

# **European Union Public Policy Process: from agenda setting to policy formulation and policy decision making, and through to policy implementation**

PUP 513, study program Public Policy and Human relations

2017 autumn

Compulsory course

12 ECTS

Teachers: John Frederick Wilton. Jiri Winkler

## ***Aims and Objectives***

Despite definitive debates about whether the European Union (EU) can be equated with the characteristics of a fully fledged state, it is certainly beyond dispute that the EU has acquired for itself at least the policy making attributes of a modern state across an increasingly wide range of policy sectors. As a result it has also gained a considerable degree of power in order to set policy agendas, and formulate, implement and enforce policy decisions.

In first part of the course after a brief introduction to the basis of, and principles underpinning, E.U. public policy the course will take students on a journey that conceptualises the EU public policy process into four sections – agenda setting, policy formulation, policy decision-making and policy implementation. In examining these four parts of the policy process different models of analysis will be employed at different levels within the EU and at different stages of the policy process.

In the second part of the course students are introduced with the importance of organizational processes at different levels public policy. Students will thoroughly study the political process at the street level and gain insight into single organizational and inter-organizational processes within the nation state. Students will be able to identify different types of networking in the age of "political governance". Finally, the question of political accountability will be opened in the context of organizational and implementation discretion.

The final lecture of the course will firstly examine an EU public policy area, utilising it as a case study within the conceptual frameworks identified in the first and second parts of the course, and then the second part of the final lecture will raise and examine the question of the 'europeanisation' of the public policy process.

## ***Structure of the Course***

The course will be taught through a series of lectures and workshops. There will be 10 interactive lectures and 2 workshops

## ***Timetable:***

***PLEASE NOTE: 70% attendance at lectures (i.e. at least 7) is a requirement to pass this course (see Course Assessment on page 2)***

***All classes will take place in Room P21***

***The powerpoint presentations for each lecture will be placed on the Masaryk University Information System prior to each lecture***

- 12.10.17 15.15 Lecture 1. The basis of, and principles underpinning, E.U. public policy: harmonisation, mutual recognition and convergence?
- 19.10.17 15.15 Lecture 2. Agenda-setting: role and purpose, fragmentation and the absence of effective policy co-ordination
- 26.10.17 15.15 Lecture 3. Policy formulation: the policy communities and policy networks model, policy-making uncertainty, expertise and epistemic communities, multiple policy-making 'venues'
- 2.11.17 15.15 Lecture 4. Policy decision-making: institutional analysis, Concepts of rationality and incrementalism.
- 9.11.17 15.15 Lecture 5. Policy implementation: implementation as a complex and multifaceted process, characterising implementation in the E.U.
- 16.11.17 15.15 Lecture 6 Implementation and importance of organizational processes, Public organization as bureaucracy, policy process at the street level,
- 23.11.17 15.15 Workshop 1 (see page 2 for details)
- 30.11.17 15.15 Lecture 7. a) A case study of the E.U. policy process: Welfare  
b) The 'Europeanisation' of public policy
7. 12. 17 15.15 Workshop 2 (see page 2 below)
14. 12. 17 15.15 Lecture 8. Policy process in the age of governance. Networks and communities in the modern national state. Inter-organisational behaviour and implementation analysis
4. 1. 18 Lecture 9, personal consultation: The problem of accountability. Accountability and evaluation. Transformations in the overall relationship between state and society.

***Essay deadline: Tuesday 19 December 2017. To be submitted by email to jitaly25@hotmail.com or through the Masaryk University Information System***

**Workshop programme:**

**PLEASE NOTE:**

***a) 100% attendance at the 2 workshops is a requirement to pass this course (see Course Assessment below)***

***b) Students will be expected to have read for each workshop and participate. Indicative readings are given below for each workshop***

***23.11.17 15.15 Workshop1:*** This will consist of student participation and discussion groups

*Question:* Is harmonisation, mutual recognition or convergence the best principle upon which European Union Public Policy should be based? Provide reasons and evidence for your preference.

*Readings:*

- Borros, S. and Greve, B. (2004) The Open Method of Co-ordination in the European Union, special issue of *Journal of European Public Policy*, 11:2 (various articles of interest)
- Jepsen, M. and Pascual, A.S. (2005) 'The European Social Model: an exercise in deconstruction', *Journal of European Social Policy*, 15(3), pp.231-245.
- Roberts, I. and Springer, B. *Social Policy in the European Union: Between Harmonisation and National Autonomy*, Boulder, Lynne Reiner, 2001.
- Room, G. (2007) 'Challenges facing the E.U.: Scope for a coherent response', *European Societies*, Vol. 9, No. 2, pp.229-244.
- Sinn, H-W and Ochel, W (2003) 'Social Union, convergence and migration', *Journal of Common Market Studies*, 41, pp.869-96.
- Threlfall, M. (2003) 'European social integration: harmonization, convergence and the single social area', *Journal of European Social Policy*, 13, pp.121-140.
- Wallace, H. Wallace, W. and Pollack, M. A. *Policy Making in the European Union* (5th edition), Oxford, Oxford University Press, 2005.

**07.12.17 15.15 Workshop 2** In this final workshop there will be discussion, and some direction offered, in respect of the assessment essay.

*Readings:*

- Beland, D. (2009) 'Ideas, institutions, and policy change', *Journal of European Public Policy*, Vol.16, no.5, pp.701-718
- Hix, S. *The Political System of the European Union*, Basingstoke, Macmillan, 2005.
- Jepsen, M. and Pascual, A.S. (2005) 'The European Social Model: an exercise in deconstruction', *Journal of European Social Policy*, 15(3), pp.231-245.
- Room, G. (2007) 'Challenges facing the E.U.: Scope for a coherent response', *European Societies*, Vol. 9, No. 2, pp.229-244.
- Rosamond, B. *Theories Of European Integration*, Basingstoke, Palgrave, 2000.
- Sinn, H-W and Ochel, W (2003) 'Social Union, convergence and migration', *Journal of Common Market Studies*, 41, pp.869-96.
- Threlfall, M. (2003) 'European social integration: harmonization, convergence and the single social area', *Journal of European Social Policy*, 13, pp.121-140.
- Wallace, H. Wallace, W. and Pollack, M. A. *Policy Making in the European Union* (5th edition), Oxford, Oxford University Press, 2005.

**Course Assessment:**

- a) **Attendance:** 80% attendance at lectures (at least 5) and 100% attendance at the 2 workshops is a requirement to pass this course.
- b) **Essay** (maximum 2000 words/6 sides of A4 paper):

**Deadline: Tuesday 19 December 2017. To be submitted by email to [jitaly25@hotmail.com](mailto:jitaly25@hotmail.com) or through the Masaryk University Information System**

Students will be required to submit an essay in answer to **one** of the questions shown below. The essay must be of a maximum of 2,000 words/6 sides of A4 paper, be typewritten, fully properly referenced, and include a full bibliography.

**PLEASE NOTE: Essays that are more than 10 per cent above or below the word limit will be penalised through the deduction of marks, as will essays that are inadequately referenced or do not provide a bibliography**

- (i) What are the benefits and problems that arise from the processes of agenda-setting and policy implementation at the beginning and end of the European Union public policy cycle?
- (ii) Can European Union public policy making be described as ‘Europeanised’?
- (iii) Identify benefits and problems within the E.U. public policy process in one public policy area in respect of the principles of harmonisation, mutual recognition and convergence. **(PLEASE NOTE: The Common Agricultural Policy, Security and Defence Policy, and Economic policy concerning the EU budget are not public policy areas. You should check carefully that your chosen policy area constitutes ‘public policy’, as if it does not you will not receive the required grade to pass the course.)**

### **Texts**

Students are referred to a range of journal, book and internet texts.

**Additional texts will be given within the lectures, and included in the power point presentations for lectures that will be placed on the University Information System prior to each lecture.**

### **Compulsory textbook**

Hill M. **The Public Policy Process**. London, Pearson, 6<sup>th</sup> edition, 2013 (especially chapters 2 – 5; 8 - 15)

Winkler, J. **Implementation of the Public Policy**. Brno MuniPress, do tisku 2017 (especially chapters 5, 6, 7)

### **Books**

Featherstone, K. and Radaelli, C. (eds.) **The Politics of Europeanization**, Oxford, Oxford University Press, 2003. (especially the Introduction and the chapter by D. Wincott).

Gallie, D. (ed.) **Resisting marginalisation: Unemployment experience and social policy in the EU**, Oxford, Oxford University Press, 2004.

Geyer, R. **Exploring European Social Policy**, Cambridge, Polity Press, 2000

Hantrais, L. **Social Policy in the EU**, Basingstoke, Macmillan, 2000 (second edition) or 2007 (third edition).

Hix, S. **The Political System of the European Union**, Basingstoke, Macmillan, 2005.

Jordan, A. and Liefferink, D. (eds.) **Environmental Policy in Europe: The Europeanization of National Environmental Policy**, London, Routledge, 2004.

Kleinman, M. **A European Welfare State: European Union Social Policy in context**, Basingstoke, Palgrave, 2002.

- McCormick, J. *Understanding the European Union* (Third edition), Basingstoke, Palgrave, 2005
- Nugent, N. *The Government and Politics of the European Union*, Basingstoke, Palgrave Macmillan, 2005.
- Richardson, J. *European Union. Power and policy-making* (Third edition), Oxford, Routledge, 2006. (alternatively, Second edition, 2001).
- Roberts, I. and Springer, B. *Social Policy in the European Union: Between Harmonisation and National Autonomy*, Boulder, Lynne Reiner, 2001.
- Rosamond, B. *Theories Of European Integration*, Basingstoke, Palgrave, 2000.
- Salais, R. and Villeneuve, R. (eds.) *Europe and the politics of capabilities*, New York, Cambridge University Press, 2004
- Schimmelfennig, F. and Sedelmeier, U.. (eds.) *The Europeanisation of Central and Eastern Europe*. Ithaca NY, Cornell University Press, 2005.
- Wallace, H. Wallace, W. and Pollack, M. A. *Policy Making in the European Union* (5th edition), Oxford, Oxford University Press, 2005.

### **Journal articles**

- Beland, D. (2009) 'Ideas, institutions, and policy change', *Journal of European Public Policy*, Vol.16, no.5, pp.701-718
- Borros, S. and Greve, B. (2004) The Open Method of Co-ordination in the European Union, special issue of *Journal of European Public Policy*, 11:2 (various articles of interest)
- Delreux, T. 'The E.U. negotiates multilateral environmental agreements: explaining the agent's discretion', *Journal of European Public Policy*, Vol. 16, No.5, pp.719-737.
- Farrell, H. and Heritier, A. (2005) 'A Rationalist-Institutionalist Explanation of Endogenous Regional Integration', *Journal of European Public Policy*, 12/2: pp.273-90.
- Farrell, D. M. and Scully, R. (2010) 'The European parliament: one parliament, several modes of political representation on the ground?', *Journal of European Public Policy*, Vol. 17, no.1, pp.36-54.
- Guillen, A. and Palier, B. (2004) 'Does Europe matter? Accession to EU and social policy developments in recent and new member states', *Journal of European Social Policy*, 14:3, pp.203-209, and other articles in this special issue on *EU Enlargement, Europeanisation and Social Policy*.
- Jepsen, M. and Pascual, A.S. (2005) 'The European Social Model: an exercise in deconstruction', *Journal of European Social Policy*, 15(3), pp.231-245.
- Kelemen, R. D. (2010) 'Globalising European Union environment policy', *Journal of European Public Policy*, Vol. 17, no.3, pp.335-349.
- Liefferink, D. Arts, B. Kamstra, J. Ooijevaar, J. (2009) 'Leaders and laggards in environmental policy: a quantitative analysis of domestic policy outputs', *Journal of European Public Policy*, Vol. 16, No.5, pp.677-700
- Mosher, J. and Trubek, D. (2003) 'Alternative approaches to governance in the EU: EU Social Policy and the European Employment Strategy', *Journal of Common Market Studies*, 4:1, pp.63-88.
- Neilson, J. (1998) 'Equal opportunities for women in the European Union: success or failure?', *Journal of European Social Policy*, 8, pp.64-79.
- Room, G. (2007) 'Challenges facing the E.U.: Scope for a coherent response', *European Societies*, Vol. 9, No. 2, pp.229-244.
- Sinn, H-W and Ochel, W (2003) 'Social Union, convergence and migration', *Journal of Common Market Studies*, 41, pp.869-96.
- Threlfall, M. (2003) 'European social integration: harmonization, convergence and the single social area', *Journal of European Social Policy*, 13, pp.121-140.

- Van Der Heijden, Hein-Anton. "Multi-level Environmentalism and the European Union: The Case of Trans-European Transport Networks." International Journal of Urban and Regional Research 30 (2006): 23-37.
- Van der Mei (2003) 'Freedom of movement for the unemployed and co-ordination of unemployment benefit schemes', *European Journal of Social Security*, 5:3, pp.214-229.
- Varela, D. (2009) 'Just a Lobbyist? The European Parliament and the Consultation Procedure', *European Union Politics*, Vol.10, No.1, pp.7-34.
- Vobruba, G. (2003) 'The enlargement crisis of the European Union: limits of the dialectics of integration and expansion', *Journal of European Social Policy*, 13 (1), pp.35-48, and responses by M. Bach and M. Rhodes.
- Wolf, Winifried. "Transport Policy in the European Union." Debatte 14 (2006): 167-83.

**Journals** (These Journals, and the articles within them above, are also held in the FSS library)

Environmental Politics

European Union Politics

European Political Science

Journal of Common Market Studies

Journal of European Social Policy (the 'European Briefing' section of this journal is very useful for up-to-date EU developments)

Journal of European Public Policy

**Internet**

<http://www.cec.org.uk>

<http://www.ecsa.org>

<http://europa.eu.int>

<http://ibeurope.eu.int>

<http://www.ieep.org.uk>

Professor John Wilton and Assoc. Professor Jiří Winkler  
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