## MUNI

**Modern Extraction and Isolation Methods** 

Faculty of Pharmacy MU
Department of Natural Drugs

prof. PharmDr. Karel Šmejkal, Ph.D.

## MUNI

# Introduction to Extraction and Isolation

## Why to extract?

- Content of active compounds in drug low
- Content of active compounds variable
- Presence of unwanted compounds
- Drug not acceptable because of bed organoleptic properties
- The amount for direct preparation of application form/administration too big
- Better possibility of dosage
- Better compliance of patient

3 Ústav přírodních léčiv

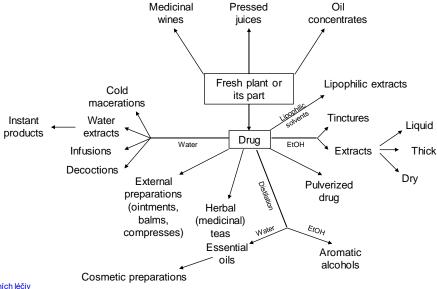


## **Content compounds**

- Main active compounds
- Supporting content compounds
- Ballast compounds
- The aim of extraction:
  - Remove ballast substances, to maintain main and supporting content compounds, the obtain extract rich in target substance

MUNI

#### Traditional processing of medicinal plants, their parts and drugs



5 Ústav přírodních léčiv



#### **Methods of standardization**

## Analytical techniques for determination of markers and standardization

#### Determination of class of compounds

Total phenolics, total flavonoids, total alkaloids... Amount of volatile substances (essential oil quantification) Common utilization of color reactions

Fast, simple, cheap

Possible false-positive results, possible falsification



#### Requirements for marker:

- 1) Bioactivity
- 2) Sufficient content
- 3) Physico-chemical stability

## Combination of analytical technique and biological activity

#### Single compounds as markers

- HPLC-DAD, HPLC-MS, GC-MS
- Quantification of one or more compounds
- Precise, more expensive, low chance of falsifying

MUNI

#### **Extraction - Fick diffusion law**

$$\Delta n/\Delta t = -(DA/h) \times (c_0 - c)$$

- $-\Delta n/\Delta t$  velocity of diffusion
- D diffusion coefficient based on temperature and diameter of diffunding particles
- A diffusion space (surface)
- h diffusion layer
- -(c<sub>0</sub>-c) concentration gradient

7 Ústav přírodních léčiv



### Improved effectiveness of extraction



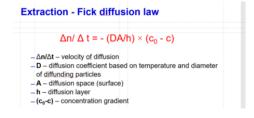
Decantation, centrifugation, filtration

### Matrix effects

Desintegration of material Meating

Stirring Repetition of process Solvent selection

Sonication



## Improved methods (semicontinual)

- Percolation
- Digestion
- Variations on Soxhlet extractor



## Solid-liquid extraction techniques

#### Conventional extraction techniques

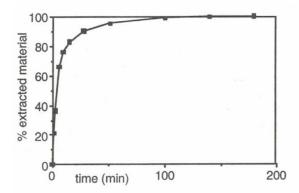
- maceration, percolation, squeezing, counter-current extraction, extraction through Soxhlet, distillation, etc.
- high quantities of expensive and pure solvents, a low selectivity of extraction; a high solvent evaporation rate during the process; long extraction times, thermal decomposition of thermolabile compounds

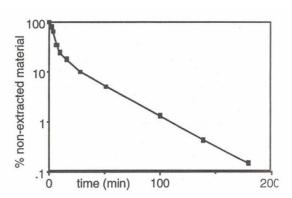
#### Unconventional (innovative) extraction techniques

 ultrasound-assisted extraction (UAE), supercritical fluid extraction (SFE), microwaveassisted extraction (MAE), extraction with accelerated solvent, solid phase microextraction, enzyme-assisted extraction, and rapid solid-liquid extraction dynamic (RSLDE) via the Naviglio extractor

MUNI Biopharma Hub

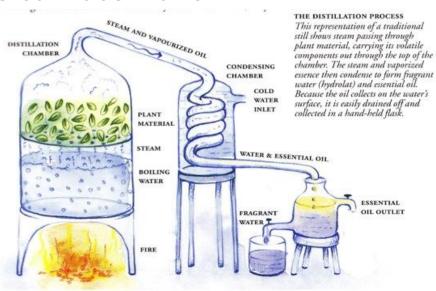






MUNI

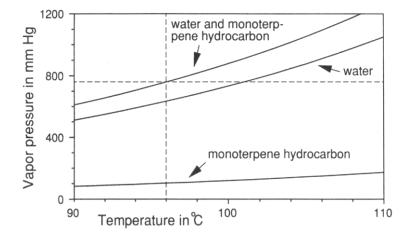
## Water steam destillation



11 Ústav přírodních léčiv

#### JUNI

#### Dalton's law

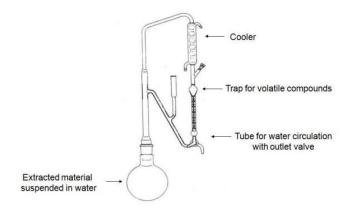




#### Steam distillation

Suitable for water-insoluble substances, only volatile compounds





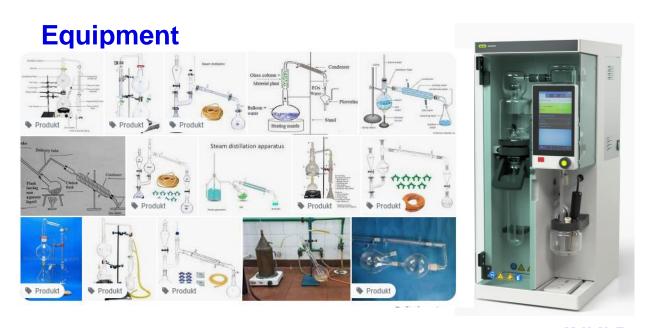
13 Ústav přírodních léčiv



## **Products of steam distillation**

- Essential oils
  - Eucalyptus, tea-tree oil, camphora
- Hydrolates
  - Rose water
  - Orange blossom water
- Aromatic spirits

Analyte	Matrix	Quantification Method	Related Norms
Protein (nitrogen), TKN, TVBN	Food, beverages, pharmaceuticals, feed, waste water	Potentiometric / Colorimetric Titration	AOAC 2001.11 AOAC 920.87 ISO 937 ISO 3188
Ammonium, nitrite, nitrate (Devarda), urea	Fertilizer, soil, cosmetics, hair dye	Potentiometric / Colorimetric Titration	AOAC 892.01 AOAC 955.04 83/514/EE
Alcohol	Wine, beer, spirits	Densitymeter	EC 2870/2000
Volatile acids	Wine, juice	Potentiometric Titration	OIV-MA- AS313-02
Sulfite, Sulfur dioxide	Wine, beer, dried fruits, seafood	Potentiometric Titration	AOAC 962.16
Cyanide, Amygdalin	Food, feed, waste water	Complexometric Titration	ISO 2164-1975 AOAC 915.03
VDKs	Beer	UV-Vis Spectrometry	
Phenol	Soil, waste water	UV-Vis Spectrometry	ISO 6439:1990 DIN 38409 H16-3
Formaldehyde	Textiles, maple sirup	UV-Vis Spectrometry	ISO 14184 AOAC 964.21
Limonene (essential oils)	Juice, fragrances,	Redox Titration	



15 MUNI Biopharma Hub ightharpoonup 
ightharpoon

## **Equipment**





16 MUNI Biopharma Hub

8



17 MUNI Biopharma Hub ightharpoonup 
ightharpoon

### Superkritical fluid extraction

- Extraction with utilization of supercritical fluids
- Supercritical fluid

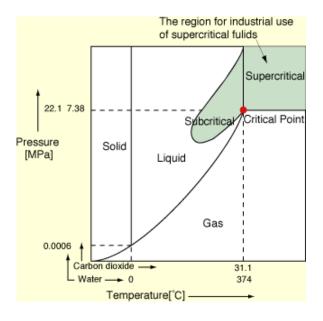
Pressure and temperature over critical values
Physical properties form a transition between the properties of
gases and liquids

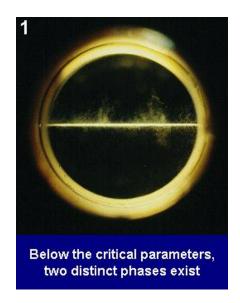
Density close to liquids —— good dissolving ability Diffusion constant close to gases —— rapid mass transfer Viscosity lower than liquid —— advantage of better flow properties

Low surface tension —— easy material penetration

18 Ústav přírodních léčiv MUNI

9

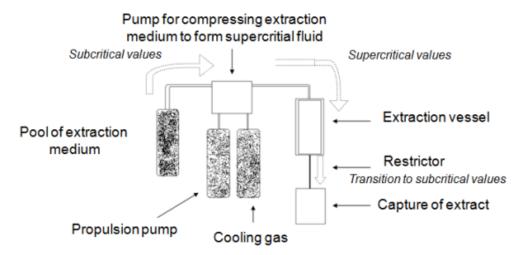




19 MUNI Biopharma Hub

#### MUNI

## **Supercritical fluid extraction**





#### Advantages of SFE:

Gentle technique.

Ideally, no organic solvents are needed. Ecologically harmless.

Lcologically rial

Cheap.

Fast.

Possibility of automation.

Changes of solvation strength by changes of pressure.

#### **Disadvantages of SFE:**

Less suitable for polar compounds. More demanding instrumentation. Requires the use of high pressures. Less suitable for leaf extraction.

Extraction tuning issues.

Difficult extraction of fresh material (water content).

**CO<sub>2</sub>** – non-flammable, non-explosive, easily available, cheap, environmentally friendly, advantageous supercritical region (T=31.1 °C; P=7.28 MPa), suitable for the extraction of low polar substances (essential oils, oils, waxes, carotenoids)

#### - Utilization:

Hop extraction. Decaffeination of coffee. Extraction of taxol from *Taxus brevifolia*. Extraction of essential oils and spices. Non-pharmaceutical purposes

21 Ústav přírodních léčiv

### MUNI

#### **SFE**







### **Accelerated solvent extraction (ASE)**

- Increased extraction yields and reduced time
- An increased diffusion
- Liquids operating above their boiling temperature while being maintained in a liquid state by the increase in pressure
- A cylindrical steel container, the extracting solvent is introduced
- The temperature of the system is raised above the boiling point of the solvent, which is maintained in the liquid state thanks to a simultaneous increase in pressure (the vial is sealed to resist high pressure values: 100–200 bar)
- Not suitable for thermally labile substances

23 Ústav přírodních léčiv

MUNI

## **Accelerated solvent extraction (ASE)**

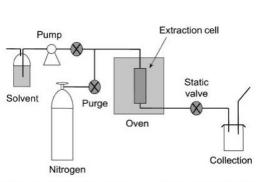
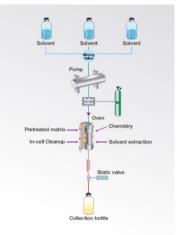


Figure 5. Scheme of an accelerated solvent extraction (ASE) system (from Richter et al., 1996).





https://www.thermofisher.com/cz/en/home/industrial/chromatography/chromatography -sample-preparation/automated-sample-preparation/accelerated-solvent-extractionase.html

24 Ústav přírodních léčiv

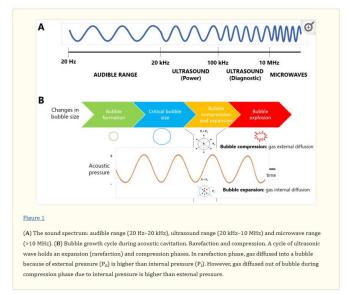
https://www.thermofisher.com/order/catalog/product/083114



#### Ultrasound assisted extraction

- An innovative technique, used in different settings
- "Clean technology"
- Use of low solvent volumes
- Short Ets
- Few instrumental requirements
- Low economic and environmental impact
- Technique employs ultrasonic waves frequencies between 20 kHz and 10 MHz
  - power ultrasound (20–100 kHz), characterized by a high intensity, used for extraction and processing applications
  - signal or diagnostic ultrasound (100 kHz– 10 MHz), employed as a clinical diagnostic technique, and for control and quality assessment
- Acoustic cavitation (AC)





doi: 10.3390/ijerph18179153

## **Ultrasound assisted extraction (UAE)**

#### UAE-Associated Mechanisms

- Fragmentation → the reduction of matrix particle size guided by the ultrasonic action → increases the solid surface area to develop mass transfer, driving to better extraction yields
- Erosion → the release of solid structures from the matrix into the extractive solvent, caused by the collapse of cavitation bubbles
- Sonocapillary → an enhanced penetration of solvent into the canals and pores of the matrix
- Detexturation → the solid matrix destruction
- Sonoporation → an increase in cell membranes permeability, forming of membrane pores
- Local shear stress → generation of shear forces onto the matrix surface, causing the later rupture of its structures and the extraction of inner compounds in the solvent

#### Relevant Parameters Associated with UAE

- Physical parameters power, frequency, and ultrasound intensity, related with the ultrasound equipment – ET, shape and size of ultrasonic reactors
- Medium parameters the solvent nature and its properties (polarity,, viscosity, surface tension, solvent vapor pressure), extraction time, the presence of gases, new green solvents for lipophilic extraction → ionic liquids and deep eutectic solvents
- Matrix parameters
  - type of matrix, structure, pre-treatment, particle size, or solid-liquid ratio

MUNI

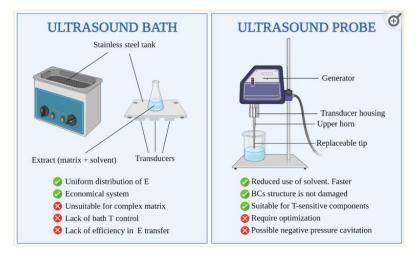
#### **Ultrasound assisted extraction**

#### **Advantages**

- Similar results as extraction by pressing (squeezing)
- High speed
- Economic advantage
- Relatively low-cost technology involved

#### **Disadvantages**

- The system heats up due to the prolonged treatment
- The solid matrix is completely crushed
   → difficult to separate the mass from extract
- The use of ultrasound energy of more than 20 kHz may influence the active phytochemicals through the formation of free radicals.



27 Ústav přírodních léčiv



#### Microwave assisted extraction

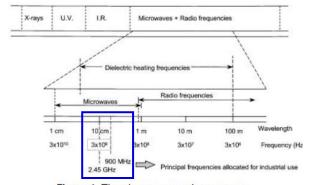
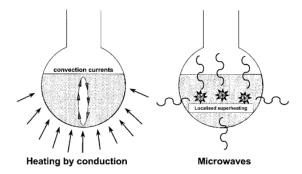


Figure 1. The electromagnetic spectrum.



**Figure 2.** Scheme of the heating principle by conduction in the classical method of extraction and by microwave irradiation in microwave-assisted extraction.

MUNI

#### Microwave assisted extraction

- Microwaves at 2.45 GHz
- Electric field causes heating
  - Dipolar rotation

Molecules with dipol moment (permanent or induced), both in solvent and solid Oscillation caused collisions and interactions with surrounding molecules → deliberation of thermal energy Larger dielectric constant of solvent - greater heating Table 1. Dielectric constants and dipole some commonly used solvents

Ionic conduction

Ion currents formation - resistence induces heat

#### Extraction

- Disruption of weak hydrogen bonding
- Viscosity decreases effect
- Migration of ions increases solvent penetration into matrix
- In some cases, the matrix itself interacts with microwaves while the surrounding solvent possesses a low dielectric constant and thus remains cold

Advantageous in the case of thermosensitive compounds - the extraction of essential oils, microwaves interact selectively with the polar molecules present in glands, trichomes or vascular tissues. Localised heating leads to the expansion and rupture of cell walls and is followed by the liberation of essential oils into the solvent

29 Ústav přírodních léčiv

#### Microwave assisted extraction

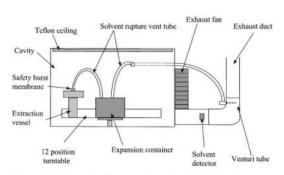
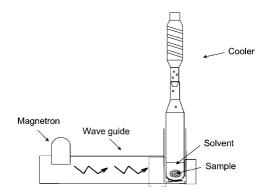


Figure 3. Schematic diagram of a closed-vessel microwave system for extraction.



Dielectric constant (20°C)

8.9

20.7

Hexane

Acetone

Ethanol Methanol Water

Dichloromethan

Dipole moment (25°C) (Debye)

1.14

1.69

Figure 4. Schematic diagram of an open focused-microwave system for extraction.

#### Closed and open wessels

MUNT

#### Microwave assisted extraction

- Combination of MAE with different methods
  - Maceration
  - Percolation
  - Distillation

31 Ústav přírodních léčiv

Vicine, convicine (pyrimidine glycosides)	Faba beans ( <i>Vicia faba</i> )	Domestic oven	Methanol:water (1:1); two successive irradiations (30 s) with an intermediate cooling step	Ganzler <i>et al.</i> (1986a, 1986b); Ganzler and Salgò (1987)
Gossypol	Cotton seeds	Domestic oven	Three cycles of irradiation (30 s) with cooling steps in between	Ganzler <i>et al.</i> (1986a); Ganzler and Salgò (1987)
Sparteine (alkaloid)	Lupine seeds	Domestic oven	Four cycles (30 s) with cooling steps in between	Ganzler <i>et al.</i> (1986b, 1990)
Terpenes (linalool, terpineol, citronellol, nerol and geraniol)	Must (Vitis vinifera)	Closed vessels	10 mL dichloromethane; 475 W; 10 min; 90°C	Carro et al. (1997)
Essential oils	Monarda fistulosa, Allium sp.	Modified domestic oven	Hexane	Paré (1990)
Volatile oils	Mentha piperita, Thuja occidentalis	Modified domestic oven	Hexane, alkanes (transparent solvents)	Paré (1994)
Essential oils	Rosemary and peppermint leaves	Domestic oven	Hexane, carbon tetrachloride, toluene; 750 W; <60 s	Chen and Spiro (1994)
Essential oils	Plant leaves	Domestic oven	Hexane; <60 s	Collin et al. (1991)
Essential oils	Fresh leaves of Lippia sidoides	Domestic oven	"High cooking level"; 5 min	Craveiro et al. (1989)
Carotenoids	Paprika powder	Closed vessels	50 W; 120 s; <60°C	Csiktunadi Kiss et al. (2000)
Taxanes (paclitaxel)	Needles of Taxus sp.	Closed vessels	5 g fresh needles pre- soaked with 5 mL water prior to extraction with 10 mL of 95% ethanol; 100% power; 54 s; 85°C	Incorvia Mattina <i>et al.</i> (1997)
Ergosterol	Fungal contaminations	Domestic oven	375 W; 35 s	Young (1995)
Withanolides	lochroma gesnerioides leaves	Open cell, focused	100 mg material pre- soaked with 0.6 mL	Kaufmann et al. (2001a

Open cell, focused

Closed vessels

Extraction conditions

water prior to extraction with 5 mL methanol; 25 W; 40 s

Methanol; 125 W; 30 s

65-100°C

Reference

Brachet et al. (2002)

Ricchi et al. (1992)

### **Rapid Solid-Liquid Dynamic Extraction (RSLDE)**

Cocaine and

benzoylecgonine Alkaloids

Erythroxylum coca leaves Senecio sp.

- a negative gradient of pressure between the inner material and the outside of the solid matrix (high pressure inside and low pressure outside; Naviglio's principle).
- when the gradient of pressure is removed, the liquid flows out of the solid in a very fast manner and carries out all substances not chemically bonded to the main structure of the solid.

an "active" process → the gradient of pressure forces out the molecules



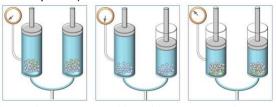
https://doi.org/10.3390/foods8070245

techniques based on diffusion and osmosis → "passive" processes, molecules are not forced out of the matrix

MUNT

### Rapid Solid-Liquid Dynamic Extraction (RSLDE)

- An extractive cycle consists of both static and dynamic phases
- The static phase
  - → the liquid is maintained under pressure at about 10 bar on the solid to be extracted
  - left long enough to let the liquid penetrate inside the solid and to balance the pressure between the inside and the outside of the solid (about 1–3 min)
- The dynamic phase
  - the pressure immediately drops to atmospheric pressure
  - a rapid flowing of liquid from the inside to the outlet of the solid matrix
  - a suction effect of the liquid from the inside towards the outside of the solid.
  - Extractable material transported by solvent
- The cycles can be repeated until the solid runs out, about 30 extractive cycles (two-minute static phase; two-minute dynamic phase)



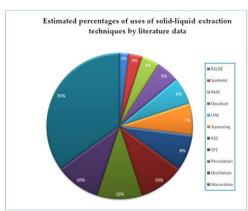
Inich léčiv

Figure 1. Schematic representation of the Navigio extractor consisting of two extraction chambers connected via a conduit: the first two images show the dynamic phase, while the third image the static phase.

MUNI

33 Ústav přírodních léčiv

## **Comparison of different extraction techniques**



	Extraction Technique	Solvent	Granulometry	Time	Yield	Quality Extracted	Extract Stability
	Squeezing	Indifferent	Not important	Minimum	Exhaustive	Poor	Poor
	Maceration	Fundamental	Important	Long	Exhaustive	Great	Great
	Decotion	Fundamental	Important	Long	Exhaustive	Great	Great
	Percolation	Fundamental	Important	Middle	Partial	Good	Good
	Soxhlet	Fundamental	Important	Long	Exhaustive	Poor	Poor
	SCD	Indifferent	Not important	Middle	Partial	Poor	Poor
	MAE	Fundamental	Not important	Middle	Partial	Poor	Poor
	UAE	Fundamental	Not important	Middle	Partial	Great	Great
	SFE	Indifferent	Not Important	Middle	Exhaustive	Poor	Poor
1	ASE	Fundamental	Not important	Minimum	Exhaustive	Poor	Poor
	RSLDE	Indifferent	Not important	Minimum	Exhaustive	Great	Great

MUNI

#### **LUPULI FLOS – Hop flowers (ČL 2017)**

#### Humulus lupulus L. – Hop, Chmel otáčivý (Cannabaceae)

- a dioecious, right-handed winding climbing plant
- cultivated in US, Europe and northern Asia
- only female plants are grown, they reproduce vegetatively



Components

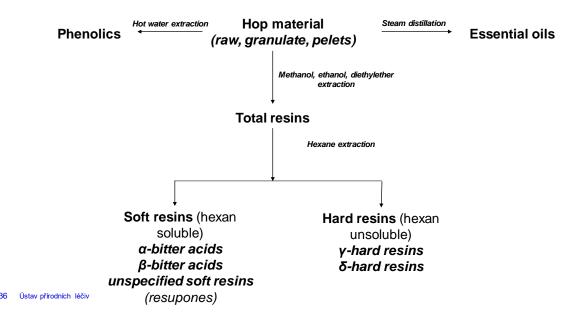
Components	1 Toperties
Volatile oils	
Monoterpenes Sesquiterpenes Oxygenated Terpene  Myrcene Limonene β-caryophyllene α-humulene β-farnesene	Antioxidant Antimicrobial Antiinflammatory
Bitter acids	
α-acids  β-acids  β-acids  R= CHCH(CH <sub>3</sub> ), Humulone R= CH(CH <sub>3</sub> ) Columidone R= CHCH <sub>3</sub> ) Chi CH Adhumulone R= CHCH <sub>3</sub> ) CH Adhumulone R= CHCH <sub>3</sub> ) Posthumulone R= CHCH <sub>3</sub> ) Posthumulone R= CHCH <sub>3</sub> ) Posthumulone R= CHCH <sub>3</sub> ) CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> ) CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> CHCH <sub>3</sub> ) CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> CHCH <sub>3</sub> ) CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> CHCH <sub>3</sub> R= CHCH <sub>3</sub> CHCH	Foam stability Antimicrobial
Phenolics compounds	
Benzoic acid and derivatives	Antioxidant Antimutagenic Anti-inflammatory Antibacterial
Flavan-3-ols  Flavan-3-ols  Flavan-3-ols  Ouerctin  Ouerctin  Ouerctin  Campferol  (+)-catechin  (+)-catechin  (+)-catechin  (+)-catechin	Antioxidant Antibacterial Antiallergic Cardioprotective
Prenylflavonoids	Antinflammatory Chemopreventive Antimicrobial Antioxidant Antithrombotic Neuroprotective
Xanthohumol (X) Isoxanthohumol (IX) Desmethylxanthohumol (DNX)	Phytoestrogen, relieve of menopausal symptoms Antimicrobial

Properties

https://doi.org/10.1016/j.tifs.2019.08.018.

35 Ústav přírodních léčiv

## Hop processing



18

## Hop products and extracts

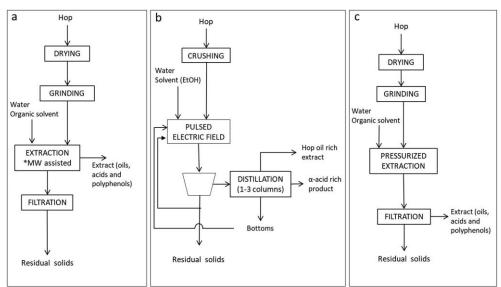
#### **Products**

Mechanical products – compressed hop, granulates

#### **Extracts**

- Ethanol (MW assisted, Rapid Solid-Liquid Dynamic Extraction (RSLDE) Naviglio's principles)
  - Extract containg besides α-acids, β-acids and hop essential oils also phenolics and tannins
  - 90% ethanol, possible fractionation to get hop tannins (water soluble)
- -CO<sub>2</sub>
  - Supercritical or subcritical effectivity/yield/conditions
  - pure hop resin extract containing α-acids, β-acids and hop essential oils.
- Steam distillation (MW assisted)
  - pure extract hop essential oils
- Vaccum distillation and chromatographic purification of CO<sub>2</sub> extracts

37 MUNI Biopharma Hub



Extraction of hop components conventionally or assisted by intensified technologies, **a) solvent extraction** optionally assisted by microwave (Jeliazkova et al., 2018; Tyśkiewicz et al., 2018), **b) extraction assisted by pulsed electric field** (Held & Stanis, 2018), and **c) pressurized extraction**https://doi.org/10.1016/j.tfs.2019.08.018.

38 MUNI Biopharma Hub

MIINT

Table 2. Examples of the influence of the operational conditions with dense  ${\rm CO_2}$  on the extraction of hop components.

OF	perational conditions	Composition of the extract	Reference
Liq	quid CO2		
5-4	5.1 MPa, 16-25 °C	Oils, $\alpha$ -acids (>40%), $\beta$ -acids	Laws et al., 1977
Oz	ne stage supercritical CO <sub>2</sub>		
8-1	11 MPa, 40-60 °C, puze CO <sub>2</sub>	Essential oil	Van Opstaele et al., 2012a; Katono e al., 2018
		Essential oil, $\alpha$ acids, $\beta$ acids	Del Valle et al., 2003; Zanoli & Zavatti, 2008; Formato et al., 2013; Kupski et al., 2017
Tw	o stages supercritical CO <sub>2</sub>		
	8-9 MPa, 50 °C 11 MPa, 50 °C	Essential oil (floral) Essential oils (spicy, sesquiterpenoids)	Goiris et al., 2002; Van Opstaele et al., 2012b
	15 MPa, 40 °C. Sep: 1.5 MPa, 20 °C 25-30 MPa, 40-48 °C. Sep. 1.5 MPa, 20 °C	Essential oil Acids, α-acids (41%)	Zaković et al., 2007; Byelashov et al. 2018
2	28–30 MPa, 50 °C	Essential oils and bitter acids Xanthohumol	Forstar et al., 2003; Jakowski et al., 2015
1	20 MPa, 40 °C 25 MPa, 50 °C, EtOH-mod.	Essential oils and bitter acids Flavonoids	He et al., 2005
1	alcohol, acetone or mixtures, soaking	Xanthohumol	Rój and Kozlowski, 2016
	in CO <sub>2</sub> (2.5–6 MPa)		

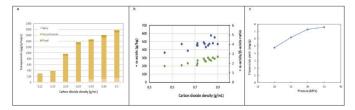


Fig. 2. Effect of solvent density during supercritical  $CO_2$  extraction of a) essential oils (Van Opstaele et al., 2012a), b) bittering compounds (Del Valle et al., 2003) from hop pellets and c) effect of pressure during sc- $CO_2$  extraction using ethanol (70%) as cosolvent, on the phenolic yield from waste hops (He et al., 2005).



5-7 Mps, 17-40 °C