## Chapter 6: Formal Relational Query Languages

Database System Concepts, $6^{\text {th }}$ Ed.
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## Chapter 6: Formal Relational Query Languages

- Relational Algebra
- Tuple Relational Calculus
- Domain Relational Calculus


## Relational Algebra

- Procedural language
- Six basic operators
- select: $\sigma$
- project: $\Pi$
- union: $\cup$
- set difference: -
- Cartesian product: $\times$
- rename: $\rho$
- The operators take one or two relations as inputs and produce a new relation as a result.


## Select Operation - Example

- Relation r

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 1 | 7 |
| $\alpha$ | $\beta$ | 5 | 7 |
| $\beta$ | $\beta$ | 12 | 3 |
| $\beta$ | $\beta$ | 23 | 10 |

- $\sigma_{\mathrm{A}=\mathrm{B} \wedge \mathrm{D}>5}(\mathrm{r})$

| $A$ | $B$ | $C$ | $D$ |
| :--- | :--- | :--- | :--- |
| $\alpha$ | $\alpha$ | 1 | 7 |
| $\beta$ | $\beta$ | 23 | 10 |

## Select Operation

- Notation: $\sigma_{p}(r)$
- $p$ is called the selection predicate
- Defined as:

$$
\sigma_{p}(\boldsymbol{r})=\{t \mid t \in r \text { and } p(t)\}
$$

Where $p$ is a formula in propositional calculus consisting of terms connected by conjunctions: $\wedge($ and $), \vee($ or $), \neg($ not $)$

```
formula := term
        term <conjunction> term
        ( term )
term := expr
        expr <op> expr
        ( expr )
expr := attribute
        constant
<op> is one of: =, \not=,>, \geq, <, \leq
```

- Example of selection:

$$
\sigma_{\text {dept_name=‘Physics’ }} \text { (instructor) }
$$

## Project Operation - Example

- Relation $r$ :

| $A$ | $B$ | $C$ |
| :--- | :--- | :--- |
| $\alpha$ | 10 | 1 |
| $\alpha$ | 20 | 1 |
| $\beta$ | 30 | 1 |
| $\beta$ | 40 | 2 |

- $\Pi_{\mathrm{A}, \mathrm{C}}(r)$

| $A$ | $C$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\alpha$ | 1 |
| $\beta$ | 1 |
| $\beta$ | 2 |$=$| $A$ | $C$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\beta$ | 1 |
| $\beta$ | 2 |

## Project Operation

- Notation:

$$
\Pi_{A_{1}, A_{2}, \ldots, A_{k}}(r)
$$

where $A_{1}, A_{2}$ are attribute names and $r$ is a relation name.

- The result is defined as the relation of $k$ columns obtained by erasing the columns that are not listed
- Duplicate rows removed from result, since relations are sets
- Example: To eliminate the dept_name attribute of instructor
$\Pi_{I D, \text { name, salary }}$ (instructor)


## Union Operation - Example

- Relations $r$, $s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
|  |  |


| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 2 |
| $\beta$ | 3 |
| $s$ |  |

- $r \cup s:$

| $A$ | $B$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $\beta$ | 3 |

## Union Operation

- Notation: $r \cup s$
- Defined as:

$$
r \cup s=\{t \mid t \in r \text { or } t \in s\}
$$

- For $r \cup s$ to be valid.

1. $r$, $s$ must have the same arity (same number of attributes)
2. The attribute domains must be compatible (example: $2^{\text {nd }}$ column of $r$ deals with the same type of values as does the $2^{\text {nd }}$ column of $s$ )

- Example: to find all courses taught in the Fall 2009 semester, or in the Spring 2010 semester, or in both

$$
\begin{aligned}
& \Pi_{\text {course_id }}\left(\sigma_{\text {semester="Fall" }} \wedge \text { year=2009 }(\text { section })\right) \cup \\
& \Pi_{\text {course_id }}\left(\sigma_{\text {semester="Spring" }} \wedge \text { year=2010 }(\text { section })\right)
\end{aligned}
$$

## Set difference of two relations

- Relations $r, s$ :


| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 2 |
| $\beta$ | 3 |
| $s$ |  |

- $r-s$ :

| $A$ | $B$ |
| :--- | :--- |
| $\alpha$ | 1 |
| $\beta$ | 1 |

## Set Difference Operation

- Notation $r-s$
- Defined as:

$$
r-s=\{t \mid t \in r \text { and } \mathrm{t} \notin s\}
$$

- Set differences must be taken between compatible relations.
- $\quad r$ and $s$ must have the same arity
- attribute domains of $r$ and $s$ must be compatible
- Example: to find all courses taught in the Fall 2009 semester, but not in the Spring 2010 semester

$$
\begin{aligned}
& \Pi_{\text {course_id }}\left(\sigma_{\text {semester="Fall" }} \wedge \text { year=2009 }(\text { section })\right)- \\
& \Pi_{\text {course_id }}\left(\sigma_{\text {semester="Spring" }} \wedge \text { year=2010 }(\text { section })\right)
\end{aligned}
$$

## Cartesian-Product Operation - Example

- Relations $r$, $s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\beta$ | 2 |
| $r$ |  |


| $C$ | $D$ | $E$ |
| :---: | :---: | :---: |
| $\alpha$ | 10 | a |
| $\beta$ | 10 | a |
| $\beta$ | 20 | b |
| $\gamma$ | 10 | b |
| $s$ |  |  |

- $r \times s:$

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :--- | :--- | :--- | :--- | :--- |
| $\alpha$ | 1 | $\alpha$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 20 | b |
| $\alpha$ | 1 | $\gamma$ | 10 | b |
| $\beta$ | 2 | $\alpha$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 20 | b |
| $\beta$ | 2 | $\gamma$ | 10 | b |

## Cartesian-Product Operation

- Notation $r \times s$
- Defined as:

$$
r \times s=\{t q \mid t \in r \text { and } q \in s\}
$$

- Assume that attributes of $r(R)$ and $s(S)$ are disjoint.
- That is, $R \cap S=\varnothing$.
- If attributes of $r(R)$ and $s(S)$ are not disjoint, then renaming must be used.


## Composition of Operations

- Can build expressions using multiple operations
- Example: $\sigma_{\mathrm{A}=\mathrm{C}}(r \times s)$
- $r \times s$

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :---: | :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 10 | a |
| $\alpha$ | 1 | $\beta$ | 20 | b |
| $\alpha$ | 1 | $\gamma$ | 10 | b |
| $\beta$ | 2 | $\alpha$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 20 | b |
| $\beta$ | 2 | $\gamma$ | 10 | b |

- $\sigma_{\mathrm{A}=\mathrm{C}}(r \times s)$

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :--- | :--- | :--- | :--- | :--- |
| $\alpha$ | 1 | $\alpha$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 10 | a |
| $\beta$ | 2 | $\beta$ | 20 | b |

## Rename Operation

- Allows us to name, and therefore to refer to, the results of relationalalgebra expressions.
- Allows us to refer to a relation by more than one name.
- Example:

$$
\rho_{x}(E)
$$

returns the expression $E$ under the name $X$

- If a relational-algebra expression $E$ has arity $n$, then

$$
\rho_{x\left(A_{1}, A_{2}, \ldots, A_{n}\right)}(E)
$$

returns the result of expression $E$ under the name $X$, and with the attributes renamed to $A_{1}, A_{2}, \ldots, A_{n}$.

## Example Query

- Find the largest salary in the university
- Step 1: find instructor salaries that are less than some other instructor salary (i.e. not maximum)
- using a copy of instructor under a new name d
- $\Pi_{\text {instructor.salary }}$ ( $\sigma_{\text {instructor.salary }}$ < d.salary (instructor $\times \rho_{d}$ (instructor)))
- Step 2: Find the largest salary
- $\Pi_{\text {salary }}$ (instructor) -

$$
\begin{array}{r}
\Pi_{\text {instructor.salary }}\left(\sigma_{\text {instructor.salary }}\right. \text { d.salary } \\
\\
\left(\text { instructor } \times \rho_{d}(\text { instructor })\right)
\end{array}
$$

## Example Queries

- Find the names of all instructors in the Physics department, along with the course_id of all courses they have taught
- Query 1

$$
\begin{aligned}
& \prod_{\text {instructor.ID,course_id }}\left(\sigma_{\text {dept_name=‘Physics' }}( \right. \\
& \left.\left.\sigma_{\text {instructor.ID=teaches.ID }}(\text { instructor } \times \text { teaches })\right)\right)
\end{aligned}
$$

- Query 2
$\prod_{\text {instructor.ID,course_id }}$ ( $\sigma_{\text {instructor.ID=teaches.ID }}$ (

$$
\left.\left.\sigma_{\text {dept_name='Physics' }}(\text { instructor }) \times \text { teaches }\right)\right)
$$

## Formal Definition

- A basic expression in the relational algebra consists of either one of the following:
- A relation in the database
- A constant relation
- Let $E_{1}$ and $E_{2}$ be relational-algebra expressions; the following are all relational-algebra expressions:
- $E_{1} \cup E_{2}$
- $E_{1}-E_{2}$
- $E_{1} \times E_{2}$
- $\sigma_{p}\left(E_{1}\right), P$ is a predicate on attributes in $E_{1}$
- $\Pi_{S}\left(E_{1}\right), S$ is a list consisting of some of the attributes in $E_{1}$
- $\rho_{x}\left(E_{1}\right), x$ is the new name for the result of $E_{1}$


## Additional Operations

We define additional operations that do not add any power to the relational algebra, but that simplify common queries.

- Set intersection
- Natural join
- Outer join
- Assignment


## Set-Intersection Operation

- Notation: $r \cap s$
- Defined as:
- $r \cap s=\{t \mid t \in r$ and $t \in s\}$
- Assume:
- $r, s$ have the same arity
- attributes of $r$ and $s$ are compatible
- Note: $r \cap s=r-(r-s)=s-(s-r)$


## Set-Intersection Operation - Example

- Relation $r, s$ :

| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $r$ |  |$\quad$| $A$ | $B$ |
| :---: | :---: |
| $\alpha$ | 2 |
| $\beta$ | 3 |
| $s$ |  |

$\square \quad r \cap s$

| $A$ | $B$ |
| :--- | :--- |
| $\alpha$ | 2 |

## Natural-Join Operation

- Notation: $\mathrm{r} \bowtie \mathrm{s}$
- Let $r$ and $s$ be relations on schemas $R$ and $S$ respectively. Then, $\mathrm{r} \bowtie \mathrm{s}$ is a relation on schema $R \cup S$ obtained as follows:
- Consider each pair of tuples $t_{r}$ from $r$ and $t_{s}$ from $s$.
- If $t_{r}$ and $t_{s}$ have the same value on each of the attributes in $R \cap S$, add a tuple $t$ to the result, where
, $t$ has the same value as $t_{r}$ on $r$
- $t$ has the same value as $t_{s}$ on $s$
- Example:

$$
\begin{aligned}
& R=(A, B, C, D) \\
& S=(E, B, D)
\end{aligned}
$$

- Result schema $=(A, B, C, D, E)$
- $r \bowtie s$ is defined as:

$$
\Pi_{r . A, r . B, r . C, r . D, s . E}\left(\sigma_{r . B=s . B \wedge r . D=s . D}(r \times s)\right)
$$

## Natural Join Example

- Relations $\mathrm{r}, \mathrm{s}$ :

| $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | a |
| $\beta$ | 2 | $\gamma$ | a |
| $\gamma$ | 4 | $\beta$ | b |
| $\alpha$ | 1 | $\gamma$ | a |
| $\delta$ | 2 | $\beta$ | b |
| $r$ |  |  |  |


| $B$ | $D$ | $E$ |
| :---: | :---: | :---: |
| 1 | a | $\alpha$ |
| 3 | a | $\beta$ |
| 1 | a | $\gamma$ |
| 2 | b | $\delta$ |
| 3 | b | $\varepsilon$ |
| $s$ |  |  |

- r』s

| $A$ | $B$ | $C$ | $D$ | $E$ |
| :---: | :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | a | $\alpha$ |
| $\alpha$ | 1 | $\alpha$ | a | $\gamma$ |
| $\alpha$ | 1 | $\gamma$ | a | $\alpha$ |
| $\alpha$ | 1 | $\gamma$ | a | $\gamma$ |
| $\delta$ | 2 | $\beta$ | b | $\delta$ |

## Natural Join and Theta Join

- Find the names of all instructors in the Comp. Sci. department together with the course titles of all the courses that the instructors teach
- $\prod_{\text {name, title }}\left(\sigma\right.$ dept_name=‘Comp. Sci.' ${ }^{\prime}$ instructor $\bowtie$ teaches $\bowtie$ course))
- Natural join is associative
- (instructor $\bowtie$ teaches) $\bowtie$ course is equivalent to instructor $\bowtie$ (teaches $\bowtie$ course)
- Natural join is commutative
- instructor $\bowtie$ teaches is equivalent to teaches $\bowtie$ instructor
- The theta join operation $r \bowtie_{\theta} s$ is defined as
- $r \bowtie_{\theta} s=\sigma_{\theta}(r \times s)$


## Outer Join

- An extension of the join operation that avoids loss of information.
- Computes the join and then adds tuples form one relation that does not match tuples in the other relation to the result of the join.
- Uses null values:
- null signifies that the value is unknown or does not exist
- All comparisons involving null are (roughly speaking) false by definition.
- We shall study precise meaning of comparisons with nulls later


## Outer Join - Example

- Relation instructor1

| ID | name | dept_name |
| :--- | :--- | :---: |
| 10101 | Srinivasan | Comp. Sci. |
| 12121 | Wu | Finance |
| 15151 | Mozart | Music |

- Relation teaches1

| ID | course_id |
| :--- | :--- |
| 10101 | CS-101 |
| 12121 | FIN-201 |
| 76766 | BIO-101 |

## Outer Join - Example

- Join
instructor $\bowtie$ teaches

| $I D$ | name | dept_name | course_id |
| ---: | :--- | :---: | :--- |
| 10101 | Srinivasan | Comp. Sci. | CS-101 |
| 12121 | Wu | Finance | FIN-201 |

■ Left Outer Join instructor $\triangle$ teaches

| $I D$ | name | dept_name | course_id |
| ---: | :--- | :---: | :--- |
| 10101 | Srinivasan | Comp. Sci. | CS-101 |
| 12121 | Wu | Finance | FIN-201 |
| 15151 | Mozart | Music | null |

## Outer Join - Example

- Right Outer Join
instructor $\bowtie^{-}$teaches

| ID | name | dept_name | course_id |
| ---: | :--- | :---: | :--- |
| 10101 | Srinivasan | Comp. Sci. | CS-101 |
| 12121 | Wu | Finance | FIN-201 |
| 76766 | null | null | BIO-101 |

■ Full Outer Join instructor_ $\searrow$ _ teaches

| $I D$ | name | dept_name | course_id |
| ---: | :--- | :---: | :--- |
| 10101 | Srinivasan | Comp. Sci. | CS-101 |
| 12121 | Wu | Finance | FIN-201 |
| 15151 | Mozart | Music | null |
| 76766 | null | null | BIO-101 |

## Outer Join using Joins

- Outer join can be expressed using basic operations
- e.g.r $\triangle$ A s can be written as

$$
(r \bowtie s) \cup\left(r-\Pi_{R}(r \bowtie s)\right) \times\{(n u l l, \ldots, n u l)\}
$$

## Null Values

- It is possible for tuples to have a null value, denoted by null, for some of their attributes
- null signifies an unknown value or that a value does not exist.
- The result of any arithmetic expression involving null is null.
- Aggregate functions simply ignore null values (as in SQL)
- For duplicate elimination and grouping, null is treated like any other value, and two nulls are assumed to be the same (as in SQL)


## Null Values

- Comparisons with null values return the special truth value: unknown
- If false was used instead of unknown, then not $(A<5)$ would not be equivalent to $\quad A>=5$
- Three-valued logic using the truth value unknown:
- OR: (unknown or true) = true,
(unknown or false) = unknown
(unknown or unknown) = unknown
- AND: (true and unknown) = unknown, (false and unknown) = false, (unknown and unknown) = unknown
- NOT: (not unknown) = unknown
- In SQL there is a special operator "is null", so " $P$ is null" evaluates to true if predicate $P$ evaluates to unknown
- Result of select predicate is treated as false if it evaluates to unknown


## Extended Relational-Algebra-Operations

- Generalized Projection
- Aggregate Functions


## Generalized Projection

- Extends the projection operation by allowing arithmetic functions to be used in the projection list.

$$
\prod_{F_{1}}, F_{2}, \ldots,{ }_{F_{n}}(E)
$$

- $E$ is any relational-algebra expression
- Each of $F_{1}, F_{2}, \ldots, F_{n}$ are are arithmetic expressions involving constants and attributes in the schema of $E$.
- Given relation instructor(ID, name, dept_name, salary) where salary is annual salary, get the same information but with monthly salary

$$
\Pi_{I D,} \text { name, dept_name, salary/12 (instructor) }
$$

## Aggregate Functions and Operations

- Aggregation function takes a collection of values and returns a single value as a result.
avg: average value
min: minimum value
max: maximum value
sum: sum of values
count: number of values
- Aggregate operation in relational algebra

$$
{ }_{G_{1}, G_{2}, \ldots, G_{n}} G_{F_{1}\left(A_{1}\right), F_{2}\left(A_{2}\right), \ldots, F_{m}\left(A_{m}\right)}(E)
$$

$E$ is any relational-algebra expression

- $G_{1}, G_{2} \ldots, G_{n}$ is a list of attributes on which to group (can be empty)
- Each $F_{i}$ is an aggregate function
- Each $A_{i}$ is an attribute name
- Note: Some books/articles use $\gamma$ instead of $\mathcal{G}$ (Calligraphic $\mathcal{G}$ )


## Aggregate Operation - Example

- Relation $r$.

| $A$ | $B$ | $C$ |
| :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 7 |
| $\alpha$ | $\beta$ | 7 |
| $\beta$ | $\beta$ | 3 |
| $\beta$ | $\beta$ | 10 |

$\square G_{\operatorname{sum}(c)}(\mathrm{r})$

## sum( $c$ )

27

## Aggregate Operation - Example

- Find the average salary in each department
dept_name $\mathcal{G}$ avg(salary) (instructor)

| ID | name | dept_name | salary |
| :---: | :--- | :--- | :--- |
| 76766 | Crick | Biology | 72000 |
| 45565 | Katz | Comp. Sci. | 75000 |
| 10101 | Srinivasan | Comp. Sci. | 65000 |
| 83821 | Brandt | Comp. Sci. | 92000 |
| 98345 | Kim | Elec. Eng. | 80000 |
| 12121 | Wu | Finance | 90000 |
| 76543 | Singh | Finance | 80000 |
| 32343 | El Said | History | 60000 |
| 58583 | Califieri | History | 62000 |
| 15151 | Mozart | Music | 40000 |
| 33456 | Gold | Physics | 87000 |
| 22222 | Einstein | Physics | 95000 |


| dept_name | avg |
| :--- | :---: |
| Biology | 72000 |
| Comp. Sci. | 77333 |
| Elec. Eng. | 80000 |
| Finance | 85000 |
| History | 61000 |
| Music | 40000 |
| Physics | 91000 |

## Aggregate Functions (Cont.)

- Result of aggregation does not have a name
- Can use rename operation to give it a name
- For convenience, we permit renaming as part of aggregate operation
dept_name $\mathcal{G}$ avg(salary) as avg_sal (instructor)


## Modification of the Database

- The content of the database may be modified using the following operations:
- Deletion
- Insertion
- Updating
- All these operations can be expressed using the assignment operator $(\leftarrow)$


## Deletion

- A delete request is expressed similarly to a query, except instead of displaying tuples to the user, the selected tuples are removed from the database.
- Can delete only whole tuples; cannot delete values on only particular attributes
- A deletion is expressed in relational algebra by:

$$
r \leftarrow r-E
$$

where $r$ is a relation and $E$ is a relational algebra query.

- Example:
- Delete all account records in the Perryridge branch.

$$
\text { account } \leftarrow \text { account }-\sigma_{\text {branch_name }=\text { "Perryridge" (account }) ~}^{\text {a }}
$$

## Insertion

- To insert data into a relation, we either:
- specify a tuple to be inserted
- write a query whose result is a set of tuples to be inserted
- in relational algebra, an insertion is expressed by:

$$
r \leftarrow r \cup E
$$

where $r$ is a relation and $E$ is a relational algebra expression.

- The insertion of a single tuple is expressed by letting $E$ be a constant relation containing one tuple.
- Example:
- Insert information in the database specifying that Smith has $\$ 1200$ in account A-973 at the Perryridge branch.

```
account \leftarrow account \cup {("A-973","Perryridge", 1200)}
depositor }\leftarrow\mathrm{ depositor }\cup{("Smith", "A-973")
```


## Updating

- A mechanism to change a value in a tuple without charging all values in the tuple
- Use the generalized projection operator to do this task

$$
r \leftarrow \prod_{F_{1}, F_{2}, \ldots, F_{i},}(r)
$$

- Each $F_{i}$ is either
- the $l^{\text {th }}$ attribute of $r$, if the $l^{\text {th }}$ attribute is not updated, or,
- if the attribute is to be updated $F_{i}$ is an expression, involving only constants and the attributes of $r$, which gives the new value for the attribute
- Example:
- Make interest payments by increasing all balances by 5 percent.
account $\leftarrow \Pi_{\text {account_number, branch_name, balance }}{ }^{\star} 1.05$ (account)


## Multi-set Relational Algebra

- Pure relational algebra removes all duplicates
- e.g. after projection
- Multi-set relational algebra retains duplicates, to match SQL semantics
- SQL duplicate retention was initially for efficiency, but is now a feature
- Multi-set relational algebra defined as follows
- selection: has as many duplicates of a tuple as in the input, if the tuple satisfies the selection
- projection: one tuple per input tuple, even if it is a duplicate
- cross product: If there are $m$ copies of $t 1$ in $r$, and $n$ copies of $t 2$ in $s$, there are $m \times n$ copies of $t 1 . t 2$ in $r \times s$
- Other operators similarly defined
, E.g. union: $m+n$ copies, intersection: $\min (m, n)$ copies difference: $\max (0, m-n)$ copies


## Relational Algebra and SQL

- Assume the following expressions in multi-set relational algebra:
- $\Pi_{A 1}, . ., A n\left(\sigma_{P}(r 1 \times r 2 \times \ldots \times r m)\right)$
is equivalent to the following expression in SQL
- select $A 1, A 2, . . A n$ from $r 1, r 2, \ldots, r m$ where $\mathbf{P}$
$\left.\mathrm{A}_{\mathrm{A}, \mathrm{A} 2} \mathcal{G}_{\operatorname{sum}(A 3)}\left(\sigma_{P}(r 1 \times r 2 \times \ldots \times r m)\right)\right)$
is equivalent to the following expression in SQL
- select $A 1, A 2, \operatorname{sum}(A 3)$ from $r 1, r 2, \ldots, r m$ where $\mathbf{P}$ group by $A 1, A 2$


## SQL and Relational Algebra

- More generally, the non-aggregated attributes in the select clause may be a subset of the group by attributes, in which case the equivalence is as follows:
select $A 1$, sum (A3)
from $r 1, r 2, \ldots, r m$
where $\mathbf{P}$
group by A1, A2
is equivalent to the following expression in multiset relational algebra

$$
\Pi_{A 1, \operatorname{sumA3}}\left(\mathrm{~A} 1, \mathrm{~A} 2 \mathcal{G} \operatorname{sum}(A 3) \text { as } \operatorname{sumA3}\left(\sigma_{P}(r 1 \times r 2 \times \ldots \times r m)\right)\right)
$$

## Tuple Relational Calculus

## Tuple Relational Calculus

- A nonprocedural query language, where each query is of the form

$$
\{t \mid P(t)\}
$$

- It is the set of all tuples $t$ such that predicate $P$ is true for $t$
- $t$ is a tuple variable, $t[A$ ] denotes the value of tuple $t$ on attribute $A$
- $t \in r$ denotes that tuple $t$ is in relation $r$
- $P$ is a formula similar to that of the predicate calculus


## Predicate Calculus Formula

1. Set of attributes and constants
2. Set of comparison operators: (e.g., $<, \leq,=, \neq,>, \geq$ )
3. Set of connectives: and ( $\wedge$ ), or ( $\vee$ ), not ( $\neg$ )
4. Implication $(\Rightarrow): x \Rightarrow y$, if $x$ if true, then $y$ is true

$$
x \Rightarrow y \equiv \neg x \vee y
$$

5. Set of quantifiers:

- $\exists t \in r(Q(t)) \equiv$ "there exists" a tuple $t$ in relation $r$ such that predicate $Q(t)$ is true
- $\forall t \in r(Q(t)) \equiv Q$ is true "for all" tuples $t$ in relation $r$


## Example Queries

- Find the ID, name, dept_name, salary for instructors whose salary is greater than $\$ 80,000$

$$
\{t \mid t \in \text { instructor } \wedge t[\text { salary }]>80000\}
$$

■ As in the previous query, but output only the ID attribute value

$$
\{t \mid \exists s \in \text { instructor }(t[I D]=s[I D] \wedge s[\text { salary }]>80000)\}
$$

Notice that a relation on schema (ID) is implicitly defined by the query

## Example Queries

- Find the names of all instructors whose department is in the Watson building

$$
\begin{gathered}
\{t \mid \exists s \in \text { instructor }(t[\text { name }]=s[\text { name }] \\
\wedge \exists u \in \text { department }(u[\text { dept_name }]=s[\text { dept_name }] \\
\wedge u[\text { building }]=\text { "Watson" }))\}
\end{gathered}
$$

- Find the set of all courses taught in the Fall 2009 semester, or in the Spring 2010 semester, or both

$$
\begin{gathered}
\{t \mid \exists s \in \operatorname{section}(t[\text { course_id }]=s[\text { course_id }] \wedge \\
s[\text { semester }]=\text { "Fall" } \wedge s[\text { year }]=2009 \\
\mathrm{v} \exists u \in \operatorname{section~}(t[\text { course_id }]=u[\text { course_id }] \wedge \\
u[\text { semester }]=\text { "Spring" } \wedge u[\text { year }]=2010)\}
\end{gathered}
$$

## Safety of Expressions

- It is possible to write tuple calculus expressions that generate infinite relations.
- For example, $\{\mathrm{t} \mid \neg t \in r\}$ results in an infinite relation if the domain of any attribute of relation $r$ is infinite
- To guard against the problem, we restrict the set of allowable expressions to safe expressions.
- An expression $\{t \mid P(t)\}$ in the tuple relational calculus is safe if every component of $t$ appears in one of the relations, tuples, or constants that appear in $P$
- NOTE: this is more than just a syntax condition.
- E.g. $\{t \mid t[A]=5 \vee$ true $\}$ is not safe --- it defines an infinite set with attribute values that do not appear in any relation or tuples or constants in $P$.


## Universal Quantification

- Find all students who have taken all courses offered in the Biology department
- $\{t \mid \exists r \in$ student $(t[I D]=r[I D]) \wedge$

$$
\begin{gathered}
(\forall u \in \text { course }(u[\text { dept_name }]=\text { "Biology" } \Rightarrow \\
\exists s \in \text { takes }(t[I D]=s[I D] \wedge \\
s[\text { course_id] }=u[\text { course_id }))\}
\end{gathered}
$$

- Note that without the existential quantification on student, the above query would be unsafe if the Biology department has not offered any courses.


## Domain Relational Calculus

## Domain Relational Calculus

- A nonprocedural query language equivalent in power to the tuple relational calculus
- Each query is an expression of the form:

$$
\left.\left\{<x_{1}, x_{2}, \ldots, x_{n}\right\rangle \mid P\left(x_{1}, x_{2}, \ldots, x_{n}\right)\right\}
$$

- $x_{1}, x_{2}, \ldots, x_{n}$ represent domain variables
- $P$ represents a formula similar to that of the predicate calculus


## Example Queries

- Find the ID, name, dept_name, salary for instructors whose salary is greater than $\$ 80,000$
- $\{<i, n, d, s>\mid<i, n, d, s>\in$ instructor $\wedge s>80000\}$
- As in the previous query, but output only the $I D$ attribute value
- $\{<i>\mid<i, n, d, s>\in$ instructor $\wedge s>80000\}$
- Find the names of all instructors whose department is in the Watson building

$$
\left.\left.\left.\left.\begin{array}{rl}
\{<n> & \mid \exists i, d, s(<i, n, d, s>\in \text { instructor } \\
& \wedge \exists b, a(<d, b, a>\in d e p a r t m e n t
\end{array}\right) b=\text { "Watson" }\right)\right)\right\}
$$

## Example Queries

■ Find the set of all courses taught in the Fall 2009 semester, or in the Spring 2010 semester, or both

$$
\begin{gathered}
\{<c>\mid \exists a, s, y, b, r, t(<c, a, s, y, b, t>\in \text { section } \wedge \\
s=\text { "Fall" } \wedge y=2009) \\
\vee \exists a, s, y, b, r, t(<c, a, s, y, b, t>\in \operatorname{section}] \wedge \\
s=\text { "Spring" } \wedge y=2010)\}
\end{gathered}
$$

This case can also be written as

$$
\{<c>\mid \exists a, s, y, b, r, t(<c, a, s, y, b, t>\in \operatorname{section} \wedge
$$

$$
((s=\text { "Fall" } \wedge y=2009) \vee(s=\text { "Spring" } \wedge y=2010))\}
$$

■ Find the set of all courses taught in the Fall 2009 semester, and in the Spring 2010 semester

$$
\begin{gathered}
\{<c>\mid \exists a, s, y, b, r, t(<c, a, s, y, b, t>\in \text { section } \wedge \\
s=\text { "Fall" } \wedge y=2009) \\
\wedge \exists a, s, y, b, r, t(<c, a, s, y, b, t>\in \text { section }] \wedge \\
s=\text { "Spring" } \wedge y=2010)\}
\end{gathered}
$$

## Safety of Expressions

The expression:

$$
\left\{<x_{1}, x_{2}, \ldots, x_{n}>\mid P\left(x_{1}, x_{2}, \ldots, x_{n}\right)\right\}
$$

is safe if all of the following hold:

1. All values that appear in tuples of the expression are values from dom $(P)$ (that is, the values appear either in $P$ or in a tuple of a relation mentioned in $P$ ).
2. For every "there exists" subformula of the form $\exists x\left(P_{1}(x)\right)$, the subformula is true if and only if there is a value of $x$ in $\operatorname{dom}\left(P_{1}\right)$ such that $P_{1}(x)$ is true.
3. For every "for all" subformula of the form $\forall_{\mathrm{x}}\left(P_{1}(x)\right)$, the subformula is true if and only if $P_{1}(x)$ is true for all values $x$ from $\operatorname{dom}\left(P_{1}\right)$.

## Universal Quantification

- Find all students who have taken all courses offered in the Biology department
- $\{<i>\mid \exists n, d, t c(<i, n, d, t c>\in$ student $\wedge$
( $\forall c i, t i, d n, c r(<c i, t i, d n, c r>\in$ course $\wedge d n=" B i o l o g y "$
$\Rightarrow \exists$ si, se, $y, g(<i, c i$, si, se, $y, g>\in$ takes $))\}$
- Note that without the existential quantification on student, the above query would be unsafe if the Biology department has not offered any courses.
* Above query fixes bug in page 246, last query


## End of Chapter 6

## Database System Concepts, 6th Ed.

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