

Chapter 3: Introduction to SQL

Database System Concepts, 6th Ed.

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Chapter 3: Introduction to SQL

- Overview of the SQL Query Language
- Data Definition
- Basic Query Structure
- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions
- Nested Subqueries
- Modification of the Database



History

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
 - SQL-86, SQL-89, SQL-92
 - SQL:1999, SQL:2003, SQL:2008
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
 - Not all examples here may work on your particular system.



Data Definition Language

The SQL data-definition language (DDL) allows the specification of information about relations, including:

- The schema for each relation.
- The domain of values associated with each attribute.
- Integrity constraints
- And as we will see later, also other information such as
 - The set of indices to be maintained for each relations.
 - Security and authorization information for each relation.
 - The physical storage structure of each relation on disk.



Domain Types in SQL

- **char(n).** Fixed length character string, with user-specified length *n*.
- varchar(n). Variable length character strings, with user-specified maximum length n.
- int. Integer (a finite subset of the integers that is machinedependent).
- smallint. Small integer (a machine-dependent subset of the integer domain type).
- numeric(p,d). Fixed point number, with user-specified precision of p digits, with d digits to the right of decimal point.
- real, double precision. Floating point and double-precision floating point numbers, with machine-dependent precision.
- float(n). Floating point number, with user-specified precision of at least n digits.
- More are covered in Chapter 4.



Create Table Construct

An SQL relation is defined using the create table command:

```
create table r(A_1 D_1, A_2 D_2, ..., A_n D_n, (integrity-constraint_1), ..., (integrity-constraint_k))
```

- r is the name of the relation
- each A_i is an attribute name in the schema of relation r
- D_i is the data type of values in the domain of attribute A_i
- Example:

```
create table instructor (
    ID char(5),
    name varchar(20) not null,
    dept_name varchar(20),
    salary numeric(8,2))
```

- insert into instructor values ('10211', 'Smith', 'Biology', 66000);
- insert into instructor values ('10211', null, 'Biology', 66000);



Integrity Constraints in Create Table

- not null
- **primary key** $(A_1, ..., A_n)$
- foreign key $(A_m, ..., A_n)$ references r

Example: Declare dept_name as the primary key for department

.

primary key declaration on an attribute automatically ensures not null



And a Few More Relation Definitions

create table student (varchar(5), varchar(20) not null, name varchar(20), dept_name tot cred numeric(3,0),primary key (ID), foreign key (dept_name) references department)); create table takes (varchar(5), course id varchar(8), varchar(8), sec id varchar(6), semester numeric(4,0),vear grade varchar(2),

primary key (ID, course_id, sec_id, semester, year),

foreign key (ID) references student,

foreign key (course_id, sec_id, semester, year) references section);

Note: sec id can be dropped from primary key above, to ensure a student cannot be registered for two sections of the same course in the same semester



And more still

create table course (

```
course_id varchar(8) primary key,
title varchar(50),
dept_name varchar(20),
credits numeric(2,0),
foreign key (dept_name) references department));
```

 Primary key declaration can be combined with attribute declaration as shown above



Drop and Alter Table Constructs

- drop table student
 - Deletes the table and its contents
- delete from student
 - Deletes all contents of table, but retains table
- alter table
 - alter table r add A D
 - where A is the name of the attribute to be added to relation r and D is the domain of A.
 - All tuples in the relation are assigned *null* as the value for the new attribute.
 - alter table r drop A
 - where A is the name of an attribute of relation r
 - Dropping of attributes not supported by many databases



Basic Query Structure

- The SQL data-manipulation language (DML) provides the ability to query information, and insert, delete and update tuples
- A typical SQL query has the form:

select
$$A_1, A_2, ..., A_n$$
 from $r_1, r_2, ..., r_m$ **where** P

- A_i represents an attribute
- r_i represents a relation
- P is a predicate.
- The result of an SQL query is a relation.



The select Clause

- The select clause list the attributes desired in the result of a query
 - corresponds to the projection operation of the relational algebra
- Example: find the names of all instructors:

select *name* **from** *instructor*

- NOTE: SQL names are case insensitive (i.e., you may use upper- or lower-case letters.)
 - E.g. Name = NAME = name
 - Some people use upper case wherever we use bold font.



The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword distinct after select.
- Find the names of all departments with instructor, and remove duplicates

select distinct *dept_name* **from** *instructor*

The keyword all specifies that duplicates not be removed.

select all dept_name **from** instructor



The select Clause (Cont.)

An asterisk in the select clause denotes "all attributes"

select *
from instructor

- The select clause can contain arithmetic expressions involving the operation, +, -, *, and /, and operating on constants or attributes of tuples.
- The query:

select *ID, name, salary/12* **from** *instructor*

would return a relation that is the same as the *instructor* relation, except that the value of the attribute *salary* is divided by 12.



The where Clause

- The where clause specifies conditions that the result must satisfy
 - Corresponds to the selection predicate of the relational algebra.
- To find all instructors in Comp. Sci. dept with salary > 80000 select name from instructor where dept_name = 'Comp. Sci.' and salary > 80000
- Comparison results can be combined using the logical connectives and, or, and not.
- Comparisons can be applied to results of arithmetic expressions.



The from Clause

- The from clause lists the relations involved in the query
 - Corresponds to the Cartesian product operation of the relational algebra.
- Find the Cartesian product *instructor X teaches*

select *
from instructor, teaches

- generates every possible instructor teaches pair, with all attributes from both relations
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra)



Cartesian Product: instructor × teaches

instructor

teaches

ID	пате	dept_name	salary
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
201-7		751	A=AAA

ID	course_id	sec_id	semester	year
10101	CS-101	1	Fall	2009
10101	CS-315	1	Spring	2010
10101	CS-347	1	Fall	2009
12121	FIN-201	1	Spring	2010
15151	MU-199	1	Spring	2010
22222	PHY-101	1	Fall	2009

inst.ID	пате	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Finance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Finance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Finance	90000	22222	PHY-101	1	Fall	2009



Joins

For all instructors who have taught some course, find their names and the course ID of the courses they taught.

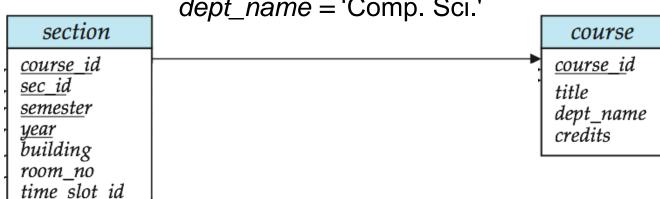
```
select name, course id
from instructor, teaches
where instructor.ID = teaches.ID
```

Find the course ID, semester, year and title of each course offered by the Comp. Sci. department

> **select** section.course_id, semester, year, title from section, course

where section.course_id = course.course_id and

dept_name = 'Comp. Sci.'





Natural Join

- Natural join matches tuples with the same values for all common attributes, and retains only one copy of each common column
- select *
 from instructor natural join teaches;

ID	name	dept_name	salary	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	CS-101	1	Fall	2009
10101		Comp. Sci.		F	1	Spring	2010
10101	Srinivasan			CS-347	1	Fall	2009
12121	Wu	Finance	90000	FIN-201	1	Spring	2010
15151	Mozart	Music	40000	MU-199	1	Spring	2010
22222	Einstein	Physics	95000	PHY-101	1	Fall	2009
32343	El Said	History	60000	HIS-351	1	Spring	2010
45565	Katz	Comp. Sci.	75000	CS-101	1	Spring	2010
45565	Katz	Comp. Sci.	75000	CS-319	1	Spring	2010
76766	Crick	Biology	72000	BIO-101	1	Summer	2009
76766	Crick	Biology	72000	RI∩-301	1	Summer	2010



Natural Join Example

- List the names of instructors along with the course ID of the courses that they taught.
 - select name, course_id
 from instructor, teaches
 where instructor.ID = teaches.ID;
 - select name, course_id
 from instructor natural join teaches;



Natural Join (Cont.)

- Danger in natural join: beware of unrelated attributes with same name which get equated incorrectly
- List the names of instructors along with the titles of courses that they teach
 - Incorrect version (makes course.dept_name = instructor.dept_name)
 - select name, title from instructor natural join teaches natural join course;
 - Correct version
 - select name, title from instructor natural join teaches, course where teaches.course_id = course.course_id;
 - Another correct version
 - select name, title
 from (instructor natural join teaches)
 join course using(course_id);



The Rename Operation

- The SQL allows renaming relations and attributes using the as clause:
 old-name as new-name
- E.g.
 - select ID, name, salary/12 as monthly_salary
 from instructor
- Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.
 - select distinct T. name
 from instructor as T, instructor as S
 where T.salary > S.salary and S.dept_name = 'Comp. Sci.'
- Keyword as is optional and may be omitted instructor as T ≡ instructor T
 - Keyword as must be omitted in Oracle



String Operations

- SQL includes a string-matching operator for comparisons on character strings. The operator "like" uses patterns that are described using two special characters:
 - percent (%). The % character matches any substring.
 - underscore (_). The _ character matches any character.
- Find the names of all instructors whose name includes the substring "dar".

from *instructor* **where** *name* **like** '%dar%'

Match the string "100 %"

like '100 \%' escape '\'



String Operations (Cont.)

- Patters are case sensitive.
- Pattern matching examples:
 - 'Intro%' matches any string beginning with "Intro".
 - '%Comp%' matches any string containing "Comp" as a substring.
 - '___' matches any string of exactly three characters.
 - '___ %' matches any string of at least three characters.
- SQL supports a variety of string operations such as
 - concatenation (using "||")
 - converting from upper to lower case (and vice versa)
 - finding string length, extracting substrings, etc.



Ordering the Display of Tuples

- List in alphabetic order the names of all instructors select distinct name from instructor order by name
- We may specify desc for descending order or asc for ascending order, for each attribute; ascending order is the default.
 - Example: order by name desc
- Can sort on multiple attributes
 - Example: order by dept_name, name



Where Clause Predicates

- SQL includes a between comparison operator
- **Example:** Find the names of all instructors with salary between \$90,000 and \$100,000 (that is, $\ge $90,000$ and $\le $100,000$)
 - select name
 from instructor
 where salary between 90000 and 100000
- Tuple comparison
 - select name, course_id
 from instructor, teaches
 where (instructor.ID, dept_name) = (teaches.ID, 'Biology');



Duplicates

- In relations with duplicates, SQL can define how many copies of tuples appear in the result.
- Multiset versions of some of the relational algebra operators given multiset relations r_1 and r_2 :
 - 1. $\sigma_{\theta}(r_1)$: If there are c_1 copies of tuple t_1 in r_1 , and t_1 satisfies selections σ_{θ} , then there are c_1 copies of t_1 in $\sigma_{\theta}(r_1)$.
 - 2. $\Pi_A(r)$: For each copy of tuple t_1 in r_1 , there is a copy of tuple $\Pi_A(t_1)$ in $\Pi_A(r_1)$ where $\Pi_A(t_1)$ denotes the projection of the single tuple t_1 .
 - 3. $r_1 \times r_2$: If there are c_1 copies of tuple t_1 in r_1 and c_2 copies of tuple t_2 in r_2 , there are $c_1 \times c_2$ copies of the tuple t_1 t_2 in $r_1 \times r_2$



Duplicates (Cont.)

Example: Suppose multiset relations r_1 (A, B) and r_2 (C) are as follows:

$$r_1 = \{(1, a) (2,a)\}$$
 $r_2 = \{(2), (3), (3)\}$

- Then $\Pi_B(r_1)$ would be {(a), (a)}, while $\Pi_B(r_1) \times r_2$ would be {(a,2), (a,2), (a,3), (a,3), (a,3), (a,3)}
- SQL duplicate semantics:

select
$$A_1, A_2, ..., A_n$$
 from $r_1, r_2, ..., r_m$ **where** P

is equivalent to the *multiset* version of the expression:

$$\prod_{A_1,A_2,...,A_n} (\sigma_P(r_1 \times r_2 \times ... \times r_m))$$



Set Operations

Find courses that ran in Fall 2009 or in Spring 2010

```
(select course_id from section where sem = 'Fall' and year = 2009) union (select course_id from section where sem = 'Spring' and year = 2010)
```

Find courses that ran in Fall 2009 and in Spring 2010

```
(select course_id from section where sem = 'Fall' and year = 2009) intersect (select course_id from section where sem = 'Spring' and year = 2010)
```

Find courses that ran in Fall 2009 but not in Spring 2010

```
(select course_id from section where sem = 'Fall' and year = 2009)
except
(select course_id from section where sem = 'Spring' and year = 2010)
```



Set Operations

- Set operations union, intersect, and except
 - Each of the above operations automatically eliminates duplicates
- To retain all duplicates use the corresponding multiset versions union all, intersect all and except all.

Suppose a tuple occurs *m* times in *r* and *n* times in *s*, then, it occurs:

- m + n times in runion all s
- min(m,n) times in r intersect all s
- max(0, m-n) times in r except all s



Null Values

- It is possible for tuples to have a null value, denoted by null, for some of their attributes
- null signifies an unknown value or that a value does not exist.
- The result of any arithmetic expression involving null is null
 - Example: 5 + null returns null
- The predicate is null can be used to check for null values.
 - Example: Find all instructors whose salary is null.

select name from instructor where salary is null



Aggregate Functions

These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value

min: minimum value

max: maximum value

sum: sum of values

count: number of values



Aggregate Functions (Cont.)

- Find the average salary of instructors in the Computer Science department
 - select avg (salary)
 from instructor
 where dept_name= 'Comp. Sci.';
- Find the total number of instructors who teach a course in the Spring 2010 semester
 - select count (distinct ID)
 from teaches
 where semester = 'Spring' and year = 2010
- Find the number of tuples in the course relation
 - select count (*)from course;



Aggregate Functions – Group By

- Find the average salary of instructors in each department
 - select dept_name, avg (salary)
 from instructor
 group by dept_name;
 - Note: departments with no instructor will not appear in result

ID	name	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000



Aggregation (Cont.)

- Attributes in select clause outside of aggregate functions must appear in group by list
 - /* erroneous query */
 select dept_name, ID, avg (salary)
 from instructor
 group by dept_name;



Aggregate Functions – Having Clause

Find the names and average salaries of all departments whose average salary is greater than 42000

```
select dept_name, avg (salary)
from instructor
group by dept_name
having avg (salary) > 42000;
```

Note: predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups



Null Values and Aggregates

Total all salaries

select sum (salary) **from** instructor

- Above statement ignores null amounts
- Result is null if there is no non-null amount
- All aggregate operations except count(*) ignore tuples with null values on the aggregated attributes
- What if collection has only null values?
 - count returns 0
 - all other aggregates return null



Nested Subqueries

- SQL provides a mechanism for the nesting of subqueries.
- A subquery is a select-from-where expression that is nested within another query.
- A common use of subqueries is to perform tests for set membership, set comparisons, and set cardinality.



Example Query

Find courses offered in Fall 2009 and in Spring 2010

Find courses offered in Fall 2009 but not in Spring 2010



Example Query

Find the total number of (distinct) students who have taken course sections taught by the instructor with ID 10101

Note: Above query can be written in a much simpler manner.
The formulation above is simply to illustrate SQL features.



Set Comparison

■ Find names of instructors with salary greater than that of some (at least one) instructor in the Biology department.

```
select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept_name = 'Biology';
```

Same query using > some clause



Definition of Some Clause

■ F <comp> some $r \Leftrightarrow \exists t \in r \text{ such that (F <comp> } t)$ Where <comp> can be: <, ≤, >, =, ≠

$$(5 < \mathbf{some} \begin{tabular}{|c|c|c|c|} \hline 0 \\ \hline 5 \\ \hline \hline 6 \\ \hline \end{tabular}) = \mathsf{true}$$
 (read: $5 < \mathsf{some}$ tuple in the relation)
$$(5 < \mathbf{some} \begin{tabular}{|c|c|c|c|c|} \hline 0 \\ \hline 5 \\ \hline \end{tabular}) = \mathsf{false}$$

$$(5 = \mathbf{some} \begin{tabular}{|c|c|c|} \hline 0 \\ \hline \hline \end{tabular}) = \mathsf{true}$$

$$(5 \neq \mathbf{some} \begin{tabular}{|c|c|c|} \hline 0 \\ \hline \end{tabular}) = \mathsf{true}$$
 (since $0 \neq 5$)
$$(= \mathbf{some}) \equiv \mathsf{in}$$
 However, $(\neq \mathbf{some}) \not\equiv \mathsf{not}$ in



Example Query

■ Find the names of all instructors whose salary is greater than the salary of all instructors in the Biology department.



Definition of all Clause

■ F <comp> all $r \Leftrightarrow \forall t \in r \text{ (F <comp> } t)$

$$(5 < \mathbf{all} \quad \begin{array}{c} 0 \\ 5 \\ 6 \end{array}) = \text{false}$$

$$(5 < \mathbf{all} \quad \begin{array}{c} 6 \\ 10 \end{array}) = \text{true}$$

$$(5 = \mathbf{all} \quad \begin{array}{c} 4 \\ 5 \end{array}) = \text{false}$$

$$(5 \neq \mathbf{all} \quad \begin{array}{c} 4 \\ 6 \end{array}) = \text{true (since } 5 \neq 4 \text{ and } 5 \neq 6)$$

$$(\neq \mathbf{all}) \equiv \mathbf{not in}$$
However, $(= \mathbf{all}) \neq \mathbf{in}$



Test for Empty Relations

- The **exists** construct returns the value **true** if the argument subquery is nonempty.
- **exists** $r \Leftrightarrow r \neq \emptyset$
- **not exists** $r \Leftrightarrow r = \emptyset$



Test for Absence of Duplicate Tuples

- The unique construct tests whether a subquery has any duplicate tuples in its result.
 - (Evaluates to "true" on an empty set)
- Find all courses that were offered at most once in 2009

```
select T.course_id

from course as T

where unique (select R.course_id

from section as R

where T.course_id= R.course_id

and R.year = 2009);
```



Subqueries in the From Clause

- SQL allows a subquery expression to be used in the from clause
- Find the average instructors' salaries of those departments where the average salary is greater than \$42,000.

- Note that we do not need to use the having clause
- Another way to write above query



Scalar Subquery

- Scalar subquery is one which is used where a single value is expected
- E.g. select name from instructor where salary * 10 > (select budget from department where department.dept_name = instructor.dept_name)
- Runtime error if subquery returns more than one result tuple



Modification of the Database

- Deletion of tuples from a given relation
- Insertion of new tuples into a given relation
- Updating values in some tuples in a given relation



Modification of the Database – Deletion

Delete all instructors

delete from instructor

- Delete all instructors from the Finance department delete from instructor where dept_name= 'Finance';
- Delete all tuples in the instructor relation for those instructors associated with a department located in the Watson building.



Deletion (Cont.)

Delete all instructors whose salary is less than the average salary of instructors

delete from instructor
where salary< (select avg (salary) from instructor);</pre>

- Problem: as we delete tuples from deposit, the average salary changes
- Solution used in SQL:
 - 1. First, compute **avg** salary and find all tuples to delete
 - 2. Next, delete all tuples found above (without recomputing **avg** or retesting the tuples)



Modification of the Database – Insertion

Add a new tuple to course

```
insert into course values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
```

or equivalently insert into course (course_id, title, dept_name, credits) values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);

Add a new tuple to student with tot_creds set to null insert into student

```
values ('3003', 'Green', 'Finance', null);
```



Insertion (Cont.)

- Add all instructors to the student relation with tot_creds set to 0 insert into student select ID, name, dept_name, 0 from instructor
- The select from where statement is evaluated fully before any of its results are inserted into the relation (otherwise queries like insert into table1 select * from table1 would cause problems, if table1 did not have any primary key defined.



Modification of the Database – Updates

- Increase salaries of instructors whose salary is over \$100,000 by 3%, and all others receive a 5% raise
 - Write two update statements:

```
update instructor
  set salary = salary * 1.03
  where salary > 1000000;
update instructor
  set salary = salary * 1.05
  where salary <= 1000000;</pre>
```

- The order is important
- Can be done better using the case statement (next slide)



Case Statement for Conditional Updates

Same query as before but with case statement

```
update instructor
   set salary = case
        when salary <= 100000 then salary * 1.05
        else salary * 1.03
        end</pre>
```



Updates with Scalar Subqueries

Recompute and update tot_creds value for all students

- Sets tot_creds to null for students who have not taken any course
- Instead of sum(credits), use:

```
case
    when sum(credits) is not null then sum(credits)
    else 0
end
```



End of Chapter 3

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