

# Integral and Discrete Transforms in Image Processing

## Introduction & Some revision

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September 12, 2022

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## Introduction

### Course rules

- 12 lectures & seminars
- Lecture + seminar = 2 + 2 hours per week.
- Final exam is written & spoken and is focused on your skills rather than knowledge.
- Basic knowledge of English and math (calculus, statistics, algebra) is highly recommended.
- Digital Image Processing (PV131) is highly recommended.
- Seminars take place in PC labs using Python.
- The experience from seminars will be useful for completing a small project written in Python, MATLAB®, C/C++, Java (or the preferred language).
- At the end of each lecture you can find a list of questions you should be able to answer if you want to pass the final exam.

## Outline

- ① Introduction
  - Course rules
  - Course itinerary
  - Recommended reading

- ② Some revision
  - Image transforms
  - Convolution
  - Impulse symbol
  - Complex numbers
  - Vector spaces

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## Introduction

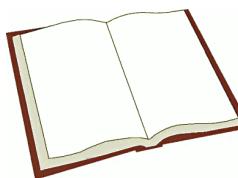
### Course itinerary

- ① Introduction & Revision
- ② Fourier Transform, Spherical Harmonics, Hilbert Transform
- ③ Principle Component Analysis (PCA), Discrete Cosine Transform (DCT)
- ④ Singular Value Decomposition (SVD), Independent Component Analysis (ICA)
- ⑤ Image Resampling, Texture filtering
- ⑥ Z-transform
- ⑦ Wavelet Transform
- ⑧ Lifting Scheme
- ⑨ Recursive Filtering, Steerable Filters
- ⑩ Image Restoration
- ⑪ Image Compression Methods
- ⑫ Image Compression Standards

# Introduction

## Recommended reading

- **Gonzalez, R. C., Woods, R. E.**, Digital image processing / 2nd ed., Upper Saddle River: Prentice Hall, 2002, pages 793, ISBN 0201180758
- **Bracewell, R. N.**, Fourier transform and its applications / 2nd ed. New York: McGraw-Hill, pages 474, ISBN 0070070156
- **Jähne, B.**, Digital image processing / 6th rev. and ext. ed., Berlin: Springer, 2005, pages 607, ISBN 3540240357
- selected papers



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# Convolution

## Definition

### 1D convolution

- Discrete: given two 1D signals  $f(i)$  and  $g(i)$ :

$$(f * g)(i) \equiv \sum_k f(k)g(i - k)$$

- Continuous: given two 1D signals  $f(x)$  and  $g(x)$ :

$$(f * g)(x) \equiv \int_{-\infty}^{\infty} f(x')g(x - x')dx'$$

**Notice:** 'g' is called a *convolution kernel (mask)*

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# Image transforms

## Definition

Image transform  $\mathcal{T}$  is a function that converts the image from one vector space to another (or the same) vector space.

$$h = \mathcal{T}(f)$$

- $f(\mathbf{x})$  or  $f(\mathbf{m})$  ... input image/signal
- $h(\mathbf{y})$  or  $h(\mathbf{n})$  ... output image/signal
- $\mathcal{T}$  ... transform
- $\mathbf{x}, \mathbf{y}$  ... positions in continuous signal
- $\mathbf{m}, \mathbf{n}$  ... indices addressing the positions in discrete sequences/vectors

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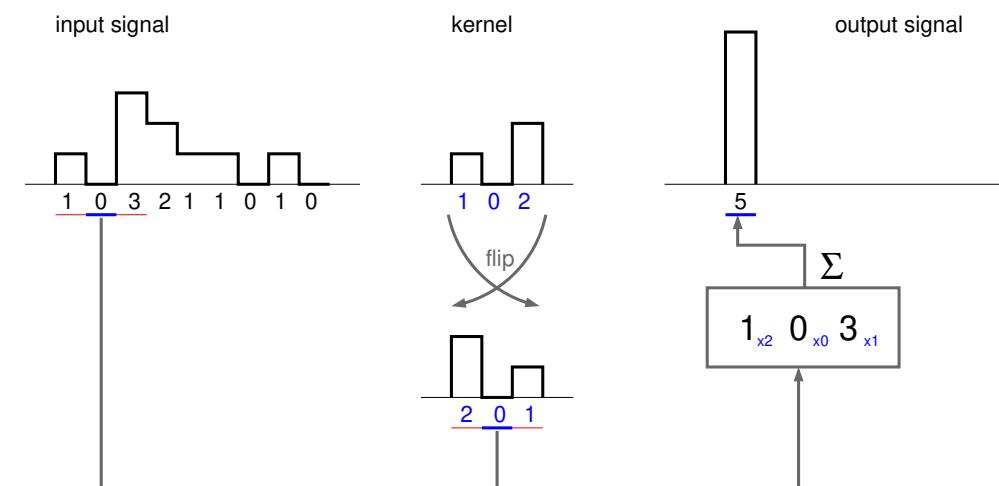
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# Convolution

## Example

### 1D discrete convolution



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# Convolution

Definition

## 2D convolution

- Discrete: given two 2D signals  $f(i, j)$  and  $g(i, j)$ :

$$(f * g)(i, j) \equiv \sum_{k,l} f(k, l)g(i - k, j - l)$$

- Continuous: given two 2D signals  $f(x, y)$  and  $g(x, y)$ :

$$(f * g)(x, y) \equiv \int \int f(x', y')g(x - x', y - y')dx'dy'$$

**Notice:** If not necessary we will focus only on 1D discrete convolution.

# Convolution

Basic properties

Commutativity:

$$f * g = g * f$$

Distributivity:

$$f * (g + h) = f * g + f * h$$

Associativity:

$$(f * g) * h = f * (g * h)$$

Convolution theorem:

$$FT(f) \cdot FT(g) = FT(f * g)$$

$$FT(f) * FT(g) = FT(f \cdot g)$$

Separability:

$$\text{2D kernel } g \text{ is separable} \Leftrightarrow \text{rank}(g) = 1$$

**Notice:** Expression 'FT()' stands for Fourier transform.

# Convolution

Example

## 2D discrete convolution

input image

3	2	7	4	1	0
2	1	5	3	1	1
3	3	0	0	2	1
4	2	1	4	6	4
2	0	5	2	6	7

kernel

0	1	0
1	3	1
0	1	0

output image

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----

3	2	7	x <sub>0</sub>	
2	1	5	x <sub>1</sub>	x <sub>1</sub>
3	x <sub>0</sub>	3	x <sub>1</sub>	x <sub>0</sub>

flip

$\Sigma$

# Convolution

Complexity in 2D

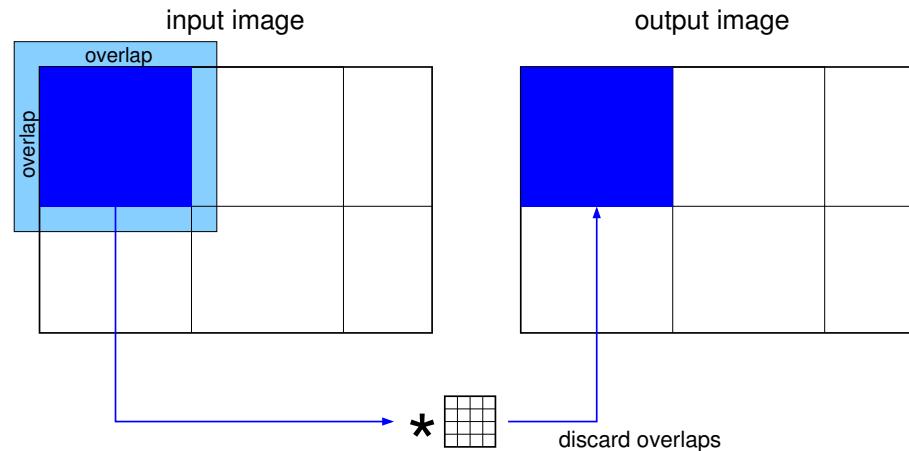
## Conditions

- input image  $f : M \times M \rightarrow \{0, \dots, 2^{\text{bitdepth}}\}$
- convolution kernel  $g : N \times N \rightarrow \langle 0; 1 \rangle$
- Standard/Naive 2D discrete convolution
  - Time:  $O(M^2N^2)$
  - Space: none required
  - Usability: Very slow.
- 2D discrete convolution with separable kernel
  - Time:  $O(M^2N)$
  - Space  $O(M^2)$
  - Usability: Not all PSFs are separable.
- Convolution theorem
  - Time:  $O((M + N)^2 \log(M + N))$
  - Space:  $O((M + N)^2)$
  - Usability: Huge memory requirements.

# Convolution

Memory optimization strategies / Parallelization

"Overlap & Save" scheme



## Impulse symbol $\delta$

Definition

Infinitely brief and infinitely strong unit-area impulse:

$$\delta(x) = 0 \quad x \neq 0$$

and

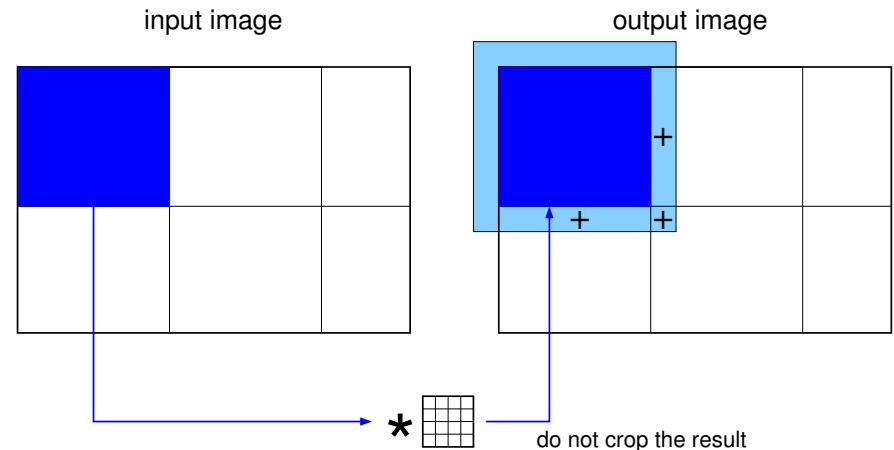
$$\int_{-\infty}^{\infty} \delta(x) dx = 1$$

- we call it **Dirac delta function** or **impulse symbol**
- it is NOT a function

# Convolution

Memory optimization strategies / Parallelization

"Overlap & Add" scheme



## Impulse symbol $\delta$

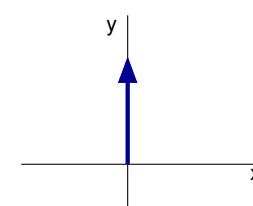
Properties

Given 1D function  $f$  and  $a \in \mathbb{R}$ :

$$\int_{-\infty}^{\infty} \delta(x)f(x)dx = f(0)$$

$$\int_{-\infty}^{\infty} \delta(x-a)f(x)dx = f(a)$$

$\delta(x)$  plot:

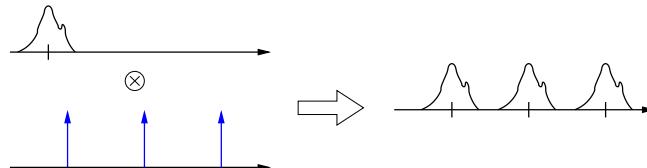


## Impulse symbol $\delta$

Properties

Convolution of any function  $f$  with:

- $\delta$  impulse shifts the origin of  $f$  to the nonzero response of  $\delta$
- $\delta$  impulses replicate the function  $f$



- Gaussian shifts the origin of  $f$  to the position of the peak of the Gaussian and smooths

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## Kronecker delta (function)

Kronecker delta function ... discrete counterpart to Dirac delta impulse.

$$\delta_{i,j} = \begin{cases} 1 & \text{if } (i=j) \\ 0 & \text{otherwise} \end{cases}$$

or

$$\delta(n) = \begin{cases} 1 & \text{if } (n=0) \\ 0 & \text{otherwise} \end{cases}$$



## Complex numbers

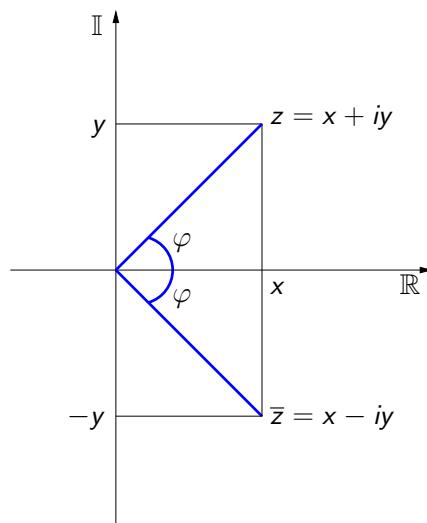
Any  $z \in \mathbb{C}$  can be written in one of the following ways:

- $z = x + iy$
- $z = |z|(\cos \varphi + i \sin \varphi)$
- $z = |z|e^{i\varphi}$

where  $x, y \in \mathbb{R}$  and  $i^2 = -1$  is a constant,  $|z|$  is a magnitude and  $\varphi$  is a phase of  $z$

### Properties:

- conjugate complex number:  
 $\bar{z} = x - iy$



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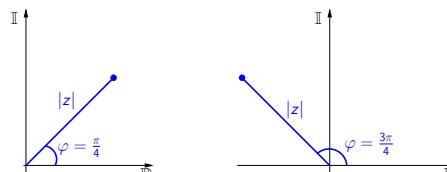
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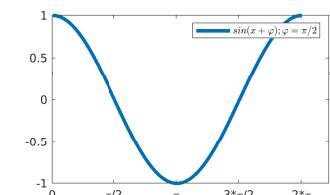
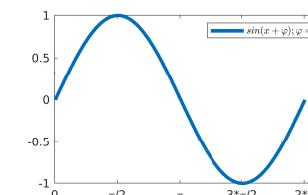
## Complex numbers

### Be aware of the difference!

- $\varphi$  – phase (of complex number)



- $\varphi$  – phase shift (of a function)



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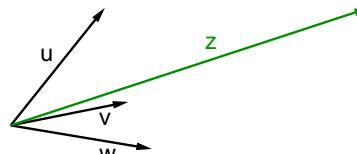
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# Vectors

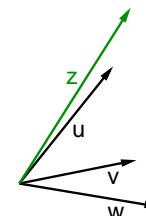
## Basic properties

Let be given a Euclidean ( $\mathbb{K} = \mathbb{R}$ ) or unitary ( $\mathbb{K} = \mathbb{C}$ ) vector space  $\mathbb{V} \subseteq \mathbb{K}^n$  and three vectors  $\mathbf{u}, \mathbf{v}, \mathbf{w} \in \mathbb{V}$ :

- Vector addition:  $\mathbf{z} = \mathbf{u} + \mathbf{v} + \mathbf{w} \in \mathbb{V}$



- Linear combination of vectors:  $\mathbf{z} = \frac{1}{2}\mathbf{u} + 3\mathbf{v} - 2\mathbf{w} \in \mathbb{V}$



# Vectors

## Example

Given Cartesian coordinate system  $\langle \mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3 \rangle$  and a vector  $\mathbf{v} = (3.4, -2, 7)$ , we can write:

$$\mathbf{v} = 3.4\mathbf{e}_1 - 2\mathbf{e}_2 + 7\mathbf{e}_3$$

where

$$\mathbf{e}_1 = (1, 0, 0)$$

$$\mathbf{e}_2 = (0, 1, 0)$$

$$\mathbf{e}_3 = (0, 0, 1)$$

**Question:** How to find the (linear combination) coefficients when we do not project the vector  $\mathbf{v}$  onto standard basis?

# Vectors

## Basic properties

Let be given Euclidean space  $\mathbb{V} = \langle \mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n \rangle$ , then each  $\mathbf{v} \in \mathbb{V}$  can be written as:

$$\mathbf{v} = a_1\mathbf{u}_1 + a_2\mathbf{u}_2 + \dots + a_n\mathbf{u}_n$$

where

- $(\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n)$  is the basis of  $\mathbb{V}$
- $\forall i = \{1, \dots, n\} : a_i \in \mathbb{K}$
- vector  $(a_1, a_2, \dots, a_n)$  is unique.

Notes:

- two vectors  $\mathbf{u}, \mathbf{v} \in \mathbb{V}$  are **orthogonal**, if  $\mathbf{u} \cdot \mathbf{v} = 0$  ('.' stands to inner product)
- basis  $(\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n)$  is **orthonormal**, if  $\forall i, j = 1, \dots, n : \mathbf{u}_i \cdot \mathbf{u}_j = \delta_{i,j}$  ( $\delta_{i,j}$  stands for Kronecker delta)

# Vectors

## Projection to a new basis

Given a vector  $\mathbf{v} \in \mathbb{V}$  and "any" basis  $(\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n)$  in  $\mathbb{V}$ , we can write:

$$\mathbf{v} = a_1\mathbf{u}_1 + a_2\mathbf{u}_2 + \dots + a_n\mathbf{u}_n$$

where

$$\forall i = \{1, \dots, n\} : a_i = \frac{\mathbf{v} \cdot \mathbf{u}_i}{\mathbf{u}_i \cdot \mathbf{u}_i}$$

If the basis is orthonormal, it is sufficient to write:  $a_i = \mathbf{v} \cdot \mathbf{u}_i$

**Notice:** Inner product  $\mathbf{v} \cdot \mathbf{w}$  is a **projection**  $\mathbf{v}$  onto  $\mathbf{w}$ . The result is a number.

## Vectors

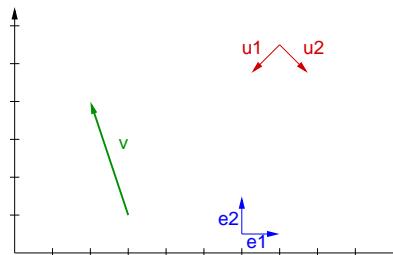
### Example

- standard basis:  $\langle \mathbf{e}_1, \mathbf{e}_2 \rangle = \langle (1, 0), (0, 1) \rangle$   
 $\mathbf{v}_{\langle \mathbf{e}_1, \mathbf{e}_2 \rangle} = (-1, 3)$
- another basis:  $\langle \mathbf{u}_1, \mathbf{u}_2 \rangle = \langle (-0.7, -0.7), (0.7, -0.7) \rangle$  (0.7 ÷  $\frac{\sqrt{2}}{2}$ )

$$a_1 = \frac{(-1, 3) \cdot (-0.7, -0.7)}{(-0.7, -0.7) \cdot (-0.7, -0.7)} \doteq -1.42$$

$$a_2 = \frac{(-1, 3) \cdot (0.7, -0.7)}{(0.7, -0.7) \cdot (0.7, -0.7)} \doteq -2.86$$

$$\mathbf{v}_{\langle \mathbf{u}_1, \mathbf{u}_2 \rangle} = (-1.42, -2.86)$$



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## Vectors

### Projection to a new basis

#### Properties of transform matrices:

- $A$  is **unitary** matrix, i.e.  $A^{-1} = \bar{A}^T$ .
- If  $y = Ax$  is forward transform, then  $x = A^{-1}y = \bar{A}^T y$  is inverse transform.

#### The following two sentences express the same:

- The vector  $\mathbf{v}$  is **projected** into the basis  $\langle \mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n \rangle$ .
- The vector  $\mathbf{v}$  is **decomposed** into a linear combination of basis vectors  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$

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## Vectors

### Example (cont'd)

Each orthonormal basis forms a square matrix:

$$A = \begin{bmatrix} \mathbf{u}_1 \\ \mathbf{u}_2 \end{bmatrix} = \begin{bmatrix} -0.7 & -0.7 \\ 0.7 & -0.7 \end{bmatrix}$$

The projection is therefore realized using matrix multiplication:

$$\mathbf{v}_{\langle \mathbf{u}_1, \mathbf{u}_2 \rangle} = A \mathbf{v}_{\langle \mathbf{e}_1, \mathbf{e}_2 \rangle}$$

**Notice:** Transform from one basis onto another one is a linear mapping.

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## Decomposition in higher dimensions

Let's increase the dimensionality: 2-D  $\rightarrow$  3-D  $\rightarrow \dots \rightarrow N$ -D

Let  $\mathbb{V} \subseteq \mathbb{K}^N$  be a  $N$ -dimensional vector space ( $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ ), then the following terms express the same:

- a vector  $\mathbf{v} \in \mathbb{V}$   
example:  $\mathbf{v} = (4, 2, 3, 6, -1, 5)$
- a point  $p \in \mathbb{V}$   
example:  $p = [4, 2, 3, 6, -1, 5]$
- a discrete 1D function  $f$ , where  $|f| = N$   
example:  $f = \{(0, 4), (1, 2), (2, 3), (3, 6), (4, -1), (5, 5)\}$

**Notice:** The addition of points/vectors equals to addition of functions. The same for other well known operations like subtraction, multiplication by scalar, or linear combination.

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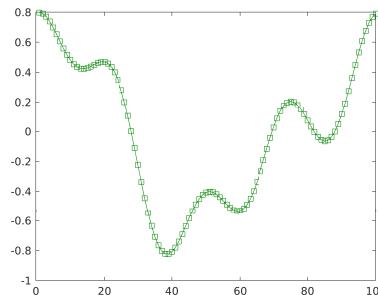
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## Decomposition in higher dimensions

Example

The discrete function below can be understood as a vector/point in 100-dimensional vector space:



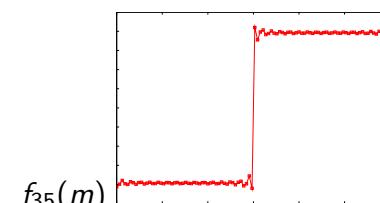
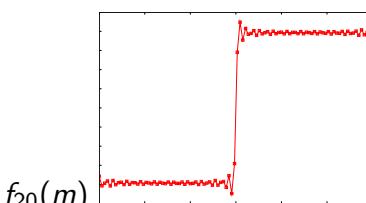
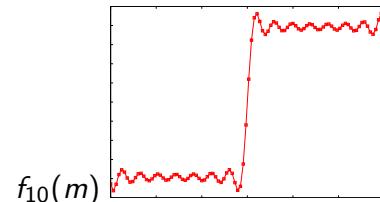
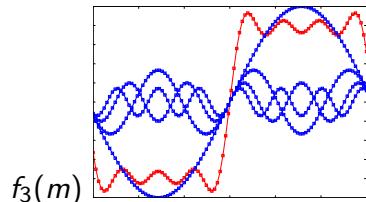
Notice: In higher dimensions, we use rather the concept of *functions*.

## Decomposition in higher dimensions

Another example

A **step function** (red color) is defined as an infinite sum of sine waves:

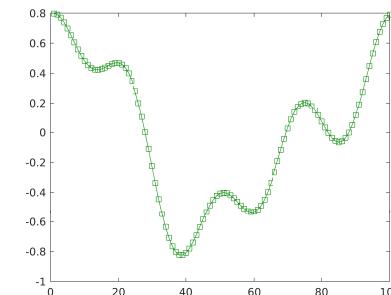
$$f_z(m) = \sum_{n=0}^{\infty} \frac{\sin \{(2n+1)m\}}{2n+1}$$



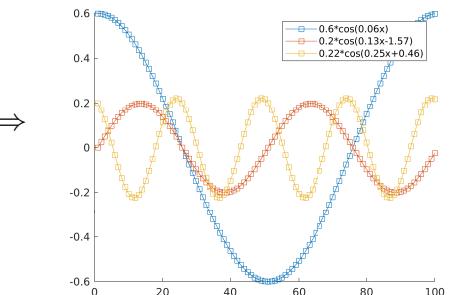
## Decomposition in higher dimensions

Example

The discrete function below can be decomposed into a linear combination of some simple (well known) functions:



⇒



Notice: Each discrete function can be decomposed in this manner.

## Decomposition in higher dimensions

Let be a discrete 1D function  $f$  of  $N$  samples:

- $f$  can be uniquely expressed as a linear combination of **basis functions**  $\varphi_1, \varphi_2, \dots, \varphi_N$ :

$$f(m) = \sum_{k=1}^N a_k \varphi_k(m)$$

where  $a_k \in \mathbb{K}$  and  $(\varphi_1, \varphi_2, \dots, \varphi_N)$  form the orthonormal basis

The coefficients of linear combination are found as:

$$\forall k = \{1, \dots, N\} : a_k = f \cdot \varphi_k$$

i.e. using the projection (inner/dot product)

$$\text{Notice: } f \cdot \varphi_k = \sum_m f(m) \overline{\varphi_k(m)}$$

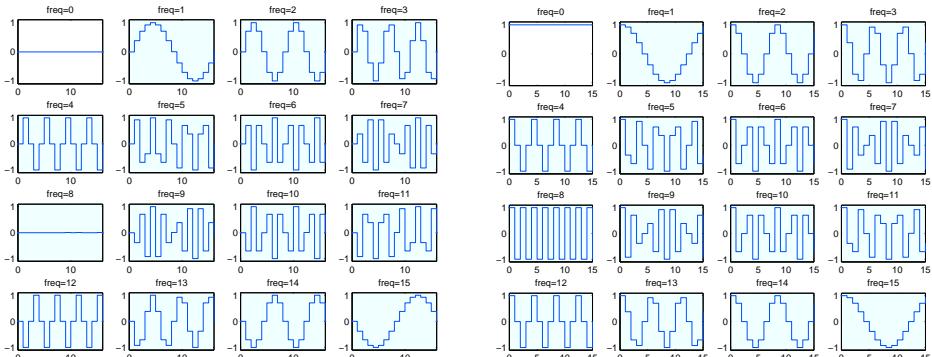
## Basis functions

An example of sine & cosine waves sampled with  $N = 16$

Common request:

- the basis is orthonormal, i.e.  $\varphi_k \cdot \varphi_l = \delta_{k,l}$
- the basis functions for  $N = 16$  are:

$$\varphi_k(m) = \frac{1}{\sqrt{N}} e^{\frac{-2\pi imk}{N}} = \frac{1}{\sqrt{N}} \left( \cos \frac{2\pi mk}{N} - i \sin \frac{2\pi mk}{N} \right)$$



You should know the answers . . .

- What happens if we convolve a function  $f$  with Gaussian located outside the origin?
- What is the result when convolving a function  $f$  with several  $\delta$  impulses?
- Under which conditions is the convolution kernel separable?
- What is the *basis* and *vector space* generated by the given basis?
- What are the orthogonal vectors?
- What is the orthonormal basis?
- How can we simply convert a vector from one basis to another basis?
- What is the unitary/orthogonal matrix?
- What is the difference between *basis vector* and *basis function*?

## Bibliography

- Gonzalez, R. C., Woods, R. E., Digital image processing / 2nd ed., Upper Saddle River: Prentice Hall, 2002, pages 793, ISBN 0201180758
- Bracewell, R. N., Fourier transform and its applications / 2nd ed. New York: McGraw-Hill, pages 474, ISBN 0070070156

