

# Word Embeddings

Towards Fast, Interpretable, and Accurate Information Retrieval Systems

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October 15, 2020



#### Why not use Transformers?

- Applications of Transformers [1] for full-text search are limited:
  - Documents are retrieved by keyword matching and top ten are reranked for speed. [2]
  - Due to  $\mathcal{O}(w^2)$  space complexity of Attention, only short documents (QA) can be reranked.
- **s**BERT [3] can project short documents to semantic vector space, but it is supervised.
- **Big Bird [4]** makes Attention  $\mathcal{O}(w)$  and could be used to rerank long documents.
- Until speed and space are improved, keyword matching determines search results.

#### How to improve keyword matching?

- **Keyword matching similarity functions (TF-IDF, BM25)** disregard word similarity.
- Shallow embeddings (word2vec [5, 6], GloVe [7], fastText [8]) measure word similarity.
- TF-IDF and BM25 can support soft keyword matching [9–12] using embeddings.

# Introduction

Word Embeddings?

## The quick brown ??? jumps over the lazy dog.



Figure: The training objective of word2vec and fastText CBOW models.

# Introduction Keyword Matching? I



Figure: Keyword matching (left), word embeddings (middle), and soft keyword matching (right).

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# Introduction Keyword Matching? II



## Demo of soft keyword matching

#### **Keyword Matching? III**



Figure: Text classification performance of soft keyword matching compared to other methods.

#### How to improve keyword matching?

- TF-IDF and BM25 can support soft keyword matching [9–12] using embeddings.
- Our research group develops and maintains Gensim [13]:
  - Essential Python NLP library: 2.6k article citations and 11.2k stars on GitHub
  - Contains hardware-accelerated implementation of shallow word embeddings.
  - Perfect tool to prototype, implement, and evaluate enhanced word embeddings.



#### Introduction

- Word2vec [5, 6] and fastText [8] CBOW models are trained to minimize the distance between the mean of context word embeddings and the masked word embedding.
- However, the position of words in context is not taken into account:
  - 1. "Unlike dogs, cats are ???." 2. "Unlike cats, dogs are ???."

 Mikolov et al. [14] achieved +5% accuracy on English word analogy using position-dependent weighting [14, Section 2.2]. No implementation exists.

$$v_{\mathsf{C}} = rac{1}{|\mathsf{P}|} \sum_{p \in \mathsf{P}} d_p \qquad \Longrightarrow \qquad v_{\mathsf{C}} = rac{1}{|\mathsf{P}|} \sum_{p \in \mathsf{P}} d_p \odot u_{t+p}$$

This summer, we drafted an implementation for Gensim. Open research questions:

- 1. What is the optimal initialization?
- 2. Are Mikolov's results reproducible?

- 3. Is the speed practically useful?
- 4. How does it improve end tasks?

# Position-dependence

## Word Analogy? (Source)



Figure: An example of the English word analogy task.

## What is the optimal initialization?

- FastText initializes  $d_p$  to Uniform $(\pm \frac{1}{fanout})$ .
- The hidden layer receives  $\frac{1}{|P|} \sum_{\rho \in P} d_{\rho}$ , which tends to N(0,  $\frac{\sigma^2}{|P|}$ ) by the CLT.
- To keep the same initial learning rate, we require  $\frac{1}{|P|} \sum_{p \in P} d_p \odot u_{t+p} \sim N(0, \frac{\sigma^2}{|P|})$ .
- We have several options for initialization:
  - 1.  $d_p \sim \text{Uniform}(\pm \frac{1}{\text{fanout}}), u_{t+p} \sim d_p$ . 2.  $d_p \sim \text{Uniform}(\pm \frac{1}{\text{fanout}}), u_{t+p} \sim 1$ .

3. 
$$d_p \odot u_{t+p} \sim \text{Uniform}(\pm \frac{1}{\text{fanout}})$$
.  
4.  $d_p \odot u_{t+p} \sim N(0, \sigma^2)$ .

- Which initialization options are optimal?
  - 1.  $\operatorname{Var}[d_p \odot u_{t+p}] < \sigma^2$ , leading to smaller initial learning rate.
  - 2. Var $[d_p \odot u_{t+p}] = \sigma^2$ , but the gradients to  $d_p$  explode. (the only option we tried)
  - 3.  $\operatorname{Var}[d_p \odot u_{t+p}] = \sigma^2$ , but only the square of positive uniform distribution is known [15].
  - 4.  $\operatorname{Var}[d_p \odot u_{t+p}] = \sigma^2$ , and the square of normal distribution is known [16].

# **Position-dependence** $d_p \sim \text{Uniform}(\pm \frac{1}{\text{fanout}}), u_{t+p} \sim \text{Uniform}(\pm \frac{1}{\text{fanout}})$

Random variable probability density functions



Figure: Probability densities of random variables for initialization option nr. 1.

## $d_{\rho} \odot u_{t+\rho} \sim \mathsf{Uniform}(\pm \frac{1}{\mathsf{fanout}})$ using the method of Ravshan [15]



Random variable probability density functions

Figure: Probability densities of random variables for initialization option nr. 3.

## $d_p \odot u_{t+p} \sim N(0, \sigma^2)$ using the method of Pinelis [16]



Figure: Probability densities of random variables for initialization option nr. 4.

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#### Are Mikolov's results [14] reproducible?

We reproduced +10%\* accuracy on English word analogy with these differences:

- For speed, we used the 2017 English Wikipedia (2.4B words, ca 4% of Common Crawl).
- For speed, we did only modeled unigrams, whereas Mikolov modeled up to 7-grams.

	Disabled	Enabled
Mikolov et al. [14]	80%	85%
Our results	62%	72%

Table: English word analogy task accuracies with and without position-dependent weighting

#### Is the speed practically useful?

- Using full position-dependent weighting incurs  $2-3 \times$  slowdown in training time.
- The speed is not practically useful: training a model for just 3 epochs without position-dependent weighting leads to +10%\* accuracy on English word analogy.

#### Can we make the speed practically useful?

No support in BLAS for O, abusing ?SBMV matrix op. incurs further slowdown.
Replacing positional vectors with positional scalars removes all gains to accuracy.
Reducing the dimensionality of positional vectors does the trick:

	Disabled	Enabled at 10d	Enabled at 300d
Accuracy*	62%	72%	<b>72%</b>
Training duration	2h 12m	2h 29m	5h 00m

Table: English word analogy task accuracies and training durations

#### Reducing the dimensionality of positional vectors



Figure: English word analogy task accuracies (red) and training durations (blue).

#### What do the positional vectors look like?



Figure: The positional vector features are normally distributed and continuous across positions.

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#### Can we use larger context windows now?



Figure: English word analogy task accuracies (red) and training durations (blue) for different context window sizes with position-dependent weighting enabled (dashed) and disabled (solid).

#### How does it improve the end tasks?

- We have conducted experiments only on English word analogies.
- Possible end tasks:
  - 1. Text classification [17]
  - 2. Information retrieval [18, Section 4]
  - 3. Word similarities [8, Section 5.1]

- 4. Language modeling [8, Section 5.6]
- 5. Semantic text similarity [10]
- 6. ... other ideas?



# **Subword sizes**

#### **Parameter optimization**

- Unlike word2vec [5, 6], fastText [8] embeds not only words, but also subwords.
- This speeds up training and allows inference of embeddings for unknown words.
- However, previous work reports optimal subword sizes only for English and German.
- Our experiments suggest:
  - 1. 5% improvement on Czech word analogy with optimal subword sizes over defaults.
  - 2. A fast method for estimating the optimal subword sizes from corpus statistics.
  - 3. Improvements on the language modeling end task.

## Hyphenation

- Hyphenation splits words into subwords based on morphology or phonology.
- TEX's hyphenation algorithm [19] achieves perfect accuracy with tiny models. [20, 21]
- fastText embeds only subwords of fixed size and ignores morphology.
- Hyphenating fastText should decrease model size and speed up training.

Subword sizes

# Subword sizes Subwords? (Source)



Figure: In word2vec, only the entire word is embedded (right). In fastText, n-grams are also embedded (left), which introduces weight sharing and enables inference for unknown words.

# Subword sizes

### Hyphenation? (Source)

#### **NO HYPHENATION**

would have created surprise. Owing to the proximity of the Hay Market, the number of establishments of bad character, the preponderance of

#### **DEFAULT HYPHENATION**

would have created surprise. Owing to the proximity of the Hay Market, the number of establishments of bad cha acter, the preporderance of the trad-

#### **CUSTOMIZED HYPHENATION**

would have created surprise. Owing to the proximity of the Hay Market, the number of establishments of bad character, the preponderance of the trading and working

Figure: In typesetting, hyphenation enables justification without excessive spacing. To aid understanding/oration, hyphenation occurs at morpologically/phonetically meaningful points.

# Conclusion

#### Sounds fun?

- Take a look at our bachelor's and master thesis topics:
  - Positional weighting of fastText word embeddings (bachelor's thesis, master's thesis)
  - Finding optimal *n*-gram sizes for fastText Model (bachelor's thesis, master's thesis)
  - ... or come up with your own!



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