# IAoo8: Computational Logic 4. Deduction

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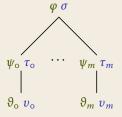


### **Tableau Proofs**

For simplicity: first-order logic without equality

**Statements**  $\varphi$  true or  $\varphi$  false

Rule



### Interpretation

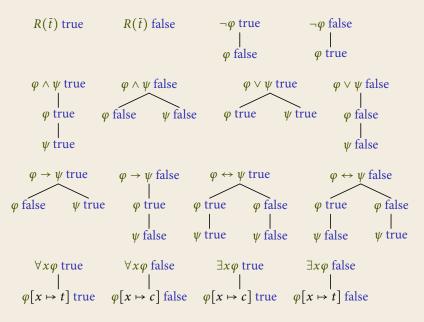
If  $\varphi$   $\sigma$  is **possible** then so is  $\psi_i \tau_i, \ldots, \vartheta_i \upsilon_i$ , for some i.

### **Tableaux**

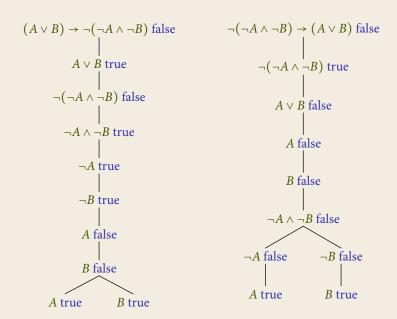
#### Construction

A **tableau** for a formula  $\varphi$  is constructed as follows:

- start with  $\varphi$  false
- choose a branch of the tree
- choose a statement  $\psi$  value on the branch
- choose a rule with head  $\psi$  value
- add it at the bottom of the branch
- repeat until every branch contains both statements  $\psi$  true and  $\psi$  false for some formula  $\psi$



c a new constant symbol, t an arbitrary term



$$\exists x \forall y R(x,y) \rightarrow \forall y \exists x R(x,y) \text{ false} \qquad \forall x R(x,x) \rightarrow \forall x \exists y R(f(x),y) \text{ false}$$

$$\exists x \forall y R(x,y) \text{ true} \qquad \forall x R(x,x) \text{ true}$$

$$\forall y \exists x R(x,y) \text{ false} \qquad \forall x \exists y R(f(x),y) \text{ false}$$

$$\forall y R(c,y) \text{ true} \qquad \exists y R(f(c),y) \text{ false}$$

$$\exists x R(x,d) \text{ false} \qquad R(f(c),f(c)) \text{ false}$$

$$R(c,d) \text{ true} \qquad R(c,d) \text{ false}$$

## Soundness and Completeness

#### **Theorem**

A first-order formula  $\varphi$  is valid if, and only if, there exists a tableau T for  $\varphi$  false where every branch is contradictory.

### **Terminology**

A tableau for a statement  $\varphi$  value is a tableau T where the root is labelled with  $\varphi$  value.

A branch  $\beta$  is **contradictory** if it contains both statements  $\psi$  true and  $\psi$  false, for some formula  $\psi$ .

A branch  $\beta$  is **consistent with** a structure  $\mathfrak{A}$  if

- $\mathfrak{A} \models \psi$ , for all statements  $\psi$  true on  $\beta$  and
- $\mathfrak{A} \not\models \psi$ , for all statements  $\psi$  false on  $\beta$ .

A branch  $\beta$  is **complete** if, for every atomic formula  $\psi$ , it contains one of the statements  $\psi$  true or  $\psi$  false.

## **Proof Sketch: Soundness**

#### Lemma

If  $\beta$  is consistent with  $\mathfrak A$  and we extend the tableau by applying a rule, the new tableau has a branch  $\beta'$  extending  $\beta$  that is consistent with  $\mathfrak A$ .

### **Corollary**

If  $\mathfrak{A} \neq \varphi$ , then every tableau for  $\varphi$  false has a branch that is not contradictory.

### **Corollary**

If  $\varphi$  is not valid, there is no tableau for  $\varphi$  false where all branches are contradictory.

## Proof Sketch: Completeness

#### Lemma

If every tableau for  $\varphi$  false has a non-contradictory branch, there exists a tableau for  $\varphi$  false with a branch  $\beta$  that is complete and non-contradictory.

#### Lemma

If a branch  $\beta$  is complete and non-contradictory, there exists a structure  $\mathfrak A$  such that  $\beta$  is consistent with  $\mathfrak A$ .

### Corollary

If every tableau for  $\varphi$  false has a non-contradictory branch, there exists a structure  $\mathfrak A$  with  $\mathfrak A \not\models \varphi$ .

Natural Deduction

#### **Notation**

$$\psi_1, \ldots, \psi_n \vdash \varphi \quad \varphi \text{ is provable with assumptions } \psi_1, \ldots, \psi_n$$

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```
\psi_1, \ldots, \psi_n \vdash \varphi \varphi is provable with assumptions \psi_1, \ldots, \psi_n \varphi is provable if \vdash \varphi.
```

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 $\varphi \text{ is provable if } \vdash \varphi.$ 

#### Rules

$$\frac{\Gamma_1 \vdash \varphi_1 \dots \Gamma_n \vdash \varphi_n}{\Delta \vdash \psi} \qquad \text{premises} \\ \text{conclusion} \qquad \varphi_1 \land \dots \land \varphi_n \Rightarrow \psi$$

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#### **Axiom**

$$\frac{}{\Delta \vdash \psi}$$
 rule without premises

#### **Notation**

$$\psi_1, \ldots, \psi_n \vdash \varphi \quad \varphi \text{ is provable with assumptions } \psi_1, \ldots, \psi_n$$
  
 $\varphi \text{ is provable if } \vdash \varphi.$ 

#### Rules

$$\frac{\Gamma_1 \vdash \varphi_1 \dots \Gamma_n \vdash \varphi_n}{\Delta \vdash \psi} \qquad \text{premises} \qquad \qquad \varphi_1 \land \dots \land \varphi_n \Rightarrow \psi$$

#### Axiom

$$\frac{\phantom{a}}{\Delta \vdash \psi}$$
 rule without premises

#### Remark

Tableaux speak about possibilities while Natural Deduction proofs speak about necesseties.

### **Derivation**

$$\frac{\overline{\Gamma \vdash \varphi} \quad \overline{\Delta_0 \vdash \psi_0}}{\Delta_1 \vdash \psi_1} \quad \underline{\Gamma' \vdash \varphi'}$$

$$\underline{\Sigma \vdash \vartheta} \quad \text{tree of rules}$$

# Natural Deduction (propositional part)

 $(I_{\top}) \frac{}{\Gamma \vdash \top}$ 

 $(I_{\rightarrow}) \frac{I, \varphi \vdash \psi}{\Gamma \vdash \varphi \rightarrow \psi}$ 

 $(I_{\leftrightarrow}) \frac{I, \varphi \vdash \psi \quad \Delta, \psi \vdash \varphi}{\Gamma, \Lambda \vdash \varphi \leftrightarrow \psi}$ 

$$(I_{\wedge}) \frac{\Gamma \vdash \varphi \quad \Delta \vdash \psi}{\Gamma, \Delta \vdash \varphi \land \psi} \qquad (E_{\wedge}) \frac{\Gamma \vdash \varphi \land \psi}{\Gamma \vdash \varphi} \qquad \frac{\Gamma \vdash \varphi \land \psi}{\Gamma \vdash \psi}$$

$$(I_{\vee}) \frac{\Gamma, \neg \psi \vdash \varphi}{\Gamma \vdash \varphi \lor \psi} \qquad \frac{\Gamma, \neg \varphi \vdash \psi}{\Gamma \vdash \varphi \lor \psi} \qquad (E_{\vee}) \frac{\Gamma \vdash \varphi \lor \psi \quad \Delta, \varphi \vdash \vartheta \quad \Delta', \psi \vdash \vartheta}{\Gamma, \Delta, \Delta' \vdash \vartheta}$$

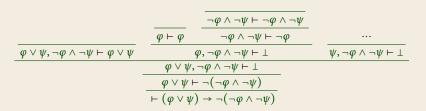
$$(I_{\neg}) \frac{\Gamma, \varphi \vdash \bot}{\Gamma \vdash \neg \varphi} \qquad (E_{\neg}) \frac{\Gamma, \neg \varphi \vdash \bot}{\Gamma \vdash \varphi}$$

$$(I_{\bot}) \frac{\Gamma \vdash \varphi \quad \Gamma \vdash \neg \varphi}{\Gamma \vdash \bot} \qquad (E_{\bot}) \frac{\Gamma \vdash \bot}{\Gamma \vdash \varphi}$$

(Ax)  $\frac{}{\Gamma, \varphi \vdash \varphi}$ 

 $(E_{\rightarrow}) \frac{I \vdash \varphi \quad \Delta \vdash \varphi \rightarrow \psi}{\Gamma \quad A \vdash \psi}$ 

 $(E_{\leftrightarrow}) \frac{\Gamma \vdash \varphi \quad \Delta \vdash \varphi \leftrightarrow \psi}{\Gamma \quad \Delta \vdash \psi} \quad (+ \text{sym.})$ 



## Natural Deduction (quantifiers and equality)

$$(I_{\exists}) \frac{\Gamma \vdash \varphi[x \mapsto t]}{\Gamma \vdash \exists x \varphi} \qquad (E_{\exists}) \frac{\Gamma \vdash \exists x \varphi \quad \Delta, \varphi[x \mapsto c] \vdash \psi}{\Gamma, \Delta \vdash \psi}$$

$$(I_{\forall}) \frac{\Gamma \vdash \varphi[x \mapsto c]}{\Gamma \vdash \forall x \varphi} \qquad (E_{\forall}) \frac{\Gamma \vdash \forall x \varphi}{\Gamma \vdash \varphi[x \mapsto t]}$$

$$(I_{=}) \frac{\Gamma \vdash s = t \quad \Delta \vdash \varphi[x \mapsto s]}{\Gamma, \Delta \vdash \varphi[x \mapsto t]}$$

*c* a **new** constant symbol, *s*, *t* arbitrary terms

$$s = t \vdash t = s$$

$$s=t \vdash t=s$$
 
$$\frac{s=t \vdash s=t \qquad \vdash s=s}{s=t \vdash t=s} \quad (E_{=})$$

$$s = t \vdash t = s$$
 
$$\frac{s = t \vdash s = t \quad \vdash s = s}{s = t \vdash t = s} \quad (E_{=})$$

$$s=t,\ t=u\vdash s=u$$

$$s = t \vdash t = s$$
 
$$\frac{\overline{s = t \vdash s = t} \quad \overline{\vdash s = s}}{s = t \vdash t = s} \quad (E_{=})$$

$$s = t$$
,  $t = u \vdash s = u$  
$$\frac{t = u \vdash t = u \quad s = t \vdash s = t}{s = t, t = u \vdash s = u}$$

 $(E_{=})$ 

$$s = t \vdash t = s$$
 
$$\frac{\overline{s = t \vdash s = t} \quad \overline{\vdash s = s}}{s = t \vdash t = s} \quad (E_{=})$$

$$s=t,\ t=u\vdash s=u$$
 
$$\frac{t=u\vdash t=u\quad s=t\vdash s=t}{s=t,\ t=u\vdash s=u} \quad (E_{=})$$

$$\exists x \forall y R(x,y) \vdash \forall y \exists x R(x,y)$$

$$s = t \vdash t = s$$
 
$$\frac{\overline{s = t \vdash s = t} \quad \overline{\vdash s = s}}{s = t \vdash t = s} \quad (E_{=})$$

$$s=t,\ t=u\vdash s=u$$
 
$$\frac{t=u\vdash t=u}{s=t,\ t=u\vdash s=u}$$
 (E<sub>=</sub>)

$$\frac{\exists x \forall y R(x,y) \vdash \forall y \exists x R(x,y)}{\exists x \forall y R(x,y) \vdash \exists x \forall y R(x,y)} \frac{\exists y R(c,y) \vdash \forall y R(c,y)}{\forall y R(c,y) \vdash R(c,d)} (E_{\forall})$$

$$\frac{\exists x \forall y R(x,y) \vdash \exists x \forall y R(x,y)}{\exists x \forall y R(x,y) \vdash \forall y \exists x R(x,y)} (I_{\exists})$$

$$\frac{\exists x \forall y R(x,y) \vdash \exists x \forall y R(x,y)}{\exists x \forall y R(x,y) \vdash \forall y \exists x R(x,y)} (E_{\exists})$$

## Soundness and Completeness

#### **Theorem**

A formula  $\varphi$  is provable using Natural Deduction if, and only if, it is valid.

### Corollary

The set of valid first-order formulae is recursively enumerable.

# Isabelle/HOL

### Isabelle/HOL

Proof assistant designed for software verification.

#### General structure

```
theory T
imports T1 ... Tn
begin
  declarations, definitions, and proofs
end
```

## **Syntax**

#### Two levels:

- the meta-language (Isabelle) used to define theories,
- ▶ the logical language (HOL) used to write formulae.

To distinguish the levels, one encloses formulae of the logical language in quotes.

## Logical Language

### **Types**

- base types: bool, nat, int,...
- type constructors:  $\alpha$  list,  $\alpha$  set,...
- function types:  $\alpha \Rightarrow \beta$
- type variables: 'a, 'b,...

#### **Terms**

- application: f x y, x + y,...
- abstraction:  $\lambda x.t$
- type annoation:  $t :: \alpha$
- if b then t else u
- let x = t in u
- case x of  $p_0 \Rightarrow t_0 \mid \cdots \mid p_n \Rightarrow t_n$

#### Formulae

- terms of type bool
- ▶ boolean operations  $\neg$ ,  $\land$ ,  $\lor$ ,  $\rightarrow$
- quantifiers  $\forall x, \exists x$
- predicates ==, <,...</pre>

## **Basic Types**

```
datatype bool = True | False
fun conj :: "bool => bool => bool" where
"conj True True = True" |
"conj _ = False"
datatype nat = 0 | Suc nat
fun add :: "nat => nat => nat" where
"add 0 n = n" I
"add (Suc m) n = Suc (add m n)"
lemma add 02: "add m 0 = m"
apply (induction m)
apply (auto)
done
```

```
lemma add_02: "add m 0 = m"
```

```
lemma add_02: "add m 0 = m"
apply (induction m)
```

```
lemma add_02: "add m 0 = m"
apply (induction m)
1. add 0 0 = 0
2. \( \Lambda m\) add m 0 = m ==> add (Suc m) 0 = Suc m
```

```
lemma add_02: "add m 0 = m"
apply (induction m)
1. add 0 0 = 0
2. \( \triangle m \). add m 0 = m ==> add (Suc m) 0 = Suc m
apply (auto)
```

```
datatype 'a list = Nil
                                    ("[]")
                | Cons 'a "'a list" (infixr "#" 65)
fun app :: "'a list => 'a list => 'a list"
                                    (infixr "@" 65)
where
"[] @ ys = ys" |
(x # xs) @ ys = x # (xs @ ys)
fun rev :: "'a list => 'a list" where
"rev [] = []" |
"rev (x # xs) = (rev xs) @ (x # [])"
```

theorem rev\_rev [simp]: "rev (rev xs) = xs"

```
theorem rev_rev [simp]: "rev (rev xs) = xs"
apply(induction xs)
```

```
theorem rev_rev [simp]: "rev (rev xs) = xs"
apply(induction xs)
1. rev (rev Nil) = Nil
```

2.  $\bigwedge x1 \times xs$ . rev (rev xs) = xs ==>

rev (rev (Cons x1 xs)) = Cons x1 xs

```
theorem rev_rev [simp]: "rev (rev xs) = xs"
apply(induction xs)
1. rev (rev Nil) = Nil
2. \( \lambda x1 \) xs. rev (rev xs) = xs ==>
    rev (rev (Cons x1 xs)) = Cons x1 xs
```

apply(auto)

```
theorem rev_rev [simp]: "rev (rev xs) = xs"
apply(induction xs)
1. rev (rev Nil) = Nil
2. \bigwedge x1 xs. rev (rev xs) = xs ==>
  rev (rev (Cons x1 xs)) = Cons x1 xs
```

apply(auto) 1. ∧x1 xs.

rev (rev xs) = xs ==>

rev (rev xs @ Cons x1 Nil) = Cons x1 xs

```
lemma app_Nil2 [simp]: "xs @ Nil = xs"
apply(induction xs)
apply(auto)
done
```

```
lemma app_Nil2 [simp]: "xs @ Nil = xs"
apply(induction xs)
apply(auto)
done
lemma rev_app [simp]: "rev (xs @ ys) = rev ys @ rev xs"
apply(induction xs)
apply(auto)
1. ∧x1 xs.
  rev (xs @ ys) = rev ys @ rev xs ==>
  (rev ys @ rev xs) @ Cons x1 Nil =
  rev vs @ (rev xs @ Cons x1 Nil)
```

```
lemma app_Nil2 [simp]: "xs @ Nil = xs"
apply(induction xs)
apply(auto)
done
lemma rev_app [simp]: "rev (xs @ ys) = rev ys @ rev xs"
apply(induction xs)
apply(auto)
1. ∆x1 xs.
  rev (xs @ ys) = rev ys @ rev xs ==>
  (rev ys @ rev xs) @ Cons x1 Nil =
  rev vs @ (rev xs @ Cons x1 Nil)
lemma app_assoc [simp]: "(xs @ ys) @ zs = xs @ (ys @ zs)"
apply (induction xs)
apply (auto)
done
```

```
lemma app_Nil2 [simp]: "xs @ [] = xs"
apply(induction xs)
apply(auto)
done
lemma app_assoc [simp]: "(xs @ ys) @ zs = xs @ (ys @ zs)"
apply(induction xs)
apply(auto)
done
lemma rev_app [simp]: "rev(xs @ ys) = (rev ys) @ (rev xs)"
apply(induction xs)
apply(auto)
done
theorem rev_rev [simp]: "rev(rev xs) = xs"
apply(induction xs)
apply(auto)
```

done

# Nonmonotonic Logic

## Negation as Failure

#### Goal

Develop a proof calculus supporting Negation as Failure as used in Prolog.

### Monotonicity

Ordinary deduction is **monotone**: if we add new assumption, all consequences we have already derived remain. More information does not invalidate already made deductions.

#### **Non-Monotonicity**

Negation as Failure is non-monotone:

*P* implies  $\neg Q$  but *P*, *Q* does not imply  $\neg Q$ .

# Default Logic

#### Rule

$$\frac{\alpha_0 \dots \alpha_m : \beta_0 \dots \beta_n}{\gamma} \qquad \begin{array}{c} \alpha_i & \text{assumptions} \\ \beta_i & \text{restraints} \\ \gamma & \text{consequence} \end{array}$$

Derive  $\gamma$  provided that we can derive  $\alpha_0, \ldots, \alpha_m$ , but none of  $\beta_0, \ldots, \beta_n$ .

## Example

$$\frac{\operatorname{bird}(x) : \operatorname{penguin}(x) \operatorname{ostrich}(x)}{\operatorname{can\_fly}(x)}$$

#### Semantics

#### **Definition**

A set  $\Phi$  of formulae is **consistent** with respect to a set of rules R if, for every rule

$$\frac{\alpha_0 \ldots \alpha_m : \beta_0 \ldots \beta_n}{\gamma} \in R$$

such that  $\alpha_0, \ldots, \alpha_m \in \Phi$  and  $\beta_0, \ldots, \beta_n \notin \Phi$ , we have  $\gamma \in \Phi$ .

#### Note

If there are no restraints  $\beta_i$ , consistent sets are closed under intersection.

⇒ There is a unique smallest such set, that of all **provable** formulae.

If there are restraints, this may not be the case. Formulae that belong to all consistent sets are called **secured consequences**.

## **Examples**

The system

$$\frac{\alpha}{\alpha} = \frac{\alpha : \beta}{\beta}$$

has a unique consistent set  $\{\alpha, \beta\}$ .

The system

$$\frac{\alpha}{\alpha} = \frac{\alpha : \beta}{\gamma} = \frac{\alpha : \gamma}{\beta}$$

has consistent sets

$$\{\alpha,\beta\}, \{\alpha,\gamma\}, \{\alpha,\beta,\gamma\}.$$