

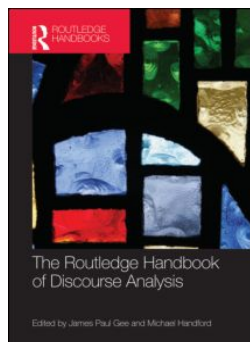
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### Education and bilingualism

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# Education and bilingualism

*Karen Thompson and Kenji Hakuta*

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## Introduction

Given the multilingual contexts in which the majority of the world's population lives, educational systems have in some cases implemented instructional programs using multiple languages, sometimes in response to pressure by activists, sometimes as a means for spreading the national language among language minority groups, and sometimes as part of effort to promote economic competitiveness. After briefly reviewing the links between bilingual education and larger issues of ideology and political discourse, we will describe a variety of frameworks that researchers have used to analyze talk among bilinguals and we will discuss the value that a discourse analysis approach provides. We will then turn to bilingual classrooms specifically and provide an overview of empirical studies that have looked at talk in such classrooms, focusing particularly on code-switching. Finally, we will sketch some directions for future research in which discourse analysis could continue to serve as a tool for deepening our understanding of bilingual education and power relations in multilingual contexts.

## Political discourse and macro-sociolinguistics

The multiracial, multicultural, and multilingual peoples of the United States have long engaged in battles—both literal and metaphorical—about how to balance unity and diversity. Such conflicts are mirrored in many other contexts around the world. One arena in which these battles have been waged is that of contests about the appropriate language of instruction for educating children. In these language wars, individuals have fought over what the balance should be between learning a common, national language and preserving multiple primary languages. Bilingual education is one attempt to balance the need for a common language with the benefits of maintaining individuals' primary languages.

Although bilingual education in the US and around the world is widely perceived as a recent phenomenon, its history dates back centuries. In the United States, for example, Ohio was the first state to officially adopt a bilingual education law. In 1839 the state legislature explicitly authorized bilingual education in German and English at parents' request (Sanchez and Sanchez, 2008). While German was the second most commonly used language of instruction in the nineteenth century (after English), children were also schooled in French, Dutch, and Spanish among other languages (U.S. Department of Education, 1991).

Much has been written about different models of bilingual education (see Romaine, 1995; García, 1997). Despite the sometimes bewildering proliferation of labels for these models, at the most basic level bilingual education simply refers to the use of two languages for classroom

instruction. Bilingual programs differ on a variety of dimensions. First, they differ in the composition of their student population, some programs enrolling only speakers of the minority language, some enrolling only speakers of the majority language, and others enrolling some combination of the two. Programs also differ in the amount of time and the purposes for which each language is used. Some programs devote 50 percent of instructional time to each language throughout all grade levels, while others devote a decreasing amount of instructional time to the minority language over time. Programs also differ in their overall goals, some viewing the minority language as a temporary bridge for learning the majority language while others aim for the full development of both languages. These different goals for bilingual education programs often reflect different underlying language ideologies.

In countries with substantial immigrant populations, struggles around bilingual education closely parallel struggles around immigrant rights. Bilingual education typically becomes more common during upswings in immigration, but resistance to it also tends to peak during these upswings. In the United States, the multilingual reality of the nation's peoples stands in stark contrast to a political discourse that emphasizes English monolingualism. For example, President Theodore Roosevelt, during a time of heavy immigration from southern and eastern Europe, stated: "We have one language here, and that is the English language, and we intend to see that the [assimilation] crucible turns our people out as Americans, of American nationality, and not as dwellers of a polyglot boarding house" (Roosevelt, 1919). Thirty states currently have policies declaring English their official language (U.S. English, 2009), yet federal and state laws mandate that many government documents, such as voter guides, be printed in multiple languages.

Since 1970, immigration from Latin America and Asia to the United States has increased dramatically (Terrazas and Batalovo, 2009), with a corresponding increase in attempts to formalize the dominance of English in the public sphere. Three states with large Spanish-speaking immigrant populations passed propositions severely restricting bilingual education programs—California in 1998, Arizona in 2000, and Massachusetts in 2002. The percentage of non-native English speakers who participate in bilingual programs in California has dropped from approximately 30 percent prior to the proposition's passage to less than 5 percent today (authors' calculations, based on California Department of Education DataQuest statistics). Yet similar English-only propositions have failed in two other states—Colorado in 2002 and Oregon in 2008. The tension between the long-standing emphasis on English monolingualism and the multilingual reality of the nation continues to play out at the ballot box as voters are asked to decide questions of language policy.

Many other countries around the globe are also engaged in debates to determine the language(s) in which their linguistically diverse populations should be educated. Consider the case of Guatemala. In this country of 12.7 million people that has Spanish as its official language, 24 languages are spoken, 21 of which belong to the Mayan linguistic family (López, 2006). Approximately 40 percent of the population speaks a Mayan language, though only 13.5 percent of the population is monolingual in one of these languages. The Mayan population has historically had lower literacy rates, worse health outcomes, and higher rates of poverty than the non-indigenous population. López (2006) describes these disparities in extreme terms: "The Guatemalan governmental neglect of indigenous children and adolescents was an outcome of a hierarchically and racially structured society, which in many cases resembled Apartheid" (p. 240).

In 1979 experiments with bilingual education began in Guatemala: the government established ten schools in each of the four most commonly spoken Mayan languages (Kiche, Mam, Kachiquel, and Qeqchi). These schools used students' primary Mayan language as the medium of instruction in the earliest grades while gradually increasing the amount of instruction conducted in Spanish in later grades (Dutcher, 2004). After early evaluation studies showed better educational outcomes for students in the bilingual schools than in traditional Spanish-language schools, the

number of bilingual schools expanded, as did the number of languages in which bilingual education was provided. By 1999, these bilingual schools were “providing instruction in 14 languages for 230,000 rural children in 1200 schools” (Dutcher, 2004: 5). Meanwhile, Guatemala’s three-decades-long civil war came to an end in 1996, and a variety of laws, passed during this time period, increased rights of the country’s indigenous population, including linguistic rights. The National Language Act of 2003, while declaring Spanish the official language, provided not only recognition of indigenous languages, but advocated their use in education as well as in other spheres. The law states, “[t]he national education system, both public and private, must foster in all processes, modalities, and levels, the respect, promotion, development, and use of the Mayan, Garifuna, and Xinka languages, conforming to the particulars of each linguistic community” (El Decreto Número 19–2003, 2003, authors’ translation).

Despite the expansion of bilingual education in Guatemala, a shortage of qualified teachers and a lack of appropriate curriculum materials have plagued bilingual schools in the country (Dutcher, 2004; López, 2006). Furthermore, leaders within some indigenous communities found fault with the form that bilingual education took in these government-operated schools. As one indigenous leader stated: “We are against a model of development that misinterprets our thoughts and knowledge, a model which only pursues economic accumulation as well as assimilation into the hegemonic Western way of life” (López, 2006: 254). Thus, in recent years, Mayan communities have established their own network of bilingual schools that teach not only Mayan languages but Mayan history, cosmology, and philosophy. By 2005, 56 such schools existed across Guatemala, striving to develop bilingualism and biculturalism in students rather than using Mayan languages as a bridge to learning Spanish.

India, in contrast, has seen a decreasing use of minority languages in schools across the country, but increased instances in which English and an Indian language serve as the medium of instruction. A 1991 language census identified 216 primary languages with more than 10,000 speakers in India; an additional 900 languages had fewer than 10,000 speakers. Of these languages, the national Constitution recognizes 22 as official languages, and 41 are used in educational settings, down from 81 in 1970 (Mohanty, 2006). As Mohanty states, “[m]ost of the tribal and minority mother tongues have no place in the educational system of India” (p. 268). In 2009, the Indian Parliament passed a landmark Right to Education Act providing for free and compulsory education for all children in India between 6 and 14 years of age, but the Act has come under fire from some quarters because it makes no explicit provisions for educating students in their primary languages (Sarva Shiksha Abhiyan Right to Education Committee, 2009).

This is not to suggest that India’s educational system aims to foster monolingualism. In fact, while instruction in minority languages has been declining in India in recent decades, instruction in English has been increasing. A 1964 decree, still in force, directs Indian schools to teach three languages: (1) the regional or mother tongue; (2) either Hindi or English; and (3) an additional modern Indian language or foreign language. However, as a recent government report found, the three language formula “has not been uniformly implemented across the country,” and issues such as a lack of educational materials in particular languages, a shortage of adequately trained teachers, and the multiplicity of linguistic backgrounds found in many communities have hampered efforts to make mother tongue education available to India’s students (Sarva Shiksha Abhiyan Right to Education Committee, 2009: 30). Today, English (not Hindi) has become the most common second language subject in all states. Furthermore, given the importance of English fluency in the global economic sphere, members of the elite are increasingly sending their children to expensive private schools in which English is the medium of instruction from the earliest grades (Mohanty, 2006).

Across these three contexts—the United States, Guatemala, and India—we see that larger power asymmetries manifest themselves in struggles over linguistic rights and the language(s) in

which schooling is to be conducted. As we turn to examining talk in bilingual classrooms, we must remember that talk in such classrooms is a microcosm in which societal struggles about language and national identity play out.

### Key theoretical perspectives that bear on classroom discourse

Before examining discourse in bilingual classrooms specifically, we will describe models that have been developed for examining discourse in multilingual settings more generally. In 1959, Charles Ferguson proposed an influential framework for such settings. Ferguson noted that, in communities in which distinct varieties of a language co-existed, speakers used particular varieties for particular domains. For example, in Cairo, a professor would likely deliver a university lecture in classical Arabic but discuss the day's events with family members in Egyptian Arabic, listen to a news broadcast in classical Arabic but buy coffee from a local merchant in Egyptian Arabic. Ferguson used the term *diglossia* to describe such communities. In these communities, Ferguson argued, context almost completely determined language variety: "In one set of situations only H [the high or standard variety] is appropriate and in another only L [the low or vernacular variety], with the two sets overlapping only very slightly. ... The social importance of using the right variety in the right situation can hardly be overestimated" (2000/1959: 68).

Many linguists contested Ferguson's claims, arguing that diglossic situations in which two varieties of the same language were dedicated to specific domains within society were actually quite rare. For example, Fishman (2000/1967) argued that multilingual communities could take one of several forms: bilingualism with diglossia, bilingualism without diglossia; diglossia without bilingualism; or neither diglossia nor bilingualism. Fishman also argued that diglossia represented a useful concept for understanding language use at a broad, societal level, but other frameworks were necessary for understanding individuals' language choices within particular interactions. He suggested that the concept of language domains guide this latter type of analysis. Domains such as family, friends, religious institutions, and the government, Fishman explained, "designate the *major clusters of interaction situations that occur in particular multilingual settings*" (p. 93; emphasis in the original), and within particular multilingual communities interactions within particular domains will tend to be carried out in particular languages. Therefore, using domain analysis, individual language use within a particular domain can be compared to overall societal trends for language use within that particular domain, Fishman urged. Despite his emphasis on domains of language use, Fishman did acknowledge that other factors, particularly role relations and topic, might influence language choice, and he urged these factors be considered alongside domain.

From social psychology came another approach that has been influential in analyzing discourse among bilingual speakers. In a series of studies beginning in the 1970s, Howard Giles and his associates demonstrated that speakers had a tendency to accommodate to one another on a variety of dimensions, from rate of speech to phonological features. Giles himself and linguists studying interactions in multilingual communities applied Giles' accommodation theory, as it was named, to explain speakers' language choices in these communities, where speakers tended to converge to what they perceived to be the preferred language of interlocutors by whom they wanted to be liked, but diverged from the preferred language of interlocutors they wanted to resist (Giles *et al.*, 1991). In what could be considered an expansion of the accommodation theory, sociolinguist Douglas Bell (1984) proposed audience design theory, asserting that speakers formulate their utterances on the basis of their perceptions of their audience. In some ways, both Giles' and Bell's theories could be viewed as extensions of Grice's well-known cooperative principle, which, he argued, underlay speakers' pragmatic behavior in interactions: "Make your contribution such as it is required, at the stage at which it occurs, by the accepted purpose or direction of the talk

exchange in which you are engaged” (Grice, 1989: 26). Thus we would expect bilingual speakers to speak in the language that their interlocutor would not only understand but prefer.

Building on the notion of accommodation, Carol Myers-Scotton (1983) proposed yet another model to analyze discourse in multilingual settings, which she termed the “markedness model.” In multilingual communities, Myers-Scotton argued, factors such as domain, topic, and role relations combine to create societal expectations about the language in which a particular interaction will occur; use of this language is unmarked—in other words, expected. However, individual speakers may violate these expectations by choosing to use the marked or unexpected language for all or some portion of a particular interaction. In a revision of Grice’s (1975) cooperative principle, Myers-Scotton proposed that bilingual speakers observed the following principle in their interactions with other bilingual speakers: “Choose the *form* of your conversation contribution such that it indexes the set of rights and obligations which you wish to be in force between speaker and addressee for the current exchange” (1983, cited in Myers-Scotton, 2006: 160; emphasis in the original). If a speaker makes a marked choice, using a language that is unexpected for a particular interaction (if the professor in Cairo mentioned above delivered a lecture in Egyptian Arabic rather than in Classical Arabic, for example), this speaker is signaling a particular set of rights and obligations that he wishes to be in effect for that interaction, Myers-Scotton asserts.

Linguists working within the discourse analysis tradition criticized Ferguson’s framework of diglossia, Fishman’s framework of language domains, Giles’ accommodation theory, and Myers-Scotton’s markedness model as overly deterministic and speculative and argued that it imposed a reified view of social categories, which is removed from the behavioral realities of discourse. Under these frameworks, all talk in classrooms might be considered to fall within a single domain, that of education; researchers might presume a tendency for utterances in bilingual classrooms to be conducted in a particular language and view utterances in another language as marked. Empirical evidence does not support these assumptions. Researchers have found that both Spanish and English are used by teachers and students for a wide variety of purposes in bilingual classrooms in the United States, for example (cf. Legarreta, 1977; Zentella, 1981; Freeman, 1998).

John Gumperz (1982), Li Wei (1994, 1998), and Peter Auer (1998), among others, have argued that actual conversations in bilingual communities should be analyzed in detail, so as to provide information about how bilingual speakers use their linguistic repertoires within particular interactions to wield power, manage interactions, indicate preferences, index identity, and build alliances. As Li Wei (1998) explains, rather than speculating about the motivation for speakers’ language choices and linking these choices to broader societal factors, a discourse analysis approach to analyzing talk in multilingual communities “dispenses with motivational speculation, in favor of an interpretative approach based on detailed, turn-by-turn analysis of language choices” (p. 169). From the fine-grained analysis of conversational turns, discourse analysts can describe the ways that Gee (2005) has termed the “little-d discourses,” or “language-in-use” that operates within multilingual communities generally and bilingual classrooms specifically. Building from this analysis of the “little-d discourses,” then, researchers can describe the “Big D Discourses,” the “ways of acting, interacting, feeling, believing, valuing” (Gee, 2005: 7) operating in these contexts.

Ana Celia Zentella is one example of a sociolinguist who has used discourse analysis to connect talk in bilingual communities to larger social structures and ways of being. In her landmark study *Growing Up Bilingual: Puerto Rican Children in New York*, Zentella (1997) analyzes language samples mainly from seven girls growing up on a particular block, over a period of 14 years. Zentella finds that children in this community do not restrict Spanish and English “to specific settings and/or purposes . . . [Rather] codes are switched by the same speaker in the same setting” (p. 80). While acknowledging that the underlying reasons motivating code-switches are complex and impossible

to determine definitively, Zentella finds that speakers' switches between English and Spanish serve a variety of conversational functions. She categorizes code-switches by conversational strategy and finds switches that coincide with a topic shift, mark a quotation, mitigate or aggravate a request, and clarify or emphasize information, among many other functions. Zentella also analyzes differences in the code-switching behavior of individual speakers on the basis of their age and language preferences. From this analysis, Zentella concludes: "In addition to serving as their badge of membership in *el bloque* [the block], the girls' code switching enabled each one to fulfill crucial communicative functions in ways that joined her to others similar in age or language profile, as well as to construct and display her unique self" (p. 114).

### **Empirical studies of language, power, and code-switching in bilingual education settings**

Up to this point we have discussed various frameworks, including discourse analysis, that have been used to analyze speech in bilingual communities generally. Now we will move to a discussion of work that analyzes discourse in bilingual classrooms specifically. Basic questions about the implementation of bilingual education programs in the United States motivated an early wave of research analyzing talk in bilingual classrooms during the 1970s. With the passage of the Bilingual Education Act in 1968, which provided federal funds for districts to establish bilingual programs, such programs began to spring up around the United States in areas with substantial immigrant populations. Researchers investigated basic questions about the balance of Spanish and English use by teachers and students in these new bilingual classrooms. For example, Legarreta (1977) analyzed Spanish and English use in six bilingual kindergarten classrooms in California, finding that language use varied by type of bilingual program. Although all programs had a goal of 50 percent Spanish and 50 percent English use, in programs using a concurrent translation model, in which material was presented alternately in each language, teachers used English approximately 70 percent of the time and native Spanish-speaking students seemed to mirror teachers' language choices, also using English approximately 70 percent of the time. In these classrooms English dominated teachers' language use across a variety of functions, including directing students, evaluating and elaborating students' responses, and correcting students. However, in one classroom using an alternate days model, in which Spanish was used for the entire morning and English for the entire afternoon one day and English for the morning and Spanish for the afternoon on the following day, there was greater parity in both teacher and student language use. Nonetheless, Legarreta concluded that, in most classrooms, "[d]espite a sincere and conscious commitment to bilingual teaching by the teachers/aides, they seem overwhelmed by the pull of the dominant language and the dominant culture, with the result that English again becomes the classroom language" (1977, p. 15).

In another early study of language use in bilingual classrooms, Zentella (1981) analyzed the language choices of two native Spanish-speaking teachers and their students in a third and sixth grade bilingual classroom in New York City. Zentella made audio-recordings of classroom interactions and conducted interviews with the teachers and their students. As Legarreta's (1977) work might lead us to predict, Zentella found that teachers' language choices exerted considerable influence over students' language choices. "Despite the often unpredictable changes in the teachers' language, children in both grades usually responded in the language in which they were addressed during formal sessions, particularly the younger ones," Zentella wrote (p. 119). Instances in which a student responded in a different language from that used by the teacher "usually reflected [the student's] language proficiency and, especially with sixth graders, their degree of linguistic security and language preference" (p. 120). Despite similarities in patterns of

language choice across the two classrooms, Zentella noted that the third grade teacher was much more likely to code-switch, using both English and Spanish within a single conversational turn, than the sixth grade teacher. However, Zentella concluded, “[s]ince these teachers differ in language dominance, teaching style, and personality variables, it is difficult to attribute the differences in their patterns to anything but a complex configuration of variables” (p. 129). And, although bilingualism is the goal for students in the bilingual program, Zentella noted that English seemed to “dominate school life” (p. 112).

A separate strand of research has investigated how teachers’ language choices impact students’ content-area learning. For example, Setati and Adler (2000) analyzed teachers’ language use during mathematics instruction in multilingual classrooms in both urban and rural areas of South Africa. In these classrooms, English was the principal language of instruction, but Setati and Adler documented numerous instances in which teachers code-switched into students’ native languages for a variety of purposes such as to provide translations for certain unfamiliar vocabulary words, to clarify a concept, or to press students to elaborate their thinking. Nonetheless, teachers felt conflicted about how much to use students’ native language(s) and how much to use English:

On the one hand as teachers they needed to switch languages in order to reformulate a question or instruction, or to reexplain a concept, and they needed to encourage their learners to use their main [native] language in order to facilitate communication and understanding. At the same time however, it was their responsibility to induct their learners into mathematical English and hence it was important to use English in the mathematics classroom as much as possible.

*(Setati and Adler, 2000: 255)*

Teachers in rural areas felt particular pressure to use English because, unlike students in urban areas, their students were unlikely to be exposed to any English outside of school.

Contemporary researchers using discourse analysis to analyze interactions in bilingual classrooms in the United States have also noted the pull that English exerts, despite teachers’ stated intentions. Freeman (1998) spent two years as a participant–observer at a dual-language elementary school in the Washington DC area, recording classroom interactions and conducting open-ended interviews with a variety of stakeholders. Dual-language programs enroll native speakers of two different primary languages, in this case Spanish and English, and aim to develop students’ fluency in both. Freeman found that “observations of the students talking informally among themselves ... at lunch or at recess or during Storywriting time in kindergarten, ... suggest that the students attribute more prestige to English than Spanish, despite the ideal that these languages be distributed and evaluated equally throughout the school” (1998, p. 197). Through a fine-grained analysis of transcripts from a particular “opening” activity in a kindergarten classroom, Freeman demonstrates one way in which teachers contribute to messages about the status of Spanish and English. Although the “opening” activity was carried out in Spanish and English on alternating days, more sophisticated contributions were required of students on days when the activity was conducted in English. During the “opening” activity, the teacher always led the students in a song and then guided them in completing a variety of statements about the date and the number of students present. Compare the written statements the students were asked to complete when the activity took place in English and when it took place in Spanish:

English	Spanish
Today is _____	Hoy es _____
	<i>(today is)</i>



- We have \_\_\_ girls      las niñas  
   (*the girls*)
- We have \_\_\_ boys      los niños  
   (*the boys*)
- We have \_\_\_ students    los estudiantes  
   (*the students*).

(Freeman, 1998: 197)

Although the format is almost identical in both languages, the English version requires students to complete full sentences, while the last three items in the Spanish version of the activity contain phrases rather than full sentences. This pattern of more skills being required of students in the English version of the activity plays out in other ways, as well. The teachers ask students to supply spelling information about the day of the week in English but not in Spanish, for example. Freeman concludes: “The kindergarten analysis ... provides concrete evidence of a more general pattern that I observed throughout the school. Although students are expected to develop academic skills in both Spanish and English, there are higher standards in English” (1998: 209).

Palmer (2008) also served as a participant-observer in a dual-immersion classroom and found evidence of the pull that English exerts, despite teachers’ intentions. Reflecting on her own experience as a teacher in a dual-immersion classroom, Palmer writes: “in my own classroom Spanish was not as high status a language as English. Despite my daily efforts to use exclusively Spanish when expected, to discuss with students the value of knowing the language, and even to openly reward use of Spanish, I was daily challenged by students refusing to respond to me in Spanish, even though I knew they were capable” (p. 650). Palmer explicitly chose to collect data in the classroom of a teacher who seemed “able to strike a more equitable linguistic balance in her classroom” (p. 651). Through discourse analysis, Palmer provides evidence of ways in which this teacher explicitly communicates the value she places on the contributions of her native Spanish-speaking students. When students have gathered in a circle to read essays they each have written in response to a novel, the teacher interrupts the first reader, a native Spanish speaker who is reading very softly, saying: “‘Perdón la interrupción pero no escucho’ (*pardon the interruption but I cannot hear you well*). This sends a clear message to the entire class: it is not only important to have the chance to read your own essay, but also to have the chance to hear your classmates’ essays” (p. 658). When the student still cannot be understood clearly, another-native Spanish speaker interrupts him, repeating the teachers’ words. Later in the activity, a native English speaker interrupts a native Spanish speaker, using a variation of the teacher’s original phrase.

However, Palmer concludes that the linguistic parity that the teacher seems to have achieved within her classroom is quite fragile and does not extend to instances in which she herself is not present. Palmer provides transcripts of two interactions, one when a substitute teacher is instructing the class and another when the librarian is leading the class in a read-aloud. In both interactions, native Spanish speakers become disengaged when their questions are not fully answered by their native English-speaking interlocutors. For example, after the substitute teacher instructs students to begin playing a subtraction game in small groups, Oswaldo, a native Spanish speaker, initially expresses his confusion, saying: “I don’t understand.” He continues to try to clarify his understanding in the following interaction but disengages as his confusion persists:

- James: You rolled a one, then take out nine ones.  
 Nancy: Nine ones?  
 James: Yea. So it’s [One two three four five six seven eight.

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- Roberto: [I take one of these (ten-sticks) and I get one of these (cubes).  
 James: OK, then you—no you take nine ones.  
 Oswaldo: Whaa?  
 Roberto: Nine ones? I didn't have –  
 James: You put nine of those in there.  
 Roberto: I took one of these (ten-sticks) out then I put one of these (cubes).  
 James: No, you need to put nine of those!  
 Oswaldo: One. [Two. Three.

(Palmer, 2008: 662)

Shot down by native English-speaking James, Oswaldo resorts to counting cubes rather than thinking about the subtraction processes the game is designed to highlight and ultimately starts building with the manipulative materials rather than participating. On the basis of this and other interactions, Palmer concludes:

One classroom teacher and the lessons and conversations she manages form only a small part of the discourses/Discourses that youngsters encounter as they undertake the ongoing process of dialogue involved in developing their identities as learners. It is impossible to limit students' exposure to dominant discourses. This study demonstrates that, even while attempting to engage students in a struggle to change their discourse patterns, we will find students slipping back repeatedly into inequitable patterns drawn from the expectations of the larger society  
 (2008: 663–664)

In our own work, we have found that, even within interactions in which all participants are native Spanish speakers, those with greater English fluency may use their English fluency as one of a set of resources to exert power. We have been recording interactions in a first and third grade bilingual classroom in an elementary school in Northern California. All students in both classrooms are native Spanish speakers, with varying degrees of English fluency. Students receive instruction primarily in Spanish during their first years in this school, with the amount of English increasing each year. We have recorded interactions during a “free time” period that happens in each classroom once a week. During free time, students may interact in whichever language they wish. We have been analyzing the language choices of students during free time over the course of eight weeks, noting when students use Spanish, English, or a mixture of both languages.

In the following transcript, two first grade girls, Perla and Monica, are playing a game with marbles, using a large plastic structure, which serves as a racetrack for the marbles.<sup>1</sup> The winner is the person whose marble completes the course first. The first part of the interaction (lines 1–36), before Perla runs off to look for her missing marble, takes place almost entirely in Spanish, with two brief exceptions. The teacher uses English to clarify that the bell that is ringing applies only to older students (lines 5–6), and Perla makes a quick switch to English to ask: “Where's my ball?” (line 9). This pattern of language use, long stretches of Spanish punctuated by occasional phrases in English, is typical of this first grade classroom during free time. Students in this classroom have only been receiving instruction in English for a little over one year; most of their day is still spent learning in Spanish, and their teacher typically uses Spanish as the default language for a wide range of functions, including giving instructions during free time, making informational announcements, and disciplining students (though she breaks from this pattern and uses English for an announcement during this interaction). Utterances in English are the exception rather than the rule during free time in this classroom. However, in this particular interaction, once Perla goes to retrieve her marble, she speaks predominantly in English for the remainder of the exchange (lines 40–57), except for a single turn (lines 54–55) when she is again admonishing Monica to give her marble back to her. In this second portion of the interaction, Monica's turns consist entirely of

squeals or laughter, except for her final turn, when, following the admonition Perla gives in Spanish, she exclaims simply in English, “Yes! I win!” Note the balance of power between the two girls throughout the interaction.

- 1 Perla: Aaaaah! ((singing)) Te estoy ganando, te estoy ganando, te estoy ganando, te estoy ganando!  
 2 ganando!  
 3 Aaaaah! *I'm beating you, I'm beating you, I'm beating you, I'm beating you!*  
 4 ((bell rings))  
 5 Teacher: No, no, it's for big kids ((in other words, the bell does not mean free time is over  
 6 for the first graders)). Keep playing.  
 7 Perla: Whe:[ee!] ((as they let their marbles go))  
 8 Monica: [Whe:ee!]  
 9 Perla: Te gané, yo te gané, te voy a ganar. (4.8) ((ball rolls onto the floor)) Where's my ball?  
 10 *I beat you, I beat you, I'm going to beat you.*  
 11 Monica: Yo no te las tiré.  
 12 *I didn't throw them to you.*  
 13 Perla: O, ¡cuida:do, Monica!  
 14 *Oh, careful, Monica!*  
 15 (7.0) ((more racing the marbles with no dialogue))  
 16 Perla: ((in a very high-pitched voice)) Ee:w! (15.2) ((marble racing continues)) ¡Ya:y! ¡Mi  
 17 pelota está ganando!  
 18 *Ee:w!(15.2) Yay! My ball is winning!*  
 19 Monica: O, ¡yo gané! ((laughs))  
 20 *Oh, I won!*  
 21 Perla: ¡No! (1.4) ¿Dónde fue mi pelota? (1.5) ¿Dónde fue mi pelota? ((looks for it on the  
 22 floor))  
 23 *No! Where did my ball go? Where did my ball go?*  
 24 Monica: ((laughs))  
 25 Perla: ¡Mi pelota, mi pelota, la pelota!  
 26 *My ball, my ball, my ball!*  
 27 Monica: ((laughs))  
 28 Perla: ¿Dónde está mi pelota? ((deepens her voice)) ¿Dónde está la pelota, Monica? (3.6)  
 29 (Ay, Monica,) estabas cheatiando, ¿sabías?  
 30 *Where is my ball? Where is the ball, Monica? (Ay, Monica,) you were cheating, you know?*  
 31 Monica: Ay, ¡ew!  
 32 *Ay, ew!*  
 33 Perla: O, da:me la pelota mí:a. Ya sé me estás cheatiando. (5.6) Yo te gané prime:ro. (17.6)  
 34 *Give me my ball. I know that you're cheating me. I beat you first.*  
 35 ((marble racing continues))  
 36 Monica: ¡Ah!  
 37 Perla: Hey! ((laughs))  
 38 Monica: ((laughs))  
 39 ((Perla's marble rolls off across the room))  
 40 Perla: Oh no you—((goes to retrieve marble)) Oh no you **di:dn't!** (4.5) Thank you (?) for  
 41 saving my little ball.  
 42 Monica: ((squeals as marble racing continues))  
 43 Perla: Yay!  
 44 Monica: ((squeals again))

- 45 Perla: No, baby! No! ((ball goes onto the floor again)) Where's my little  
 46 ball?  
 47 Monica: ((squeals))  
 48 Perla: (What you did) with my little ball?  
 49 ((marble races continues without talking for 22.4 seconds))  
 50 Perla: ((to Monica)) Hey, (1.5) you cheater! (7.9) ((addressing her marble)) Hey, you win!  
 51 You won, my little ball!  
 52 Monica: ((squeals))  
 53 ((more marble racing))  
 54 Perla: ¡Da:me mi pelo:ta! ¡Da:me mi pelo:ta:! (4.7)  
 55 *Give me my ball! Give me my ball!*  
 56 Monica: ((in a very high-pitched voice)) Yes! I win!  
 57 ((Clean up begins.))

Perla clearly dominates this interaction. Not only does she exuberantly proclaim that she is winning (as in lines 1–3) or has won (line 9), she also taunts Monica, as well, stating that she is going to beat her (line 9). Furthermore, Perla repeatedly chides Monica, accusing her of cheating (lines 28–30, lines 33–34, line 50), questioning her about the whereabouts of her missing marble (lines 21–23), and demanding that she return the marble (lines 54–55). Throughout this interaction, to the best of our knowledge, we observed no evidence of Monica cheating. Instead, Perla simply seemed frustrated when her marble rolled away out of sight or did not complete the course first, and she seemed to blame Monica, somewhat jokingly, for her bad luck. In contrast, Monica never questions or chides Perla. Also note the differences in the girls' utterances when their marbles are victorious or about to be victorious. (When a literal translation would differ from a gloss, we first provide the literal translation from Spanish into English, followed by the gloss.)

- (1) Monica: O, ¡yo gané! (lines 19–20)  
*Oh, I won!*  
 (2) Monica: I win! (line 56)  
 (3) Perla: ...te estoy ganando. (line 1)  
*...you I am winning.*  
*... I'm beating you.*  
 (4) Perla: Yo te gané. (line 9)  
*I you won.*  
*I beat you.*  
 (5) Perla: Yo te gané primero. (line 33)  
*I you won first.*  
*I beat you first.*

Monica never specifies over whom she has been victorious. In contrast, when claiming victory, Perla specifies that she has beaten Monica by including the pronoun *te* (*you*) in her utterances.

Although Monica is clearly capable of speaking English (see line 56), according to the state's testing, Monica's English skills are at the beginning stage, whereas Perla's are considered intermediate. Although we lack sufficient evidence to prove this claim, it may be that Perla's switch to English for lines 40–57 has the effect of silencing Monica somewhat if she feels less able to interact in this language. Perla's use of English for an extended period of time may serve as one more way in which she can dominate the interaction.

From our preliminary data, it appears that it is not just students' English skills but their abilities to switch between Spanish and English that facilitate their ability to exercise power in the interactions we observed. However, students with beginning English skills sometimes use the English they do command in order to refuse requests made of them. Consider this very brief exchange between two third grade students, Eduardo and Yesenia. Eduardo is a tall, outgoing boy born in the US. Though Spanish is his native language, Eduardo has already been formally redesignated by the school as fluent in English on the basis of high standardized test scores in second grade. Such redesignation by the beginning of third grade is quite unusual for students in the bilingual program, who receive the majority of their instruction in Spanish during their first years of school. Eduardo is almost always at the center of activity in the classroom, establishing the rules for games, giving out Pokemon cards that other students proceed to trade during free time, or proposing bets with other students. He is a clear leader and other students seem to want to be his friends. He almost always speaks in English, but he will use Spanish on occasion. Yesenia, on the other hand, is a shy, slight girl. Though she was born in the United States, Yesenia's English skills lag behind those of many of her peers, and she typically chooses to use Spanish, unlike most other students in the class. She tends to interact primarily with a girl, Lizbeth, who immigrated from Mexico at the end of second grade.

During free time one Friday, Yesenia has been sitting at a table in the back of the classroom with Lizbeth working on her own art project. Eduardo approaches. He has just been speaking in English to another student, but he switches to Spanish to address Yesenia.

Eduardo: ((to Yesenia)) Agarras otra hoja mismo, trata de colorearlo otros mismo, y luego los cortas los dos para afuera, y luego lo doblas y lo pegas más.

*Get another of the same sheets of paper, try to color the other ones in the same way, and then cut out two of them, and then fold it, and glue it more.*

Yesenia: I don't wanna do that.

Eduardo made a suggestion in Spanish, his dispreferred language, and Yesenia refused his suggestion in English, her dispreferred language. Both went against their general language preferences here. Eduardo seemed to be trying to accommodate to his perception of Yesenia's language preference, making his suggestion more appealing by couching it in the language she preferred. Yet Yesenia responded in English, seemingly marking not just her refusal of his suggestion but also her refusal of his language choice. This fits with Li Wei's (1994) finding that bilingual children may use code-switching to mark dispreferred second turns in conversation, such as refusing a request. In addition to marking her refusal of Eduardo's request and her refusal of his language choice, Yesenia may also be claiming an identity as an English speaker, since, as Legarreta (1977), Zentella (1981), Freeman (1998), and Palmer (2008) have found, even in bilingual programs English typically has higher status. Meanwhile, though Eduardo was rebuffed in this interaction, he found other ways to exercise power in the classroom. After Yesenia rejected his suggestion, Eduardo simply left and went back to being a ringleader of the Pokemon trading activity nearby.

### Looking to the future

Despite the insights into bilingual education settings that discourse analysis has provided, as we hope to have illustrated in this chapter, its use as a research method has dwindled in recent decades, particularly within the United States. The major funding mechanism for research related to bilingual education in the US was Title VII, the Bilingual Education Act, which was enacted in 1968 to support the education of language minority students and reauthorized at regular

intervals through to 2002. Title VII accepted and enabled basic research on classroom language use, especially through the mid-1980s (Moran and Hakuta, 1995). However, the 1980s ushered in the standards movement, an effort to raise student achievement by defining a set of rigorous academic standards, to which all students would be held accountable, and then testing students' proficiency in these standards. This movement created an overwhelming emphasis on academic achievement, and in this climate educational research focusing on student outcomes and program evaluation has increasingly overshadowed research such as discourse analysis, which focuses on the processes through which education occurs. Meanwhile, the focus on academic achievement has been accompanied by a focus on measuring the effects of specific interventions on student achievement, and research designs that constitute or approximate randomized field trials are now considered the gold standard, in spite of recommendations by authorities such as the National Research Council to look more broadly at the scientific basis of educational research (Shavelson and Towne, 2002). In a recent report to Congress describing the research agenda of the Institute of Education Sciences, the branch of the federal government that provides the bulk of education research funding, the word "discourse" appears only once, in a project unrelated to discourse analysis (Institute of Education Sciences, 2008).

Discourse analysis does not lend itself easily to the current education research paradigm. Yet understanding the nested relationship between how language is used in the classroom and how bilinguals use languages in different ways is basic to understanding how language mediates academic learning. Although many academics and practitioners readily recognize the importance of academic language and academic vocabulary, little attention is currently paid to the discourse within which this language occurs. While the current research paradigm offers limited possibilities for research using discourse analysis, we see several lines of research that might prove fruitful, all of which are currently being explored by small numbers of researchers but could be expanded. First, building on work conducted by Schleppegrell (2003, 2004), researchers could use discourse analysis to explore the differing demands of discourses across academic disciplines, with an ultimate goal of developing methods to support students in becoming successful users of the unique discourses of science, mathematics, social studies, and so on. Second, more research on ways in which peers support one another's academic learning through language brokering could be explored as well. One example of such work is Bayley *et al.*'s (2005) study of the ways in which bilingual students served as language brokers for recent immigrants in an English-medium middle school science class. Given the increasing geographic spread of the language minority population, an increasing percentage of teachers are responsible for educating students not yet fluent in English, and often such teachers do not speak the primary languages of these students. By better understanding the kinds of language brokering in which students within linguistically diverse classrooms engage, educators could potentially develop methods for encouraging such brokering when necessary. Finally, numerous researchers have expressed concerns about the achievement of language minority students within dual-immersion classrooms (cf. Valdés, 1997). In such classrooms equal numbers of majority and minority language speakers are educated together, with the goal of building students' fluency in both the majority and the minority language; yet the language minority students often lag behind the language majority students in academic achievement. Given the increasing popularity of dual-immersion classrooms, research such as Palmer's (2008) and Freeman's (1998) could be expanded by using discourse analysis to explore the relative status of the majority and minority languages and their speakers in such classrooms and to identify ways in which the academic identities of the language minority students within such classrooms could be supported. We remain hopeful that the powerful tools within discourse analysis can serve as a valuable resource for improving educational outcomes for language minority students.

## Transcription conventions

- (0) Information between double parentheses represents contextual, extra-linguistic information, such as who is being addressed or actions that are occurring.
- (guess) Words between parentheses represents the transcriber's best guess for a stretch of talk that was difficult to hear.
- [ A square bracket marks where overlap by another speakers begins.
- ? An initial question mark indicates a conversational turn by a speaker whose identity cannot be determined.
- (0.5) Numbers between parentheses represent the duration of pauses, given in seconds and tenths of seconds.
- ti:me A colon after a vowel indicates that the duration of the vowel lengthened.
- italics* Italics are used for English translations of Spanish utterances.
- bold** Bold is used to indicate words that were given particular emphasis by the speaker.

## Further reading

For those interested in learning more about the application of discourse analysis to understanding bilingualism and bilingual education settings, there are numerous possibilities for further reading.

Zentella, A. C. (1997) *Growing up Bilingual: Puerto Rican Children in New York*. Malden, MA: Blackwell.

At a micro-level, Zentella's classic uses discourse analysis to provide a fascinating, in-depth portrait of how seven Puerto Rican girls growing up in New York City use English and Spanish across multiple dimensions of their lives, including schooling.

Dutcher, N. (2004). Expanding educational opportunity in linguistically diverse societies. Washington DC: Center for Applied Linguistics. Available online at: [http://www.cal.org/resources/pubs/fordreport\\_040501.pdf](http://www.cal.org/resources/pubs/fordreport_040501.pdf) (accessed 14 November 2009).

At a macro-level, this report by Dutcher, on behalf of the Center for Applied Linguistics (2004), describes distinctive multilingual education programs in 13 different counties, providing insight into the variety of forms that multilingual education can take depending on the linguistic context and the resources necessary for programs to succeed.

Myers-Scotton, C. (2006) *Multiple Voices: An Introduction to Bilingualism*. Malden, MA: Blackwell.

Finally, for those particularly interested in theory, Myers-Scotton offers a broad introduction to key topics in bilingualism, including an overview of competing theoretical perspectives on language use in multilingual settings. It is our hope that coming years will see a resurgence of work that applies discourse analysis to multilingual educational environments.

## Note

- 1 All names are pseudonyms.

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