

Groundwater flow and transport modeling

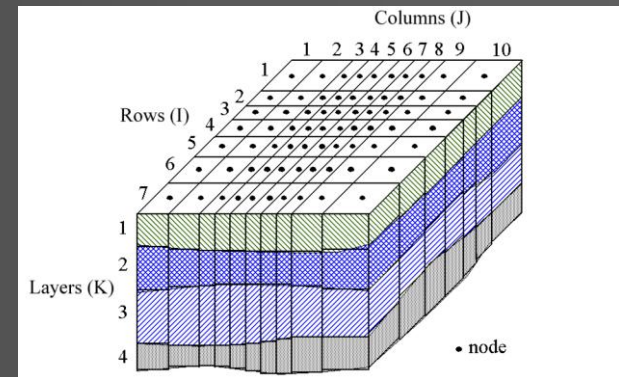


Adam Říčka

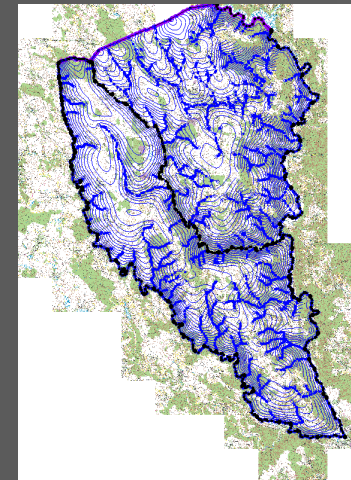
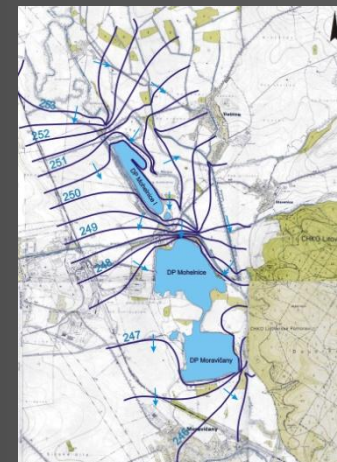
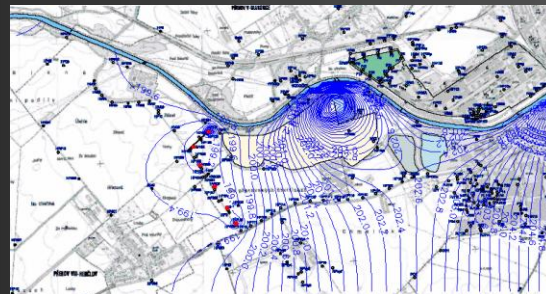
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Introduction to ground-water modeling

$$\frac{\partial}{\partial x} \left(K_{xx} \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left(K_{yy} \frac{\partial h}{\partial y} \right) + \frac{\partial}{\partial z} \left(K_{zz} \frac{\partial h}{\partial z} \right) - W = S_s \frac{\partial h}{\partial t}$$



Modeling case studies



Aim of the lectures + workshop

- Introduction to basic principles of groundwater flow modeling
- Overview of important input data
- Set-up of model geometry and boundary conditions
- Model calibration and verification
- Practical using of Processing Modflow – PMWIN

What is a model?

- Model is any device representing a field situation
- Model types: physical (sand tanks), analogous (electric field) and mathematical (governing equation)

Mathematical model: can be solved analytically and numerically

Analytical model is too simplistic

Mathematical model is easy applicable and allows to solve more complex flow problems

- **Prediction:**

most groundwater modeling efforts - predicting the consequences of a proposed action

- **Interpretation:**

insight into the controlling parameters in a site-specific setting, framework for formulating ideas about system dynamics

- **Generic geologic settings:** helpful in formulating regional regulatory guidelines and screening tool to identify regions suitable for some proposed action

Groundwater flow equation

Numerical model is a set of equations that describes the physical and/or chemical processes occurring in a system.

Groundwater flow: determination of filtration velocity vector q (flow direction and velocity) and hydraulic head h in modeled area

Solute transport: flow velocities

Components of numerical model:

Darcy's law + Law of mass balance = Groundwater flow equation

Groundwater flow equation

Darcy's law

$$Q = -kA \frac{h_1 - h_2}{L}$$

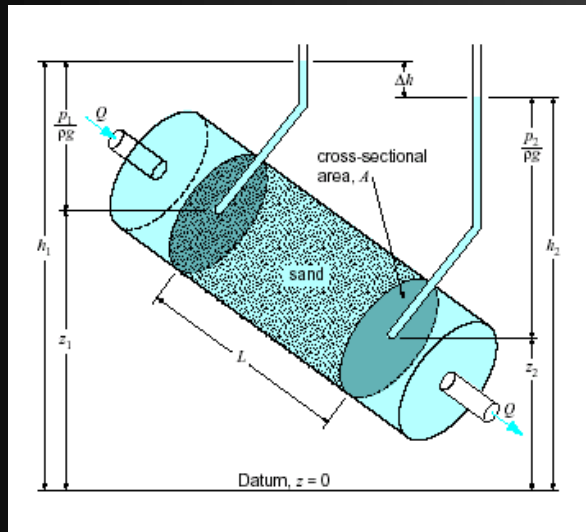
Negative sign because fluids flows from high pressure to low pressure, If the change in pressure is negative (where $h_1 > h_2$) then the flow will be in the positive x direction

$$q = -k \frac{h_1 - h_2}{L}$$

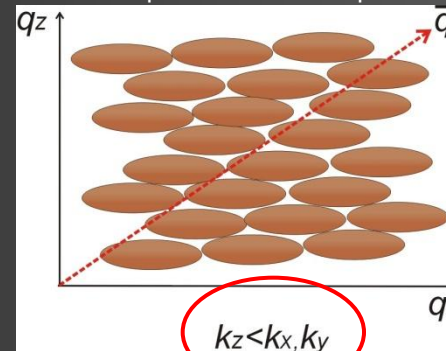
Divided by flow cross-sectional area A

$$q = -k \cdot \text{grad}h$$

Hydraulic head h is a scalar (1 component)



Specific discharge q is a vector (3 components - anisotropic environment)



$$\begin{aligned} q_x &= k_x \frac{\partial h}{\partial x} \\ q_y &= k_y \frac{\partial h}{\partial y} \\ q_z &= k_z \frac{\partial h}{\partial z} \end{aligned}$$

3D Vector form of Darcy law

$$q = \kappa \nabla h$$

Hydraulic gradient at anisotropic media

Hydraulic conductivity k is a Tensor (9 components in anisotropic media)

$$\kappa = \kappa(x, y, z) = \begin{bmatrix} k_{xx} & k_{xy} & k_{xz} \\ k_{xy} & k_{yy} & k_{yz} \\ k_{xz} & k_{yz} & k_{zz} \end{bmatrix}$$

Itemized form of hydraulic conductivity tensor

$$\begin{aligned} q_x &= k_{xx} \frac{\partial h}{\partial x} + k_{xy} \frac{\partial h}{\partial y} + k_{xz} \frac{\partial h}{\partial z}, \\ q_y &= k_{xy} \frac{\partial h}{\partial x} + k_{yy} \frac{\partial h}{\partial y} + k_{yz} \frac{\partial h}{\partial z}, \\ q_z &= k_{xz} \frac{\partial h}{\partial x} + k_{yz} \frac{\partial h}{\partial y} + k_{zz} \frac{\partial h}{\partial z}. \end{aligned}$$

Groundwater flow equation

Law of Mass Balance = water balance equation

Steady State Water Balance Equation

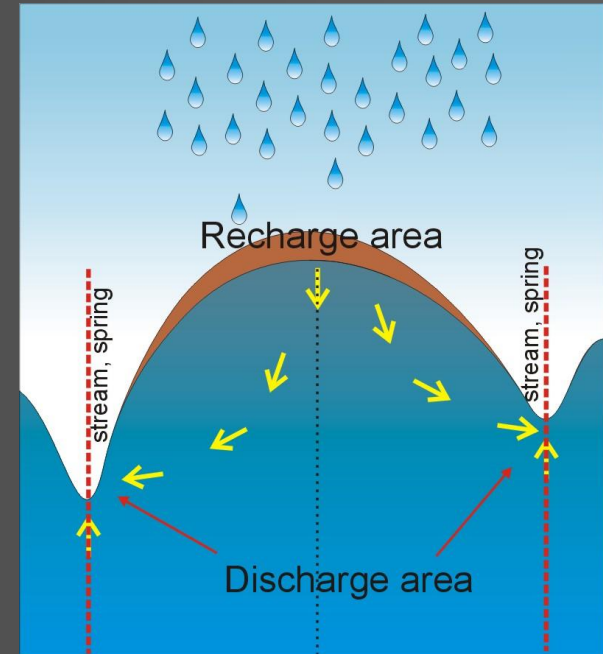
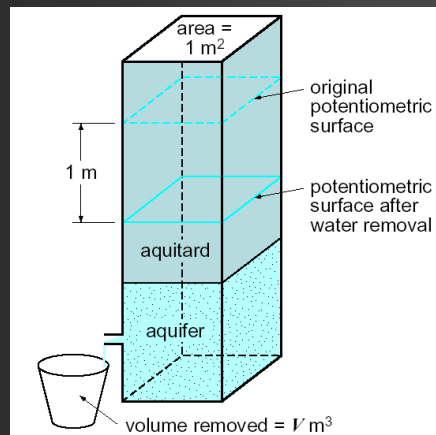
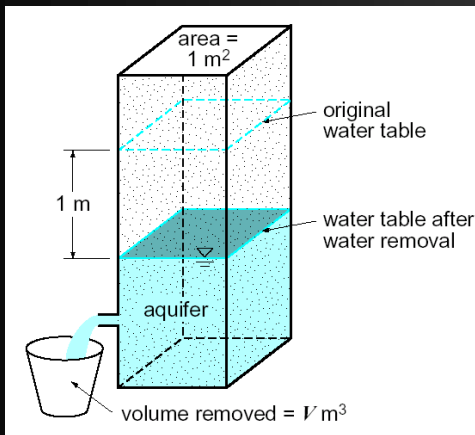
Inflow = Outflow

Transient Water Balance Equation

Outflow - Inflow = Change in Storage

Specific yield = S_y

Storativity = S



Specific discharge q at anisotropic environment with flow of compressible fluid, Transient regime

$$\frac{\partial(q_x \rho)}{\partial x} + \frac{\partial(q_y \rho)}{\partial y} + \frac{\partial(q_z \rho)}{\partial z} = -S\rho \frac{\partial h}{\partial t} + W\rho$$

$$\nabla(q\rho) = -S\rho \frac{\partial h}{\partial t} + W\rho$$

Enters or leaves of groundwater

Divergence – scalar product of Hamilton operator and vector field (divergence is a vector operator that measures the magnitude of a vector field's source or sink at a given point, in terms of a signed scalar)

Groundwater flow equation

Darcy's law + Law of mass balance = Groundwater flow equation

$$q = \kappa \nabla h \quad + \quad \nabla(q\rho) = -S\rho \frac{\partial h}{\partial t} + W\rho \quad = \quad \nabla(\kappa \nabla h \rho) = -S\rho \frac{\partial h}{\partial t} + W\rho$$

Equation of transient flow of compressible fluid in anisotropic and compressible environment

Simplification of Groundwater flow equation based on assumptions that:

- Tensor κ replaced by one-directional hydraulic conductivity k
- Water density ρ is constant and thus can be released
- Very often is possible to release transient term $S\rho \frac{\partial h}{\partial t}$
- Sometimes may be z direction neglected

Groundwater flow equation

Transient flow

Incompressible fluid in anisotropic incompressible medium

$$3D \quad \frac{\partial}{\partial x} \left(k_{xx} \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left(k_{yy} \frac{\partial h}{\partial y} \right) + \frac{\partial}{\partial z} \left(k_{zz} \frac{\partial h}{\partial z} \right) = -S_s \frac{\partial h}{\partial t} + W \quad 2D \quad \frac{\partial}{\partial x} \left(k_{xx} b \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left(k_{yy} b \frac{\partial h}{\partial y} \right) = -S_s \frac{\partial h}{\partial t} + W$$

Incompressible fluid in isotropic incompressible medium

$$3D \quad \left(\frac{\partial^2 h}{\partial x^2} + \frac{\partial^2 h}{\partial y^2} + \frac{\partial^2 h}{\partial z^2} \right) k = -S_s \frac{\partial h}{\partial t} + W \quad 2D \quad \left(\frac{\partial}{\partial x} \left(b \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left(b \frac{\partial h}{\partial y} \right) \right) k = -S_s \frac{\partial h}{\partial t} + W$$

Steady state flow

Incompressible fluid in anisotropic incompressible medium

$$3D \quad \frac{\partial}{\partial x} \left(k_{xx} \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left(k_{yy} \frac{\partial h}{\partial y} \right) + \frac{\partial}{\partial z} \left(k_{zz} \frac{\partial h}{\partial z} \right) = W \quad 2D \quad \left(\frac{\partial}{\partial x} \left(k_{xx} b \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left(k_{yy} b \frac{\partial h}{\partial y} \right) \right) k = W$$

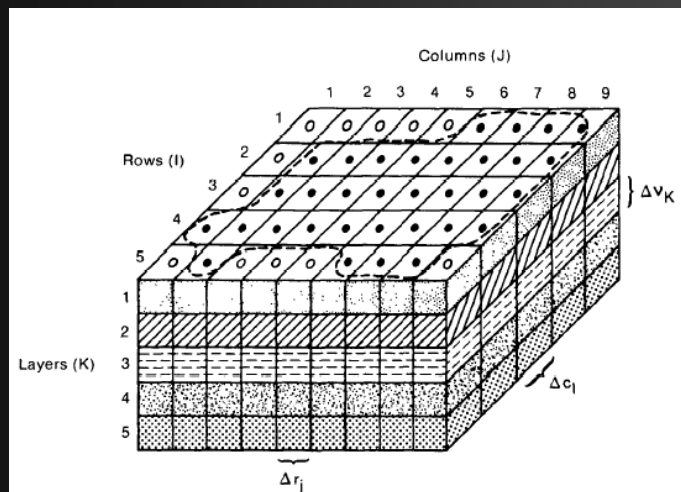
Incompressible fluid in isotropic incompressible medium

$$3D \quad \left(\frac{\partial^2 h}{\partial x^2} + \frac{\partial^2 h}{\partial y^2} + \frac{\partial^2 h}{\partial z^2} \right) k = W \quad 2D \quad \left(\frac{\partial}{\partial x} \left(b \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left(b \frac{\partial h}{\partial y} \right) \right) k = W \quad \text{If } W=0 \rightarrow \text{Laplace equation}$$

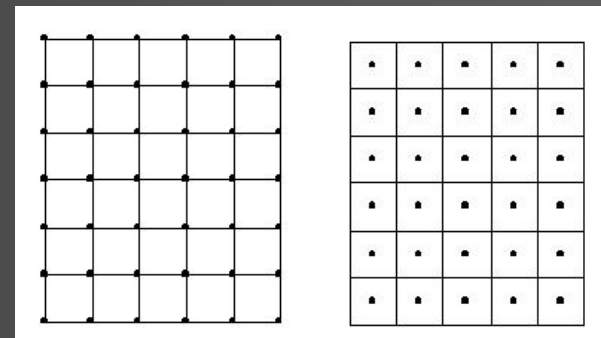
Finite difference method

If is Analytical solution difficult – approximation by numerical methods
The finite differences method (FDM)

- Problem is solved by difference equations, which are discretized by **nodes in grid**
- Derivation is in nodes of grid replaced by differences – linear combination of functional value (hydraulic heads, flow rates) in surrounding nodes
- System of linear algebraic equations with unknown values of differences in nodes
- System of equations is solved in district Ω bounded by **boundary conditions**

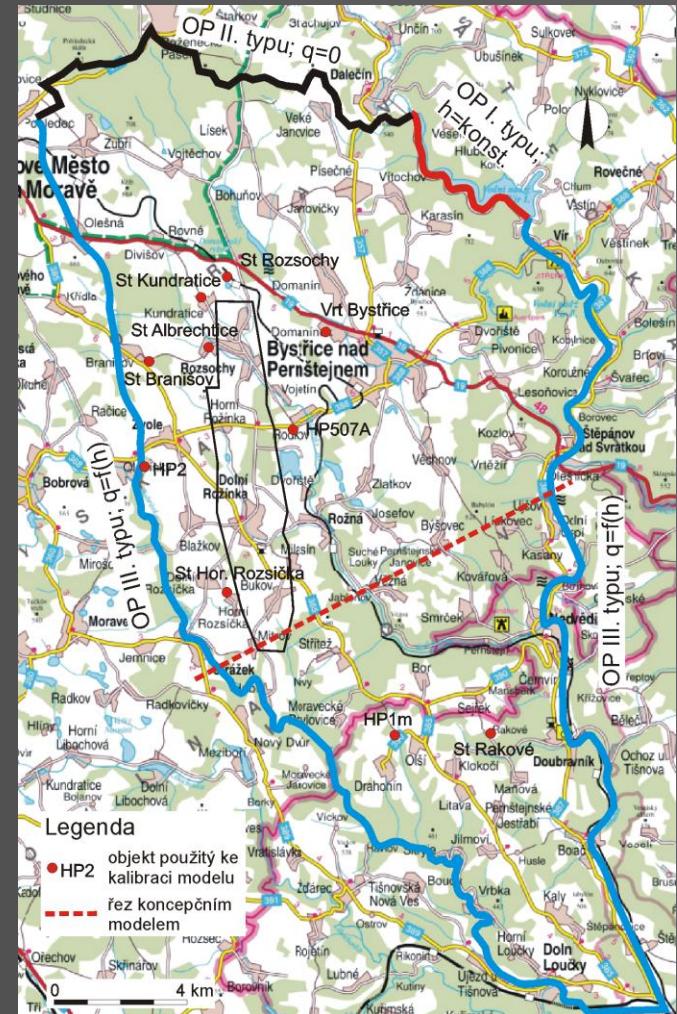


Mesh centered cell-centered



Boundary Conditions

- **Dirichlet boundary condition** (boundary condition of 1. type): it specifies the values of solution on the boundary of the domain, specified head $h=k$
- **Neumann boundary condition**: it specifies the flow rate values on the boundary of the domain, specified flow, mostly $q=0$ (boundary condition of 2. type)
- **Cauchy boundary condition**: flow rate is dependent on hydraulic head on boundary, head dependent flow $h=f(h)$
- (boundary condition of 3. type)
- **Initial conditions**: in case of transient flow - hydraulic heads in time=0



Groundwater flow equation + boundary conditions = numerical groundwater flow model

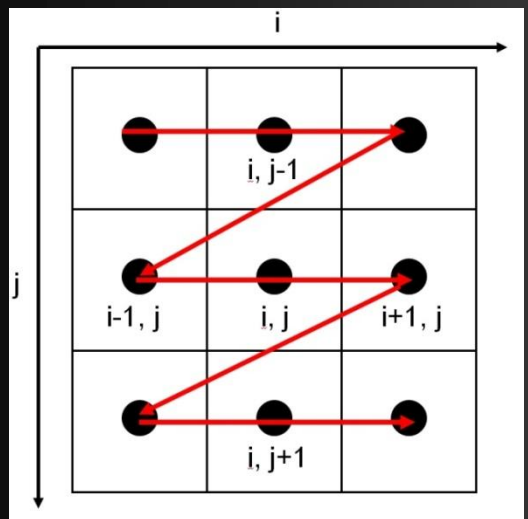
Finite difference method

Numerical methods - iteration:

Problem is solved by **repeating a process** with the aim of approaching a desired goal. Each repetition is called an iteration and the result of one iteration is used as the starting point for the next iteration.

- The iteration process starts from initial estimation h^0 (or measured).
- Calculation process proceeds for example In Gauss-Seidel iteration from upper left corner to right and from up to down.
- In every node $x_{i,j}$ is calculated new value $h_{i,j}^m$ according to values in adjacent nodes
- New values are also calculated both new $h_{i,j}^m$ and old $h_{i,j}^{m-1}$ values in adjacent nodes
- Iterations proceed until reaching of **Convergence criteria** ε (i. e. required accuracy) $\|h^m - h^{m+1}\| < \varepsilon$

2-D



Cell ijk and indices for the six adjacent cells

Gauss-Seidel Iteration

$$h_{i,j}^m = \frac{h_{i+1,j}^m + h_{i-1,j}^m + h_{i,j+1}^m + h_{i,j-1}^m}{4}$$

initial guesses m

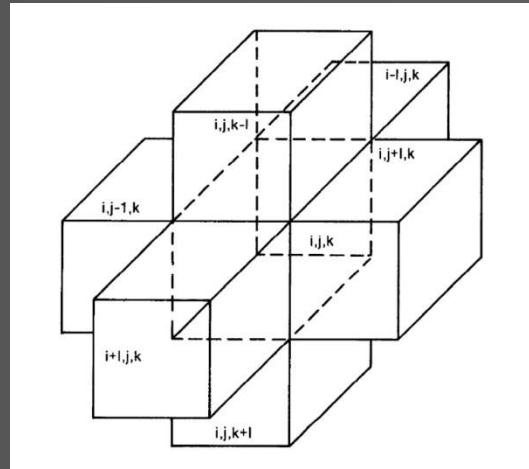
$$h_{i,j}^{m+1} = \frac{h_{i+1,j}^m + h_{i-1,j}^{m+1} + h_{i,j+1}^m + h_{i,j-1}^{m+1}}{4}$$

initial guesses m and next step $m+1$

$$\|h^m - h^{m+1}\| < \varepsilon$$

Iteration reached Convergence criteria

3-D



Finite difference method

Numerical methods – iteration:

Example of spreadsheet modeling

100	100.4	100.8
100.6398	100.785247	101.04
100.9887	=(A10+B9+C10+D11)/4	
101.1923	101.2395055	101.366
101.3013	101.3386139	101.443

2-D
Cross-section, unconfined aquifer
Numerical - discrete solution
Solution by Gauss-Seidl iteration
Mesh-centered grid

7	h (L)											konstantní hladina		
8	100	100.4	100.8	101.2	101.6	102	102.4	102.8	103.2	103.6	104	q=0	modelovaná oblast	q=0
9	100.6398	100.785247	101.0398	101.3419	101.6663	102	102.3337	102.65813	102.9602	103.2148	103.3602			
10	100.9887	101.0613861	101.2321	101.4614	101.7234	102	102.2766	102.53861	102.7679	102.9386	103.0113			
11	101.1923	101.2395055	101.3658	101.5482	101.7658	102	102.2342	102.45178	102.6342	102.7605	102.8077			
12	101.3013	101.3386138	101.4432	101.6	101.7914	102	102.2086	102.4	102.5568	102.6614	102.6987			
13	101.3359	101.3703954	101.4685	101.6171	101.8	101.9999999	102.2	102.38288	102.5315	102.6296	102.6641			
14														
15	K													
16	30	30	30	30	30	30	30	30	30	30	30			
17														
18	Q(L3/L/T)													
19	-9.5970	-11.5574	-7.1940	-4.2562	-1.9893	0.0000	1.9893	4.2562	7.1940	11.5574	9.5970	v okrajových podmínkách q = 0 je přítok poloviční, proto /2		
20	-5.2336	-8.2842	-5.7684	-3.5854	-1.7116	0.0000	1.7116	3.5854	5.7684	8.2842	5.2336			
21	-3.0533	-5.3436	-4.0102	-2.6052	-1.2718	0.0000	1.2718	2.6052	4.0102	5.3436	3.0533			
22	-1.6361	-2.9733	-2.3237	-1.5533	-0.7705	0.0000	0.7705	1.5533	2.3237	2.9733	1.6361			
23	-0.5179	-0.4767	-0.3791	-0.2568	-0.1284	0.0000	0.1284	0.2568	0.3791	0.4767	0.5179			
24														
25														
26	R Total		D Total		Error									
27	-3.46E+01		3.46E+01		5.65E-06									
28														
29	MESH CENTERED slope													
30														

7	h (L)		
8	100	100.4	100.8
9	=(2*B9+A9+C10)/4		
10	100.938	101.0121718	101.185
11	101.1243	101.17354	101.302
12	101.3013	101.3386139	101.443
13	101.3359	101.3703955	101.468
14			

7	h (L)		
8	100	100.4	100.8
9	100.6398	100.785247	101.0398
10	100.9887	101.0613861	101.2321
11	101.1923	101.2395055	101.3658
12	101.3013	101.3386138	101.4432
13	101.3359	101.3703954	101.4685
14			
15	K		
16	30	30	30
17			
18	Q(L3/L/T)		
19	-9.5970	-11.5574	-7.1940
20	-5.2336	-8.2842	-5.7684
21	-3.0533	=B\$16*(B10-B11)	
22	-1.6361	-2.9733	-2.3237
23	-0.5179	-0.4767	-0.3791

Basic principles of numerical modeling

Governing groundwater flow equation – transient prediction of hydraulic head in 3-D domain for an anizothropy hydraulic conductivity field

$$\frac{\partial}{\partial x} \left(K_{xx} \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left(K_{yy} \frac{\partial h}{\partial y} \right) + \frac{\partial}{\partial z} \left(K_{zz} \frac{\partial h}{\partial z} \right) - W = S_s \frac{\partial h}{\partial t}$$

K_{xx}, K_{yy}, K_{zz} = hydraulic conductivity

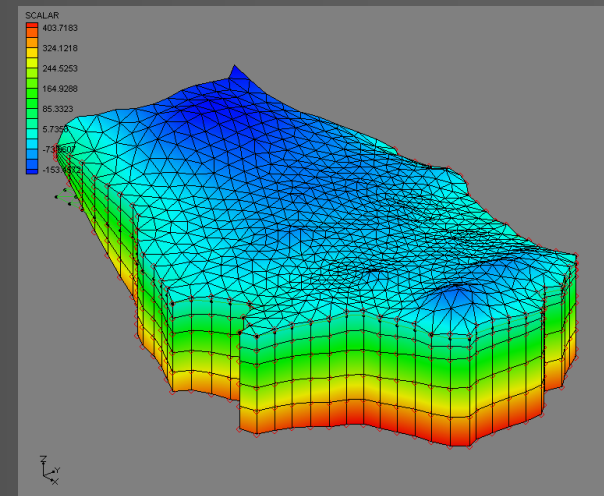
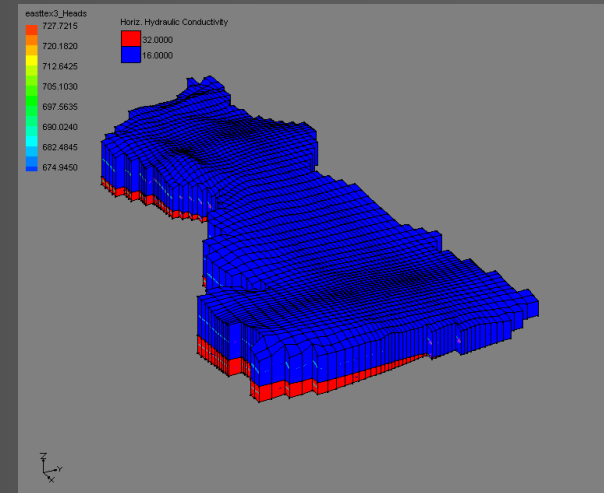
S_s = specific storage

W = flux term (sources or sinks)

h = hydraulic head

x, y, z = space coordinates

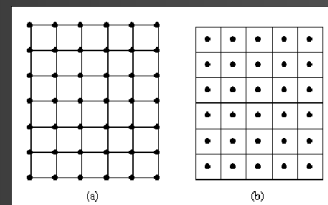
t = time



Governing flow equation is replaced by algebraic equations written and solved for each node of subdivided modeled region:

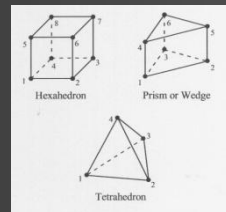
Finite differences:

Rectangular grid – cells mesh-centered, block-centered



Finite elements:

Triangular elements – more accurate diskretization



Numerical model conception

Varies approach to model:

- determinical** - detailed knowledge of geology
- stochastic** – statistical evaluation

Model application:

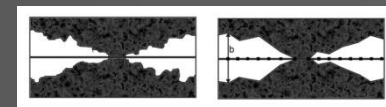
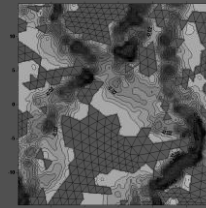
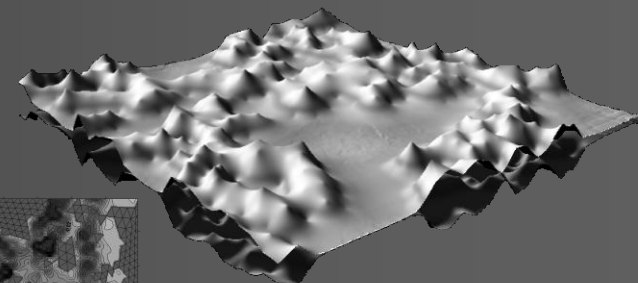
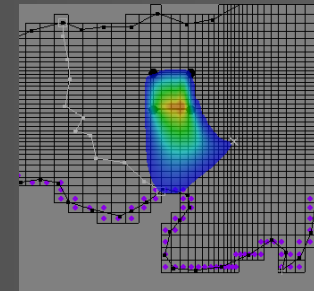
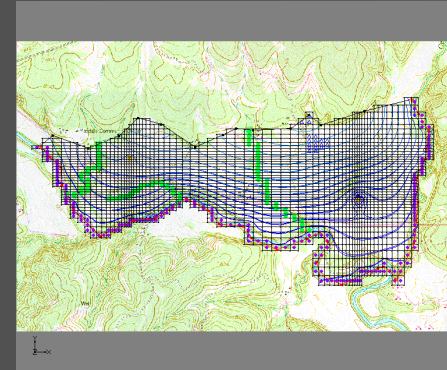
- conceptual model of groundwater flow** – idealized aquifer geometry
- model as predictive tool** – calibration, verification

Simulation:

- groundwater flow** – steady-state, transient
- transport model** – based on ground-water flowing model

Ground-water flow media:

- pore media** – the most sophisticated method, less problems with hydraulic anisotropy
- fractured media** – difficulties coupled with fractured net estimation



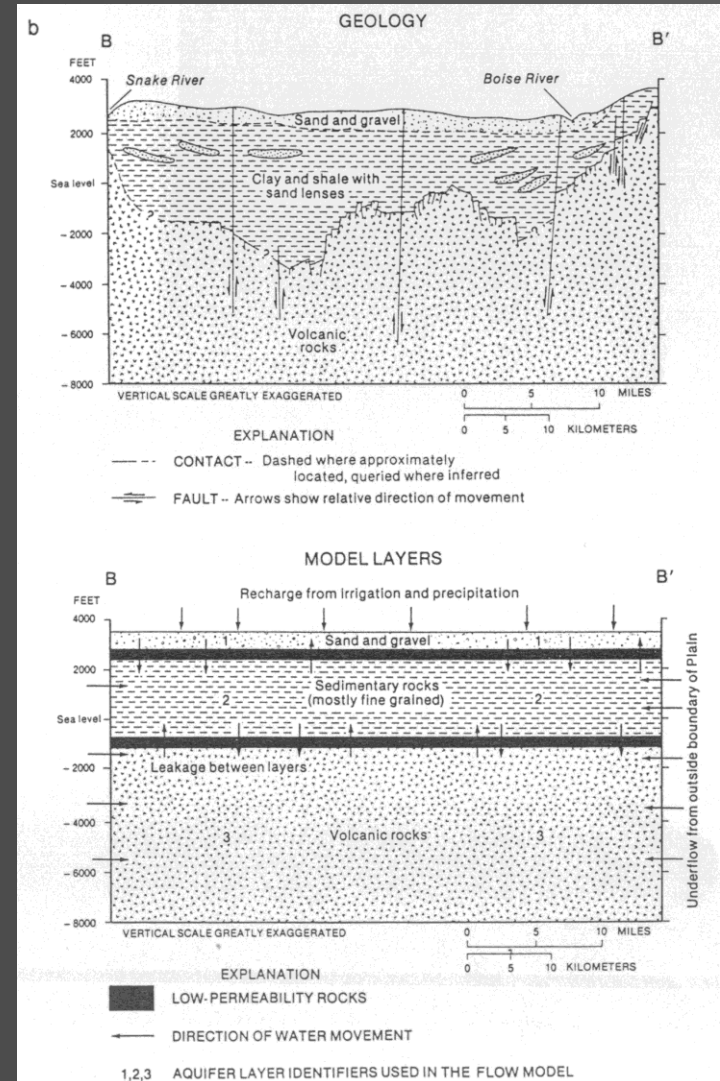
Conceptual model

Conceptual model is a pictorial representation of the groundwater flow system:

Block diagram

Cross section

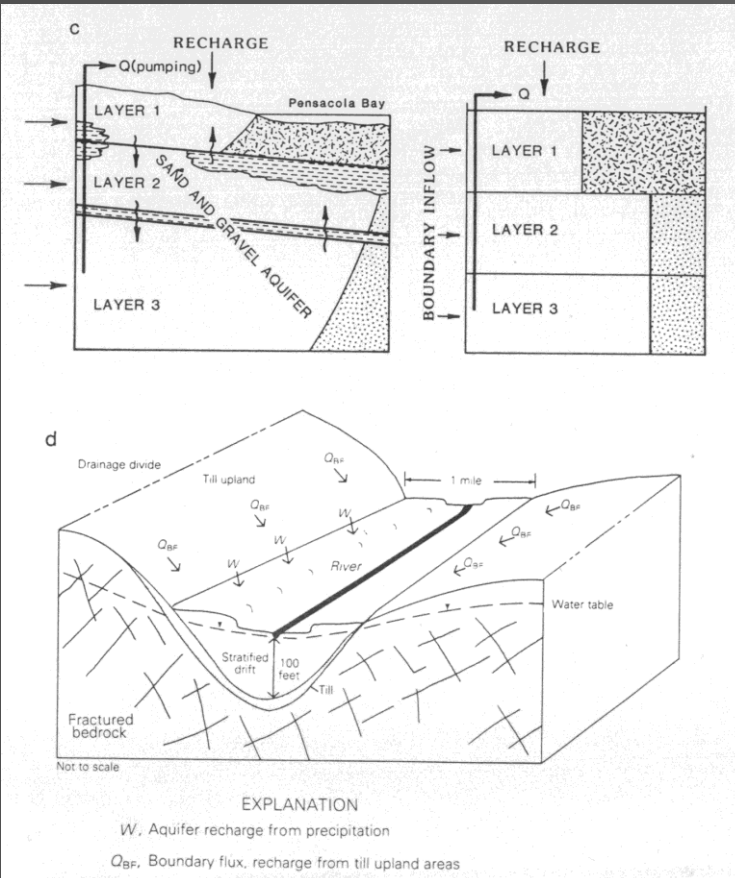
Simplification of the field system, complete reconstruction is not feasible



Conceptual model

Steps in formulating the conceptual model:

- **Define the area of interest** – identify the boundaries of the model
- **Defining hydrostratigraphic units** – comprise geologic units of similar hydrogeologic properties (regional x local scale), facies model, structural model
- **Prepare a water budget** – **sources** of water to the system (recharge: precipitation, overland flow, from surface water bodies), expected flow direction, **outflows** (springflow, baseflow to stream, ET, pumping)
- **Defining the flow system** – hydrologic and geochemical information are used to conceptualize the movement of groundwater through system - the location of recharge and discharge areas



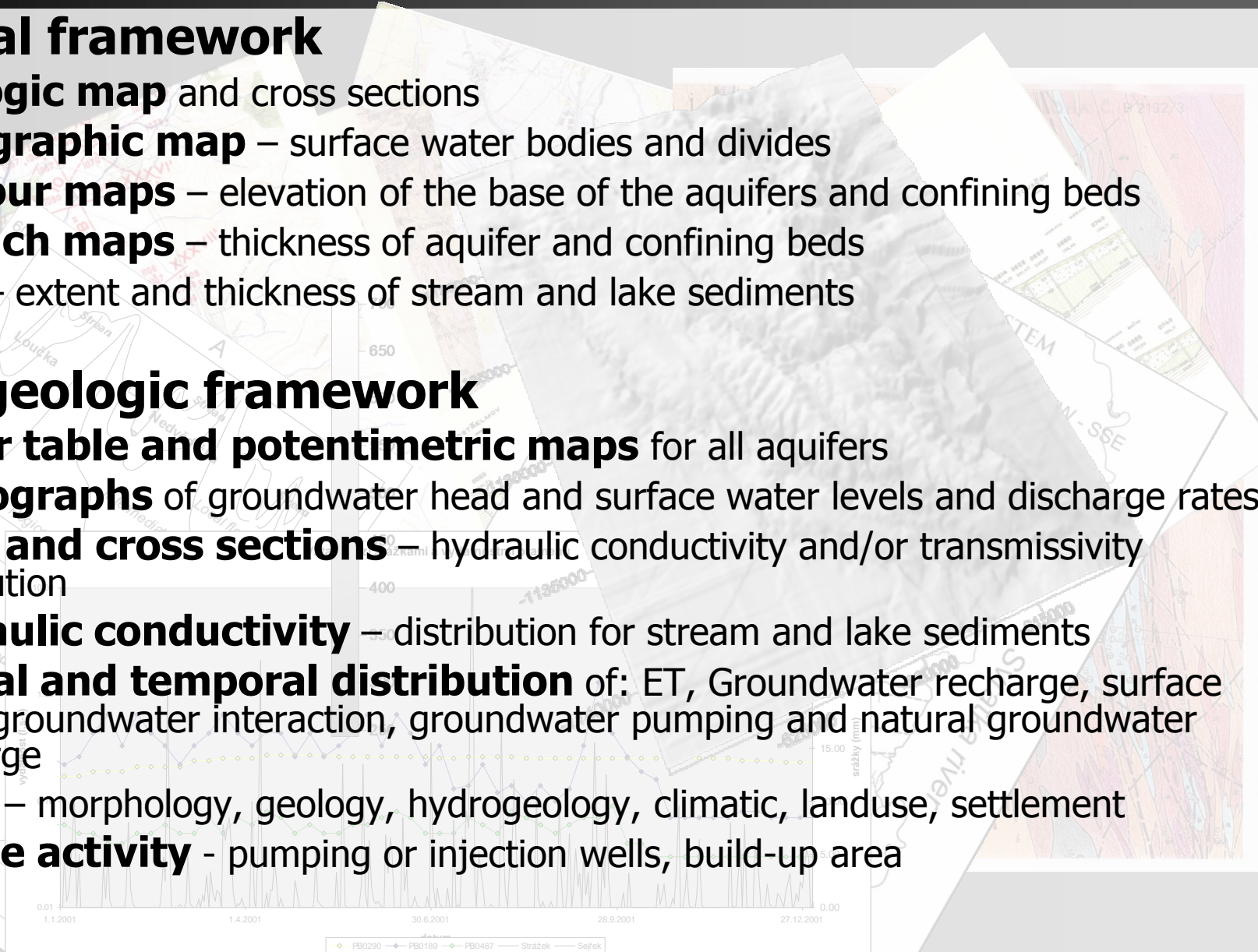
Data requirements for a Groundwater Flow Model

Physical framework

- **Geologic map** and cross sections
- **Topographic map** – surface water bodies and divides
- **Contour maps** – elevation of the base of the aquifers and confining beds
- **Isopach maps** – thickness of aquifer and confining beds
- **Map** – extent and thickness of stream and lake sediments

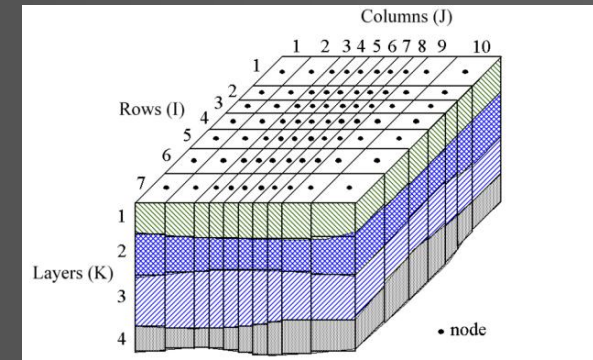
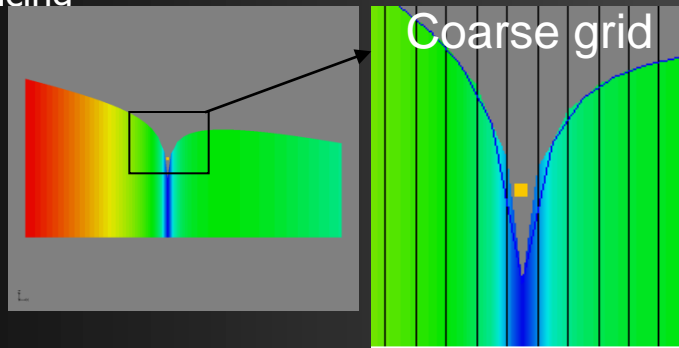
Hydrogeologic framework

- **Water table and potentiometric maps** for all aquifers
- **Hydrographs** of groundwater head and surface water levels and discharge rates
- **Maps and cross sections** – hydraulic conductivity and/or transmissivity distribution
- **Hydraulic conductivity** – distribution for stream and lake sediments
- **Spatial and temporal distribution** of: ET, Groundwater recharge, surface water-groundwater interaction, groundwater pumping and natural groundwater discharge
- **Maps** – morphology, geology, hydrogeology, climatic, landuse, settlement
- **People activity** - pumping or injection wells, build-up area



Hydrogeological model: grid design

- Conceptual model determines the dimension of the numerical model and the design of the grid
- **Grid** – continuous problem domain discretized to finite difference blocks – cells
Finite difference grids: block centered x mesh-centered
Finite elements – more flexibility in designing a grid
- **Defining model layers** – hydrostratigraphic units (various conditions for groundwater flowing)
- **Orienting the grid**
colinear with the principal direction of the hydraulic conductivity tensor
minimize the number of cells outside the boundaries of the modeled area
grid colinear with regional flow direction
- **Size of the nodal horizontal and vertical spacing** – function of the potentiometric surface and water table curvature, significant gradients require finer nodal spacing
- **Grid refinement** (regular and irregular grid) – the rule of thumb is to expand the grid by increasing nodal spacing no more than 1,5 times the previous nodal spacing



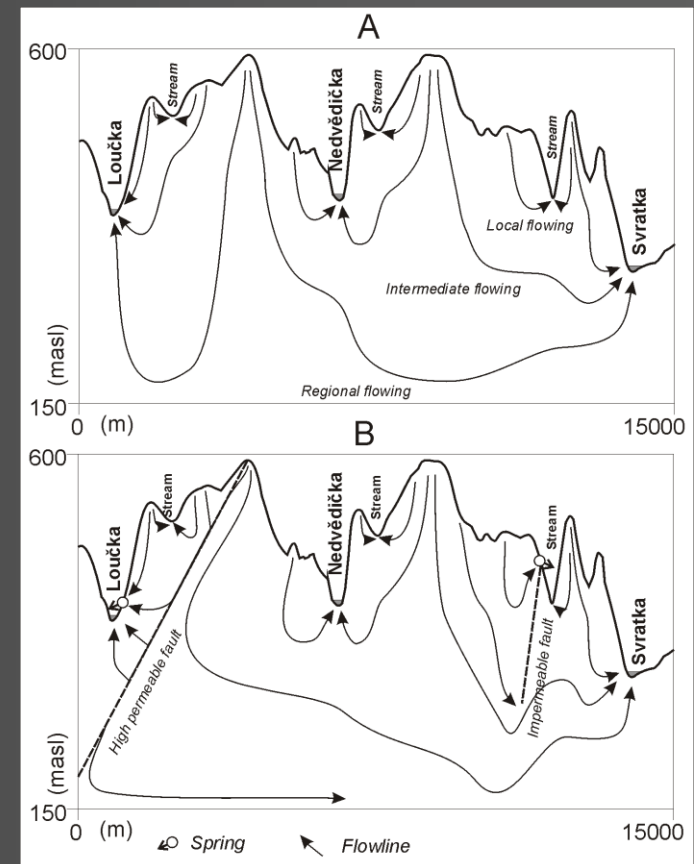
Hydrogeological model: boundaries

Correct selection of boundary condition is a critical step in model design

- **Physical boundaries** – formed by the physical presence impermeable body of rock (outcrops), river, lake
- **Hydraulic boundaries** – streamline, groundwater divide
- **Internal boundaries** – sources and sinks within interior of the grid (river, drain, lake, well etc.)

Hydrogeologic boundary condition:

- I. type** - specified head boundary, head = constant, often draining stream
- II. type** - specified flow boundary, $q = \text{constant}$, often inflow - outflow boundary, $q = 0$, no flow boundary, often groundwater divide, impermeable rock outcrop, impermeable fault
- III. type** – head dependent flow boundary, $q = f(h)$, mixed function of stream

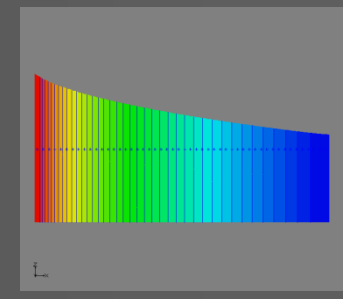
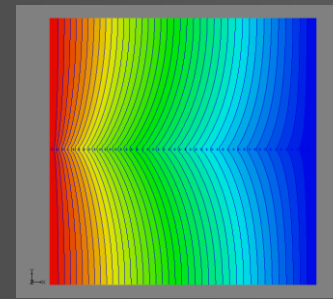
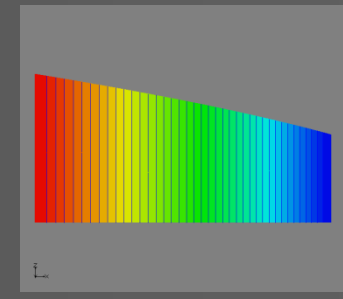
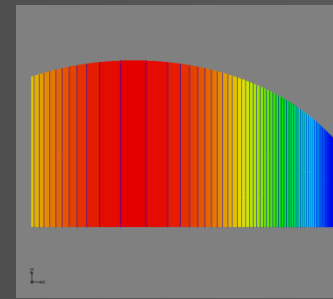
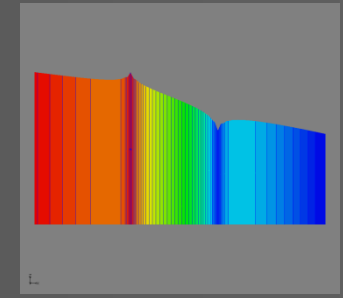
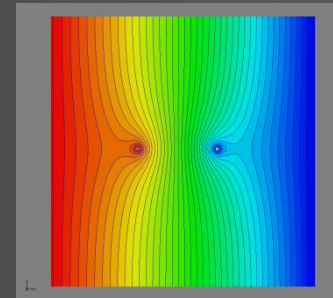


Hydrogeological model: sources and sinks

Water enters or leaves a model through the boundaries or through sources and sinks

Sources and Sinks

- Injection and pumping wells
- Flux across the water table – recharge x discharge (ET)
- Leakage – movement of water through a layer that has a vertical hydraulic conductivity lower than that of the aquifer. Leakage may enter or leave the aquifer depending on the relative differences in heads between the aquifer and the source reservoir – river, lake – III. type



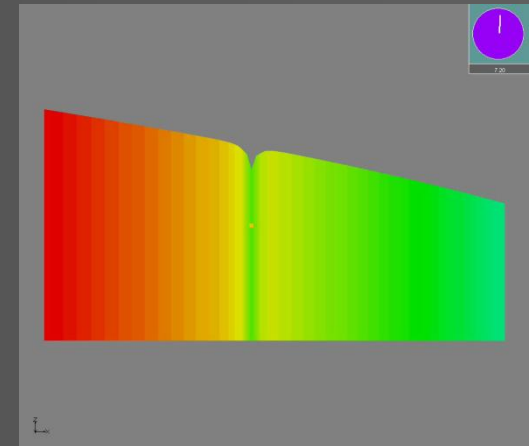
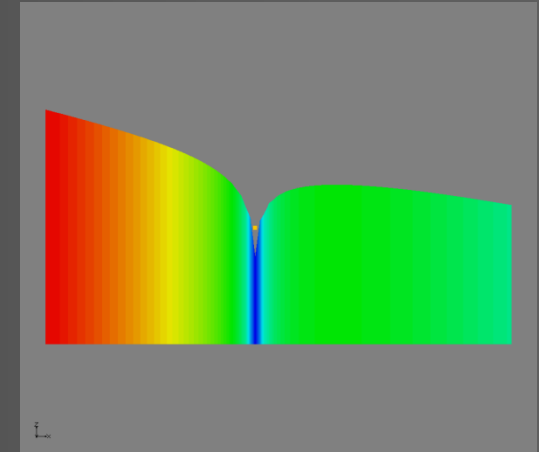
Hydrogeological model: simulation flow type

Steady-state flow simulation

- Numerical iterations are calculated until the heads and flow rates are unvarying
- Model calibration

Transient flow simulation

- Time dependent problems – transient variation of water level, flow rates
- Begin with steady-state initial conditions
- More complicated for data management:
 1. storage characteristics (storage coefficient for confined aquifer and specific yield for unconfined aquifer)
 2. Initial conditions giving the head distributions in the aquifer at the beginning of the simulation must be specified in addition to boundary conditions
 3. The time dimension must be discretized

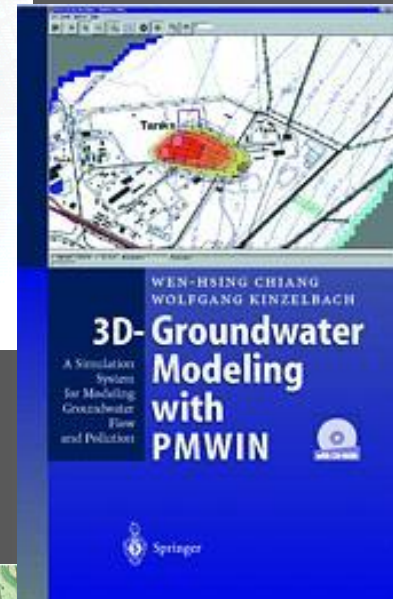


Hydrogeological model: model execution

Code selection:

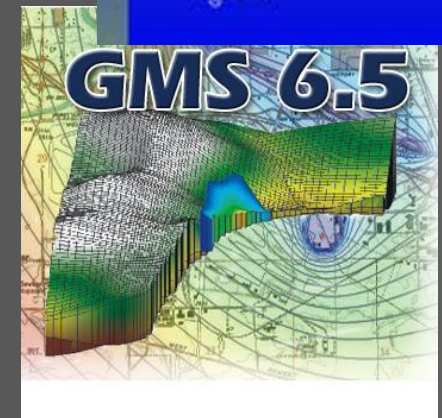
- Finite elements, finite differences
- Saturated, unsaturated flow
- Transport – advective, multiphase
- Heat flow, fluid density

(complex packages – Fefflow, GMS, PMWIN)



Initiating Model Execution:

- Error criterion – nonconvergence (iteration residual error)
 - inappropriate initial guesses of heads and parameters, poorly discretized time and space
- Error criterion for heads – convergence criteria
- Error criterion for the water balance – checking of the residual error in the solution allows total simulated inflows and outflows as computed by the Water Budget



Modeling rules/guidelines

- Boundary conditions always affect a steady state solution.
- Initial conditions should be selected to represent a steady state configuration of heads.
- In general, the accuracy of the numerical solution improves with smaller grid spacing and smaller time step
- A water balance should always be included in the simulation.

Hydrogeological model: calibration process

Calibrated model means that is capable to produce field-measured heads and flows.

Finding of the right parameters (hydraulic conductivity, specific storage), boundary conditions and stresses (recharge):

- **First calibration** (steady-state flow) and **second calibration** (transient flow)
- Mean annual water level or seasonal average of heads

Calibration values (Sample Information)

- **Heads** – errors caused by measure accuracy, scaling effect (average head in well with the long screen, but model require point value), unmodeled heterogeneity, interpolations errors (calibration values do not coincide with nodes)
- **Fluxes** – baseflow, springflow, infiltration from a losing stream or ET from the water table – usually larger errors than errors asociated with head measurements
- It as advisable to use both heads and fluxes

Hydrogeological model: calibration process

Parameter estimates (Prior information) — used during the calibration process

- **Hydraulic conductivity and/or Transmissivity, storage parameters** — usually derived from aquifer tests
- **Recharge** — usually not available information — choose plausible range of values
- **Boundary conditions** — uncertainty if do not correspond to the natural physical boundaries of the aquifer, specified head boundary (III. type) will help achieve calibration

Calibration Techniques

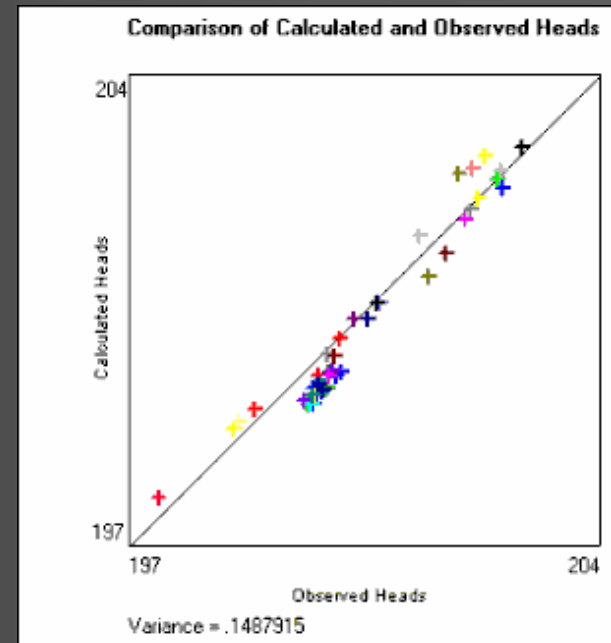
- **Manual trial and error adjustment of parameters** — parameter values adjusted in sequential model runs to match simulated heads and flows to the calibration targets
- **Automated parameter estimation** — specially developed codes guarantee the statistically best solution and quantify the uncertainty in parameter estimates

Hydrogeological model: calibration process

Evaluating the Calibration

- **Qualitative measure** - comparison between contour maps of measured and simulated heads
- **Quantitative measure** – scatter plot of measured against simulated contours

- Deviation of points from the straight line should be randomly distributed
- If a trend is evident, parameter values should be adjusted



Hydrogeological model: calibration process

Expressing the average difference between simulated and measured heads:

1. **The mean error (ME)** - the mean difference between measured heads (h_m) and simulated heads (h_s)

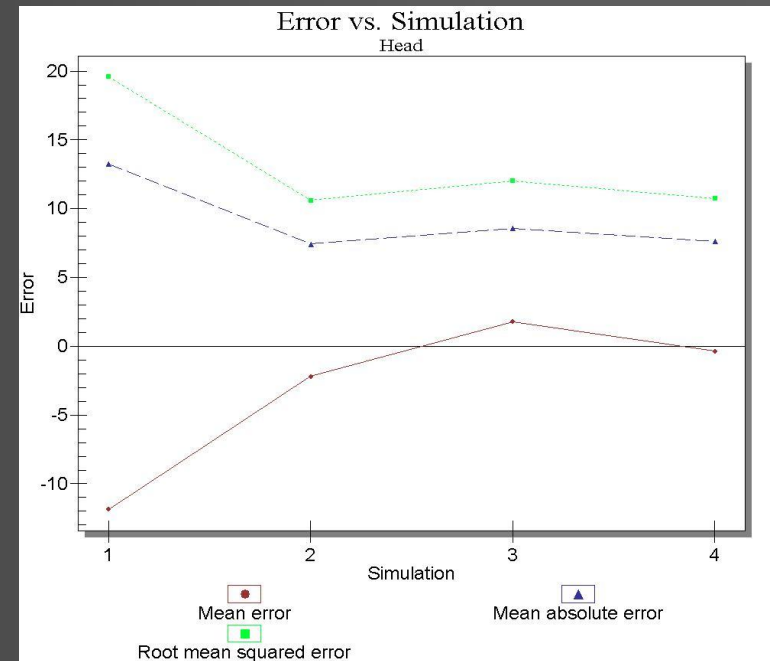
$$ME = 1/n \sum_{i=1}^n (h_m - h_s)_i$$

2. **The mean absolute error (MAE)** - the mean for the absolute value of the differences in measured and simulated heads

$$MAE = 1/n \sum_{i=1}^n |h_m - h_s|_i$$

3. **The root mean squared (RMS)** - error or the standard deviation as the average of the squared differences in measured and simulated heads

$$RMS = \left[1/n \sum_{i=1}^n (h_m - h_s)_i^2 \right]^{0.5}$$



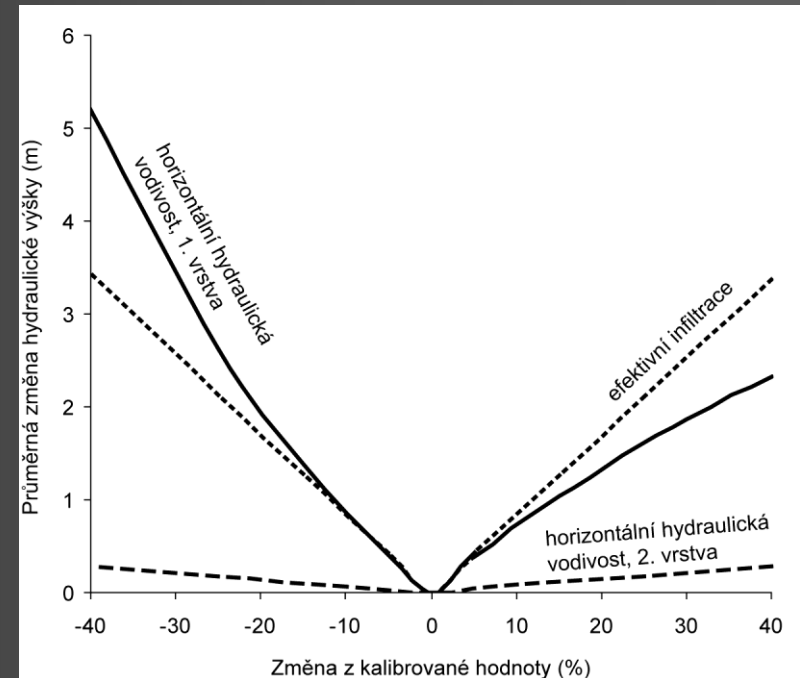
Hydrogeological model: sensitivity analysis and model verification

Sensitivity analysis - quantify the uncertainty in the calibrated model caused by uncertainty in the estimates of aquifer parameters

Changing two or more parameters also might be examined to determine the widest range of plausible solutions

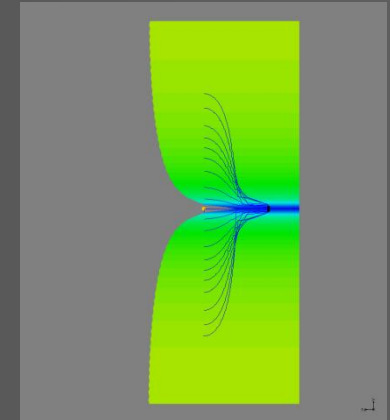
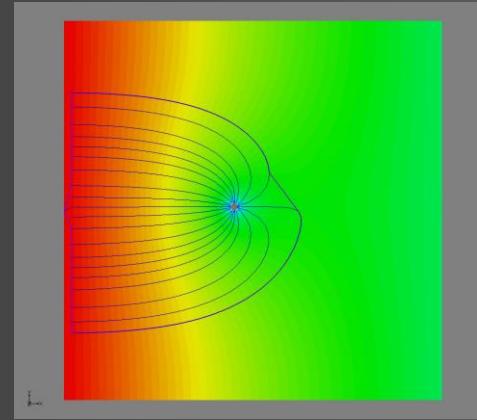
Model verification - help establish greater confidence in the calibration

Calibrated values are used to simulate a transient response for which a set of data exists (e.g. Record of groundwater levels in response to drought or long-term pumping)



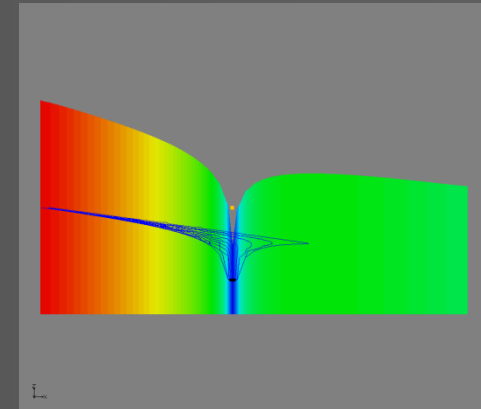
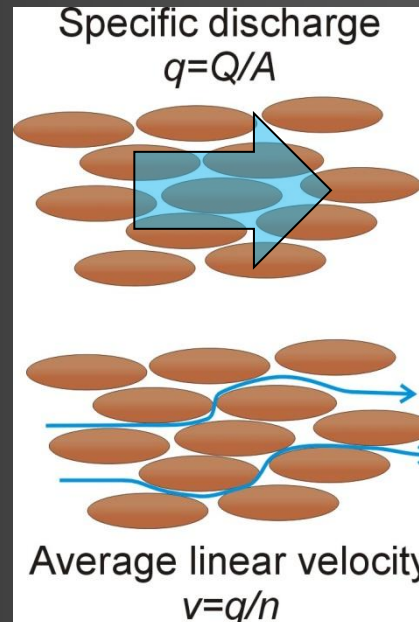
Hydrogeological model: particle tracking of groundwater flow

- Trace of out flow paths or pathlines by tracking the movement of infinitely small imaginary particles placed in the flow field
- Help visualize the flow field and to track contaminant paths
- Detect conceptual errors



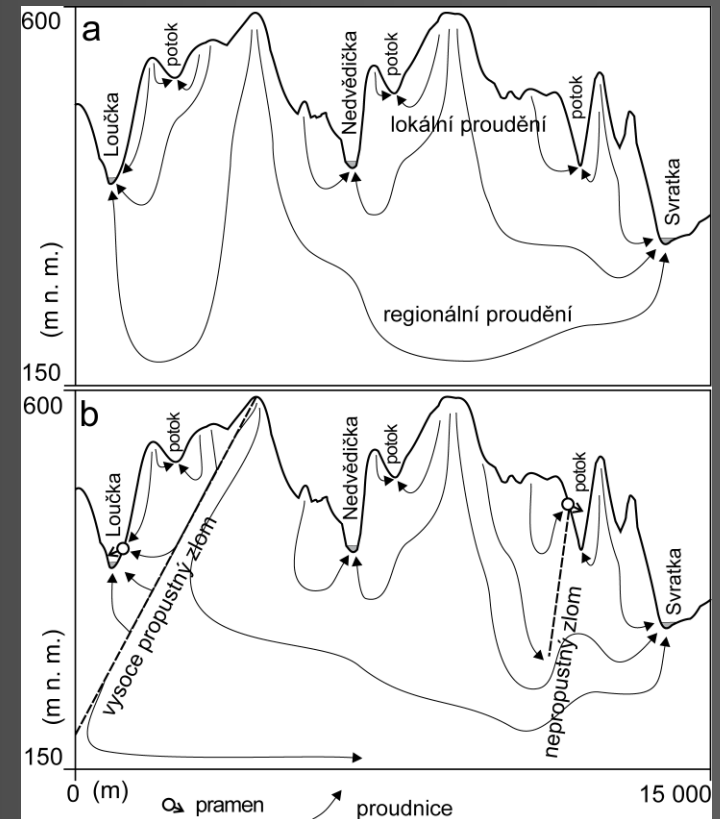
Transport of particles by advection

$$v = -K / n_e \text{ grad } h$$



Hydrogeological model: problems

- Unsuitable type of the numerical model – finite elements x differences
- Deficit of important data – heads (lack of the well), flow rates, precipitations (without gauge stations) → poor calibration
- Heterogeneity – hydraulic conductivity, character of the heterogeneities: linear heterogeneity (fractures) or areal (rock bodies form)
- Drying and wetting of the cells
- Deep flow – recharge, depth of the groundwater circulation – boundary condition



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