



Article; for the Special Issue: <u>https://www.mdpi.com/journal/admsci/special_issues/SAP</u> 1

Simplification of Administrative Procedure on the 2 **Example of the V4 Countries** 3

4 Lukáš Potěšil ^{1,†,} Krisztina F. Rozsnyai ^{2,†,} Jan Olszanowski ^{3,†,} Matej Horvat ^{4,†}

- 5 Masaryk University, Faculty of Law; Lukas.Potesil@law.muni.cz 1
- 6 7 2 Eötvös Loránd University, Faculty of Law; rozsnyaik@ajk.elte.hu
 - 3 Adam Mickiewicz University, Faculty of Law and Administration; jan.olszanowski@amu.edu.pl
- 8 4 Comenius University in Bratislava, Faculty of Law; matej.horvat@flaw.uniba.sk
- 9 These authors contributed equally to this work. t

10 Received: date; Accepted: date; Published: date

11 Abstract: The article deals with the idea of simplification of administrative procedure on the 12 example of legal regulation that can be found in Poland, Slovakia, the Czech Republic and 13 Hungary. This legal regulation comes from the same or similar evolution and legal conditions. 14 General legal regulation of administrative procedure is represented by so called Code of 15 Administrative Procedure. Existence of such code in all mentioned countries might be regarded as 16 a first step towards simplification. Using research methods - dogmatic, normative, and namely 17 comparative - the article examines concrete examples of simplification in mentioned countries that 18 have similar approaches in solving this demand. This article mentions possible views (or 19 addressees) on the need of simplifications as well as possible limits of this issue. In this sense, the 20 protection of the public interest and protection of rights of individuals presents certain limitations 21 to simplification. Legal regulation of administrative procedure is complicated. Although each 22 legal regulation is in detail specific, we can find some common solutions in particular legal 23 regulation of simplifications. Such results of this article might be useful (not only) for further 24 comparison in European countries.

- 25 Keywords: administrative procedure; Code of Administrative Procedure; simplifications; V4 26 countries; Poland; Slovakia; the Czech Republic; Hungary
- 27

28 1. Introduction

29 Administrative procedure is an important phenomenon of administrative law. Administrative 30 procedure helps to implement administrative law. The implementation of many activities of 31 individuals is conditioned by their assessment in administrative procedure. Administrative 32 procedure can be encountered quite often - usually on the basis of administrative procedure (and 33 administrative decision) it is possible to e.g. study at public schools, carry out a certain business, to 34 build, obtain a permit (to drive a motor vehicle, felling certain categories of trees), or, conversely, it 35 establishes an obligation, e.g. pay a fine for an administrative offense or expropriate land; however, 36 these examples may vary from state to state. Administrative procedure is a universal way of 37 deciding on rights and obligations of persons in the field of public administration and 38 administrative law. Administrative procedure is important not only for its participants and their 39 legal relations, but also for administrative bodies. Through administrative procedure administrative 40 bodies protect and promote the public interest and participate in the regulation of social relations. At 41 present, we will probably not be able to do without administrative procedure in the administration 42 of public affairs and it will not be possible to completely replace it.

43 At first sight, administrative procedure may have similar features to proceedings before a (civil) 44 court. The reason is that in both of the procedures decisions on rights and obligations are made. 45 However, administrative procedure is different from court proceeding. In administrative procedure 46 it is not the independent court that decides, but the administrative body. Both the subject-matter and 47 the nature of the rights are often different in the procedures (Merkl 1932) and application of several 48 principles also differs. The court proceeding is usually based on solving a dispute between parties to 49 the proceeding and a decision is made on private law relations. Administrative bodies decide on 50 rights and obligations in the field of public administration and administrative law. Administrative 51 procedure is mostly written and not public, while court proceeding is governed by the principle of 52 publicity. Not only from that reason, administrative procedure should not be as complex, detailed 53 and formalized as court proceedings.

54 Due to this, it is possible to ask a question whether the legal regulation of administrative 55 procedure is clear, understandable and predictable. Related to this question is whether it would not 56 be possible to simplify the administrative procedure in any way. However, possible simplification of 57 administrative procedure encounters possible limits.

58 The purpose of this paper is to point out the starting points for simplification of administrative 59 procedure. The paper deals with whether it is possible to find any limits that could hinder the 60 simplification of administrative procedure. The paper also mentions whether within the Central 61 European area of the so-called Visegrad (V4) countries it is possible to find a certain unifying 62 approach in the simplification of administrative procedure, as well as whether and how such 63 simplification has taken place in Poland, the Czech Republic, Hungary and Slovakia. The reason 64 leading to a possible comparison of these countries is given partly by the common legal 65 development, as well as the proximity of legal regulations and approaches to solving identical legal 66 issues.

67 The paper first focuses on the approach and definition of administrative procedure, as provided 68 by the theory and current legislation of Central European (i.e. V4) countries. Administrative 69 procedure is based on common traditions. If the theory of V4 countries on matters of administrative 70 procedure is similar, then we can assume the same on possible simplifications in administrative 71 procedure. In the second part of the paper, we focus on why it is appropriate to consider the 72 simplification of administrative procedure and whether administrative procedure is a suitable 73 platform for simplification. The question is in whose favor the simplification of administrative 74 procedure should be. The third part of the paper focuses on the possible limits of simplification of 75 administrative procedure and its possible limits. The fourth part will explain how the legal 76 regulation of the V4 countries approaches the simplification of administrative procedure and 77 whether the approaches in the simplification of administrative procedure are similar. In the last part 78 we deal with a summary of these issues and various approaches tackling their solution.

Aside from our contribution, we intentionally leave the broader European context on the Administrative Procedure Code, administrative procedure and possible simplification. This paper aims to bring the simplification of administrative procedure closer from a comparative point of view in the case of the V4 countries.

83 2. Results of Simplifications in V4 Countries

84 2.1. Nature and Legal Framework of Administrative Procedure

Administrative procedure is a procedure of an administrative body, in cooperation with its participants, which decides on their rights and obligations. The result of administrative procedure is an administrative decision. Administrative procedure is always conducted on a very specific matter with a clearly defined circle of participants (Potěšil et al. 2020; Skulová et al. 2017; Vrabko et al. 2019).

Administrative procedure is traditionally regulated in a procedural act, which is usually referred to as the "Administrative (Procedure) Code" [there are a number of possible terms used and associated abbreviations, such as CAP (Code of Administrative Procedure), GALA (General Administrative Law Act), APA (Administrative Procedure Act)]. The Administrative Procedure Code has the nature of a *lex generalis*. The Administrative Procedure Code generally regulates administrative procedure that is universally applicable. Individual special laws (*lex specialis*) may introduce a more or less deviating regime from the general regulation in the Administrative 96 Procedure Code and for various administrative procedures. This practice is observed (not only) in
97 Poland where more than 200 statutes modify the general course of administrative proceeding (Piątek
98 2017).

99 Until the 1920s, administrative procedure was not regulated in a general and unified form in an 100 Administrative Procedural Code. On the contrary, various provisions were scattered in the 101 regulations, some of which were not even of the nature of legal regulations. This unsatisfactory 102 situation has been the subject of frequent criticism since the beginning of the allocation of 103 competences to administrative authorities in the 19th century (Čížek 1888; Pražák 1905). Literature 104 has pointed out that it is difficult to know a number of regulations for which it is often unknown 105 whether they remain valid. This concerned in particular the period before and after the 106 disintegration of Austria-Hungary. At this time, administrative courts played a crucial role 107 (Horáková and Tomoszková 2011). Their case law has often replaced the absence of legislation and 108 the absence of basic rules of procedure (Zumbini 2019). From the point of view of the examined 109 countries, it was primarily the case law of the Administrative Court in Vienna (the so called 110 "October Act" Act No. 36/1876 Coll., that had introduced administrative justice and had established 111 this administrative court) for the Czech Republic, the Slovak Republic and partly for Poland 112 (Olechowski 2018) and from 1897 on the Hungarian Royal Administrative Court in Budapest for 113 Hungary (Rozsnyai 2018).

114 This historical excursion raises the question whether the existence of the general rules of 115 administrative procedure is an advantage for administrative procedure and if it represents 116 simplification. With regard to the requirements of the principle of legality, protection of the rights of 117 the parties to the procedure, as well as predictability of law, an affirmative answer can be given that 118 the Administrative Procedure Code is an advantage. Similarly, the Administrative Procedure Code 119 and the general codification of administrative procedure contained therein are themselves a 120 substantial simplification.

121 The Polish administrative procedure is traditionally understood as an organized sequence of 122 procedural activities, which form an organized cycle aimed at achieving a specific goal of the 123 procedure (Hauser and Piatek 2017). The main goal of administrative procedure is to issue a decision 124 that will create the rights or obligations of the parties to these procedure, which may be also settled 125 silently. The participants of these procedure mainly are the parties to the procedure, which is 126 understood as entities whose legal interest or obligation relates to the subject of procedure. The 127 procedure is usually two-instance. The Polish Code of Administrative Procedure ("Polish CAP"; Act 128 from 14th of June 1960 - Code of Administrative Procedure) has been amended many times and is 129 now an extensive legal act with almost 300 articles. However, compared to the Polish Code of Civil 130 Procedure or the Code of Criminal Procedure, it is considered as a synthetic act in the doctrine. A 131 feature of the Polish legislature is its casuistic nature. This usually results in the spaciousness (or 132 even verbosity) of legal act including codes.

133 The Slovak Administrative Procedure Code ["Slovak CAP"; Act No. 71/1967 Coll. on 134 administrative procedure (Administrative Procedure Code) as amended. Given the common 135 statehood with the Czech Republic, this code stipulated administrative procedure in the Czech 136 Republic too. This lasted up until 1 January 2006 when the new Administrative Procedure Code 137 came into force in the Czech Republic] defines administrative procedure in Article 1(1). Pursuant to 138 this article, Slovak CAP applies to procedure in the field of public administration in which 139 administrative bodies decide on the rights, interests protected by law or obligations of natural 140 persons and legal persons unless a special act provides otherwise. The result of the procedure is a 141 decision which changes the legal status of a person, i.e. it changes the range of rights, interests 142 protected by law or obligations of the person (Košičiarová 2012). Unlike CAPs of other V4 countries, 143 Slovak CAP is a set of general rules where all special acts stipulate exceptions to general rules 144 (mainly competence of the administrative body, i.e. which administrative body will carry out the 145 procedure). Since Slovak CAP is a set of general rules within 85 articles, this Code is not casuistic in 146 its form.

147 The Czech Administrative Procedure Code ("Czech CAP"; Act No. 500/2004 Coll., entered into 148 force on 1 January 2006) defines administrative procedure in Article 9. It follows that administrative 149 procedure consists of authoritative decisioning on the rights and obligations of individuals. The 150 result of the administrative procedure is an administrative decision. Administrative procedure 151 represents the core of the Czech CAP. The regulation of administrative procedure is comprehensive. 152 And covers 143 provisions, which is more than 75% of the total content of the Czech CAP. The 153 subsidiarity of the Czech CAP follows from its Article 1(2) and is used in administrative procedure 154 in relation to other special laws.

155 Contrary to this basic position, in Hungary the general rules are – as a Hungarian specificity – 156 based on the principle of the primacy of general rules; Art 8 (2): "Laws governing administrative 157 authority procedures not listed under paragraph (1) may only derogate from the provisions of this Act if 158 permitted by this Act." (Barabás 2018). The clinging to this unrealistic principle finally resulted in a 159 hollowed-out set of general rules backed up by numerous subsidiary rules. The newest code, Act 160 No. CL of 2016 on the General Order of Administrative Procedure ("Hungarian CAP") contains 144 161 articles. The aim of this codification was to have a significantly shorter code than the previous one 162 (Act No. CXL of 2004, with 174 articles). This was only partly achieved by omitting some guarantees 163 and by transposing regulation to separate codes (like Act No. CXXV of 2017 on administrative 164 sanctions). The first CAP contained only 98 articles. The central notion of administrative case is 165 substantially the same as in the first Hungarian CAP entered into force in 1958: "a case means the 166 process in which the authority in making its decision, establishes the rights or obligations of the party, 167 adjudicates his legal dispute, establishes his violation of rights, verifies a fact, status or data, or operates a 168 register, as well as enforces decisions concerning these."

1	<u> </u>
	69
	02

Table 1. Codes of Administrative Procedure of the V4 countries.

Information About Codes States	Adoption of Actual (First) codification	Official/Original Number of Provisions	Definition of Administrative Procedure (Art.)	Similar Definition of Administrative Procedure
Poland	1960 (1928)	269	1	Yes
Slovakia	1967 (1928)	85	1(2)	Yes
Czech Republic	2004 (1928)	184	9	Yes
Hungary	2016 (1957)	144	7(2)	Yes

170 The Administrative Procedure Codes of the V4 countries, in accordance with theory, define 171 administrative procedure similarly. At the latest they were adopted in the 1960s and remained (with 172 amendments - Polish CAP and Slovak CAP) or were replaced by the new regulation mostly after 173 2004 (Czech CAP and Hungarian CAP). The level of detail of administrative procedure contained in 174 the Codes also coincides. With the exception of the Slovak CAP, the Codes of the V4 countries are 175 relatively extensive and casuistic. Also this leads to the length of the administrative procedure and 176 to these negative consequences. From that reason is fully justified the idea of possible simplification 177 of administrative procedure. On the other hand, unfortunately the theory of administrative law of 178 the V4 countries does not deal with the issue of possible simplifications in a very detailed way.

179 2.2. Simplification of Administrative Procedures - Cui Bono?

180 Almost 100 years have passed since the first adjustments to the administrative procedure 181 contained in the first codifications. The legal regulation of administrative procedure since that time 182 becomes more extensive and is relatively detailed. Administrative procedure is a procedure that takes into account the participation of participants and is applied very often. This leads to legitimate considerations as to whether it would not be appropriate to simplify the administrative procedure.

186 In view of the fact that the administrative procedure meets a wide range of persons, it is 187 necessary to make a request that the administrative procedure be arranged clearly and that its legal 188 regulation is comprehensible (not only) for the participants.

189 The simplification of administrative procedure can also be achieved by making its legal 190 regulation more "transparent". Speed and economy of the procedure can be associated with the 191 clarity and comprehensibility of the legal regulation of administrative procedure. From the point of 192 view of the administrative procedure and its possible simplification, it should be emphasized that 193 the aim of the procedure is not to conduct the administrative procedure itself, but to issue a decision.

194 First of all, the question is whether the administrative procedure can be simplified without 195 changing its legislation in any way. Simplification would then consist in changing the current habits, 196 practices and attitudes of administrative bodies as well as participants. In case of administrative 197 bodies, this option is possible. It would be associated with the need to make organizational and 198 personnel changes, as well as a series of training and general education. An easy simplification could 199 be when officials will be fully aware that they conduct administrative procedure in which its 200 participants are often waiting for an administrative decision. It is also important that the officials 201 communicate sufficiently with the participants in such a way that they understand each other. The 202 issue of misunderstanding was dealt with by the Czech Supreme Administrative Court (in its 203 judgment of 11 September 2008, file no. No. 1 As 30/2008, No. 1746/2009 Coll. NSS.), the Supreme 204 Administrative Court stated that the "addressees" in the field of public administration are for the most part 205 legal laymen, who cannot be required to formulate their applications quite pregnantly and name things with 206 exact legal terms, or even cite precise legal provisions in applications. In the exercise of public power, 207 administrative authorities must accept the use of common non-professional language by users of public 208 administration. If the terms of common language are insufficient, giving rise to legal ambiguity from the point 209 of view of the administrative authority, the administrative authority must invite the applicant to specify the 210 content of the application and explain why clarification is necessary.".

211 Another question is whether administrative procedure can be simplified without any changes 212 in legal regulation of the administrative justice, which subsequently reviews administrative 213 procedure and the issued administrative decision. It follows from international [Article 6 (1) of the 214 Convention for the Protection of Human Rights and Fundamental Freedoms, or the 215 Recommendation of the Committee of Ministers of the Council of Europe (20) 2004 on judicial 216 review of administrative acts] and constitutional [See Article 36(2) of the Czech Charter of 217 Fundamental Rights and Freedoms; Article 184 of the Polish Constitution from 2nd April 1997 218 (Journal of Laws 1997, Nb 78, item 483 as am.); Article XXVIII. (7) of the Basic Law of Hungary and 219 Article 46 (2) of Constitution of Slovak Republic] requirements that administrative procedure subject 220 to subsequent review by independent courts through the issued administrative decision. It is quite 221 evident that proceedings before administrative courts affect administrative procedure. First, by the 222 existence of case-law and the requirements for administrative procedure and administrative bodies 223 expressed in it, but also by the fact that administrative courts may annul administrative decisions 224 and return cases back (with the binding opinion of the administrative court) to administrative bodies 225 for a repeated administrative procedure. Ideally, changes in administrative procedure should be 226 combined with changes in proceedings before administrative courts. Such simplification is not very 227 valuable, as the administrative procedure will be fast, but the subsequent judicial review in 228 administrative justice will take many years, which is the reality in the V4 countries.

Simplification could be done comprehensively or in the form of simplifying alternative
 solutions and approaches to administrative procedure. These simplifications would be applicable in
 specific cases.

In the case of simplification of administrative procedure, different views and expectations are given. These may come from participants in administrative procedure, from administrative authorities, from administrative courts and from the public.

6 of 12

235 From the point of view of a participant in administrative procedure, administrative procedure 236 is not so important as the administrative decision that results from it. In this case, the simplification 237 of the administrative procedure would involve it being as quick as possible and only as burdensome 238 as necessary/ the least oppressive for the party.

239 The view of an administrative body on the simplification of administrative procedure may be 240 influenced by the idea that it is the participant who "complicate" its procedure and intentions by 241 exercising procedural rights. Thus administrative bodies would simplify the administrative 242 procedure as much as possible. Quite often, administrative procedure is conducted by persons 243 without legal or comparable education. The possible complexity of the administrative procedure 244 adds to various obstructions on the part of the participants in the procedure and their 245 representatives (Potěšil et al. 2019). From this point of view there is more expectations what positives 246 simplification may cause.

247 Administrative justice, which reviews administrative procedure and administrative decisions, 248 cannot be overlooked in this regard. The administrative justice can balance the often conflicting 249 views of the administrative procedure that the participants and the administrative bodies have. 250 Unfortunately, administrative procedure is becoming more judicial and more formal. The courts 251 have repeatedly called on the administrative authorities to record all the facts carefully and for their 252 individual procedural steps to be carefully substantiated. In practice, this often means that the 253 administrative decision is not written in a way that is intended for the participants, but in such a way 254 as to satisfy the requirements of the supervising body or the administrative court, which of course 255 requires time for its proper formulation.

256 It is also interesting to view the simplification of administrative procedure through the lense of 257 the public. Administrative procedure is traditionally governed by the principle of non-publicity 258 (Skulová et al. 2017; Vrabko et al. 2019). The public aspect would probably not be an element of 259 simplification, but vice versa. The public may show distrust in the decisions taken, especially if they 260 are not properly communicated and justified. In this respect, the public's view on the simplification 261 of administrative procedure could be that the administrative procedure is becoming more 262 transparent, its outcome predictable and clearly explained.

263 The aspect of simplification of administrative procedure from the point of view of the public is 264 important, e.g., in matters of environmental protection. Rules and conditions for the public (in 265 matters of environmental protection, the public is called "interested public") to participate in 266 administrative procedure must be clear. This arises from Convention on Access to Information, 267 Public Participation in Decision-Making and Access to Justice in Environmental Matters (Aarhus 268 Convention). Pursuant to its art. 1 in order to contribute to the protection of the right of every person 269 of present and future generations to live in an environment adequate to his or her health and 270 well-being, each Party shall guarantee the rights of access to information, public participation in 271 decision-making, and access to justice in environmental matters in accordance with the provisions of 272 this Convention.

273 In order for a fluent administrative procedure that has impact on the environment, a state has to 274 ensure transparent and easy measurements for the interested public to participate in such 275 proceedings. Otherwise the proceeding would be prolonged based on unnecessary judicial actions 276 filed by the interested public. Given the general outline of this article, we will discuss whether the 277 legal regulation on interested public and their rights to participate in administrative proceedings 278 and subsequent judicial proceedings can or cannot contribute to simplification of administrative 279 procedure in other paper.

280

2.3. Possible Limits of Simplification of the Administrative Procedure

281 The current form of administrative procedure and its complexity is largely due to the fact that 282 administrative procedure is based on a relatively high standard of protection of the rights of 283 individuals. Any simplification should not be construed as a resignation or abandonment of this 284 standard. The warning for possible simplifications is the risk of seemingly simple solutions. Any

change, including simplification, takes some time to take effect in practice. Nothing will change in ashort time.

The Codes of Administrative Procedure have a framework character, which ensure the possibility of adjusting the single procedure to its nature and subject. That may be the reason for difficulties in amendments focused on general simplifications of procedure.

290 There are several non-legal obstacles that affect simplification of administrative procedure. At 291 the first place, there are technical obstacles which may impede simplification of the administrative 292 procedure (administrative bodies keep administrative files in paper form and not in electronic form, 293 therefore, the administrative procedure can seem archaic and not easily accessible). The actions of 294 administrative bodies in the pandemic clearly unveiled this obstacle. On one hand, the parties to a 295 procedure had limited access to the administrative files given their paper form and direct contact 296 with the officials. On the other hand, the administrative bodies did not always have the possibility of 297 informal communication with the parties, which could have sped up the administrative procedure. 298 The second obstacle of a factual nature may be the officials' habituation to the course of procedural 299 activities, their complexity and formalism.

We can conclude that there are no legal limits that might prevent the simplification of administrative procedure. Public administration is conservative and critical of change. Also from the habit, some examples of simplification may not be used so much. These are also other possible limits that need to be taken into account.

304

2.4. Examples of Administrative Procedure Simplification in V4 Countries

305 As it was noted earlier, most of the changes introduced to the acts creating the shape of 306 administrative procedure were aimed at increasing the efficiency of the procedure itself. One of the 307 ways to achieve this goal is to speed up and simplify the procedure. The purpose of legal procedure 308 (not only administrative, but also judicial) is to resolve an individual case in the shortest time 309 possible while at the same time guaranteeing the result within a fair and legal process. Always when 310 the changes have been introduced to the administrative procedure codes, the legislator had to weigh 311 in two values: the right to obtain a fair decision and the right to hear the case without undue delay. 312 The instruments introduced into the CPA were aimed at enabling the implementation of both of 313 these demands.

314 The Polish CAP contains regulations which are referred to as general principles. Among these 315 partially self-evident principles are also speed and simplicity. According to Art. 12(1) Polish CAP 316 public administration authorities should deal with cases thoroughly and quickly, using the simplest 317 available methods to resolve them. In the Polish CAP, the mechanisms enabling the simplification of 318 the administrative procedure is also present. However, these are rather rights for the parties which 319 can be used (i.a. the possibility of electronic service of letters, resignation from some procedural 320 rights by the party). The simplification of the procedure imposed on the party by the law is much 321 less frequent (i.a. delivery by the public notice in cases with a large number of participants, the 322 administration silent in some cases). In 2017 a special procedure allowing for simplified procedure 323 was introduced (Art. 163b - 163g Polish CAP), which allows for some simplifications during the 324 procedure (i. a. limiting the number of parties to the procedure to the applicant only, possibility of 325 submitting applications in the special form, rule of evidence preclusion, simplification of the 326 justification of the decision, limiting the range of orders issuing during the procedure that may be 327 challenged in the course of the procedure).

328 In case of the Czech Republic, the legal regulation of administrative procedure is the opposite 329 rather than a simplified one. At first sight, there has been an extreme increase in the general legal 330 regulation of administrative procedure in the Czech CAP than in previous legal regulations (comp. 331 with the Slovak one). On the other hand, the case law of administrative justice imposes a large 332 number of requirements, which make administrative procedure more complex and bureaucratic. 333 This approach is also reflected in the legislation itself, which does not provide for simplistic 334 approaches. However, in the current legislation, it is possible to find institutes for which 335 simplification could take place. We can think about rights for the parties which can be used (i.a. the 336 possibility of electronic delivery, resignation from many procedural rights by the party, inclunding 337 appeal). The simplification of the procedure imposed on the party by the law is much less frequent 338 (i.a. delivery by the public notice in cases with a large number of participants). There is no 339 comprehensive legal regulation in the Czech Republic to simplify the administrative procedure. 340 However, as was mentioned, there are several institutions, which can be regarded as tools for 341 simplifying administrative procedure. Majority of them (the simplified decision, as well as the 342 possibility of the deciding authority to take back/alter its decision upon the appeal) were known 343 before this legal regulation and are still used. The most important one - public law contract - that 344 may replace administrative procedure and administrative decision is usually regarded as a 345 dangerous tool due to its corruption threats. We can conclude that examples of simplifications are 346 spread in the Czech CAP and do not differ from others examples. In addition to the Czech CAP, it is 347 possible to find special legal regulations that introduce simplifying procedures in administrative 348 procedure, such as e.g. (transport) infrastructure. The problem, however, is that these simplifications 349 are based on shortening the time limits that can be encountered in the proceedings (eg for both 350 decisions and appeals).

In Hungary, we can divide the question of the simplification of administrative procedure into
several phases. This is due to the fact that public policy goals have changed significantly after 2010.
We will thus handle the single eras separately.

354 Paradox as it may sound, the Hungarian CPA of 1957 was a compact Code with simple text, 355 which also guaranteed numerous rights for the party. The reasons for this are twofold: on the one 356 hand, most of the clerks working with the CPA did not have a legal education, nor another 357 university degree, so the regulations had to be very plain and easy-to-understand. On the other 358 hand, in the more important procedures (like on planting and issuing other permits for economic 359 activities) the party was the state and its entities (state enterprises mostly), so the legislator was 360 directly interested in creating simple and quick, but also party-friendly administrative procedures. 361 The integration of procedures, the possibility of the waiver or withdrawal of appeal, the simplified 362 decision, as well as the possibility of the deciding authority to take back/alter its decision upon the 363 appeal were all institutions used already by this CPA made in one of the darkest times of socialism. 364 So there was no real need for a new CPA, there were three decisions of the constitutional court upon 365 which the problems regarding legal protection were settled easily. However, in 2004 a new code was 366 codified, the adjustment in it to some European tendencies partly were tools of simplification, too. 367 Here we can list the creation of a procedure for public participation, including the institution of the 368 mediator for authoritative cases and the public consultation, as well as the institution of the 369 authoritative contract. The law of the European Union also required the simplification of some 370 institutions and procedures. The transposition of the Service Directive led to the introduction of 371 one-stop-shops, the increasing possibility of silent decision-making (positive silence of 372 administration) as well as to the replacement of permissions with duties to notify the authority 373 (Rozsnyai 2008). The big planting procedures also obtained a special simplified regulation, mostly 374 shorter time limits and shifting first instance decisions to supervisory authorities as well as omitting 375 appeals.

376 After 2010, a new rhetoric made its way and in the course of the government program for the 377 "reduction of overhead" (Kovács and F. Rozsnyai 2019), the cutting back of bureaucracy, not only 378 the red tape, but also the administrative burdens of citizens was declared a major policy goal. This 379 resulted in different institutions, from which we can regard the new threefold system of procedures 380 as a simplification (Rozsnyai and Hoffman 2020). The real "simplification" was a heavy process of 381 centralization (Fazekas, Hoffman and Rozsnyai 2016) which led on the one hand to less 382 administrative bodies, thus less need of integration of procedures and less inner-administrative 383 communication, and on the other hand through the decrease of instances also to the abolition of the 384 appellate procedure. The pandemic brought about a new wave of simplification and practically 385 erased permissions and gave way to a so-called controlled notification in vast areas of public 386 administration (Hoffman and Balázs 2020) - again an adjustment of procedural law to the scarcity of 387 personnel communicated as a simplification.

Slovak CPA came into force almost 53 years ago (January 1st, 1968). Since then, only 11 amendments of this act has come into force with only one being a complex one. The rest of them only partially amended several provisions of CPA. Unlike Polish CPA, Slovak CPA does not stipulate any special provisions on simplifications of administrative procedure. Slovak CPA stipulates only various legal institutes that tackle this issue. They are summarized in the table below.

The reason for the Slovak CPA to be still in force, even after 53 years, is that no Slovak government has ever identified itself with the idea of a new CPA. Given the fact that CPA's provisions are quite general, the legal practice does not indicate any motions that would call for adoption of a new legal regulation. Despite this fact, some 20 years ago, a draft of a new CPA was introduced, however this draft has never been officially introduced by any minister (as a member of the government) and it never made it to a form of a bill.

As mentioned, only several provisions of Slovak CPA tackle issue of simplification of
 administrative procedure. However, none of the provision look at the issue of simplification from a
 general perspective, they present rather partial views.

402

 Table 1. Examples of Possible Simplifications in the CAP of the V4 Countries - Methods.

Method of Simplification	Polish CAP	Slovakian CAP	Czech CAP	Hungarian CAP
The principle of speed and minimalization of interventions	Yes	Yes	Yes	Yes

403

Table 2. Examples of Possible Simplifications in the CAP of the V4 Countries – Legal Instruments.

1	of Fossible Shirphine		in the vir countries	Zegai motramentor
Legal instruments leading to simplification	Polish CAP	Slovakian CAP	Czech CAP	Hungarian CAP
Electronic delivery and delivery to data (electronic) boxes	Yes (on the demand of the party)	Yes	Yes	Partially, according to a special act
Delivery by the public notice	Yes	Yes	Yes	Yes
Procedure with a large number of participants	Yes	No	Yes	No
The possibility of waiving certain procedural rights (incl. appeal)	No	Yes	Yes	Yes

 Table 3. Examples of Possible Simplifications in the CAP of the V4 Countries - Administrative

Decision.

Simplifications in Administrative Decision	Polish CAP	Slovakian CAP	Czech CAP	Hungarian CAP
Simplified Decision (without reasoning)	Yes	Yes	Yes	Yes
Public law contract (that may replace decision)	No	No	Yes	No

406

Table 4. Examples of Possible Simplifications in the CAP of the V4 Countries - Remedies.

Simplifications in Remedies	Polish CAP	Slovakian CAP	Czech CAP	Hungarian CAP
Self-review of the first level decision	Yes	Yes	Yes	Yes

407 3. Discussion

408 On the question whether simplification is appropriate in the area of administrative procedure, 409 we can conclude that yes. As was mentioned, administrative procedure is a frequent way for 410 individuals to come into contact with the public administration. At the same time, they have 411 expectations of rapid and as informal solution as possible. In practice, however, this is often not the 412 case. In the V4 countries, one of the biggest problems is the length of administrative procedure.

If we asked whether the existence of the general legal regulation itself could already represent a certain simplification of the administrative procedure, we can answer positive here too. The past shows that the absence of unifying and general rules is a disadvantage for administrative procedure. Persons who conduct administrative procedure on behalf of an administrative body often do not have the necessary legal training. For (and not only) them is the existence of general legislation is an advantage. At the same time, however, this requires a proper understanding of the relationship *lex specialis derogat lex generalis* as well as orientation in the relevant legislation.

Another question is what the content of the Code of Administrative Procedure is. The administrative procedure rules of the V4 countries, with the possible exception of Slovakia, are relatively extensive and detailed, although they used to be shorter. However, this creates space for possible simplification of legislation, respectively adoption of simplification elements. As Hungarian changes show: the abolition of legal regulations does not lead to simplification but to even bigger complexity, as actors do not know to which rules they should adjust their acts and behaviour – it only leads to legal uncertainty.

427 On the example of the administrative procedure of the V4 countries and examples of their 428 possible simplification approaches, it is quite evident that the legal regulations are moving in a 429 similar direction. This justifies the idea of comparison.

In this paper, we looked at whether there are any limits that could be associated with the simplification of administrative procedure. We have come to the conclusion that any simplification of administrative procedure should always respect the requirement of protection of the public

interest, as well as the achieved standard of protection of (procedural) rights in procedure. There arebasically no other legal limits, so the only restrictions are rather factual in nature.

It is clear from the examples of simplification procedures of the V4 countries that they are primarily aimed at speeding up administrative procedure. We believe that ADR measures that are not based on traditional unilateral and sovereign practices that are otherwise typical of public administration could have some potential for simplification. In this respect in particular, it is an institute of public law contracts, which may have this nature. However, experience from their application in the Czech Republic shows a rather cautious approach.

With the exception of the Polish CPA, we will not find any comprehensive or complex approach to the issue of simplifying administrative procedure in the administrative regulations of the V4 countries, although the Polish CPA is not completely exhaustive. We can find many provisions in the individual administrative regulations that have simplifying potential, but mostly is made on shortening time limit.

446 We believe that an element of simplification could be changes in the perception of 447 administrative procedure, abandoning legally formalistic and prudent approaches, without having 448 to change the legislation in any way. Examples of the simplification of administrative procedure in 449 the V4 countries, which are included in their administrative rules, consist mostly in the possibility of 450 waiving a number of procedural rights and in the electronic method of delivery of different 451 documents. In this, it is evident that the benefits of technological progress and the application of new 452 and modern technologies can contribute to simplification, as the COVID-19 pandemic has shown in 453 many fields.

An important element of simplification is the existence of a simplified decision, often without a detailed and comprehensive justification, or an element of "self remedy", which, however, is not used very often.

We are of the opinion that the following facts will need to be taken into account in the eventual simplification of administrative procedure. First of all, it is a requirement for an "online" form. At the same time, the often-argued argument that "the law only delays" must be rejected. In addition, a thorough revision of hundreds of special laws as to whether and to what extent deviating from the Code of Administrative Procedure is still justified and can be considered an element of simplification.

463 Overall, we are of the opinion that the current legislation on simplification of administrative 464 procedure is not sufficient. Within the framework of possible simplification approaches, the 465 legislator should primarily focus on the use of e-government and new technologies and their 466 application in administrative procedure.

467 **Funding:** This research was funded by VISEGRAD FUND, grant number 21910091.

468 **Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the

469 study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to

470 publish the results.

471 References

- 472 (Barabás 2018) Barabás, Gergely. 2018. Ákr. 8. §. Kommentár az általános közigazgatási rendtartáshoz. Edited
 473 by Barabás, G. and Baranyi, B. and Fazekas, M. Budapest: WoltersKluwer, pp. 86-98.
- 474 (Čížek 1888) Čížek, Karel. 1888. Obrys řízení správního. Praha, p. 77
- 475 (Fazekas, Hoffman and F. Rozsnyai 2016) Fazekas, János, Hoffman, István and F. Rozsnyai, Krisztina. 2016.
 476 Concentrating or Centralising Public Services? The Changing Roles of the Hungarian Inter-Municipal 477 Associations in the last Decades. Lex Localis: Journal Of Local Self-government 14: 451-471.
- 478 (Hauser and Piątek 2017) Hauser, Roman, Piątek, Wojciech. 2017. Postępowania administracyjne i sądowoadministracyne z kazusami. Warszawa, p. 21.
- 480 (Hoffman and Balázs 2020) Hoffman, István and Balázs, István. 2020. Közigazgatás koronavírus idején a
 481 közigazgatási jog rezilienciája vagy annak bukása? Közjogi Szemle 13:1-10.
- 482 (Hoffman and Rozsnyai 2020) Hoffman, István and F. Rozsnyai, Krisztina. 2020. New Hungarian Institutions
- 483 Against Administrative Silence: Friends or Foes of the Parties? Studia Iuridica Lublinensia 29: 109-127.

- 484 (Horáková and Tomoszková 2011) Horáková, Monika, Tomoszková, Veronika. 2011. Správní řízení v zemích EU.
 485 Praha: Linde, p. 13.
- 486 (Košičiarová 2012) Košičiarová, Soňa. 2012. Správny poriadok. Komentár. Šamorín: Heuréka, pp. 66-67.
- 487 (Kovács and Rozsnyai 2019) Kovács, András György and F. Rozsnyai, Krisztina, 2019. Price Regulation of
- 488 Public Water Services and the Consequences of its Centralisation for Hungarian Municipalities. Lex Localis:
- 489 Journal Of Local Self-government 17: 819-835.
- 490 (Merkl 1932) Merkl, Adolf. 1932. Obecné správní právo. Díl první. Praha: Orbis, p. 32
- 491 (Olechowski 2018) Olechowski, Thomas. 2018. § 28 Geschichte der Verwaltungsgerichtsbarkeit in Österreich.
 492 Handbuch der Geschichte der Verwaltungsgerichtsbarkeit in Deutschland und Europa. Edited by
 493 Sommermann, Karl-Peter and Schaffarzik, Bert. Berlin-Heidelberg: Springer, pp. 1099-1130
- 494 (Piątek 2017) Piątek, Wojciech. 2017. Odrębności jurysdykcyjnego postępowania administracyjnego. In
 495 Postępowania administracyjne i sądowoadministracyjne z kazusami. Edited by Hauser and Skoczylas.
 496 Warszawa 2017, pp. 537-550.
- 497 (Potěšil et al. 2019) Potěšil, Lukáš et al. Obstrukce v řízení o dopravních prostředcích. 2019. *Právní rozhledy* 11:
 498 393-397.
- 499 (Potěšil et al. 2020) Potěšil, Lukáš et al. 2020. *Správní řád. Komentář.* 2. vydání. Praha: C. H. Beck, pp. 72-75.
- 500 (Pražák 1905) Pražák, Jiří. Rakouské právo veřejné. Rakouské právo správní. Praha, p. 147.
- (Rozsnyai 2008) F. Rozsnyai, Krisztina, 2008. Europäisierung des ungarischen Verwaltungsverfahrensrechts. In:
 Europäisierung des Rechts: Deutsch-Ungarisches Kolloquium Budapest 2007. Edited by Heun, Werner
 and Lipp, Volker. Göttingen: Universitätsverlag, pp. 199-211.
- (Rozsnyai 2018) F. Rozsnyai, Krisztina. 2018. § 43 Geschichte der Verwaltungsgerichtsbarkeit in Ungarn.
 Handbuch der Geschichte der Verwaltungsgerichtsbarkeit in Deutschland und Europa. Edited by
 Sommermann, Karl-Peter and Schaffarzik, Bert. Berlin-Heidelberg: Springer, pp. 1570-1576.
- 507 (Skulová et al. 2017) Skulová, Soňa et al. 2017. *Správní právo procesní.* 3. vydání. Plzeň: Aleš Čeněk, pp. 31-35.
- 508 (Vrabko et al. 2019) Vrabko, Marian et al. 2019. Správne právo procesné. Všeobecná časť. Bratislava: C. H. Beck, pp.
 509 42-44.
- (Zumbini 2019) Zumbini, Andrea. 2019 Standards of Judicial Review on Administrative Action developed by
 the Austrian Verwaltungsgerichtshof in the Austro-Hungarian Empire. *The Common core of European Administrative Law*, working papers series nr. 5, issue 1/2019
- **Publisher's Note:** MDPI stays neutral with regard to jurisdictional claims in published maps and institutional
 affiliations.



© 2020 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).

515