Referendum in Slovakia Power of the People or a Party Instrument?

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Direct democracy

- The original type of democracy
- Direct vs. representative democracy
- All *citizens* entitled to participate on all political decisions
- Examples:
 - Ancient Athens
 - Parish meetings in England
 - J. J. Rousseau the renaissance of direct democracy
 - Landsgemeinde in Switzerland

Direct democracy

• Shift from direct to representative democracy:

- Effectiveness
- Politics as permanent occupation
- More complicated issues
- Result:
 - Direct democracy now only as a **supplementary tool**
 - Dominance of representative democracy

Landsgemeinde, Glarus (SWI), 2019



- The main tool of direct democracy in presence
- Origin in Switzerland in 13th century
- Mechanism which allows citizens to express their attitude on a specific question mostly by either a "yes" or a "no" vote
- Similar attributes as elections universal suffrage, secret vote, equal weight of votes etc.

Referendum - types

- Obligatory must be held
- Facultative may be held
- **Binding** results bind the elected representatives
- **Consultative** results only as a recommendation
- **Preliminary** held before the actual decision
- **Subsequent** held after the actual decision

Positives of referendum

- Higher **legitimacy** of decisions
- Inclusion of citizens into decision-making process
- Encouragement of public **discussion**
- Indicator of public opinion

Negatives of referendum

- Polarization of society
- Limitation of expression nothing between "yes" and "no" option
- Ability of citizens to handle more complicated and technical issues
- Referendum as a demonstration of power used by non-democratic regimes

Tradition of referendum?

- 1st Czechoslovak Republic (1918-1938):
 - Constitution the government may call a referendum if the parliament declined its law proposal
 - The implementing law was never adopted
- Other periods no mention of referendum
- Split of ČSFR a constitutionally stated referendum was not used
- Result **no** nationwide **referendum** held **until 1993**

Referendum in Slovakia since 1993

Formal aspects

All main attributes defined in Constitution

• Obligatory:

• Confirmation of a constitutional law on entering into or withdrawing from an <u>alliance with other states</u>

• Facultative:

- About "important issues of public interest"
- Excluded issues basic rights and liberties, taxes, state budget

Formal aspects

Initiation:

- Petition of at least 350 000 citizens
- Resolution of the parliament

• President calls the referendum:

- Not within 90 days before parliamentary elections
- But it may be held **on the day** of parliamentary elections

Formal aspects

• A referendum is valid if:

- The turnout reaches at least 50 % + 1 and
- A decision is endorsed by at least 50 % + 1 of those who participated

• Effect:

- The result is promulgated by the parliament **as a law**
- For the **next 3 years** neither the parliament may modify this result nor another referendum on the same issue may be held

What will we track?

• Who initiated the referendums

 What were the <u>official</u> and <u>real</u> motives of these subjects

• What were the **results** and their impact

	Year	Topic	Turnout	Result
1	1994	Privatization	19,96	Not valid
2	1997	NATO / President	9,53	Not valid / marred
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Part I – Referendum as a part of election campaign Part II – Referendum as a way how to challenge the elections Part III – Referendum as a way how to solve (or create) problems

Part I – Referendum as a part of election campaign

- High impacts of economic transformation in Slovakia since 1989 (inflation, unemployment)
- Slovak ex-communists (SDL) resigned to be a protest party due to their intellectual profile
- Secession of radical leftist ZRS (Association of Slovak Workers) in 1994 only a few months before election
- Referendum as a part of their electoral campaign how to mobilize protest voters

- **Topic** reveal of property used in privatization and auctions (against *"the rich"*)
- ZRS started a petition but eventually the idea of referendum was supported in parliament
- Referendum was held only one month after elections
 → ZRS could lead both campaigns at once

• Question – Would you agree to adopt a law about reveal the origin of finances used for privatization and auctions?

	Votes	%
Total	773 624	19,96
Out of it		
Yes	724 448	93,64
No	30 733	3,97

Elections 1994

Party	Votes (in %)	Seats	Seats (in %)
HZDS	34,96	61	40,67
SV	10,41	18	12
Hungarians	10,18	17	11,33
KDH	10,08	17	11,33
DÚ	8,57	15	10
ZRS	7,34	13	8,67
SNS	5,4	9	6
Others	13,06	0	0

Initiator:

- Official the parliament
- Real ZRS and its leader Ján Ľupták

• Motives:

- Official reveal of property from privatization
- Real mobilize protest voters and raise the chances of ZRS in parliamentary elections

• Results:

- Referendum was not valid
- ZRS entered parliament



- In 1998 Mečiar's HZDS faced:
 - A decline of public support since elections 1994
 - A risk that it will be in opposition after elections 1998
- Referendum as a tool how to:
 - Mobilize supporters of HZDS
 - Potentially harm the next government if created by opposition parties
- **Topic** ban of privatization of strategic companies (nationalist sentiments)

• Petition:

- Started in summer 1998 (two months before elections)
- Even the **employees of civil service** (controlled by HZDS) were assigned to help with the petition!
- Referendum joined with parliamentary election to secure its maximum mobilizing effect

 Question – Do you favor that National Council adopts a constitutional law to ban privatization of selected strategic companies?

	Votes	%
Total	1 772 223	44,25
Out of it		
Yes	1 432 845	80,48
No	266 991	14,99



- Initiator:
 - HZDS government party at that time (Prime Minister Mečiar)

• Motives:

- Official to secure the property of strategic companies
- Real to increase chances of HZDS in parliamentary election

• Results:

- Referendum was not valid
- HZDS won the election but ended in opposition

- Very similar to referendum 1994
- In 2008 a civic association Freedom and Solidarity (SaS) started a petition against unfair media fees
- In November 2008 SaS emerged as a political party
- In 2009 the original petition was widened and was aimed to call for a referendum
 - Reducing the MPs immunity
 - Limits on prices of cars used by government officials etc.

• SaS wanted to join the referendum with elections 2010

President Gašparovič effectively prevented this effort

 SaS compensated this by gathering signatures until the date of parliamentary elections

- Repeal of the duty to pay a fee for services provided to public by Slovak television and radio
- 2. Extension of the possibility to hear a performance of a National Council's member as a misdemeanour
- 3. 100 instead of 150 MPs
- 4. Price of governmental vehicles only up to 40K EUR
- 5. Parliamentary and European elections on Internet
- 6. Ban of the right of reply for public officers in press

		Votes	%
	Total	998 142	22,84
-	Yes	870 864	87,24
1	No	90 058	9,02
	Yes	952 281	95,4
2	No	17 333	1,73
	Yes	925 888	92,76
3	No	38 450	3,85
	Yes	886 767	88,84
4	No	61 532	6,16
	Yes	703 336	70,46
5	No	221 847	22,22
6	Yes	747 983	74,93
	No	134 163	13,44

Elections 2010

Party	Votes (in %)	Seats	Seats (in %)
Smer	34,79	62	41,33
SDKÚ	15,42	28	18,67
SaS	12,14	22	14,67
KDH	8,52	15	10
Most	8,12	14	9,33
SNS	5,07	9	6
Others	13,06	0	0



Initiator:

• Freedom and Solidarity (SaS)

• Motives:

- Official solving several public topics
- Real mobilize voters and raise chances of SaS in election

• Results:

- Referendum was not valid
- SaS entered parliament and also the government

Part II – Referendum as a way how to challenge the elections

• Government of M. Dzurinda formed after 1998:

- Negative economic impact of previous era
- Decline of public support
- Non-cooperative parliamentary opposition:
 - Mečiar's HZDS and nationalist SNS
 - Newly created populist party SMER (Direction) led by Robert Fico

- In 2000 HZDS and SNS started a petition for referendum about early elections
- Official motive the inability of government to solve economic problems
- Party SMER originally refused the idea but eventually supported it
- The question whether an early elections may be called based on referendum results

• Question – Do you favor that National Council agrees on early elections up to 150 days after the referendum?

	Votes	%
Total	816 254	20,03
Out of it		
Yes	759 124	92,74
No	39 363	4,80
Initiator:

• HZDS and SNS – that time opposition parties

• Motives:

- Official inability of the government to solve economic problems of Slovakia
- Real aim of the Dzurinda's government to investigate scandals of Mečiar's government?

• Results:

Referendum was not valid

- Second government led by M. Dzurinda:
 - Vast liberal economic reforms taxes, healthcare
 - High frustration of voters
- Opposition:
 - Since 2002 SMER became the main opposition party
 - SMER shifted to social democracy and launched harsh criticism of governmental reforms

- In November 2003 the trade unions started petition for referendum about early elections
- Opposition parties:
 - Supported the petition
 - Some of them actively gathered the signatures
 - SMER even made a financial contribution
- Government logically opposed the idea and advised its voters to ignore the referendum

 Question – Do you favor that National Council agrees on early elections in 2004?

	Votes	%	
Total	1 503 784 35,86		
Out of it			
Yes	1 305 023	86,78	
No	179 524	11,93	

Initiator:

• Trade unions backed by opposition parties (mostly SMER)

• Motives:

• Early elections and mobilization of citizens aimed against the government

• Results:

- Referendum was not valid
- Party SMER tested its electoral potential

Legacy continues?...



Petition 2021

• Initiator:

• Petition group (Ervin Erdélyi), HLAS-SD, SMER-SD, SNS, trade unions...

• Motives:

• Early elections and mobilization of citizens aimed against the government

Do you favor that National Council agrees on early elections up to 180 days after the referendum?

Part III – Referendum as a way how to solve (or create) problems

- The era of Vladimír Mečiar:
 - Decline of quality of democracy
 - High polarization of society and domestic politics
- Risk of inability to elect the new president in parliament → opposition parties started petition for a referendum about direct presidential elections
- After 350 000 signatures were acquired the government reacted by proposing a referendum about integration to NATO

• Two initiatives at the same time:

- Direct elections of president
- Integration to NATO (3 separate questions)
- President called a **joint referendum** with 4 questions
- Mečiar's government announced that president broke the Constitution
- Ministry of interior published ballots only with the 3 NATO questions

- 1. Are you in favor of Slovakia's entry into NATO?
- 2. Are you for deploying **nuclear weapons** on the territory of Slovakia?
- 3. Are you for locating foreign military bases on the territory of Slovakia?
- 4. Do you agree that the president of the Slovak Republic should be directly elected by the citizens of the Slovak Republic according to the enclosed proposal for a constitutional law?

	Votes	%		
Total	319 727	9,53		
Question 1				
Yes	130 052	39,02		
No	154 090	46,28		
Question 2				
Yes	29 628	8,87		
No	232 197	69,8		
Question 3				
Yes	42 336	12,71		
No	218 956	65,79		

Initiators:

- Opposition presidential elections
- Government NATO

• Motives:

- Opposition to enable the election of president, to mobilize voters and to avoid Mečiar to concentrate too much power
- Government to block the effort of the opposition

• Results:

- Referendum was not valid (marred referendum)
- For more than a year Slovakia had no president
- Frustration of voters against the government

• The integration to the European Union

• Facultative referendum as the others

• A common effort of all relevant political parties to mobilize voters and ensure the needed 50 % turnout

• Question – Do you agree to the proposal that the Slovak Republic should become a member state of the European Union?

	Votes	%	
Total	2 176 990	52,15	
Out of it			
Yes	2 012 870	92,46	
No	135 031	6,20	

• Initiator:

• Parliament (not relevant)

• Motives:

Integration to the EU

• Results:

- Referendum was valid
- Slovakia entered the EU



- Alliance for the Family
- The official aim to `protect the family in Slovakia`
- Expressed threats:
 - Same-sex marriages
 - Adoptions by homosexuals
 - Anti-family values in general
- Petition with more than 400 thousand signatures



- Original aim four questions:
 - Special rights and protection given only to marriage (among all types of relationships)
 - Marriage only as a relationship of a man and a woman
 - Ban of adoptions by homosexuals
 - Parents` right to decide about the content of education (sexual behavior, euthanasia)
- President Kiska consulted the Constitutional court:
 - First question banned
 - The date of referendum postponed to February 2015





- Most political parties remained fairly silent
- A fear from losing Catholic voters?
- Expressed views:
 - KDH and SNS favored the referendum
 - SaS rejected the idea and asked people to ignore it
- SMER, SDKU only advised people to participate without holding a side

		Votes	%
	Total	944 674	21,41
Only man – woman marriage	Yes	892 719	94,50
	No	39 088	4,13
No adoptions for homosexuals	Yes	873 224	92,43
	No	52 389	5,54
Parents` say in education	Yes	853 241	90,32
	No	69 349	7,34

Initiator:

• Alliance for the Family

• Motives:

- Official Protection of family
- Real Mostly banning rights of homosexuals
- Official = real?

• Results:

• Referendum was not valid

Referendums in Slovakia

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Initiators

- In almost all cases referendums were initiated by political parties
- Usage of both possible channels:
 - Petitions (97' (4th question), 98', 00', 04', 10', 15')
 - Resolution of parliament (94'*, 97' (1st-3rd question), 03')
- Exceptions:
 - 2004 Trade unions
 - 2015 Alliance for the Family

Motives

• Two types of referendums:

• About issues:

- 1994 reveal of property gained by privatization
- 1997 presidential elections and NATO
- 1998 ban of privatization of strategic companies
- 2010 various topics immunity, price of cars etc.
- 2015 homosexual marriages, adoptions, education

Without material issues:

- 2000 early elections
- 2004 early elections

Referendums with issues

- Official motive only of secondary value (or not relevant at all)
- Primary concern aimed at different motives
- 1994, 1998, 2010 increasing chances of initiating parties (ZRS, HZDS, SaS) in parliamentary elections
- 1997 blocking the initiative of political opponents
- 2015 exception from this trend

Referendums without issues

- The official motive = the real motive
- An openly expressed aim of initiators to end the term of government and call for early elections
- Secondary aim to mobilize supporters (even in case the referendum is not valid)

Results

- 7 out of 8 referendums were not valid
- Main reason inability to reach the 50 % turnout
- One referendum was marred
- One referendum was valid yet integration to the EU

Legacy for the future

 Based on experience the referendum in Slovakia may be used as a mobilizing tool:

• Quite easy to initiate it

- Cheap campaign (for the initiator)
- Low turnout may be explained by the stance of political opponents who advised their voters to stay at home
- Referendum may have a sense for its initiator even if it is not valid → official vs. real motives