Brno, 30 September 2024

# European System of HR Protection

Katarína Šipulová



### JUSTIN Judicial Studies Institute Masaryk University



- 1. Rights conferred on people by an enlightened ruler
- 2. Rights seized by the people
- 3. Rights existing outside of the realm of politics (God, Nature)



# Magna Charta 1215

- Subjective rights
- But limited in the category of subjects
- Rights conferred on subjects of Crown or taken by subjects of lacksquare**Crown?**



## John Locke

- Self-evidence rights
- Right to life, liberty, freedom from arbitrary rule, property
- State of nature lacksquare
- **REJECTION OF MAGNA CHARTA** lacksquare

"The state of nature has a law of nature to govern it which obliges everyone: and reason, which is that law, teaches all mankind, who will but consult it, that being all equal and independent, no one ought to harm another in his life, health, liberty or possessions."



### Post WW2 – Universal Declaration of Human

- FDR: Search for 4 great freedoms
  - Freedom from fear
  - Freedom from war
  - Freedom to speak
  - Freedom of religion
- We the people of United Nations are determined to save succeeding generations from the scourge of war, which twice in our lifetime has brought untold sorrow to mankind.

- E. Roosevelt: human rights start in small places, close to home - so close and so small that they cannot be seen on any maps of the world. Yet they are the factory, farm, or office where he works



# Post WW2 – Universal Declaration of Human Rights

"Disregard and contempt for human rights have resulted in barbarous acts which have outraged the conscience of mankind, and the advent of a world in which human beings shall enjoy freedom of speech and belief and freedom from fear and want has been proclaimed as the highest aspiration of the common people. .... All human beings are born free and equal in dignity and rights."

- Central idea = inherent dignify of human beings and the universality of their rights
- Recognition of pre-existing rights
- Focus on socio-economic rights along the more familiar civil and political rights



# European HR Regime (CoE) and European Union

- 1. Strasbourg: Council of Europe
- 2. Luxembourg: European Union

(Other relevant HR systems?)







Figure 2. Global physical integrity rights (Political Terror Scale), 1985-2009.





Figure 4. Global political rights (Freedom House), 1980-2009.



## Differences across regions

- Europe •
- Americas ullet
- Africa  $\bullet$
- Islamic states ullet
- European states the most willing to be internationally bound ullet





# Why do States Ratify Treaties?

- 1. Why is it important?
- 2. What is a ratification?





## Differences across regions

- In the text of a convention ullet
- In the activity of the control mechanism ●
- In the form of commitment (x reservations) ullet
- In compliance ullet



- Differences among states? (right to life) ullet
- Differences within the states ullet
- Highest level of norm diffusion treaties, constitutions, supranational ulletcourts + constitutional courts (frequent references, conferences ,academia, activists, ...)







Smekal, Šipulová, Pospíšil, Janovský, Kilian, Making Sense of Human Rights Commitments; A Story of Two Emerging European Democracies, MUNI Pre

### $\overline{\Pi}$ Expansion of rights: Why do states ratify HR? A commitment decision is a product of a comparison of internal and external consequences of ratification. The process is assumed to Treaty be the same for all states. Yet the actual values in each cell will of Commitment course differ, and different states put a different weight on each of decision State the three main considerations feeding into the decision. State & treaty Additional rights from How strong external treaty not protected by Considerations incentives are domestic HR law that How much the regime the regime wants to add agrees with the Outcome **Risks and opportunities** substance of the treaty associated with new compliance control Domestic HR policy **Rights laid out** Additional degrees of HR Lock-in in treaty protection that the regime incentives would be committing to Effectiveness of domestic HR protection Reputational and Treaty's Regime's HR Independence Domestic **Regime stability** conditionality enforcement of judiciary paradigm propaganda incentives mechanism State's power in Regional HR Treaty's Regime the international prominence culture arena









EU





### 46 Member States





- Established 1949
- Intergovernmental (compared to EU)
- ECtHR and a network of various bodies
- ECHR plus more than 200 treaties
  - European Social Charter
  - European Convention on Human Rights
- 47->46 members



National Address, 21 March 1943, in an attempt to "peer through the mists of the future to the end of the war."



## Winston Churchill – Zurich Speech

"What is this sovereign remedy? It is to recreate the European fabric, or as much of it as we can, and to provide it with a structure under which it can dwell in peace, safety and freedom. We must build a kind of United States of Europe. In this way only will hundreds of millions of toilers be able to regain the simple joys and hopes which make life worth living. The process is simple. All that is needed is the resolve of hundreds of millions of men and women to do right instead of wrong and to gain as their reward blessing instead of cursing.





# Winston Churchill – Zurich Speech

I am now going to say something that will astonish you. The first step in the re-creation of the European family must be a partnership between France and Germany. In this way only can France recover the moral and cultural leadership of Europe. There can be no revival of Europe without a spiritually great France and a spiritually great Germany.

I now sum up the propositions which are before you. Our constant aim must be to build and fortify the United Nations Organisation. Under and within that world concept we must recreate the European family in a regional structure called, it may be, the United States of Europe, and the first practical step will be to form a Council of Europe. If at first all the States of Europe are not willing or able to join a union we must nevertheless proceed to assemble and combine those who will and who can.





## **Council of Europe: Three narratives**

- 1. Prevention of war and massive attrocities and HR violations
- Bullwark against totalitarianism in Eastern Block 2.
- 3. Nascent "United States of Europe"





- Hague 1948: The Congress of Europe ullet
- Discussion of the future structure of the Council of Europe



### Voices of HISTORY

Important public figures such as Konrad Adenauer, Winston Churchill, Harold Macmillan, Sir David Maxwell-Fyfe, Pierre-Henri Teitgen, François Mitterrand Paul Reynaud, Edouard Daladier, Paul Ramadier, Paul van Zeeland, Albert Coppé and Altiero Spinelli took part in the Congress of Europe.



### **Message to Europeans**

Europe is threatened, Europe is divided, and the greatest danger comes from her divisions.

Impoverished, overladen with barriers that prevent the circulation of her goods but are no longer able to afford her protection, our disunited Europe marches towards her end. Alone, no one of our countries can hope seriously to defend its independence. Alone, no one of our countries can solve the economic problems of today. Without a freely agreed union our present anarchy will expose us tomorrow to forcible unification whether by the intervention of a foreign empire or usurpation by a political party.

The hour has come to take action commensurate with the danger.

Together with the overseas peoples associated with our destinies, we can tomorrow build the greatest political formation and the greatest economic unit our age has seen. Never will the history of the world have known so powerful a gathering of free men. Never will war, fear and misery have been checked by a more formidable foe.

Between this great peril and this great hope, Europe's mission is clear. It is to unite her peoples in accordance with their genius of diversity and with the conditions of modern community life, and so open the way towards organised freedom for which the world is seeking. It is to revive her inventive powers for the greater protection and respect of the rights and duties of the individual of which, in spite of all her mistakes, Europe is still the greatest exponent.

Human dignity is Europe's finest achievement, freedom her true strength. Both are at stake in our struggle. The union of our continent is now needed not only for the salvation of the liberties we have won, but also for the extension of their benefits to all mankind.

### PLEDGE

(1) We desire a United Europe, throughout whose area the free movement of persons, ideas and goods is restored;

(2) We desire a Charter of Human Rights guaranteeing liberty of thought, assembly and expression as well as the right to form a political opposition;

(3) We desire a Court of Justice with adequate sanctions for the implementation of this Charter;

(4) We desire a European Assembly where the live forces of all our nations shall be represented;

(5) And pledge ourselves in our homes and in public, in our political and religious life, in our professional and trade union circles, to give our fullest support to all persons and governments working for this lofty cause, which offers the last chance of peace and the one promise of a great future for this generation and those that will succeed it.



- Nov 1948-Jan 1949 Committee for the Study of European Unity (to draw the  $\bullet$ blueprint)
- Two competing schools of thought:  $\bullet$
- 1. Classical IO  $\bullet$ 
  - Representatives of governments
  - => Committee of Ministers
- 2. Political forum  $\bullet$ 
  - Members of parliaments
  - => Consultative (Parliamentary) Assembly
- Treaty of London 5 May 1949
  - Trinity of demoracy, HR, RoL
  - 10 states (Belgium, Denmarsk, France, Ireland, Italy, Luxembourg, the • Netherlands, Norway, Sweden, the United Kingdom)



- Preamble ullet
  - Convinced that the pursuit of peace based upon justice and international • co-operation is vital for the preservation of human society and civilisation;
  - Reaffirming their devotion to the spiritual and moral values which are the common heritage of their peoples and the true source of individual freedom, political liberty and the rule of law, principles which form the **basis of all genuine democracy**;
- Goals: ullet
  - European political authority (Paul-Henri Spaak) •
  - Preparation of the European Convention on HR



- Aim
  - Article 1(a)

The aim of the Council of Europe is to achieve a greater unity between its members for the purpose of safeguarding and realizing the ideals and principles which are their common heritage and facilitating their economic and social progress.

- Membership
  - Article 3 ullet

Every member of the Council of Europe must accept the principles of the rule of law and of the enjoyment by all persons within its jurisdiction of human rights and fundamental freedoms, and collaborate sincerely and effectively in the realisation of the aim of the Council as specified in Chapter I.

Article 4 lacksquare

Any European State which is deemed to be able and willing to fulfil the provisions of Article 3 may be invited to become a member of the Council of Europe by the Committee of Ministers. Any State so invited shall become a member on the deposit on its behalf with the Secretary General of an instrument of accession to the present Statute.

### **Article 8**

Any member of the Council of Europe which has seriously violated Article 3 may be suspended from its rights of representation and requested by the Committee of Ministers to withdraw under Article 7. If such member does not comply with this request, the Committee may decide that it has ceased to be a member of the Council as from such date as the Committee may determine.



- **Secretary General** 
  - Elected by PACE for 5 years
- **Committee of Ministers**
- **Parliamentary Assembly**
- **Congress of Local and Regional Authorities**
- **European Court of Human Rights (ECtHR)**  $\bullet$
- **Commissioner for Human Rights**  $\bullet$ 
  - Elected by PACE for 6 years (since 1999)
- Conference of INGOs, Joint Council on Youth of the CoE, Information Offices, Congress of Local and Regional Authorities ۲
- **European Commission of Human Rights**
- Partial Agreements  $\bullet$ 
  - Venice Commission
  - Group of States Against Corruption (GRECO)











1953

1998

2018

1948 Adoption of the Universal Declaration of Human Rights

Entry into force of the European Convention on Human Rights

Entry into force of Protocol No. 11 to the Convention, instituting "the new Court"

Entry into force of Protocol No. 16 to the Convention allowing the Court to deliver advisory opinions

The fourth Summit 2023 of Heads of State and Government of the Council of Europe in Reykjavík

### Charter of hope

The Universal Declaration of Human Rights, adopted by the UN General Assembly on 10 December 1948, was the result of the experience of the Second World War.

### Pioneering instrument

The Convention for the Protection of Human Rights and Fundamental Freedoms came into force on 3 September 1953. It was the first instrument to give effect to certain of the rights stated in the Universal Declaration of Human Rights and to make them binding.

### First major reform

In 1998 Protocol No. 11 replaced the original two-tier structure comprising the Court and the Commission on Human Rights, which sat a few days per month, by a single full-time Court. This change put an end to the Commission's filtering function, enabling applicants to bring their cases directly before the Court.

### The "dialogue Protocol"

Protocol No. 16 enables the highest national courts and tribunals of the member States to request the Court to give advisory opinions on questions of principle relating to the interpretation or application of the rights and freedoms defined in the Convention or the Protocols thereto. The advisory opinions, delivered by the Grand Chamber, are reasoned and are not binding.

### United around our values

The fourth Summit of Heads of State and Government of the Council of Europe took place in Reykjavík between 16-17 May 2023. Gathering together heads of State and Government from the Council of Europe's member States, it aimed to refocus the Council of Europe's mission in the light of new threats to democracy.



### Milestones

- 70 years since ratification of the ECHR
- 25 years of the Protocol 11
- 700 million persons are served by the Convention •
- 1 million application •
- 26 000 judgments issued
- 25



# Bringing a case to ECtHR

- Who •
- When •
- Where •
- What rights? •





- Relative rights (A 8-11) any limitation to the right must be proportional. That means: ullet
  - Prescribed by the Law •
  - Necessary in democratic society
  - Pursuing legitimate aim
  - ulletachieve one of the aims in the HRA/Convention

### **ARTICLE 8 Right to respect for private and family life**

1. Everyone has the right to respect for his private and family life, his home and his correspondence.

2. There shall be no interference by a public authority with the exercise of this right except such as is in accordance with the law and is necessary in a democratic society in the interests of national security, public safety or the economic well-being of the country, for the prevention of disorder or crime, for the protection of health or morals, or for the protection of the rights and freedoms of others.

Proportionality means that the interference must be no more than is absolutely necessary to



- How is the test applied? ullet
- 1. Does the case (petition) belong under the particular ECHR right? (I.e. is the Article of ulletECHR applicable?)
- 2. Was there an interference in petitioner's right?
- 3. Was this interference based on Law/In accordance with the Law? lacksquare
  - Was it explicitly enabled by a legal act?
  - Was it accessible?
  - Was it clear enough?
  - Was it predictable? •
- 4. Did the interference follow one of legitimate aims (sometimes mentioned explicitly, sometimes not)
- 5. Was the interference necessary in the democratic society? ullet


# How does it work?





are handled by the Registry's phone reception in 11 languages in addition to English and French.

#### INDIVIDUAL APPLICATION

The information about the Court is provided in Bosnian, Croatian, German, Italian, Polish, Romanian, Russian, Serbian, Spanish, Turkish and Ukrainian.



## **ECtHR Statistics**

## Judicial metrics: a year in review

68,450 pending applications

decrease of 8%

**25,834** applications

declared inadmissible or struck out by single judges 319 judgments

. .

delivered by Chambers

5,344 applications

declared inadmissible or struck out by Committees 682 judgments

delivered by Committees of three judges

151 applications

declared inadmissible or struck out by Chambers

## **Grand Chamber activities**

**13** judgments

and 1 admissibility decision delivered by the Grand Chamber



held by the Grand Chamber



held by the Panel of the Grand Chamber



buuget 2025

covers Judges' remuneration, staff salaries and operational expenditure (IT, official journeys, translation, interpretation, publications, representational expenditure, legal aid, fact-finding missions, etc.). It does not include expenditure on the building and infrastructure (telephone, cabling, etc.).



Annual Report 2021 

Statistics 181

#### **ALLOCATED APPLICATIONS (2011-21)**









## ECtHR 2021

#### **PENDING CASES (BY STATE)**







## ECtHR 2022

#### PENDING CASES (BY STATE)

Türkiye	
Russia	
Ukraine	
Romania	
Italy	3,53
Greece	2,803
Poland	2,453
Azerbaijan	2,170
Serbia	1,941
Armenia	1,232
Belgium	1,228
Republic of Moldova	1,020
Hungary	622
France	<b>6</b> 14
Bulgaria	<b>5</b> 39
Croatia	<b>475</b>
Latvia	<b>4</b> 02
North Macedonia	<b>3</b> 99
Albania	<b>386</b>
Portugal	377
Lithuania	■ 240
Slovakia	210
Germany	198
Bosnia and Herzegovina	∎ 184
Switzerland	I 169
Georgia	I 155
Czech Republic	I 142
Slovenia	I 137
Spain	I 114





## **ECtHR**

### Pending cases (by State)

<ul> <li>TUR</li> <li>RUS</li> <li>UKR</li> <li>ROU</li> <li>4171</li> <li>ITA</li> <li>2743</li> <li>GRC</li> <li>2429</li> <li>AZE</li> <li>1877</li> </ul>	
UKR ROU 4171 ITA 2743 GRC 2429	
ROU 4171 ITA 2743	
ITA 2743	
GRC 2429	
AZE 1877	
NOL 1648	
SRB 1535	
MDA 💻 1149	
🚘 SVN 💻 910	
🗮 ARM 🔳 836	
🚬 HRV 🔳 739	
🚬 HUN 🔳 578	
🚘 BGR 🔳 501	
🚺 fra 💻 468	
PRT 463	
BEL 408	
MALB 📕 383	
NA 380	
🂐 MKD 🔳 348	
🚘 SVK 🔳 323	
🔁 CHE 🛛 189	
🕂 GEO 🛛 185	
🗮 DEU 🔹 174	
🚘 LTU 📕 150	
and 149	
🚬 ESP 📕 144	
November 2018 Sec. November 2018	
🎘 GBR 🛛 127	
aut   125	





#### Allocated applications\*

**Communicated application** 

#### **Decided applications**

by judgment delivered

by struck out or inadmissibility decision

#### Pending applications\*

Chamber and Grand Chamber

Committee

Single-judge

\* Round figures [50] as of 31 December of the reference year.

	2022	2023
	45,500	<b>34,650</b> 24% <b>∖</b>
ions	6,822	<b>16,623 144%</b> ↗
	39,570	<b>38,260</b> 3% <b>∖</b>
	4,168	6,931 66%
	35,402	<b>31,329</b> 12% <b>∖</b>
	74,650	<b>68,450</b> 8% ∖
er	35,100	<b>18,150</b> 48% <b>∖</b>
	34,800	<b>46,150 33%</b> ↗
	4,750	<b>4,150</b> 13% <b>≥</b>



#### **JUDGMENTS (2012-22)**







#### **VIOLATIONS BY SUBJECT MATTER**





#### **DECIDED APPLICATIONS**



#### Annual Report 2022 Statistics 143









- 46 judges
- Decide in formations:
  - Single judge
  - Three-member chamber
  - Seven-member chamber
  - Grand chamber
- Each state nominates 3 candidates
- PACE interviews and selects one judge per country
- Many controversies

#### Π ECtHR key dates:

- 5 May 1949
- 4 November 1950
- 3 September 1953 ullet
- 21 January 1959
- 23-28 February 1959
- 18 September 1959 ullet
- 14 November 1960  $\bullet$
- 1 November 1998  $\bullet$
- 1 June 2010  $\bullet$
- 1 August 2018 ullet
- 16 March 2022  $\bullet$ September 2022)

- Creation of the Council of Europe - Adoption of the Convention - Convention enters into force
- First members of the Court elected
- Court's first session
- Court adopts its Rules of Court
- Lawless v Ireland
- Protocol 11 in force -> The New Court
- Protocol 14 enters into force
- Protocol 16
- Russia ceases to be a member state of the CoE (16)



## **Current** issues

3 crises negatively impacting the ECtHR's legitimacy •

- Backlog (victim of its own success)
- Non-implementation •
- Populist challenge to ECtHR ullet
- 4<sup>th</sup>? Russia's exit?
- Judicialization ullet
- Independence ullet
- Backslash / pushback against the ECtHR ullet

# (Populist) challenge to ECtHR

Non-majoritarian difficulty squared

 $\overline{\Pi}$ 

- **A. Bickel:** counter-majoritarian difficulty of constitutional review • Waldron: institutions must respect the fact of deep conflict among citizens
- on substantive issues
  - only unconstrained majority rule among elected parliamentarians treats all citizens as political equals. Human rights constraints based on judicial review of legislation, on the other hand, violate citizens' equal dignity
  - "it is where responsible representatives of the people engage in what they would probably describe as the self-government of the society."
  - Any constraint of the legislator = x self-government

## $\overline{\Pi}$

## (Populist) challenge to ECtHR

- 5 Objections towards constraints on legislator:
  - 1. power of judges cannot be more than power of citizens
  - 2. skewed outcomes
  - 3. role of state (Bellamy: too much focus on negative social and political rights)
  - 4. Mistaken conception of the person (democracy does not endanger individual, tyranny of majority is limited by a sense of justice)
  - 5. damage to public political culture
    - Bellamy: political institutions should allow perpetual contestation • about interests, rights, policies



- ECtHR's response
  - Margin of appreciation
  - Principle of subsidiarity
  - Weak review
- A. Follesdal: ullet
  - Liberal contractualism: social institutions must satisfy principles of • legitimacy
  - Democratic rule with constraints on legislatures may provide important assurance why citizens should trust institutions
  - The least dangerous branch (risk of domination is small)
  - ECtHR does not replace political, democratic domestic contestation •
  - BUT: the real challenges •
    - Quality of judicial deliberation
    - Risk of unaccountable judges ullet
    - Social legitimacy ullet



- Resistance to ICs ullet
  - Who?
  - Why? •
  - How?
    - pushback ullet
    - backlash ullet
- UK confusion ullet



#### International Journal of Law in Context

Article Metrics

Volume 14, Special Issue 2 (Resistance to International Courts) June 2018, pp. 197-220

#### Backlash against international courts: explaining the forms and patterns of resistance to international courts

Mikael Rask Madsen <sup>(a1)</sup>, Pola Cebulak <sup>(a2)</sup> and Micha Wiebusch <sup>(a3)</sup> ① https://doi.org/10.1017/S1744552318000034 Published online: 29 May 2018

Abstract The paper investigates and theorises different forms and patterns of resistance to international courts (ICs) and develops an analytical framework for explaining their variability. In order to make intelligible the resistance that many ICs are currently facing, the paper first unpacks the concept of resistance. It then introduces a key distinction between mere pushback from individual Member States or other actors, seeking to influence the future direction of a court's case-law, and actual backlash – a critique triggering significant institutional reform or even the dismantling of tribunals. On the basis on the proposed theoretical framework, the paper provides a roadmap for empirical studies of resistance to ICs, considering the key contextual factors necessary to take into account in such studies.



#### THE CHALLENGING AUTHORITY OF THE EUROPEAN COURT OF HUMAN RIGHTS:

#### FROM COLD WAR LEGAL DIPLOMACY TO THE BRIGHTON DECLARATION AND BACKLASH



MIKAEL RASK MADSEN\*

I





Ostrava



## DH vs Czech Republic



# JUDICIAL STUDIES

MASARYK UNIVERSITY BRNO

WWW.JUSTIN.LAW.MUNI.CZ