

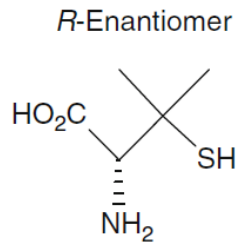
# Biocatalysis

- “ General Principles
  - . Stereoselectivity
  - . Biocatalyst production
  - . Biocatalyst immobilization
  - . Biocatalyst modification
- “ Hydrolytic reactions
- “ Redox reactions
- “ Addition-/elimination reactions
- “ Glycosyl Transfer
- “ Industrial applications
- “ Cascade processes

# Biocatalysis – General Aspects

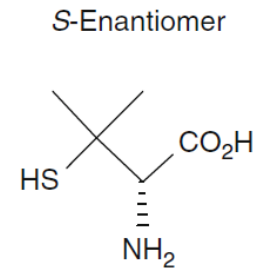
## Stereochemistry & Drug Synthesis

### Enantiomers & Diastereomer Discrimination

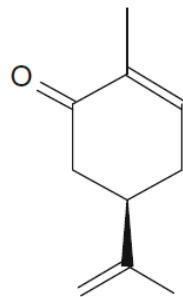


toxic

Penicillamine

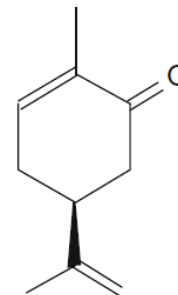


antiarthritic

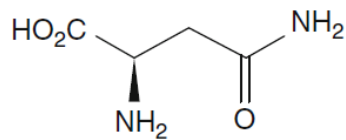


caraway scent

Carvone

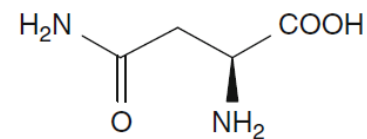


anise scent



sweet

Asparagine

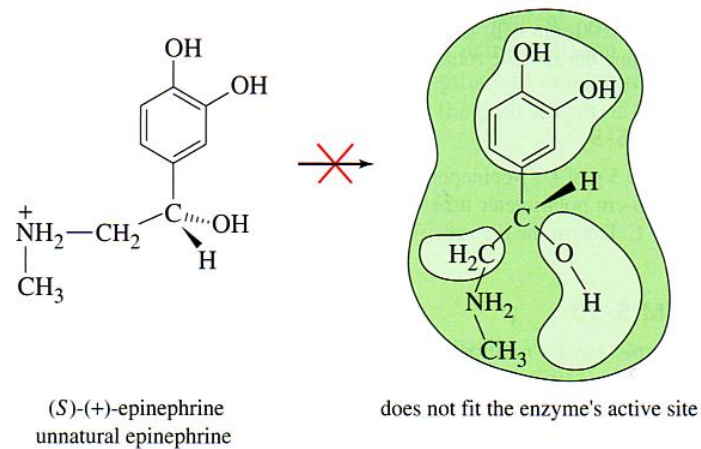
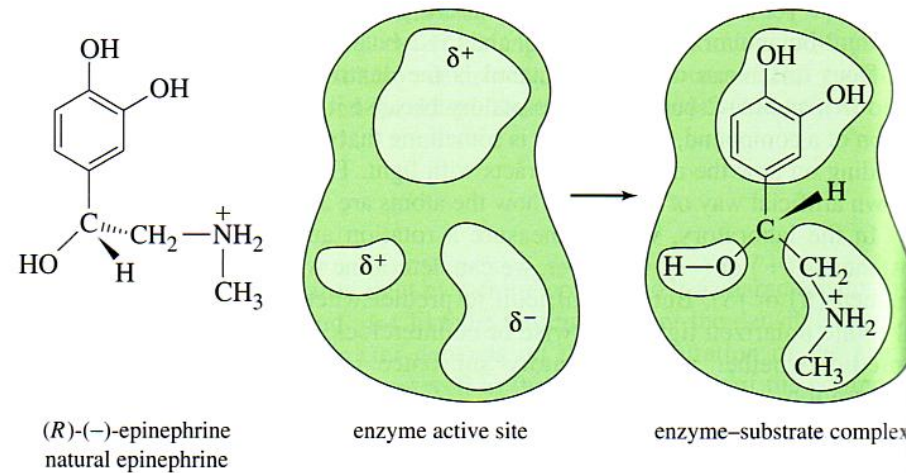


bitter

# Biocatalysis – General Aspects

## Stereochemistry & Drug Synthesis

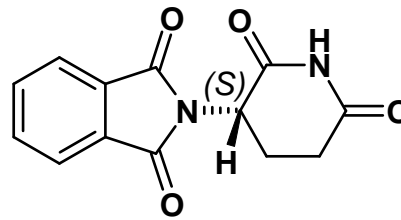
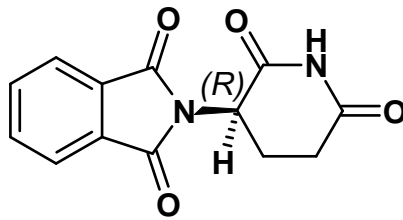
### Enantiomers & Diastereomer Discrimination



# Biocatalysis & General Aspects

## Stereochemistry & Drug Synthesis

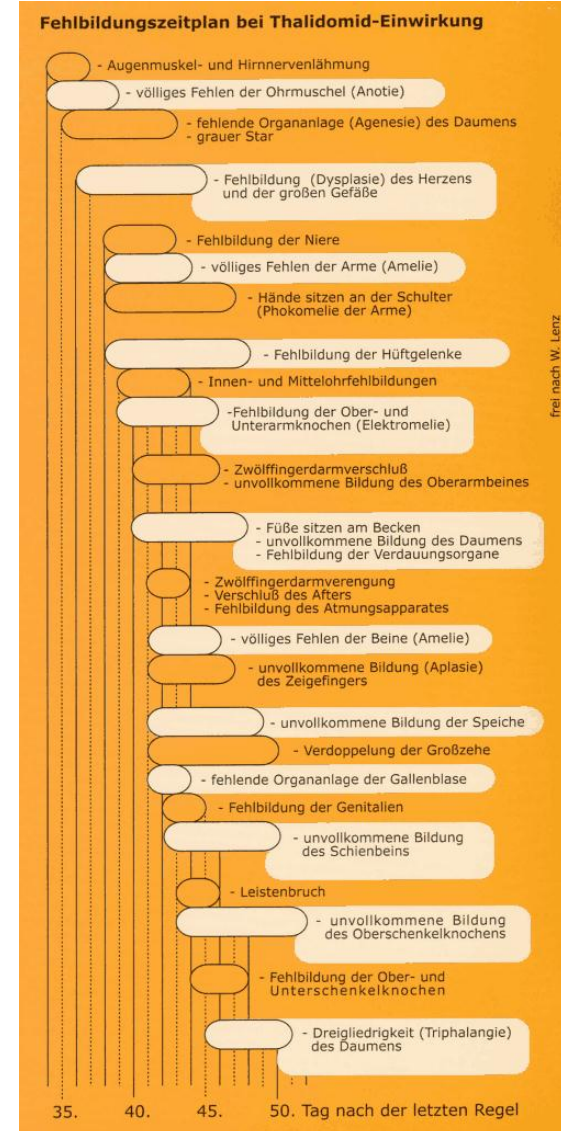
### " The Thalidomid Incident



#### Thalidomid:

(*R*)-enantiomer: weak analgetic

(*S*)-enantiomer: strong teratogenic side effects



# Biocatalysis – General Aspects

## Pros

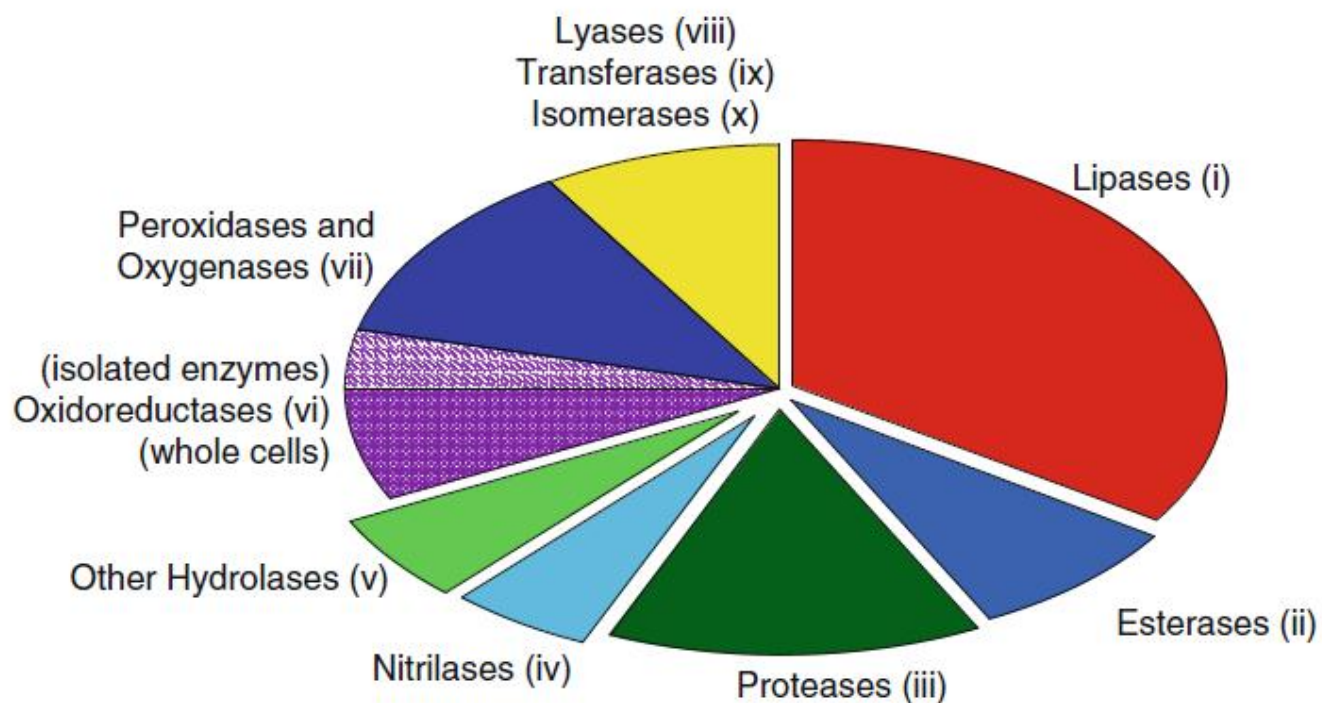
- **high enantioselectivity**
- **high regioselectivity** (incl. diastereoselectivity)
- **high chemoselectivity**
- **broad substrate tolerance**
- **high efficiency**
- **environmentally benign**
- **mild reaction conditions**
- **enzyme compatibility** (reaction cascades)

## Cons

- **enantioselectivity**
- **cofactors**
- **low flexibility in operational parameters**
- **aqueous reaction conditions** (loss of activity in organic solvents)
- **inhibition**
- **availability**

# Biocatalysis – General Aspects

## Enzymes & Transformations



(i) Ester formation, -aminolysis, -hydrolysis; (ii) ester hydrolysis; (iii) ester and amide hydrolysis, peptide synthesis; (iv) nitrile hydrolysis; (v) hydrolysis of epoxides, halogens, phosphates, and glycosides; (vi) reduction of C=O and C=C bonds; (vii) hydroxylation or C-H bonds, sulfoxidation of thioethers, epoxidation of alkenes, Baeyer-Villiger oxidation of ketones, dihydroxylation of aromatics, peroxidation; (viii) cyanohydrin formation, acyloin and aldol reaction; (ix) glycosyl and amino-group transfer; (x) Claisen-type rearrangement, isomerization of carbohydrates, racemization.

Fig. 4.1 Frequency of use of particular biocatalysts in biotransformations



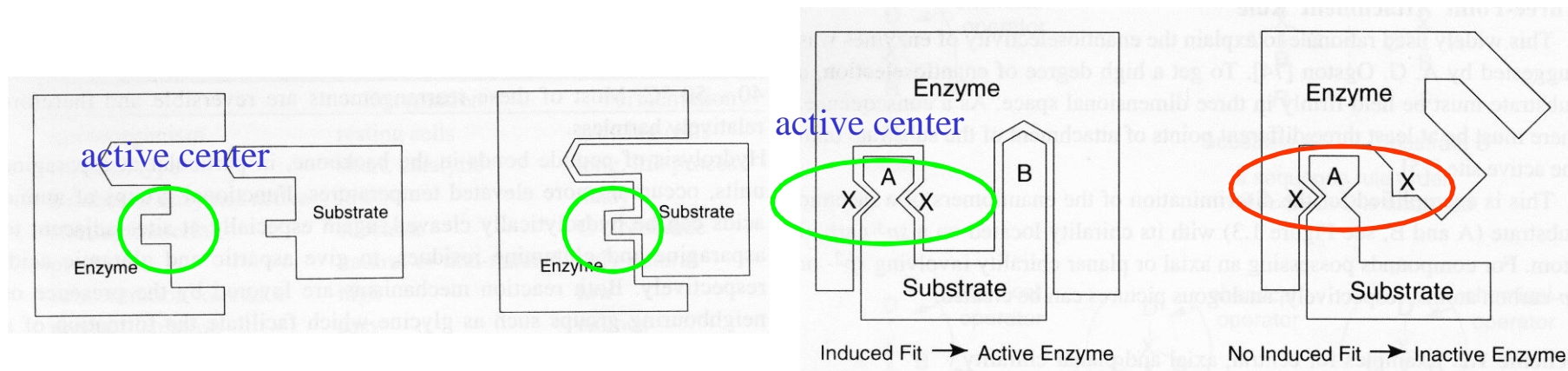
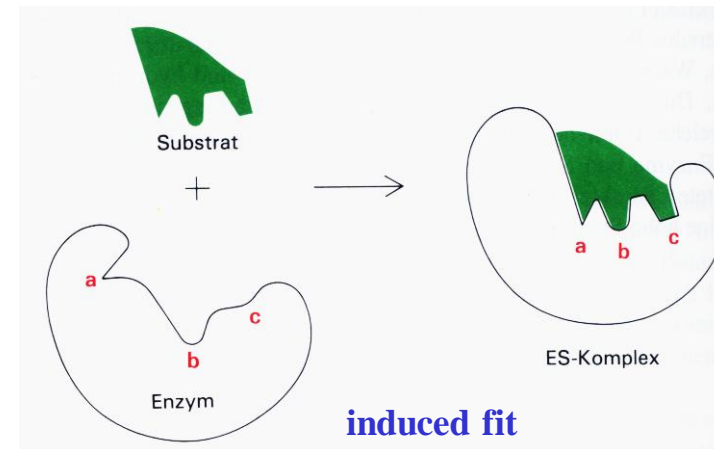
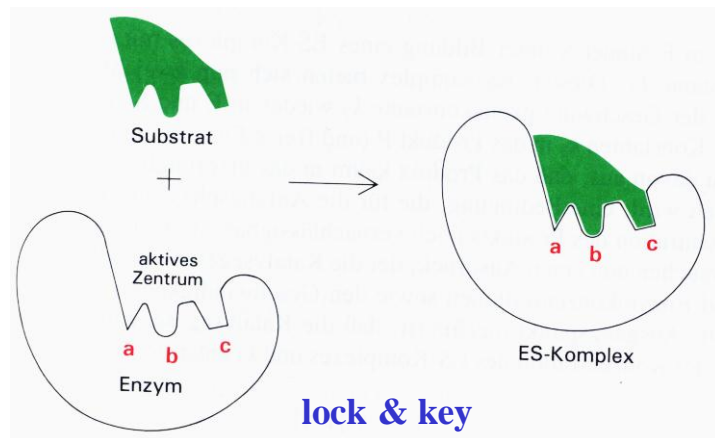
# Biocatalysis – General Aspects

## Induced-Fit-Theory

“ Koshland 1961

- conformational influence by substrate & enzyme

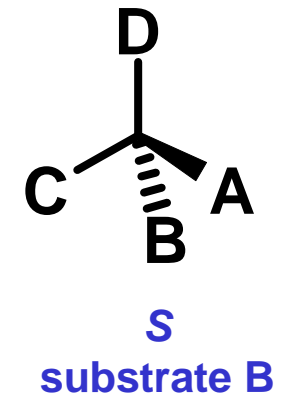
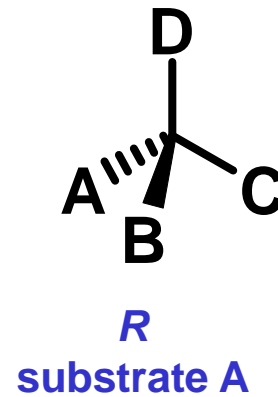
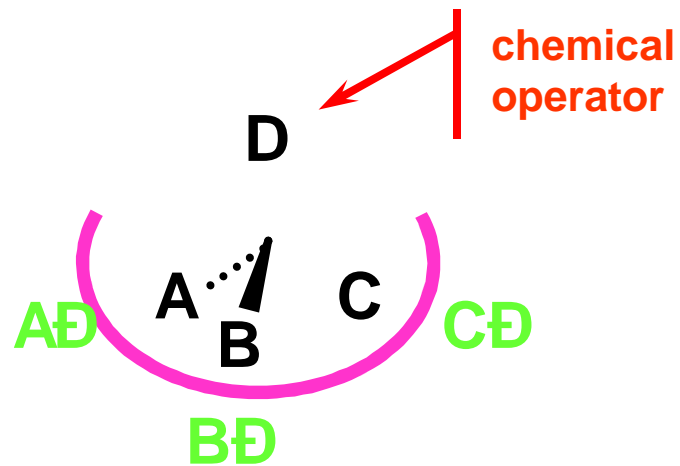
⇒ **modification of the biological activity of proteins**



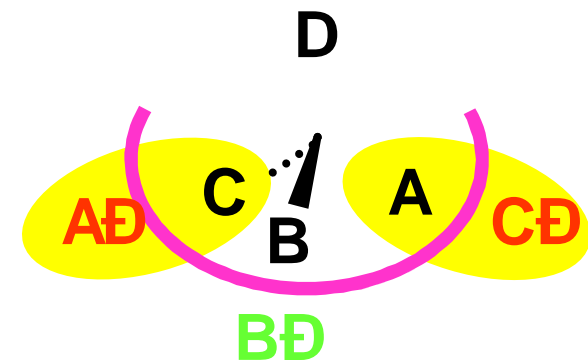
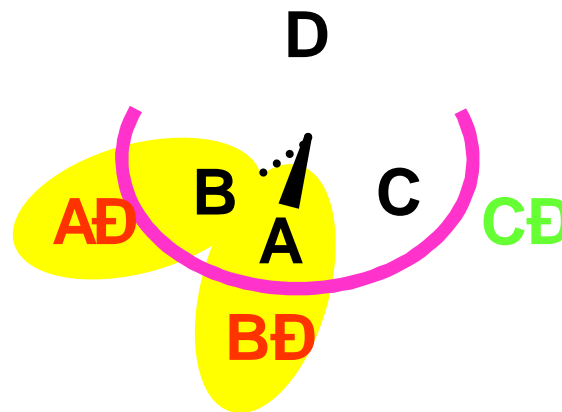
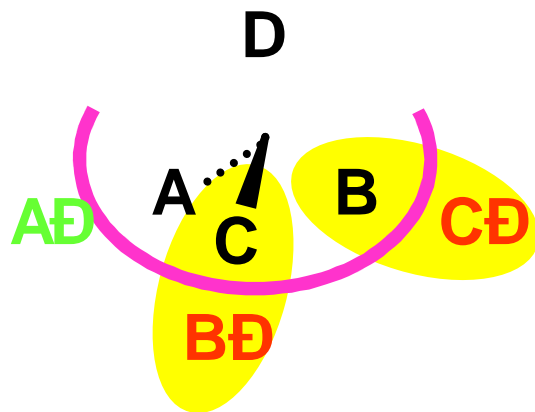
# Biocatalysis – General Aspects

## Enantioselectivity

” Three-Point Attachment Theory (Ogston 1948)



sequence order A>B>C>D assumed



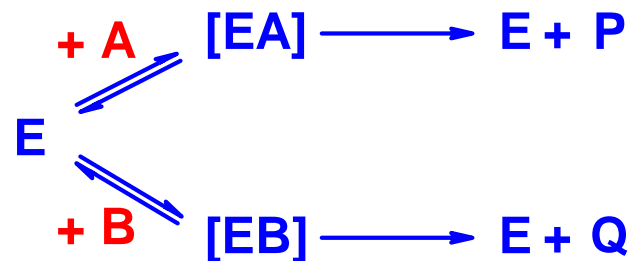


# Biocatalysis – General Aspects

## Enantioselectivity

“ Three-Point Attachment Theory (Ogston 1948)

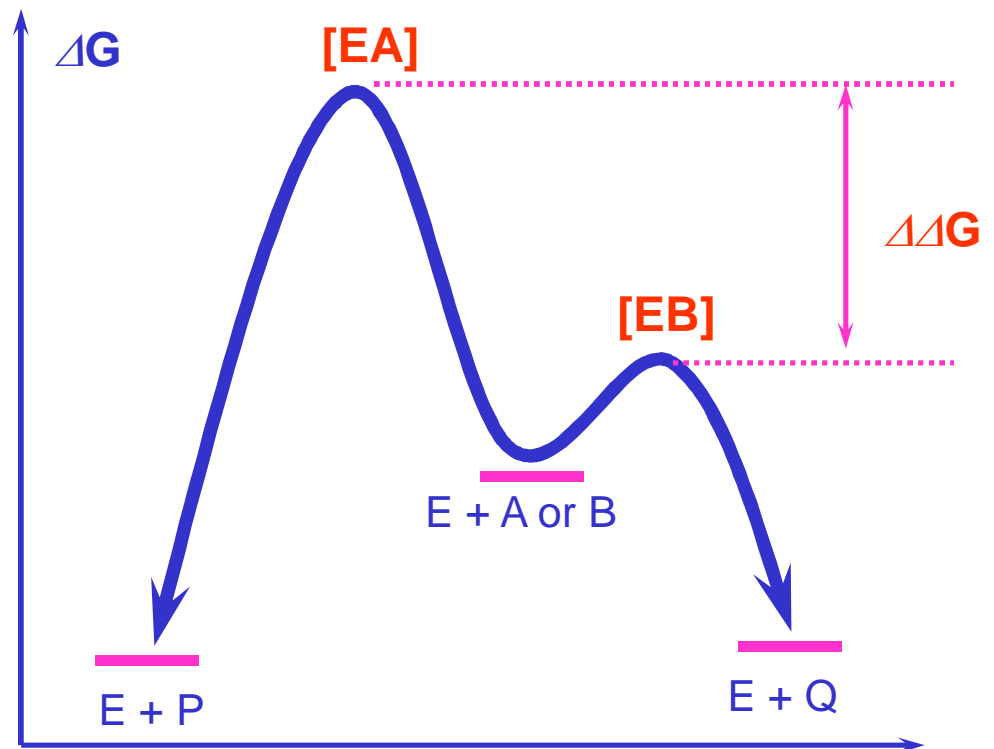
- optical antipodes result in diastereomeric pairs upon interact. with enzyme
- different energy levels of enzyme-substrate-complexes



$$\Delta\Delta G = \Delta\Delta H - T \Delta\Delta S$$

$$\Delta\Delta G = -RT \ln(v_A/v_B)$$

$\Delta\Delta G$ [kcal/mol]	$v_A/v_B$	ee %
0.118	1.2	10
0.651	3	50
1.74	19	90
2.17	39	95
3.14	199	99
4.50	1999	99.9

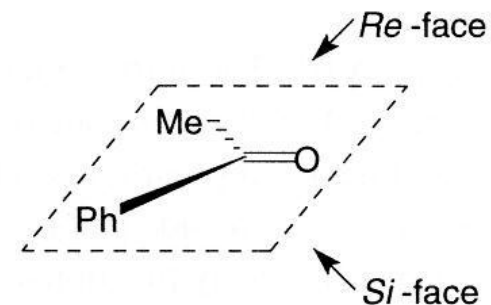


$$ee (\%) = (P-Q)/(P+Q)$$

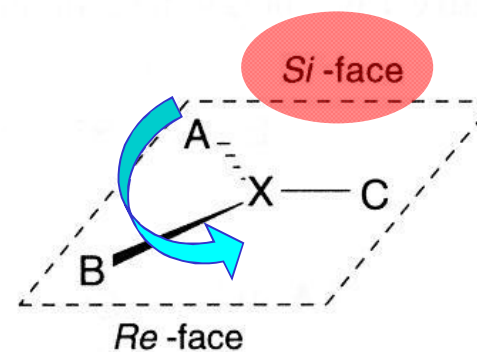
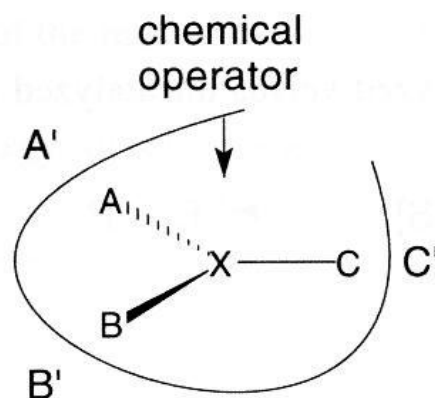
# Biocatalysis – General Aspects

## Desymmetrization

“ Enantiofacial Differentiation

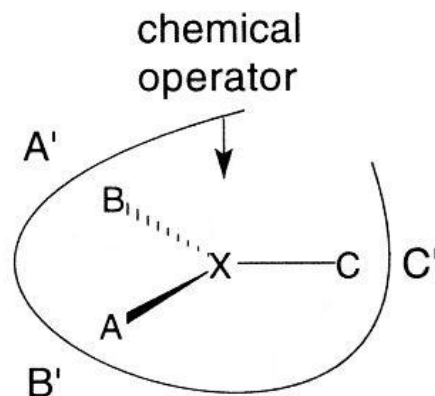


Case VII  
*Si*-attack



Substrate D

Case VIII  
*Re*-attack

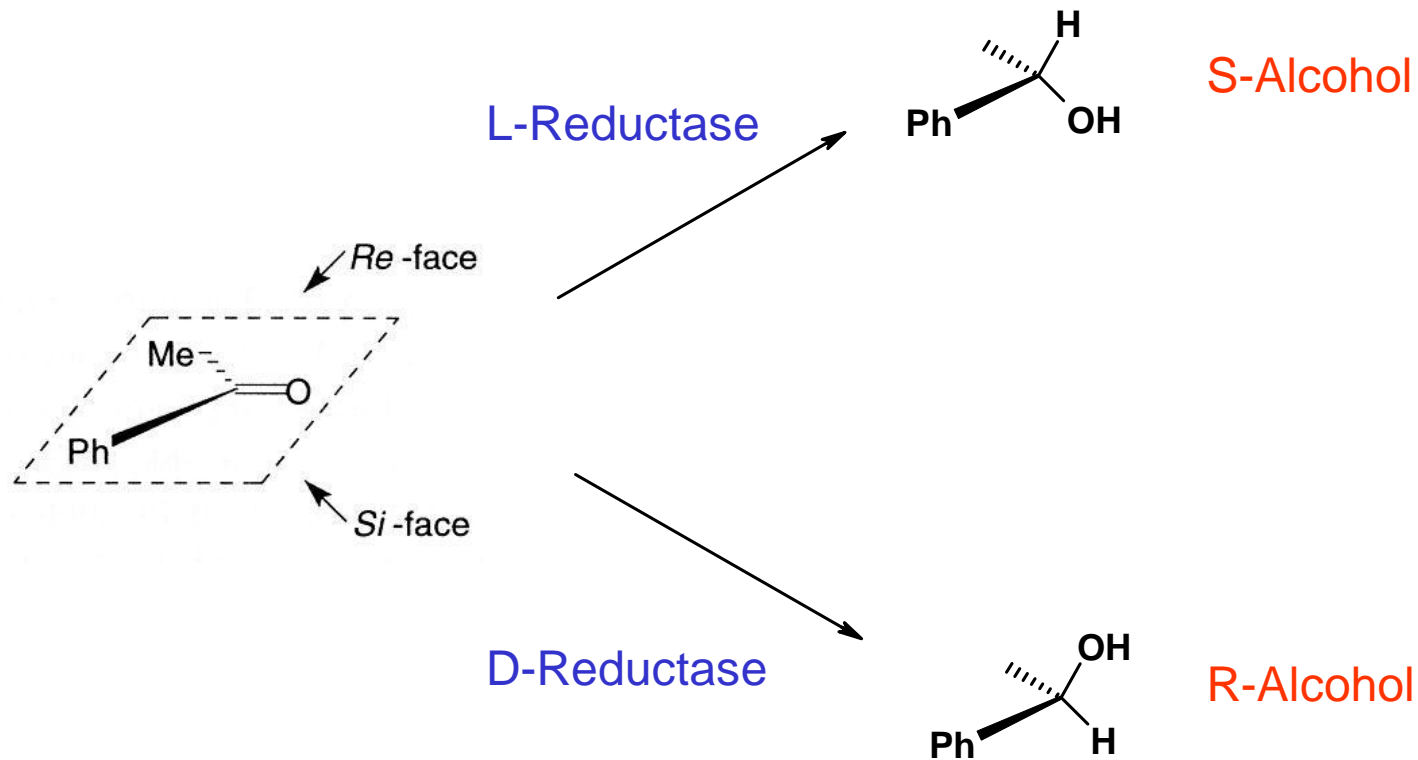


a sequence rule order  
of A>B>C is assumed

# Biocatalysis – General Aspects

## Desymmetrization

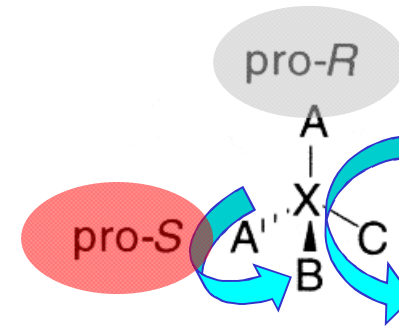
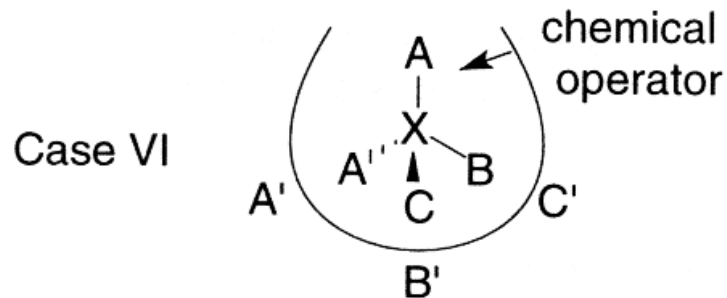
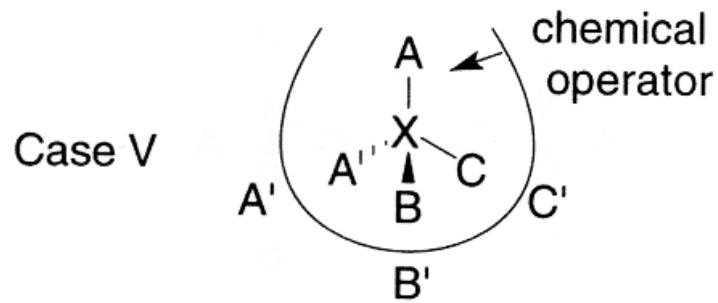
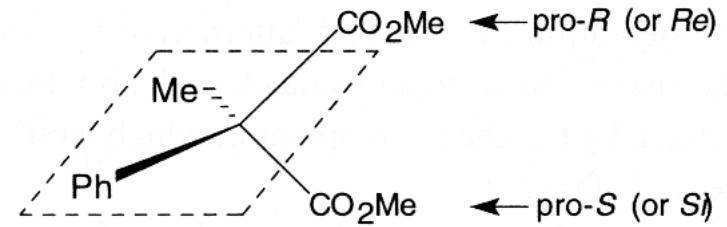
” Enantiofacial Differentiation



# Biocatalysis – General Aspects

## Desymmetrization

“ Enantiotopos Differentiation



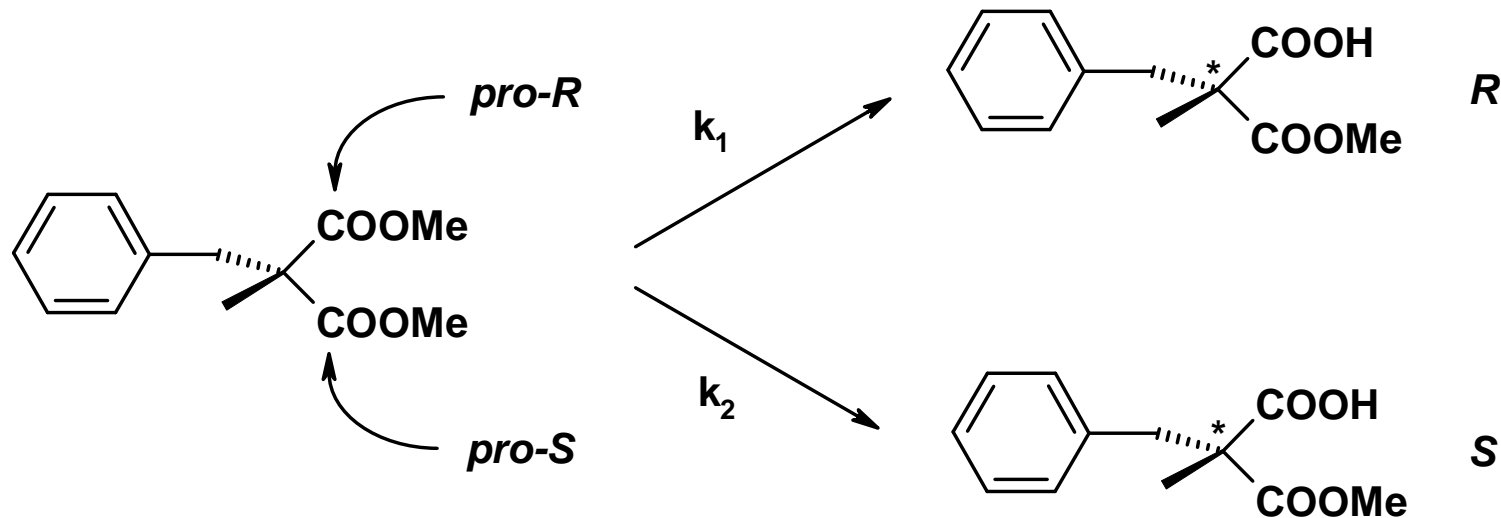
Substrate C

a sequence rule order of A>B>C is assumed

# Biocatalysis – General Aspects

## Desymmetrization

- “ Enantiotopos Differentiation
  - . Single step process



selectivity  $\alpha = k_1/k_2$

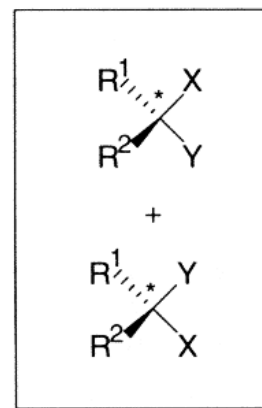
enantiomeric excess e.e. =  $(a-1)/(a+1) = (R - S) / (R + S)$

e.e. depends on conversion

# Biocatalysis – General Aspects

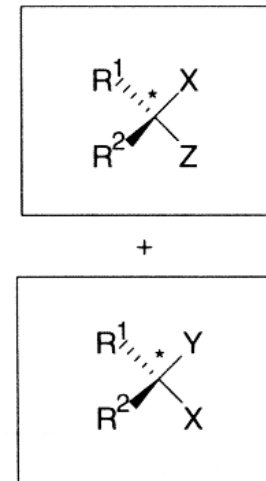
## Kinetic Resolution

- “ irreversible reaction
- “ reversible reaction
- “ sequential resolution
- “ dynamische resolution



racemic substrate

Hydrolase



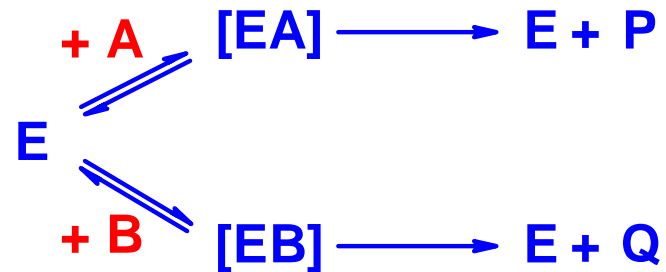
separable enantiomers

- “ recognition of existing chirality
- “ yield limitation (50%; except dynamic process)

# Biocatalysis – General Aspects

## Kinetic Resolution

” Enantiomeric Ratio E



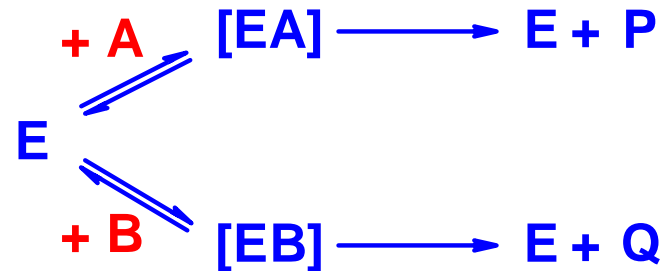
Enantiomeric Ratio  $E = \frac{v_B}{v_A} = \frac{\left[\frac{k_{\text{cat}}}{K_M}\right]_A}{\left[\frac{k_{\text{cat}}}{K_M}\right]_B} \quad \Delta\Delta G^\ddagger = -RT \ln E$



# Biocatalysis – General Aspects

## Kinetic Resolution

” Enantiomeric Ratio  $E$



- ideal case:  $k_A/k_B = \infty \implies$  reaction stops at 50% conversion
- real case:  $k_A/k_B = \text{finite value} \implies$  reaction progresses beyond 50%
  - $\implies$  transformation of both enantiomers depends on conversion
  - $\implies$  e.e.(substrate) & e.e. (product) function of conversion(since ratio A/B & P/Q **not** constant during whole biotransformation)

- Mathematical model by Sharpless & Fajans (irreversible kin. ses.):

For the product

$$E = \frac{\ln[1 - c(1 + e.e._P)]}{\ln[1 - c(1 - e.e._P)]}$$

For the substrate

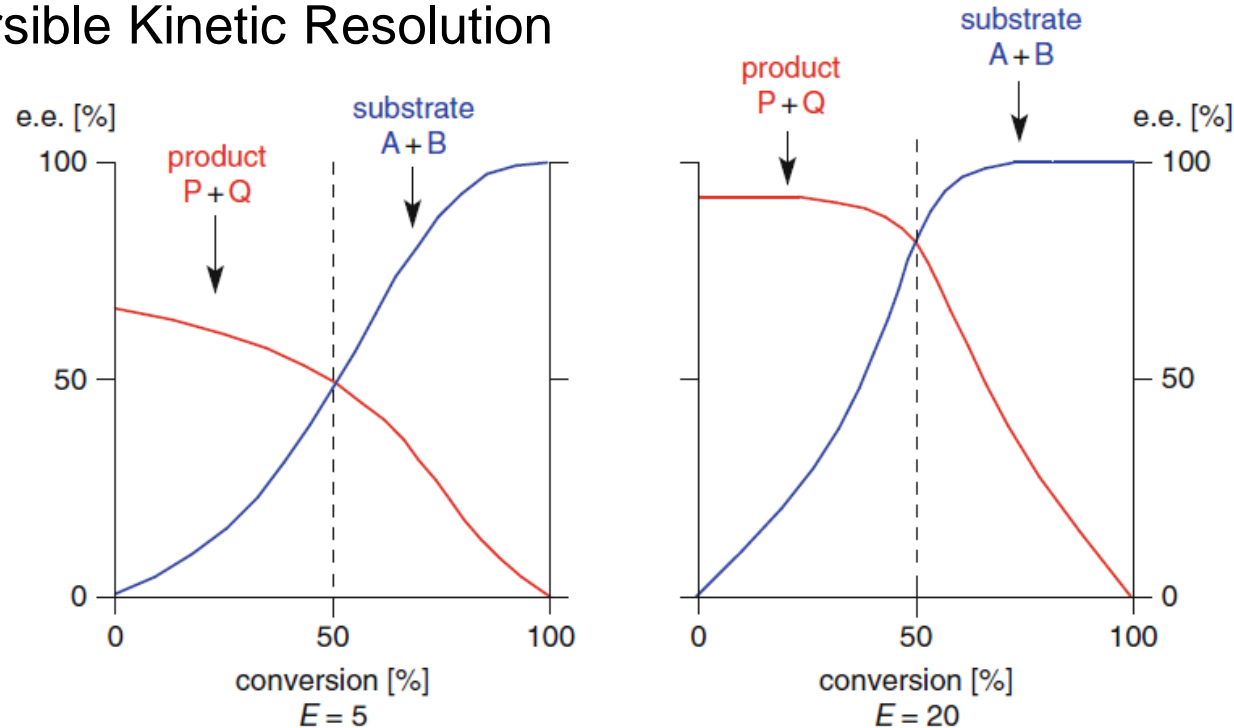
$$E = \frac{\ln[(1 - c)(1 - e.e._S)]}{\ln[(1 - c)(1 + e.e._S)]}$$

$c$  = conversion, e.e. = enantiomeric excess of substrate (S) or product (P),  
 $E$  = enantiomeric ratio

# Biocatalysis – General Aspects

## Kinetic Resolution

### ” Irreversible Kinetic Resolution



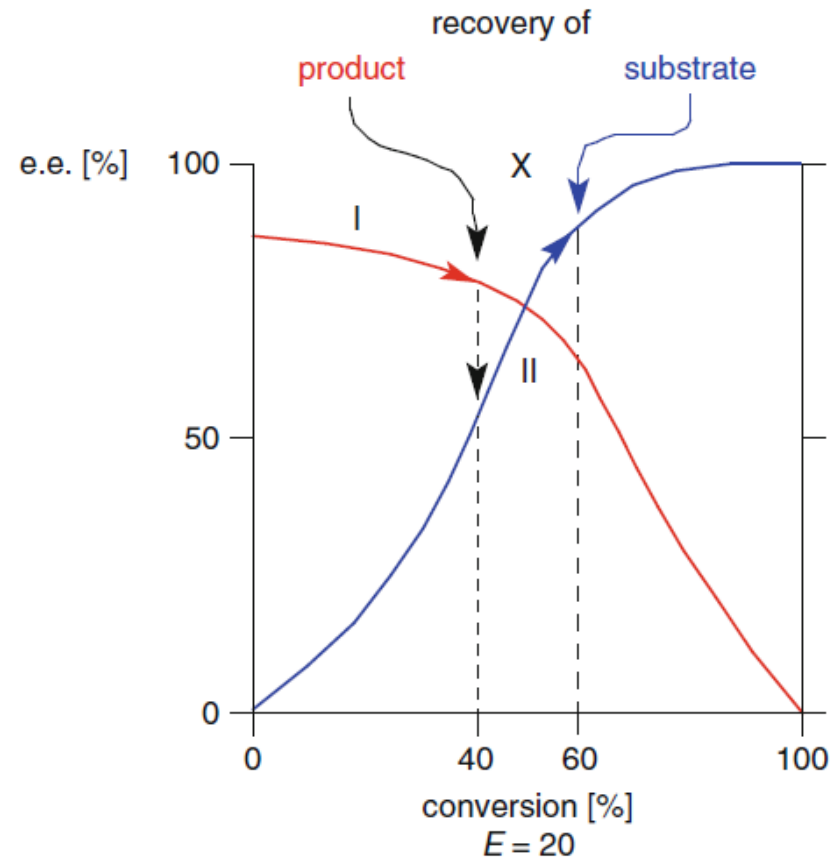
- . e.g. hydrolysis: irreversible due to high water concentration
- . product with high e.e. obtained before reaching 50% conversion
- . beyond 50% decline in e.e. (high conc. of %undesired%substrate)
- . inverted trend for substrate e.e.
- . **quality of resolution depends on E-value**

# Biocatalysis – General Aspects

## Kinetic Resolution

### “ Irreversible Kinetic Resolution

- . Substrate recovery
- . Product isolation



# Biocatalysis – General Aspects

## Kinetic Resolution

- “ Problems in kinetic resolutions:
  - . maximum yield of 50% for required enantiomer
  - . remaining antipode often of no use
  - . separation required (extraktion, distillation, etc.)
  - . limitation of optical purity by finite E-value
  
- “ Ideal industrial process:
  - . 100% yield
  - . single enantiomer

## Repeated Resolution

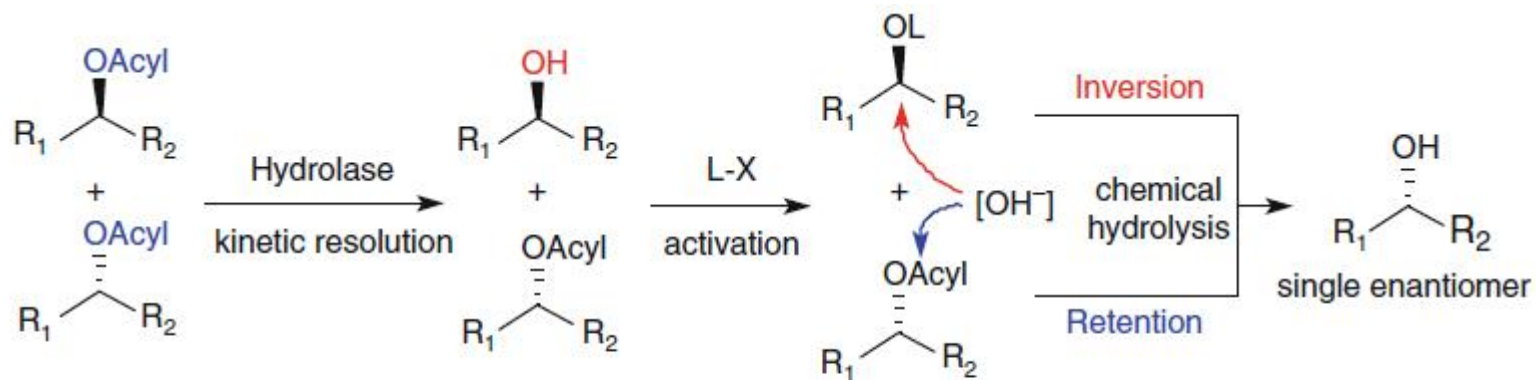
- “ Racemization of unwanted antipode (mostly chemically)
- “ Repetition of biocatalytic resolution (iterative)
- “ Several additional steps
- “ Decrease in yields due to (mostly) forced reaction conditions

# Biocatalysis – General Aspects

## Kinetic Resolution

### “ In-situ Inversion:

- reaction mixture after resolution consists of  
**enantiopure product**  
**enantiopure substrate**
- single chiral center:  
inversion by chemical activation and reaction



L = leaving group (e.g. tosylate, triflate, nitrate, Mitsunobu-intermediate)

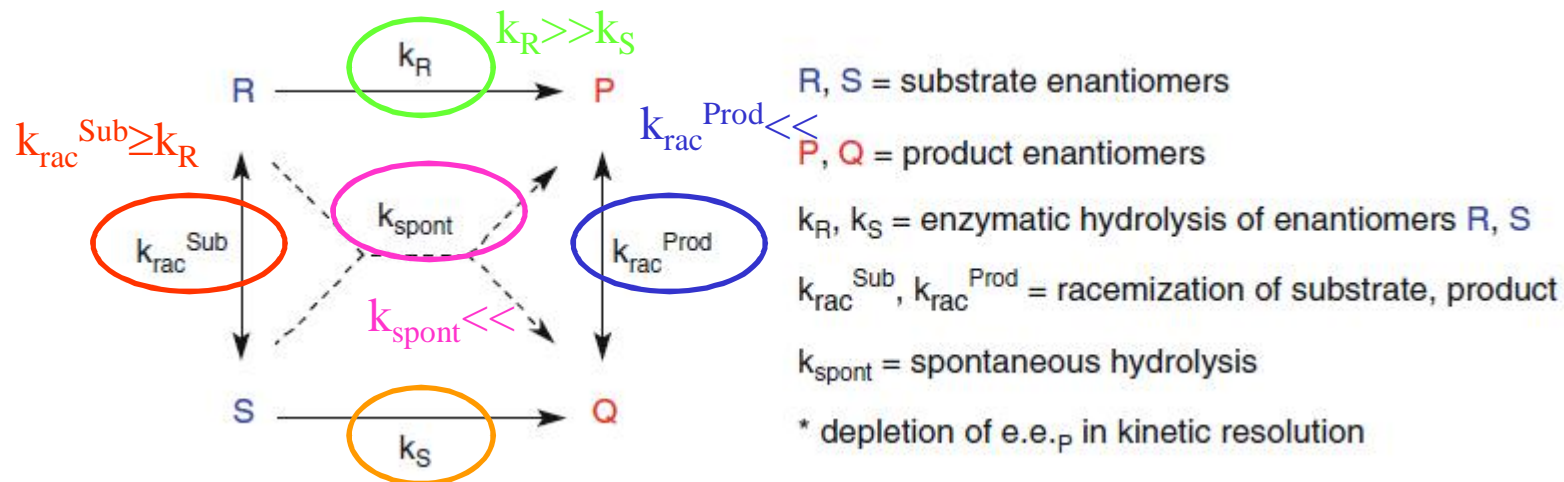
Scheme 2.9 Kinetic resolution with in-situ inversion

# Biocatalysis – General Aspects

## Kinetic Resolution

### ” Dynamic Kinetic Resolution

- . classical resolution
- . in-situ racemization of substrates
  - ⇒ **dynamic process**
- . equilibrium constantly regenerated ⇒ always beneficial ratio in favor of the desired enantiomer

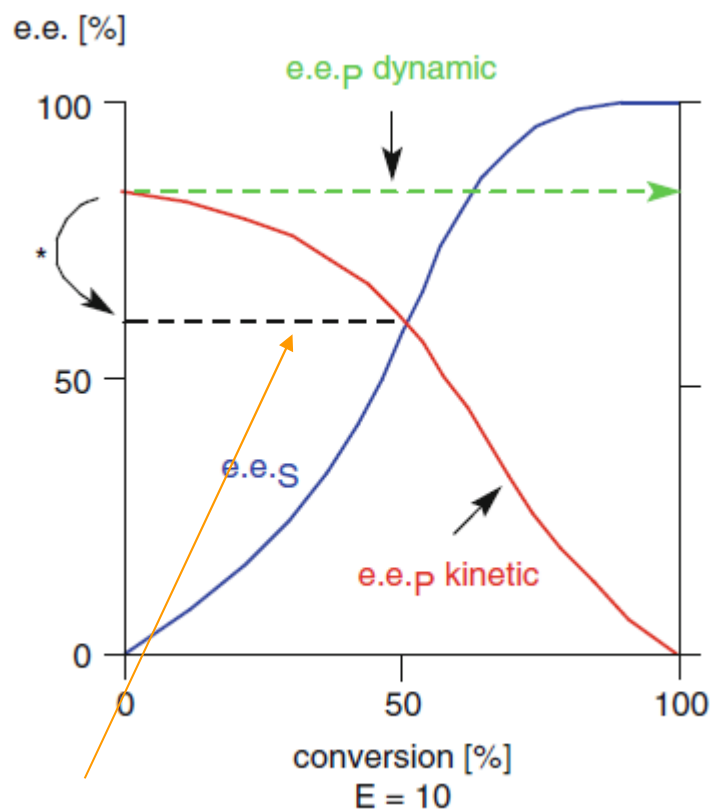


# Biocatalysis – General Aspects

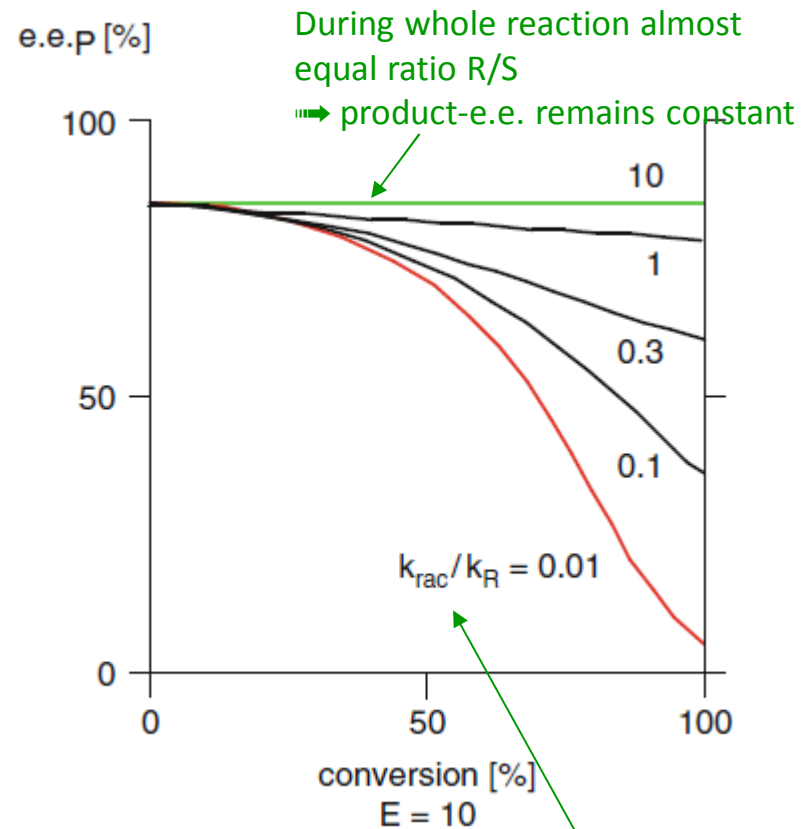
## Kinetic Resolution

### Dynamic Kinetic Resolution

- comparison conventional resolution ( $E=10$ ) with dynamic resolution



Rapidly decreasing product-e.e. due to increasing conc. of S



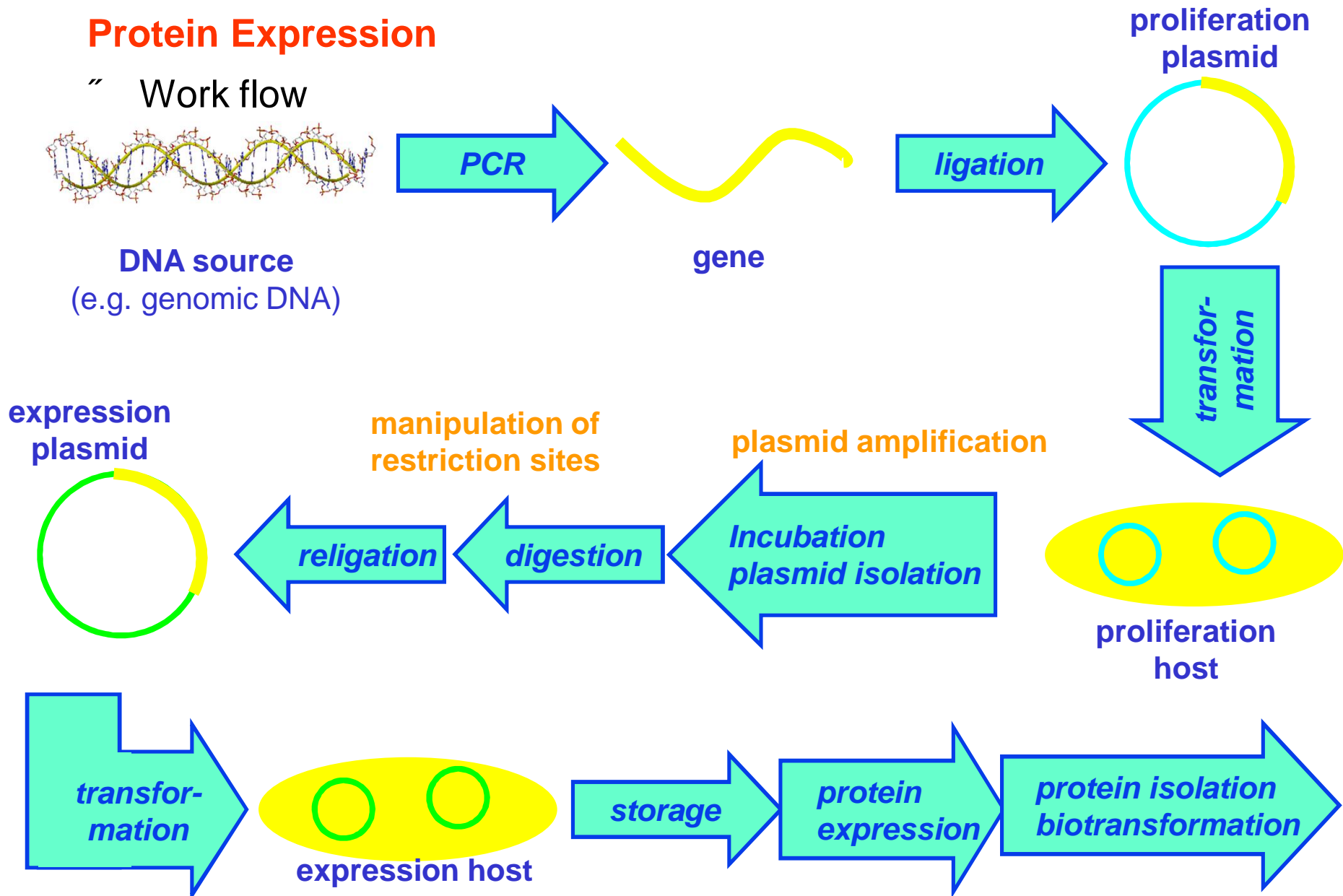




# Biocatalysis – General Aspects

## Protein Expression

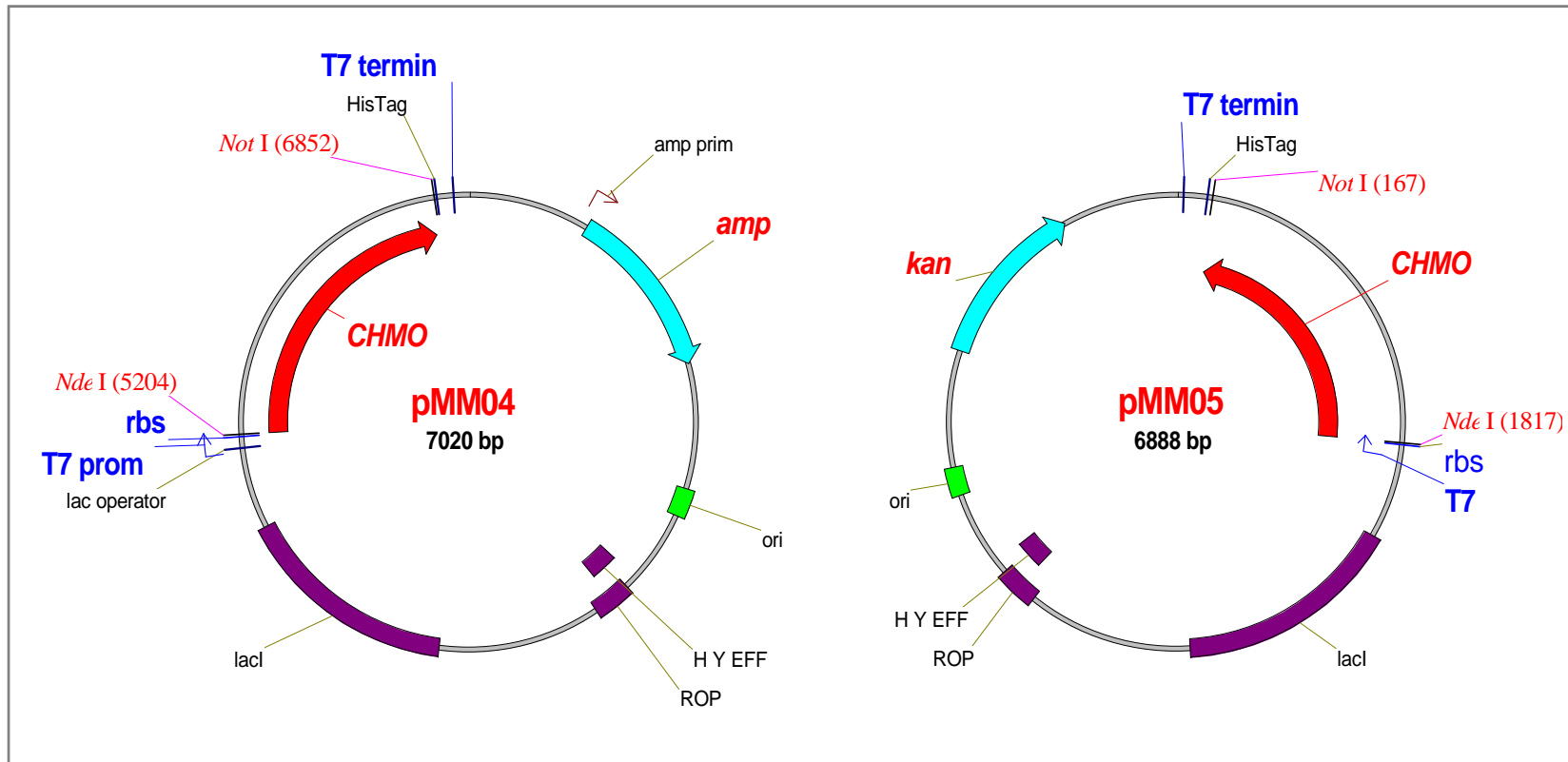
Work flow



# Biocatalysis – General Aspects

## Protein Expression

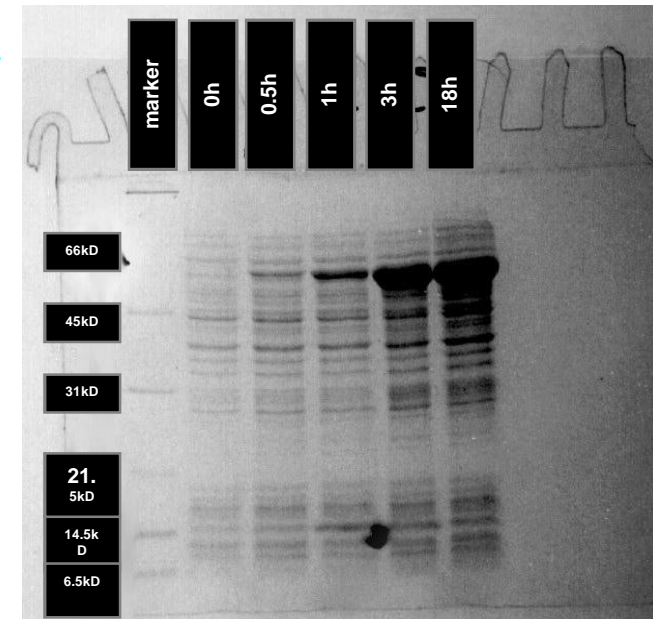
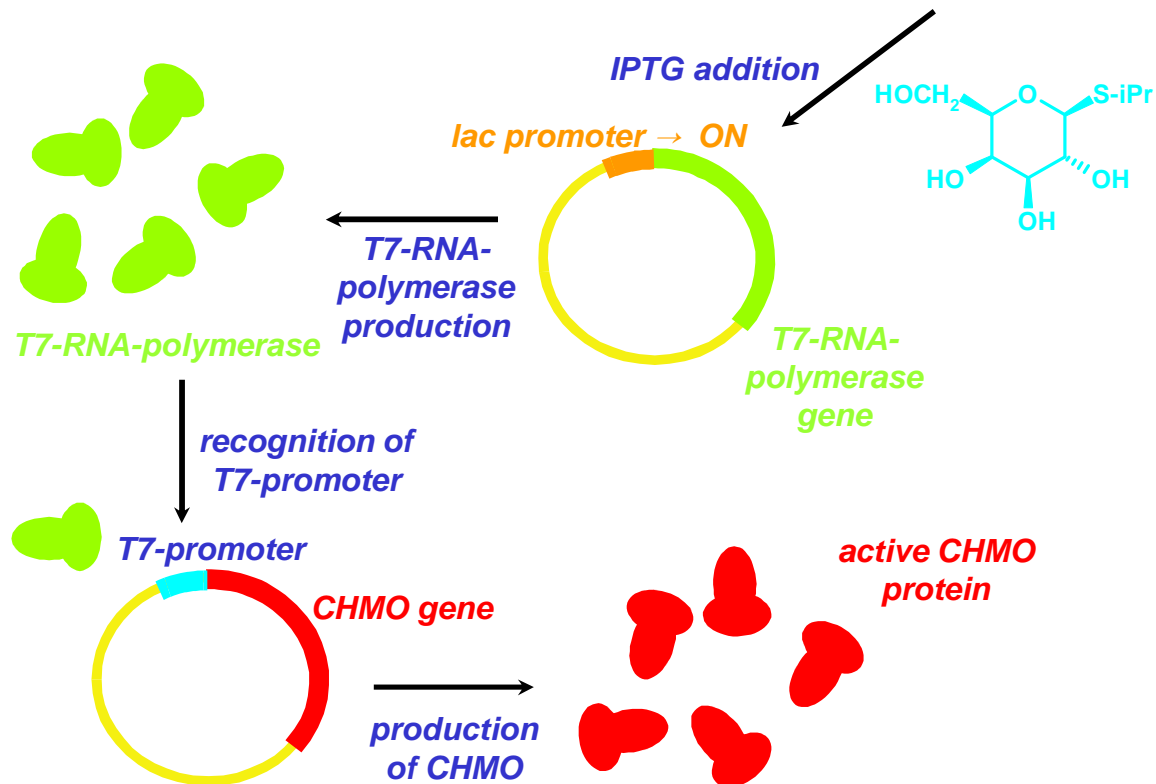
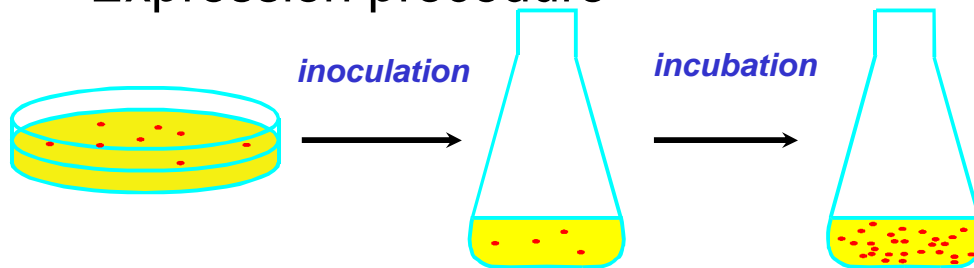
” Expression plasmids



# Biocatalysis – General Aspects

## Protein Expression

” Expression procedure

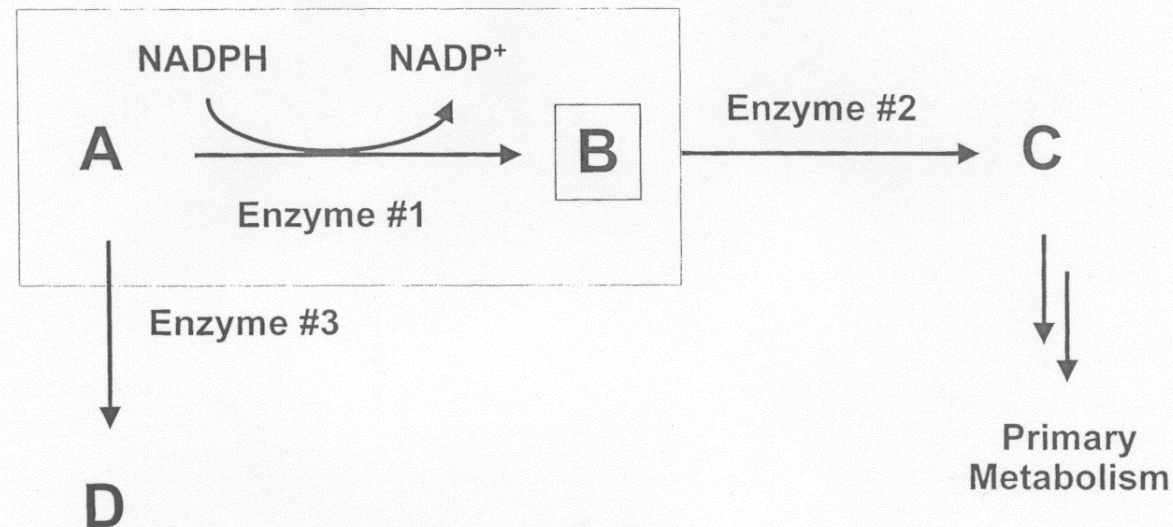


approx. 25% active protein

# Biocatalysis – General Aspects

## Protein Expression

” Whole-cell Biotransformations



- . cofactor recycling
- . enzyme production
- . enzyme in natural environment
- . cheap C-source (glucose, saccharose) for stereoselective reactions
- . toxicity of non-natural substrates
- . transport effects
- . side reactions

# Biocatalysis – General Aspects

## Protein Expression

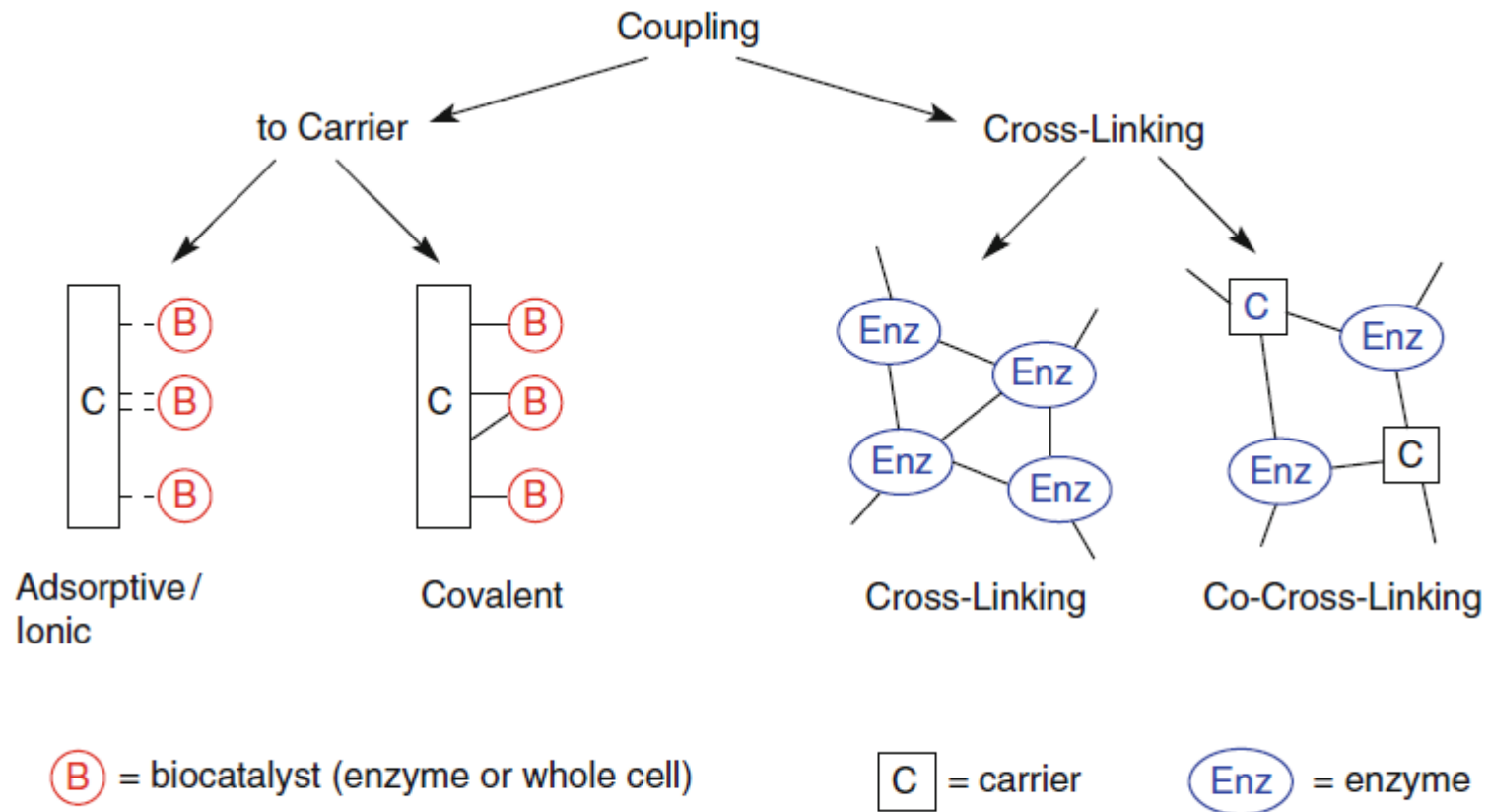
**Table 1.2** Pros and cons of using isolated enzymes vs. whole cell systems

Biocatalyst	Form	Pros	Cons
Isolated enzymes	Any	Simple apparatus, simple workup, better productivity due to higher concentration tolerance	Cofactor recycling necessary, limited enzyme stabilities
	Dissolved in water	High enzyme activities	Side reactions possible, lipophilic substrates insoluble, workup requires extraction
	Suspended in organic solvents	Easy to perform, easy workup, lipophilic substrates soluble, enzyme recovery easy	Reduced activities
	Immobilized	Enzyme recovery easy	Loss of activity during immobilization
Whole cells	Any	No cofactor recycling necessary, no enzyme purification required	Expensive equipment, tedious workup due to large volumes, low productivity due to lower concentration tolerance, low tolerance of organic solvents, side reactions likely due to uncontrolled metabolism
	Growing culture	Higher activities	Large biomass, enhanced metabolism, more byproducts, process control difficult
	Resting cells	Workup easier, reduced metabolism, fewer byproducts	Lower activities
	Immobilized cells	Cell reuse possible	Lower activities

# Biocatalysis – General Aspects

## Biocatalyst Immobilization

### ” Coupling

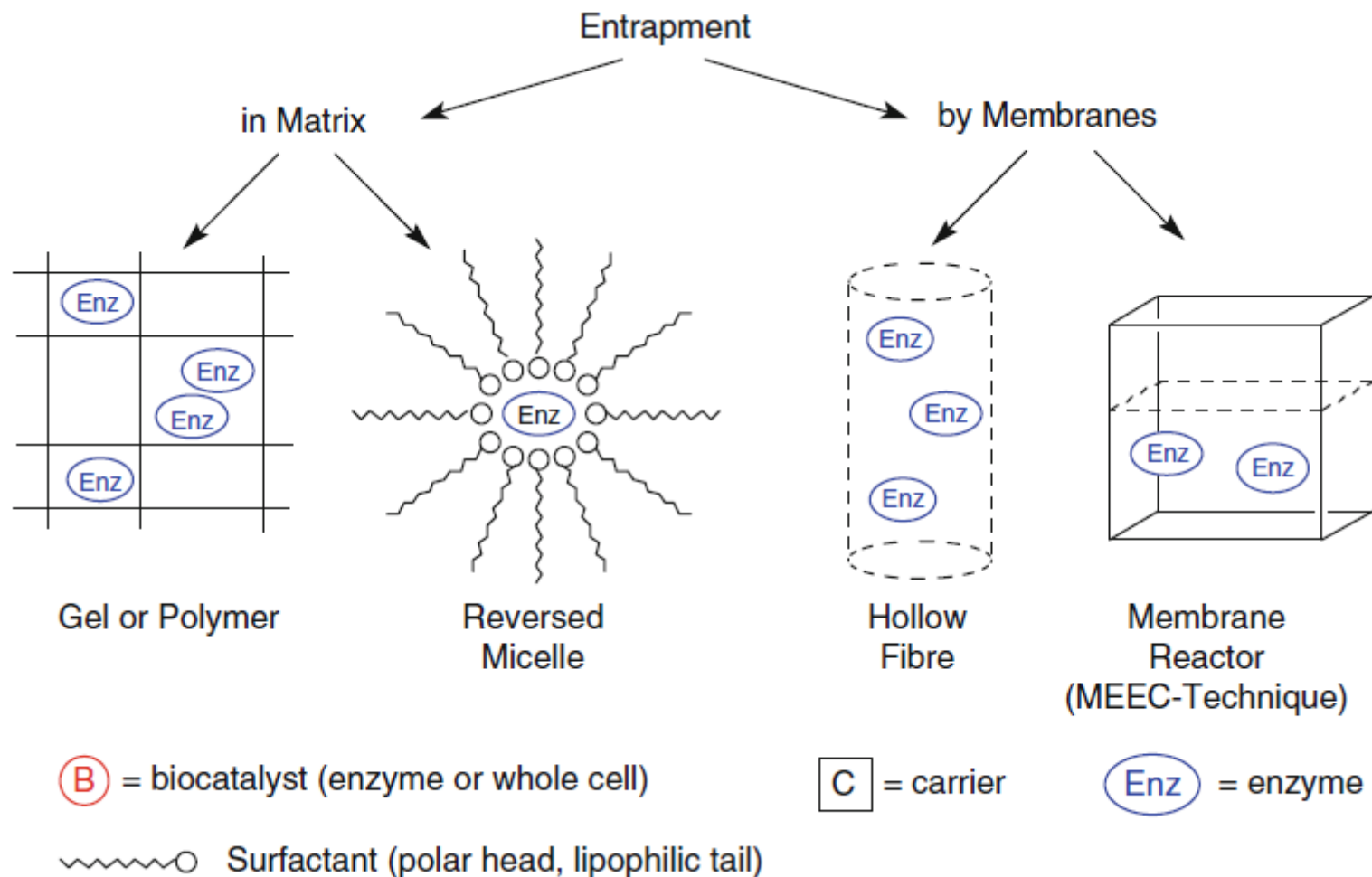




# Biocatalysis – General Aspects

## Biocatalyst Immobilization

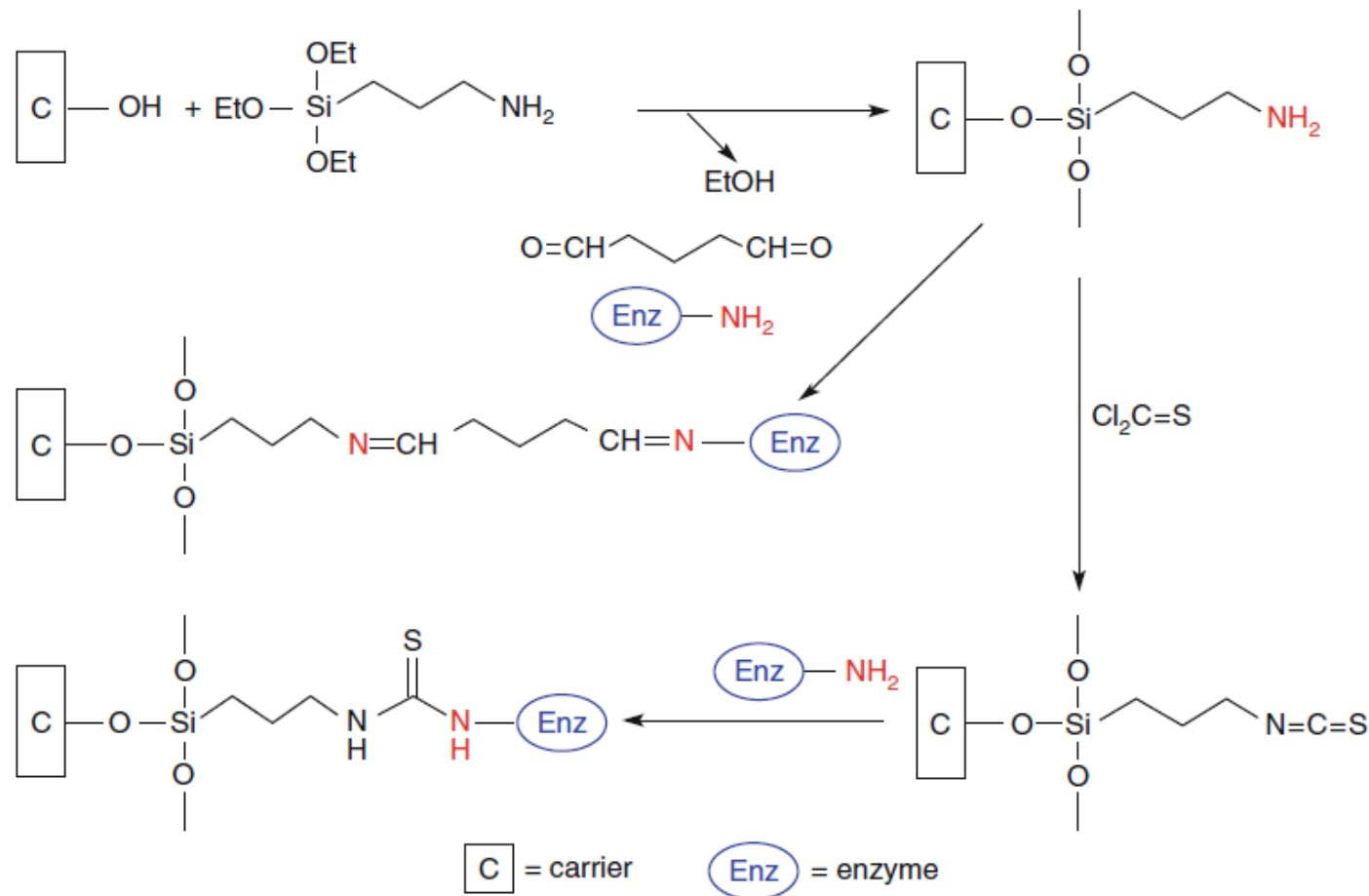
### ” Entrapment



# Biocatalysis – General Aspects

## Biocatalyst Immobilization

### Covalent Linkage

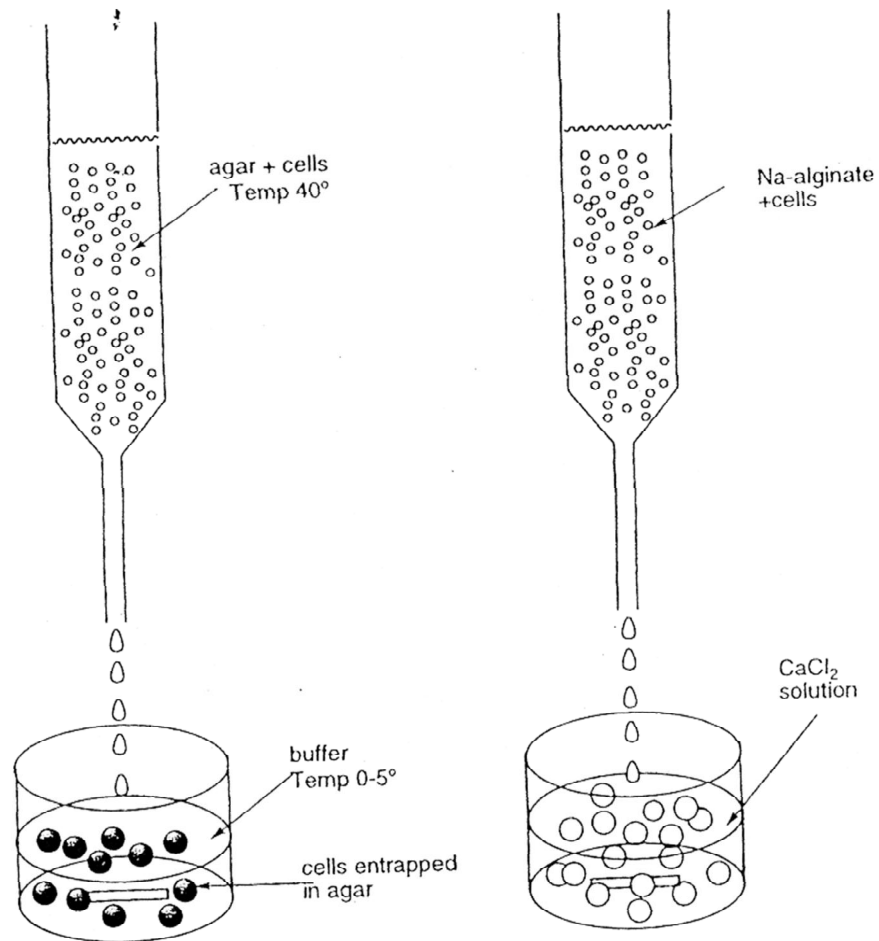


Scheme 3.34 Covalent immobilization of enzymes onto inorganic carriers

# Biocatalysis – General Aspects

## Biocatalyst Immobilization

- ” Entrapment
  - . Whole cells



# Biocatalysis – General Aspects

## Protein Modification

“ Site-directed mutagenesis

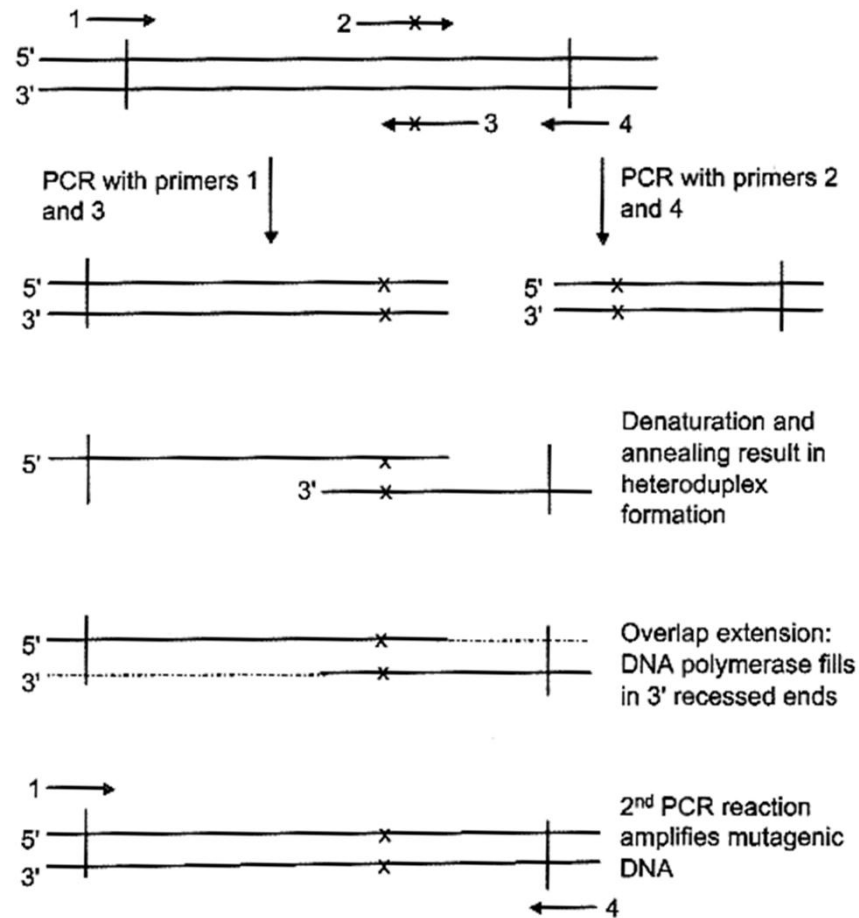


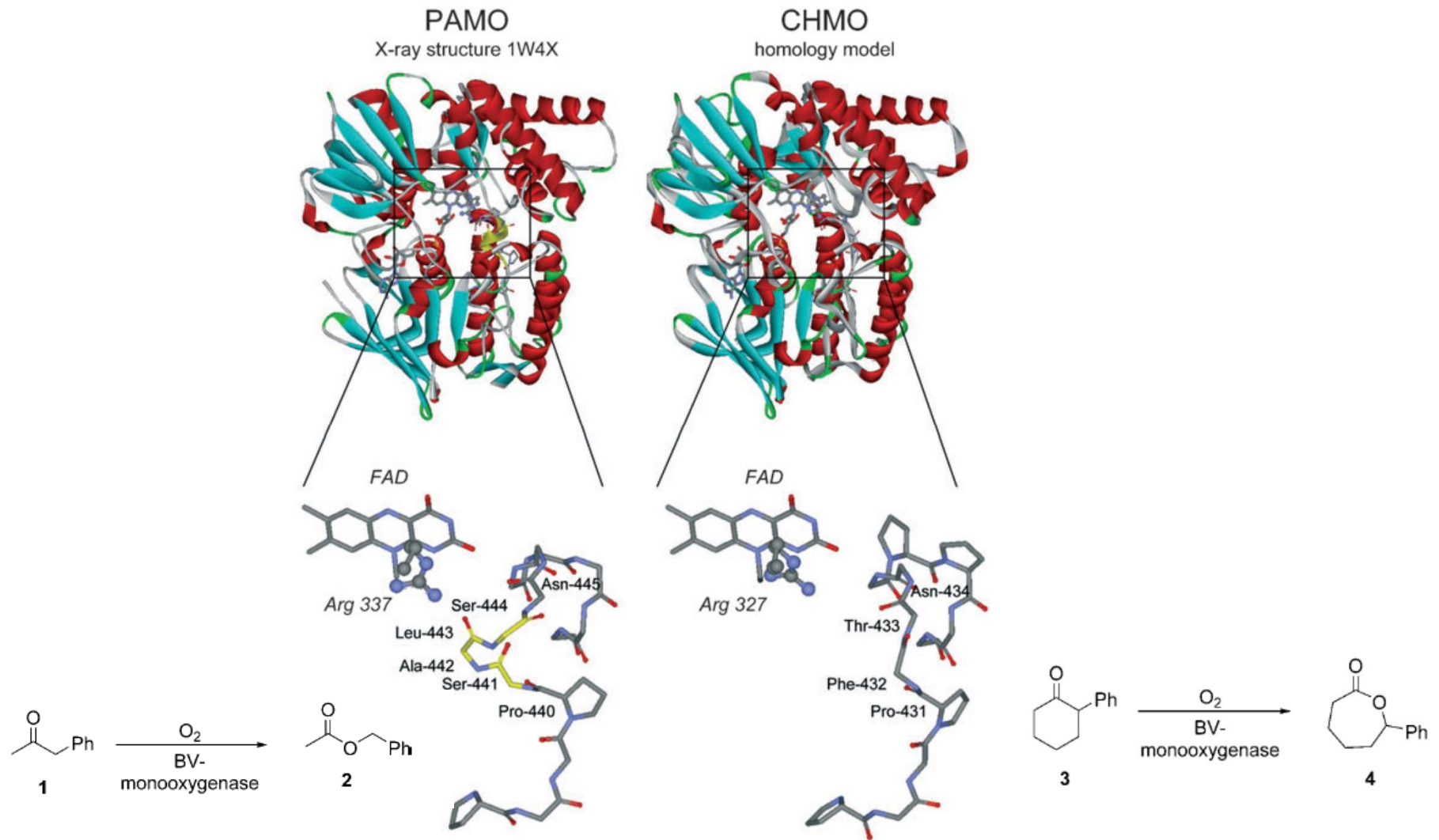
Figure 2. Overlap extension PCR method: → represents a primer, and × represents a mutagenic codon.

- . known structure & mechanism
- . usually: knock-out tests

# Biocatalysis – General Aspects

## Protein Modification

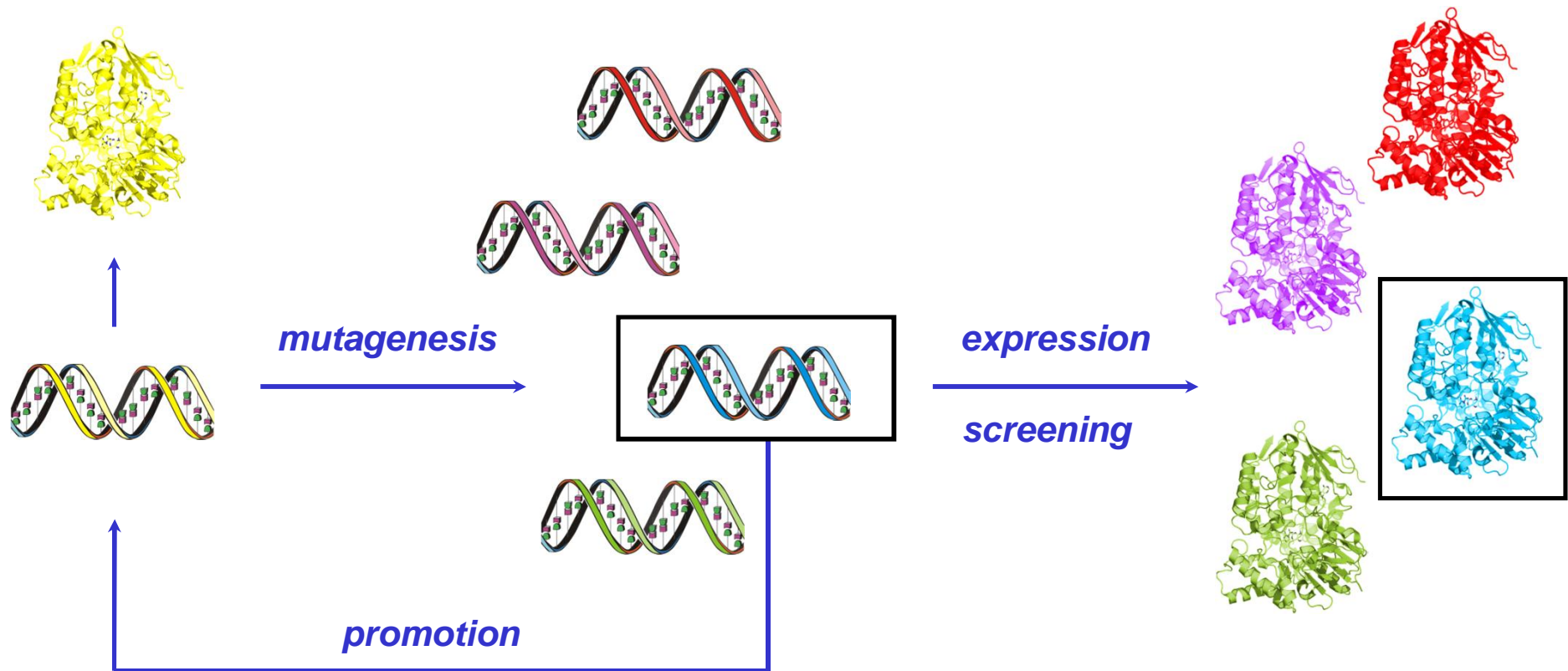
” Site-directed mutagenesis . rational design



# Biocatalysis – General Aspects

## Protein Modification

” Enzyme evolution



# Biocatalysis – General Aspects

## Protein Modification

“ Error prone PCR (epPCR)

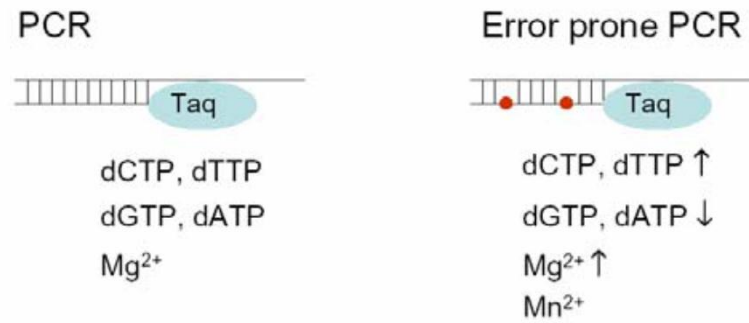
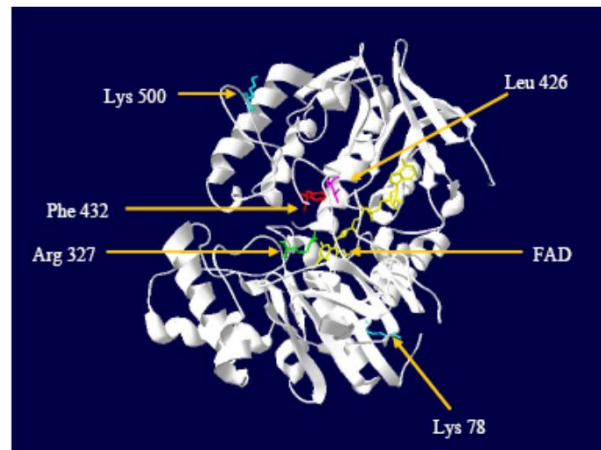


Figure 3.3 Differences in classical and error prone PCR.

- . operating PCR under non-ideal conditions (also saturation possible)
- . degeneration of Code → different mutation frequencies
- . distribution of mutations randomly (remote from active site)





# Biocatalysis – General Aspects

## Protein Modification

“ Error prone PCR (epPCR)

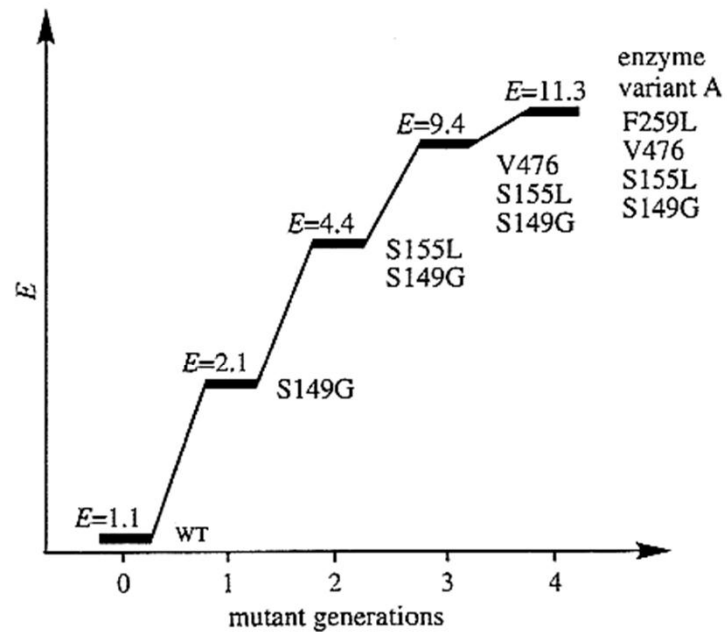
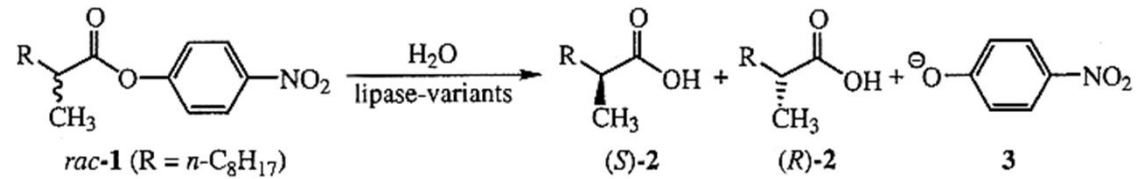
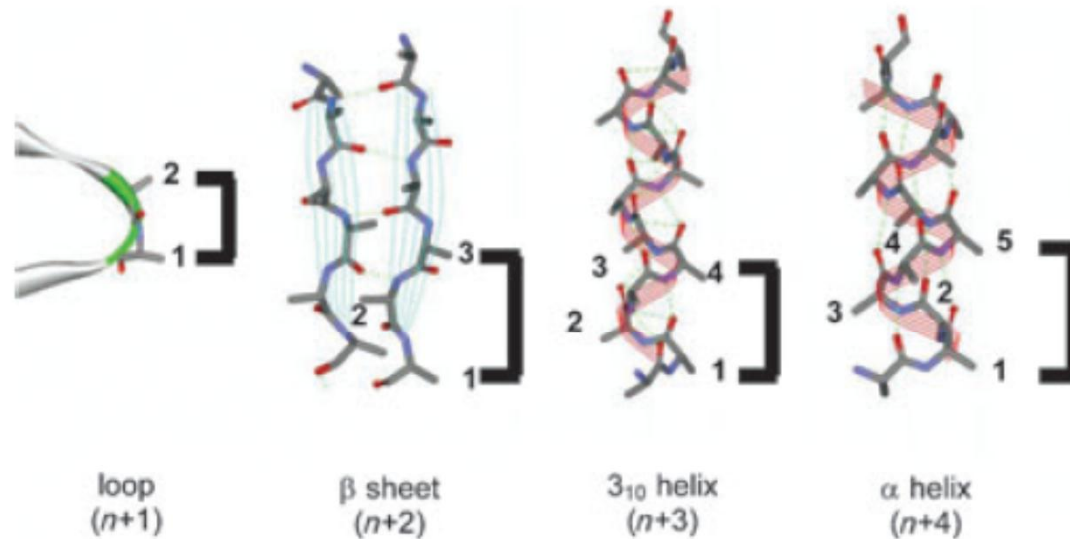


FIG. 14. Increasing the *E* values of the lipase-catalyzed hydrolysis of the chiral ester 1 by cumulative mutations caused by four rounds of epPCR (16.22.24).

# Biocatalysis – General Aspects

## Protein Modification

” Combinatorial Active-Site Saturation Test . CASTing



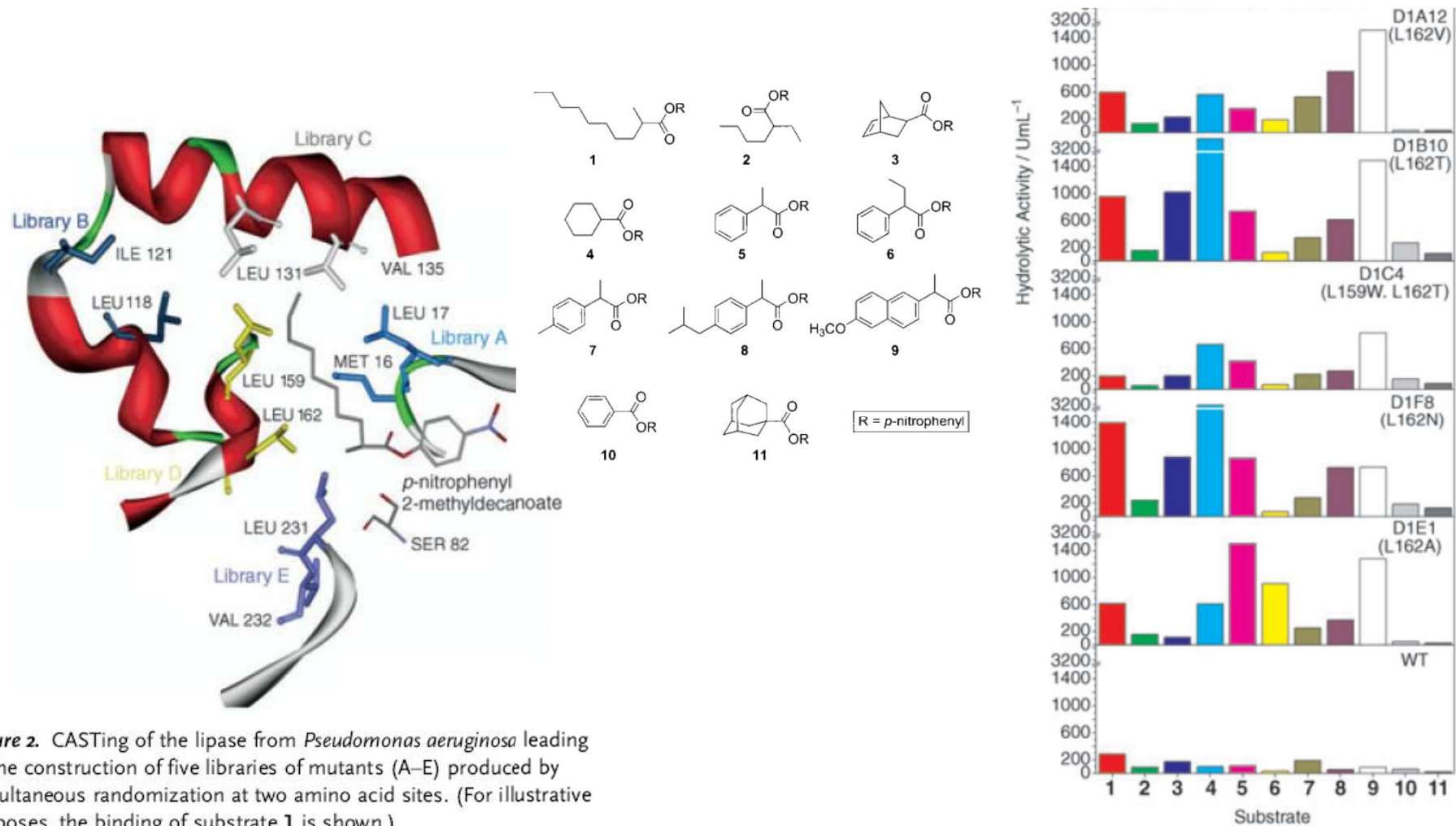
**Figure 1.** Structural guides in designing libraries of mutant enzymes for CASTing according to the secondary structure of proteins.

- . synergistic amino acids in spatial proximity

# Biocatalysis – General Aspects

## Protein Modification

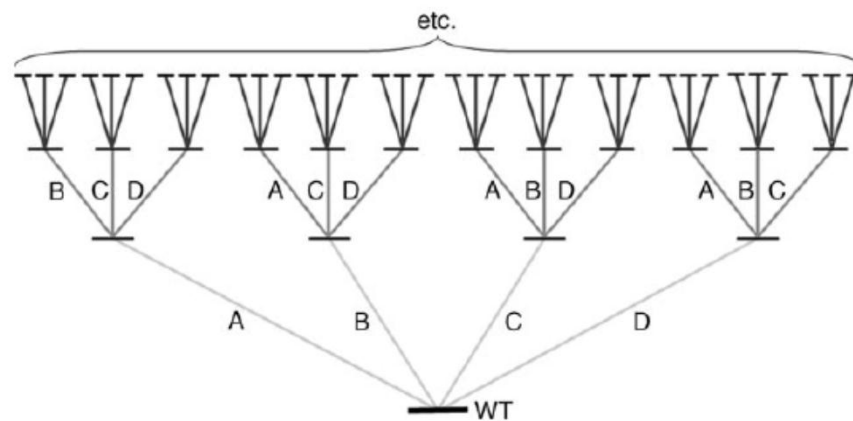
” Combinatorial Active-Site Saturation Test . CASTing



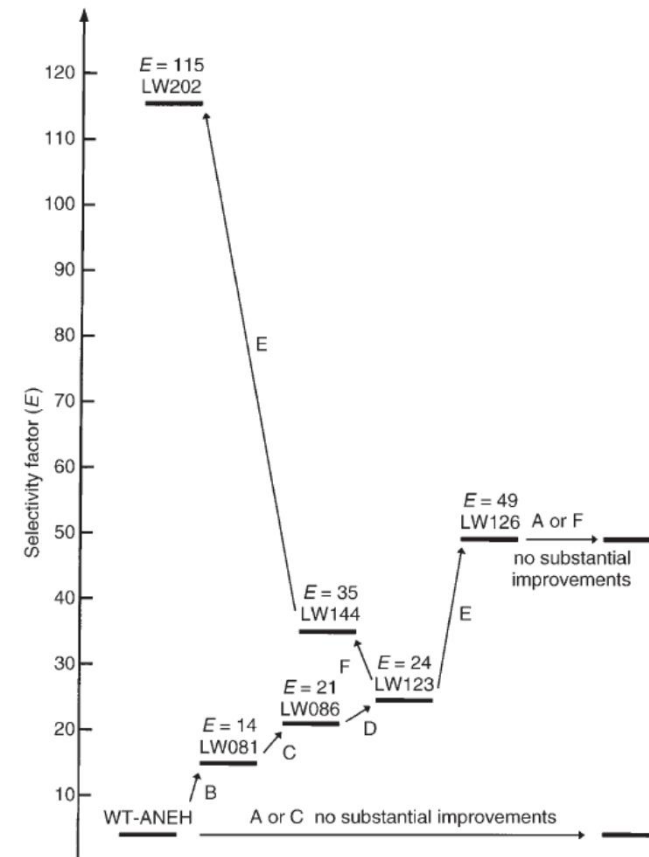
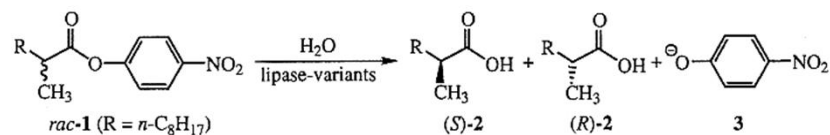
# Biocatalysis – General Aspects

## Protein Modification

- Combinatorial Active-Site Saturation Test . CASTing
  - combination of best sub-library candidates



**Figure 1.** Schematic illustration of iterative CASTing involving (as an example) four randomization sites A, B, C, and D: Confined protein-sequence space for evolutionary enzyme optimization (redundancy in some cases is expected).



**Figure 3.** Iterative CASTing in the evolution of enantioselective epoxide hydrolases as catalysts in the hydrolytic kinetic resolution of *rac*-1.

# Biocatalysis – General Aspects

## Protein Modification

” Summary of technologies

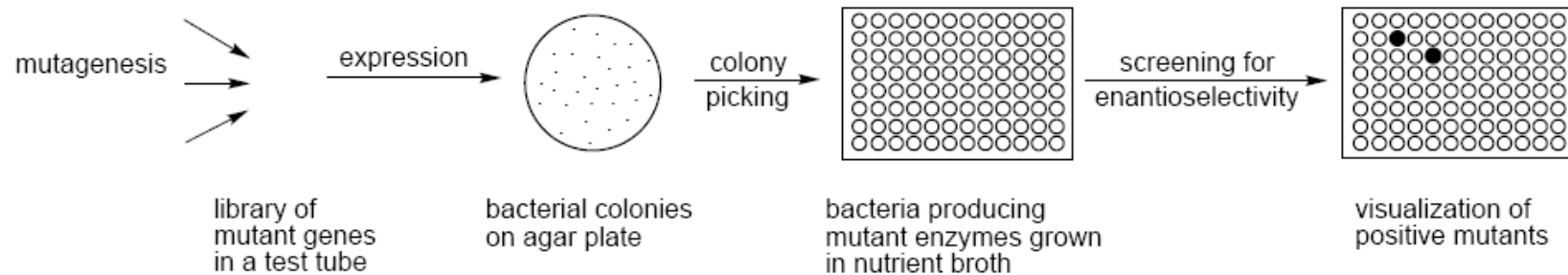
**Table 3:** Main library creation technologies.<sup>108</sup>

	Error-prone PCR	Saturation mutagenesis	Massive mutagenesis	Gene shuffling	Synthetic shuffling
Need for physical starting gene	1 gene	1 gene	1 gene	several genes	no gene
Large diversity/low cost mutant ?	yes	no	yes	yes	yes
Control over the diversity generated	very little (mutation rate)	complete	complete	little (starting sequences)	complete
Need for double strand cloning	yes	yes/no (different technologies)	no	yes	yes

# Biocatalysis – General Aspects

## Protein Modification

### Library Screening - workflow



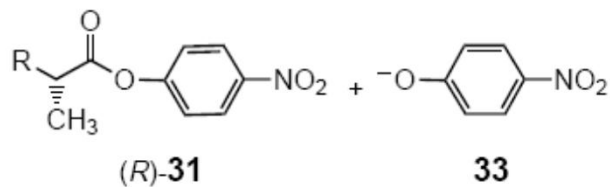
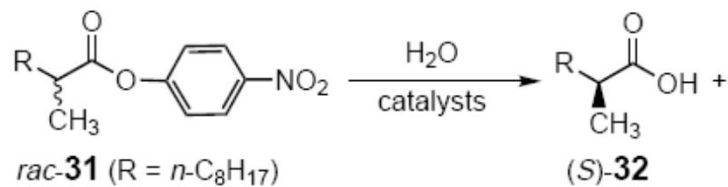
Scheme 2. Individual steps in the directed evolution of an enantioselective enzyme.<sup>[19]</sup>

# Biocatalysis – General Aspects

## Protein Modification

### Screening Techniques

- Colorimetric Screens
  - “ double experiments
  - “ high throughput



catalyst library: 30 000 mutant lipases from *P. aeruginosa*  
result:  $ee = 2\text{-}8\%$  ( $E \approx 1.1$ )  $\xrightarrow{\text{evolution}}$   $ee > 90\%$  ( $E = 25$ )

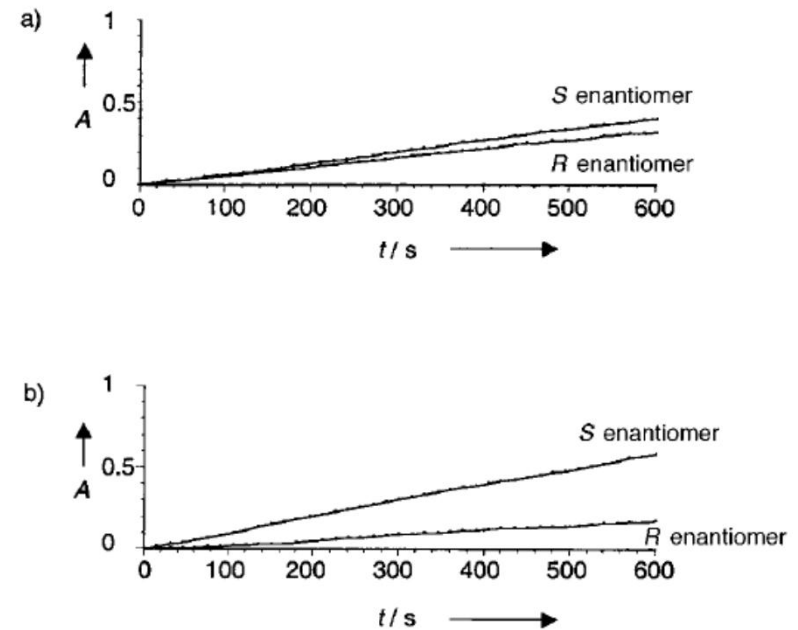


Figure 3. Course of the lipase-catalyzed hydrolysis of the (R)- and (S)-31 as a function of time.<sup>[17]</sup> a) Wild-type lipase from *P. aeruginosa*, b) improved mutant in the first generation.

# Biocatalysis – General Aspects

## Protein Modification

### Screening Techniques

#### MS-based Screens . sPseudo%Racemates

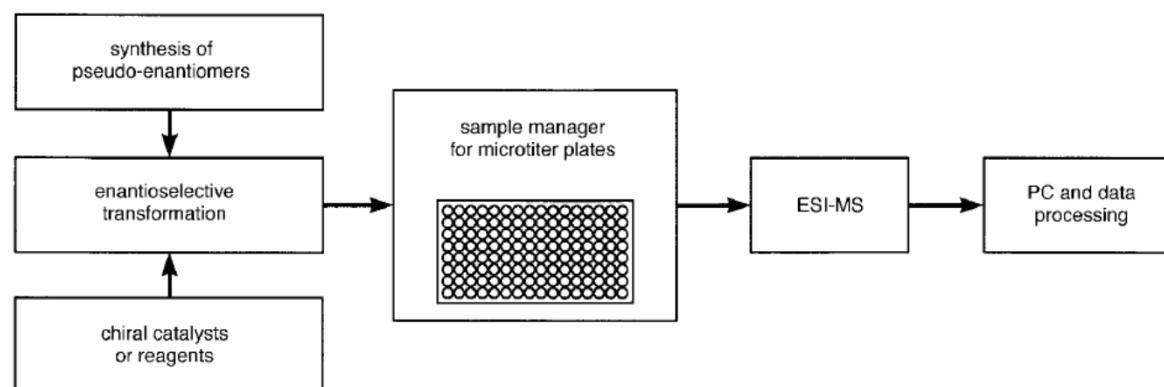
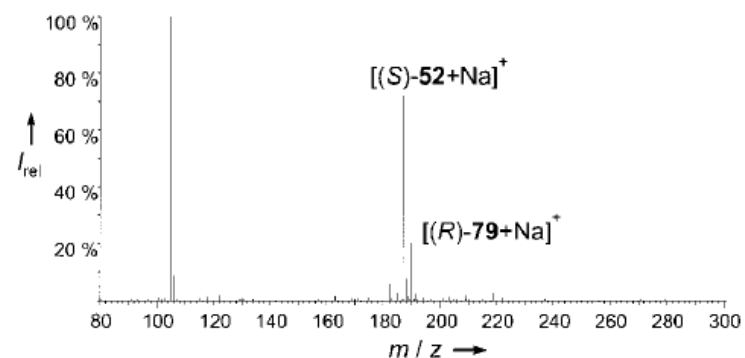
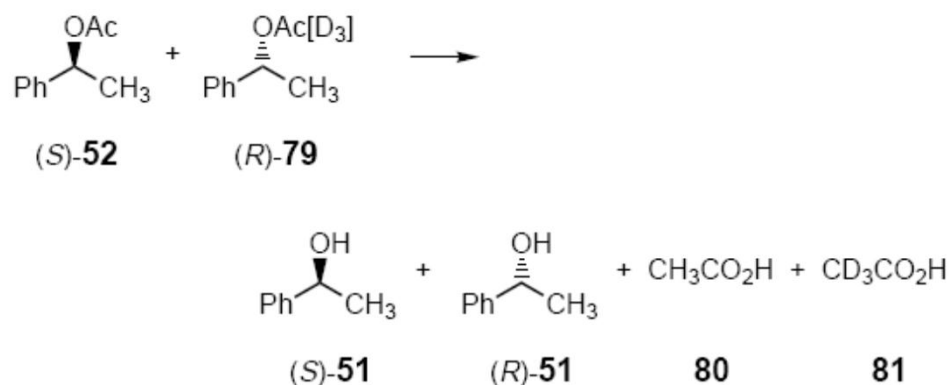


Figure 14. Experimental setup of an ESI-MS *ee*-screening system.<sup>[89]</sup>



# Hydrolytic Reactions

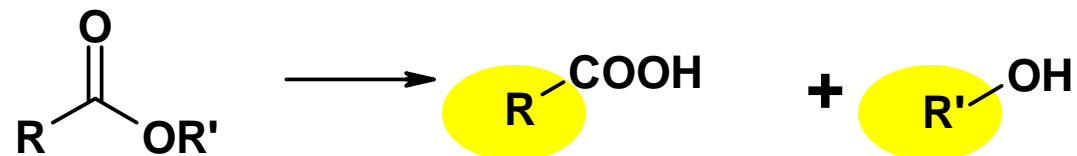
## Enzyme Groups

- “ Esterases (cleavage of ester functionality)
  - “ pig liver esterase (PLE)
  - “ horse liver esterase (HLE)
  - “ acetyl choline esterase (ACE - Zitteraal)
  - “ *Bacillus subtilis* esterase
  - “ yeast (whole-cell system)
  
- “ Proteases (cleavage of amid bond)
  - “  $\alpha$ -chymotrypsin
  - “ pepsin
  - “ subtilisin
  - “ thermolysin
  
- “ Lipases (cleavage of triglycerids)
  - “ div. *Candida* lipases
  
- “ Nitrilases & Nitrile Hydratases
  
- “ Epoxid Hydrolyses

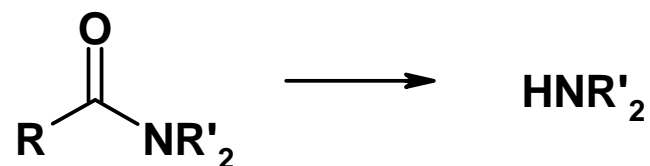
# Hydrolytic Reactions

## Reaction Types

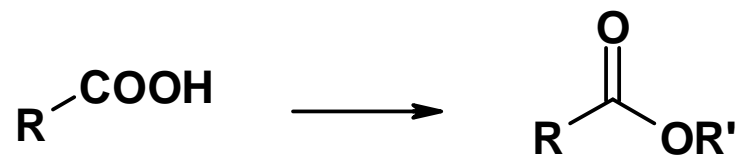
“ Hydrolysis



“ Deprotection



“ Esterification

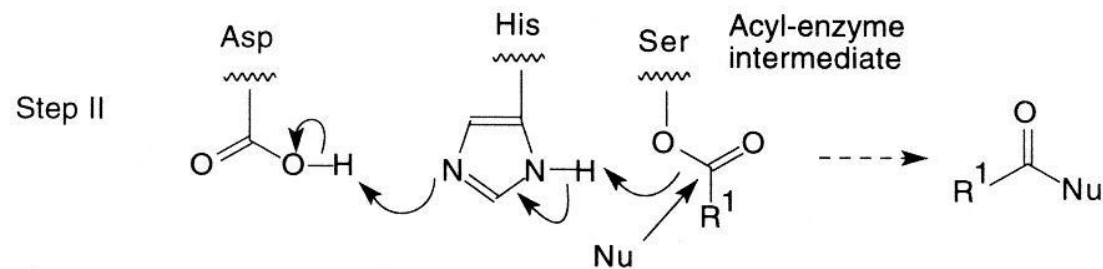
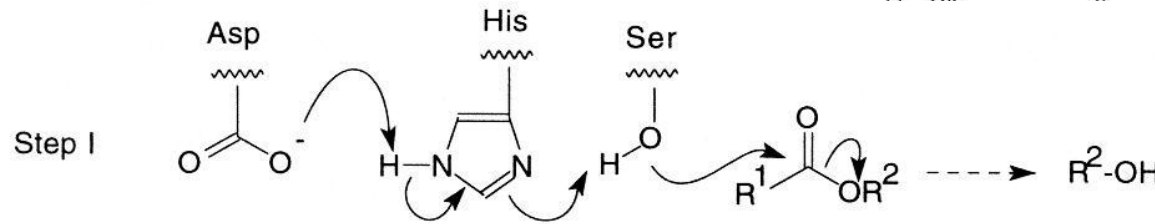
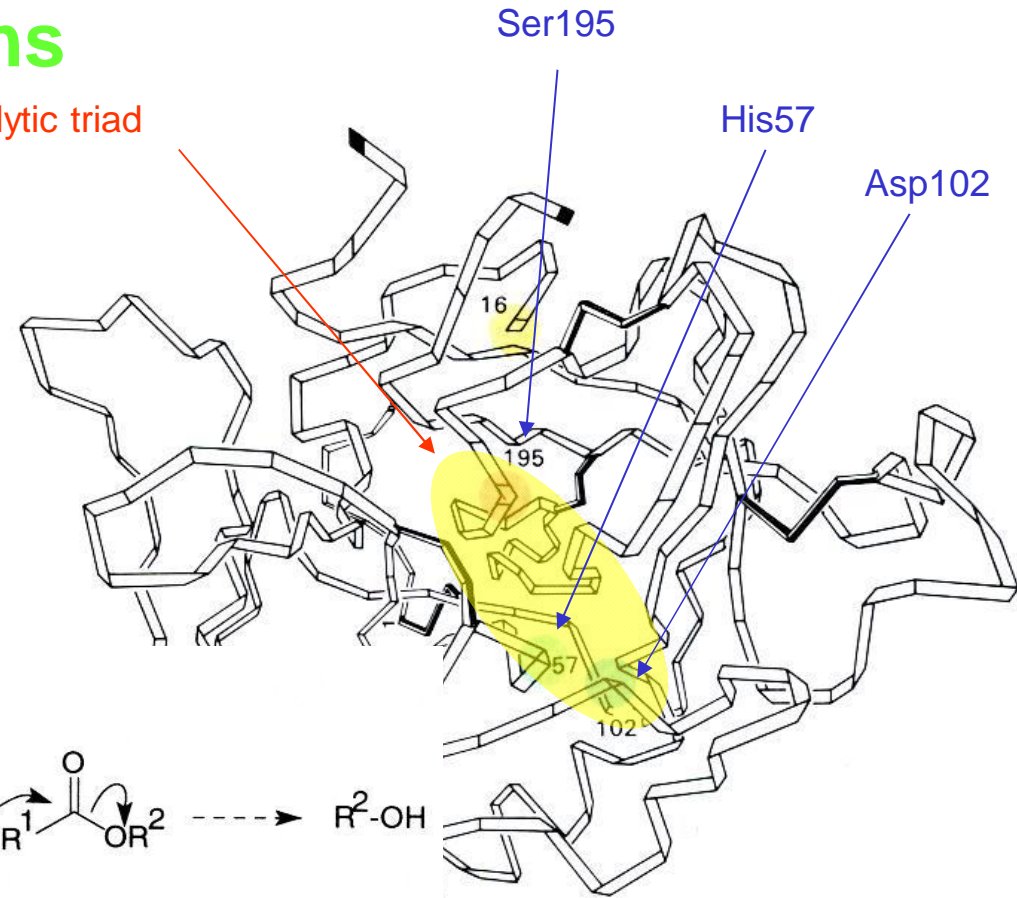


# Hydrolytic Reactions

## Enzyme Mechanisms

- “ Serin-proteases
  - . e.g. chymotrypsine

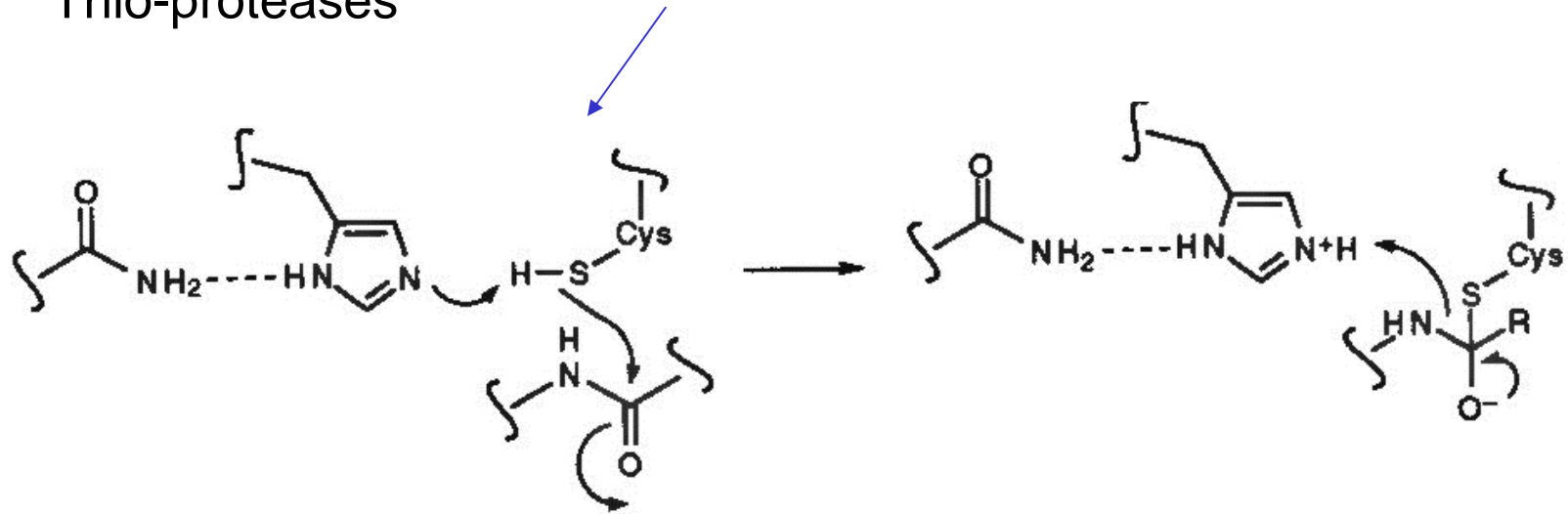
catalytic triad



# Hydrolytic Reactions

## Enzyme Mechanisms

“ Thio-proteases

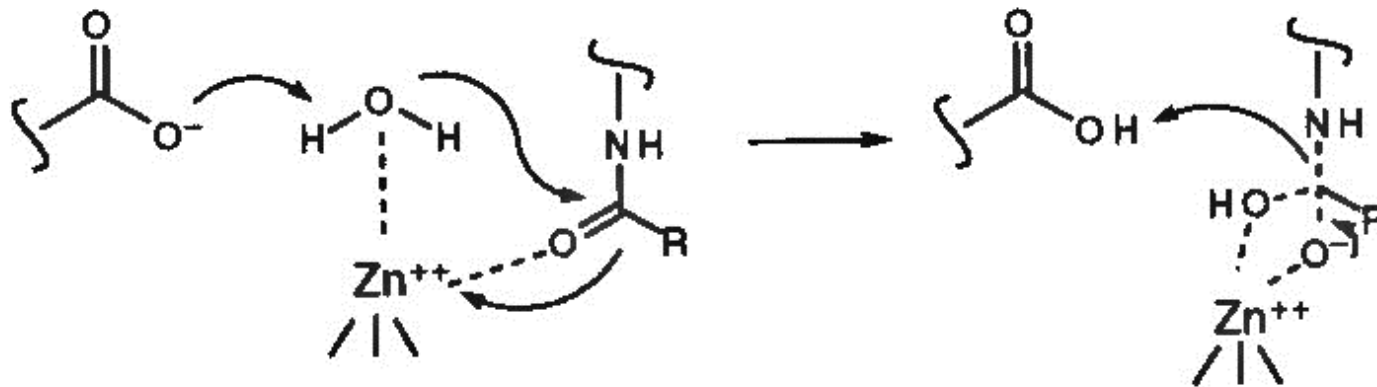


- . Mechanism comparable to Ser-proteases
- . examples: Papain, Cathepsin
- . Minor modification of amino acids in catalytic triad upon retention of function

# Hydrolytic Reactions

## Enzyme Mechanisms

“ Metallo-proteases

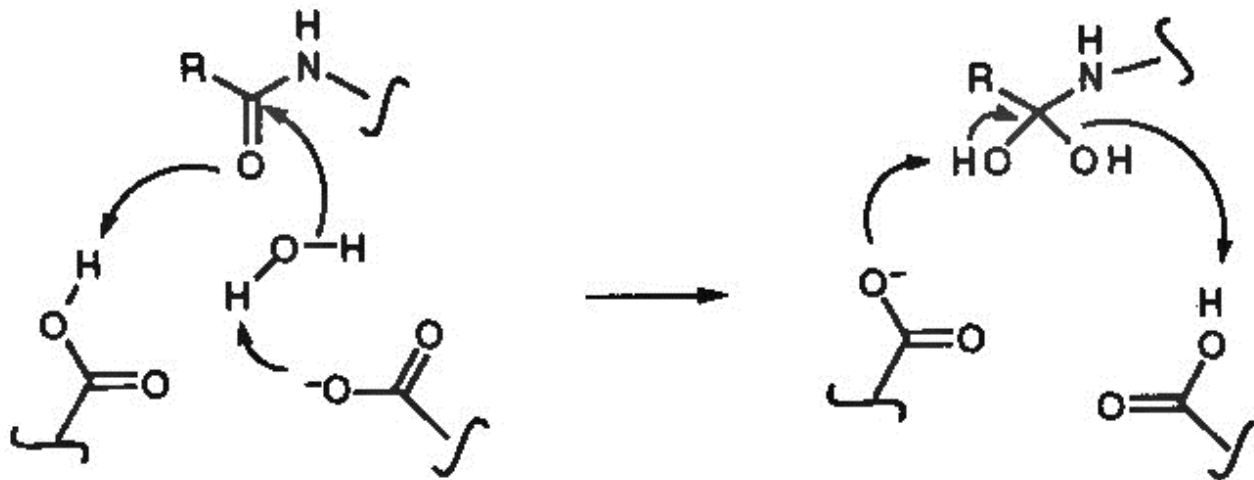


- .  $Zn^{2+}$  as Lewis-acid
- . **no covalent intermediate**
- . examples: thermolysine, acylases

# Hydrolytic Reactions

## Enzyme Mechanisms

“ Aspartyl-proteases

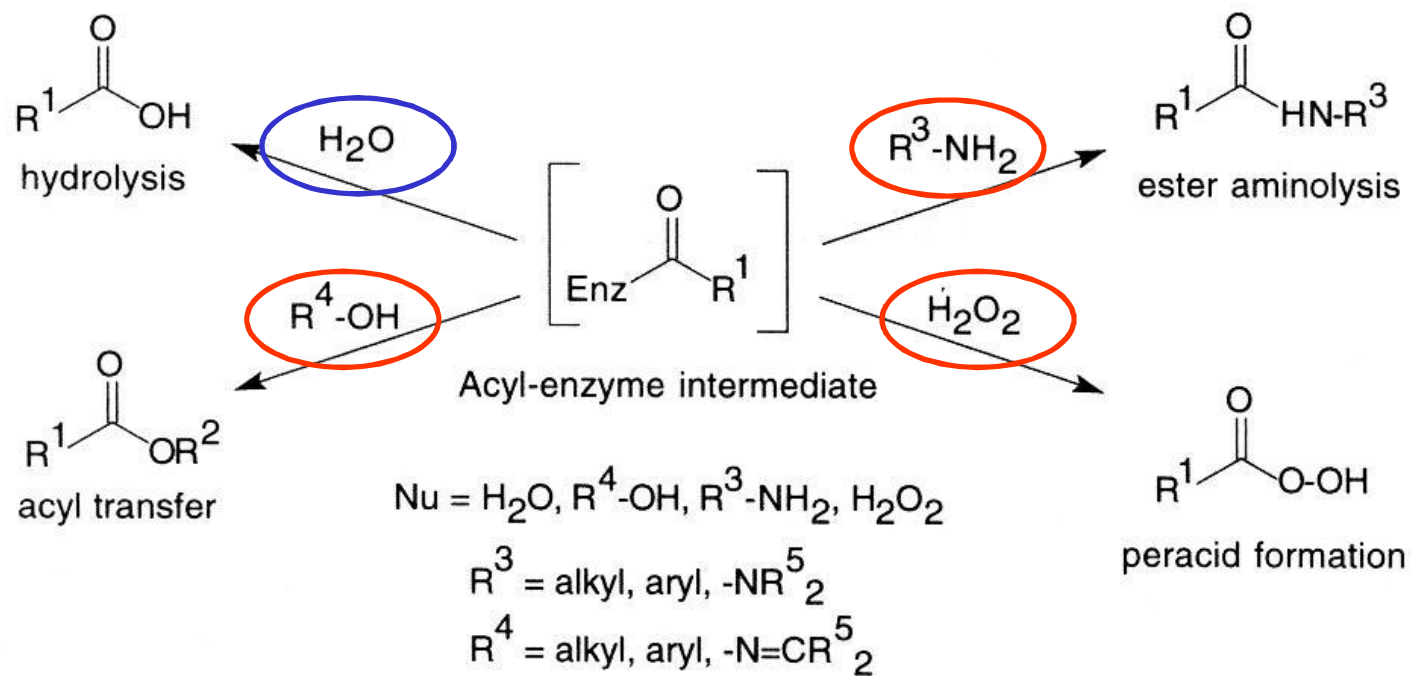


- . 1st carboxylate = base
- . 2nd carboxyl group . general acid catalysis
- . **no covalent intermediate**
- . example: pepsin

# Hydrolytic Reactions

## Synthetic Applications

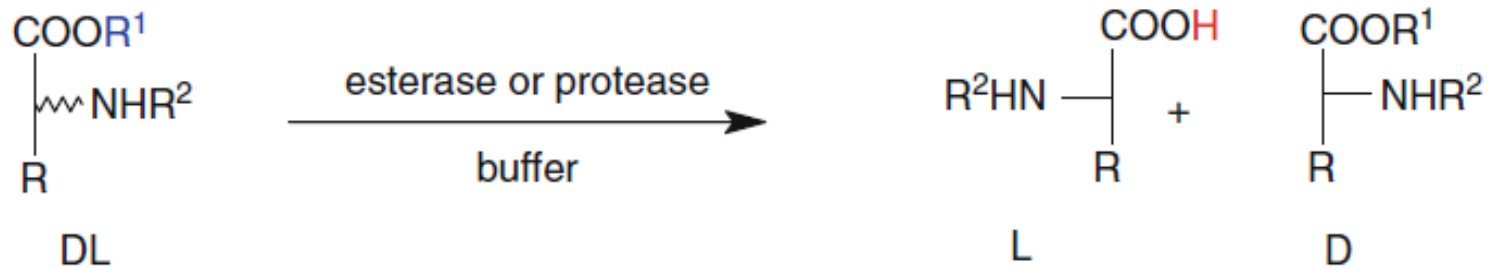
“ Various nucleophiles



# Hydrolytic Reactions

## Amino Acid Synthesis

### “ Esterase Method



R = alkyl or aryl; R<sup>1</sup> = short-chain alkyl; R<sup>2</sup> = H or acyl

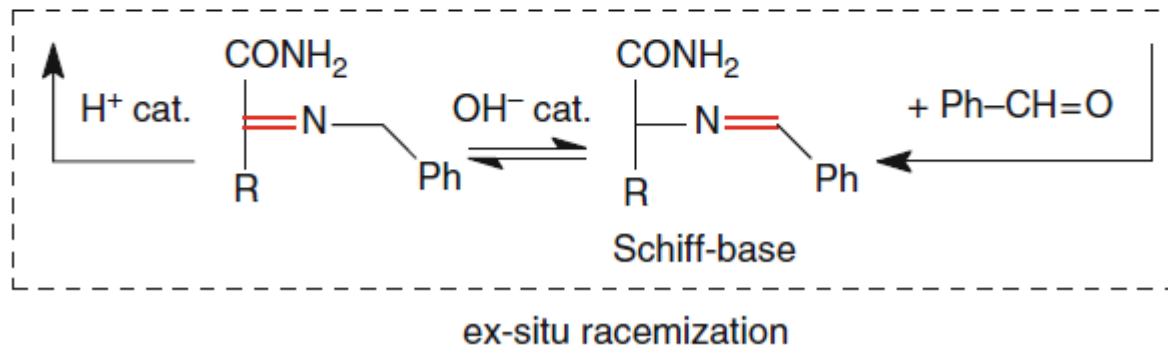
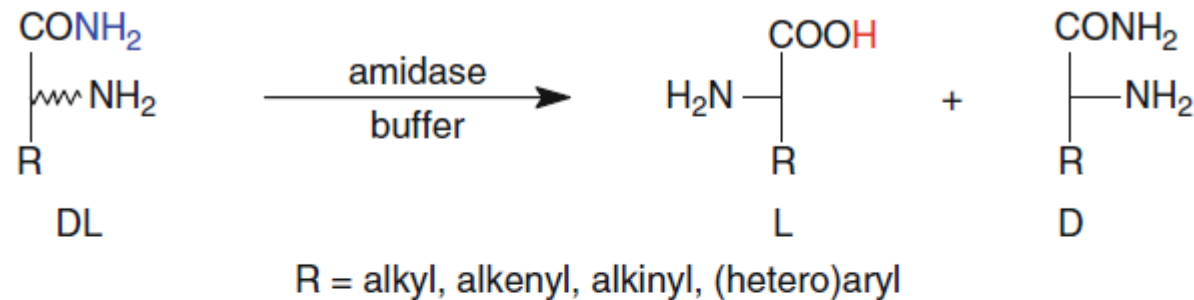
- . Ester hydrolysis via:
  - “ Protease (cleavage of ester & amid bond possible  $\Rightarrow$  sequential biotransformation)
  - “ Esterase
  - “ Lipase
- . Most important enzyme:  $\alpha$ -Chymotrypsin
- . *Usual preferred cleavage of enantiomer most similar to natural a.a.*
- . Since 1905 applied in chemistry



# Hydrolytic Reactions

## Amino Acid Synthesis

### Amidase Method

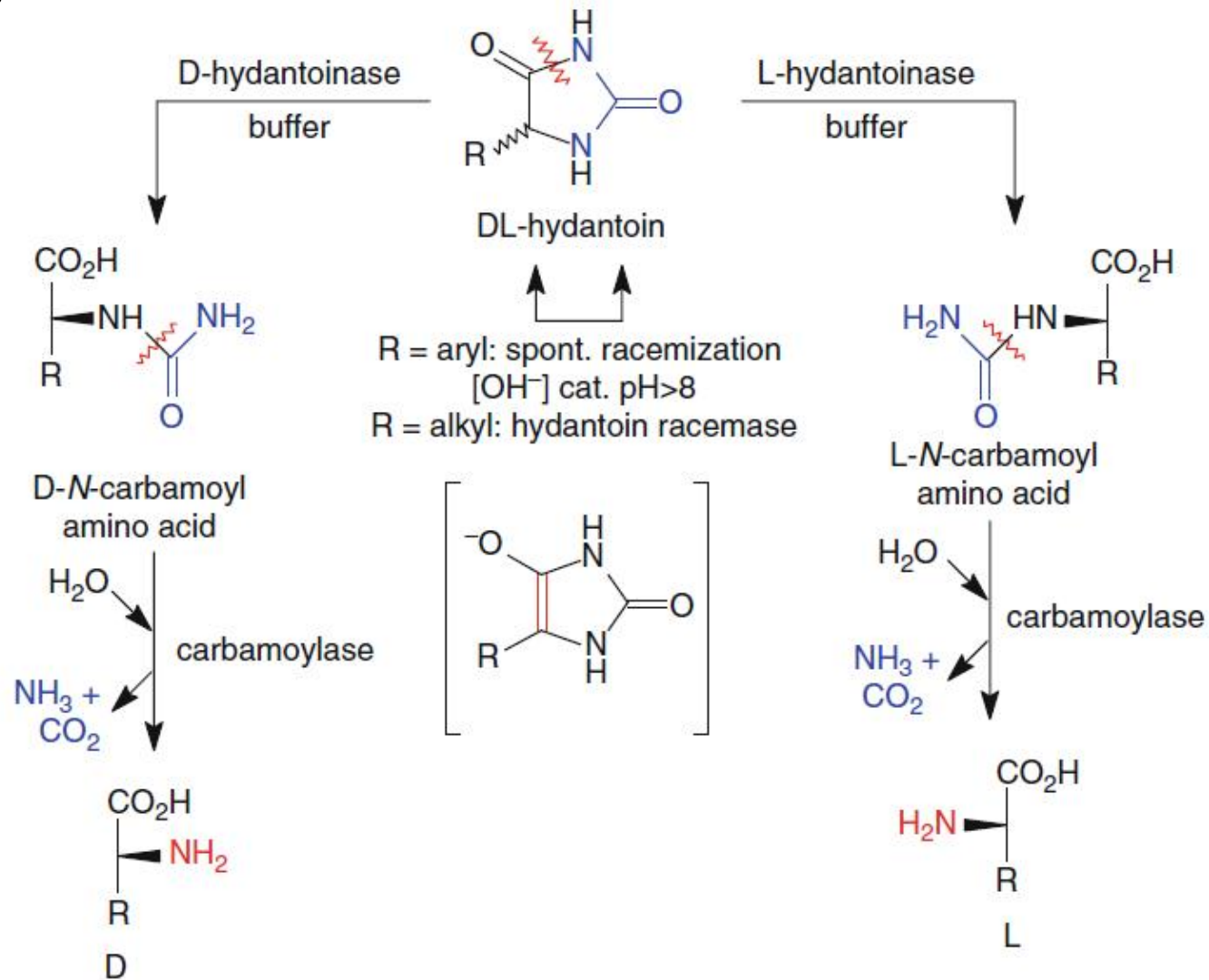


- . Enzymes: mikroorganisms (Pseudomonas, Aspergillus, Rhodococcus sp.)
- . Negligible chemical hydrolysis of amide products
- . *separate* chemical racemization possible
- . Now also with **N-acylaminocarboxylic acid racemase**  $\rightleftharpoons$  **dynamic process**

# Hydrolytic Reactions

## Amino Acid Synthesis

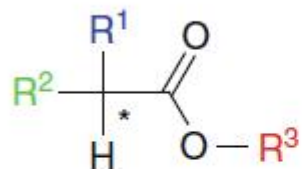
### Hydantoinase Method



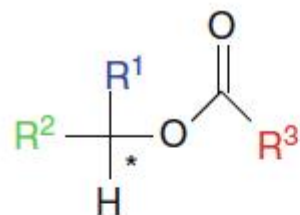
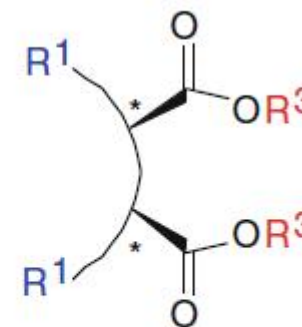
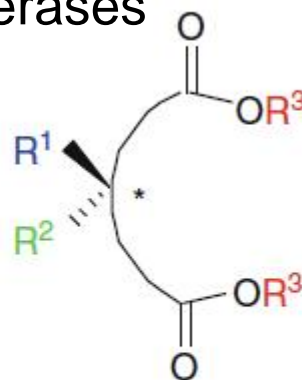
# Hydrolytic Reactions

## Ester Hydrolysis

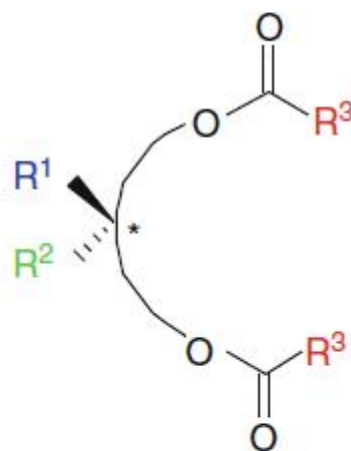
“ Substrate types for esterases



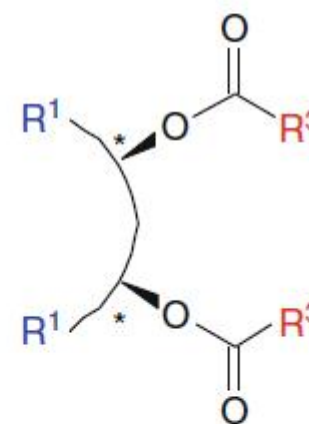
Type I



Type II



prochiral substrates



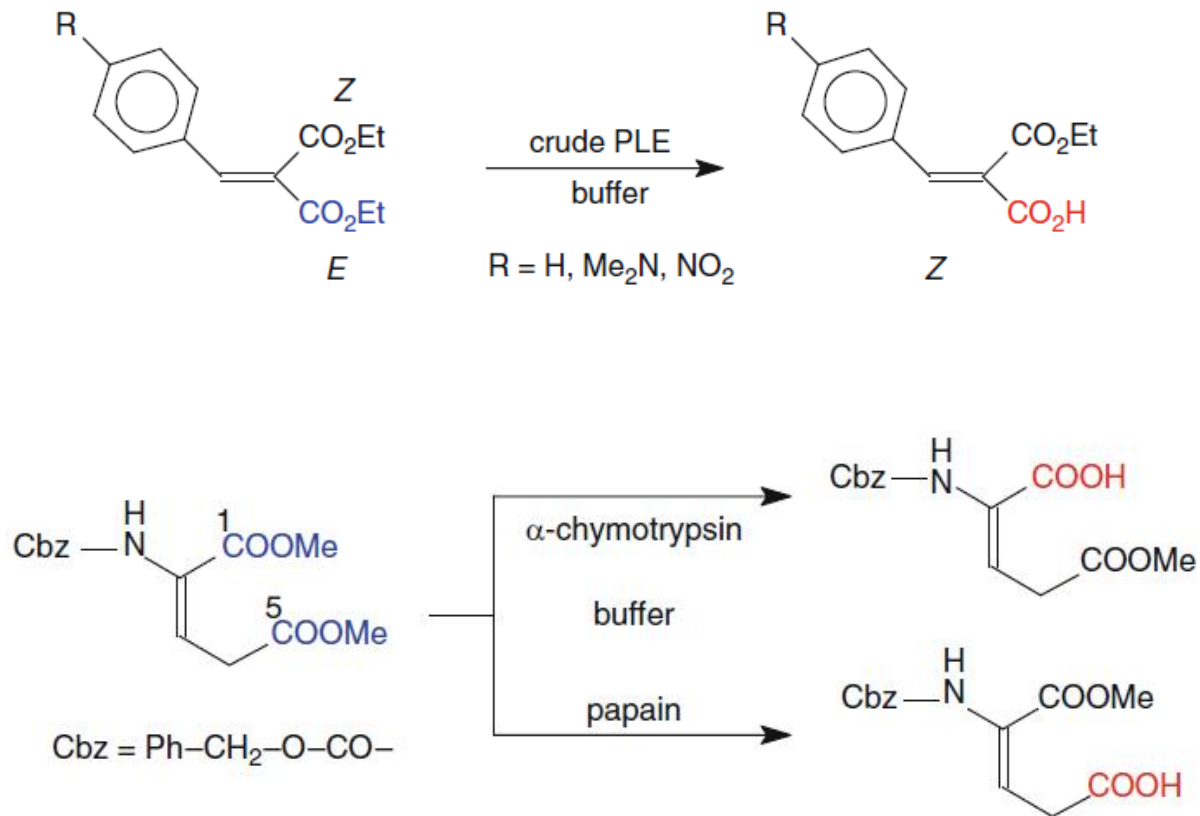
meso-forms

$R^1, R^2$  = alkyl, aryl;  $R^3$  = Me, Et; \* = center of (pro)chirality

# Hydrolytic Reactions

## Ester Hydrolysis

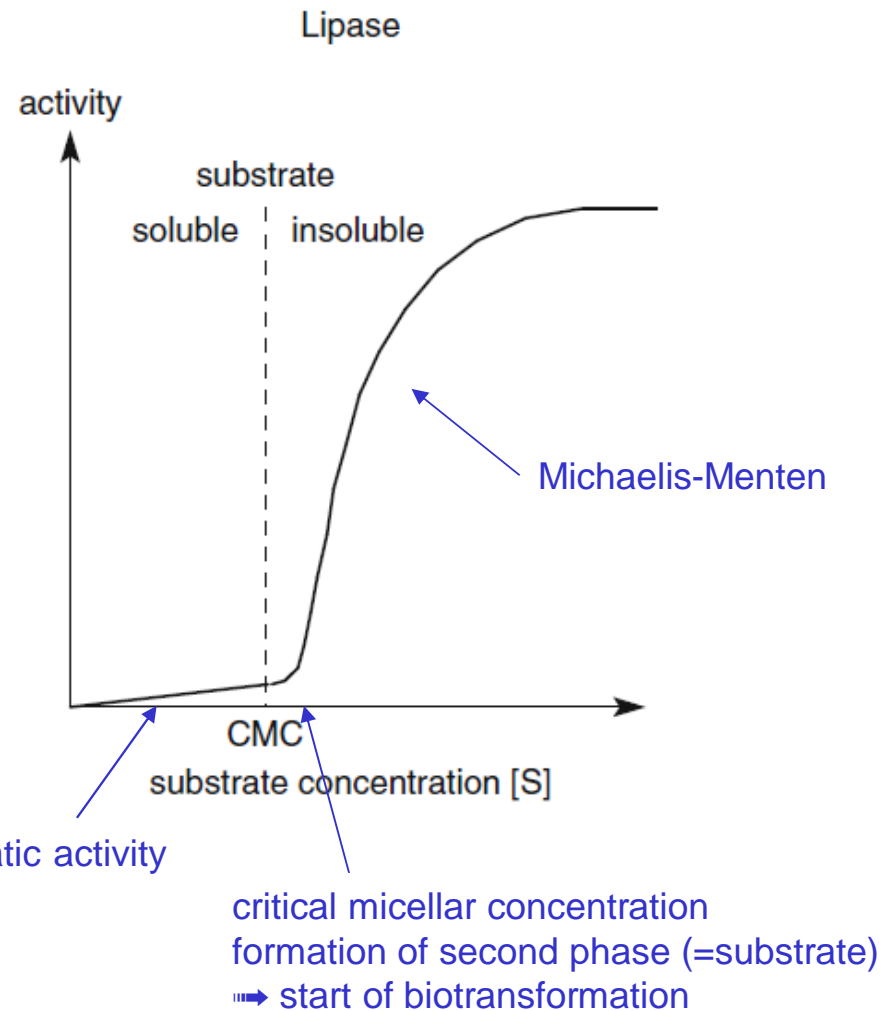
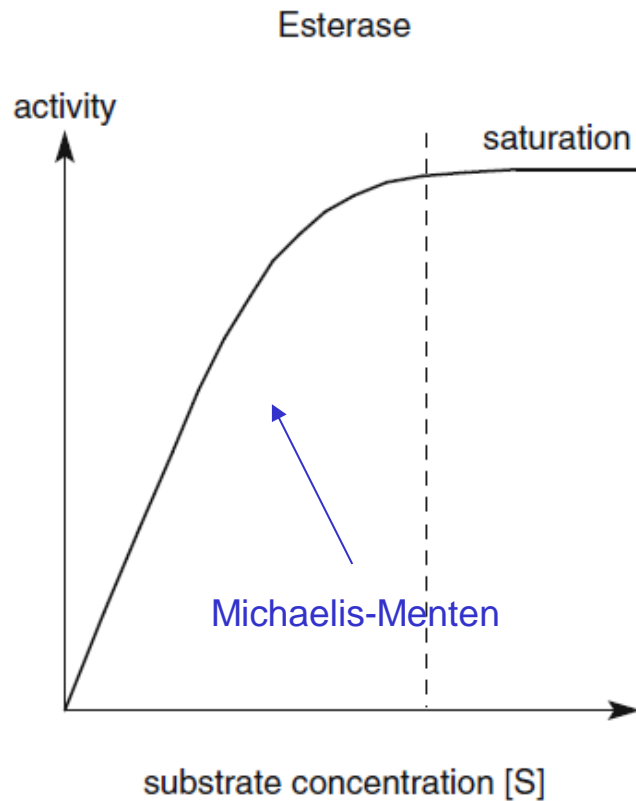
“ Substrate types for esterases



# Hydrolytic Reactions

## Ester Hydrolysis

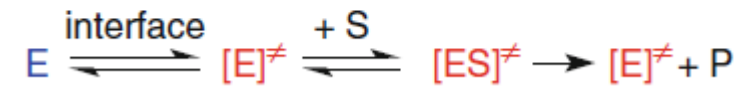
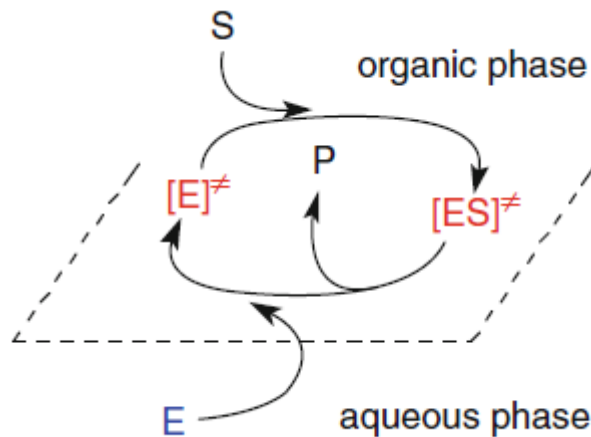
” Lipases vs. Esterases



# Hydrolytic Reactions

## Ester Hydrolysis

” Lipases vs. Esterases



E = inactive lipase (closed lid conformation)

[E]<sup>≠</sup> = active lipase (open lid conformation)

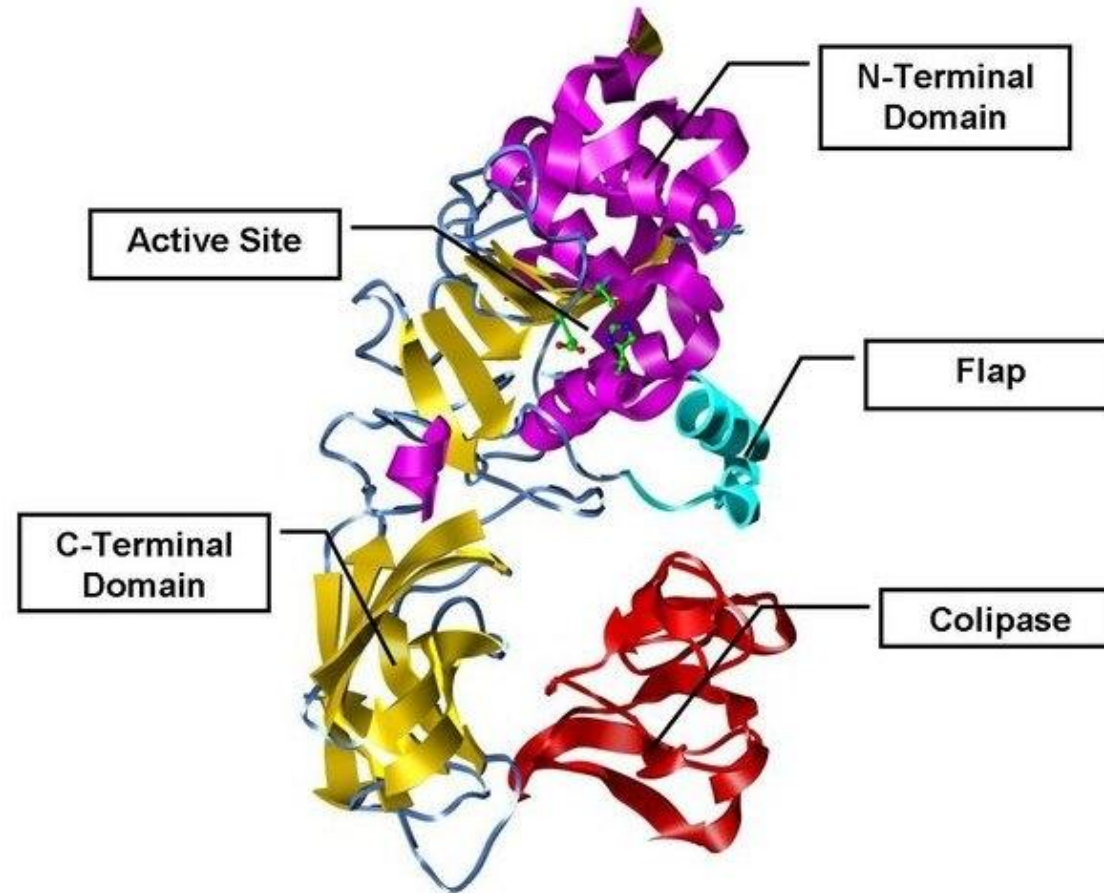
S = Substrate; P = Product

- Lipases work best in solvent/water mixtures

# Hydrolytic Reactions

## Ester Hydrolysis

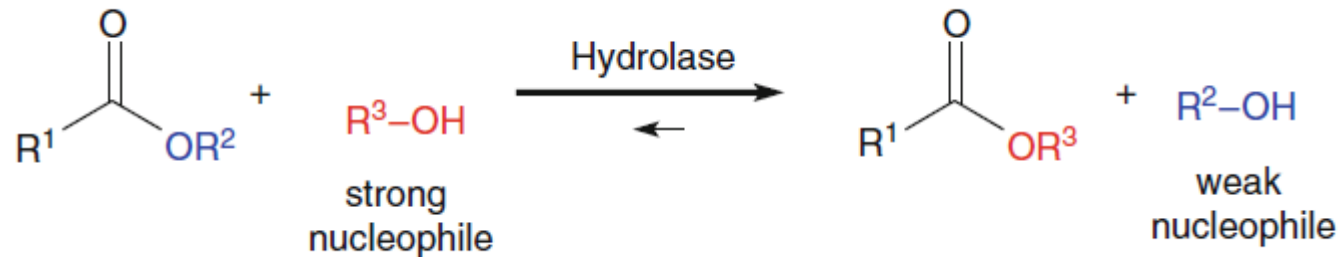
” Lipse flap / lid



# Hydrolytic Reactions

## Esterification

” Principle



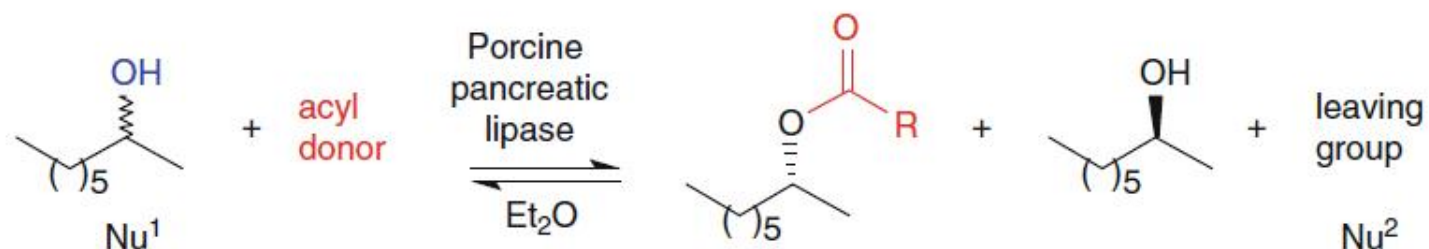
- ” Problem: formation of water during reaction
  - ” formation of aqueous **interphase**
  - ” separation of enzyme & substrate
  - ” incomplete conversion
- ” Excess acyl donor
  - . removal of bulk%~~water~~
  - . pseudo-irreversible reaction
- ” Decrease of nucleophilicity of newly formed alcohol
  - . electron withdrawing effects
  - . subsequent reaction / tautomerization



# Hydrolytic Reactions

## Esterification

” Leaving group alcohol



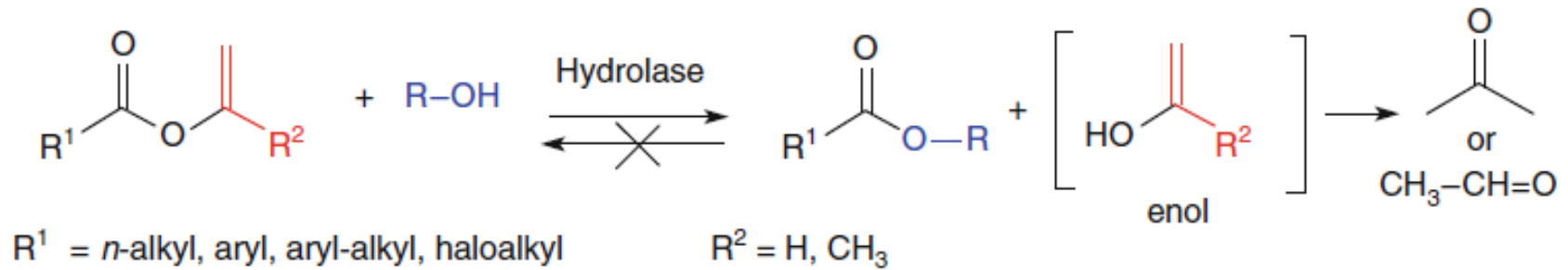
Acyl donor	R	Leaving group Nu <sup>2</sup>	Initial rate [%]
Ethyl acetate	Me	EtOH	0.3
2-Chloroethyl acetate	Me	ClCH <sub>2</sub> -CH <sub>2</sub> OH	1
Methyl butanoate	<i>n</i> -Pr	MeOH	5
Ethyl cyanoacetate	N≡CCH <sub>2</sub> -	EtOH	6
Trichloroethyl trichloroacetate	Cl <sub>3</sub> C-	Cl <sub>3</sub> C-CH <sub>2</sub> OH	7
Methyl bromoacetate	BrCH <sub>2</sub> -	MeOH	14
Tributylin	<i>n</i> -Pr	dibutylin	34
Trichloroethyl butanoate	<i>n</i> -Pr	Cl <sub>3</sub> C-CH <sub>2</sub> OH	58
Trichloroethyl heptanoate	<i>n</i> -C <sub>6</sub> H <sub>13</sub> -	Cl <sub>3</sub> C-CH <sub>2</sub> OH	100

# Hydrolytic Reactions

## Esterification

” Enol ester acyl transfer

### Enol Esters

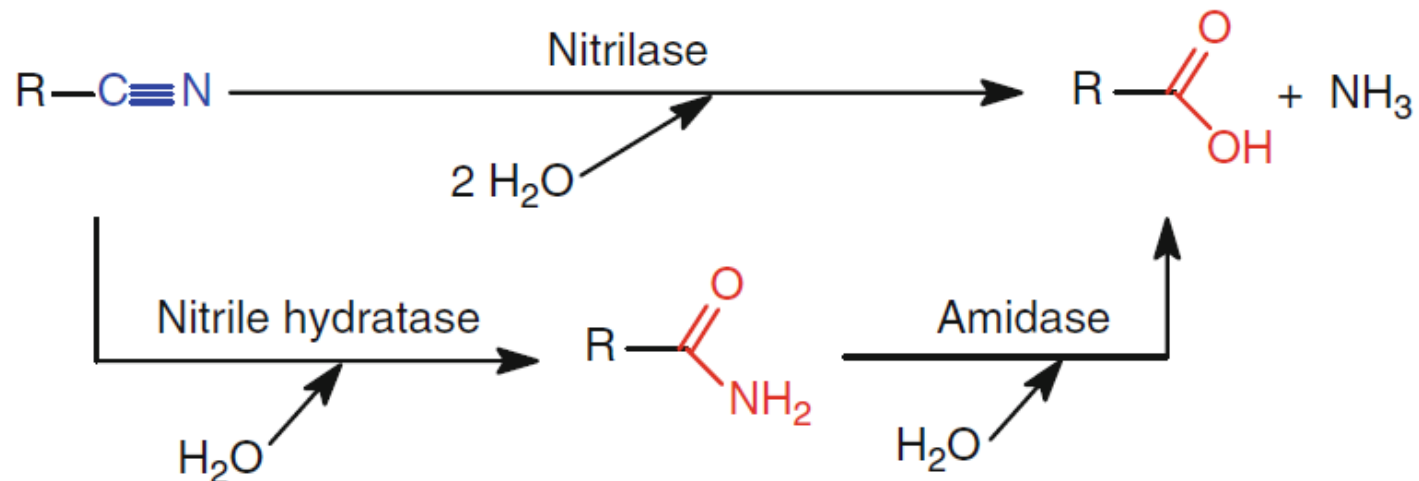


# Hydrolytic Reactions

## Nitrile Hydrolysis

### Enzymes for Nitrile Hydrolysis

- Nitriles are important C<sub>1</sub>-building blocks in chemical industry
- Chemical methods for hydrolysis:
  - “ Strong acidic or basic  $\Rightarrow$  incompatible with functional groups
  - “ High energy demands
  - “ Side reactions

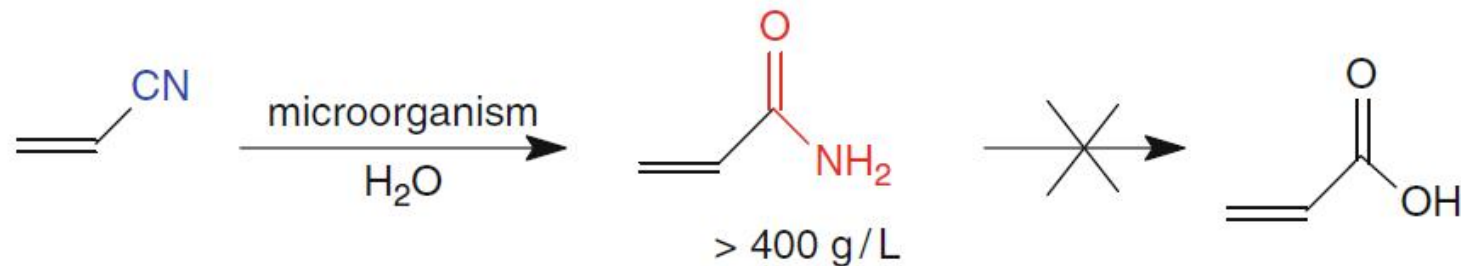


# Hydrolytic Reactions

## Nitrile Hydrolysis

### Acrylamid Production

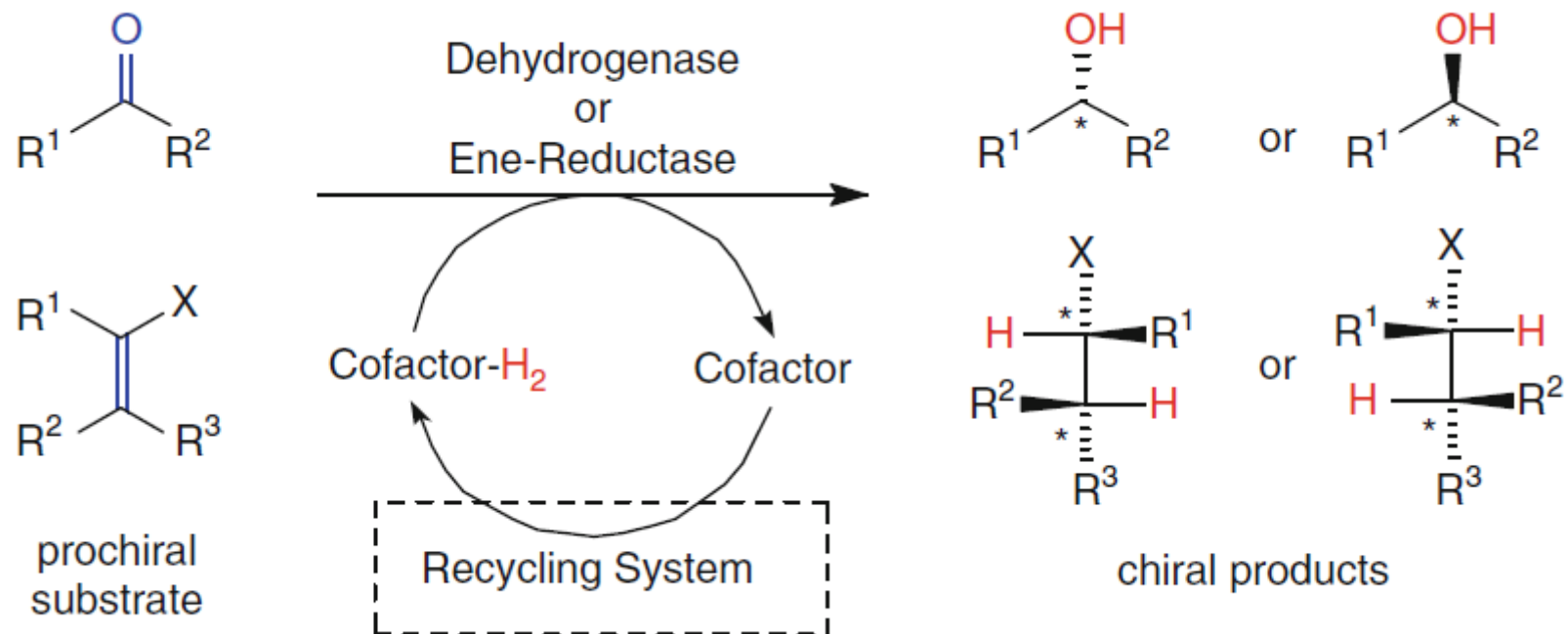
- . 450,000 t/a global production
- . chem. process: hydration of acrylonitrile with Cu-catalyst
- . whole-cell process yields (also lyophilized cells) >99%
  - " amidase inhibition
  - " 400g/L Titer
  - " >100,000 t/a by biocatalysis (1997)



*Rhodococcus rhodochrous*  
*Pseudomonas chlororapis*  
*Brevibacterium* sp.

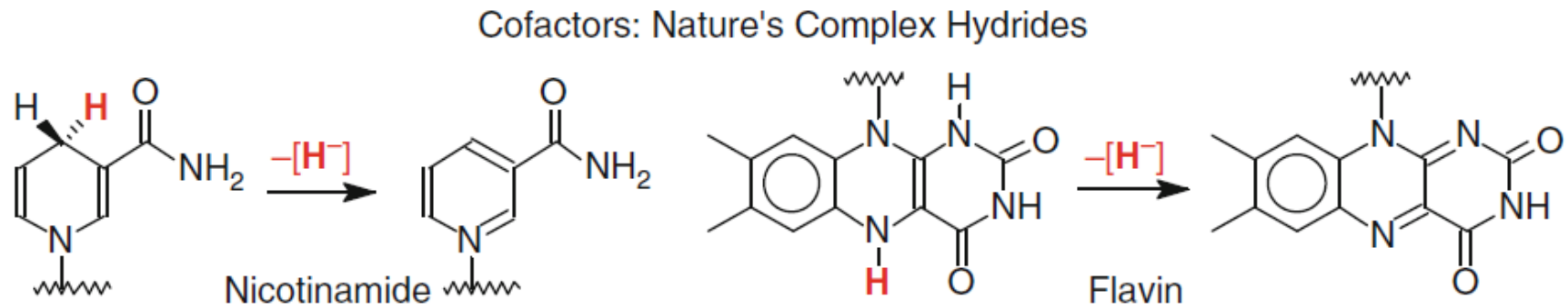
# Redox Reactions

## Reaction types



# Redox Reactions

## Cofactors

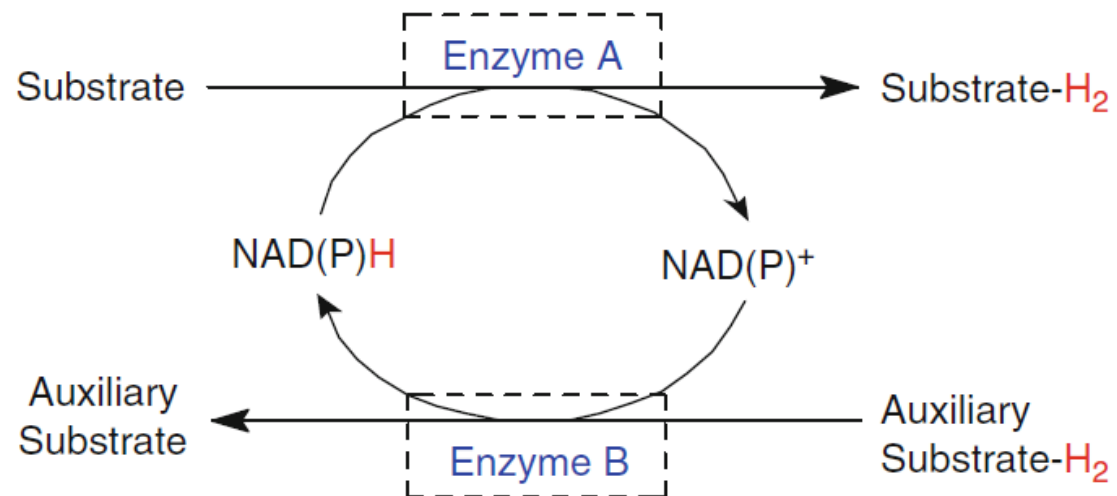
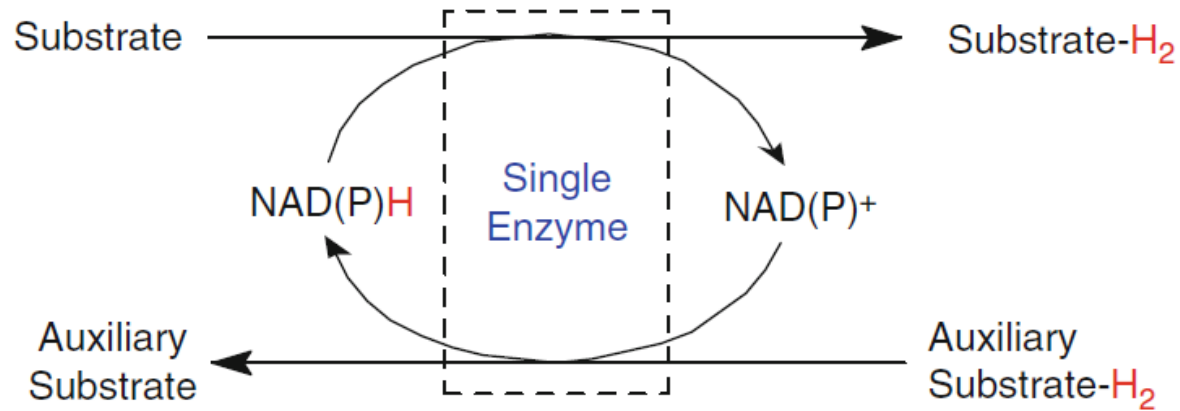


**Scheme 2.110** Reduction reactions catalyzed by dehydrogenases

# Redox Reactions

## Cofactor Recycling

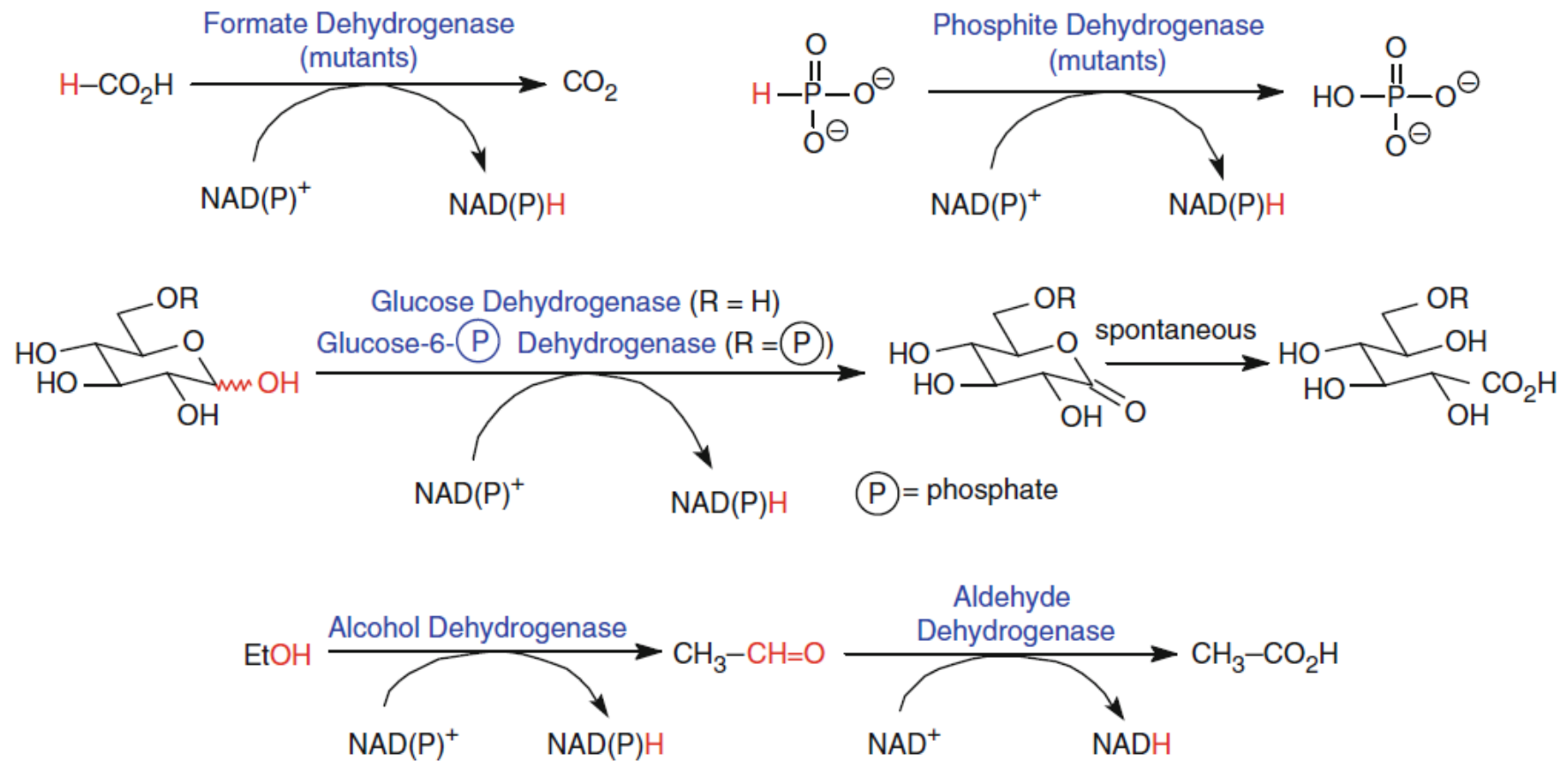
” General Principle



# Redox Reactions

## Cofactor Recycling

” Recycling systems for reduced nicotinamids

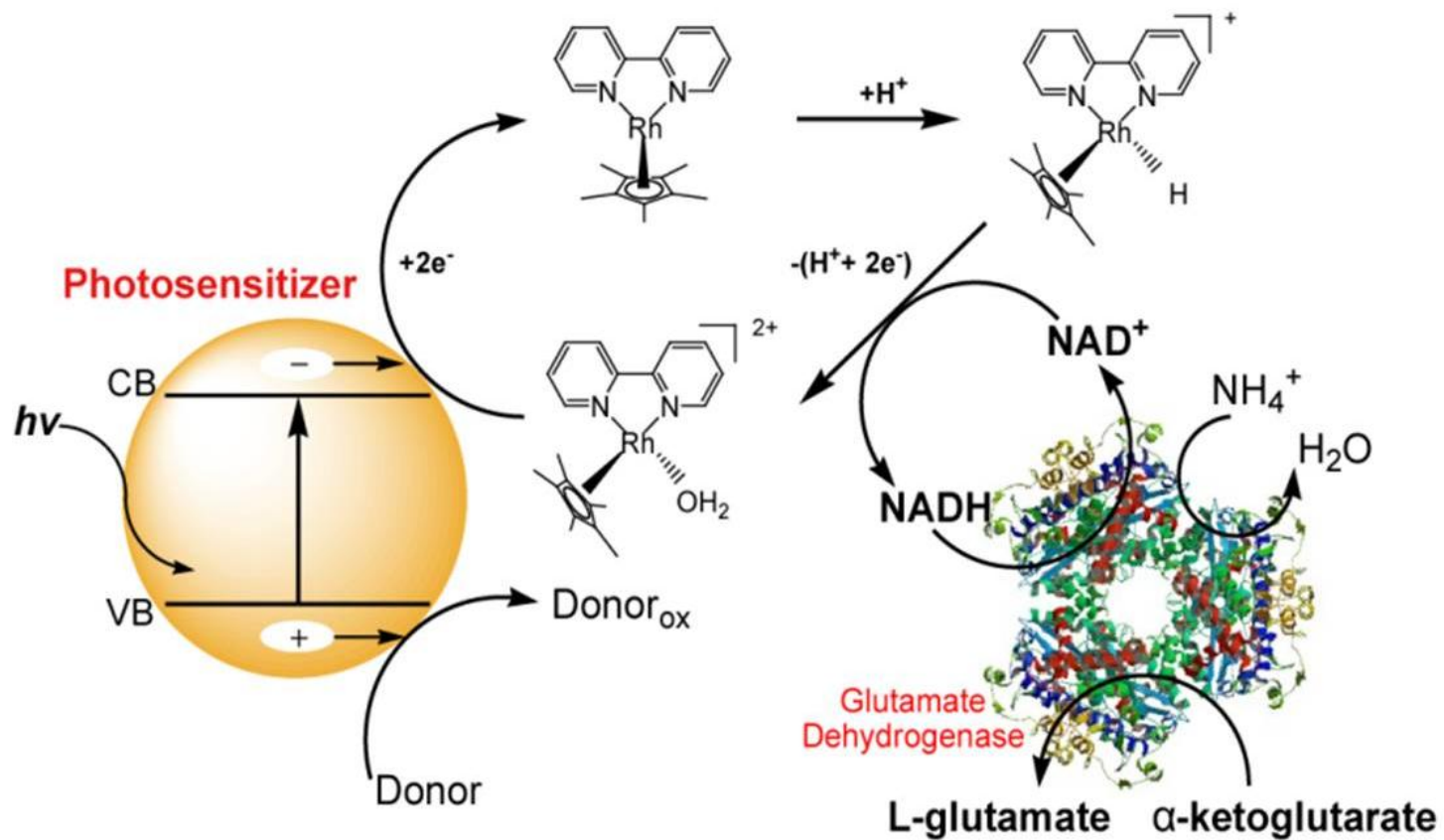




# Redox Reactions

## Cofactor Recycling

” Photochemistry

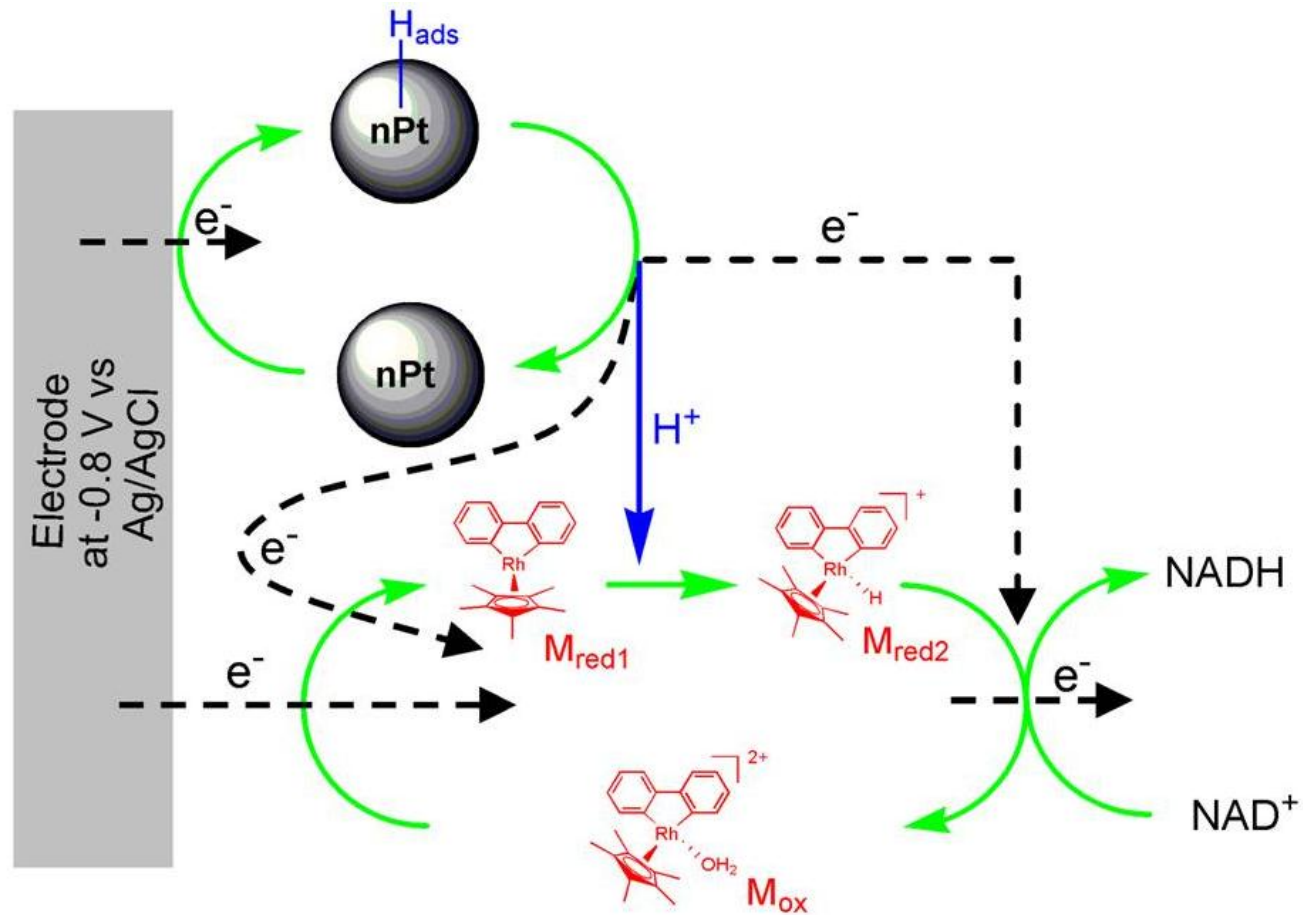


**[Photochemical Cofactor Regeneration]**

# Redox Reactions

## Cofactor Recycling

” Electrochemistry

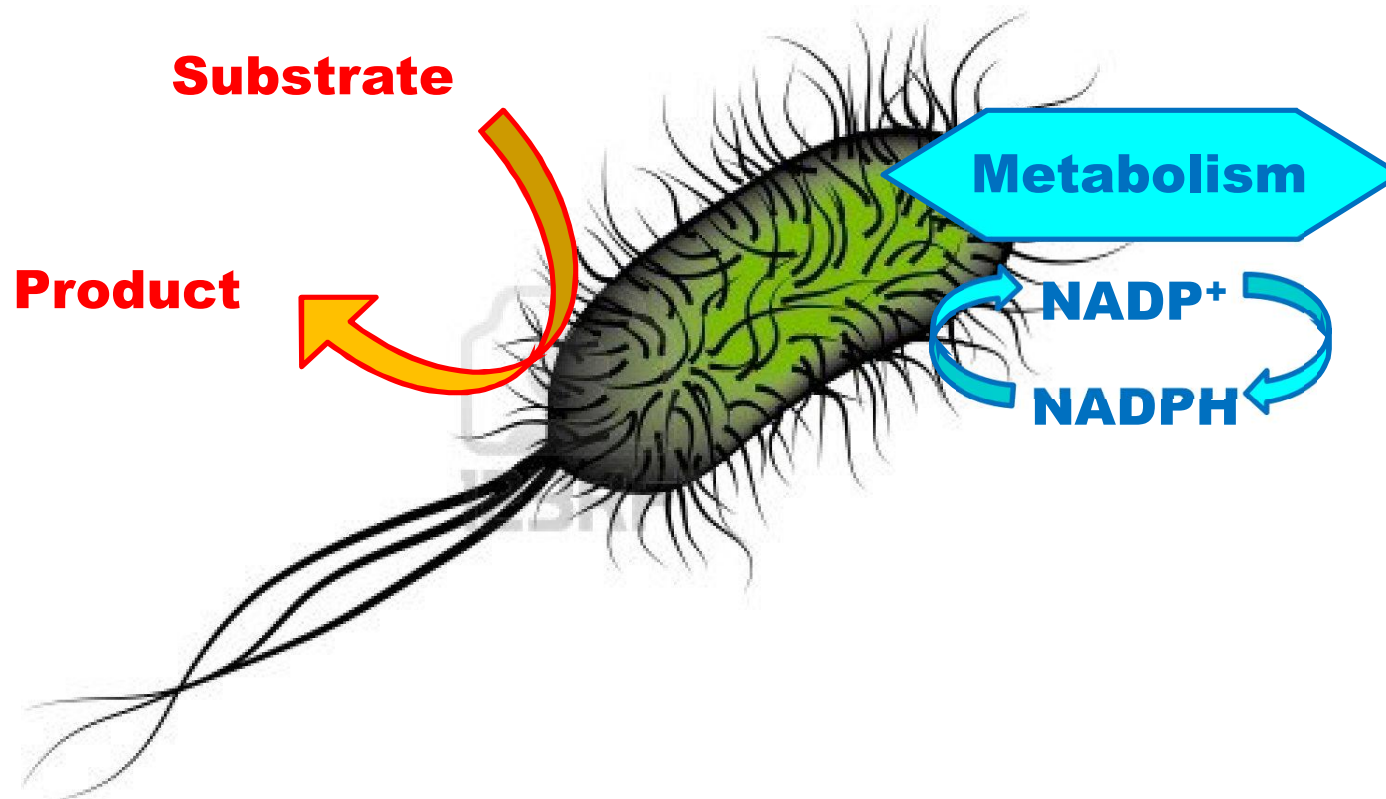


**[Electrochemical Cofactor Regeneration]**

# Redox Reactions

## Cofactor Recycling

” Whole-cell biotransformations

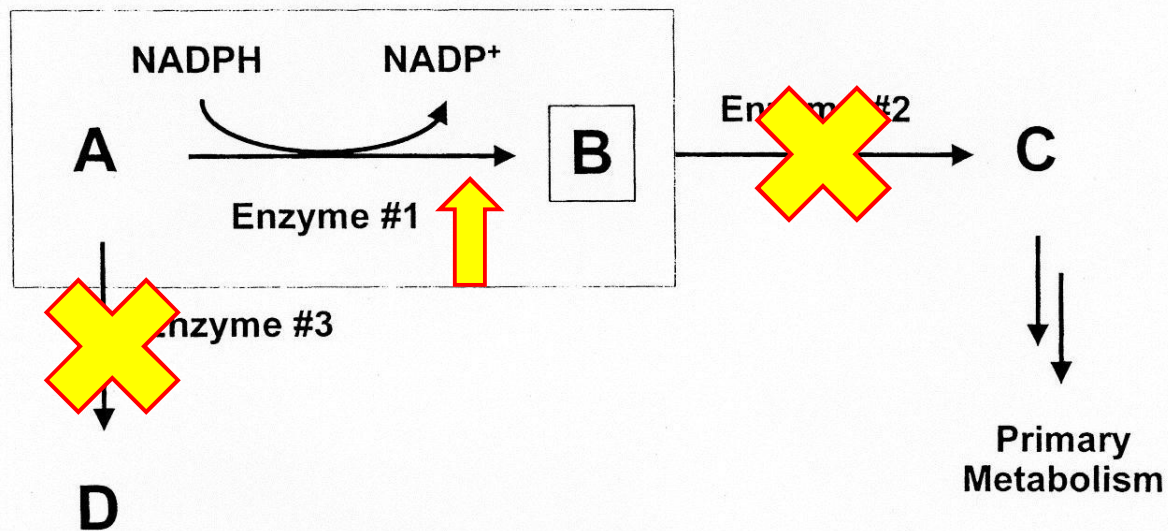
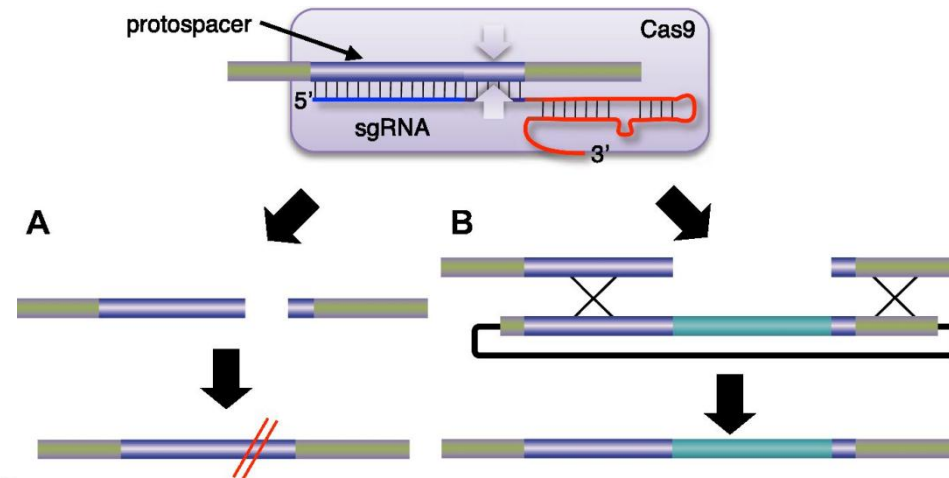


# Redox Reactions

## Cofactor Recycling

” Whole-cell biotransformations . recombinant organisms

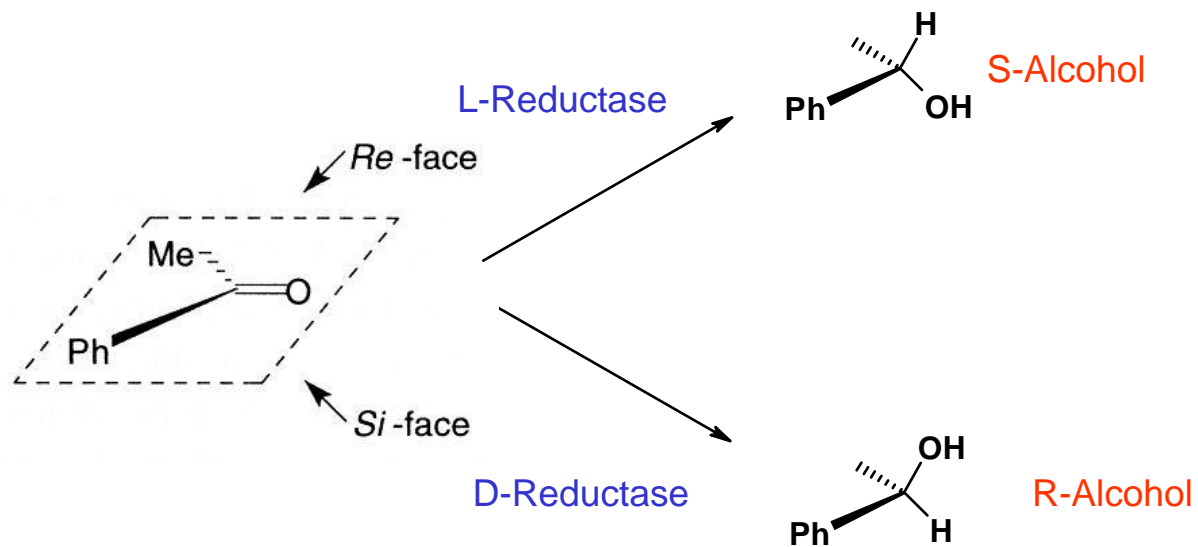
- . Genen overexpression
- . Gene knockout



# Redox Reactions

## Carbonyl Reductions

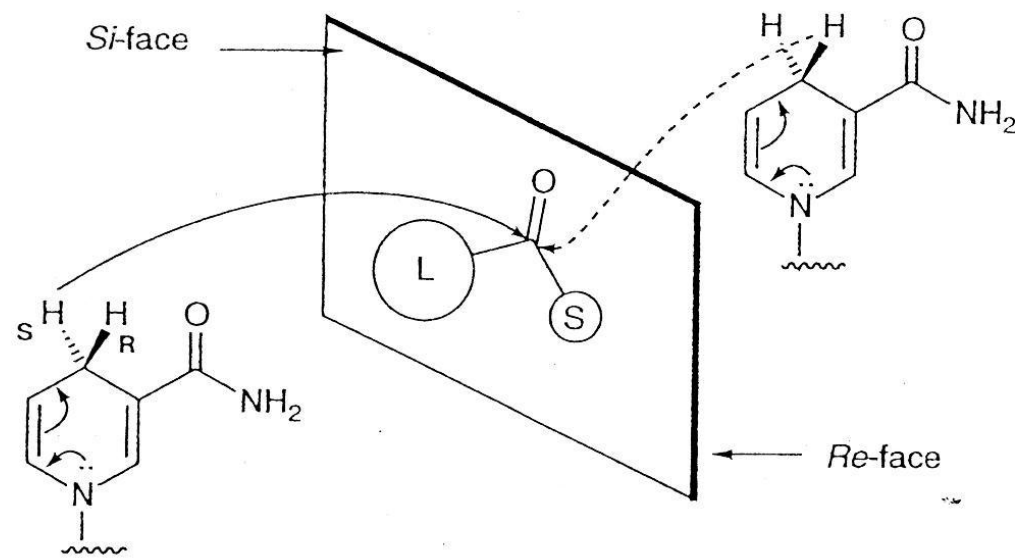
” Stereochemistry



# Redox Reactions

## Carbonyl Reductions

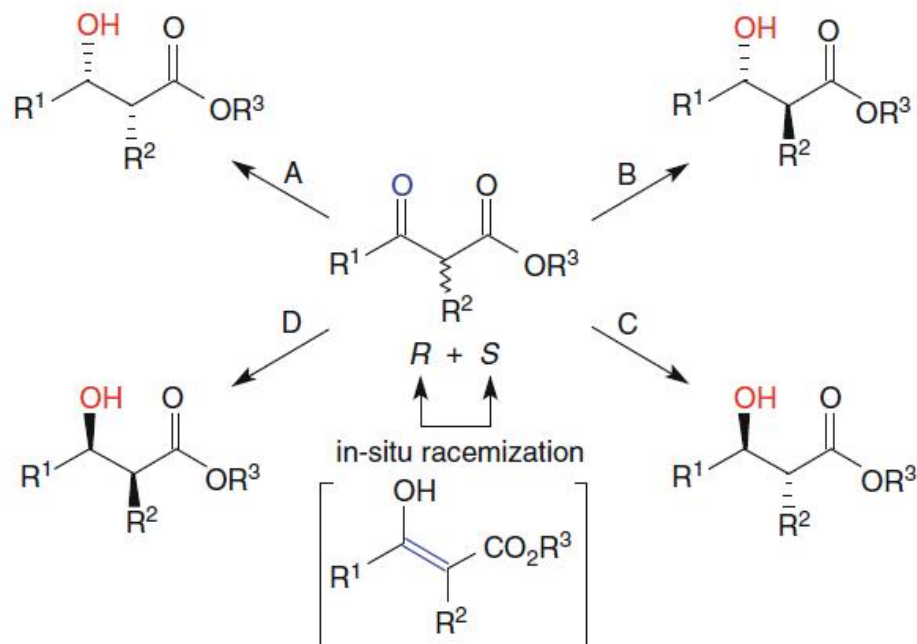
” Prelog's rule



# Redox Reactions

## Carbonyl Reductions

” Dynamic Processes

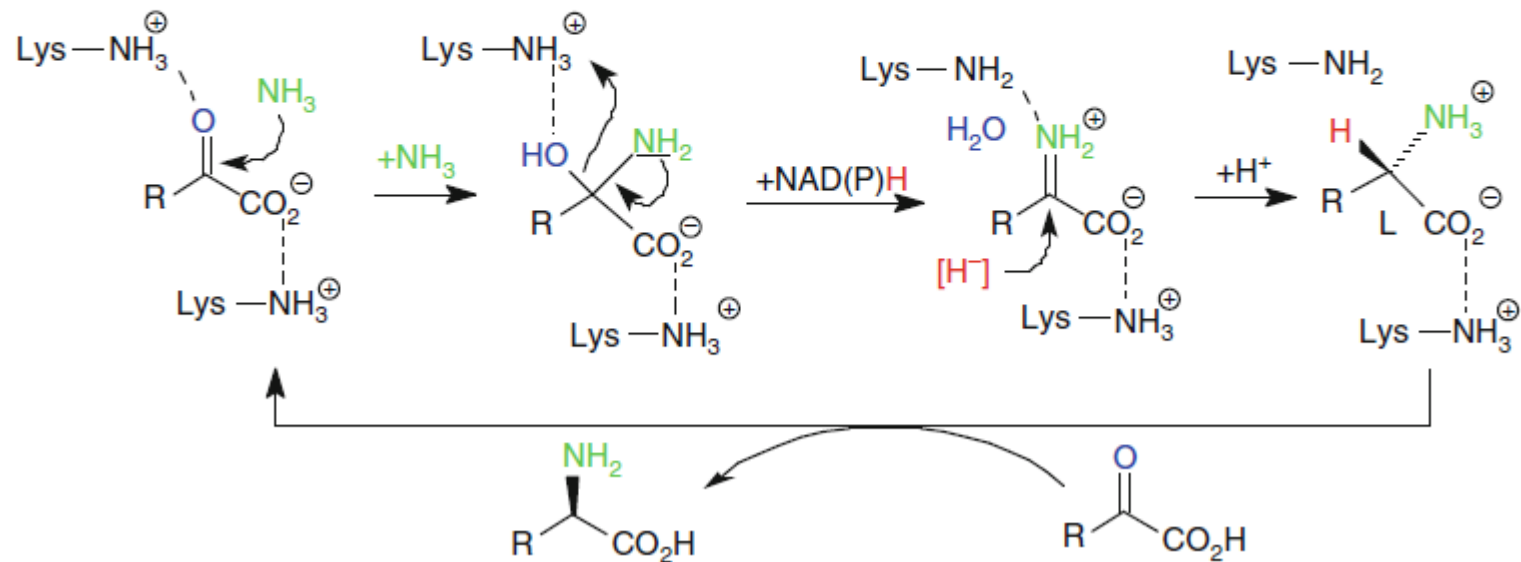
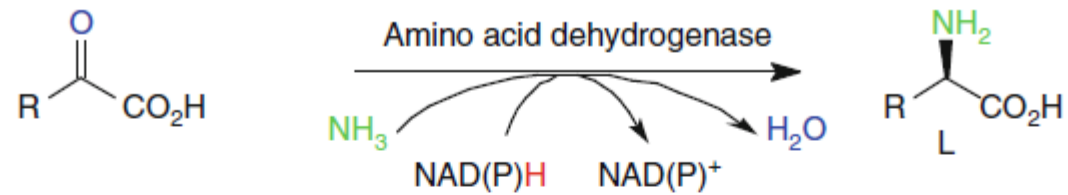


Pathway	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	Biocatalyst	Yield [%]	d.e. [%]	e.e. [%]	Ref.
A	Me	allyl	Et	baker's yeast	94	92	>99	[907]
A	Me	Me	<i>n</i> -Octyl	baker's yeast	82	90	>98	[908]
B	Me	Me	Et	<i>Geotrichum candidum</i>	80	>98	>98	[909]
B	Et	Me	Et	<i>Geotrichum candidum</i>	80	96	91	[910]
C	4-MeOC <sub>6</sub> H <sub>4</sub> -	Cl	Et	<i>Sporotrichum exile</i>	52	96	98	[911]
D	4-MeOC <sub>6</sub> H <sub>4</sub> -	Cl	Me	<i>Mucor ambiguus</i>	58	>98	>99	[912]

# Redox Reactions

## Carbonyl Reductions

- ” Reductive Aminations
  - . Amino acid dehydrogenase



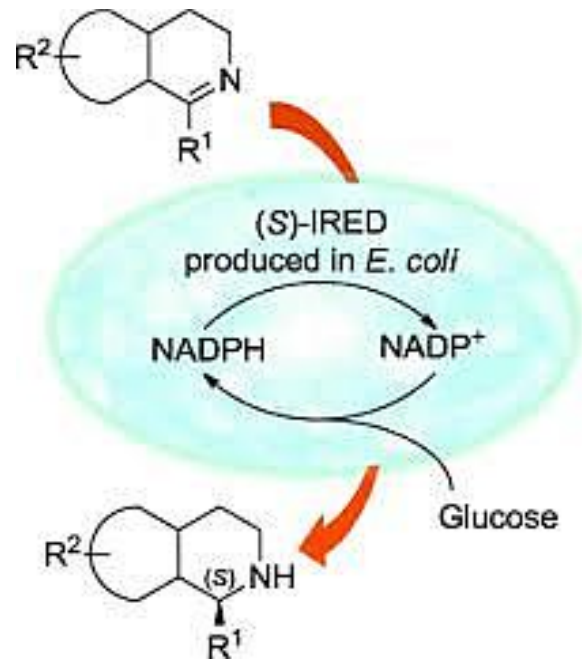


# Redox Reactions

## Carbonyl Reductions

### ” Imine Reductases

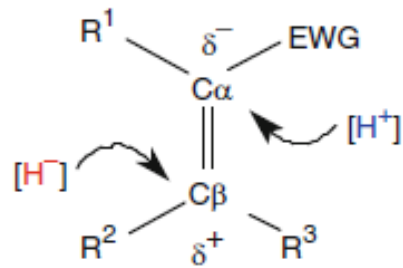
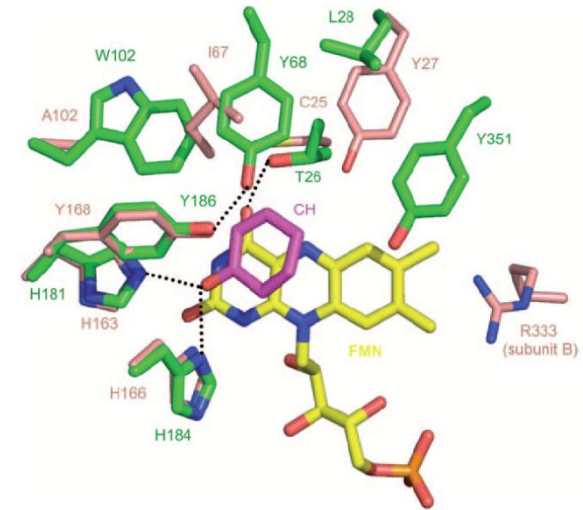
- . Problem: imine stability in aqueous systems



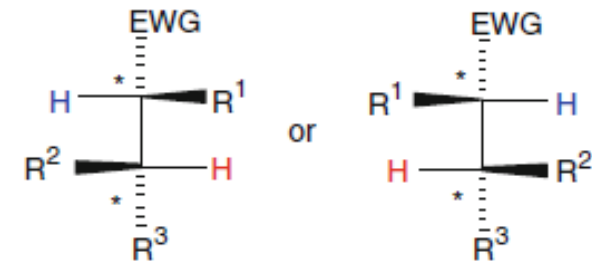
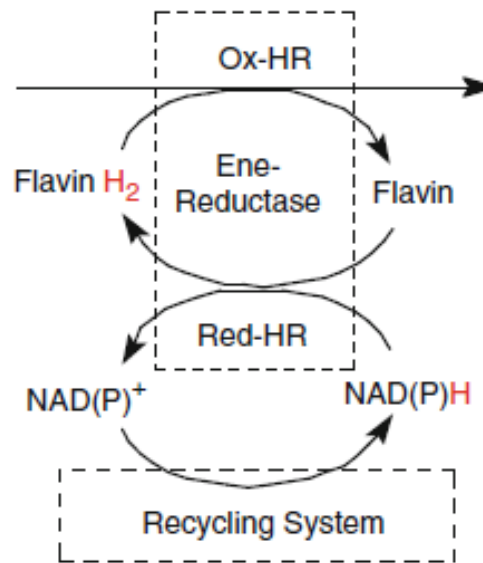
# Redox Reactions

## Alkene Reductions

“ Enoate Reductases (Old Yellow Enzymes)



EWG = electron-withdrawing group:  
aldehyde, ketone, carboxylic acid  
ester, lactone, cyclic imide, nitro

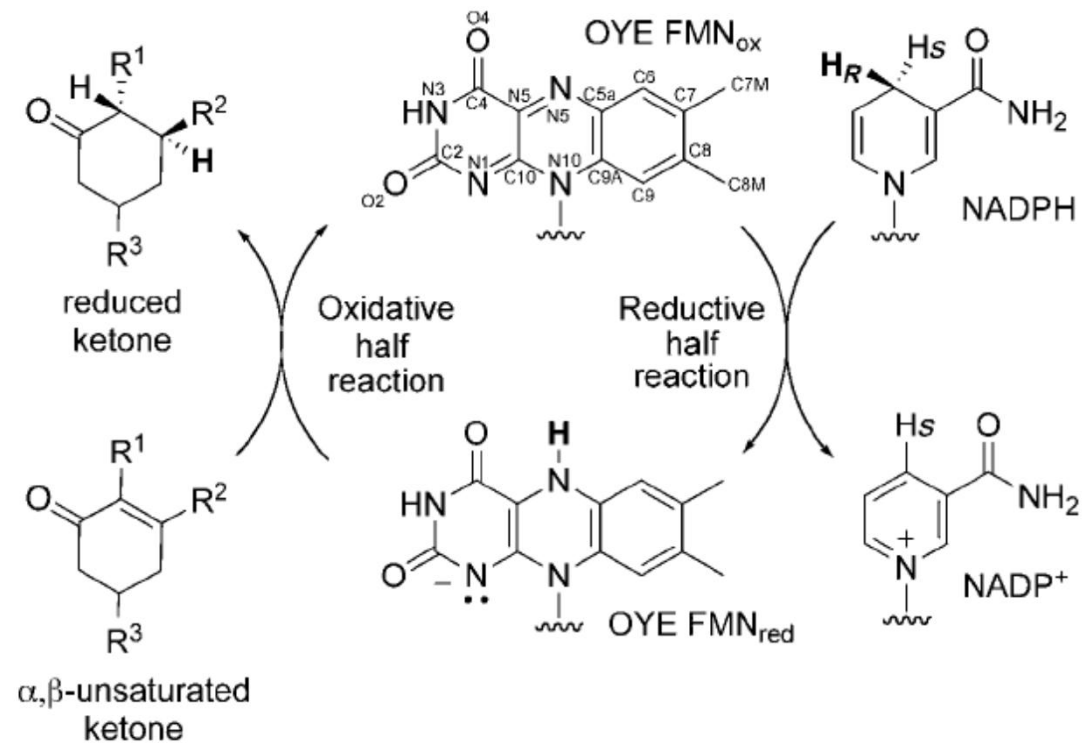


Ox-HR: oxidative half-reaction  
Red-HR: reductive half-reaction  
[H⁻] = hydride delivered from N5  
of the flavin cofactor  
[H⁺] = proton delivered via Tyr-residue

# Redox Reactions

## Alkene Reductions

” Enoate Reductases (Old Yellow Enzymes)

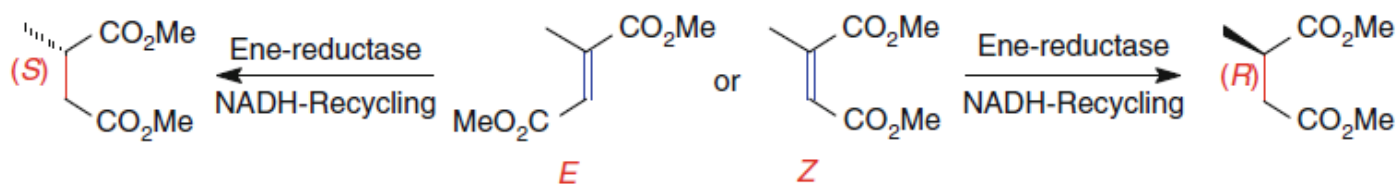


# Redox Reactions

## Alkene Reductions

### “ Enoate Reductases (Old Yellow Enzymes)

- Alkene substitution pattern



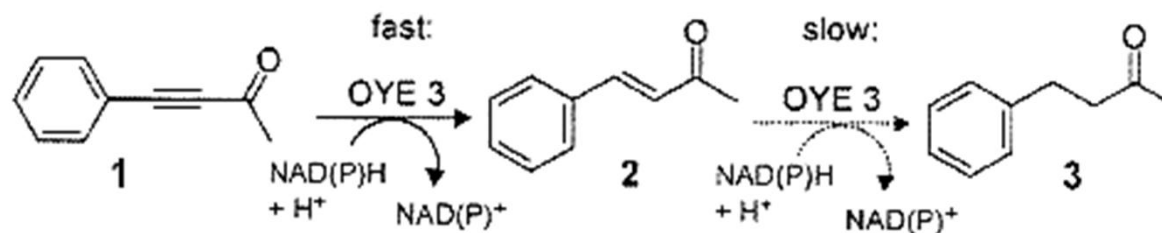
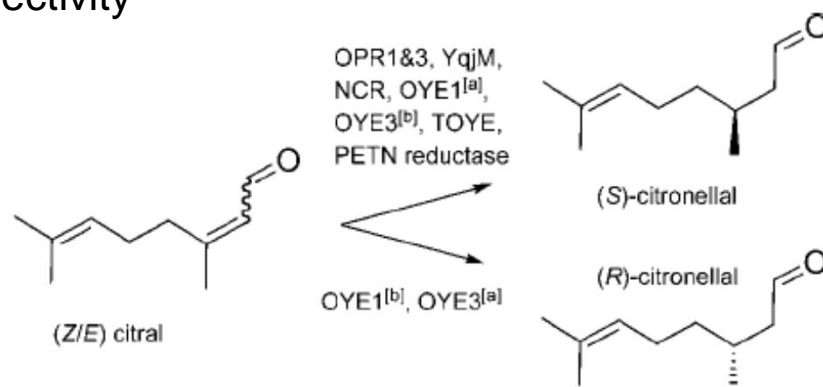
Configuration	YqjM		OPR1	
	c [%]	e.e. [%]	c [%]	e.e. [%]
<i>Z</i>	93	>99 ( <i>R</i> )	91	>99 ( <i>R</i> )
<i>E</i>	70	>99 ( <i>S</i> )	99	>99 ( <i>R</i> )

# Redox Reactions

## Alkene Reductions

### ” Enoate Reductases (Old Yellow Enzymes)

- . Chemoselectivity

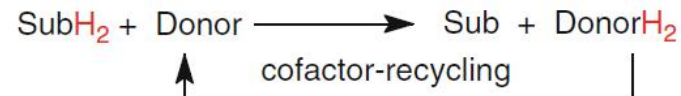


**Scheme 1.** Reductions catalyzed by Old Yellow Enzymes (OYEs). 1: 4-phenyl-3-butyne-2-one; 2: (*E*)-4-phenyl-3-butene-2-one; 3: 4-phenyl-2-butanone; NAD(P)<sup>+</sup>: nicotinamide adenine (phosphate) dinucleotide.

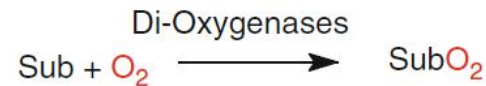
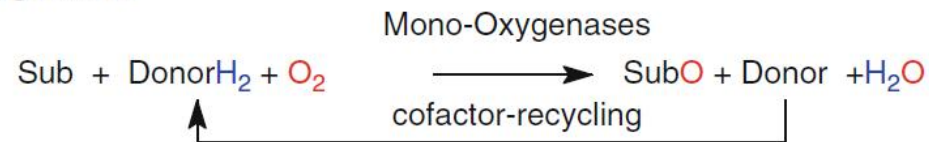
# Redox Reactions

## Oxidation Reactions

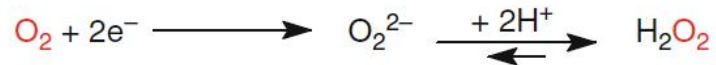
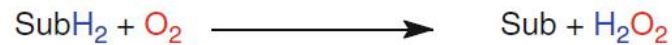
### Dehydrogenases



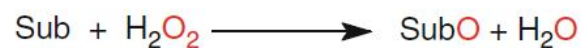
### Oxygenases



### Oxidases



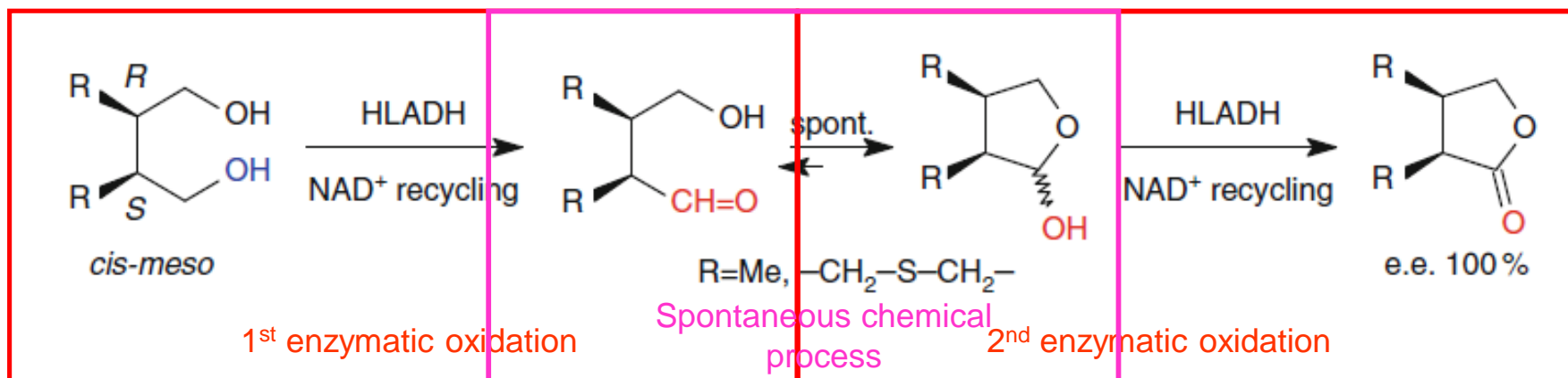
### Peroxidases



# Redox Reactions

## Alcohol Oxidations

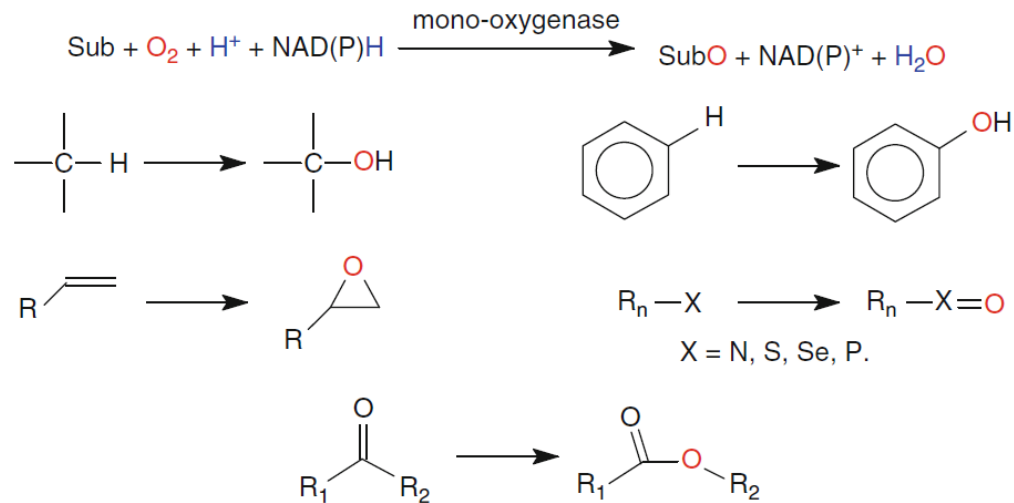
- HLADH Biooxidations
  - FMN as sacrificial substrate



# Redox Reactions

## Monooxygenations

” Reaction types



Substrate	Product	Type of reaction	Type of cofactor
Alkane	alcohol	hydroxylation	metal-dependent
Aromatic	phenol	hydroxylation	metal-dependent
Alkene	epoxide	epoxidation	metal-dependent
Heteroatom <sup>a</sup>	heteroatom oxide	heteroatom oxidation	flavin-dependent
Ketone	ester/lactone	Baeyer-Villiger	flavin-dependent

<sup>a</sup>N, S, Se, or P

similar to chemical oxidation  
using peracids  
(nucleophilic process)

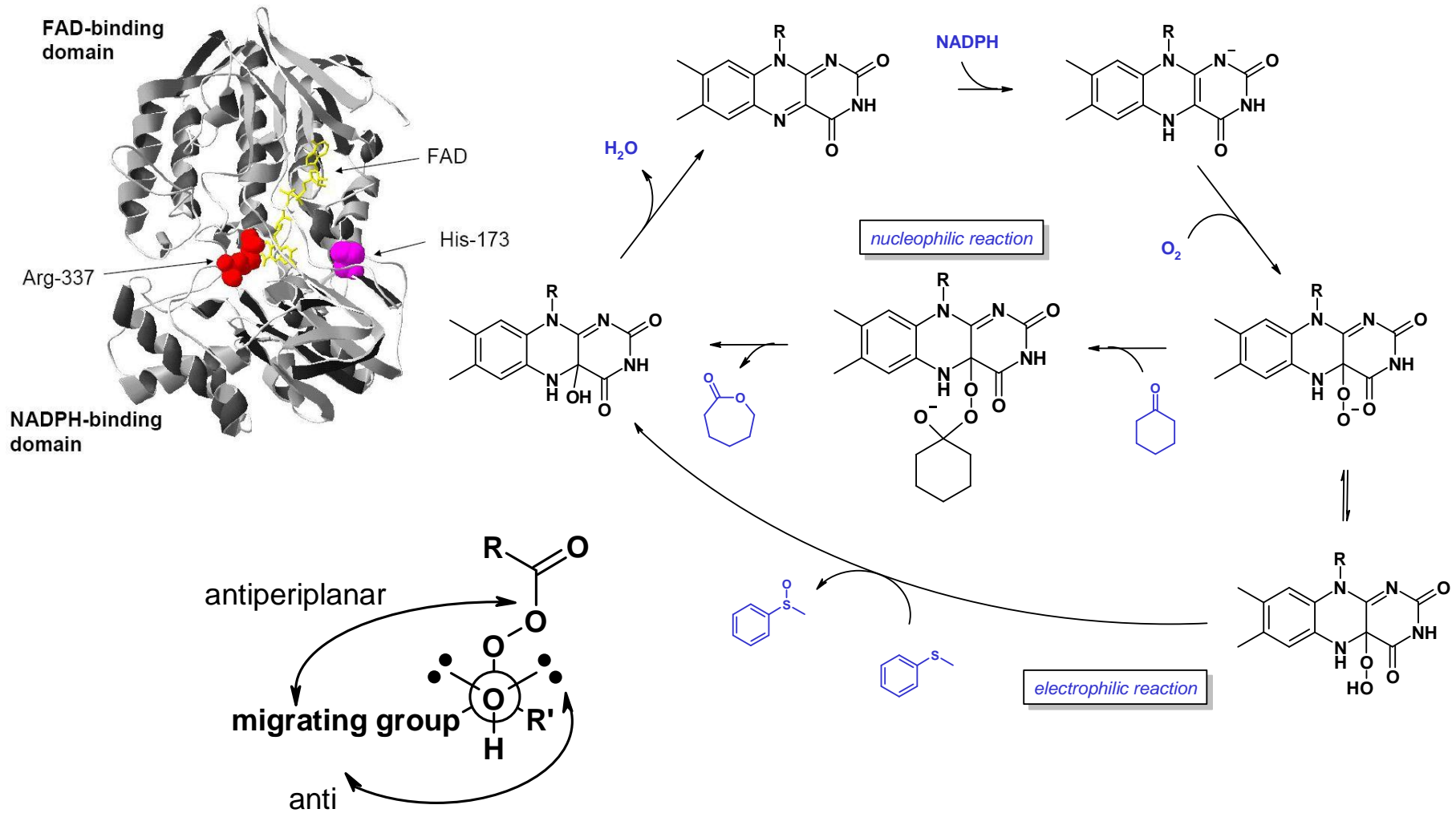
similar to chemical oxidation  
using hypervalent metals  
(electrophilic process)



# Redox Reactions

## Monooxygenations

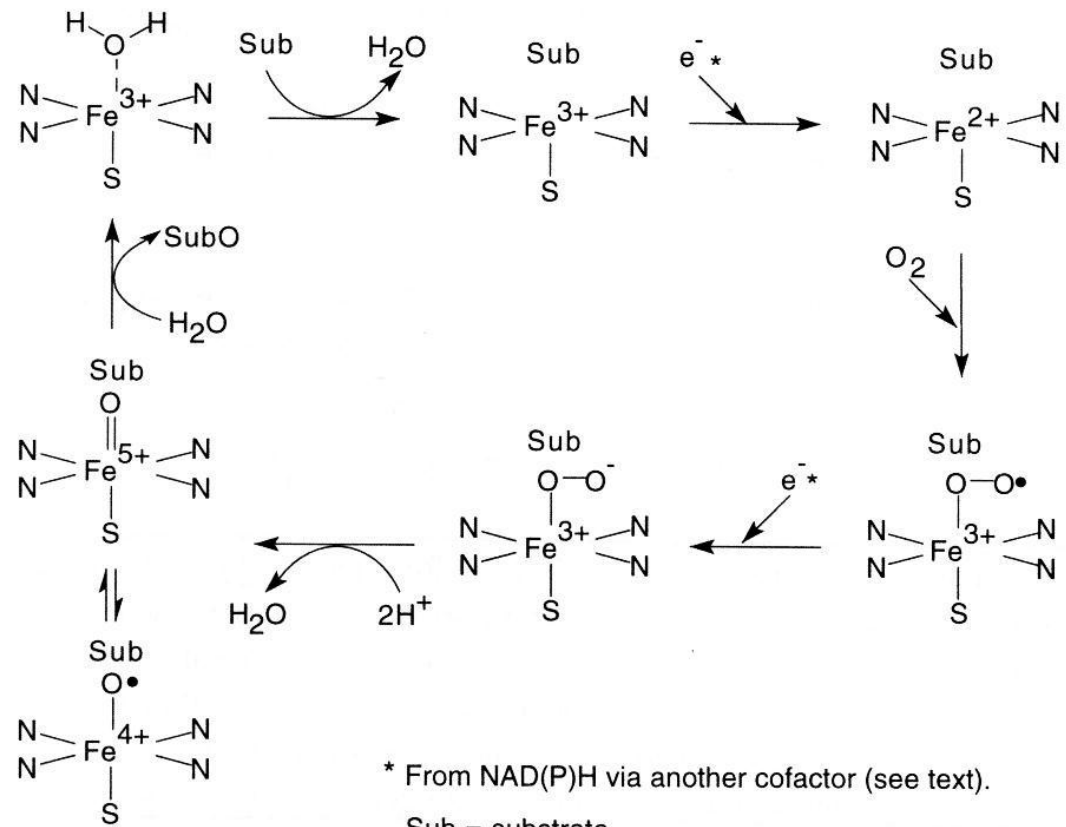
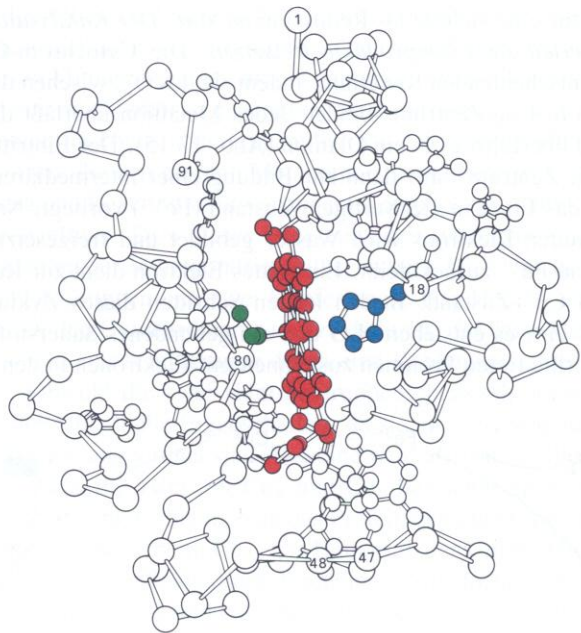
” Flavin dependent enzymes



# Redox Reactions

## Monooxygenations

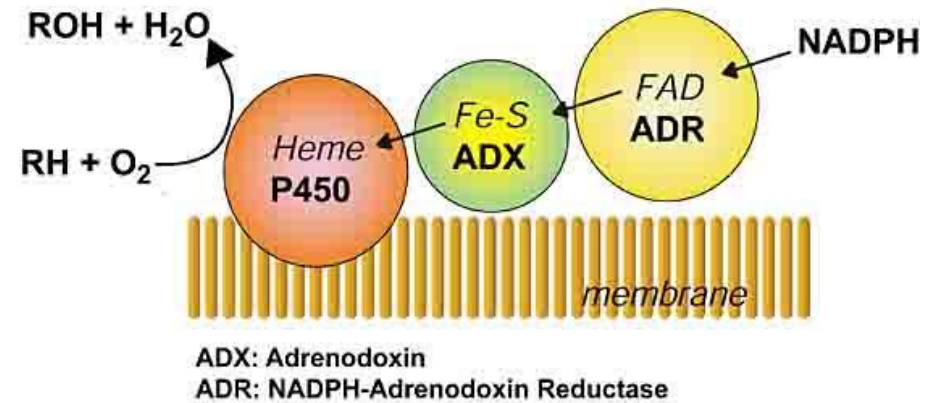
” Cytochrome P450 enzymes



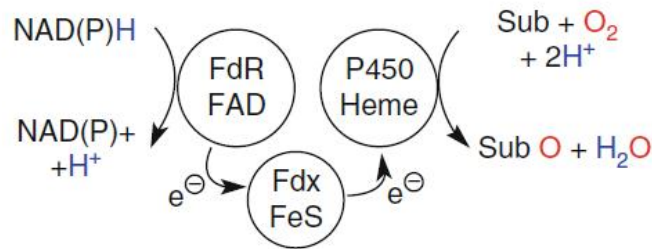
# Redox Reactions

## Monooxygenations

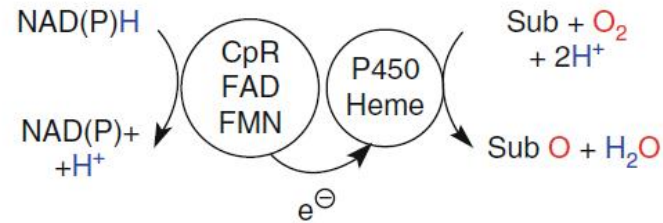
” Cytochrome P450 enzymes



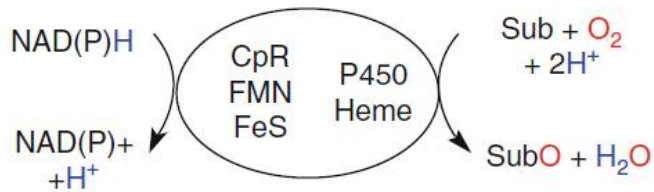
Bacterial/mitochondrial System



Microsomal System



Self-sufficient BM-3 System



FdR = Ferredoxin Reductase

FAD = Flavin adenine dinucleotide

Fdx = Ferredoxin

FeS = iron-sulfur cluster

CpR = Cytochrome P Reductase

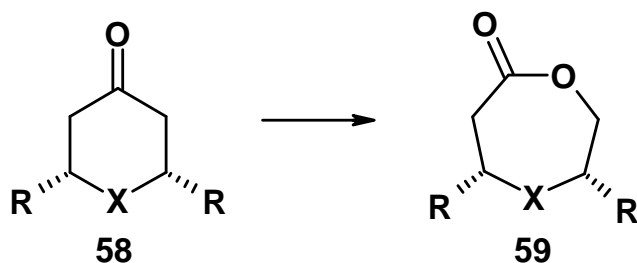
FMN = Flavin mononucleotide

P450 = Cytochrome P-450

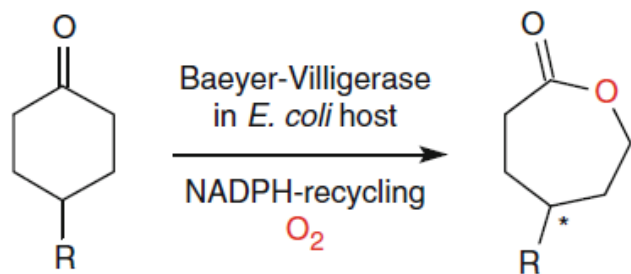
# Redox Reactions

## Monooxygenations

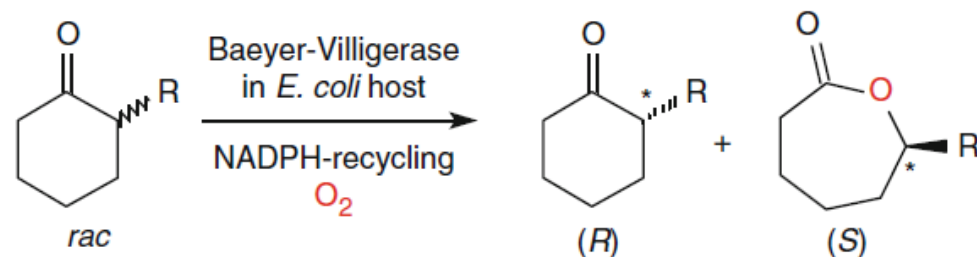
### ” Baeyer-Villiger Oxidation



	X	R	Recomb. cells
59a	S <sup>[82]</sup>	H	48% <sup>[95]</sup>
59b	NMe	H	50% <sup>[95]</sup>
59c	NCOMe	H	39% (59%) <sup>[95]</sup>
59d	NCOOMe	H	40% (67%) <sup>[95]</sup>
59e	O	H	79% <sup>[95]</sup>
59f	O	Me	79% / > 99% ee <sup>[96]</sup>



(*S*): R = Me, Et, *n*-Pr, *i*-Pr<sup>a</sup>; e.e. up to > 99 %  
 (*R*): *n*-Bu; e.e. up to 60 %

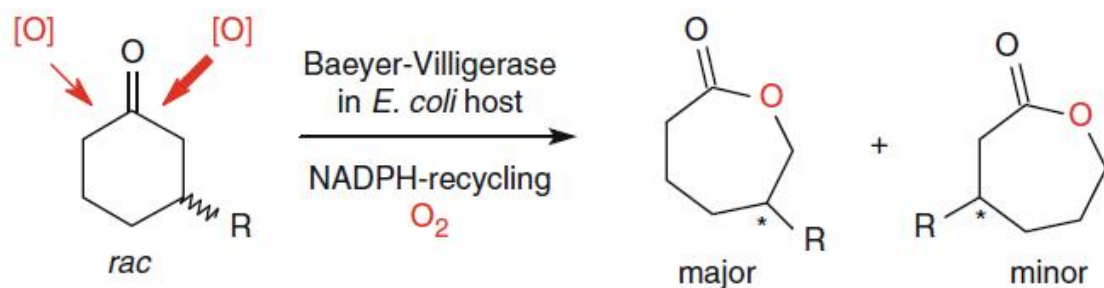
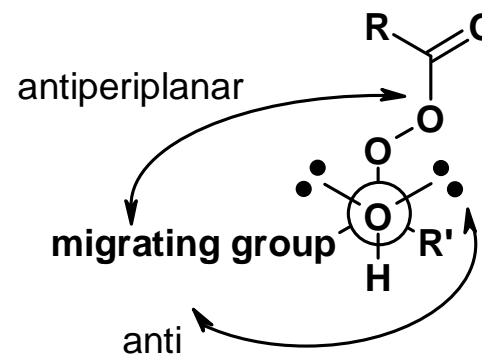


R = Me, Et, *n*-Pr, allyl<sup>a</sup>, *n*-Bu, E 200  
<sup>a</sup>Switch in sequence priority

# Redox Reactions

## Monooxygenations

” Baeyer-Villiger Oxidation



R	E
Me	60 ( <i>S</i> )
Et	≥ 200 ( <i>R</i> )
<i>n</i> -Pr	≥ 200 ( <i>R</i> )
<i>i</i> -Pr	≥ 200 ( <i>S</i> ) <sup>a</sup>
<i>n</i> -Bu	20 ( <i>R</i> ) or ( <i>S</i> )

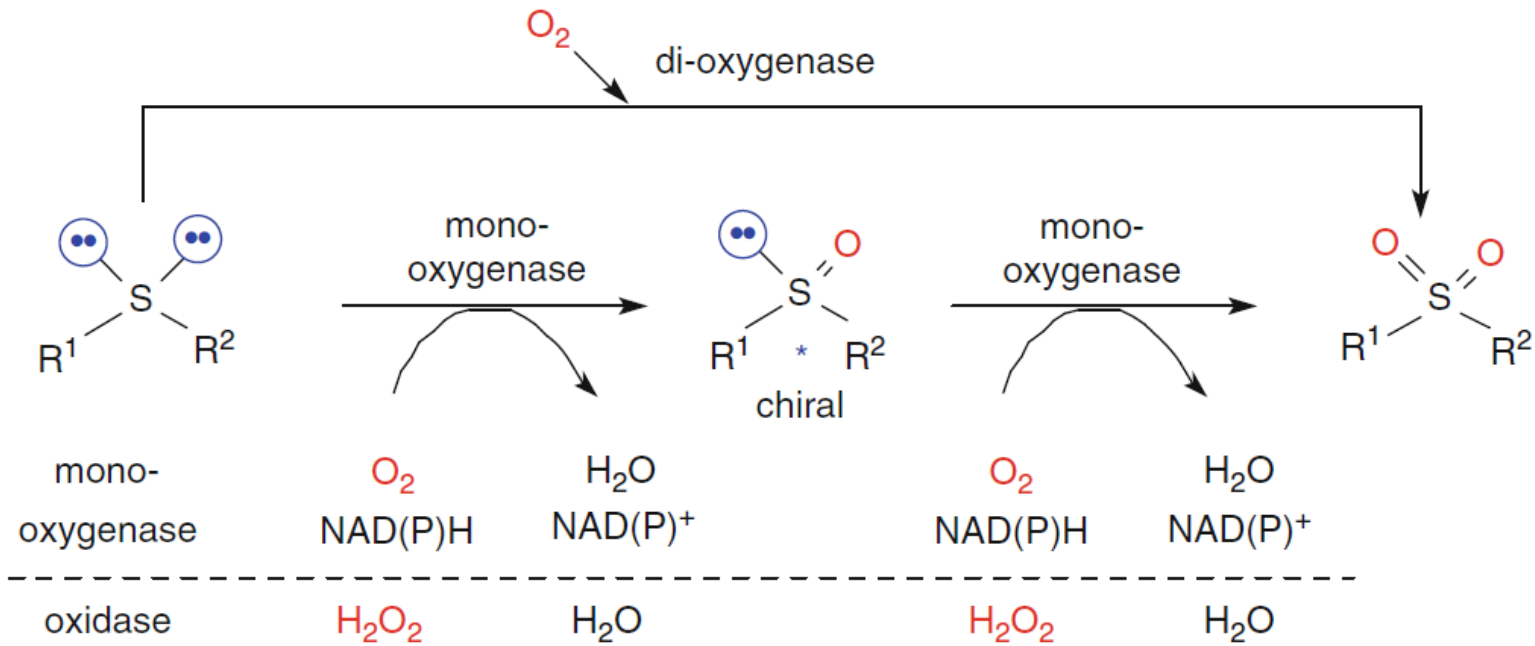


Substrate ketone	"Normal" lactone 67		"Abnormal" lactone 68
	44% / > 95% <i>ee</i> (1 <i>S</i> ,5 <i>R</i> ) <sup>[104]</sup>	a	42% / > 95% <i>ee</i> (1 <i>R</i> ,5 <i>S</i> ) <sup>[104]</sup>
	36% / > 95% <i>ee</i> (1 <i>S</i> ,5 <i>S</i> ) <sup>[104]</sup>	b	31% / > 95% <i>ee</i> (1 <i>R</i> ,5 <i>S</i> ) <sup>[104]</sup>
	43% / > 95% <i>ee</i> (1 <i>R</i> ,6 <i>S</i> ) <sup>[104]</sup>	c	37% / > 95% <i>ee</i> (1 <i>S</i> ,6 <i>R</i> ) <sup>[104]</sup>
	41% / 86% <i>ee</i> (1 <i>S</i> ,6 <i>S</i> ) <sup>[104]</sup>	d	36% / > 95% <i>ee</i> (1 <i>S</i> ,6 <i>R</i> ) <sup>[104]</sup>
	52% / 60% <i>ee</i> (1 <i>S</i> ,6 <i>S</i> ) <sup>[104]</sup>	e	28% / > 95% <i>ee</i> (1 <i>S</i> ,6 <i>R</i> ) <sup>[104]</sup>

# Redox Reactions

## Monooxygenations

” Heteroatom Oxidation



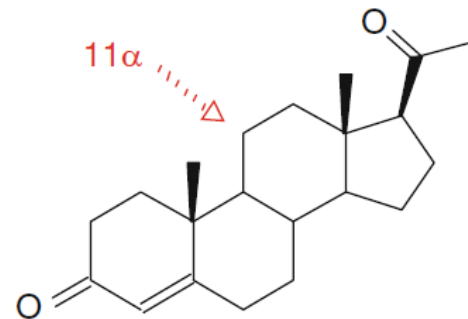
# Redox Reactions

## Monooxygenations

### ” Biohydroxylations

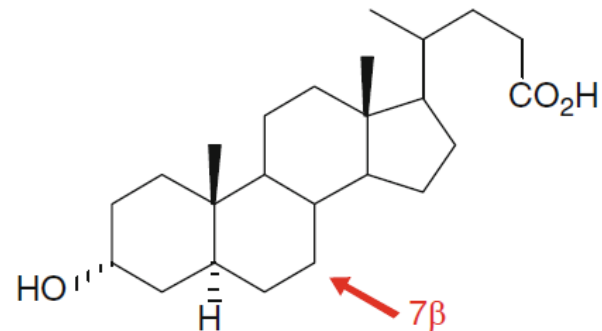
- Steroid hydroxylations

- ” reactivity: sec. > tert. > prim. (compare to radical reactions)
- ” primarily whole-cell biotransformations (enzymes difficult to isolate and/or unknown)
- ” sources: esp. **fungi** (*Beauveria* sp., *Cunninghamella* sp., *Aspergillus* sp.)



progesterone

*Rhizopus arrhizus*  
*Aspergillus niger*



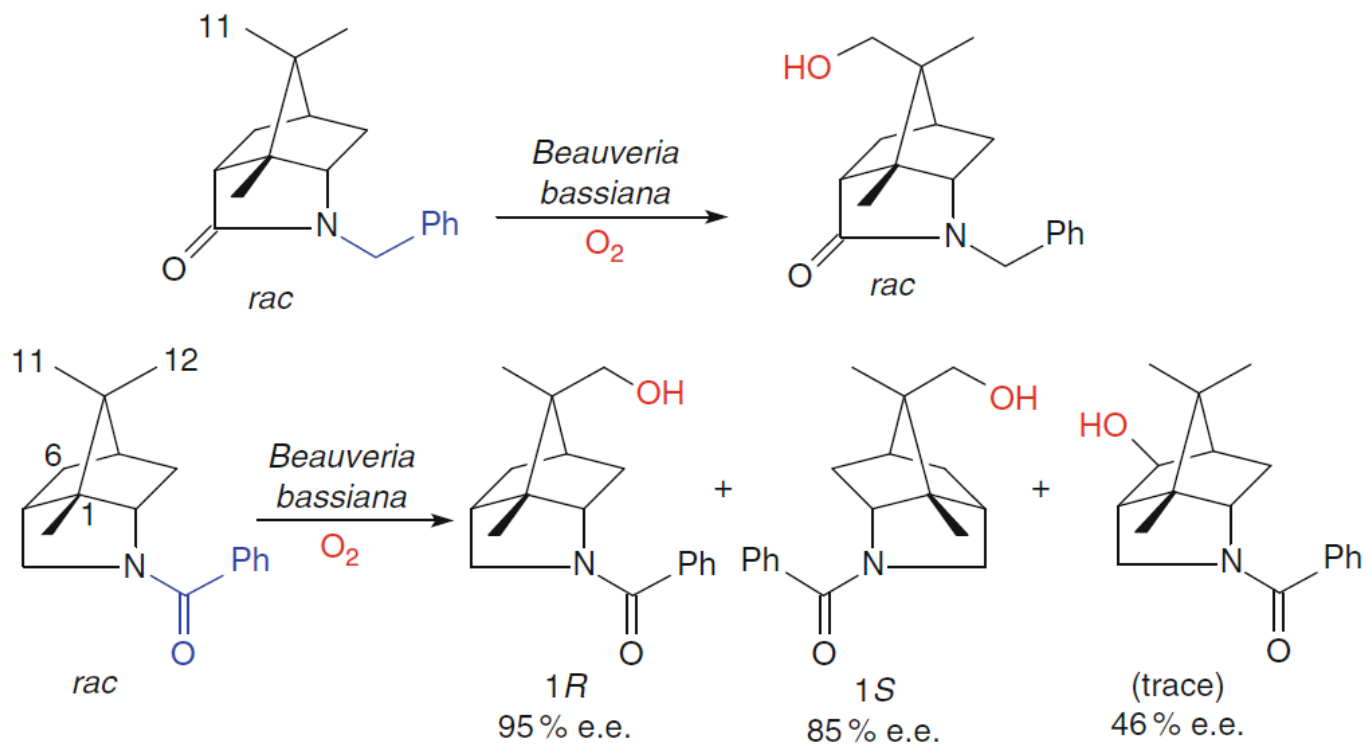
lithocholic acid

*Fusarium equiseti*

# Redox Reactions

## Monooxygenations

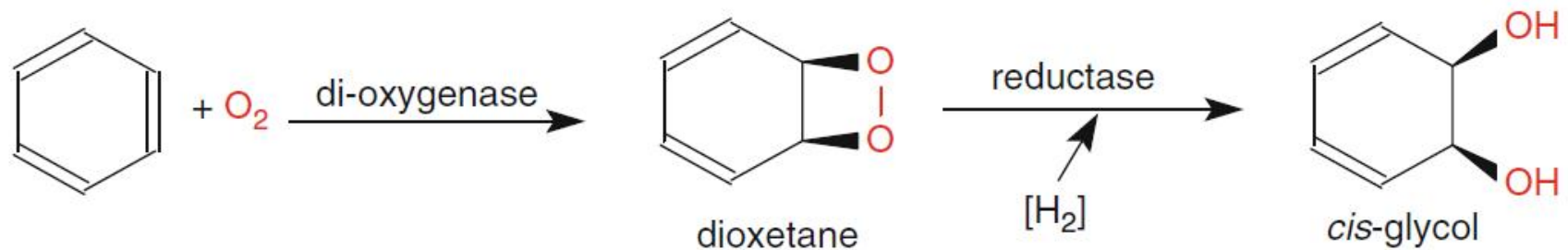
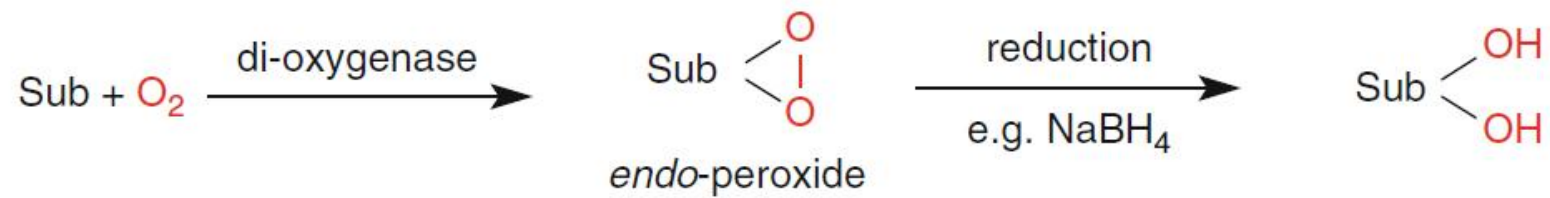
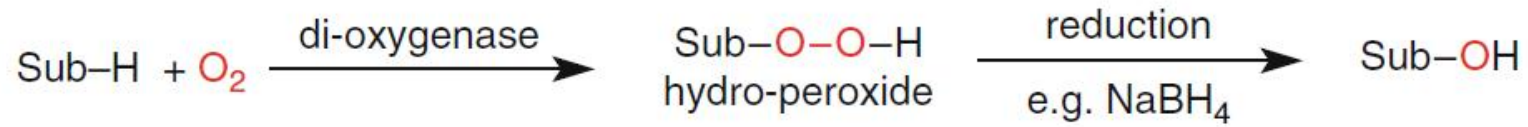
- ” Biohydroxylations
  - . Substrate engineering





# Redox Reactions

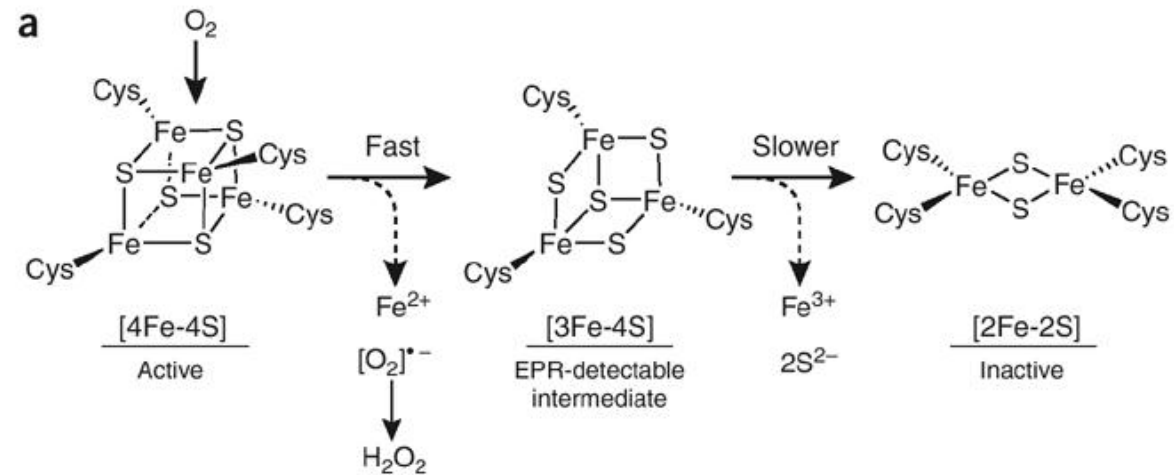
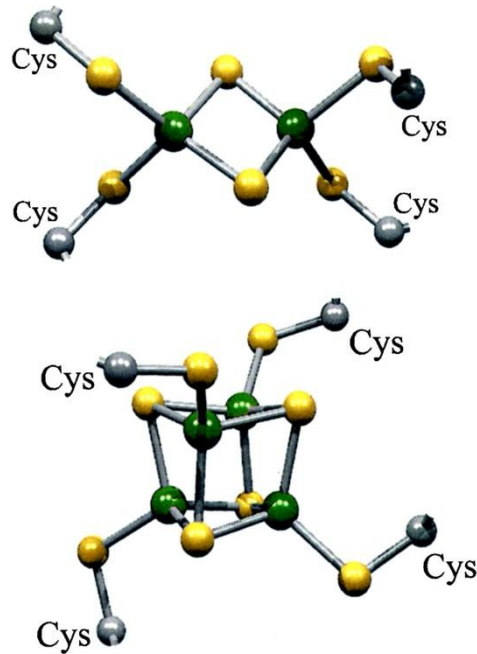
## Dioxygenases



# Redox Reactions

## Dioxygenases

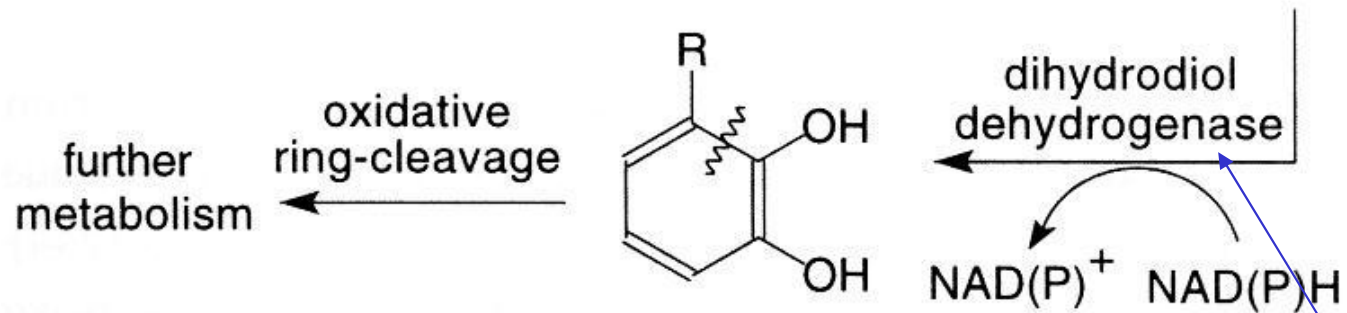
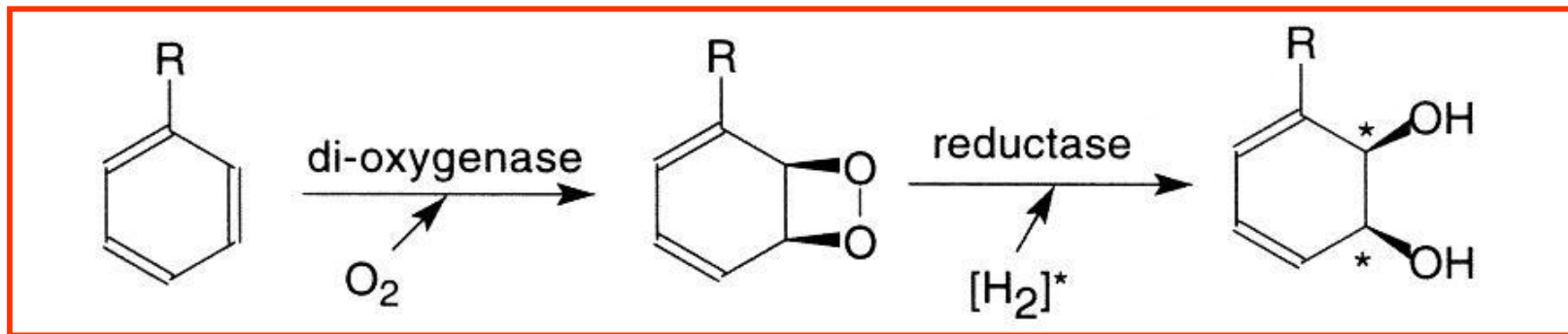
” Fe-S Cluster Enzymes



# Redox Reactions

## Dioxygenases

” Aryl dioxygenations



\* Commonly NADH

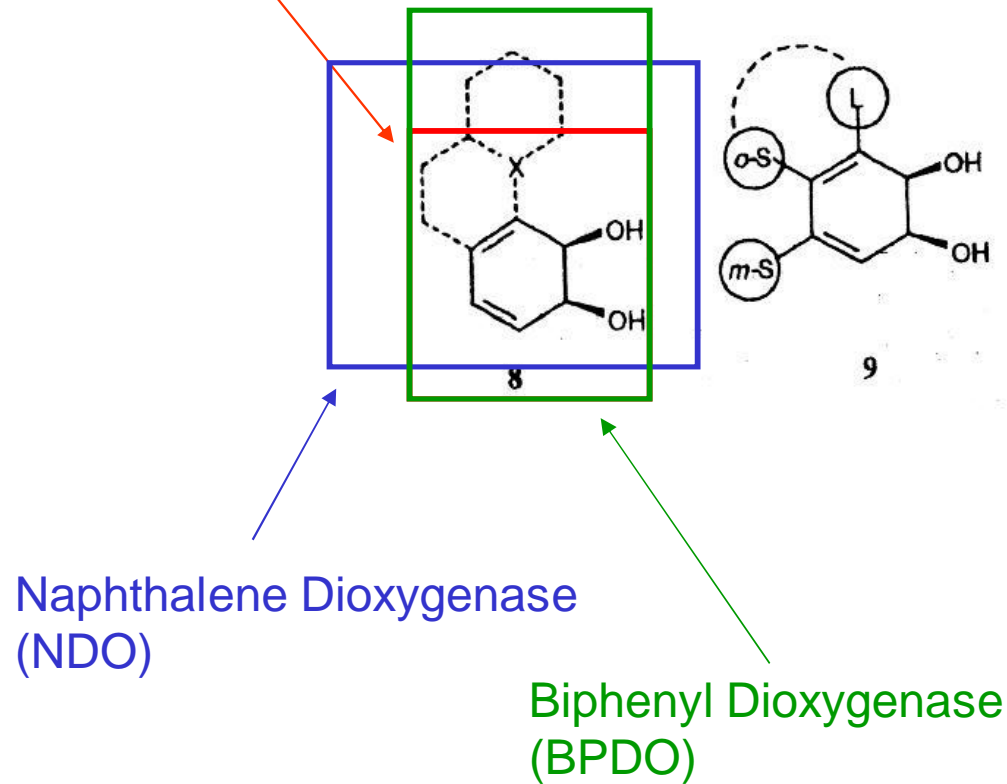
deficient mutant

# Redox Reactions

## Dioxygenases

” Aryl dioxygenations

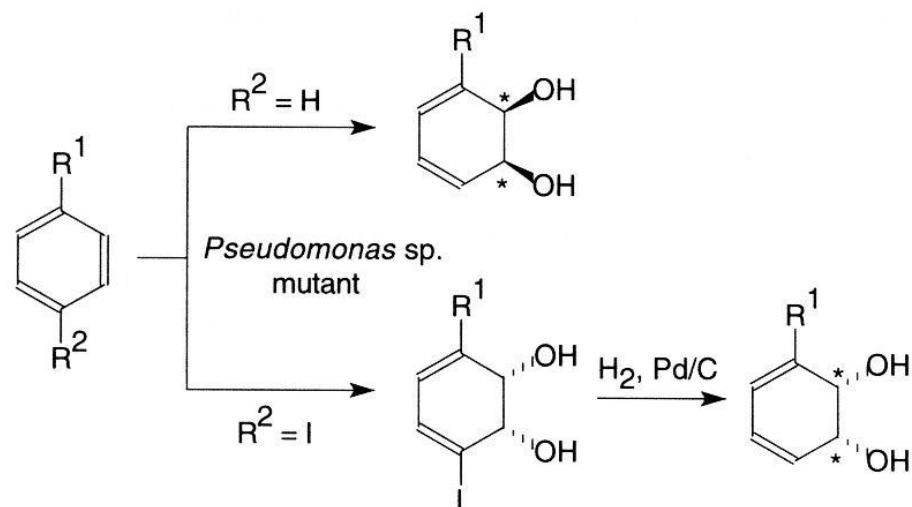
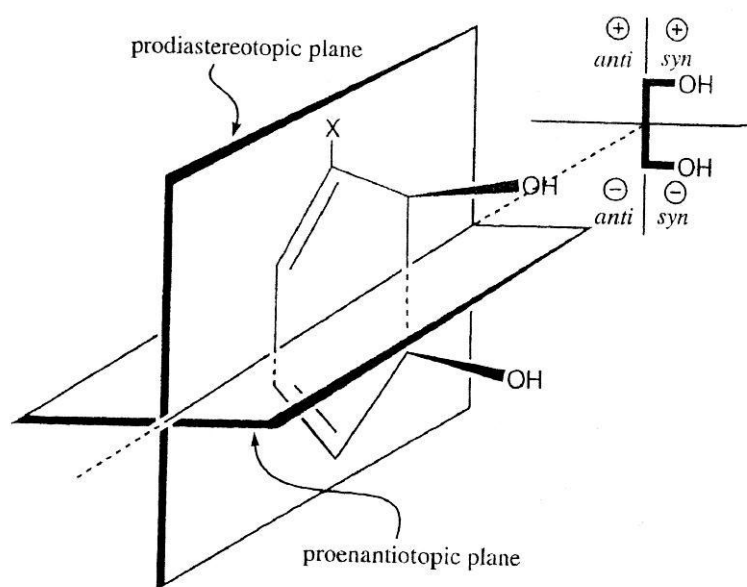
Toluene Dioxygenase  
(TDO)



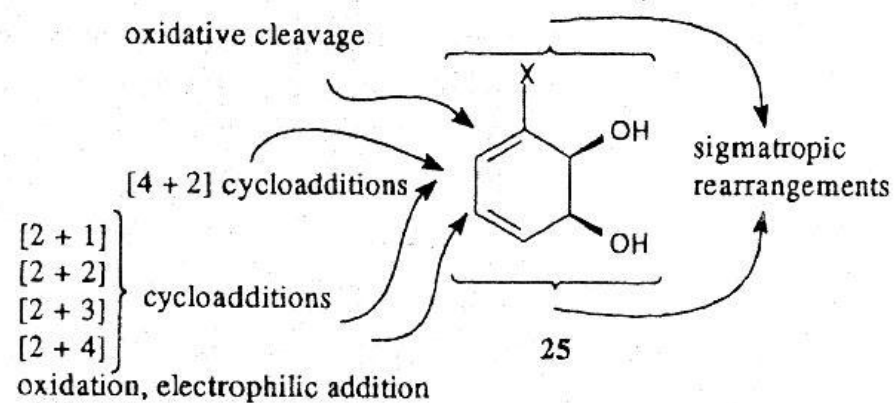
# Redox Reactions

## Dioxygenases

” Aryl dioxygenations



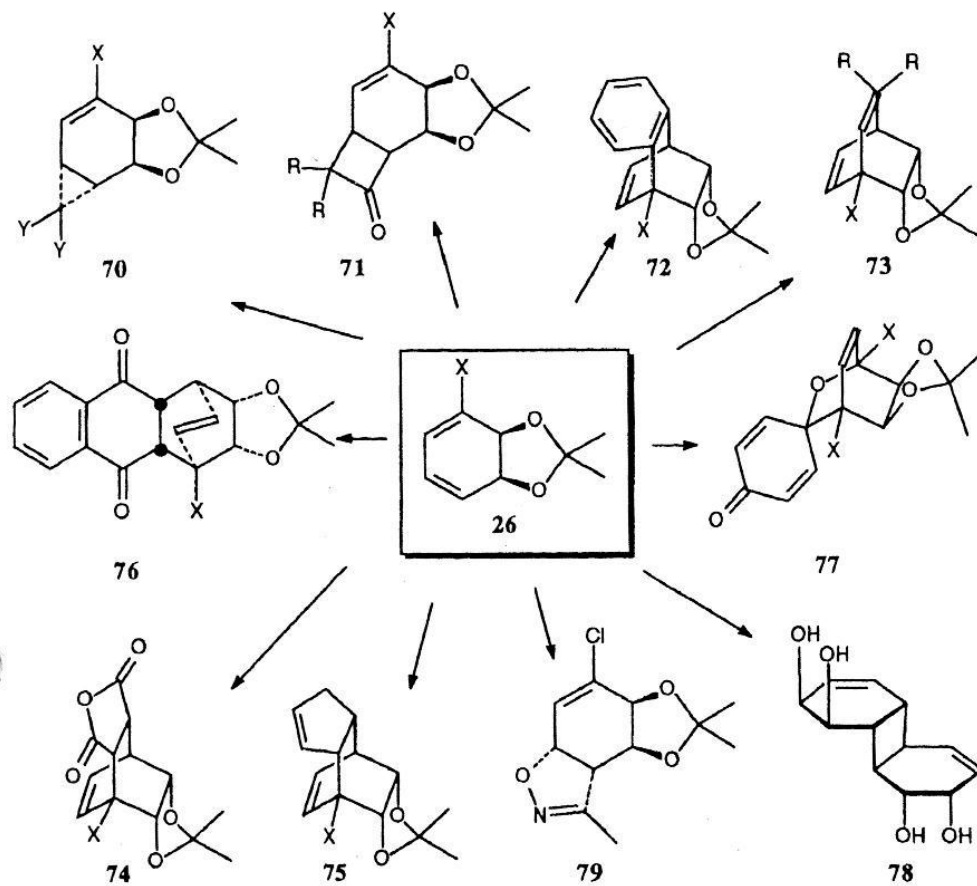
$R^1$	$R^2$
H, Me, Et, <i>n</i> -Pr, <i>i</i> -Pr, <i>n</i> -Bu, <i>t</i> -Bu, Et-O, <i>n</i> -Pr-O, Halogen, $CF_3$ , Ph, Ph- $CH_2$ , Ph-CO, $CH_2=CH$ , $CH_2=CH-CH_2$ , $HC\equiv C$ .	H
F, Me	I



# Redox Reactions

## Dioxygenases

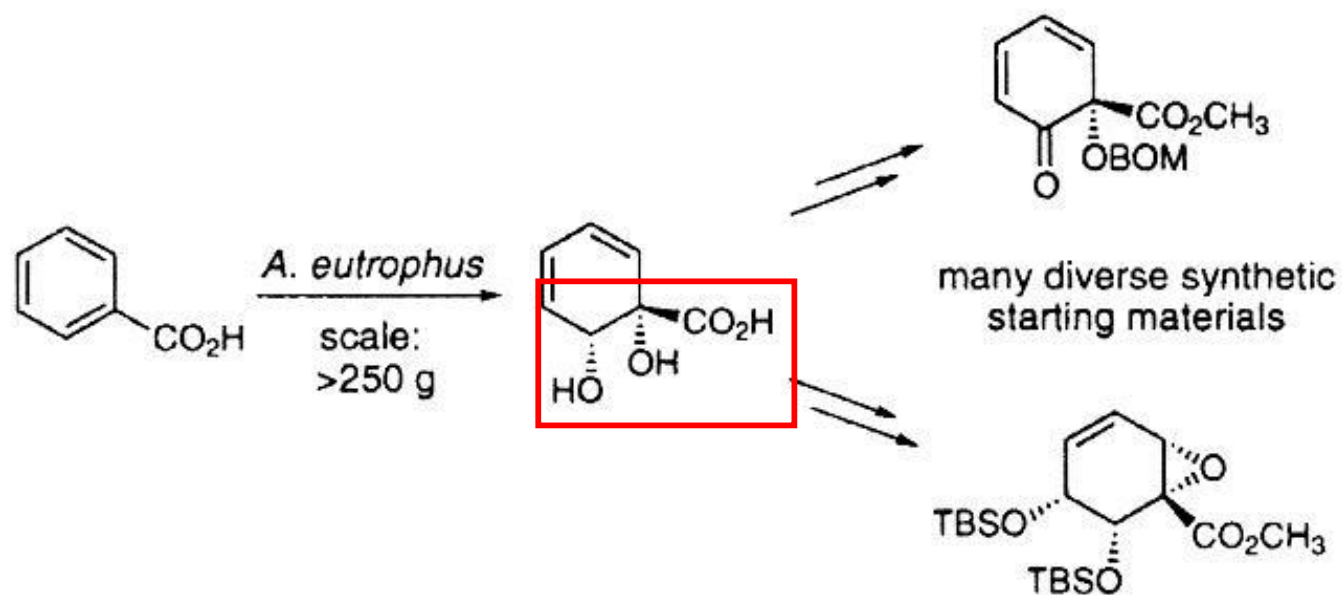
” Aryl dioxygenations



# Redox Reactions

## Dioxygenases

” Ipso-Aryl Dioxygenases

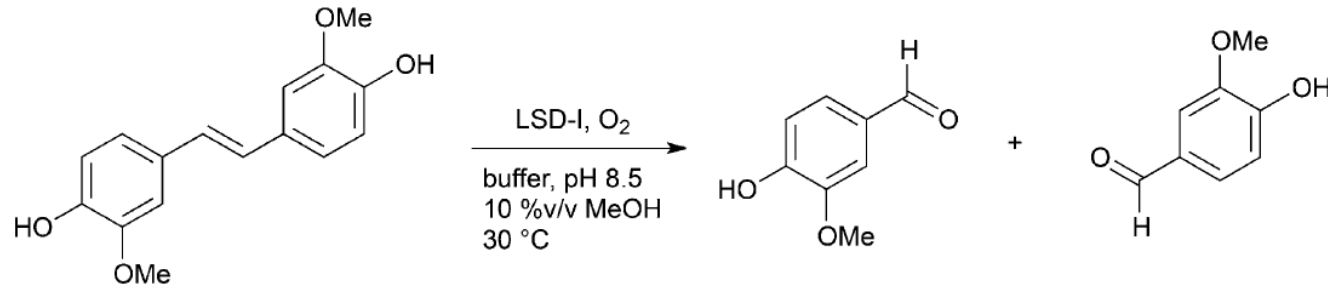


# Redox Reactions

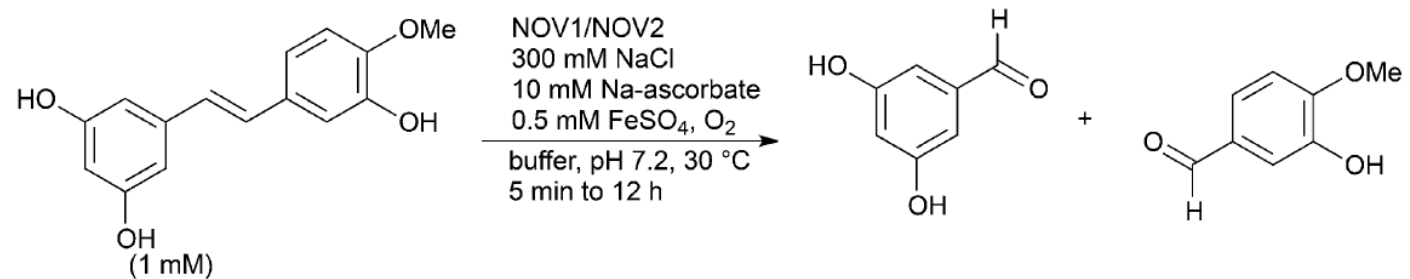
## Dioxygenases

### ” Alkene cleavage

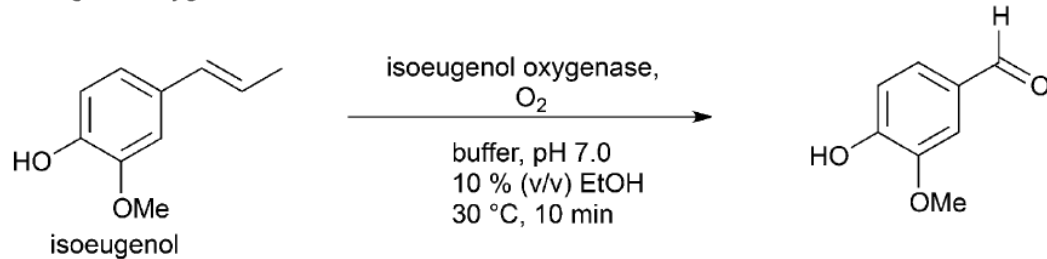
C) lignostilbene- $\alpha$ - $\beta$ -oxygenase (LSD) from *S. paucimobilis*



D) stilbene- $\alpha$ - $\beta$ -oxygenase (NOV<sub>1</sub> and NOV<sub>2</sub>) from *N. aromaticivorans*



E) isoeugenol oxygenase

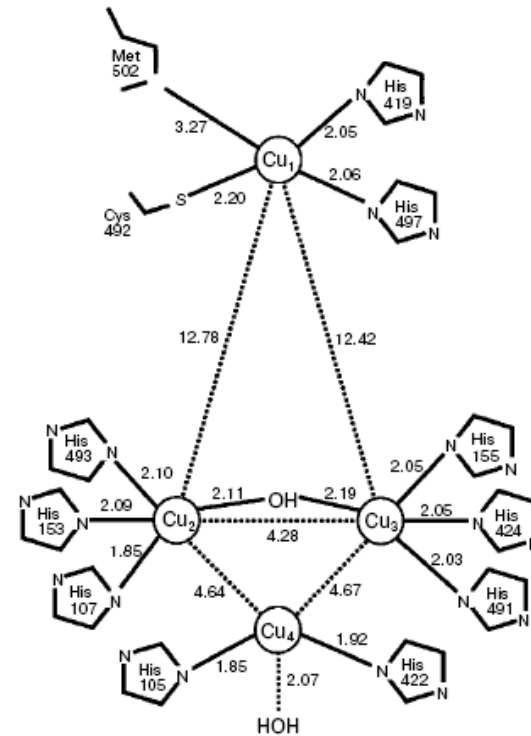
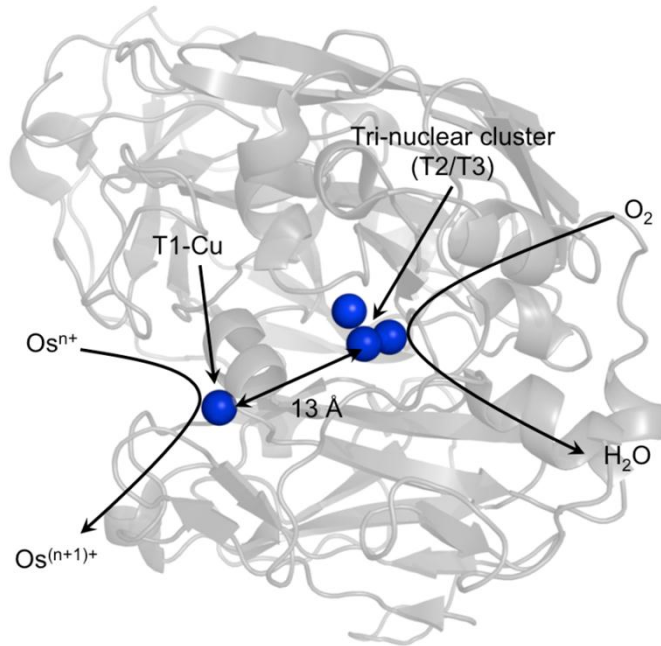
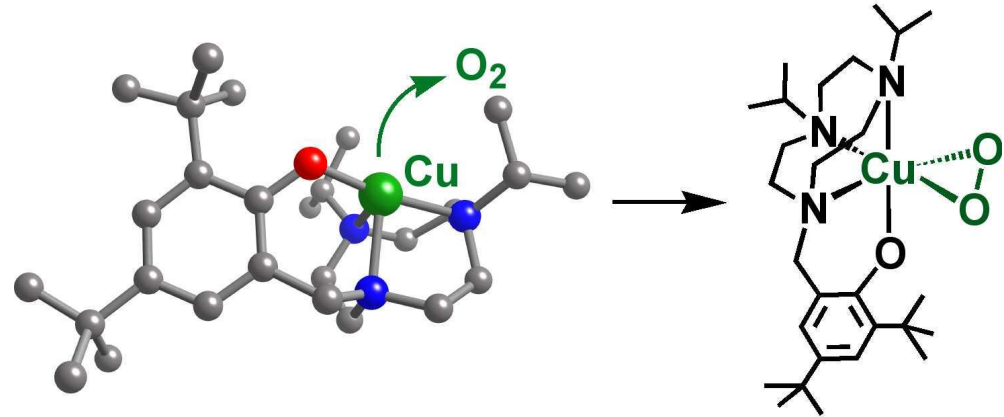




# Redox Reactions

## Laccases

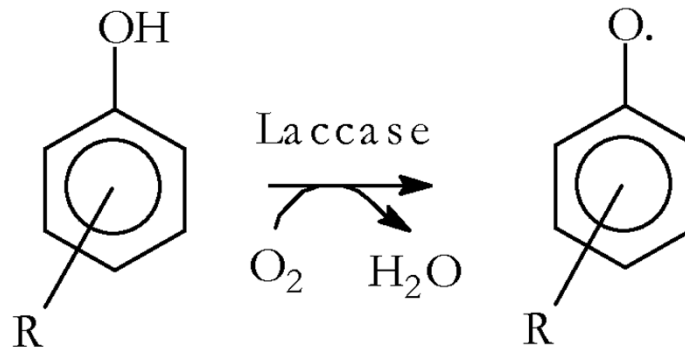
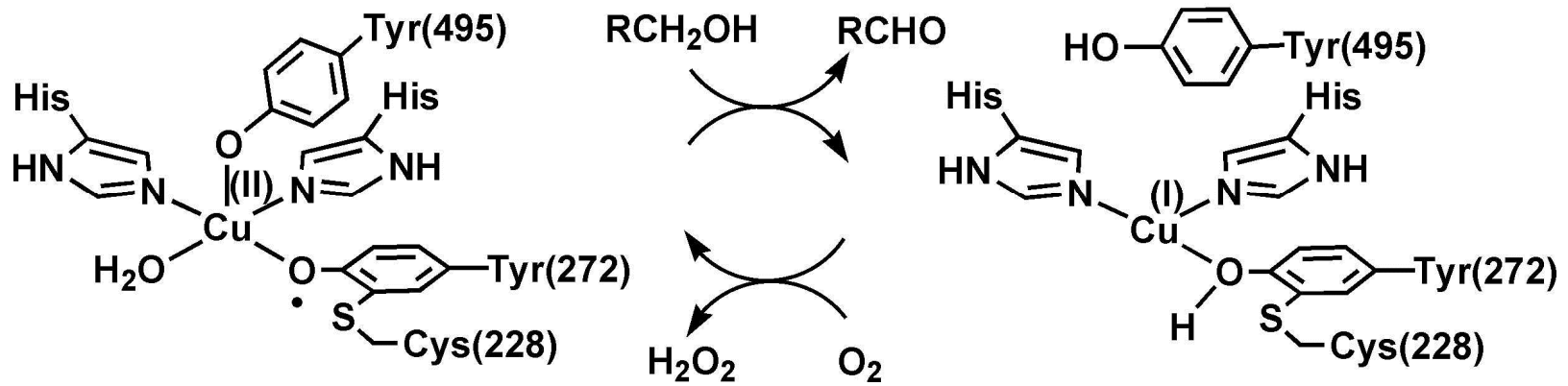
” Cu-containing Enzymes



# Redox Reactions

## Laccases

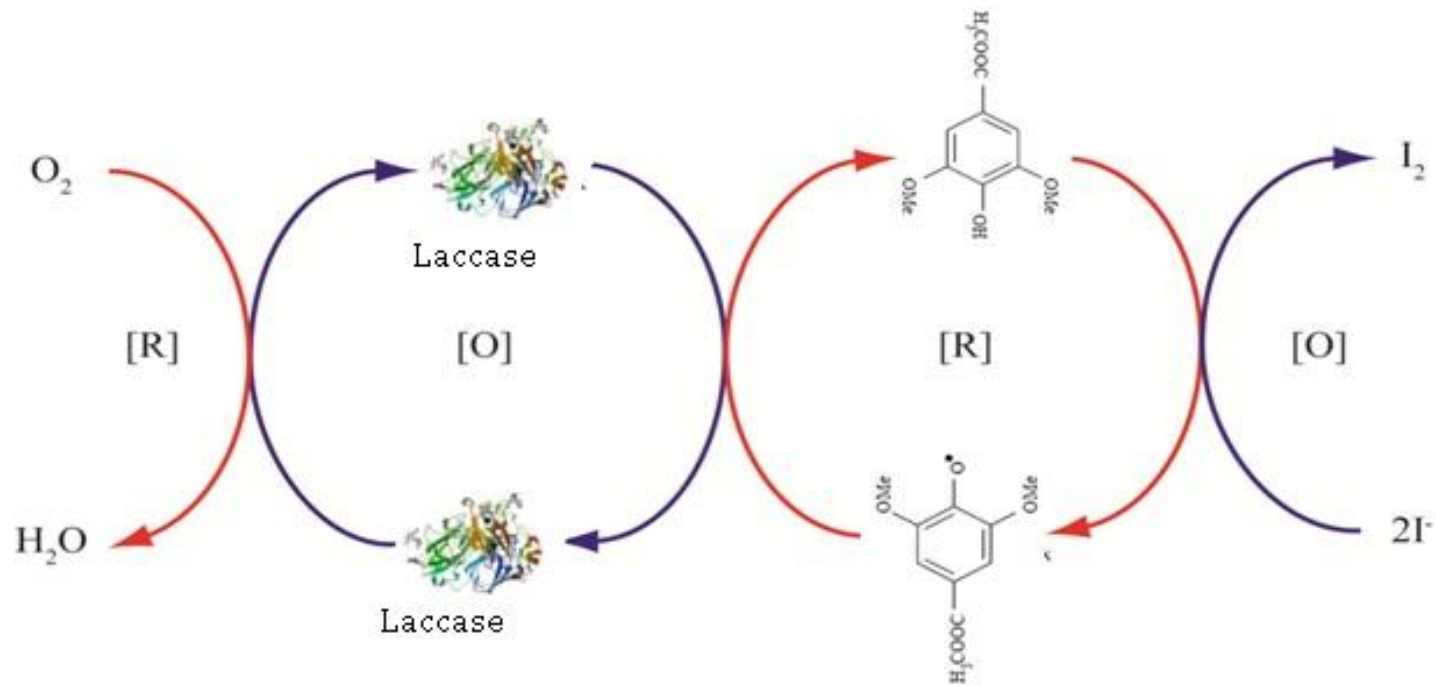
” Enzymatic radical chemistry



# Redox Reactions

## Laccases

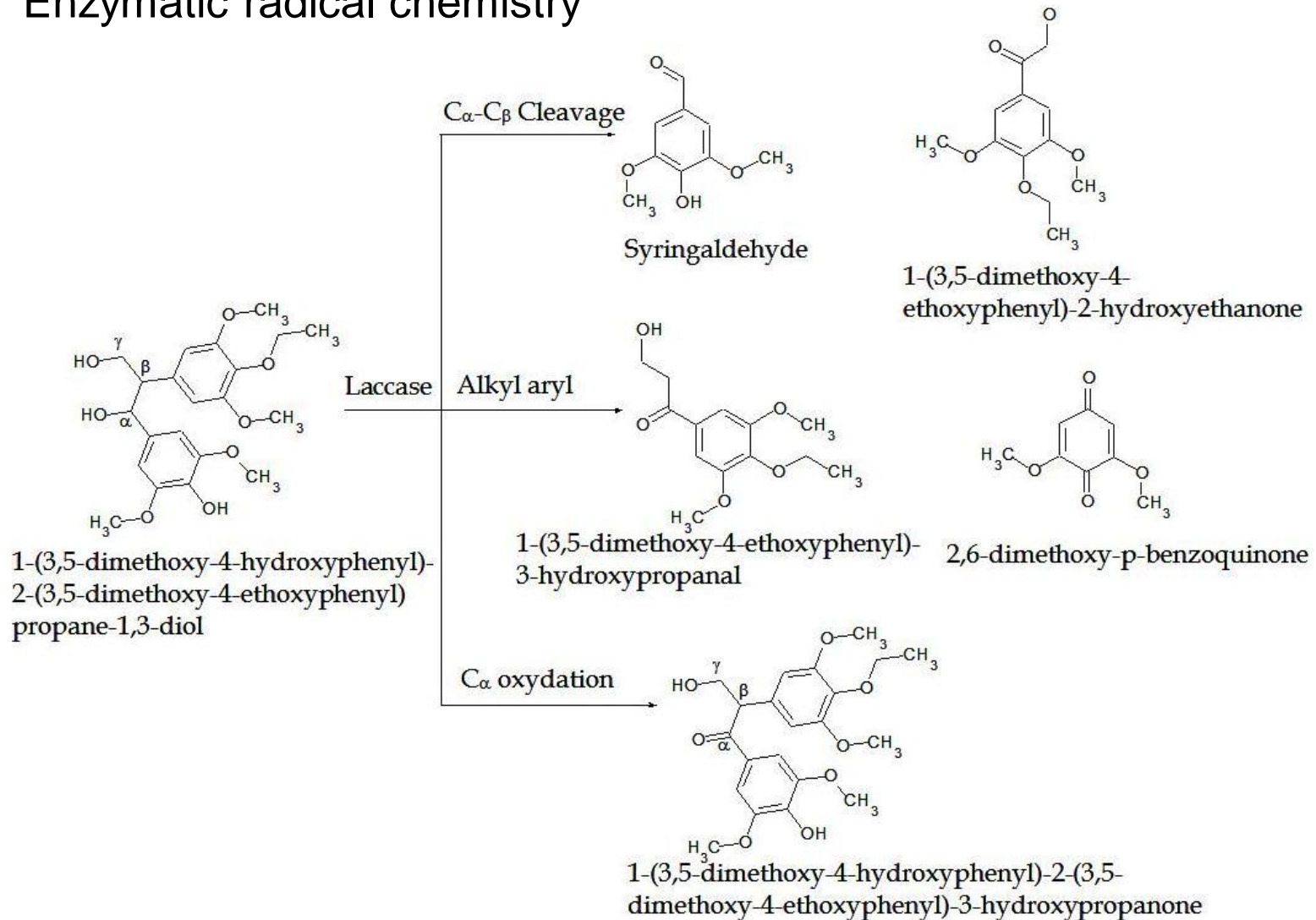
” Enzymatic radical chemistry



# Redox Reactions

## Laccases

” Enzymatic radical chemistry



# Biocatalysis

## Addition Reactions

- “ **Lyases**: addition of small molecules ( $\text{H}_2\text{O}$ ,  $\text{NH}_3$  etc.) across  $\text{C}=\text{C}$  or  $\text{C}=\text{O}$
- “ Oxynitrilases  $\rightleftharpoons$  cyano hydrin formation
- “ Fumarases  $\rightleftharpoons$  water addition across activated double bonds
- “ Haloperoxidases  $\rightleftharpoons$  halogenations / dehalogenations  
(no true lyases)

# Biocatalysis

## Addition Reactions

### " Oxynitrilases

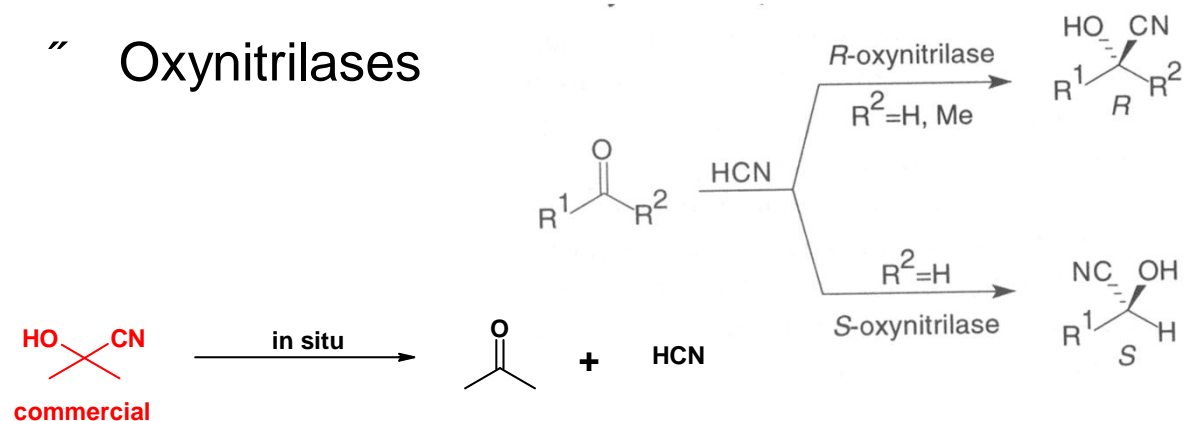


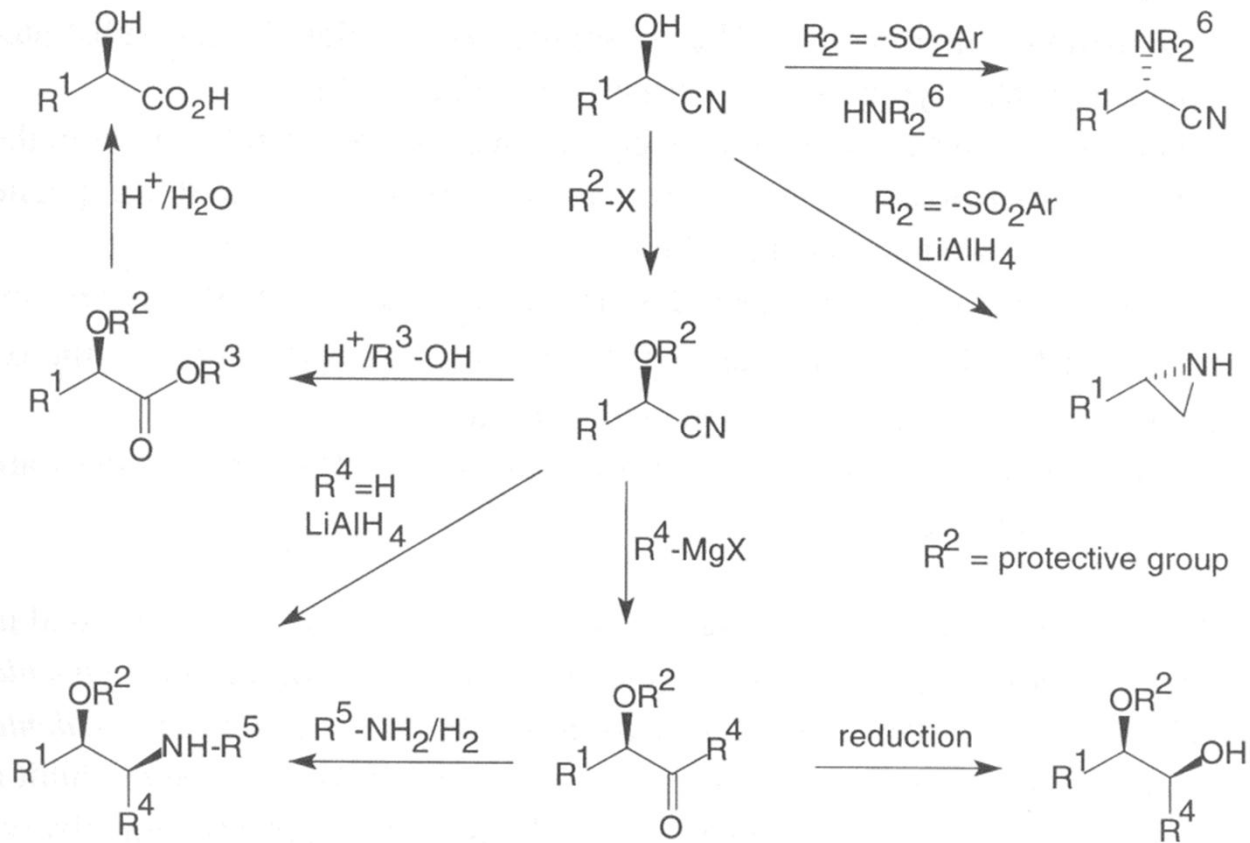
Table 14.7-1. Oxynitrilases available for organic synthesis.

Plant	Enzyme availability	Natural substrate	Substrate acceptance for syntheses	Stereo-selectivity
<i>Prunus amygdalus</i>	Almonds	( <i>R</i> )-Mandelonitrile	All R <sup>1</sup> and R <sup>2</sup>	( <i>R</i> )
<i>Linum usitatissimum</i>	Flax seedlings overexpression	Acetone cyanohydrin ( <i>R</i> )-2-Butanone cyanohydrin	Aliphatic aldehydes and ketones	( <i>R</i> )
<i>Sorghum bicolor</i>	Millet seedlings	( <i>S</i> )-4-Hydroxymandelonitrile	Aromatic aldehydes	( <i>S</i> )
<i>Hevea brasiliensis</i>	Rubber tree leaves overexpression	Acetone cyanohydrin	All R <sup>1</sup> and R <sup>2</sup>	( <i>S</i> )
<i>Manihot esculenta</i>	Manioc leaves overexpression	Acetone cyanohydrin	All R <sup>1</sup> and R <sup>2</sup>	( <i>S</i> )

# Biocatalysis

## Addition Reactions

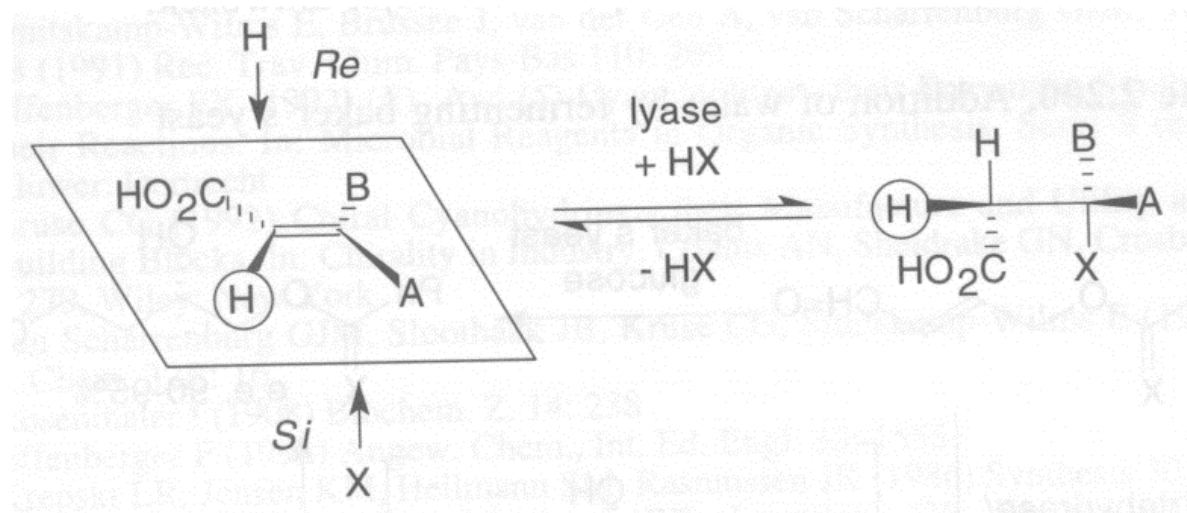
### " Oxynitrilases



# Biocatalysis

## Addition Reactions

” Water/ammonia addition



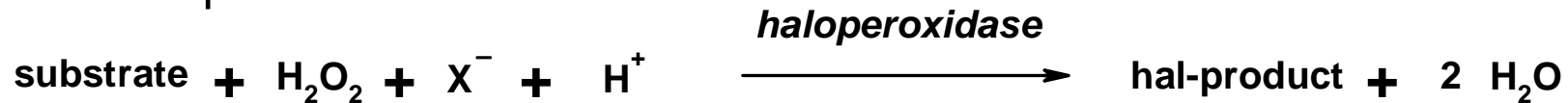
- . Activated double bond
- . anti-mechanism
- nucleophile *si*-face, proton *re*-face



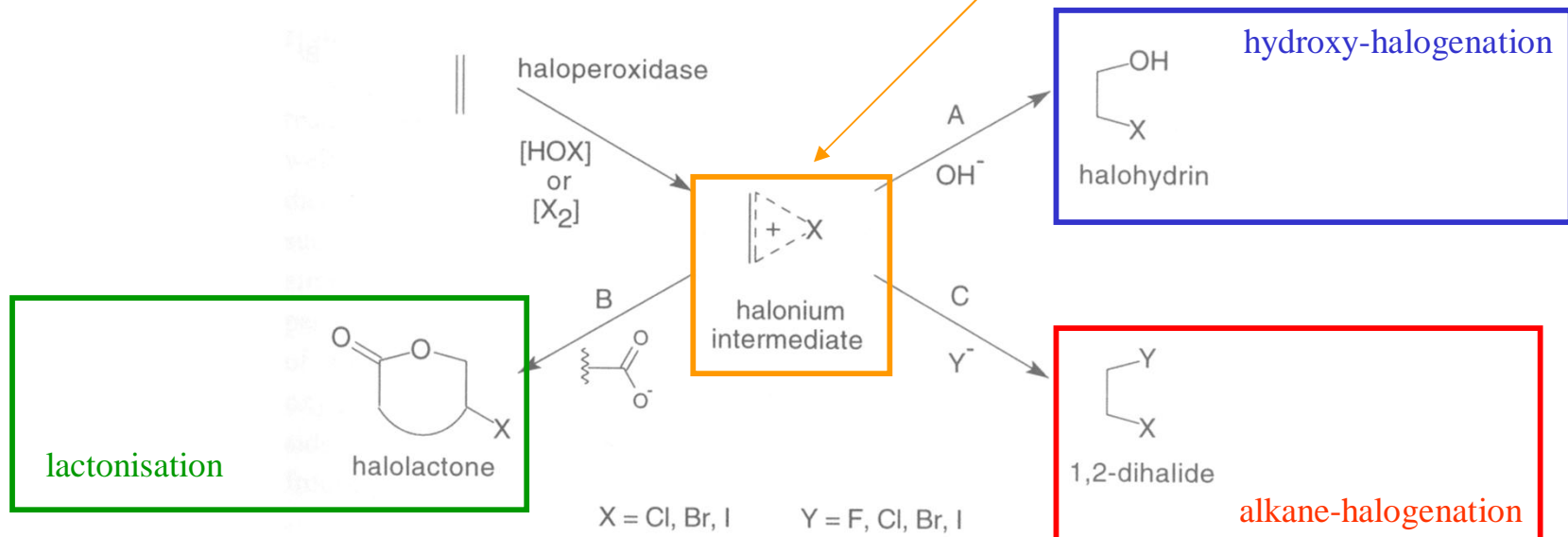
# Biocatalysis

## Addition Reactions

### Haloperoxidases



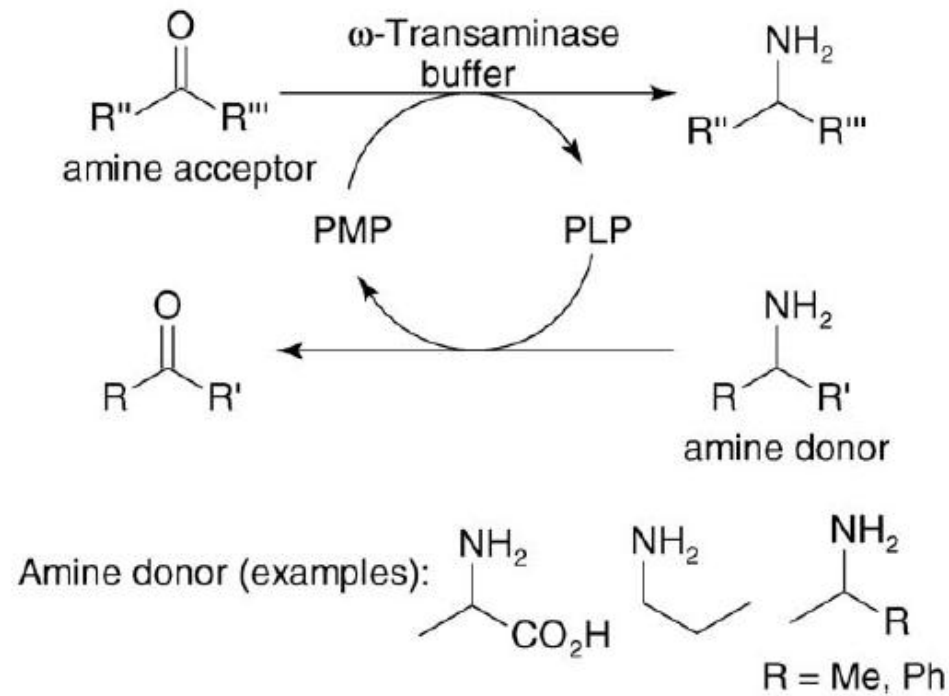
- . No lyases
- . Halide generates electrophilic halo species upon consumption of  $\text{H}_2\text{O}_2$
- . terrestrial organisms (e.g. fungi):  $\text{X} = \text{Cl}$   
marine organisms (e.g. algae):  $\text{X} = \text{Br}$
- . Usually broad substrate profiles



# Biocatalysis

## Nitrogen Transfer

### ” Transaminases

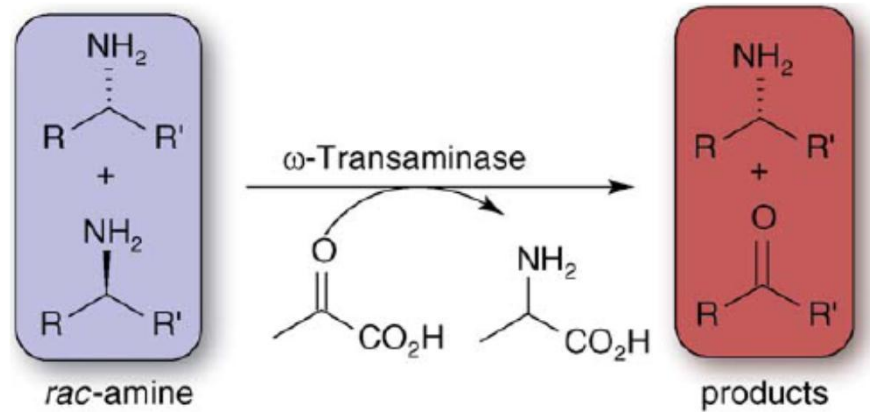


# Biocatalysis

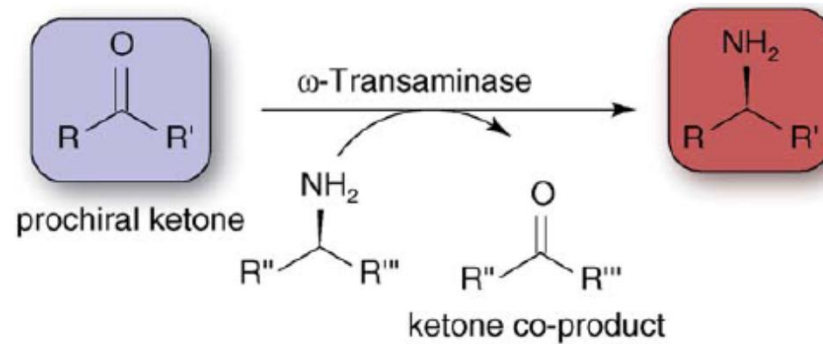
## Nitrogen Transfer

### ” Transaminases

- . kinetic resolutions



- . deracemizations

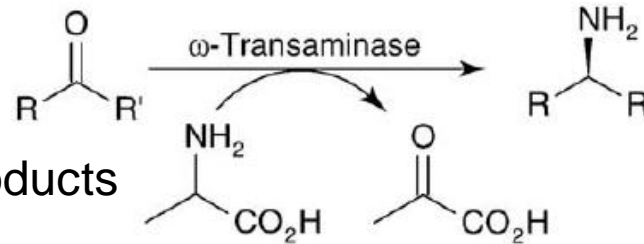


# Biocatalysis

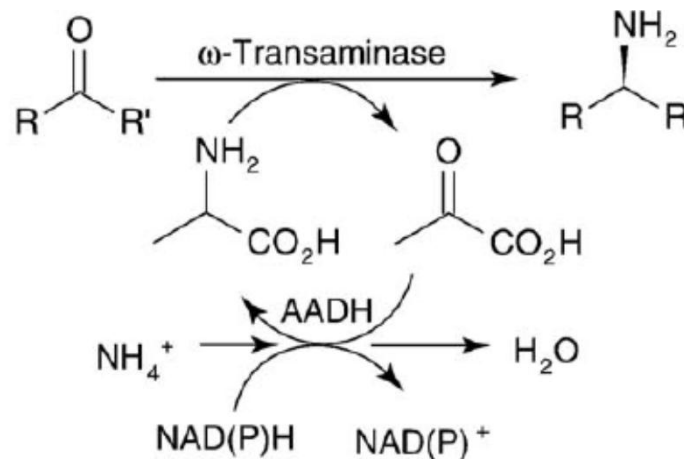
## Nitrogen Transfer

### Transaminases

- Degradation of co-products



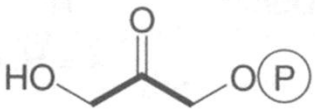
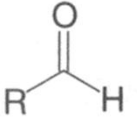
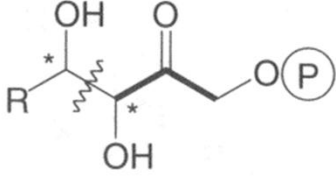
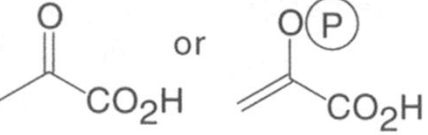
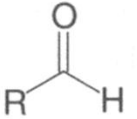
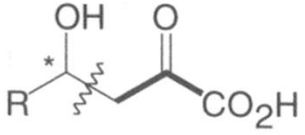
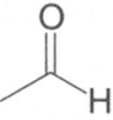
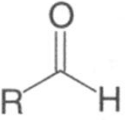
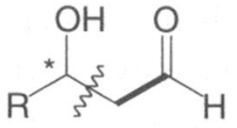
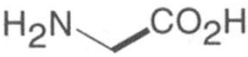
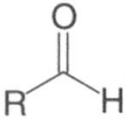
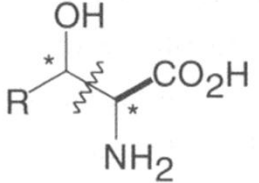
- Recycling of amine-donor




# Biocatalysis

## Addition Reactions

### " Aldolases

	Group	Donor (Nucleophile)	Acceptor (Electrophile)	Product
dihydroxyacetone phosphate (DHAP) dependent aldolases	I			
pyruvate dependent aldolases	II			
acetaldehyde dependent aldolases	III			
glycine dependent aldolases	IV			

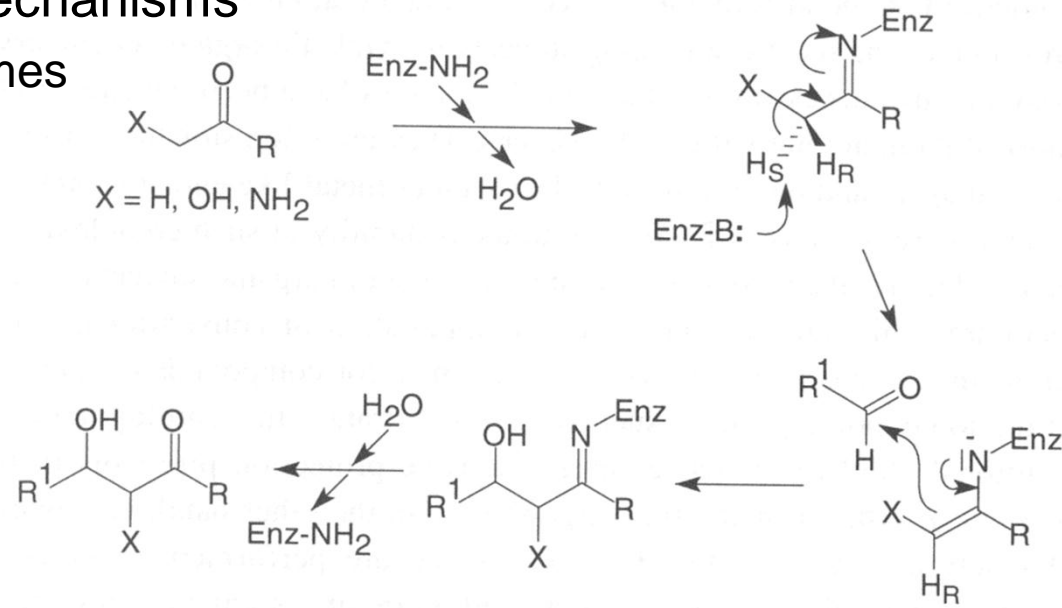
(P) = phosphate     = new C-C bond    \* = newly formed stereocenter

# Biocatalysis

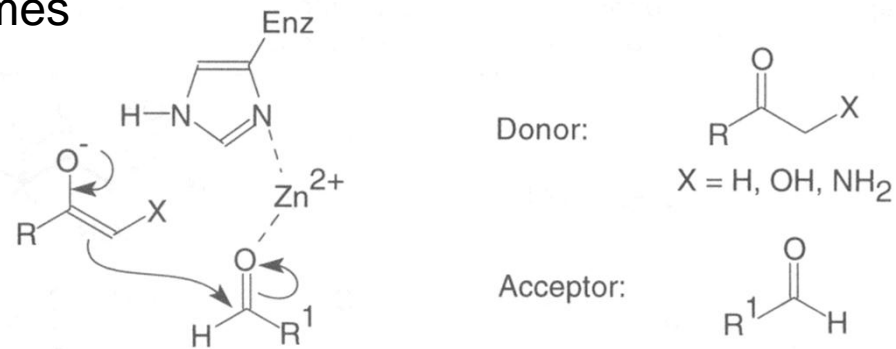
## Addition Reactions

” Aldolases . mechanisms

. Type I enzymes



. Type II enzymes



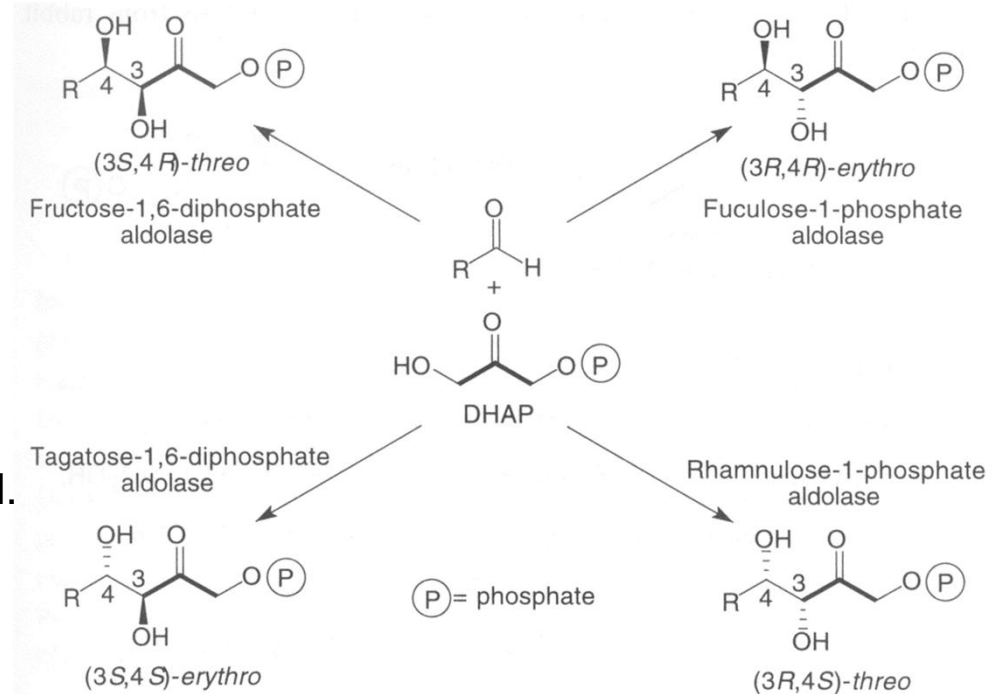
# Biocatalysis

## Addition Reactions

### “ Aldolasen

- . DHAP dependent aldolases

- “ best studied
- “ DHAP-donor
  - ➡ ketose-1-phosphate
- “ position 3 highly selective
- “ Pposition 4 slightly lower sel.
- “ complementary enzymes available



- “ overexpression systems for various enzymes available (otherwise difficult to isolate)
- “ phosphate group can be utilized upon product isolation via ion chromatography

# Biocatalysis

## Glycosyl Transfer

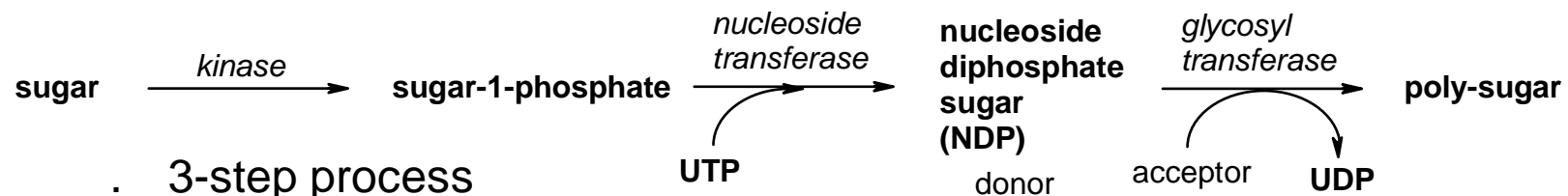
- “ Synthesis of complex oligosaccharides
  - . conventional: protecting group chemistry
- “ Glycosyl Transferases:
  - . biosynthesis of oligosaccharides
  - . activation of sugars by phosphorylation  
mono-/diphosphate at anomeric center
  - . high specificity for substrate
  - . high specificity for type of glycosidic bond
- “ Glucosidases:
  - . hydrolytic sugar degradation  $\Rightarrow$  low selectivity
  - . production of mono-/oligosaccharides from polymers
  - . glycolysis & glycogenesis in all organisms



# Biocatalysis

## Glycosyl Transfer

” Synthesis of oligosaccharides



- . 3-step process

- ” phosphorylation by *kinase*

- ” introduction of leaving group (NTP) by *nucleoside transferase*  $\Rightarrow$  **Donor**

- ” condensation with **acceptor** (mono-/oligosugar, protein, lipid) by *glyoxyl transferase*

- . high substrate specificity  $\Rightarrow$  many enzymes in organism (100+ biocatalysts identified)

- . Problems

- ” availability of sugar-1-phosphates solved by recombinant kinases

- ” availability of glycosyltransferases unstable membrane bound multi-domain enzymes, low in-vivo conc. solved by recombinant cloning

- ” inhibition by UDP

- $\Rightarrow$  in-situ recycling of UDP (conc. needs to be low)

# Biocatalysis

## Glycosyl Transfer

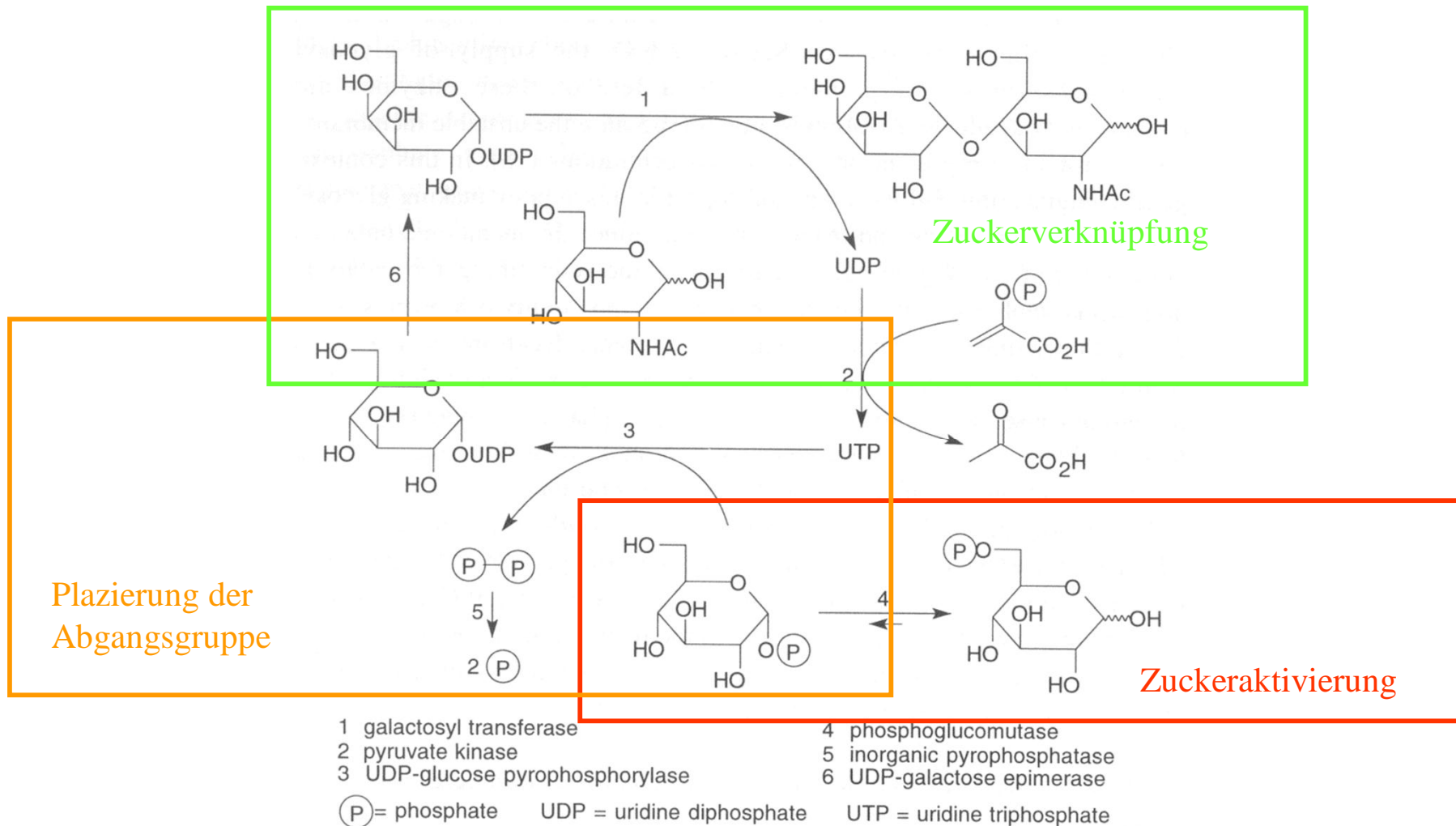
- “ UDP-Galactosyl (UDP-Gal) Transferase
  - . best studied enzyme
  - . 1-4 connection
  - . donor highly specific Gal
  - . acceptor specificity more promiscuous

Acceptor	Product
Glc-OH	$\beta$ -Gal-(1→4)-Glc-OH
GlcNAc-OH	$\beta$ -Gal-(1→4)-GlcNAc-OH
$\beta$ -GlcNAc-(1→4)-Gal-OH	$\beta$ -Gal-(1→4)- $\beta$ -GlcNAc-(1→4)-Gal-OH
$\beta$ -GlcNAc-(1→6)-Gal-OH	$\beta$ -Gal-(1→4)- $\beta$ -GlcNAc-(1→6)-Gal-OH
$\beta$ -GlcNAc-(1→3)-Gal-OH	$\beta$ -Gal-(1→4)- $\beta$ -GlcNAc-(1→3)-Gal-OH

# Biocatalysis

## Glycosyl Transfer

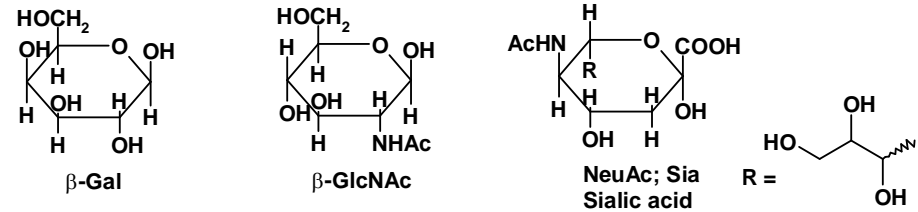
### " N-Acetyllactosamine Production



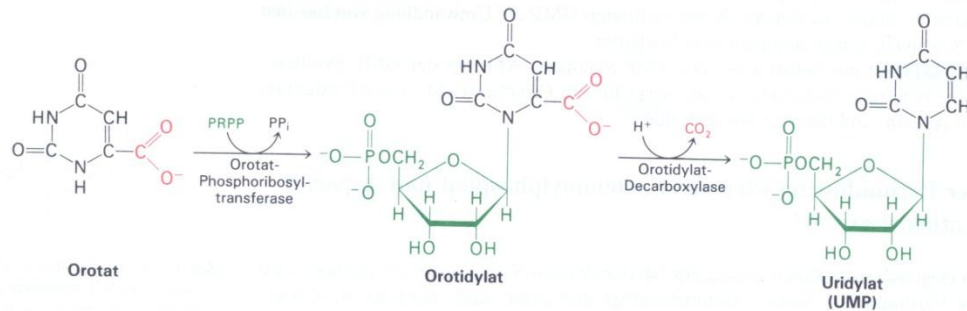
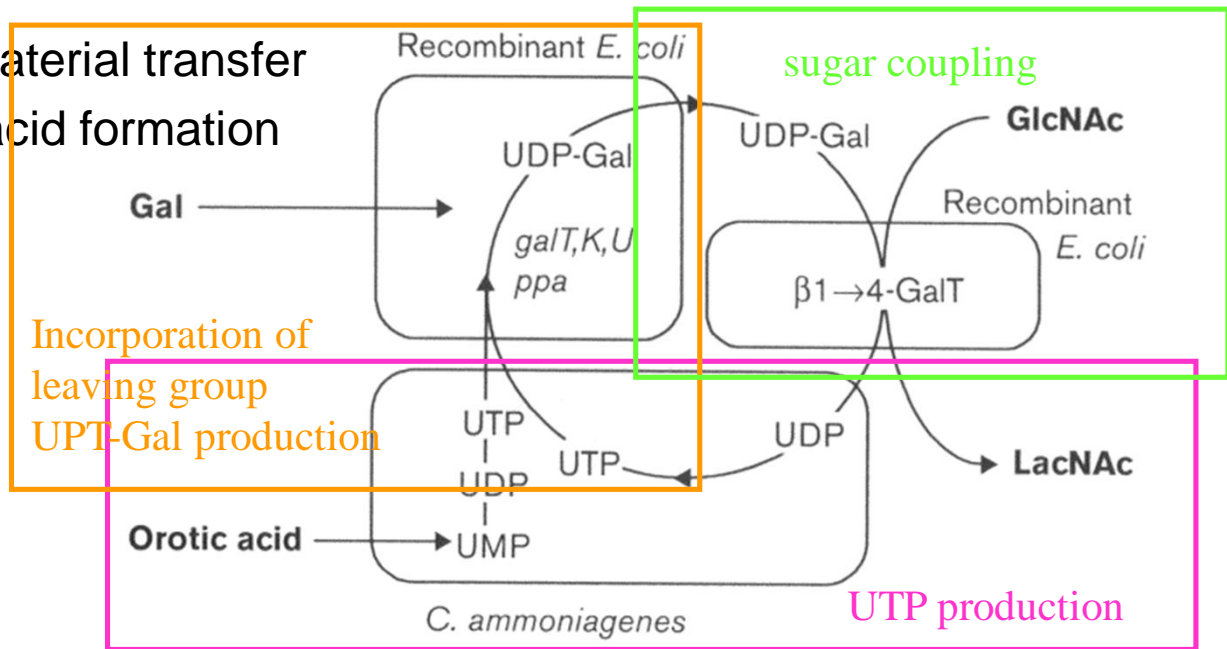
# Biocatalysis

## Glycosyl Transfer

- “ Mixed-culture approach
  - several (recomb.) strains in bioreactor
  - detergents for material transfer
  - example: sialic acid formation



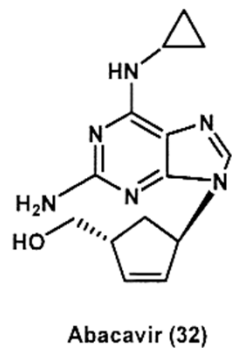
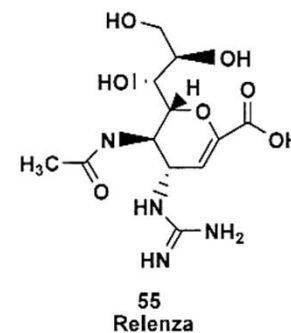
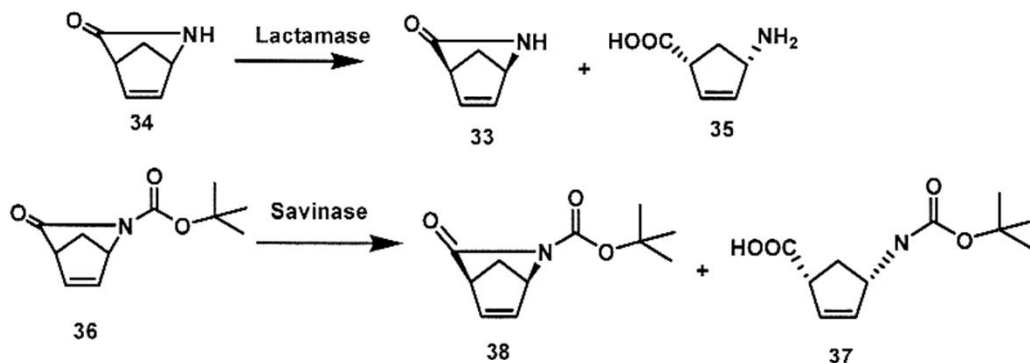
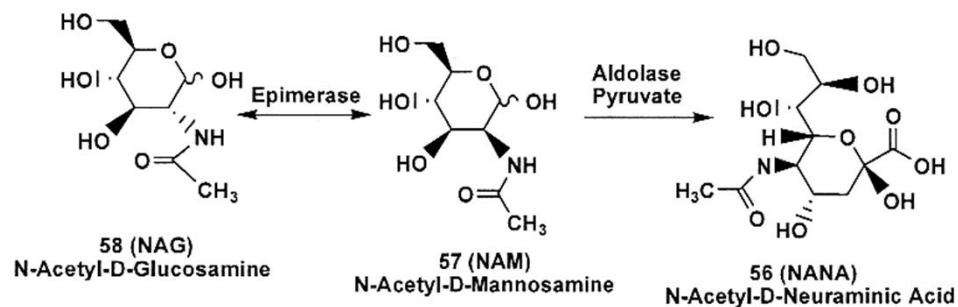
“ batch process:  
123g/L



# Biocatalysis

## Applications in Medicinal Chemistry

” Anitvirals



# Biocatalysis

## Applications

### “ Cytostatics

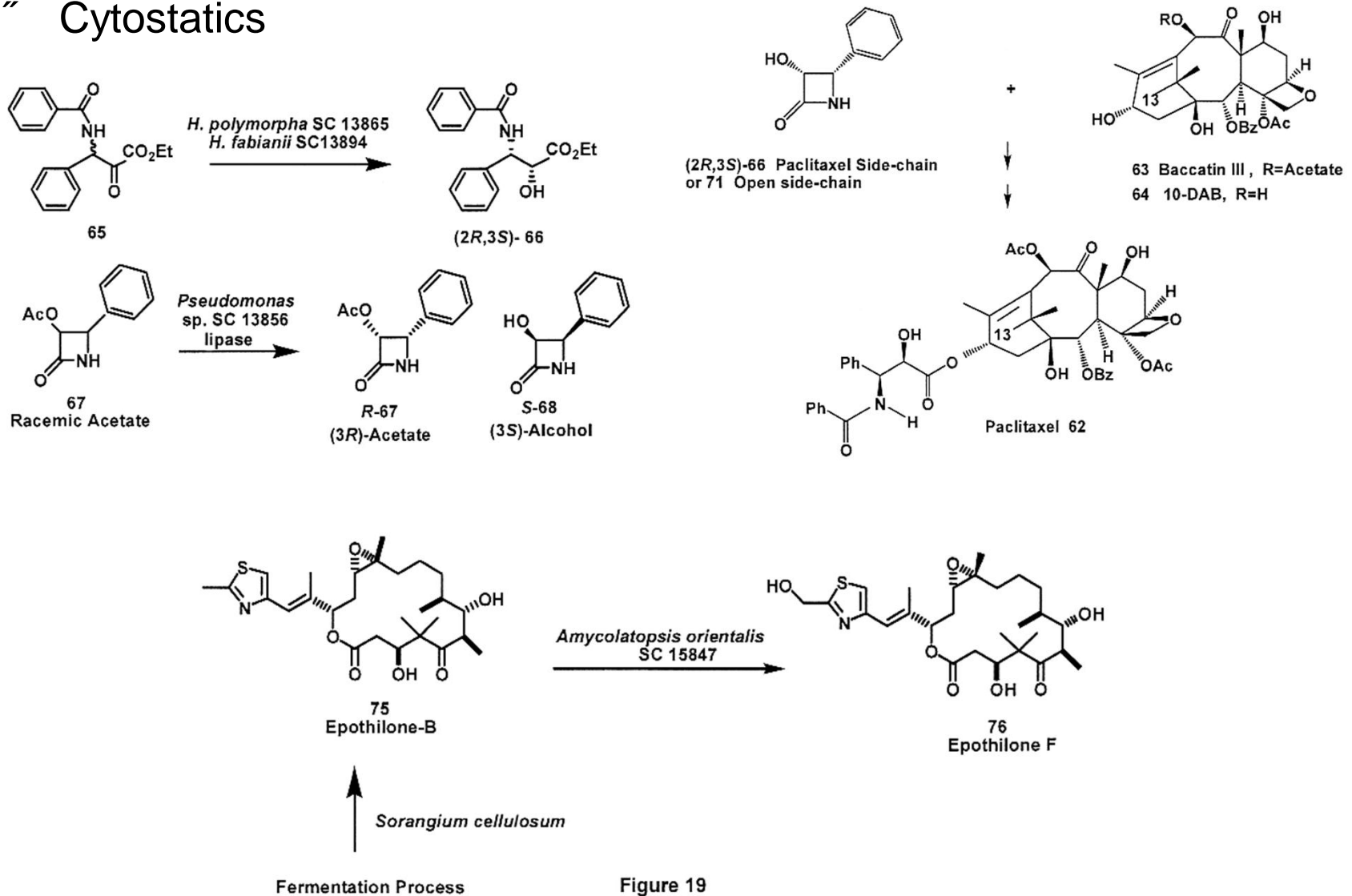


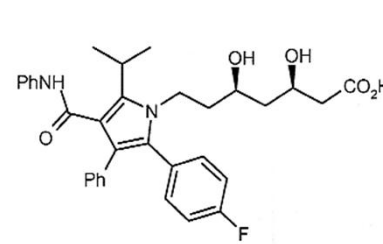
Figure 19

# Biocatalysis

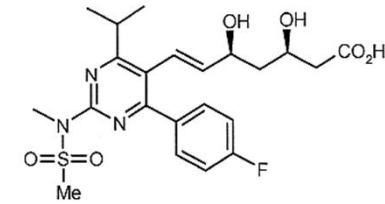
## Applications

” Lipitor

## Third Generation: Synthetic HMG-CoA Reductase Inhibitors



Atorvastatin



Rosuvastatin

