# Part XIII

Quantum cryptography

# Quantum cryptography has a potential to be cryptography of 21<sup>st</sup> century.

An important new feature of quantum cryptography is that security of quantum cryptographic protocols is based on the laws of nature – of quantum physics, and not on the unproven assumptions of computational complexity.

Quantum cryptography is the first area of information processing and communication in which quantum particle physics laws are directly exploited to bring an essential advantage in information processing.

- It has been shown that would we have quantum computer, we could design absolutely secure quantum generation of shared and secret random classical keys.
- It has been proven that even without quantum computers unconditionally secure quantum generation of classical secret and shared keys is possible (in the sense that any eavesdropping is detectable).
- Unconditionally secure basic quantum cryptographic primitives, such as bit commitment and oblivious transfer, are impossible.
- Quantum zero-knowledge proofs exist for all NP-complete languages
- Quantum teleportation and pseudo-telepathy are possible.
- Quantum cryptography and quantum networks are already in advanced experimental stage.

As an introduction to quantum cryptography

the very basic motivations, experiments, principles, concepts and results of quantum information processing and communication

will be presented in the next few slides.

In quantum information processing we witness an interaction between the two most important areas of science and technology of 20-th century, between

### quantum physics and informatics.

This is very likely to have important consequences for 21th century.

#### Quantum physics deals with fundamental entities of physics - particles (waves?) like

- protons, electrons and neutrons (from which matter is built);
- photons (which carry electromagnetic radiation)
- various "elementary particles" which mediate other interactions in physics.
- We call them particles in spite of the fact that some of their properties are totally unlike the properties of what we call particles in our ordinary classical world.

For example, a quantum particle can go through two places at the same time and can interact with itself.

Because of that quantum physics is full of counterintuitive, weird, mysterious and even paradoxical events.

I am going to tell you what Nature behaves like ....

However, do not keep saying to yourself, if you can possibly avoid it,

# BUT HOW CAN IT BE LIKE THAT?

Because you will get "down the drain" into a blind alley from which nobody has yet escaped

# NOBODY KNOWS HOW IT CAN BE LIKE THAT

Richard Feynman (1965): The character of physical law.

## Main properties of classical information:

- It is easy to store, transmit and process classical information in time and space.
- It is easy to make (unlimited number of) copies of classical information
- One can measure classical information without disturbing it.

## Main properties of quantum information:

- It is difficult to store, transmit and process quantum information
- There is no way to copy unknown quantum information
- Measurement of quantum information destroys it, in general.

# The essense of the difference between classical computers and quantum computers is in the way information is stored and processed.

In classical computers, information is represented on macroscopic level by bits, which can take one of the two values

#### 0 or 1

In quantum computers, information is represented on microscopic level using qubits, (quantum bits) which can take on any from the following uncountable many values

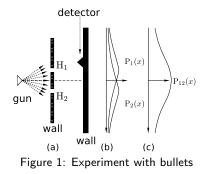
 $\alpha |\mathbf{0}\rangle + \beta |\mathbf{1}\rangle$ 

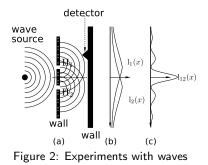
where  $\alpha,\beta$  are arbitrary complex numbers such that

$$|\alpha|^2+|\beta|^2=1.$$

- An n bit classical register can store at any moment exactly one n-bit string.
- An n-qubit quantum register can store at any moment a superposition of all  $2^n$  n-bit strings.
- Consequently, on a quantum computer one can compute in a single step with  $2^n$  values.
- This enormous massive parallelism is one reason why quantum computing can be so powerful.

# CLASSICAL EXPERIMENTS





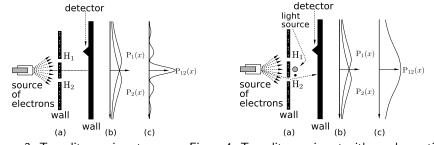


Figure 3: Two-slit experiment

Figure 4: Two-slit experiment with an observation

## THREE BASIC PRINCIPLES

**P1** To each transfer from a quantum state  $\phi$  to a state  $\psi$  a complex number

 $\langle \psi | \phi \rangle$ 

is associated. This number is called the probability amplitude of the transfer and  $|\langle \psi | \phi \rangle|^2$ 

is then the **probability** of the transfer.

**P2** If a transfer from a quantum state  $\phi$  to a quantum state  $\psi$  can be decomposed into two subsequent transfers

$$\psi \leftarrow \phi' \leftarrow \phi$$

then the resulting amplitude of the transfer is the product of amplitudes of subtransfers:  $\langle \psi | \phi \rangle = \langle \psi | \phi' \rangle \langle \phi' | \phi \rangle$ 

**P3** If a transfer from a state  $\phi$  to a state  $\psi$  has two independent alternatives



then the resulting amplitude is the sum of amplitudes of two subtransfers.

## QUANTUM SYSTEMS = HILBERT SPACE

Hilbert space  $H_n$  is n-dimensional complex vector space with

#### scalar product

$$\langle \psi | \phi \rangle = \sum_{i=1}^{n} \phi_{i} \psi_{i}^{*} \text{ of vectors} | \phi \rangle = \begin{vmatrix} \phi_{1} \\ \phi_{2} \\ \vdots \\ \phi_{n} \end{vmatrix}, | \psi \rangle = \begin{vmatrix} \psi_{1} \\ \psi_{2} \\ \vdots \\ \psi_{n} \end{vmatrix},$$

This allows to define the norm of vectors as

$$\|\phi\| = \sqrt{|\langle \phi | \phi \rangle|}.$$

Two vectors  $|\phi\rangle$  and  $|\psi\rangle$  are called **orthogonal** if  $\langle \phi | \psi \rangle = 0$ .

A basis B of  $H_n$  is any set of n vectors  $|b_1\rangle, |b_2\rangle, \dots, |b_n\rangle$  of the norm 1 which are mutually orthogonal.

Given a basis B, any vector  $|\psi\rangle$  from  $H_n$  can be uniquely expressed in the form

$$|\psi\rangle = \sum_{i=1}^{n} \alpha_i |b_i\rangle.$$

Dirac introduced a very handy notation, so called bra-ket notation, to deal with amplitudes, quantum states and linear functionals  $f : H \rightarrow C$ .

If  $\psi, \phi \in H$ , then

 $\langle \psi | \phi \rangle$  - scalar product of  $\psi$  and  $\phi$  (an amplitude of going from  $\phi$  to  $\psi$ ).  $|\phi\rangle$  - ket-vector (a column vector) - an equivalent to  $\phi$   $\langle \psi |$  - bra-vector (a row vector) a linear functional on H such that  $\langle \psi | (|\phi\rangle) = \langle \psi | \phi \rangle$ 

# **QUANTUM EVOLUTION / COMPUTATION**

EVOLUTIONCOMPUTATIONininQUANTUM SYSTEMHILBERT SPACEis described bySchrödinger linear equation $ih \frac{\partial |\Phi(t)\rangle}{\partial t} = H(t) |\Phi(t)\rangle$ 

where h is Planck constant, H(t) is a Hamiltonian (total energy) of the system that can be represented by a Hermitian matrix and  $\Phi(t)$  is the state of the system in time t. If the Hamiltonian is time independent then the above Shrödinger equation has solution

$$|\Phi(t)
angle = U(t)|\Phi(0)
angle$$

where

$$U(t) = e^{\frac{iHt}{h}}$$

is the evolution operator that can be represented by a unitary matrix. A step of such an evolution is therefore a multiplication of a **unitary matrix** A with a vector  $|\psi\rangle$ , i.e. A  $|\psi\rangle$ 

A matrix A is unitary if

$$A \cdot A^* = A^* \cdot A = I$$

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Very important one-qubit unary operators are the following Pauli operators, expressed in the standard basis as follows;

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_y = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Observe that Pauli matrices transform a qubit state  $|\phi\rangle=\alpha|\mathbf{0}\rangle+\beta|\mathbf{1}\rangle$  as follows

$$\sigma_{x}(\alpha|0\rangle + \beta|1\rangle) = \beta|0\rangle + \alpha|1\rangle$$
  
$$\sigma_{z}(\alpha|0\rangle + \beta|1\rangle) = \alpha|0\rangle - \beta|1\rangle$$
  
$$\sigma_{y}(\alpha|0\rangle + \beta|1\rangle) = \beta|0\rangle - \alpha|1\rangle$$

Operators  $\sigma_x, \sigma_z$  and  $\sigma_y$  represent therefore a bit error, a sign error and a bit-sign error.

# QUANTUM (PROJECTION) MEASUREMENTS

A quantum state is always observed (measured) with respect to an **observable** O - a decomposition of a given Hilbert space into orthogonal subspaces (where each vector can be uniquely represented as a sum of vectors of these subspaces).



There are two outcomes of a projection measurement of a state  $|\phi\rangle$  with respect to O:

I Classical information into which subspace projection of  $|\phi\rangle$  was made.

**Resulting quantum projection (as a new state)**  $|\phi'\rangle$  in one of the above subspaces.

The subspace into which projection is made is chosen **randomly** and the corresponding probability is uniquely determined by the amplitudes at the representation of  $|\phi\rangle$  as a sum of states of the subspaces.

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In case an orthonormal basis  $\{\beta_i\}_{i=1}^n$  is chosen in  $H_n$ , any state  $|\phi\rangle \in H_n$  can be expressed in the form

$$|\phi
angle = \sum_{i=1}^n a_i |eta_i
angle, \sum_{i=1}^n a_i|^2 = 1$$

where

$$a_i = \langle \beta_i | \phi \rangle$$
 are called probability amplitudes

and

#### their squares provide probabilities

that if the state  $|\phi\rangle$  is measured with respect to the basis  $\{\beta_i\}_{i=1}^n$ , then the state  $|\phi\rangle$  collapses into the state  $|\beta_i\rangle$  with probability  $|a_i|^2$ .

The classical "outcome" of a measurement of the state  $|\phi\rangle$  with respect to the basis  $\{\beta_i\}_{i=1}^n$  is the index i of that state  $|\beta_i\rangle$  into which the state collapses.

# QUBITS

A qubit is a quantum state in  $H_2$ 

$$\phi\rangle = \alpha |\mathbf{0}\rangle + \beta |\mathbf{1}\rangle$$

where  $\alpha,\beta\in {\it C}$  are such that  $|\alpha|^2+|\beta|^2=1$  and

 $\{|0\rangle, |1\rangle\}$  is a (standard) basis of  $H_2$ 

EXAMPLE: Representation of qubits by

- (a) electron in a Hydrogen atom
- (b) a spin-1/2 particle

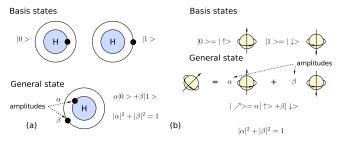
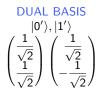


Figure 5: Qubit representations by energy levels of an electron in a hydrogen atom and by a spin-1/2 particle. The condition  $|\alpha|^2 + |\beta|^2 = 1$  is a legal one if  $|\alpha|^2$  and  $|\beta|^2$  are to be the probabilities of being in one of two basis states (of electrons or photons).

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STANDARD BASIS  $|0\rangle, |1\rangle$  $\begin{pmatrix}1\\0\end{pmatrix}\begin{pmatrix}0\\1\end{pmatrix}$ 



 $\begin{array}{l} \text{Hadamard matrix} \\ H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \\ H|0\rangle = |0'\rangle & H|0'\rangle = |0\rangle \\ H|1\rangle = |1'\rangle & H|1'\rangle = |1\rangle \end{array}$ 

transforms one of the basis into another one.

General form of a unitary matrix of degree 2

$$U = e^{i\gamma} \begin{pmatrix} e^{i\alpha} & 0\\ 0 & e^{-i\alpha} \end{pmatrix} \begin{pmatrix} \cos\theta & i\sin\theta\\ i\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} e^{i\beta} & 0\\ 0 & e^{-i\beta} \end{pmatrix}$$

#### of a qubit state

A qubit state can "contain" unboundly large amount of classical information. However, an unknown quantum state cannot be identified.

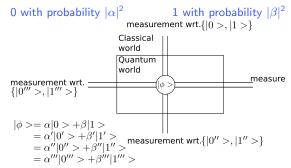
By a measurement of the qubit state

$$\alpha |\mathbf{0}\rangle + \beta |\mathbf{1}\rangle$$

with respect to the basis

 $\{|0
angle,|1
angle\}$ 

we can obtain only classical information and only in the following random way:



A probability distribution  $\{(p_i, |\phi_i\rangle)\}_{i=1}^k$  on pure states is called a mixed state to which it is assigned a density operator

$$\rho = \sum_{i=1}^{n} p_i |\phi\rangle \langle \phi_i|.$$

One interpretation of a mixed state  $\{(p_i, |\phi_i\rangle)\}_{i=1}^k$  is that a source X produces the state  $|\phi_i\rangle$  with probability  $p_i$ .

Any matrix representing a density operator is called density matrix.

Density matrices are exactly Hermitian, positive matrices with trace 1.

To two different mixed states can correspond the same density matrix. Two mixes states with the same density matrix are physically undistinguishable. To the maximally mixed state

$$\Big(rac{1}{2},\ket{0}\Big),\Big(rac{1}{2},\ket{1}\Big)$$

which represents a random bit corresponds the density matrix

$$rac{1}{2} egin{pmatrix} 1 \ 0 \end{pmatrix} (1,0) + rac{1}{2} egin{pmatrix} 0 \ 1 \end{pmatrix} (0,1) = rac{1}{2} egin{pmatrix} 1 & 0 \ 0 & 1 \end{pmatrix} = rac{1}{2} I_2$$

Surprisingly, many other mixed states have density matrix that is the same as that of the maximally mixed state.

### CLASSICAL ONE-TIME PAD cryptosystem

plaintext an n-bit string c shared key an n-bit string c cryptotext an n-bit string c encoding  $c = p \oplus k$ decoding  $p = c \oplus k$ 

#### QUANTUM ONE-TIME PAD cryptosystem

 $\begin{array}{ll} \text{plaintext:} & \text{an n-qubit string } |p\rangle = |p_1\rangle \dots |p_n\rangle \\ \text{shared key:} & \text{two n-bit strings k,k'} \\ \text{cryptotext:} & \text{an n-qubit string } |c\rangle = |c_1\rangle \dots |c_n\rangle \\ \text{encoding:} & |c_i\rangle = \sigma_x^{k_i} \sigma_z^{k_i'} |p_i\rangle \\ \text{decoding:} & |p_i\rangle = \sigma_x^{k_i} \sigma_z^{k_i'} |c_i\rangle \end{array}$ 

where 
$$|p_i\rangle = \begin{pmatrix} a_i \\ b_i \end{pmatrix}$$
 and  $|c_i\rangle = \begin{pmatrix} d_i \\ e_i \end{pmatrix}$  are qubits and  $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  with  $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  are Pauli matrices.

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In the case of encryption of a qubit

$$\phi\rangle = \alpha |\mathbf{0}\rangle + \beta |\mathbf{1}\rangle$$

by **QUANTUM ONE-TIME PAD cryptosystem**, what is being transmitted is the mixed state

$$\left(\frac{1}{4}, |\phi\rangle\right), \left(\frac{1}{4}, \sigma_{x} |\phi\rangle\right), \left(\frac{1}{4}, \sigma_{z} |\phi\rangle\right), \left(\frac{1}{4}, \sigma_{x} \sigma_{z} |\phi\rangle\right)$$

whose density matrix is

$$\frac{1}{2}I_2$$

This density matrix is identical to the density matrix corresponding to that of a random bit, that is to the mixed state

$$\Big(rac{1}{2}, |0
angle\Big), \Big(rac{1}{2}, |1
angle\Big)$$

Shannon classical encryption theorem says that n bits are necessary and sufficient to encrypt securely n bits.

Quantum version of Shannon encryption theorem says that 2n classical bits are necessary and sufficient to encrypt securely n qubits.

#### Tensor product of vectors

$$(x_{1}, \dots, x_{n}) \otimes (y_{1}, \dots, y_{m}) = (x_{1}y_{1}, \dots, x_{1}y_{m}, x_{2}y_{1}, \dots, x_{2}y_{m}, \dots, x_{2}y_{m}, \dots, x_{n}y_{1}, \dots, x_{n}y_{m})$$
  
Tensor product of matrices  $A \otimes B = \begin{pmatrix} a_{11}B & \dots & a_{1n}B \\ \vdots & & \vdots \\ a_{n1}B & \dots & a_{nn}B \end{pmatrix}$   
where  $A = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix}$   
Example  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ 0 & 0 & a_{11} & a_{12} \\ 0 & 0 & a_{21} & a_{22} \end{pmatrix}$   
 $\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a_{11} & 0 & a_{12} & 0 \\ 0 & a_{11} & 0 & a_{12} \\ a_{21} & 0 & a_{22} & 0 \\ 0 & a_{21} & 0 & a_{22} \end{pmatrix}$ 

Tensor product of Hilbert spaces  $H_1 \otimes H_2$  is the complex vector space spanned by tensor products of vectors from  $H_1$  and  $H_2$ . That corresponds to the quantum system composed of the quantum systems corresponding to Hilbert spaces  $H_1$  and  $H_2$ .

An important difference between classical and quantum systems

A state of a compound classical (quantum) system can be (cannot be) always composed from the states of the subsystem.

## QUANTUM REGISTERS

A general state of a 2-qubit register is:

$$|\phi\rangle = \alpha_{00}|00\rangle + \alpha_{01}|01\rangle + \alpha_{10}|10\rangle + \alpha_{11}|11\rangle$$

where

$$|\alpha_{00}|^2 + |\alpha_{01}|^2 + |\alpha_{10}|^2 + |\alpha_{11}|^2 = 1$$

and  $|00\rangle, |01\rangle, |10\rangle, |11\rangle$  are vectors of the "standard" basis of  $H_4,$  i.e.

$$|00\rangle = \begin{pmatrix} 1\\0\\0\\0 \end{pmatrix} |01\rangle = \begin{pmatrix} 0\\1\\0\\0 \end{pmatrix} |10\rangle = \begin{pmatrix} 0\\0\\1\\0 \end{pmatrix} |11\rangle = \begin{pmatrix} 0\\0\\0\\1 \end{pmatrix}$$

An important unitary matrix of degree 4, to transform states of 2-qubit registers:

$$CNOT = XOR = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

It holds:

$$\mathsf{CNOT}: |x, y\rangle \Rightarrow |x, x \oplus y\rangle$$

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of the states of 2-qubit registers

 $|\phi\rangle = \alpha_{00}|00\rangle + \alpha_{01}|01\rangle + \alpha_{10}|10\rangle + \alpha_{11}|11\rangle$ 

Measurement with respect to the basis  $\{|00\rangle, |01\rangle, |10\rangle, |11\rangle\}$ RESULTS:

> $|00\rangle$  and 00 with probability  $|\alpha_{00}|^2$  $|01\rangle$  and 01 with probability  $|\alpha_{01}|^2$  $|10\rangle$  and 10 with probability  $|\alpha_{10}|^2$  $|11\rangle$  and 11 with probability  $|\alpha_{11}|^2$

Measurement of particular qubits:

By measuring the first qubit we get

0 with probability 
$$|\alpha_{00}|^2 + |\alpha_{01}|^2$$
  
and  $|\phi\rangle$  is reduced to the vector  $\frac{\alpha_{00}|00\rangle + \alpha_{01}|01\rangle}{\sqrt{|\alpha_{10}|^2 + |\alpha_{11}|^2}}$   
1 with probability  $|\alpha_{10}|^2 + |\alpha_{11}|^2$   
and  $|\phi\rangle$  is reduced to the vector  $\frac{\alpha_{10}|10\rangle + \alpha_{11}|11\rangle}{\sqrt{|\alpha_{10}|^2 + |\alpha_{11}|^2}}$ 

INFORMAL VERSION: Unknown quantum state cannot be cloned.

FORMAL VERSION: There is no unitary transformation U such that for any qubit state  $|\psi\rangle$ 

 $U(|\psi
angle|0
angle) = |\psi
angle|\psi
angle$ 

**PROOF:** Assume U exists and for two different states |lpha
angle and |eta
angle

$$U(|lpha
angle|0
angle) = |lpha
angle \qquad U(|eta
angle|0
angle) = |eta
angle|eta
angle$$

Let

$$|\gamma
angle = rac{1}{\sqrt{2}}(|lpha
angle + |eta
angle)$$

Then

$$U(|\gamma\rangle|0\rangle) = \frac{1}{\sqrt{2}}(|\alpha\rangle|\alpha\rangle + |\beta\rangle|\beta\rangle) \neq |\gamma\rangle|\gamma\rangle = \frac{1}{\sqrt{2}}(|\alpha\rangle|\alpha\rangle + |\beta\rangle|\beta\rangle + |\alpha\rangle|\beta\rangle + |\beta\rangle|\alpha\rangle)$$

However, CNOT can make copies of basis states  $|0\rangle, |1\rangle$ :

$$CNOT(|x\rangle|0\rangle) = |x\rangle|x\rangle$$

### States

$$egin{aligned} |\Phi^+
angle &=rac{1}{\sqrt{2}}(|00
angle+|11
angle), & |\Phi^-
angle &=rac{1}{\sqrt{2}}(|00
angle-|11
angle) \ |\Psi^+
angle &=rac{1}{\sqrt{2}}(|01
angle+|10
angle), & |\Psi^-
angle &=rac{1}{\sqrt{2}}(|01
angle-|10
angle) \end{aligned}$$

form an orthogonal (Bell) basis in  $H_4$  and play an important role in quantum computing.

Theoretically, there is an observable for this basis. However, no one has been able to construct a measuring device for Bell measurement using linear elements only.

# QUANTUM n-qubit REGISTER

A general state of an n-qubit register has the form:

$$|\phi
angle = \sum_{i=0}^{2^n-1} lpha_i |i
angle = \sum_{i\in\{0,1\}^n} lpha_i |i
angle$$
, where  $\sum_{i=0}^{2^n-1} |lpha_i|^2 = 1$ 

and  $|\phi\rangle$  is a vector in  $H_{2^n}$ .

Operators on n-qubits registers are unitary matrices of degree  $2^n$ .

Is it difficult to create a state of an n-qubit register?

In general yes, in some important special cases not. For example, if n-qubit Hadamard transformation

$$H_n = \bigotimes_{i=1}^n H.$$

is used then

$$H_n|0^{(n)}\rangle = \otimes_{i=1}^n H|0\rangle = \otimes_{i=1}^n |0'\rangle = |0'^{(n)}\rangle = \frac{1}{\sqrt{2^n}} \sum_{i=0}^{2^n-1} |i\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} |x\rangle$$

and, in general, for  $x \in \{0,1\}^n$ 

$$|H_n|x\rangle = rac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} (-1)^{x \cdot y} |y\rangle.$$

<sup>1</sup>The dot product is defined as follows:  $x \cdot y = \bigotimes_{i=1}^{n} x_i y_i$ .

### QUANTUM PARALLELISM

lf

$$f: \{0, 1, \dots, 2^n - 1\} \Rightarrow \{0, 1, \dots, 2^n - 1\}$$

then the mapping

$$f':(x,0)\Rightarrow(x,f(x))$$

is one-to-one and therefore there is a unitary transformation  $U_f$  such that.

$$U_f(|x\rangle|0\rangle) \Rightarrow |x\rangle|f(x)\rangle$$

Let us have the state

$$|\Psi
angle = rac{1}{\sqrt{2^n}}\sum_{i=0}^{2^n-1}|i
angle|0
angle$$

With a single application of the mapping  $U_f$  we then get

$$|U_f|\Psi
angle=rac{1}{\sqrt{2^n}}\sum_{i=0}^{2^n-1}|i
angle|f(i)
angle$$

# OBSERVE THAT IN A SINGLE COMPUTATIONAL STEP 2" VALUES OF f ARE COMPUTED!

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#### In quantum superposition or in quantum parallelism? NOT, in QUANTUM ENTANGLEMENT!

 $egin{aligned} \mathsf{Let} \ |\psi
angle = rac{1}{\sqrt{2}}(|00
angle + |11
angle) \end{aligned}$ 

be a state of two very distant particles, **for example** on two planets Measurement of one of the particles, with respect to the standard basis, makes the above state to collapse to one of the states

|00
angle or |11
angle.

This means that subsequent measurement of other particle (on another planet) provides the same result as the measurement of the first particle. This indicate that in quantum world non-local influences, correlations, exist. Quantum state  $|\Psi\rangle$  of a composed bipartite quantum system  $A \otimes B$  is called entangled if it cannot be decomposed into tensor product of the states from A and B.

Quantum entanglement is an important quantum resource that allows

- To create phenomena that are impossible in the classical world (for example teleportation)
- To create quantum algorithms that are asymptotically more efficient than any classical algorithm known for the same problem.
- To create communication protocols that are asymptotically more efficient than classical communication protocols for the same task
- To create, for two parties, shared secret binary keys
- To increase capacity of quantum channels

 Security of classical cryptography is based on unproven assumptions of computational complexity (and it can be jeopardize by progress in algorithms and/or technology).

Security of quantum cryptography is based on laws of quantum physics that allow to build systems where undetectable eavesdropping is impossible.

Since classical cryptography is vulnerable to technological improvements it has to be designed in such a way that a secret is secure with respect to **future technology**, during the whole period in which the secrecy is required.

Quantum key generation, on the other hand, needs to be designed only to be secure against technology available at the moment of key generation.

Quantum protocols for using quantum systems to achieve unconditionally secure generation of secret (classical) keys by two parties are one of the main theoretical achievements of quantum information processing and communication research.

Moreover, experimental systems for implementing such protocols are one of the main achievements of experimental quantum information processing research.

It is believed and hoped that it will be

quantum key generation (QKG)

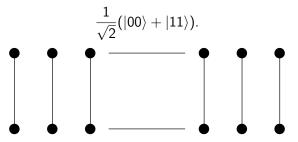
another term is

quantum key distribution (QKD)

where one can expect the first

transfer from the experimental to the development stage.

Let Alice and Bob share n pairs of particles in the entangled EPR-state.



n pairs of particles in EPR state

If both of them measure their particles in the standard basis, then they get, as the classical outcome of their measurements the same random, shared and secret binary key of length n.

# POLARIZATION of PHOTONS

Polarized photons are currently mainly used for experimental quantum key generation.

Photon, or light quantum, is a particle composing light and other forms of electromagnetic radiation.

Photons are electromagnetic waves and their electric and magnetic fields are perpendicular to the direction of propagation and also to each other.

An important property of photons is polarization – it refers to the bias of the electric field in the electromagnetic field of the photon.

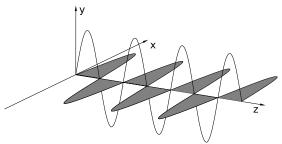


Figure 6: Electric and magnetic fields of a linearly polarized photon

## POLARIZATION of PHOTONS

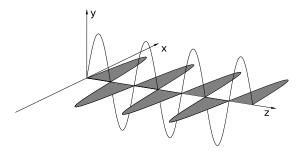


Figure 6: Electric and magnetic fields of a linearly polarized photon

If the electric field vector is always parallel to a fixed line we have linear polarization (see Figure).

There is no way to determine exactly polarization of a single photon.

However, for any angle  $\theta$  there are  $\theta$ -polarizers – "filters" – that produce  $\theta$ -polarized photons from an incoming stream of photons and they let  $\theta_1$ -polarized photons to get through with probability  $\cos^2(\theta - \theta_1)$ .

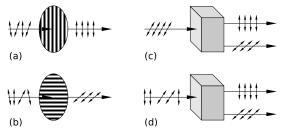


Figure 6: Photon polarizers and measuring devices-80%

Photons whose electronic fields oscillate in a plane at either  $0^{\circ}$  or  $90^{\circ}$  to some reference line are called usually rectilinearly polarized and those whose electric field oscillates in a plane at  $45^{\circ}$  or  $135^{\circ}$  as diagonally polarized. Polarizers that produce only vertically or horizontally polarized photons are depicted in Figure 6 a, b.

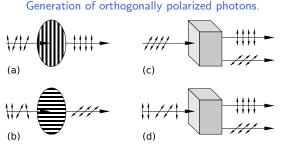


Figure 6: Photon polarizers and measuring devices-80%

For any two orthogonal polarizations there are generators that produce photons of two given orthogonal polarizations. For example, a calcite crystal, properly oriented, can do the job.

Fig. c – a calcite crystal that makes  $\theta$ -polarized photons to be horizontally (vertically) polarized with probability  $\cos^2\theta(\sin^2\theta)$ .

Fig. d – a calcite crystal can be used to separate horizontally and vertically polarized photons.

Very basic setting Alice tries to send a quantum system to Bob and an eavesdropper tries to learn, or to change, as much as possible, without being detected.

Eavesdroppers have this time especially hard time, because quantum states cannot be copied and cannot be measured without causing, in general, a disturbance.

Key problem: Alice prepares a quantum system in a specific way, unknown to the eavesdropper, Eve, and sends it to Bob.

The question is how much information can Eve extract of that quantum system and how much it costs in terms of the disturbance of the system.

### Three special cases

- $\blacksquare$  Eve has no information about the state  $|\psi\rangle$  Alice sends.
- **Eve** knows that  $|\psi\rangle$  is one of the states of an orthonormal basis  $\{|\phi_i\rangle\}_{i=1}^n$ .
- Solution Eve knows that  $|\psi\rangle$  is one of the states  $|\phi_1\rangle, \ldots, |\phi_n\rangle$  that are not mutually orthonormal and that  $p_i$  is the probability that  $|\psi\rangle = |\phi_i\rangle$ .

If Alice sends randomly chosen bit

0 encoded randomly as  $|0\rangle$  or  $|0'\rangle$ 

or

1 encoded as randomly as  $|1\rangle$  or  $|1'\rangle$ 

and Bob measures the encoded bit by choosing randomly the standard or the dual basis, then the probability of error is  $\frac{1}{4}=\frac{2}{8}$ 

If Eve measures the encoded bit, sent by Alice, according to the randomly chosen basis, standard or dual, then she can learn the bit sent with the probability 75%.

If she then sends the state obtained after the measurement to Bob and he measures it with respect to the standard or dual basis, randomly chosen, then the probability of error for his measurement is  $\frac{3}{8}$  – a 50% increase with respect to the case there was no eavesdropping.

Indeed the error is

$$\frac{1}{2} \cdot \frac{1}{4} + \frac{1}{2} \left( \frac{1}{2} \cdot \frac{1}{4} + \frac{1}{2} \cdot \frac{3}{4} \right) = \frac{3}{8}$$

# BB84 QUANTUM KEY GENERATION PROTOCOL

Quantum key generation protocol BB84 (due to Bennett and Brassard), for generation of a key of length n, has several phases:

#### Preparation phase

Alice is assumed to have four transmitters of photons in one of the following four polarizations 0, 45, 90 and 135 degrees

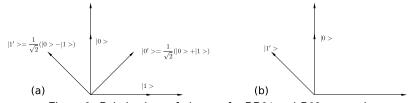


Figure 8: Polarizations of photons for BB84 and B92 protocols

Expressed in a more general form, Alice uses for encoding states from the set  $\{|0\rangle,|1\rangle,|0'\rangle,|1'\rangle\}.$ 

Bob has a detector that can be set up to distinguish between rectilinear polarizations (0 and 90 degrees) or can be quickly reset to distinguish between diagonal polarizations (45 and 135 degrees).

# BB84 QUANTUM KEY GENERATION PROTOCOL

(In accordance with the laws of quantum physics, there is no detector that could distinguish between unorthogonal polarizations.)

(In a more formal setting, Bob can measure the incomming photons either in the standard basis  $B = \{|0\rangle, |1\rangle\}$  or in the dual basis  $D = \{|0'\rangle, |1'\rangle\}$ .

To send a bit 0 (1) of her first random sequence through a quantum channel Alice chooses, on the basis of her second random sequence, one of the encodings  $|0\rangle$  or  $|0'\rangle$  ( $|1\rangle$  or  $|1'\rangle$ ), i.e., in the standard or dual basis,

Bob chooses, each time on the base of his private random sequence, one of the bases B or D to measure the photon he is to receive and he records the results of his measurements and keeps them secret.

Alice's	Bob's	Alice's state	The result	Correctness
encodings observables		relative to Bob	and its probability	
0  ightarrow  0 angle	0  ightarrow B	$ 0\rangle$	0 (prob. 1)	correct
	1  ightarrow D	$\frac{1}{\sqrt{2}}( 0' angle+ 1' angle)$	$0/1 (prob. \frac{1}{2})$	random
0  ightarrow  0' angle	0  ightarrow B	$\frac{1}{\sqrt{2}}( 0 angle+ 1 angle)$	$0/1 (prob. \frac{1}{2})$	random
	1  ightarrow D	0'>	0 (prob. 1)	correct
1  ightarrow  1 angle	0  ightarrow B	$ 1\rangle$	1 (prob. 1)	correct
	1  ightarrow D	$\frac{1}{\sqrt{2}}(\ket{0'} - \ket{1'})$	$0/1 (prob. \frac{1}{2})$	random
$\fbox{1} \rightarrow \ket{1'}$	0  ightarrow B	$\frac{1}{\sqrt{2}}( 0 angle+ 1 angle)$	$0/1$ (prob. $\frac{1}{2}$ )	random
	1  ightarrow D	$ 1'\rangle$	1 (prob. 1)	correct

Figure 9: Quantum cryptography with BB84 protocol

Figure 9 shows the possible results of the measurements and their probabilities.

An example of an encoding – decoding process is in the Figure 10.

#### Raw key extraction

Bob makes public the sequence of bases he used to measure the photons he received – but not the results of the measurements – and Alice tells Bob, through a classical channel, in which cases he has chosen the same basis for measurement as she did for encoding. The corresponding bits then form the basic raw key.

1	0	0	0	1	1	0	0	0	1	1	Alice's random sequence
$ 1\rangle$	$ 0'\rangle$	$ 0\rangle$	$ 0'\rangle$	$ 1\rangle$	$ 1'\rangle$	$ 0'\rangle$	$ 0\rangle$	$ 0\rangle$	$ 1\rangle$	$ 1'\rangle$	Alice's polarizations
0	1	1	1	0	0	1	0	0	1	0	Bob's random sequence
В	D	D	D	В	В	D	В	В	D	В	Bob's observable
1	0	R	0	1	R	0	0	0	R	R	outcomes

Figure 10: Quantum transmissions in the BB84 protocol – R stands for the case that the result of the measurement is random.

#### Test for eavesdropping

Alice and Bob agree on a sequence of indices of the raw key and make the corresponding bits of their raw keys public.

**Case 1.** Noiseless channel. If the subsequences chosen by Alice and Bob are not completely identical eavesdropping is detected. Otherwise, the remaining bits are taken as creating the final key.

**Case 2.** Noisy channel. If the subsequences chosen by Alice and Bob contains more errors than the admitable error of the channel (that has to be determined from channel characteristics), then eavesdropping is assumed. Otherwise, the remaining bits are taken as the next result of the raw key generation process.

#### Error correction phase

In the case of a noisy channel for transmission it may happen that Alice and Bob have different raw keys after the key generation phase.

A way out is to use qa special error correction techniques and at the end of this stage both Alice and Bob share identical keys.

#### Privacy amplification phase

One problem remains. Eve can still have quite a bit of information about the key both Alice and Bob share. Privacy amplification is a tool to deal with such a case.

Privacy amplification is a method how to select a short and very secret binary string s from a longer but less secret string s'. The main idea is simple. If |s| = n, then one picks up n random subsets  $S_1, \ldots, S_n$  of bits of s' and let  $s_i$ , the i-th bit of S, be the parity of  $S_i$ . One way to do it is to take a random binary matrix of size  $|s| \times |s'|$  and to perform multiplication  $Ms'^T$ , where  $s'^T$  is the binary column vector corresponding to s'.

The point is that even in the case where an eavesdropper knows quite a few bits of s', she will have almost no information about s.

More exactly, if Eve knows parity bits of k subsets of s', then if a random subset of bits of s' is chosen, then the probability that Eve has any information about its parity bit is less than  $\frac{2^{-(n-k-1)}}{\ln 2}$ .

### Successes

- **I** Transmissions using optical fibers to the distance of 120 km.
- Open air transmissions to the distance 144 km at day time (from one pick of Canary Islands to another).
- Next goal: earth to satellite transmissions.

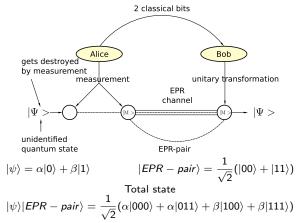
All current systems use optical means for quantum state transmissions

### Problems and tasks

- No single photon sources are available. Weak laser pulses currently used contains in average 0.1 - 0.2 photons.
- Loss of signals in the fiber. (Current error rates: 0,5 4%)
- To move from the experimental to the developmental stage.

### QUANTUM TELEPORTATION

Quantum teleportation allows to transmit unknown quantum information to a very distant place in spite of impossibility to measure or to broadcast information to be transmitted.



Measurement of the first two qubits is done with respect to the "Bell basis":

$$\begin{split} |\Phi^+\rangle &= \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle) & |\Phi^-\rangle &= \frac{1}{\sqrt{2}} (|00\rangle - |11\rangle) \\ |\Psi^+\rangle &= \frac{1}{\sqrt{2}} (|01\rangle + |10\rangle) & |\Psi^-\rangle &= \frac{1}{\sqrt{2}} (|01\rangle - |10\rangle) \end{split}$$

## QUANTUM TELEPORTATION I

Total state of three particles:

$$|\psi\rangle|\text{EPR} - \text{pair}
angle = rac{1}{\sqrt{2}}(lpha|000
angle + lpha|011
angle + eta|100
angle + eta|111
angle)$$

can be expressed as follows:

$$\begin{split} |\psi\rangle|EPR-pair\rangle &= |\Phi^+\rangle \frac{1}{\sqrt{2}} (\alpha|0\rangle + \beta|1\rangle) + |\Psi^+\rangle \frac{1}{\sqrt{2}} (\beta|0\rangle + \alpha|1\rangle) + |\Phi^-\rangle \frac{1}{\sqrt{2}} (\alpha|0\rangle - \beta|1\rangle) + |\Psi^-\rangle \frac{1}{\sqrt{2}} (-\beta|0\rangle + \alpha|1\rangle) \end{split}$$

and therefore Bell measurement of the first two particles projects the state of Bob's particle into a "small modification"  $|\psi_1\rangle$  of the state  $|\psi\rangle = \alpha |0\rangle + \beta |1\rangle$ ,

 $|\Psi_1
angle =$  either  $|\Psi
angle$  or  $\sigma_x|\Psi
angle$  or  $\sigma_z|\Psi
angle$  or  $\sigma_x\sigma_z|\psi
angle$ 

The unknown state  $|\psi\rangle$  can therefore be obtained from  $|\psi_1\rangle$  by applying one of the four operations

$$\sigma_x, \sigma_y, \sigma_z, I$$

and the result of the Bell measurement provides two bits specifying which of the above four operations should be applied.

These four bits Alice needs to send to Bob using a classical channel (by email, for example).

### QUANTUM TELEPORTATION II

If the first two particles of the state

$$\begin{split} |\psi\rangle|EPR-\textit{pair}\rangle &= |\Phi^+\rangle \frac{1}{\sqrt{2}} (\alpha|0\rangle + \beta|1\rangle) + |\Psi^+\rangle \frac{1}{\sqrt{2}} (\beta|0\rangle + \alpha|1\rangle) + |\Phi^-\rangle \frac{1}{\sqrt{2}} (\alpha|0\rangle - \beta|1\rangle) + |\Psi^-\rangle \frac{1}{\sqrt{2}} (-\beta|0\rangle + \alpha|1\rangle) \end{split}$$

are measured with respect to the Bell basis then Bob's particle gets into the mixed state

$$\left(\frac{1}{4},\alpha|\mathbf{0}\rangle+\beta|\mathbf{1}\rangle\right)\oplus\left(\frac{1}{4},\alpha|\mathbf{0}\rangle-\beta|\mathbf{1}\rangle\right)\oplus\left(\frac{1}{4},\beta|\mathbf{0}\rangle+\alpha|\mathbf{1}\rangle\right)\oplus\left(\frac{1}{4},\beta|\mathbf{0}\rangle-\alpha|\mathbf{1}\rangle\right)$$

to which corresponds the density matrix

$$\frac{1}{4} \binom{\alpha^*}{\beta^*} (\alpha, \beta) + \frac{1}{4} \binom{\alpha^*}{-\beta^*} (\alpha, -\beta) + \frac{1}{4} \binom{\beta^*}{\alpha^*} (\beta, \alpha) + \frac{1}{4} \binom{\beta^*}{-\alpha^*} (\beta, -\alpha) = \frac{1}{2} I$$

The resulting density matrix is identical to the density matrix for the mixed state

$$\left(rac{1}{2}, |0
ight
angle \oplus \left(rac{1}{2}, |1
ight
angle 
ight)$$

Indeed, the density matrix for the last mixed state has the form

$$\frac{1}{2}\binom{1}{0}(1,0) + \frac{1}{2}\binom{0}{1}(0,1) = \frac{1}{2}\sqrt{2}$$

 $\blacksquare$  Alice can be seen as dividing information contained in  $|\psi\rangle$  into

- quantum information transmitted through EPR channel
- classical information transmitted through a classical cahnnel
- In a quantum teleportation an unknown quantum state  $|\phi\rangle$  can be disassembled into, and later reconstructed from, two classical bit-states and an maximally entangled pure quantum state.
- Using quantum teleportation an unknown quantum state can be teleported from one place to another by a sender who does need to know – for teleportation itself – neither the state to be teleported nor the location of the intended receiver.
- The teleportation procedure can not be used to transmit information faster than light

#### but

it can be argued that quantum information presented in unknown state is transmitted instanteneously (except two random bits to be transmitted at the speed of light at most).

EPR channel is irreversibly destroyed during the teleportation process.

- In Cambridge connecting Harward, Boston Uni, and BBN Technology (10,19 and 29 km).
- Currently 6 nodes, in near future 10 nodes.
- Continuously operating since March 2004
- Three technologies: lasers through optic fibers, entanglement through fiber and free-space QKD (in future two versions of it).
- Implementation of BB84 with authentication, sifting error correction and privacy amplification.
- One 2x2 switch to make sender-receiver connections
- Capability to overcome several limitations of stand-alone QKD systems.

- QIPC is believed to lead to new Quantum Information Processing Technology that could have broad impacts.
- Several areas of science and technology are approaching such points in their development where they badly need expertise with storing, transmision and processing of particles.
- It is increasingly believed that new, quantum information processing based, understanding of (complex) quantum phenomena and systems can be developed.
- Quantum cryptography seems to offer new level of security and be soon feasible.
- QIPC has been shown to be more efficient in interesting/important cases.

The main task at quantum computation is to express solution of a given problem P as a unitary matrix U and then to construct a circuit  $C_U$  with elementary quantum gates from a universal sets of quantum gates to realize U.

A simple universal set of quantum gates consists of gates.

$$CNOT = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}, \sigma_z^{\frac{1}{4}} = \begin{pmatrix} 1 & 0 \\ 0 & e^{\frac{\pi}{4}i} \end{pmatrix}$$

The first really satisfactory results, concerning universality of gates, have been due to Barenco et al. (1995)

Theorem 0.1 CNOT gate and all one-qubit gates form a universal set of gates.

The proof is in principle a simple modification of the RQ-decomposition from linear algebra. Theorem 0.1 can be easily improved:

Theorem 0.2 CNOT gate and elementary rotation gates

$${\sf R}_lpha( heta)=\cosrac{ heta}{2}{\sf I}-i\sinrac{ heta}{2}\sigma_lpha\qquad {
m for}\,\,lpha\in\{x,y,z\}$$

form a universal set of gates.

Quantum algorithms are methods of using quantum circuits and processors to solve algorithmic problems.

On a more technical level, a design of a quantum algorithm can be seen as a process of an efficient decomposition of a complex unitary transformation into products of elementary unitary operations (or gates), performing simple local changes.

The four main features of quantum mechanics that are exploited in quantum computation:

- Superposition;
- Interference;
- Entanglement;
- Measurement.

Deutsch problem: Given is a black-box function f:  $\{0,1\} \to \{0,1\}$ , how many queries are needed to find out whether f is constant or balanced: Classically: 2 Quantumly: 1

Deutsch-Jozsa Problem: Given is a black-box function  $f : \{0,1\}^n \to \{0,1\}$  and a promise that f is either constant or balanced, how many querries are needed to find out whether f is constant or balanced.

Classically: n

Quantumly 1

Factorization of integers: all classical algorithms are exponential. Peter Shor developed polynomial time quantum algorithm

Search of an element in an unordered database of n elements: Clasically n queries are needed in the worst case Lov Grover showen that quantumly  $\sqrt{n}$  queries are enough