PV248 Python

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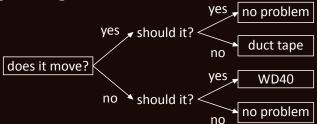
Programming vs Languages

- Python is unobtrusive (by design)
- if you can program, you can program in Python
- there are idiosyncracies (of course)
- but you will mostly get by

Programming vs Jobs

- we all want to write beautiful programs
 - but you didn't sleep for 2 nights
 - and this thing is going into production tomorrow
- sometimes you get a chance to clean up later
 - and sometimes you don't

Engineering Flowchart



Python makes for decent duct tape and WD40.

In This Course

- you will not learn to write beautiful programs
- we will try to do things with minimum effort
 - perfect is the enemy of good
- ugly comes in shades
 - you should always write passable code
 - there is a balance to strike

... ugly, cont'd

- there are two main schools of writing software
 - do the right thing
 - worse is better
- https://www.jwz.org/doc/worse-is-better.html

The Right Thing

- · simplicity: interface first, implementation second
- · correctness: required
- consistency: required
- completeness: more important than simplicity

Worse is Better

- simplicity: implementation first
- · correctness: simplicity goes first
- consistency: less important than both
- completeness: least important

Design Schools

- there are pros and cons to both
- right thing is often expensive
- worse is better often wins
- which one do you think Python belongs to?

Disclaimer

- I am not a Python programmer
- please don't ask sneaky language-lawyer questions

Goals

- learn to use Python in practical situations
- have a look at existing packages and what they can do
- code up some cool stuff, have fun

Organisation

- there are 2 standard seminar groups
 - attendance is compulsory (minus 2 absences)
 - one virtual work-at-home group
- the lecture and seminars on 2.10. are cancelled

Coursework

- there will be a set of exercises each week
- you should mostly do these within the seminar
- please make a public git (or hg) repository
 - we are all adults here do not copy
 - i will collect the repository addresses

Exercise Grading

- exercises are binary: pass or fail
- you will get 4 chances on each to get right
- failing is the same as missing the deadline

Exercise Deadlines

- 7 days, worth 2 points
- 14 days, worth 1.5 point
- Monday 17.12., worth 1.25 points
- Tuesday 12.2., worth 1 point

Passing the Course

- · you can get
 - 24 points for exercises
 - 4 points for seminar attendance
 - 4 points for a small project
- you need 20 points to pass

Stuff We Could Try

- · working with text, regular expressions
- plotting stuff with bokeh or matplotlib
- talking to SQL databases
- talking to HTTP servers
- being an HTTP server
- implementing a JSON-based REST API
- parsing YAML and/or JSON data
- ... (suggestions welcome)

Some Resources

- https://docs.python.org/3/(obviously)
- https://msivak.fedorapeople.org/python/
- study materials in IS
- help()
- google, stack overflow, ...

Part 1: Text & Regular Expressions

Repository Structure

- create a directory for each week
- name them 01-text and so on
 - the -text doesn't really matter
 - scripts will be looking for 01*
- program names must be exactly as specified

Reading Input

- opening files: open('scorelib.txt', 'r')
- files can be iterated

```
f = open( 'scorelib.txt', 'r' )
for line in f:
    print(line)
```

Regular Expressions

- compiling: r = re.compile(r"Composer: (.*)")
- matching: m = r.match("Composer: Bach, J. S.")
- extracting captures: print(m.group(1))
 - prints Bach, J. S.
- substitutions: s2 = re.sub(r"\s*\$", '', s1)
 - strips all trailing whitespace in s1

Other String Operations

- better whitespace stripping: s2 = s1.strip()
- splitting: str.split(';')

Dictionaries

- associative arrays: map (e.g.) strings to numbers
- nice syntax: dict = { 'foo': 1, 'bar': 3 }
- nice & easy to work with
- can be iterated: for k, v in dict.items()

Counters

- from collections import Counter
- like a dictionary, but the default value is 0
- ctr = Counter()
- compare ctr['baz'] += 1 with dict

Command Line

- we will often need to process command arguments
- in Python, those are available in the sys module
- import sys
- arguments are in sys.argv (a list)

Exercise 1: Input

- get yourself a git/mercurial/darcs repository
- grab input data (scorelib.txt) from study materials
- read and process the text file
- use regular expressions to extract data
- use dictionaries to collect stats
- beware! hand-written, somewhat irregular data

Exercise 1: Output

- print some interesting statistics
 - how many pieces by each composer?
 - how many pieces composed in a given century?
 - how many in the key of c minor?
- bonus if you are bored: searching
 - list all pieces in a given key
 - list pieces featuring a given instrument (say, bassoon)

Exercise 1: Invocation

- ./stat.py ./scorelib.txt composer
- ./stat.py ./scorelib.txt century

Exercise 1: Example Output

- Telemann, G. P.: 68
- Bach, J. S.: 79
- Bach, J. C.: 6
- ...

For centuries:

- 16th century: 3
- 17th century: 11
- 18th century: 32

Cheat Sheet

```
for line in open('file', 'r')
dict = \{\}
dict[kev] = value
r = re.compile(r"(.*):")
m = r.match("foo: bar")
if m is None: continue
print(m.group(1))
for k, v in dict.items()
print("%d, %d" % (12, 1337))
```

read lines an empty dictionary set a value in a dictionary compile a regexp match a string match failed, loop again extract a capture iterate a dictionary print some numbers

Part 2: Objects and Classes

Objects

- the basic "unit" of OOP
- they bundle data and behaviour
- provide encapsulation
- make code re-use easier
- also known as "instances"

Classes

- templates for objects (class Foo: pass)
- each (python) object belongs to a class
- classes themselves are also objects
- calling a class creates an instance
 - $my_foo = Foo()$

Poking at Classes

- {}.__class__
- {}.__class__._class__
- (0). class
- []. class
- compare type(0), etc.
- n = numbers.Number(); n.__class__

Types vs Objects

- class system is a type system
- "duck typing": quacks, walks like a duck
- since python 3, types are classes
- · everything is dynamic in python
 - you can create new classes at runtime
 - you can pass classes as function parameters

Encapsulation

- objects hide implementation details
- classic types structure data
 - objects also structure behaviour
- · facilitates weak coupling

Weak Coupling

- coupling is a degree of interdependence
- more coupling makes hard to change things
 - it also makes reasoning harder
- good programs are weakly coupled
- cf. modularity, composability

Polymorphism

- objects are (at least in Python) polymorphic
- different implementation, same interface
- only the interface matters for composition
- facilitates genericity and code re-use
- cf. "duck typing"

Generic Programming

- code re-use often saves time
 - not just coding but also debugging
 - re-usable code often couples weakly
- but not everything that can be re-used should be
 - code can be too generic
 - and too hard to read

Attributes

- data members of objects
- each instance gets its own copy
- like variables scoped to object lifetime
- they get names and values

Methods

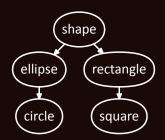
- functions (procedures) tied to objects
- they can access the object (self)
- implement the behaviour of the object
- their signatures (usually) provide the interface
- methods are also objects

Class and Instance Methods

- methods are usually tied to instances
- recall that classes are also objects
- class methods work on the class (cls)
- static methods are just namespaced functions
- decorators @classmethod, @staticmethod

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Inheritance



- class Ellipse(Shape): ...
- usually encodes an is-a relationship

Multiple Inheritance

- more than one base class is possible
- many languages restrict this
- python allows general M-I
 - class Bat(Mammal, Winged): pass
- 'true' M-I is somewhat rare
 - typical use cases: mixins and interfaces

Mixins

- used to pull in implementation
 - not part of the is-a relationship
 - by convention, not enforced by the language
- common bits of functionality
 - e.g. implement gt , eq &c. using lt
 - you only need to implement __lt__ in your class

Interfaces

- realized as "abstract" classes in python
 - just throw a NotImplemented exception
 - document the intent in a docstring
- participates in is-a relationships
- partially displaced by duck typing
 - more important in other languages (think Java)

Composition

- attributes of objects can be other objects
 - (also, everything is an object in python)
- encodes a has-a relationship
 - a circle has a center and a radius
 - a circle is a shape

Constructors

- this is the init method
- initializes the attributes of the instance
- can call superclass constructors explicitly
 - not called automatically (unlike C++, Java)
 - MySuperClass. init (self)
 - super().__init__ (if unambiguous)

Class and Object Dictionaries

- · most objects are basically dictionaries
- try e.g. foo. __dict__ (for a suitable foo)
- saying foo.x means foo.__dict__["x"]
 - if that fails, type(foo). dict ["x"] follows
 - then superclasses of type (foo), according to MRO

Writing Classes

```
class Person:
 def init ( self, name ):
   self.name = name
 def greet( self ):
   print( "hello " + self.name )
p = Person( "you" )
p.greet()
```

Modules in Python

- modules are just normal .py files
- import executes a file by name
 - it will look into system-defined locations
 - the search path includes the current directory
 - they typically only define classes & functions
- import sys lets you use sys.argv
- from sys import argv you can write just argv

Functions

- top-level functions/procedures are possible
- they are usually 'scoped' via the module system
- functions are also objects
 - try print.__class__(or type(print))
- some functions are built in (print, len, ...)

Exercise 2: Objects

- create a class hierarchy for printed scores
- define (at least) the following classes
 - Print, Edition, Composition, Voice, Person
- define suitable constructors (__init__)
- you can use additional helper classes

Prints, Editions & Compositions

- printed score belongs to an edition
- an edition has an author (an editor)
- edition of is a particular composition
- the composition has an author (composer)
- both editors and composers are people

Voices

- compositions can have multiple voices
- each voice has a range and a name (instrument)
- one or both may be unknown
- ranges are written using a double dash (--)

The Print class

- attributes
 - edition (instance of Edition)
 - print id (integer, from Print Number:)
 - partiture (boolean)
- method format()
 - reconstructs and prints the original stanza
- method composition() (= edition.composition)

The Edition class

- attributes
 - composition (instance of Composition)
 - authors (a list of Person instances)
 - name (a string, from the Edition: field, or None)

The Composition class

- attributes
 - name, incipit, key and genre (strings or None)
 - year (integer if an integral year is given or None)
 - voices (a list of Voice instances)
 - authors (a list of Person instances)

Voice and Person

- Voice attributes
 - name, range (strings or None)
- Person attributes
 - name (string)
 - born, died (integers or None)

Exercise 2: Parsing

- write a load(filename) function that reads the text
 - this will be the same scorelib.txt as before
- the function returns a list of Print instances
- the list should be sorted by the print number (print_id)

Exercise 2: Module

- the classes should live in scorelib.py
- add a simple test script, test.py
 - this will take a single filename
 - invocation: ./test.py scorelib.txt
 - run load() on that filename
 - call format() on each Print, add empty lines

Part 3: Persistent Data

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Transient Data

- lives in program memory
- data structures, objects
- interpreter state
- often implicit manipulation
- more on this next week

Persistent Data

- (structured) text or binary files
- relational (SQL) databases
- object and 'flat' databases (NoSQL)
- manipulated explicitly

Persistent Storage

- 'local' file system
 - stored on HDD, SSD, ...
 - stored somwhere in a local network
- · 'remote', using an application-level protocol
 - local or remote databases
 - cloud storage &c.

ISON

- structured, text-based data format
- atoms: integers, strings, booleans
- objects (dictionaries), arrays (lists)
- widely used around the web &c.
- simple (compared to XML or YAML)

ISON: Example "composer": ["Bach, Johann Sebastian"],

JSON: Writing

- printing JSON seems straightforward enough
- but: double quotes in strings
- strings must be properly \-escaped during output
- · also pesky commas
- keeping track of indentation for human readability
- better use an existing library: import json

JSON in Python

- json.dumps = short for dump to string
- python dict/list/str/... data comes in
- a string with valid JSON comes out

Workflow

- just convert everything to dict's and lists
- run json.dumps or json.dump(data, file)

Python Example

```
d = {}
d["composer"] = ["Bach, Johann Sebastian"]
d["key"] = "g"
d["voices"] = { 1: "oboe", 2: "bassoon" }
json.dump( d, sys.stdout, indent=4 )
```

Beware: keys are always strings in JSON

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Parsing JSON

- import json
- json.load is the counterpart to json.dump from above
 - de-serialise data from an open file
 - builds lists, dictionaries, etc.
- json.loads corresponds to json.dumps

XML

- meant as a lightweight and consistent redesign of SGML
 - turned into a very complex format
- heaps of invalid XML floating around
 - parsing real-world XML is a nightmare
 - even valid XML is pretty challenging

XML Features

- offers extensible, rich structure
 - tags, attributes, entities
 - suited for structured hierarchical data
- schemas: use XML to describe XML
 - allows general-purpose validators
 - self-documenting to a degree

XML vs JSON

- both work best with trees
- JSON has basically no features
 - basic data structures and that's it
- ISON data is ad-hoc and usually undocumented
 - but: this often happens with XML anyway

NoSQL / Non-relational Databases

- umbrella term for a number of approaches
 - flat key/value and column stores
 - document and graph stores
- no or minimal schemas
- non-standard query languages

Key-Value Stores

- usually very fast and very simple
- completely unstructured values
- · keys are often database-global
 - workaround: prefixes for namespacing
 - or: multiple databases

NoSQL & Python

- redis (redis-py) module (Redis is Key-Value)
- memcached (another Key-Value store)
- PyMongo for talking to MongoDB (document-oriented)
- CouchDB (another document-oriented store)
- neo4j or cayley (module pyley) for graph structures

SQL and RDBMS

- SQL = Structured Query Language
- RDBMS = Relational DataBase Management System
- SQL is to NoSQL what XML is to JSON
- heavily used and extremely reliable

SQLite

- lightweight in-process SQL engine
- the entire database is in a single file
- convenient python module, sqlite3
- stepping stone for a "real" database

Other Databases

- you can talk to most SQL DBs using python
- postgresql (psycopg2, ...)
- mysql / mariadb (mysql-python, mysql-connector, ...)
- big & expensive: Oracle (cx_oracle), DB2 (pyDB2)
- most of those are much more reliable than SQLite

SQL Injection

```
sql = "SELECT * FROM t WHERE name = '" + n + '"'
```

- the above code is bad, never do it
- consider the following

```
n = "x'; drop table students --"
n = "x'; insert into passwd (user, pass) ..."
```

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Avoiding SQL Injection

- use proper SQL-building APIs
 - this takes care of escaping internally
- templates like insert ... values (?, ?)
 - the? get safely substituted by the module
 - e.g. the execute method of a cursor

Aside: PEP

- PEP stands for Python Enhancement Proposal
- akin to RFC documents managed by IETF
- initially formalise future changes to Python
 - later serve as documentation for the same
- https://www.python.org/dev/peps/

PEP 249

- informational PEP, for library writers
- describes how database modules should behave
 - ideally, all SQL modules have the same interface
 - makes it easy to swap a database backend
- but: SQL itself is not 100% portable

SQL Pitfalls

- sqlite does not enforce all constraints
- no portable syntax for autoincrement keys
- not all (column) types are supported everywhere
- no portable way to get the key of last insert

More Resources & Stuff to Look Up

- SQL: https://www.w3schools.com/sql/
- https://docs.python.org/3/library/sqlite3.html
- Object-Relational Mapping
- SQLAlchemy: constructing portable SQL

Exercise 3: Importing Data

- create an empty scorelib.dat from scorelib.sql
- start by importing composers & editors into the database
 - then continue with scores &c.
- use the classes from previous exercise
 - you can copy & extend them.
 - you can also use inheritance or composition

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Exercise 3: Database Structure

- defined in scorelib.sql (see study materials)
- test with: sqlite3 scorelib.dat < scorelib.sql
- you can rm scorelib.dat any time to start over
- consult comments in scorelib.sql
- do not store duplicate rows

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Exercise 3: Requirements

- the structure in scorelib.sql is compulsory
- you must use SQLite 3
- parsing proceeds using rules from exercise 2
- each row in each table must be unique
 - special rules for people, see next slide

Exercise 3: Storing People

- the name alone must be unique
- merge born and died fields
 - NULL iff it is None in all instances
 - resolve conflicts arbitrarily

Exercise 3: Invocation

- the script should be called import.py
- ./import.py scorelib.txt scorelib.dat
- first argument is the input text file
- second argument is the output SQLite file
 - assume that this file does not exist
 - the script must also set up the schema

SQL Cheat Sheet

- INSERT INTO table (c1, c2) VALUES (v1, v2)
- SELECT (c1, c2) FROM table WHERE c1 = "foo"

sqlite3 Cheats

- conn = sqlite3.connect("scorelib.dat")
- cur = conn.cursor()
- cur.execute("... values (?, ?)", (foo, bar))
- conn.commit() (don't forget to do this)

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Part 4: Memory (Data) Model

Memory

- most program data is stored in 'memory'
 - an array of byte-addressable data storage
 - address space managed by the OS
 - 32 or 64 bit numbers as addresses
- typically backed by RAM

Language vs Computer

- programs use high-level concepts
 - objects, procedures, closures
 - values can be passed around
- the computer has a single array of bytes
 - and, well, a bunch of registers

Memory Management

- deciding where to store data
- · high-level objects are stored in flat memory
 - they have a given (usually fixed) size
 - can contain references to other objects
 - have limited lifespan

Memory Management Terminology

- object: an entity with an address and size
 - not the same as language-level object
- lifetime: when is the object valid
 - live: references exist to the object
 - dead: the object unreachable garbage

Memory Management by Type

- manual: malloc and free in C
- static automatic
 - e.g. stack variables in C and C++
- dynamic automatic
 - pioneered by LISP, widely used

Automatic Memory Management

- static vs dynamic
 - when do we make decisions about lifetime
 - compile time vs run time
- safe vs unsafe
 - can the program read unused memory?

Object Lifetime

- the time between malloc and free
- another view: when is the object needed
 - often impossible to tell
 - can be safely over-approximated
 - at the expense of memory leaks

Static Automatic

- usually binds lifetime to lexical scope
- no passing references up the call stack
 - may or may not be enforced
- no lexical closures

Dynamic Automatic

- over-approximate lifetime dynamically
- · usually easiest for the programmer
 - until you need to debug a space leak
- reference counting, mark & sweep collectors

Reference Counting

- · attach a counter to each object
- whenever a reference is made, increase
- · whenever a reference is lost, decrease
- the object is dead when the counter hits 0
- fails to reclaim reference cycles

Mark and Sweep

- start from a root set (in-scope variables)
- follow references, mark every object encountered
- throw away all unmarked memory
- usually stops the program while running
- garbage is retained until the GC runs

Memory Management in CPython

- primarily based on reference counting
- optional mark & sweep collector
 - enabled by default
 - configure via import gc

Refcounting Advantages

- simple to implement in a 'managed' language
- · reclaims objects quickly
- no need to pause the program
- easily made concurrent

Refcounting Problems

- significant memory overhead
- problems with cache locality
- bad performance for data shared between threads
- fails to reclaim cyclic structures

Data Structures

- · an abstract description of data
- leaves out low-level details
- makes writing programs easier
- makes reading programs easier, too

Building Data Structures

- there are two types in Python
 - built-in, implemented in C
 - user-defined (includes libraries)
- · both types are based on objects
 - but built-ins only look that way

Mutability

- some objects can be modified
 - we say they are mutable
 - otherwise, they are immutable
- · immutability is an abstraction
 - physical memory is always mutable
- in Python, immutability is not 'recursive'

Built-in: int

- arbitrary precision integer
 - no overflows and other nasty behaviour
- it is an object, i.e. held by reference
 - uniform with any other kind of object
 - immutable
- both of the above make it slow
 - machine integers only in C-based modules

Additional Numeric Objects

- bool: True or False
 - how much is True + True?
 - is 0 true? is empty string?
- numbers.Real: floating point numbers
- numbers.Complex: a pair of above

Built-in: bytes

- a sequence of bytes (raw data)
- exists for efficiency reasons
 - in the abstract is just a tuple
- models data as stored in files
 - or incoming through a socket
 - or as stored in raw memory

Properties of bytes

- can be indexed and iterated
 - both create objects of type int
 - try this sequence: id(x[1]), id(x[2])
- mutable version: bytearray
 - the equivalent of C char arrays

Built-in: str

- immutable unicode strings
 - not the same as bytes
 - bytes must be decoded to obtain str
 - (and str encoded to obtain bytes)
- represented as utf-8 sequences in CPython
 - implemented in PyCompactUnicodeObject

Built-in: tuple

- an immutable sequence type
 - the number of elements is fixed
 - so is the type of each element
- but elements themselves may be mutable
 - x = [] then y = (x, 0)
 - x.append(1) y == ([1], 0)
- implemented as a C array of object references

Built-in: list

- a mutable version of tuple
 - items can be assigned $\times [3] = 5$
 - items can be append-ed
- · implemented as a dynamic array
 - many operations are amortised O(1)
 - insert is O(n)

Built-in: dict

- implemented as a hash table
- some of the most performance-critical code
 - dictionaries appear everywhere in Python
 - heavily hand-tuned C code
- both keys and values are objects

Hashes and Mutability

- dictionary keys must be hashable
 - this implies recursive immutability
- what would happen if a key is mutated?
 - most likely, the hash would change
 - all hash tables with the key become invalid
 - this would be very expensive to fix

Built-in: set

- implements the math concept of a set
- also a hash table, but with keys only
 - a separate C implementation
- mutable items can be added
 - but they must be hashable
 - hence cannot be changed

Built-in: frozenset

- an immutable version of set
- always hashable (since all items must be)
 - can appear in set or another frozenset
 - can be used as a key in dict
- the C implementation is shared with set

Efficient Objects: __slots__

- fixes the attribute names allowed in an object
- saves memory: consider 1-attribute object
 - with dict : 56 + 112 bytes
 - with slots : 48 bytes
- makes code faster: no need to hash anything
 - more compact in memory better cache efficiency

Exercise 4: Preliminaries

- pull data from scorelib.dat using SQL
- print the results as (nicely formatted) JSON
- invocation: ./search.py Bach
 - the scorelib.dat will not be your own
 - you must not use the text data

Exercise 4: Part 1

- write a script getprint.py
 - the input is a print number (argument)
 - the output is a list of composers (stdout)
- each composer is a dictionary
- name, born and died

Exercise 4: Part 1 Hints

- you will need to use SQL joins
- select ... from person join score_authors on person.id = score_author.composer ... where print.id = ?
- the result of cursor, execute is iterable

Exercise 4: Part 2

- write a script search.py
- the input is a composer name substring
- the output is a list of all matching composer names
 - along with all their prints in the database
- hint: ... where person.name like "%Bach%"

Exercise 4: Part 2 Output \$./search.py Bach { "Print Number": 111, "Title": "Konzert für ..." , ... }, { "Print Number": 139, ... }, ... "Bach, Johann Christian":

Part 5: Numeric Data

Numbers in Python

- recall that numbers are objects
- a tuple of real numbers has 300% overhead
 - compared to a C array of float values
 - and 350% for integers
- this causes extremely poor cache use
- integers are arbitrary-precision

Math in Python

- numeric data usually means arrays
 - this is inefficient in python
- we need a module written in C
 - but we don't want to do that ourselves
- enter the SciPy project
 - pre-made numeric and scientific packages

The SciPy Family

- numpy: data types, linear algebra
- scipy: more computational machinery
- pandas: data analysis and statistics
- matplotlib: plotting and graphing
- sympy: symbolic mathematics

Aside: External Libraries

- · until now, we only used bundled packages
- for math, we will need external libraries
- you can use pip to install those
 - use pip install --user <package>

Aside: The Python Package Index

- colloquially known as PyPI (or cheese shop)
 - do not confuse with PyPy (Python in almost-Python)
- both source packages and binaries
 - the latter known as wheels (PEP 427, 491)
 - previously python eggs
- https://pypi.python.org

Aside: Installing numpy

- the easiest way may be with pip
 - this would be pip3 on aisa
- linux distributions usually also have packages
- another option is getting the Anaconda bundle
- detailed instructions on https://scipy.org

Arrays in numpy

- compact, C-implemented data types
- flexible multi-dimensional arrays
- · easy and efficient re-shaping
 - typically without copying the data

Entering Data

- most data is stored in numpy.array
- can be constructed from from a list
 - a list of list for 2D arrays
- or directly loaded from / stored to a file
 - binary: numpy.load, numpy.save
 - text: numpy.loadtxt, numpy.savetxt

LAPACK and BLAS

- BLAS is a low-level vector/matrix package
- LAPACK is built on top of BLAS
 - provides higher-level operations
 - tuned for modern CPUs with multiple caches
- both are written in Fortran
 - ATLAS and C-LAPACK are C implementations

Element-wise Functions

- the basic math function arsenal
- powers, roots, exponentials, logarithms
- trigonometric (sin, cos, tan, ...)
- hyperbolic (sinh, cosh, tanh, ...)
- cyclometric (arcsin, arccos, arctan, ...)

Matrix Operations in numpy

- import numpy.linalg
- multiplication, inversion, rank
- eigenvalues and eigenvectors
- linear equation solver
- pseudo-inverses, linear least squares

Additional Linear Algebra in scipy

- import scipy.linalg
- LU, QR, polar, etc. decomposition
- · matrix exponentials and logarithms
- matrix equation solvers
- special operations for banded matrices

Sparse Matrices

- sparse = most elements are 0
- available in scipy.sparse
- special data types (not numpy arrays)
 - do not use numpy functions on those
- less general, but more compact and faster

Discrete Fourier Transform

- available in numpy.fft
- goes between time and frequency domains
- a few different variants are covered
 - real-valued input (for signals, rfft)
 - inverse transform (ifft, irfft)
 - multiple dimensions (fft2, fftn)

Polynomial Series

- useful in differential problems and functional analysis
- the numpy.polynomial package
- Chebyshev, Hermite, Laguerre and Legendre
- arithmetic, calculus and special-purpose operations

Statistics in numpy

- a basic statistical toolkit
 - averages, medians
 - variance, standard deviation
 - histograms
- random sampling and distributions

Linear and Polynomial Regression, Interpolation

- regressions using the least squares method
 - linear: numpy.linalg.lstsq
 - polynomial: numpy.polyfit
- interpolation: scipy.interpolate
 - e.g. piecewise cubic splines
 - Lagrange interpolating polynomials

Pandas: Data Analysis

- the Python equivalent of R
 - works with tabular data (CSV, SQL, Excel)
 - time series (also variable frequency)
 - primarily works with floating-point values
- partially implemented in C and Cython

Pandas Series and DataFrame

- Series is a single sequence of numbers
- DataFrame represents tabular data
 - powerful indexing operators
 - index by column \rightarrow series
 - index by condition \rightarrow filtering

Pandas Example

Exercise 5: Warm-Up 1

- create a matrix from a list of lists
- compute and print (to stdout)
 - rank and determinant
 - inverse (if applicable)
- all operations are in numpy.linalg

Exercise 5: Warm-Up 2

- a simple non-homogeneous linear equation solver
- put the coefficients in a list of lists
- put the constants in a list of numbers
- use linalg.solve from numpy
- make sure you understand what is going on

Exercise 5: Intro

- 'nice' equations, invocation: ./eqn.py input.txt
- parse a human-readable system of equations
- variables → single letters, coefficients → integers
- only + and are allowed
- print the solution to stdout (using variable names)

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Exercise 5: Unique Solution

- decide a unique solution exists
- if so, print the solution

```
2x + 3y = 5
x - y = 0
solution: x = 1, y = 1
```

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Exercise 5: No Solution

• print no solution if the system is inconsistent

$$x + y = 4$$

 $x + y = 5$
no solution

Exercise 5: Multiple Solutions

- it may also be under-determined
- only print the dimension of the solution space

$$x + y - z = 0$$
$$x = 0$$

solution space dimension: 1

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Exercise 5: Details

- the right hand side is always a constant
 - and is the only constant term
- print the solution/result to stdout
 - solutions come in alphabetical order
- there are spaces around operators and =
 - no space between a coefficient and a variable

Exercise 5: Hints

- linalg.solve assumes unique solution
 - you can use Rouché-Capelli to check
- you can obtain a rank with linalg.matrix_rank

Part 6: Advanced Constructs

Callable Objects

- user-defined functions (module-level def)
- user-defined methods (instance and class)
- built-in functions and methods
- class objects
- objects with a call method

User-defined Functions

- come about from a module-level def
- metadata: __doc__, __name__, __module__
- scope: __globals__, __closure__
- arguments: __defaults__, __kwdefaults__
- type annotations: __annotations__
- the code itself: __code__

Positional and Keyword Arguments

- user-defined functions have positional arguments
- · and keyword arguments
 - print("hello", file=sys.stderr)
 - arguments are passed by name
 - which style is used is up to the caller
- variadic functions: def foo(*args, **kwargs)
 - args is a tuple of unmatched positional args
 - kwargs is a dict of unmatched keyword args

Lambdas

- def functions must have a name
- lambdas provide anonymous functions
- the body must be an expression
- syntax: lambda x: print("hello", x)
- standard user-defined functions otherwise

Instance Methods

- comes about as object.method
 - print(x.foo) → <bound method Foo.foo of ...>
- combines the class, instance and function itself
- __func__ is a user-defined function object
- let bar = x.foo, then
 - x.foo() → bar.__func__(bar.__self__)

Iterators

- objects with __next__ (since 3.x)
 - iteration ends on raise StopIteration
- iterable objects provide __iter__
 - sometimes, this is just return self
 - any iterable can appear in for x in iterable

```
class FooIter:
   def init (self):
       self.x = 10
   def iter (self): return self
   def next (self):
       if self.x:
           self.x = 1
       else:
           raise StopIteration
       return self.x
```

Generators (PEP 255)

- written as a normal function or method
- they use yield to generate a sequence
- represented as special callable objects
 - exist at the C level in CPython

```
def foo(*lst):
    for i in lst: yield i + 1
list(foo(1, 2)) # prints [2, 3]
```

yield from

- calling a generator produces a generator object
- how do we call one generator from another?
- same as for x in foo(): yield x

 def bar(*lst):
 yield from foo(*lst)
 yield from foo(*lst)

 list(bar(1, 2)) # prints [2, 3, 2, 3]

Native Coroutines (PEP 492)

- created using async def (since Python 3.5)
- generalisation of generators
 - yield from is replaced with await
 - an await magic method is required
- a coroutine can be suspended and resumed

Coroutine Scheduling

- coroutines need a scheduler
- one is available from asyncio.get_event_loop()
- along with many coroutine building blocks
- coroutines can actually run in parallel
 - via asyncio.create task (since 3.7)
 - via asyncio.gather

Async Generators (PEP 525)

- async def + yield
- semantics like simple generators
- but also allows await
 - iterated with async for
 - async for runs sequentially

Decorators

- written as @decor before a function definition
- decor is a regular function (def decor(f))
 - f is bound to the decorated function
 - the decorated function becomes the result of decor
- classes can be decorated too
- you can 'create' decorators at runtime
 - @mkdecor("moo") (mkdecor returns the decorator)
 - you can stack decorators

```
def decor(f):
    return lambda: print("bar")
def mkdecor(s):
    return lambda g: lambda: print(s)
 decor
def foo(f): print("foo")
mkdecor("moo")
def moo(f): print("foo")
  foo() prints "bar", moo() prints "moo"
```

List Comprehension

- a concise way to build lists
- combines a filter and a map

```
[ 2 * x for x in range(10) ]
[ x for x in range(10) if x % 2 == 1 ]
[ 2 * x for x in range(10) if x % 2 == 1 ]
[ (x, y) for x in range(3) for y in range(2) ]
```

Operators

- operators are (mostly) syntactic sugar
- x < y rewrites to x.__lt__(y)
- is and is not are special
 - are the operands are the same object?
- · also the ternary (conditional) operator

Non-Operator Builtins

- len(x) x.__len__() (length)
- abs(x) x.__abs__() (magnitude)
- str(x) x.__str__() (printing)
- repr(x) x. repr () (printing for eval)
- bool(x) and if x: x.__bool__()

Arithmetic

- a standard selection of operators
- / is floating point, // is integral
- += and similar are somewhat magical
 - $x += y \rightarrow x = x$. iadd (y) if defined
 - otherwise $x = x._add_(y)$

```
an int is immutable
x = 7
               works, x = 10, id(x) changes
x += 3
lst = [7, 3]
lst[0] += 3 # works too, id(lst) stays same
tup = (7, 3) \# a tuple is immutable
tup += (1, 1) # still works (id changes)
tup[0] += 3 # <u>fails</u>
```

Relational Operators

- operands can be of different types
- equality: !=, ==
 - by default uses object identity
- ordering: <, <=, >, >= (TypeError by default)
- · consistency is not enforced

Relational Consistency

- __eq__ must be an equivalence relation
- x.__ne_(y) must be the same as not x.__eq__(y)
- __lt__ must be an ordering relation
 - compatible with __eq__
 - consistent with each other
- each operator is separate (mixins can help)
 - or perhaps a class decorator

Exercise 6: Fourier Transform

- continuous: $\hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) \exp(-2\pi i x \xi) dx$
- series:

$$- f(x) = \sum_{n=-\infty}^{\infty} c_n \exp\left(\frac{i2\pi nx}{P}\right)$$

real series:

$$- f(x) = \frac{a_0}{2} + \sum_{n=1}^{\infty} \left(a_n \sin\left(\frac{2\pi nx}{P}\right) + b_n \cos\left(\frac{2\pi nx}{P}\right) \right)$$

$$-c_n = \frac{1}{2}(a_n - ib_n)$$

Exercise 6: Signal Basics

- sample rate: number of samples per second
- we process the signal in equal-sized chunks
 - − *P* is the (time) length of the analysis window
 - N is the number of samples
- use non-overlapping analysis windows

Exercise 6: FFT in numpy

- rfft gives you the c_n of the real series
 - $f(x) = \sum_{n=0}^{N/2} c_n \exp(\frac{i2\pi nx}{P})$
- N/2 because of the Nyquist frequency limit
- we are only interested in amplitudes: $|c_n|$
 - amplitude of a complex number: numpy.abs

Exercise 6: Input

- a .wav file, PCM, sample rate 8–48 kHz
 - such that it will be accepted by wave.open
 - may be stereo or mono, 16 bit samples
- · average the channels for stereo input
- · ignore the final (incomplete) analysis window
- you can use struct.unpack to decode the samples

Exercise 6: Output

- a peak is a frequency component with amplitude $\geq 20a$
 - where a is the average amplitude in the same window
- print the highest- and lowest-frequency peak encountered
 - in the form low = 37, high = 18000
 - print no peaks if there are no peaks
 - the numbers are in Hz, precision = exactly 1 Hz

Exercise 6: Invocation & Hints

- invocation: ./peaks.py audio.wav
 - the output goes to stdout
 - only a single line for the entire file
- think about how precision relates to N
- generate simple sine wave inputs for testing
 - also a sum of sine waves at different frequencies

Part 7: Advanced Constructs 2, Pitfalls

Collection Operators

- in is also a membership operator (outside for)
 - implemented as __contains__
- indexing and slicing operators
 - del x[y] → x.__delitem__(y)
 - $x[y] \rightarrow x.__getitem__(y)$
 - $-x[y] = z \rightarrow x._setitem_(y, z)$

Conditional Operator

- also known as a ternary operator
- written x if cond else y
 - in C: cond ? x : y
- forms an expression, unlike if
 - can e.g. appear in a lambda
 - or in function arguments, &c.

Concurrency & Parallelism

- threading thread-based parallelism
- multiprocessing
- concurrent future-based programming
- subprocess
- sched, a general-purpose event scheduler
- queue, for sending objects between threads

Threading

- low-level thread support, module threading
- Thread objects represent actual threads
 - threads provide start() and join()
 - the run() method executes in a new thread
- mutexes, semaphores &c.

The Global Interpreter Lock

- · memory management in CPython is not thread-safe
 - Python code runs under a global lock
 - pure Python code cannot use multiple cores
- C code usually runs without the lock
 - this includes numpy crunching

Multiprocessing

- · like threading but uses processes
- works around the GIL
 - each worker process has its own interpreter
- queued/sent objects must be pickled
 - see also: the pickle module
 - this causes substantial overhead
 - functions, classes &c. are pickled by name

Futures

- like coroutine await but for subroutines
- a Future can be waited for using f.result()
- scheduled via concurrent.futures.Executor
 - Executor.map is like asyncio.gather
 - Executor.submit is like asyncio.create task
- implemented using process or thread pools

Exceptions

- an exception interrupts normal control flow
- it's called an exception because it is exceptional
 - never mind StopIteration
- · causes methods to be interrupted
 - until a matching except block is found
 - also known as stack unwinding

Life Without Exceptions

With Exceptions

```
try:
    sock = socket.socket( ... )
    sock.bind( ... )
    sock.listen( ... )
except ...:
    # handle errors
```

Exceptions vs Resources

```
x = open( "file.txt" )
# stuff
raise SomeError
```

- who calls x.close()
- this would be a resource leak

Using finally

```
try:
    x = open( "file.txt" )
    # stuff
finally:
    x.close()
```

works, but tedious and error-prone

Using with

```
with open( "file.txt" ) as f:
    # stuff
```

- with takes care of the finally and close
- with x as y sets y = x.__enter__()
 - and calls x.__exit__(...) when leaving the block

The @property decorator

- attribute syntax is the preferred one in Python
- · writing useless setters and getters is boring

```
class Foo:
```

```
@property
def x(self): return 2 * self.a
@x.setter
def x(self. v): self.a = v // 2
```

Mixing Languages

- for many people, Python is not a first language
- some things look similar in Python and Java (C++, ...)
 - sometimes they do the same thing
 - sometimes they do something very different
 - sometimes the difference is subtle

Python vs Java: Decorators

- Java has a thing called annotations
- looks very much like a Python decorator
- in Python, decorators can drastically change meaning
- in Java, they are just passive metadata
 - other code can use them for meta-programming though

Class Body Variables

```
class Foo:
   some_attr = 42
```

- in Java/C++, this is how you create instance variables
- in Python, this creates class attributes
 - i.e. what C++/Java would call static attributes

Very Late Errors

```
if a == 2:
    priiiint("a is not 2")
```

- no error when loading this into python
- it even works as long as a != 2
- most languages would tell you much earlier

Very Late Errors (cont'd)

```
try:
    foo()
except TyyyypeError:
    print("my mistake")
```

- does not even complain when running the code
- you only notice when foo() raises an an exception

Late Imports

```
if a == 2:
   import foo
   foo.say_hello()
```

- unless a == 2, mymod is not loaded
- any syntax errors don't show up until a == 2
 - it may even fail to exist

Block Scope

```
for i in range(10): pass
print(i) # not a NameError
```

- in Python, local variables are function-scoped
- in other languages, i is confined to the loop

Assignment Pitfalls

```
x = [ 1, 2 ]
y = x
x.append( 3 )
print(y) # prints [ 1, 2, 3 ]
```

- in Python, everything is a reference
- assignment does not make copies

Python vs Java: Closures

- captured variables are final in Java
- but they are mutable in Python
 - and of course captured by reference
- they are whatever you tell them to be in C++

Explicit super()

- Java and C++ automatically call parent constructors
- · Python does not
- you have to call them yourself

Setters and Getters

```
obj.attr
obj.attr = 4
```

- in C++ or Java, this is an assignment
- in Python, it can run arbitrary code
 - this often makes getters/setters redundant

Exercise 7: Music Analysis

- invocation: ./music.py 440 audio.wav
 - 440 is the frequency of the pitch a'
 - audio.wav is the same as for exercise 6
- use a sliding window for .1 second precision
- print peak pitches instead of frequencies

Exercise 7: Output

```
01.0-02.3 e+0 gis+0 b+0
10.0-12.0 b'+10
12.0-12.7 C+0 e-3
```

- consider only the 3 most prominent peaks
- print 1 line for each segment with the same peaks
 - print nothing for segments with no peaks
 - order the peaks by increasing frequency

Exercise 7: Pitch Formatting

- pitch names: c, cis, d, es, e, f, fis, g, gis, a, bes, b
- octaves (Helmholtz): A, / A, / A / a / a' / a" and so on
- pitches use a logarithmic scale
 - if a' is 440 Hz, then a is 220 Hz and A is 110 Hz
- valid pitch examples: fis / Cis / bes' / Es,

Exercise 7: Pitch Deviation

- not all pitches are exactly 'right'
 - i.e. they won't exactly match a named pitch
- cent is 1/100 the distance between semitones
 - remember that this is a logarithmic scale
 - print the closest named pitch and the deviation in cents
 - if a' = 440 Hz, then 448 Hz is a' + 31 cents
 - likewise, 115 Hz is Bes 23 cents

Exercise 7: Peak Clustering

- most instruments have complex spectra
 - individual notes are not pure sine waves
- this can lead to peak clustering
 - that is multiple peaks next to each other (1Hz apart)
 - consider only the strongest peak in each cluster
 - if equal, pick the one closer to the center of the cluster

Part 8: Testing, Debugging & Profiling

Why Testing

- · reading programs is hard
- reasoning about programs is even harder
- · testing is comparatively easy
- difference between an example and a proof

What is Testing

- based on trial runs
- the program is executed with some inputs
- the outputs or outcomes are checked
- almost always incomplete

Testing Levels

- unit testing
 - individual classes
 - individual functions
- functional
 - system
 - integration

Testing Automation

- manual testing
 - still widely used
 - requires human
- semi-automated
 - requires human assistance
- fully automated
 - can run unattended

Testing Insight

- what does the test or tester know?
- black box: nothing known about internals
- gray box: limited knowledge
- white box: 'complete' knowledge

Why Unit Testing?

- allows testing small pieces of code
- the unit is likely to be used in other code
 - make sure your code works before you use it
 - the less code, the easier it is to debug
- especially easier to hit all the corner cases

Unit Tests with unittest

- from unittest import TestCase
- derive your test class from TestCase
- put test code into methods named test_*
- run with python -m unittest program.py
 - add v for more verbose output

```
from unittest import TestCase
```

```
class TestArith(TestCase):
    def test_add(self):
        self.assertEqual(1, 4 - 3)
    def test_leq(self):
        self.assertTrue(3 <= 2 * 3)</pre>
```

Unit Tests with pytest

- a more pythonic alternative to unittest
 - unittest is derived from JUnit
- easier to use and less boilerplate
- you can use native python assert
- easier to run, too
 - just run pytest in your source repository

Test Auto-Discovery in pytest

- pytest finds your testcases for you
 - no need to register anything
- put your tests in test *.py or * test.py
- name your testcases (functions) test_*

Fixtures in pytest

- sometimes you need the same thing in many testcases
- in unittest, you have the test class
- pytest passes fixtures as parameters
 - fixtures are created by a decorator
 - they are matched based on their names

```
import pytest
import smtplib
 pytest fixture
def smtp connection():
    return smtplib.SMTP("smtp.gmail.com", 587)
def test ehlo(smtp connection):
    response, msg = smtp connection.ehlo()
    assert response == 250
```

Property Testing

- writing test inputs is tedious
- sometimes, we can generate them instead
- · useful for general properties like
 - idempotency (e.g. serialize + deserialize)
 - invariants (output is sorted, ...)
 - code does not cause exceptions

Using hypothesis

- property-based testing for Python
- has strategies to generate basic data types
 - int, str, dict, list, set, …
- compose built-in generators to get custom types
- integrated with pytest

```
import hypothesis
import hypothesis.strategies as s
```

```
chypothesis.given(s.lists(s.integers()))
def test_sorted(x):
    assert sorted(x) == x # should fail
chypothesis.given(x=s.integers(), y=s.integers())
def test_cancel(x, y):
    assert (x + y) - y == x # looks okay
```

Going Quick and Dirty

- goal: minimize time spent on testing
- manual testing usually loses
 - but it has almost 0 initial investment
- if you can write a test in 5 minutes, do it
- useful for testing small scripts

Shell 101

- shell scripts are very easy to write
- they are ideal for testing IO behaviour
- easily check for exit status: set -e
- see what is going on: set -x
- use diff -u to check expected vs actual output

Shell Test Example

```
set -ex
python script.py < test1.in | tee out
diff -u test1.out out
python script.py < test2.in | tee out
diff -u test2.out out</pre>
```

Continuous Integration

- automated tests need to be executed
- with many tests, this gets tedious to do by hand
- CI builds and tests your project regularly
 - every time you push some commits
 - every night (e.g. more extensive tests)

CI: Travis

- runs in the cloud (CI as a service)
- trivially integrates with pytest
- virtualenv out of the box for python projects
- integrated with github
- configure in .travis.yml in your repo

CI: GitLab

- GitLab has its own CI solution (similar to travis)
- also available at FI
- runs tests when you push to your gitlab
- drop a .gitlab-ci.yml in your repository
- automatic deployment into heroku &c.

CI: Buildbot

- · written in python/twisted
 - basically a framework to build a custom CI tool
- self-hosted and somewhat complicated to set up
 - more suited for complex projects
 - much more flexible than most CI tools
- distributed design

CI: Jenkins

- another self-hosted solution, this time in Java
 - widely used and well supported
- native support for python projects (including pytest)
 - provides a dashboard with test result graphs &c.
 - supports publishing sphinx-generated documentation

Print-based Debugging

- no need to be ashamed, everybody does it
- less painful in interpreted languages
- you can also use decorators for tracing
- never forget to clean your program up again

```
def debug(e):
    f = sys. getframe(1)
    v = eval(e, f.f globals, f.f locals)
    l = f.f code.co filename + ':'
    l += str(f.f lineno) + ':'
    print(l, e, '=', repr(v), file=sys.stderr)
x = 1
```

debug('x + 1')

The Python Debugger

- run as python -m pdb program.py
- there's a built-in help command
- next steps through the program
- break to set a breakpoint
- cont to run until end or a breakpoint

What is Profiling

- measurement of resource consumption
- essential info for optimising programs
- answers questions about bottlenecks
 - where is my program spending most time?
 - less often: how is memory used in the program

Why Profiling

- 'blind' optimisation is often misdirected
 - it is like fixing bugs without triggering them
 - program performance is hard to reason about
- tells you exactly which point is too slow
 - allows for best speedup with least work

Profiling in Python

- provided as a library, cProfile
 - alternative: profile is slower, but more flexible
- run as python -m cProfile program.py
- outputs a list of lines/functions and their cost
- use cProfile.run() to profile a single expression

python -m cProfile -s time fib.py

```
ncalls tottime percall file:line(function)
13638/2    0.032    0.016 fib.py:l(fib_rec)
2    0.000    0.000 {builtins.print}
2    0.000    0.000 fib.py:5(fib_mem)
```

Exercise 8: Statistics

- fetch points.csv from study materials
 - each column is one deadline of one exercise
 - each line is one student, cells are points
- an average student has average points in each column
- you can use pandas and/or numpy if you like

Exercise 8: Bulk Stats

- invocation: ./stat.py file.csv <mode>
- <mode> is one of: dates, deadlines, exercises
- · in each mode, list all such entities along with
 - mean, median, first and last quartile of points
 - number of students that passed (points > 0)
- the output is a JSON dictionary of dictionaries
- date YYYY-MM-DD, exercise NN, deadline YYYY-MM-DD/NN

Bulk Output (stat.py)

```
{ "01": { "mean": 1, "median": 1, ... },
    "02": { ..., "passed": 60, ... }, ... }

or
{ "2018-09-26": { ... "last": 2.5, ... },
    "2018-10-03": { ... "passed": 20, ... },
```

... } }

Exercise 8: Individual Stats

- invocation: ./student.py file.csv <id>
- <id> is the student identifier or average
- output mean and median points per exercise
- a number of passed exercises and total points
- a linear regression for cumulative points in time
 - keys: regression slope (intercept is 0)
- expected date to pass the 16 and 20 point marks
 - keys: date 16 and date 20

Per-Student Output (student.py)

```
{ "mean": 1.66, "median": 1.5,
  "total": 10, "passed": 6,
  "regression slope": 0.2,
  "date 16": "2018-12-05",
  "date 20": "2018-12-25" }
```

Part 9: Communication, HTTP

Running Programs (the old way)

- os.system is about the simplest
 - also somewhat dangerous shell injection
 - you only get the exit code
- os.popen allows you to read output of a program
 - alternatively, you can send input to the program
 - you can't do both (would likely deadlock anyway)
 - runs the command through a shell, same as os.system

Low-level Process API

- POSIX-inherited interfaces (on POSIX systems)
- os.exec: replace the current process
- os. fork: split the current process in two
- os.forkpty: same but with a PTY

Detour: bytes vs str

- strings (class str) represent text
 - that is, a sequence of unicode points
- files and network connections handle data
 - represented in Python as bytes
- the bytes constructor can convert from str
 - e.g. b = bytes("hello", "utf8")

Running Programs (the new way)

- you can use the subprocess module
- subprocess can handle bidirectional IO
 - it also takes care of avoiding IO deadlocks
 - set input to feed data to the subprocess
- internally, run uses a Popen object
 - if run can't do it, Popen probably can

Getting subprocess Output

- only available via run since Python 3.7!
- the run function returns a CompletedProcess
- it has attributes stdout and stderr
- both are bytes (byte sequences) by default
- or str if text or encoding were set
- available if you enabled capture_output

Running Filters with Popen

- if you are stuck with 3.6, use Popen directly
- set stdin in the constructor to PIPE
- use the communicate method to send the input
- this gives you the outputs (as bytes)

Subprocesses with asyncio

- import asyncio.subprocess
- create_subprocess_exec, like subprocess.run
 - but it returns a Process instance
 - Process has a communicate async method
- can run things in background (via tasks)
 - also multiple processes at once

Protocol-based asyncio subprocesses

- let loop be an implementation of the asyncio event loop
- there's subprocess_exec and subprocess_shell
 - sets up pipes by default
- integrates into the asyncio transport layer (see later)
- allows you to obtain the data piece-wise

https://docs.python.org/3/library/asyncio-protocol.html

Sockets

- the socket API comes from early BSD Unix
- socket represents a (possible) network connection
- sockets are more complicated than normal files
 - establishing connections is hard
 - messages get lost much more often than file data

Socket Types

- sockets can be internet or unix domain
 - internet sockets connect to other computers
 - Unix sockets live in the filesystem.
- sockets can be stream or datagram
 - stream sockets are like files (TCP)
 - you can write a continuous stream of data
 - datagram sockets can send individual messages (UDP)

Sockets in Python

- the socket module is available on all major OSes
- it has a nice object-oriented API
 - failures are propagated as exceptions
 - buffer management is automatic
- · useful if you need to do low-level networking
 - hard to use in non-blocking mode

Sockets and asyncio

- asyncio provides sock_* to work with socket objects
- this makes work with non-blocking sockets a lot easier
- but your program needs to be written in async style
- only use sockets when there is no other choice
 - asyncio protocols are both faster and easier to use

Hyper-Text Transfer Protocol

- originally a simple text-based, stateless protocol
- however
 - SSL/TLS, cryptography (https)
 - pipelining (somewhat stateful)
 - cookies (somewhat stateful in a different way)
 - typically between client (browser) and a front-end server
- but also as a back-end protocol (web server to app server)

Request Anatomy

- request type (see below)
- header (text-based, like e-mail)
- content

Request Types

- GET asks the server to send a resource
- HEAD like GET but only send back headers
- POST send data to the server

Python and HTTP

- both client and server functionality
 - import http.client
 - import http.server
- TLS/SSL wrappers are also available
 - import ssl
- synchronous by default

Serving Requests

- derive from BaseHTTPRequestHandler
- implement a do_GET method
- this gets called whenever the client does a GET
- also available: do_HEAD, do_POST, etc.
- pass the class (not an instance) to HTTPServer

Serving Requests (cont'd)

- HTTPServer creates a new instance of your Handler
- the BaseHTTPRequestHandler machinery runs
- it calls your do_GET etc. method
- request data is available in instance variables
 - self.path,self.headers

Talking to the Client

- HTTP responses start with a response code
 - self.send response(200, 'OK')
- the headers follow (set at least Content-Type)
 - self.send header('Connection', 'close')
- headers and the content need to be separated
 - self.end_headers()
- finally, send the content by writing to self.wfile

Sending Content

- self.wfile is an open file
- it has a write() method which you can use
- sockets only accept byte sequences, not str
- use the bytes (string, encoding) constructor
 - match the encoding to your Content-Type

HTTP and asyncio

- the base asyncio currently doesn't directly support HTTP
- but: you can get aiohttp from PyPI
- · contains a very nice web server
 - from aiohttp import web
 - minimum boilerplate, fully asyncio-ready

SSL and TLS

- you want to use the ssl module for handling HTTPS
 - this is especially true server-side
 - aiohttp and http.server are compatible
- you need to deal with certificates (loading, checking)
- this is a rather important but complex topic

Certificate Basics

- certificate is a cryptographically signed statement
 - it ties a server to a certain public key
 - the client ensures the server knows the private key
- the server loads the certificate and its private key
- the client must validate the certificate
 - this is typically a lot harder to get right

SSL in Python

- start with import ssl
- almost everything happens in the SSLContext class
- get an instance from ssl.create_default_context()
 - you can use wrap socket to run an SSL handshake
 - you can pass the context to aiohttp
- if httpd is a http.server.HTTPServer:

HTTP Clients

- there's a very basic http.client
- for a more complete library, use urllib.request
- aiohttp has client functionality
- all of the above can be used with ssl
- another 3rd party module: Python Requests

Exercise 9: Forwarding HTTP

- invocation: ./http-forward.py 9001 example.com
 - listen on the specified port (9001 above) for HTTP
 - use example.com as the upstream for GET
- for GET requests:
 - forward the request as-is to the upstream
 - send back JSON to your client (see next slide)
- for POST requests
 - accept JSON data, construct request, proceed as GET
 - supply suitable default headers unless overridden

Exercise 9: GET Requests

- the reply to the client must be valid JSON dictionary
- send the upstream response code as code
 - or "timeout" (by default after 1 second)
- send all the received headers to the client
- if the response is valid JSON, include it under j son
 - include it as a string in content otherwise

Exercise 9: POST Requests

- read a JSON dictionary from the request content; keys:
 - type string, either GET (default) or POST
 - url string, the address to fetch
 - headers dictionary, the headers to send
 - content the content to send if type is POST
 - timeout number of seconds to wait for completion
- if the JSON is invalid, set code to "invalid json"
 - also if a crucial key is missing (url, content for POST)

```
POST request content
{ "type": "GET", "url": "http://example.com",
  "headers": { "Accept-Encoding": "...", ... },
  "timeout": 3 }
  reply from http-forward.py
{ "code": 200
  "headers": { "Content-Length": ... },
```

"json": ... }

Exercise 9: Bonus

- handle SSL/TLS when connecting to your upstream
 - specified by https as a protocol in url
- include a boolean certificate valid in response JSON
 - rely on the default system trusted CA certs
 - also certificate for with a list of hostnames
- get 0.5 extra point (regardless of which deadline you pass)

Part 10: Closures, Coroutines &c.

Exercise 10: CGI

- invocation: ./serve.py 9001 dir
- listen on the specified port (9001 in this case)
- serve the content of dir over HTTP
- treat files named .cgi specially (see next slide)
- serve anything else as static content

Exercise 10: Running CGI Scripts

- if a .cgi file is requested, run it
- adhere to the CGI protocol
 - request info goes into environment variables
 - the stdout of the script goes to the client
 - refer to RFC 3875 and/or Wikipedia
- do not forget to deal with POST requests

Exercise 10: Various

- no need to auto-index directories
- you must handle concurrent connections
 - even while a CGI script is running
- you must handle arbitrarily large data
 - this applies to static files
 - but also to CGI script outputs

Execution Stack

- made up of activation frames
- holds local variables
- and return addresses
- in dynamic languages, often lives in the heap

Variable Capture

- variables are captured lexically
- definitions are a dynamic / run-time construct
 - a nested definition is executed
 - creates a clousre object
- always by reference in Python
 - but can be by-value in other languages

Using Closures

- closures can be returned, stored and called
 - they can be called multiple times, too
 - they can capture arbitrary variables
- closures naturally retain state
- this is what makes them powerful

Objects from Closures

- so closures are essentially code + state
- wait, isn't that what an object is?
- indeed, you can implement objects using closures

The Role of GC

- memory management becomes a lot more complicated
- forget C-style 'automatic' stack variables
- this is why the stack is actually in the heap
- this can go as far as form reference cycles

Coroutines

- coroutines are a generalisation of subroutines
- they can be suspended and re-entered
- coroutines can be closures at the same time
- the code of a coroutine is like a function
- a suspended coroutine is like an activation frame

Yield

- suspends execution and 'returns' a value
- may also obtain a new value (cf. send)
- when re-entered, continue where we left off

for i in range(5): yield i

Send

- with yield, we have one-way communication
- but in many cases, we would like two-way
- a suspended coroutine is an object in Python
 - with a send method which takes a value
 - send re-enters the coroutine

Yield From and Await

- yield from is mostly a generator concept
- await basically does the same thing
 - call out to another coroutine
 - when it suspends, so does the entire stack

Suspending Native Coroutines

- this is not actually possible
 - not with async-native syntax anyway
- you need a yield
 - for that, you need a generator
 - use the types.coroutine decorator

Event Loop

- not required in theory
- useful also without coroutines
- there is a synergistic effect
 - event loops make coroutines easier
 - coroutines make event loops easier

Part 11: asyncio, Projects

IO at the OS Level

- · often defaults to blocking
 - read returns when data is available
 - this is usually OK for file
- but what about network code?
 - could work for a client

Threads and IO

- there may be work to do while waiting
 - waiting for IO can be wasteful
- only the calling (OS) thread is blocked
 - another thread may do the work
 - but multiple green threads may be blocked

Non-Blocking IO

- the program calls read
 - read returns immediately
 - even if there was no data
- but how do we know when to read?
 - we could poll
 - for example call read every 30ms

Polling

- trade-off between latency and throughput
 - sometimes, polling is okay
 - but is often too inefficient
- alternative: IO dispatch
 - useful when multiple IOs are pending
 - wait only if all are blocked

select

- takes a list of file descriptors
- block until one of them is ready
 - next read will return data immediately
- can optionally specify a timeout
- only useful for OS-level resources

Alternatives to select

- select is a rather old interface
- there is a number of more modern variants
- poll and epoll system calls
 - despite the name, they do not poll
 - epoll is more scalable
- kqueue and kevent on BSD systems

Synchronous vs Asynchronous

- the select family is synchronous
 - you call the function
 - it may wait some time
 - you proceed when it returns
- OS threads are fully asynchronous

The Thorny Issue of Disks

- · a file is always 'ready' for reading
- this may still take time to complete
- there is no good solution on UNIX
- POSIX AIO exists but is sparsely supported
- OS threads are an option

10 on Windows

- select is possible (but slow)
- Windows provides real asynchronous IO
 - quite different from UNIX
 - the IO operation is directly issued
 - but the function returns immediately
- comes with a notification queue

The asyncio Event Loop

- uses the select family of syscalls
- why is it called async IO?
 - select is synchronous in principle
 - this is an implementation detail
 - the IOs are asynchronous to each other

How Does It Work

- you must use asyncio functions for IO
- an async read does not issue an OS read
- it yields back into the event loop
- the fd is put on the select list
- the coroutine is resumed when the fd is ready

Timers

- asyncio allows you to set timers
- the event loop keeps a list of those
- and uses that to set the select timeout
 - just uses the nearest timer expiry
- when a timer expires, its owner is resumed

Blocking IO vs asyncio

- all user code runs on the main thread
- you must not call any blocking IO functions
- doing so will stall the entire application
 - in a server, clients will time out
 - even if not, latency will suffer

DNS

- POSIX: getaddrinfo and getnameinfo
 - also the older API gethostbyname
- those are all blocking functions
 - and they can take a while
 - but name resolution is essential
- asyncio internally uses OS threads for DNS

Signals

- signals on UNIX are very asynchronous
- interact with OS threads in a messy way
- asyncio hides all this using C code

Exercise 11: Tic Tac Toe

- write a game server for (3x3) tic tac toe
- invocation: ./ttt.py port
 - listen on the given port (number)
 - serve HTTP (only GET requests)
 - all responses are JSON dictionaries

Exercise 11: Start

- GET /start?name=string
- returns a numeric id
 - multiple games may run in parallel
- the game starts with an empty board
- player 1 plays first

Exercise 11: Status

- GET /status?game=id
- if the game is over:
 - set winner to 0 (draw), 1 or 2
- otherwise set:
 - board is a list of lists of numbers
 - -0 = empty, 1 and 2 indicate the player
 - next 1 or 2 (who plays next)

Exercise 11: Playing

- GET /play?game=id&player=1&x=1&y=2
- must validate the request
- set status to either "ok" or "bad"
 - if status is "bad", set message
 - message is free-form text for the user

Exercise 12: Tic Tac Toe Client

- include ttt.py from exercise 11
 - add a /list request
 - returns a JSON list of games
 - each is a dict with name and id
- invocation: client.py host port

Exercise 12: User Interface

- start by offering a list of games
 - only offer games with empty boards
- the user enters the numeric id to join
 - joining makes you player 2
- typing new starts a new game
 - you start as player 1

Exercise 12: Polling

- ask for status ~once per second
- while waiting, print (once)
 - waiting for the other player
- draw an up-to-date board
 - use , x and o, no spaces

Exercise 12: Gameplay

- prompt with your turn (o): (or x)
 - read x and y (whitespace separated)
 - if invalid, print invalid input
 - then ask again (until satisfied)
- on game over, print you lose or you win

Exercise 12: Bonus

- · make an interactive graphical interface
 - make the interaction mouse-based
 - use pygame or pyglet
- must be ready for the last seminar
 - you can get 1 extra point

Projects

- you can earn 4 points
 - that's 2 exercises worth
 - the effort should match that
- submit by the end of the exam period
- this is a fallback option
 - exercises and reviews are preferred

Project Grading

- there is only 1 automated option (see DF)
 - can be evaluated repeatedly
- · everything else is evaluated manually
 - should work 100% on first try
 - you get at most one retry
 - expect latency of about a week

Project Reviews

- projects can be reviewed before submission
 - excluding the machine-corrected variant
 - you can seek multiple reviews
 - getting at least one is strongly recommended
- otherwise same rules as for exercises
 - review point limits are shared

Project Topics

- do not try to sell something you already have
- seek approval before you start working
 - put a project.txt in your repository
 - I will make a note in the IS notebook
- it is okay to come up with your own
 - but I may request changes

Project Idea: Breakout

- write a breakout clone (game)
 - or another game of similar complexity
 - do not settle for absolute bare-bones
 - add simple sound effects or animation
- you can use pygame or pyglet

Project Idea: Scorelib Redux

- write an editor for the score database
 - should be practically usable
 - work with the SQL representation
- you can use pyqt5
 - alternatively flask or django
 - might need some javascript
 - you can also use aiohttp and AJAX

Project Idea: A Real Tuner

- · should work in real time
- process microphone input
 - alternatively work with a recording
 - in which case, provide a slider
- visualize the outputs
 - try pygame or pyglet

Part 12: Modules and Packages

PV248 Python 329/354 Modules and Packages

Code Modularity

- common tasks are bundled as functions
- functions can be bundled into classes
 - often contains shared state (via attributes)
- classes are bundled into modules
 - simpler than classes: usually no data
- modules can be bundled into packages

Why Modularity

- 1. managing size and complexity
- 2. management of names
- 3. code re-use and sharing

Code Size

- there are natural limits on function size
 - long functions are hard to understand
 - likewise on class sizes
- this also holds for modules
 - big modules are hard to use
 - but even harder to maintain

Naming Things

- human brain is highly context-sensitive
 - same name can refer to many things.
 - consider a method called open
- there is no optimal length for a name
 - wider scopes require longer names
 - long names in narrow scopes are wasteful

Namespaces

- a hierarchical approach to names
 - use a short name from within the scope
 - use a longer name from outside
- with a built-in mechanism for shortcuts
- realized by classes, modules, packages

Python Modules

- creating a single module is simple
- a collection of re-usable code
 - mainly classes (class)
 - and functions (def, async def)
- there is no special syntax
 - a file, basically the same as a script

Python Packages

- a package is a bundle of modules
- realized as a file system directory
 - it must have an __init__.py
 - but it could be empty
- this is what gives us import foo.bar

Package Mechanics

- the __init__.py has two roles
 - prevent conflicts with non-package directories
 - provide definitions
- import foo will load foo/ init .py

More on Import

- import loads and evaluates the module
- it creates an object to represent it
- creates a variable in the current scope
- assigns the object to the variable
- import is somewhat like def

Bytecode

- CPython is actually a bytecode interpreter
- there is a frontend which parses code
 - and emits an intermediate representation
 - which can be stored as bytecode
- bytecode is stored in .pyc files
- and for modules, it is cached under pycache

Modules Written in C

- those are implemented as shared libraries
 - so on UNIX (typically ELF shared object)
 - pyd on Windows (really a PE DLL file)
- the lookup is the same as for .py modules
- functions show up as built-in functions

The View from C

- CPython objects are of type PyObject *
- C APIs exist to create and use objects
- recall that modules are just objects
- a special function PyInit_modname()
 - say PyInit_spam() in spam.so
 - import calls this to create the object

Built-in Modules

- some modules are completely built into CPython
- internally, they are much like C modules
- · may be for efficiency or for low-level system access
- the sys module is always built-in
 - sys.path is needed to load any other modules

Modules are Garbage-Collected

- sys.modules holds references to all loaded modules
- it's possible to remove modules from there
- importing again will then reload the module
- the old version can be garbage-collected
- some C modules are excluded from this mechanism

Distributing Packages: Reminder

- python packages are distributed via PyPI
- source trees are different from installed modules
- extra metadata in the source tree
 - info about authors, links to resources
 - most importantly package dependencies

Source Trees

- python is not a compiled language
 - the source code is what is installed
- some packages also contain C code
 - think number crunching in numpy
 - this must be actually compiled
- there's also unit tests of course

setup.py

- a script that installs your package
- it knows where to put it and how
- also knows how to build C code
- usually written using setuptools

Versioning

- so you have made a package...
 - it is probably not complete
 - and it may have some bugs in it
- you add features, fix bugs...
 - other people already use it
 - you need to make a new version

Version Numbers

- often major.minor or major.minor.patch
 - for example: python 3.6.5
- a change in major indicates incompatibility
 - like when print x no longer works in python 3
- minor is for non-breaking feature additions
- patch is for bug fixes

Dependencies

- packages are meant for re-use
- so you want to use some package
 - your users will need it too
 - maybe you need a dozen
- · sure enough, packages need other packages
 - this is ripe for automation

Dependency Chasing

- setup.py could just download dependencies
 - setuptools automate this for you
 - and use PyPI to find the packages
- it also only downloads what is missing
- pip will find you the 'toplevel' package

Versioned Dependencies

- so you use function bar from package foo
 - but it only appeared in version 2.4
- so you need package foo newer than 2.4
 but foo was then removed in version 3
 - no time right now to deal with that
- welcome to dependency hell

Chasing Dependencies Redux

- · versioning makes dependencies NP-hard
- dependencies may be impossible to satisfy
- mistakes happen with version numbers too
 - those usually affect other packages
- this is a problem in every complex software system

Versioning Strategies

- optimistic dependencies
 - maybe next foo major won't break my code
 - if it does, my package breaks and i must fix it
- defensive dependencies
 - next major of foo will probably break my code
 - i use baz 1.1 and foo 2.4 and depend on foo < 3
 - around comes baz 1.2 but it needs foo 3.1

Questions & (maybe) Answers