and some sophisticated factorization algorithms will be presented and illustrated in the following.

## Rabin-Miller's prime recognition

Rabin-Miller's Monte Carlo prime recognition algorithm is based on the following result from the number theory.

Lemma Let $n \in N$. Denote, for $1 \leq x \leq n$, by $C(x)$ the condition:
Either $x^{n-1} \neq 1(\bmod n)$, or there is an $m=\frac{n-1}{2^{i}}$ for some $i$, such that $\operatorname{gcd}\left(n, x^{m}-1\right) \neq 1$. If $C(x)$ holds for some $1 \leq x \leq n$, then $n$ is not a prime. If $n$ is not a prime, then $C(x)$ holds for at least half of $x$ between 1 and $n$.

## Algorithm:

Choose randomly integers $\mathrm{x}_{1}, \mathrm{x}_{2}, \ldots, \mathrm{x}_{\mathrm{m}}$ such that $1 \leq x_{\mathrm{i}} \leq n$.
For each $x_{i}$ determine whether $C\left(x_{i}\right)$ holds.

Claim: If $C\left(x_{i}\right)$ holds for some $i$, then $n$ is not a prime for sure. Otherwise $n$ is prime, with probability of error $2-\mathrm{m}$.

## Factorization of 512-bits and 663-bits numbers

On August 22, 1999, a team of scientifists from 6 countries found, after 7 months of computing, using 300 very fast SGI and SUN workstations and Pentium II, factors of the so-called RSA-155 number with 512 bits (about 155 digits).

RSA-155 was a number from a Challenge list issue by the US company RSA Data Security and "represented" 95\% of 512-bit numbers used as the key to protect electronic commerce and financinal transmissions on Internet.

Factorization of RSA-155 would require in total 37 years of computing time on a single computer.

When in 1977 Rivest and his colleagues challenged the world to factor RSA129, they estimated that, using knowledge of that time, factorization of RSA129 would require $10^{16}$ years.

In 2005 RSA-200, a 663-bits number, was factorized by a team of German Federal Agency for Information Technology Security, using CPU of 80 AMD

## LARGE NUMBERS

Hindus named many large numbers - one having 153 digits.
Romans initially had no terms for numbers larger than $10^{4}$.
Greeks had a popular belief that no number is larger than the total count of sand grains needed to fill the universe.

Large numbers with special names:

$$
\text { duotrigintillion=googol - } 10^{100} \text { googolplex - } 10^{10^{\wedge 100}}
$$

## FACTORIZATION of very large NUMBERS

W. Keller factorized $F_{23471}$ which has $10^{7000}$ digits.
$J$. Harley factorized: $10^{10^{\wedge 1000}+1}$.
One factor: $316,912,650,057,350,374,175,801,344,000,001$
1992 E. Crandal, Doenias proved, using a computer that $F_{22}$, which has more than million of digits, is composite (but no factor of $F_{22}$ is known).

Number $10^{10^{10^{34}}}$ was used to develop a theory of the distribution of prime numbers.

## DESIGN OF GOOD RSA CRYPTOSYSTEMS

Claim 1. Difference $|p-q|$ should not be small.
Indeed, if $|p-q|$ is small, and $p>q$, then $(p+q) / 2$ is only slightly larger than $\sqrt{n}$ because

$$
\frac{(p+q)^{2}}{4}-n=\frac{(p-q)^{2}}{4}
$$

In addition $\frac{(p+q)^{2}}{4}-n$ is a square, say $y^{2}$.
In order to factor $n$, it is then enough to test $\mathrm{x}>\sqrt{n}$ until $x$ is found such that $x^{2}-\mathrm{n}$ is a square, say $y^{2}$. In such a case

$$
p+q=2 x, p-q=2 y \quad \text { and therefore } p=x+y, q=x-y .
$$

Claim 2. $\operatorname{gcd}(p-1, q-1)$ should not be large.
Indeed, in the opposite case $s=\operatorname{Icm}(p-1, q-1)$ is much smaller than $\phi(n)$. If

$$
d^{\prime} e \equiv 1 \bmod s,
$$

then, for some integer $k$,

$$
c^{d^{\prime}} \equiv w^{e d^{\prime}} \equiv w^{k s+1} \equiv w \bmod n
$$

since $p-1|s, q-1| s$ and therefore $w^{k 1 s} \equiv 1 \bmod p$ and $w^{k s+1} \equiv w \bmod q$. Hence, $d^{\prime \prime}$ can serve as a decryption exponent.
Moreover, in such a case $s$ can be obtained by testing.
Question Is there enough primes (to choose again and again new ones)?
No problem, the number of primes of length 512 bit or less exceeds $10^{150}$.

## How important is factorization for breaking RSA?

1. If integer factorization is feasible, then RSA is breakable.
2. There is no proof that factorization is indeed needed to break RSA.
3. If a method of breaking RSA would provide an effective way to get a trapdoor information, then factorization could be done effectively.

Theorem Any algorithm to compute $\phi(n)$ can be used to factor integers with the same complexity.

Theorem Any algorithm for computing $d$ can be converted into a break randomized algorithm for factoring integers with the same complexity.
4. There are setups in which RSA can be broken without factoring modulus $n$.

Example An agency chooses $p, q$ and computes a modulus $n=p q$ that is publicized and common to all users $U_{1}, U_{2}$ and also encryption exponents $e_{1}, e_{2}, \ldots$ are publicized. Each user $U_{i}$ gets his decryption exponent $d_{i}$.

In such a setting any user is able to find in deterministic quadratic time another user's decryption exponent.

## Security of RSA

None of the numerous attempts to develop attacks on RSA has turned out to be successful.
There are various results showing that it is impossible to obtain even only partial information about the plaintext from the cryptotext produces by the RSA cryptosystem.
We will show that were the following two functions, that are computationally polynomially equivalent, be efficiently computable, then the RSA cryptosystem with the encryption (decryption) algorithm $e_{\mathrm{k}}\left(d_{\mathrm{k}}\right)$ would be breakable. parity $_{\text {ek }}(c)=$ the least significant bit of such an $w$ that $e_{\mathrm{k}}(w)=c$;

$$
\operatorname{half}_{e k}(c)=0 \text { if } 0 \leq \mathrm{w}<\frac{\mathrm{n}}{2} \text { and } \operatorname{half}_{e k}(c)=1 \text { if } \frac{n}{2} \leq w \leq n-1 \text {. }
$$

We show two important properties of the functions half and parity.

1. Polynomial time computational equivalence of the functions half and parity follows from the following identities

$$
\begin{aligned}
& \operatorname{half}_{e k}(c)=\operatorname{parity}_{e k}\left(\left(c \times e_{k}(2)\right) \bmod n\right) \\
& \text { parity }_{e k}(c)=\operatorname{balf}_{e k}\left(\left(c \times e_{k}\left(\frac{1}{2}\right)\right) \bmod n\right)
\end{aligned}
$$

and the multiplicative rule $e_{\mathrm{k}}\left(w_{1}\right) e_{\mathrm{k}}\left(w_{2}\right)=e_{\mathrm{k}}\left(w_{1} w_{2}\right)$.
2. There is an efficient algorithm to determine plaintexts $w$ from the cryptotexts $c$ obtained by RSA-decryption provided efficiently computable function half can be used as the oracle:

## Security of RSA

## BREAKING RSA USING AN ORACLE

Algorithm:

```
for \(i=0\) to \(\lfloor\lg n\rfloor\) do
    \(c_{\mathrm{i}} \leftarrow\) half \((c) ; \mathrm{c} \leftarrow\left(c \times e_{\mathrm{k}}(2)\right) \bmod n\)
\(l \leftarrow 0 ; u \leftarrow n\)
for \(i=0\) to \([\lg n]\) do
    \(m \leftarrow(i+u) / 2\);
    if \(c_{\mathrm{i}}=1\) then \(\mathrm{i} \leftarrow m\) else \(u \leftarrow m\);
output \(\leftarrow[u]\)
Indeed, in the first cycle
\[
c_{i}=\operatorname{half}\left(c \times\left(e_{k}(2)\right)^{i}\right)=\operatorname{half}\left(e_{k}\left(2^{i} w\right)\right),
\]
```

is computed for $0 \leq i \leq \lg n$.
In the second part of the algorithm binary search is used to determine interval in which $w$ lies. For example, we have that

$$
\begin{aligned}
& \operatorname{half}\left(e_{k}(w)\right)=0 \equiv w \in\left[0, \frac{n}{2}\right) \\
& \operatorname{half}\left(e_{k}(2 w)\right)=0 \equiv w \in\left[0, \frac{n}{4}\right) \cup\left[\frac{n}{2}, \frac{3 n}{4}\right) \\
& \operatorname{half}\left(e_{k}(4 w)\right)=0 \equiv w \in
\end{aligned}
$$

## Security of RSA

There are many results for RSA showing that certain parts are as hard as whole. For example any feasible algorithm to determine the last bit of the plaintext can be converted into a feasible algorithm to determine the whole plaintext.

Example Assume that we have an algorithm $\boldsymbol{H}$ to determine whether a plaintext $x$ designed in RSA with public key $e, n$ is smaller than $n / 2$ if the cryptotext $y$ is given.

We construct an algorithm $\boldsymbol{A}$ to determine in which of the intervals $(j n / 8,(j+1) n / 8)$, $0 \leq j \leq 7$ the plaintext lies.

Basic idea $H$ can be used to decide whether the plaintexts for cryptotexts $x^{e} \bmod n$, $2^{\mathrm{e}} x^{\mathrm{e}} \bmod n, 4^{\mathrm{e}} \mathrm{x}^{\mathrm{e}} \bmod n$ are smaller than $n / 2$.

## Answers

$$
\begin{array}{ll}
\text { yes, yes, yes } \quad 0<x<n / 8 & \text { no, yes, yes } n / 2<x<5 n / 8 \\
\text { yes, yes, no } n / 8<x<n / 4 & \text { no, yes, no } 5 n / 8<x<3 n / 4 \\
\text { yes, no, yes } n / 4<x<3 n / 8 & \text { no, no, yes } 3 n / 4<x<7 n / 8 \\
\text { yes, no, no } 3 n / 8<x<n / 2 & \text { no, no, no } 7 n / 8<x<n
\end{array}
$$

## RSA with a composite "to be a prime"

Let us explore what happens if some integer $p$ used, as a prime, to design a RSA is actually not a prime.
Let $n=p q$ where $q$ be a prime, but $p=p_{1} p_{2}$, where $p_{1}, p_{2}$ are primes. In such a case

$$
\phi(n)=\left(p_{1}-1\right)\left(p_{2}-1\right)(q-1)
$$

but assume that the RSA-designer works with $\phi_{1}(n)=(p-1)(q-1)$
Let $u=\operatorname{lcm}\left(p_{1}-1, p_{2}-1, q-1\right)$ and let $\operatorname{gcd}(w, n)=1$. In such a case

$$
w^{p_{1}-1} \equiv 1\left(\bmod p_{1}\right), w^{p_{2}-1} \equiv 1\left(\bmod p_{2}\right), w^{q-1} \equiv 1(\bmod q)
$$

and as a consequence $\quad w^{u} \equiv 1(\bmod n)$
In such a case $u$ divides $\phi(n)$ and let us assume that also $u$ divides $\phi_{1}(n)$.
Then

$$
w^{\phi_{1}(n)+1} \equiv w(\bmod n) .
$$

So if $e_{\mathrm{d}} \equiv 1 \bmod \phi_{1}(n)$, then encryption and decryption work as if $p$ were prime.
Example $p=91=7 \cdot 13, q=41, n=3731, \phi_{1}(n)=3600, \phi(n)=2880, \operatorname{lcm}(6,12,40)$ $=120,120 \mid \phi_{1}(n)$.
If $\operatorname{gcd}\left(d, \phi_{1}(n)\right)=1$, then $\operatorname{gcd}(\mathrm{d}, \phi(n))=1 \Rightarrow$ one can compute e using $\phi_{1}(n)$.
However, if $u$ does not divide $\phi_{1}(n)$, then the cryptosystem does not work properly.

## Two users should not use the same modulus

Otherwise, users, say $A$ and $B$, would be able to decrypt messages of each other using the following method.
Decryption: B computes

$$
f=\operatorname{gcd}\left(e_{B} d_{B}-1, e_{A}\right), m=\frac{e_{B} d_{B}-1}{f}
$$

Since

$$
e_{B} d_{B}-1=k \phi(\mathrm{n}) \text { for some } k
$$

it holds:

$$
\operatorname{gcd}\left(e_{A}, \phi(n)\right)=1 \Rightarrow \operatorname{gcd}(f, \phi(n))=1
$$

and therefore

$$
m \text { is a multiple of } \phi(n)
$$

$m$ and $e_{\mathrm{A}}$ have no common divisor and therefore there exist integers $u, v$ such that

$$
u m+v e_{\mathrm{A}}=1
$$

Since $m$ is a multiple of $\phi(n)$ we have

$$
v e_{A}=1-u m \equiv 1 \bmod \phi(n)
$$

and since $e_{A} d_{\mathrm{A}} \equiv 1 \bmod \phi(n)$ we have

$$
\left(v-d_{A}\right) e_{A} \equiv 0 \bmod \phi(n)
$$

and therefore

$$
v \equiv d_{A} \bmod \phi(n)
$$

is a decryption exponent of $A$. Indeed, for a cryptotext $c$ :

$$
c^{v} \equiv w^{e_{A} v} \equiv w^{e_{A} d_{A}+c \phi(n)} \equiv w \bmod n
$$

## Private-key versus public-key cryptography

- The prime advantage of public-key cryptography is increased security - the private keys do not ever need to be transmitted or revealed to anyone.
- Public key cryptography is not meant to replace secret-key cryptography, but rather to supplement it, to make it more secure.
- Example RSA and DES (AES) are usually combined as follows

1. The message is encrypted with a random DES key
2. DES-key is encrypted with RSA
3. DES-encrypted message and RSA-encrypted DES-key are sent.

This protocol is called RSA digital envelope.

- In software (hardware) DES is generally about 100 (1000) times faster than RSA.

If $n$ users communicate with secrete-key cryptography, they need $n(n-1) / 2$ keys. If $n$ users communicate with public-key cryptography $2 n$ keys are sufficient.

Public-key cryptography allows spontaneous communication.

## KERBEROS

We describe a very popular key distribution protocol with trusted authority TA with which each user $A$ shares a secrete key $K_{A}$.

- To communicate with user $B$ the user $A$ asks TA a session key (K)
- TA chooses a random session key $K$, a time-stamp $T$, and a lifetime limit $L$.
- TA computes

$$
m_{1}=e_{K_{A}}(K, I D(B), T, L) ; \quad m_{2}=e_{K_{B}}(K, I D(B), T, L) ;
$$

and sends $m_{1}, m_{2}$ to $A$.

- A decrypts $m_{1}$, recovers $K, T, L, I D(B)$, computes $m_{3}=e_{K}(I D(B), T)$ and sends $m_{2}$ and $m_{3}$ to $B$.
- $B$ decrypts $m_{2}$ and $m_{3}$, checks whether two values of $T$ and of $I D(B)$ are the same. If so, $B$ computes $m_{4}=e_{k}(T+1)$ and sends it to $A$.
- $A$ decrypts $m_{4}$ and verifies that she got $T+1$.

