Part I

#### Linear, Cyclic, stream and channel codes. Speccial decodings

#### LINEAR CODES II. - continuation, decoding

#### **APPENDIX I.**

#### **WISDOM**

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Many practically important linear codes have also an efficient decoding.

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**Example** — GF(3)

 $2 +_{3} 2 =$ 

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**Example** — GF(11)

 $7+_{11}8=4 \quad 7\times_{11}8=1$ 

**Comment.** To design linear codes we will use Galois fields GF(q) with q being a prime. One can also use Galois fields  $GF(q^k)$ , k > 1, but their structure and operations are defined in a more complex way, see the Appendix.

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Encoding (code) is called systematic if for any  $m \in M \subset \Sigma^*$ 

$$e(m) = mc_m$$
 for some  $c_m \in \Sigma^*$ 

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Linear codes are special sets of words of a fixed length n over an alphabet  $\Sigma_q = \{0, .., q - 1\}$ , where q is a (power of) prime.

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 $\blacksquare$   $au \in C$  for all  $u \in C$ , and all  $a \in GF(q)$ 

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Each base **B** of *C* is usually reperesented by a (k, n) matrix,  $G_{\mathbf{B}}$ , so called a **generator matrix of** *C*, the *i*-th row of which is the *i*-th codeword of **B**.

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### Example

 $S = \{0100, 0011, 1100\}$  $\langle S \rangle = \{0000, 0100, 0011, 1100, 0111, 1011, 1000, 1111\}.$ 

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**Proof** Operations (a) - (c) just replace one basis by another. Last two operations convert a generator matrix to one of an equivalent code.

Theorem Let G be a generator matrix of an [n, k]-code. Rows of G are then linearly independent .By operations (a) - (e) the matrix G can be transformed into the form:  $[I_k|A]$  where  $I_k$  is the  $k \times k$  identity matrix, and A is a  $k \times (n-k)$  matrix.

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Example

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# SYNDROMES APPROACH to DECODING

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An important family of simple linear codes that are easy to encode and decode, are so-called Hamming codes.

**Definition** Let r be an integer and H be an  $r \times (2^r - 1)$  matrix columns of which are all non-zero distinct words from  $F_2^r$ . The code having H as its parity-check matrix is called binary Hamming code and denoted by Ham(r, 2).

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**Properties of binary Hamming codes** Coset leaders are precisely words of weight  $\leq 1$ . The syndrome of the word 0...010...0 with 1 in *j*-th position and 0 otherwise is the transpose of the *j*-th column of *H*.

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- **Step 3** If  $S(y) \neq 0$ , then assuming a single error, S(y) gives the binary position of the error.

For the Hamming code given by the parity-check matrix

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### Comment

Hamming codes were originally used to deal with errors in long-distance telephon calls.

**Hamming** (7, 4, 3)-code. It has 16 codewords of length 7. It can be used to send  $2^7 = 128$  messages and can be used to correct 1 error.

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	/1	0	0	0	0	0	0	0	0	0	0	0	1	1	1	0	1	1	1	0	0	0	1	0
G =	0	1	0	0	0	0	0	0	0	0	0	0	1	0	1	1	0	1	1	1	0	0	0	1)
	0	0	1	0	0	0	0	0	0	0	0	0	1	1	0	1	1	0	1	1	1	0	0	0
	0	0	0	1	0	0	0	0	0	0	0	0	1	0	1	0	1	1	0	1	1	1	0	0
	0	0	0	0	1	0	0	0	0	0	0	0	1	0	0	1	0	1	1	0	1	1	1	0
	0	0	0	0	0	1	0	0	0	0	0	0	1	0	0	0	1	0	1	1	0	1	1	1
	0	0	0	0	0	0	1	0	0	0	0	0	1	1	0	0	0	1	0	1	1	0	1	1
	0	0	0	0	0	0	0	1	0	0	0	0	1	1	1	0	0	0	1	0	1	1	0	1
	0	0	0	0	0	0	0	0	1	0	0	0	1	1	1	1	0	0	0	1	0	1	1	0
	0	0	0	0	0	0	0	0	0	1	0	0	1	0	1	1	1	0	0	0	1	0	1	1
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 $G_{24}$  is (24, 12, 8)-code and the weights of all codewords are multiples of 4.  $G_{23}$  is obtained from  $G_{24}$  by deleting last symbols of each codeword of  $G_{24}$ .  $G_{23}$  is (23, 12, 7)-code.

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Rows of the last 11 columns are cyclic permutations of the first row which has 1 at those positions that are squares modulo 11, that is

0, 1, 3, 4, 5, 9.

# **REED-MULLER CODES**

This is an infinite, recursively defined, family of so called  $RM_{r,m}$  binary linear  $[2^m, k, 2^{m-r}]$ -codes with

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The generator matrix  $G_{r,m}$  for  $RM_{r,m}$  code has the form

$$G_{r,m} = \left[G_{r-1,m}Q_r\right]$$

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■ Matrix  $Q_r$  is obtained by considering all combinations of r rows of  $G_{1,m}$  and by obtaining products of these rows/vectors, component by component. The result of each of such a multiplication constitues a row of  $Q_r$ .

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Plotkin bound implies that q-nary error-correcting codes with  $d \ge n(1 - 1/q)$  have only polynomially many codewords and hence are not very interesting.

#### **REED-SOLOMON CODES**

They are codes a generator matrix of which has rows labelled by polynomials  $X^i$ ,  $0 \le i \le k-1$ , columns labeled by elements  $0, 1, \ldots, q-1$  and the element in the row labelled by a polynomial p and in the column labelled by an element u is p(u).

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Reed-Solomon codes are used in digital television, satellite communication, wireless communication, barcodes, compact discs, DVD,... They are very good to correct burst errors - such as ones caused by solar energy.

### **APPENDIX** - I.

A LDPC code is a binary linear code whose parity check matrix is very sparse - it contains only very few 1's.

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In the recent years LDPC codes are replacing in many important applications other types of codes for the following reasons:

■ LDPC codes are in principle also very good channel codes, so called **Shannon** capacity approaching codes, they allow the noise threshold to be set arbitrarily close to the theoretical maximum - to Shannon limit - for symmetric channel.

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- LDPC codes are in principle also very good channel codes, so called Shannon capacity approaching codes, they allow the noise threshold to be set arbitrarily close to the theoretical maximum to Shannon limit for symmetric channel.
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Parity-check matrices for LDPC codes are often (pseudo)-randomly generated, subject to

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#### **DISCOVERY and APPLICATION of LDPC CODES**

# LDPC codes were discovered in 1960 by R.C. Gallager in his PhD thesis,

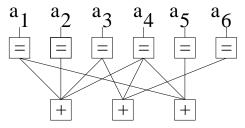
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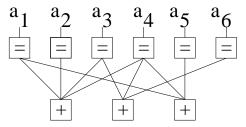
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Parity check nodes:

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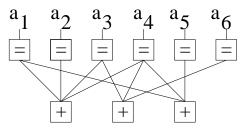


Parity check nodes:

The corresponding parity check matrix has n - k rows and n columns and *i*-th column has 1 in the *j*-th row exactly in case if *i*-th v-node is connected to *j*-th c-node.

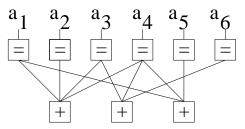
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The LDPC-code with the Tanner bipartite graph for (6,3) LDPC-code.



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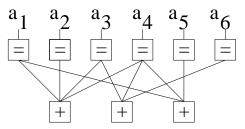
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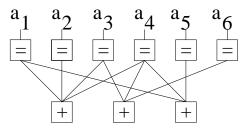


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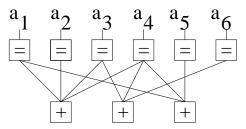
and therefore the following constrains have to be satisfied:

$$a_1 + a_2 + a_3 + a_4 = 0$$
  
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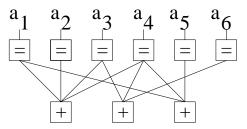


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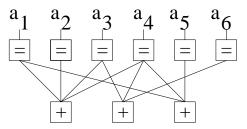


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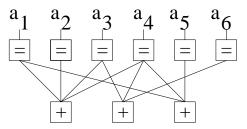


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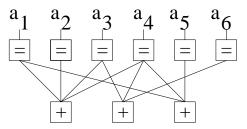


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Using so called **iterative belief propagation techniques**, LDPC codes can be decoded in time linear to their block length.

## **DESIGN of LDPC codes**

- Some good LDPC codes were designed through randomly chosen parity check matrices.
- Some LDPC codes are based on Reed-Solomon codes, such as the RS-LDPC code used in the 10-gigabit Ethernet standard.

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- LDPC is also used for 10Gbase-T Ethernet, which sends data at 10 gigabits per second over twisted-pair cables.
- Since 2009 LDPC codes are also part of the Wi-Fi 802.11 standard as an optional part of 802.11n, in the High Throughput PHY specification.

### **POLYNOMIAL CODES**

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Reed-Solomon codes found many important applications from deep-space travel to consumer electronics.

They are very useful especially in those applications where one can expect that errors occur in bursts - such as ones caused by solar energy.

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For Reed-Solomon codes there is list decoding up to  $1 - \sqrt{2R}$  errors.

## **CHANNELS (STREAMS) CODING**

IV054 1. Linear, Cyclic, stream and channel codes. Speccial decodings

Channel coding is concerned with sending streams of data, at the highest possible rate, over a given communication channel

done?

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complexity of a "naive", or straightforward, optimum decoding schemes increased exponentially with N - therefore such an optimum decoder rapidly become unfeasible.

A breakthrough came when D. Forney, in his PhD thesis in 1972, showed that so called concatenated codes could be used to achieve exponentially decreasing error probabilities at all data rates less than the Shannon channel capacity, with decoding complexity increasing only polynomially with the code length.

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in case k bits are encoded by n bits.

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The code rate express the amount of redundancy in the code - the lower is the code rate, the more redundancy is in the codewords.

## **CHANNEL (STREAM) CODING II**

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## **CHANNEL CAPACITY**

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- By the **noisy-channel Shannon coding theorem**, the channel capacity of a given channel is the limiting code rate (in units of information per unit time) that can be achieved with arbitrary small error probability.

### **CHANNEL CAPACITY - FORMAL DEFINITION**

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The channel capacity is then defined by

$$C = \sup_{P_X(x)} I(X, Y)$$

where

$$I(X,Y) = \sum_{y \in Y} \sum_{x \in X} P_{X,Y}(x,y) \log \left( \frac{P_{X,Y}(x,y)}{P_X(x)P_Y(y)} \right)$$

is the mutual distribution - a measure of variables mutual distribution.

For every discrete memoryless channel, the channel capacity

$$C = \sup_{P_X} I(X, Y)$$

has the following properties:

1. For every  $\varepsilon > 0$  and R < C, for large enough N there exists a code of length N and code rate R and a decoding algorithm, such that the maximal probability of the block error is  $\leq \varepsilon$ .

2. If a probability of the block error  $p_b$  is acceptable, code rates up to  $R(p_b)$  are achievable, where and  $H_2(p_b)$  is the binary entropy function. and  $H_2(p_b)$  is the binary entropy function.

3. For any  $p_b$  code rates greater than  $R(p_b)$  are not achievable.

An (n,k) convolution code with a  $k \times n$  generator matrix G can be used to encode a k-tuple of message-polynomials (polynomial input information)

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### **EXAMPLES**

**EXAMPLE 1** – when the code  $CC_1$  is used:

$$(x^3 + x + 1) \cdot G_1 = (x^3 + x + 1) \cdot (x^2 + 1, x^2 + x + 1)$$
  
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**EXAMPLE 2** – when the code  $CC_2$  is used:

$$(x^{2} + x, x^{3} + 1) \cdot G_{2} = (x^{2} + x, x^{3} + 1) \cdot \begin{pmatrix} 1 + x & 0 & x + 1 \\ 0 & 1 & x \end{pmatrix}$$

### **ENCODING of INFINITE INPUT STREAMS**

An input stream  $I(x) = (l_0(x), l_1(x), l_2(x), ...)$  is mapped into the output stream  $C = (C_{00}, C_{10}, C_{01}, C_{11}...)$  defined by

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The first multiplication can be done by the first shift register from the next figure; second multiplication can be performed by the second shift register on the next slide and it holds

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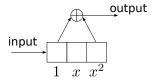
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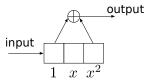
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That is the output streams  $C_0$  and  $C_1$  are obtained by convoluting the input stream with polynomials of  $G_1$ .

### The first shift register

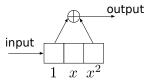


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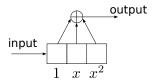


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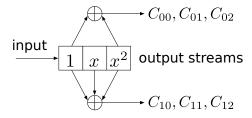


will multiply the input stream by  $x^2 + 1$  and the second shift register input  $1 x x^2$ 

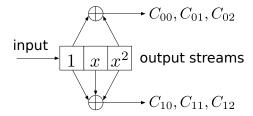


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For decoding of convolution codes so called

### Viterbi algorithm

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### **BIAGWN CHANNELS**

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The noise of BIAGWN is modeled by continuous Gaussian probability distribution function:

Given  $(x, y) \in \{-1, 1\} \times R$ , the noise y - x is distributed according to the Gaussian distribution of zero mean and standard derivation  $\sigma$  of the channel

$$Pr(y|x) = \frac{1}{\sigma\sqrt{2\pi}}e^{-\frac{(y-x)^2}{2\sigma^2}}$$

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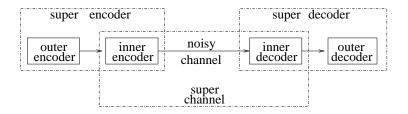
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Concatenated codes and Turbo codes, discussed later, have such a Shannon capacity approaching property.

# **CONCATENATED CODES - I**

The basic idea of concatenated codes is extremely simple. A given message is first encoded by the first (outer) code  $C_1$  ( $C_{out}$ ) and  $C_1$ -output is then encoded by the second code  $C_2$  ( $C_{in}$ ). To decode, at first  $C_2$  decoding and then  $C_1$  decoding are used.

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In 1965 concatenated codes were considered as unfeasible. However, already in 1970s technology has advanced sufficiently and they became standardize by NASA for space applications.

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$$\omega', \omega'^{+1}, \ldots, \omega'^{+d-2}$$

for some I, where

 $\omega$  is the primitive *n*-th root of unity.

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# **CHANNELS (STREAMS) CODING**

IV054 1. Linear, Cyclic, stream and channel codes. Speccial decodings

Channel coding is concerned with sending streams of data, at the highest possible rate, over a given communication channel

How well can channel coding be done?

How well can channel coding be done? So called Shannon's channel coding theorem says that over many common channels there exist data coding schemes that are able to transmit data reliably at all code rates smaller than a certain threshold, called nowadays the Shannon channel capacity, of the given channel.

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A breakthrough came when D. Forney, in his PhD thesis in 1972, showed that so called concatenated codes could be used to achieve exponentially decreasing error probabilities at all data rates less than the Shannon channel capacity, with decoding complexity increasing only polynomially with the code length.

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- By the **noisy-channel Shannon coding theorem**, the channel capacity of a given channel is the limiting code rate (in units of information per unit time) that can be achieved with arbitrary small error probability.

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The joint distribution  $P_{X,Y}(x, y)$  is then defined by

$$P_{X,Y}(x,y) = P_{Y|X}(y|x)P_X(x),$$

where  $P_X(x)$  is the marginal distribution.

### **CANNEL ENCODING**

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**Central problem of channel encoding:** encoding is usually easy, but decoding is usually hard.

# APPENDIX II.

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Locally decodable codes have a variety of applications in cryptography and theory of fault-tolerant computation.

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Moreover, this can be done by picking at random only three bits of the received message and combining them in a right way.

# **TURBO CODES**

### **EXAMPLE from SPACE EXPLORATION**

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At the very beginning of the Galileo mission to explore Jupiter and its moons in 1989 it was discovered that primary antenna (deployed in the figure on the top) failed to deploy,

## **GALILEO MISSION - SOLUTION**

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The primary antenna was designed to send 100, 000 b/s. Spacecraft had also another antenna, but that was capable to send only 10 b/s. The whole mission looked as being a disaster.

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Nowadays when so called iterative decoding is used concatenation of even very simple codes can yield superb performance.

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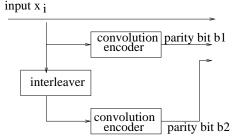
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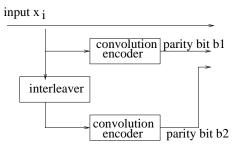
A Turbo encoder is formed from the parallel composition of two (convolution) encoders separated by an interleaver.



IV054 1. Linear, Cyclic, stream and channel codes. Speccial decodings

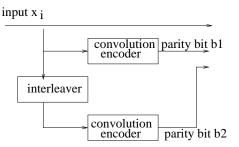
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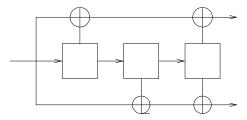


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However, after the inverse permutation the output actually will be

c.n.j.200k.

which is quite easy to decode correctly!!!!

#### **DECODING and PERFORMANCE of TURBO CODES**

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The decibel dB is a number that represents a logarithm of the ration of two values of a quantity (such as value  $dB = 20 \log(V_1/V_2)$ 

A decibel is a relative measure. If E is the actual energy and  $E_{ref}$  is the theoretical lower bound, then the relative energy increase in decibels is

$$10 \log_{10} \frac{E}{E_{ref}}$$

Since  $\log_{10} 2 = 0.3$  a two-fold relative energy increase equals 3dB.

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- A turbo code can be seen as a refinement of concatenated codes plus an iterative algorithm for decoding.