Energy transition perspectives

Petr Ocelík & Colin Kimbrell

ESSn4007/ MEBn4001

Outline

- Transition perspectives
- Multi-level perspective
- Case study

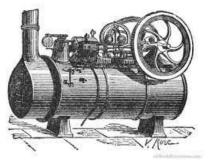
Transition perspectives

Socio-technical systems

• Socio-technical systems are involve complex interactions among social actors, technologies, and environmental aspects (Emery & Trist 1960).

Socio-technical systems

• Socio-technical systems involve complex interactions among social actors, technologies, and environmental aspects (Emery & Trist 1960).



















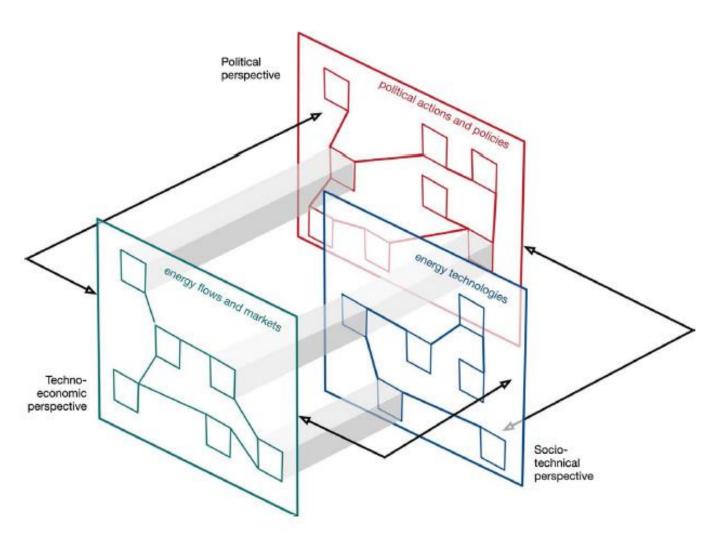
Socio-technical systems

- Socio-technical systems are involve complex interactions among social actors, technologies, and environmental aspects (Emery & Trist 1960).
- Adaptive: STS are able to respond to external environment and pursue goals (e.g., decarbonization).
- Interdependent: STS consist of separate yet co-evolving technical (e.g., energy infrastructure) and social subsystems (e.g., energy governance).
- Equifinal: STS goals (e.g., decarbonization) can be achieved through more than one pathway → STS design choices.

What is (energy) transition?

- Socio-technical systems involve complex interactions among social actors, technologies, and environmental aspects (Emery & Trist 1960).
- Socio-technical transition is a shift from one STS to another.
- Energy transition is a fundamental change in the structure of primary supply to a new energy system (Smil 2010).
- → transition theories provide insights on how such transition evolve

Typology of energy transition perspectives



Technico-economic perspective

- Technico-economic systems (TES) are defined by energy flows associated with energy extraction, conversion, and use processes coordinated by energy markets.
- TES extract energy from (1) **natural resources** and (2) deliver **energy services** to consumers through markets.
- TES respond to supply-demand (in)balance → enabling/preventing development of specific resources and/or technologies
- TES susceptible to long-term cycles of macro-economic and technological development → enabling/preventing transition

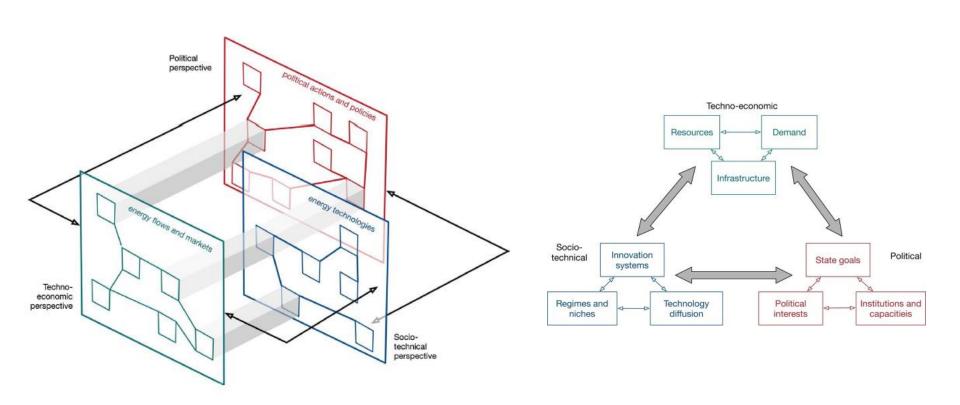
Socio-technical perspective

- Socio-technical systems are defined by networks of knowledge, norms and practices associated with energy technologies.
- STS focus on the emergence and diffusion of new technologies.
- STS defined by conflicting relationship between innovation subsystems (Markard 2012) and socio-technical regimes (Geels 2002).
- Regimes are maintained by existing path-dependencies and technological lock-ins constraining spread of innovation.

Political perspective

- Political action systems are defined by networks of actors influencing the political regulation of energy systems (Cherp et al. 2018).
- PAS is not really a coherent perspective → recognition that politics constitute a semi-autonomous sphere of (energy) transition
- Different transition pathways produce different configurations of "winners" and "losers" → who gets what, when, and how (Lasswell 1966)

Typology of transition perspectives



Multi-level perspective

Multi-level perspective

- MLP (Geels 2002) assumes that transitions occur through interactions within and across three analytical level: regimes, niches, and landscapes.
- (Socio-technical) regime is a set of embedded rules and practices enabling or constraining actors in relation to the existing energy system (Geels 2014).
- Niche is a protected space for innovative activities.
- Landscape is a wider context influencing niche and regime dynamics including social, spatial, and material structures.
- → transition is a **shift from one regime to another**

Regime resistance

- Regime is reproduced by incumbents established actors who profit from the existing regime (Smink 2015)
- Key assumption: incumbents and policymakers form coalition oriented towards the maintaining status quo (Geels 2014)
- coalition dynamics: the nature and pace of energy transition is contested by policy actors and their coalitions (Markard et al. 2016)
- → They use various **strategies** to influence transition pathways (Geels 2014; Johnstone et al. 2017)

Regime actors' power resources

- The regime actors rely on various forms of power (Geels 2014).
- Instrumental: using resources in immediate interactions with others (lobbying, subsidies, campaigns, etc.) → policy process control
- Discursive: shaping public debates to control what is being discussed (agenda setting) and how it is being discussed (framing) → dominant discourse
- Material: technological lock-ins through clean fossil technologies (CCS) → delay of renewable infrastructures development
- Institutional: design of formal and informal political institutions more congruent with incumbents' interests → closed opportunity structures

• Incumbent actors use various strategies to resist regime change (Johnstone et al. 2017).

- **Incumbent actors** use various **strategies** to resist regime change (Johnstone et al. 2017).
- Securitization: incumbents' interests framed as a matter of security.



- **Incumbent actors** use various **strategies** to resist regime change (Johnstone et al. 2017).
- Re-invention: regime and/or its components are reframed to appear innovative.



- **Incumbent actors** use various **strategies** to resist regime change (Johnstone et al. 2017).
- Masking: suppression, socialization or externalization of the full costs of the regime



B. Summary of Major Topic Issues

(i) Climatic Change, Carbon Cycle

The global biogeochemical carbon cycle is a very complex system. It is assumed that the major contributors of CO₂ are the burging of fossil fuels which has been level at 4.5 x 10¹⁹ grams per year and oxidation of carbon stored in trees and soil humus. The major sinks are the atmosphere and the oceans. The atmosphere in 1978 contained 695 x 10¹⁵ grams.

New research from Harvard University, in collaboration with the University of Birmingham, the University of Leicester and University College London, found that more than 8 million people died in 2018 from fossil fuel pollution, significantly higher than previous research suggested—meaning that air pollution from burning fossil fuels like coal and diesel was responsible for about 1 in 5 deaths worldwide.

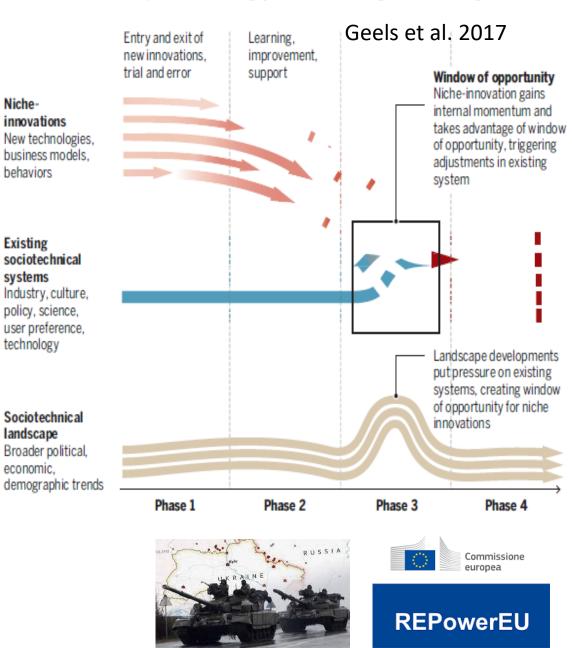
The study, "Global Mortality From Outdoor Fine Particle Pollution Generated by Fossil Fuel Combustion," published in *Environmental Research*, is based on a groundbreaking analysis that enabled the researchers to directly attribute premature deaths from fine particulate pollution (PM 2.5) to fossil fuel combustion.

- **Incumbent actors** use various **strategies** to resist regime change (Johnstone et al. 2017).
- Capture: incumbents in a position of political and regulatory power; "revolving-doors".



Foster innovations to take advantage of windows of opportunity

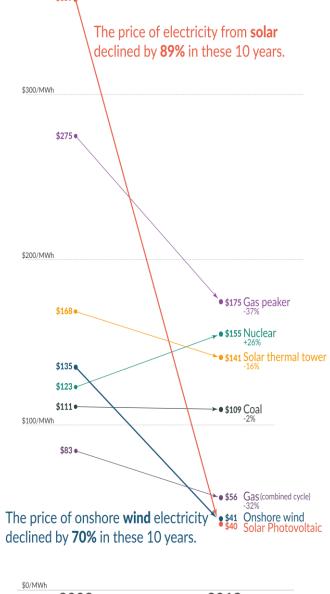
Internal and external forces pressure the existing system, which can realign around maturing innovations



The price of electricity from new power plants Our World

in Data

Electricity prices are expressed in 'levelized costs of energy' (LCOE). LCOE captures the cost of building the power plant itself as well as the ongoing costs for fuel and operating the power plant over its lifetime.



2019 2009

Data: Lazard Levelized Cost of Energy Analysis, Version 13.0

OurWorldinData.org - Research and data to make progress against the world's largest problems. by the author Max Rose

Case study: Incumbent's discursive strategies

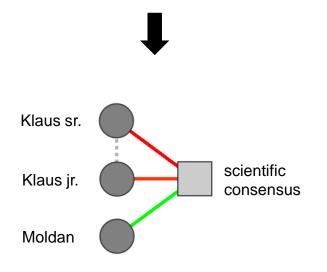
Policy debate on mining limits

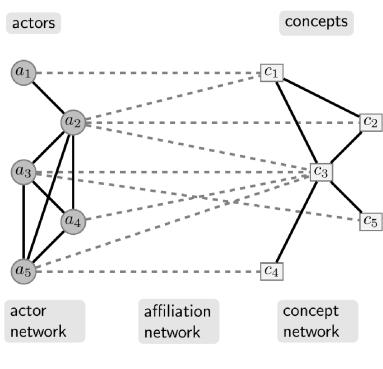
- Policy debate on the limits → rescindment on the Bílina mine in 2015
- Media discourse analysis of daily newspapers
- Discourse coalition: group of actors who share a social construct (Hajer 1993)
- **E1.** There are **two coalitions** with low-compatible beliefs (Ocelík et al. 2019; Weible 2008)
- Discourse alignment: similarity-based relationship between specific actor groups
- **E2.** There is a **discourse alignment** between **incumbents** and **governing parties** (Geels 2014; Johnston et al. 2017; Smink 2015)

"…neexistuje však žádný vědecký konsensus o důvodu tohoto růstu průměrné teploty. Mnozí jsou i nadále přesvědčeni, že je to v podstatě přírodní proces." (Václav Klaus st.)

"Stejně tak jako doba ledová neskončila vinou neandrtálců, stejně tak jako mezidoba ledová 1850, kdy bylo trošku chladnější období, no tak logicky přichází teplejší. Ale **neexistuje** žádný **vědecký konsensus** na tom, že to způsobuje člověk. " (Václav Klaus ml.)

"...vědecký konsensus na tom, že skutečně tuto změnu, tuto klimatickou krizi, působí lidská činnost tady existuje. A je jednoznačně doložen..." (Bedřich Moldan)

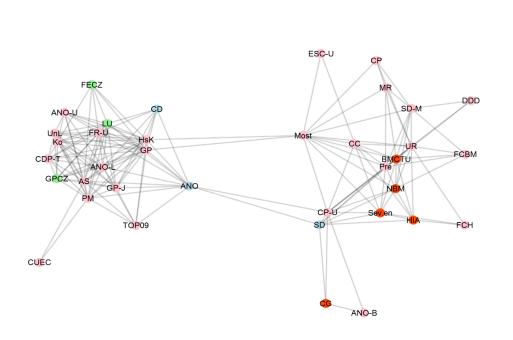


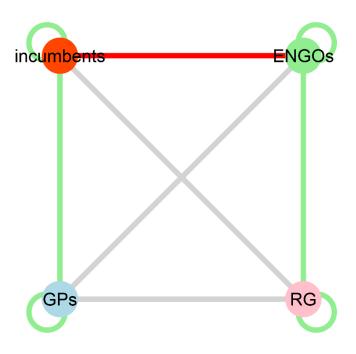


Leifeld 2017



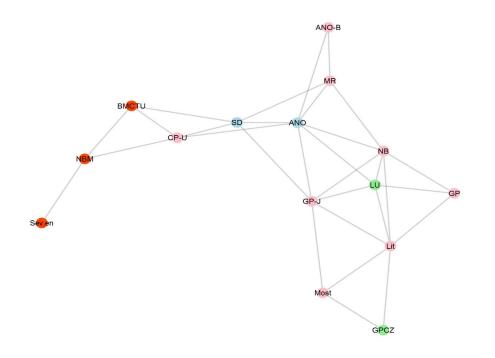
Stage 1 (Jan-Apr 2015): Incumbents mobilization

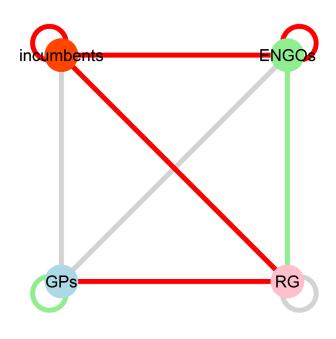






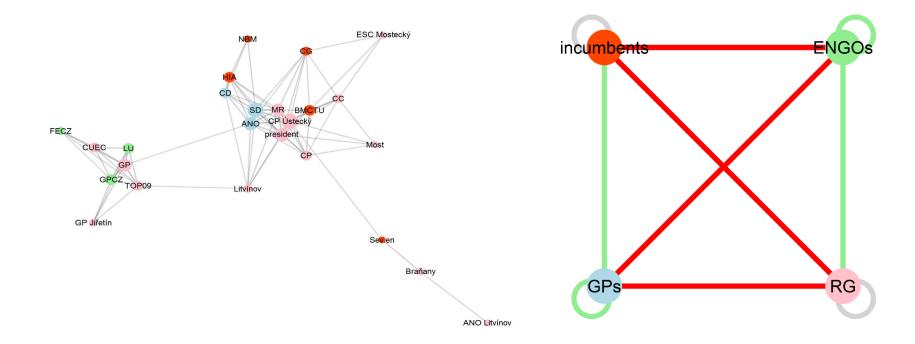
Stage 2 (May-Aug 2015): Incumbents retreat



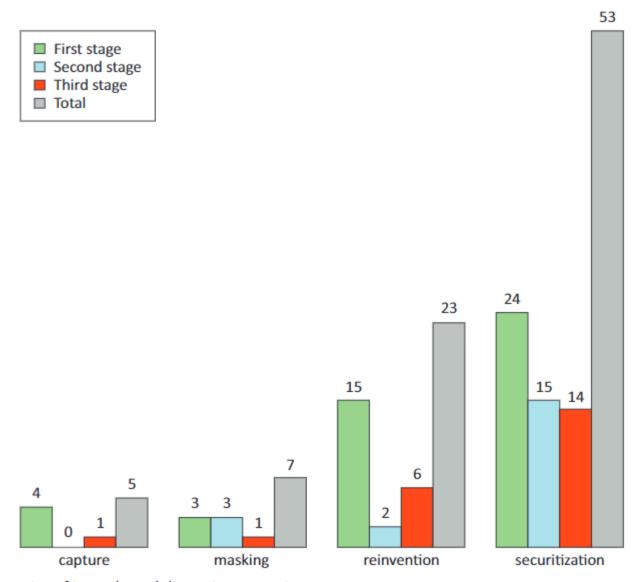




Stage 3 (Sep-Oct 2015): Incumbents dominance







 $\textbf{Figure 5.} \ \textbf{Frequencies of incumbents' discursive strategies.}$



Incumbents' discursive strategies

- **Masking:** environmental issues, displacement
- Securitization: socioeconomic
- Reinvention: cleaner technology, heat supplies
- Capture: not articulated, already in place
 (Černoch & Osička 2018)

Surprisingly, little emphasis on supply dependency

Masking



Hiding, socializing, or externalizing the full costs of an incumbent regime or sociotechnical system

Capture



Placing stakeholders with vested interests in positions of political or regulatory power

Reinvention



Changing the frame of an incumbent system or regime so that it appears new or innovative

Securitization



Connecting an incumbent to a pressing national security issue, topic, or compelling threat

Johnston et al. 2017



Main findings

- Two competing coalitions: Industry vs. Environmental (E1)
- The discourse alignment between incumbents and GPs in the 1st and 3rd stages (E2)
 - Consistent support of the Social Democrats
 - Fragmented position of the ENGOs
 - Absence of countervailing industries

- Incumbents mostly relied on **securitization**, masking, and reinvention strategies
 - Inability of the Environmental Coalition to formulate efficient counter-narrative

