

Attachment no. 1 – Types of risk and their subgroups

Types of risks and their subgroups

Overview of risk types

A – The risk of the human factor represents potential damage resulting from human error, non-compliance with generally applicable and internal regulations, or employee misconduct.

B - The risk of corruption is the damage resulting from the conduct by which a person in a certain position abuses this position for unjust enrichment or other advantage for himself or another person (achieving an unjustified advantage, benefit, but also achieving a legitimate advantage or benefit in a short time).

C – Information and technological risk represents potential damage resulting from incorrect or inefficient use of information systems, including their failure. It also includes failing to ensure the reliability and security of information.

D – Property risk represents potential damage due to the adverse effects of external and internal influences on the environment and the conditions in which the Ministry operates.

E – Operational risk represents potential losses resulting from incorrect implementation of procedures and operations caused by the absence of descriptions of procedures and operations, their inappropriate setting, failure of process steps or as a result of their poor management.

F – Organizational risk represents potential losses and damages resulting from inappropriate setting of the volume, structure and circulation of information, documents and data, including the quality and frequency of control activities.

G – Financial and budgetary risk represents potential damage, or damage to financial environments and property (public funds in the sense of Act No. 320/2001 Coll., on Financial Control in Public Administration and on Amendments to Certain Acts) related to changes in the value of assets, liabilities, receivables or cash flows due to adverse movements of related factors.

H – Human resources risk represents potential damage due to uneconomical and inefficient use of human resources. At the same time, it includes infrastructure and personnel issues.

I – Legal risk represents potential damage to the rights of the Ministry as a result of erroneous legal acts and decisions, including violations of the rights set out in the contracts.

J – Management risk represents potential damage due to a bad decision at some level of the ministry's management. At the same time, it includes discrepancies in individual internal management acts, implementation of ineffective systems and activities leading to endangerment or damage to property, including shortcomings in the internal management system.

K – Competence risk represents potential damage due to the adverse effects of external political, legislative and economic influences.

L – Technical risk is the potential damage and loss resulting from the failure of technical equipment, due to "force majeure" or damage resulting from their inefficient use and mishandling.

M – Risk affecting another external entity represents a situation where a legislative measure may cause harm to citizens, organizations or resources available to the MLSA.

N - Risk affected by another external entity represents a situation where the sources of risk lie outside the MLSA and are not directly within the scope of its control system.

N – Risks specific to certain departments that occur in their activities and cannot be classified in the above groups may use this identifier.

O – Other risks - they cannot be included in any of the above types and it would be impractical for it to establish a special category and designation.

P- Project risks - represents potential risks of projects implemented by FDV, resulting from the specifics of solved and implemented projects.

No.	Type	Type of the risk	Subgroup	Subgroup type of risk
1	A	Human factor	A01	Ability for the position
2	A	Human factor	A02	Violation of morality, ethics, work order and interpersonal relationships
3	A	Human factor	A03	Inattention
4	A	Human factor	A04	Substance abuse
5	A	Human factor	A05	Stress situations
6	A	Human factor	A06	Not following rules of hiring
7	A	Human factor	A07	Issuing false medical reports and testimonials
8	A	Human factor	A99	Others
9	B	Corruption	B01	Employee fraud
10	B	Corruption	B02	Protectionism
11	B	Corruption	B03	Offenses, theft, embezzlement
12	B	Corruption	B04	Unauthorized use of resources
13	B	Corruption	B05	Zneužití informací a osobních údajů Misuse of information and personal data
14	B	Corruption	B06	Failure to comply with the code of ethics for employees in public administration
15	B	Corruption	B07	Conflict of interest
16	B	Corruption	B08	Inadmissible and deceptive actions
17	B	Corruption	B09	Abuse of power and function or exceeding the authority of an official
18	B	Corruption	B10	Unreasonable costs
19	B	Corruption	B99	Others
20	C	Information and technology	C01	Incorrectly determined access rights
21	C	Information and technology	C02	Violation of the integrity of IT systems
22	C	Information and technology	C03	Integrity of application systems
23	C	Information and technology	C04	Ineffective physical security of data and their protection
24	C	Information and technology	C05	Unavailability of information systems
25	C	Information and technology	C06	Unreliability of information systems
26	C	Information and technology	C07	Improper purchase and management of computer equipment
27	C	Information and technology	C08	Incorrectly specified requirements for HW and SW and their evaluation
28	C	Information and technology	C09	Insufficient software
29	C	Information and technology	C10	Insufficient level of information, user support
30	C	Information and technology	C11	Lax management of network applications
31	C	Information and technology	C12	Unauthorized use of information, destruction, damage and its modification
32	C	Information and technology	C13	Intentional interference with SW or HW

No.	Type	Type of the risk	Subgroup	Subgroup type of risk
33	C	Information and technology	C99	Others
34	D	Property risk	D01	Natural disasters
35	D	Property risk	D02	Terrorist attack
36	D	Property risk	D03	Careless security of property in buildings
37	D	Property risk	D04	Failure to comply with approved internal security principles and regulations
38	D	Property risk	D99	Others
39	E	Operational risk	E01	Ineffectiveness of procedures and operations.
40	E	Operational risk	E02	Failure to follow approved procedures
41	E	Operational risk	E03	Producing inaccurate information
42	E	Operational risk	E04	Complexity of processes, operations
43	E	Operational risk	E05	Complexity of standards, rules
44	E	Operational risk	E06	Inaccuracy of work procedures
45	E	Operational risk	E07	Inadequate remedial action
46	E	Operational risk	E08	Defective feedback system
47	E	Operational risk	E09	Uncoordinated procedures / processes carried out in non-compliance
48	E	Operational risk	E99	Others
49	F	Organizational risk	F01	Formal comment procedures
50	F	Organizational risk	F02	Ineffective methodological, control activities and supervision
51	F	Organizational risk	F03	Inadequate analytical activity
52	F	Organizational risk	F04	Outdated intelligence, availability and provision of information
53	F	Organizational risk	F05	Inaccurate internal and external reporting
54	F	Organizational risk	F06	Non-optimized circulation of documents, records, shredding of documents
55	F	Organizational risk	F07	Insufficient staff capacity for the activity
56	F	Organizational risk	F99	Others

No.	Type	Type of the risk	Subgroup	Subgroup type of risk
57	G	Financial and budgetary risk	G01	Unrealistic planning and budgeting
58	G	Financial and budgetary risk	G02	Errors in financing
59	G	Financial and budgetary risk	G03	Unreliability of accounting records
60	G	Financial and budgetary risk	G04	Cash service activities
61	G	Financial and budgetary risk	G05	Manipulation of income and expenses
62	G	Financial and budgetary risk	G06	State guarantees, financial assistance
63	G	Financial and budgetary risk	G07	Drawing on EU funds
64	G	Financial and budgetary risk	G08	Irregularities in transfers of funds
65	G	Financial and budgetary risk	G09	Administration and management of financial flows
66	G	Financial and budgetary risk	G10	Unreliability of inventory
67	G	Financial and budgetary risk	G11	Inefficient asset management and protection
68	G	Financial and budgetary risk	G99	Others
69	H	Human Resources risk	H01	Weaknesses in human resource management
70	H	Human Resources risk	H02	Neglect of key skills and qualities
71	H	Human Resources risk	H03	Wrong recruitment of new employees
72	H	Human Resources risk	H04	Wage shortcomings
73	H	Human Resources risk	H05	Purposeful classification of employees into classes and tariffs
74	H	Human Resources risk	H06	Poorly processed and incomplete characteristics of functional positions
75	H	Human Resources risk	H07	Weaknesses in employee evaluation
76	H	Human Resources risk	H08	Preferences and mistakes in management development
77	H	Human Resources risk	H09	Ineffective education
78	H	Human Resources risk	H10	Improper record keeping of employees
79	H	Human Resources risk	H11	Weaknesses in the management of the cultural and social needs fund
80	H	Human Resources risk	H12	Incorrectly entered performance indicators
81	H	Human Resources risk	H13	Risk of managing tasks with insufficient capacity of human resources
82	H	Human Resources risk	H99	Others
83	I	Law risk	I01	Inappropriate, incorrect contractual arrangements
84	I	Law risk	I02	Failure to comply with binding regulations
85	I	Law risk	I03	Manipulation of public contracts, tenders
86	I	Law risk	I04	Incorrect processing of contracts
87	I	Law risk	I05	Unqualified grounds for termination
88	I	Law risk	I06	Failure to meet deadlines
89	I	Law risk	I07	Invalidity of legal acts
90	I	Law risk	I08	Non-compliance of internal directives with legal regulations
91	I	Law risk	I99	Others

No.	Type	Type of the risk	Subgroup	Subgroup type of risk
92	J	Management risk	J01	Non-cooperation of individual departments
93	J	Management risk	J02	Weaknesses in management and control work
94	J	Management risk	J03	Unresolved substitutability
95	J	Management risk	J04	Unpreparedness for change
96	J	Management risk	J05	Wrong decisions
97	J	Management risk	J06	Incomplete determination of signature rights
98	J	Management risk	J07	Non-coordination of foreign aid
99	J	Management risk	J08	Unenforceable material liability
100	J	Management risk	J09	Weaknesses in the protection of classified information and special facts
101	J	Management risk	J10	Unacceptable use of resources
102	J	Management risk	J11	Weaknesses of management control
103	J	Management risk	J99	Others
104	K	Competence risk	K01	Change of political environment
105	K	Competence risk	K02	Institutional reform
106	K	Competence risk	K03	Instability and complexity of legislation
107	K	Competence risk	K04	Budget cuts
108	K	Competence risk	K05	Amendments to public administration laws
109	K	Competence risk	K99	Others
110	L	Technical risk	L01	Challenging fleet
111	L	Technical risk	L02	Uneconomical use of telephone equipment
112	L	Technical risk	L03	Failure of technical equipment systems (telephones, faxes, e-mails, BED)
113	L	Technical risk	L04	Computer failure
114	L	Technical risk	L05	Neglect of maintenance
115	L	Technical risk	L06	Unprofessional interventions
116	L	Technical risk	L07	Failure to address the prevention of emergencies
117	L	Technical risk	L99	Others
118	M	Risk affecting another external entity	M99	Others
119	N	Risk affected by another external entity	N99	Others
120	O	Risks specific to certain departments	O99	Others
121	P	Other type of risk	P01	Short terms and stress situations
122	P	Other type of risk	P99	Others
123				

