



CEITEC

Central European Institute of Technology  
BRNO | CZECH REPUBLIC

# *Image analysis III*

*C9940 3-Dimensional Transmission Electron Microscopy*  
*S1007 Doing structural biology with the electron microscope*

**April 10, 2017**



EUROPEAN UNION  
EUROPEAN REGIONAL DEVELOPMENT FUND  
INVESTING IN YOUR FUTURE



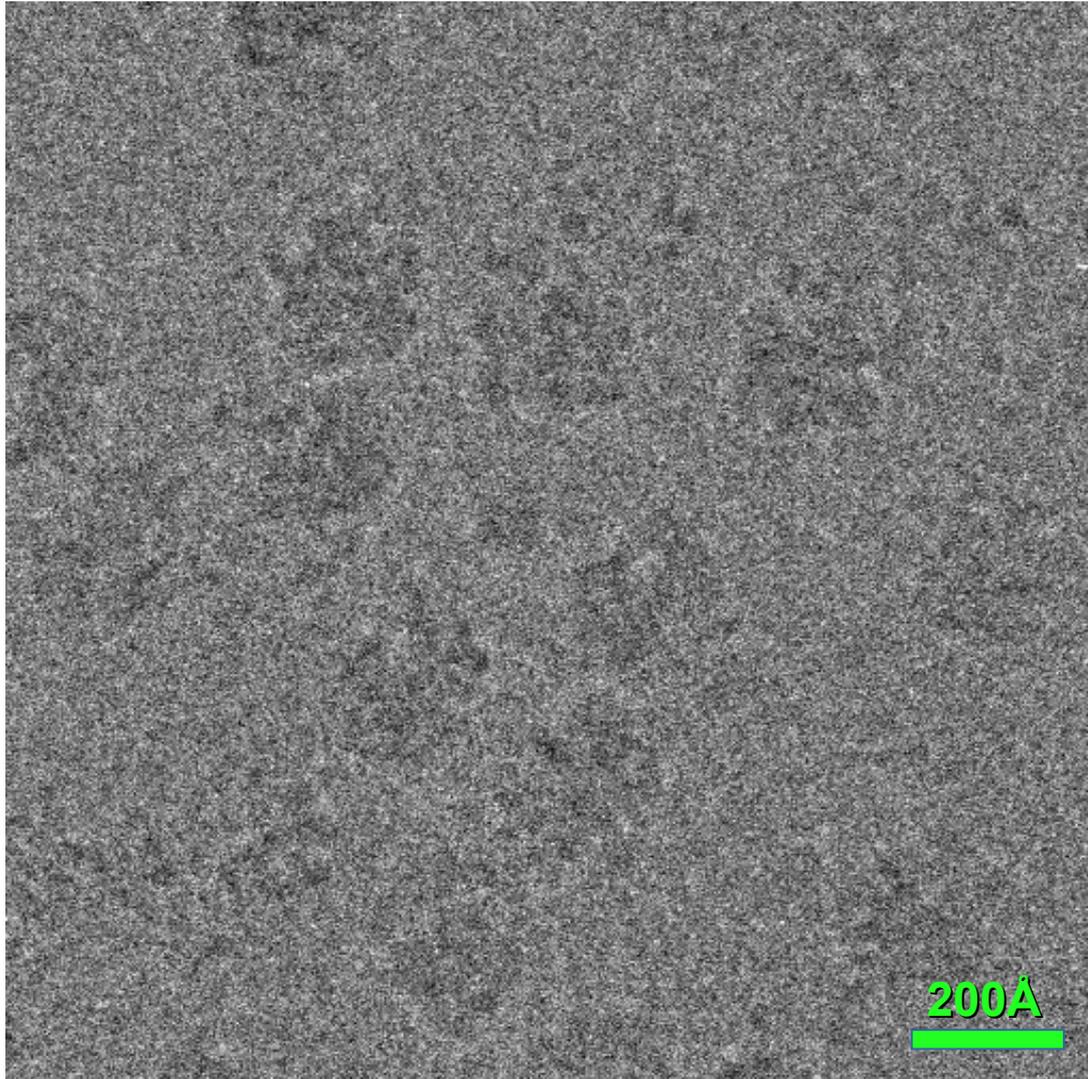
OP Research and  
Development for Innovation



**QUESTION:**

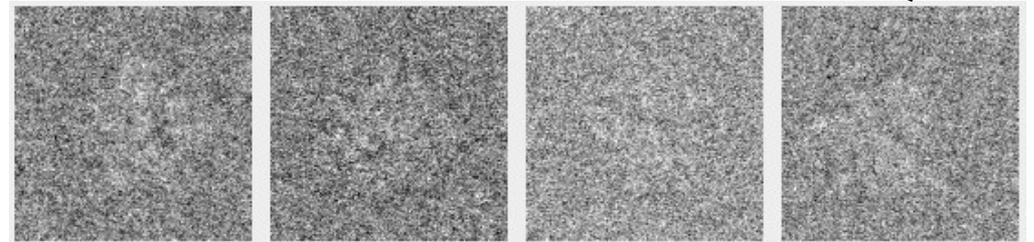
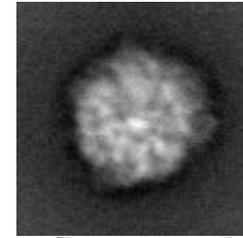
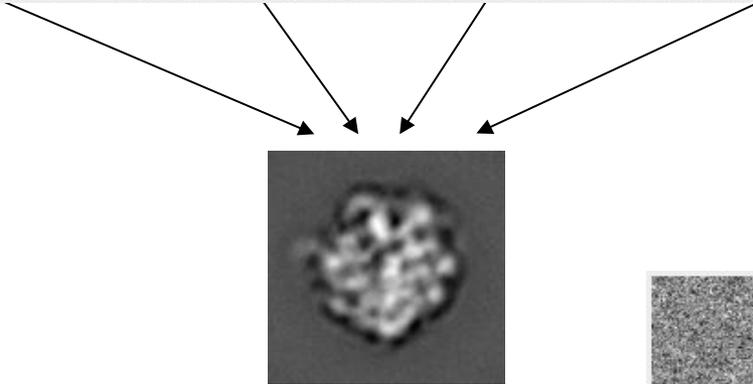
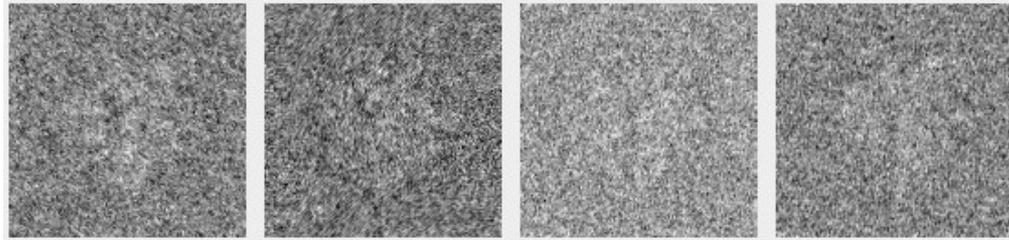
*Why do we need to average the signal from many images?*

ANSWER: Our signal-to-noise is poor



# What happens if we don't align our images?

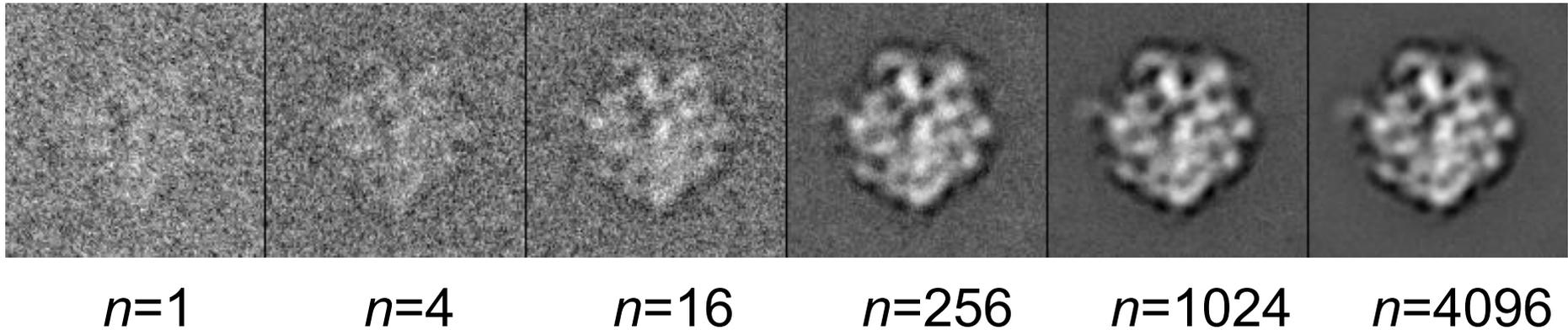
aligned images 1-4 of 4096 total



unaligned images 1-4 of 4096 total

This is a simple 2D case, but the effects are analogous in 3D.

# What happens as we include more particles?



Signal-to-noise ratio increases with  $\sqrt{n}$

# (P)review of 3D reconstruction: The parameters required

Two translational:

✓  $\Delta x$

✓  $\Delta y$

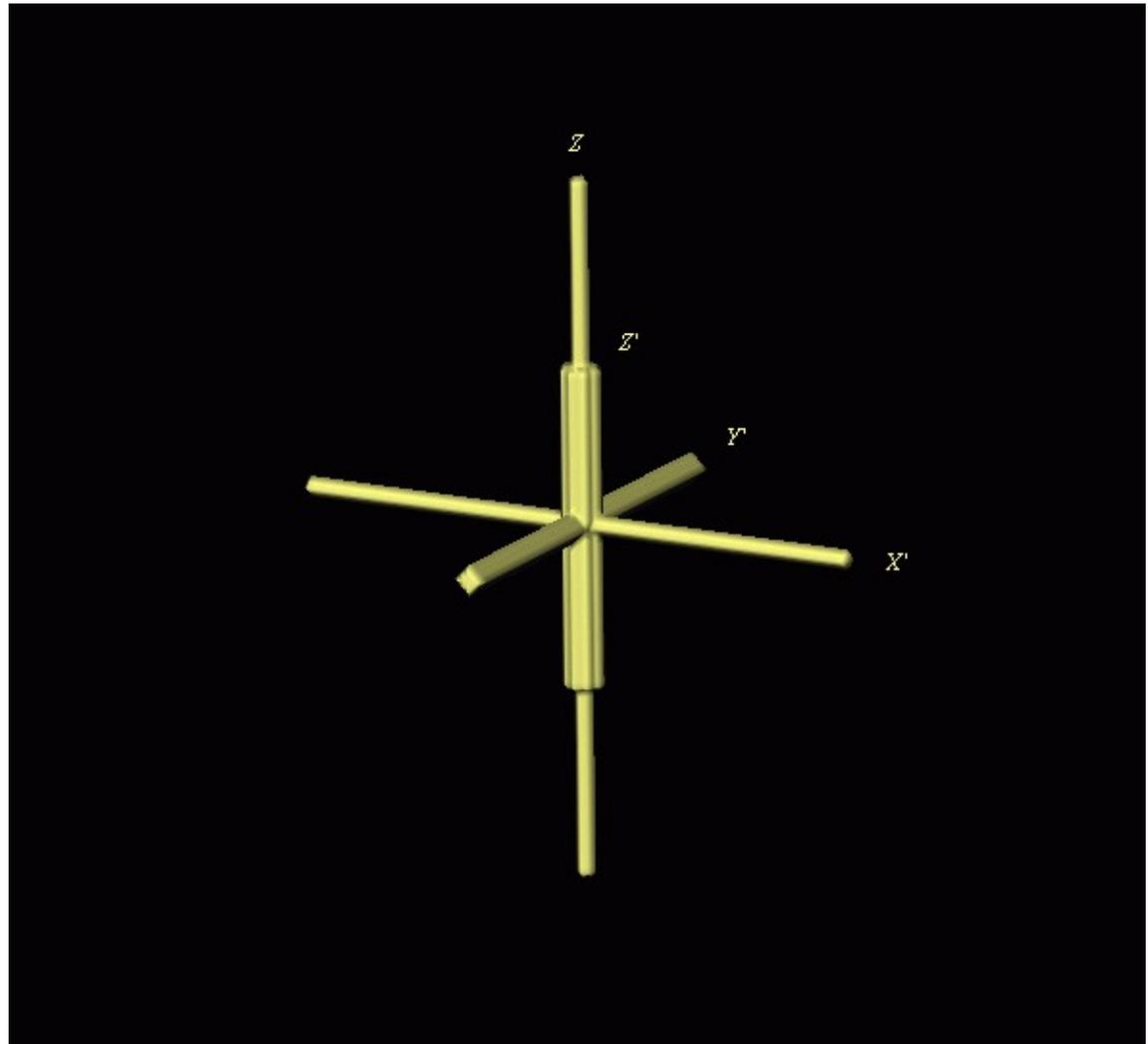
Three orientational  
(Euler angles):

✓ phi (about z axis)

✓ theta (about y)

✓  $\psi$  (about new z)

These are determined in 2D.  
We'll concentrate on these 1<sup>st</sup>.



*How do find the relative translations  
between two images?*

# Cross-correlation

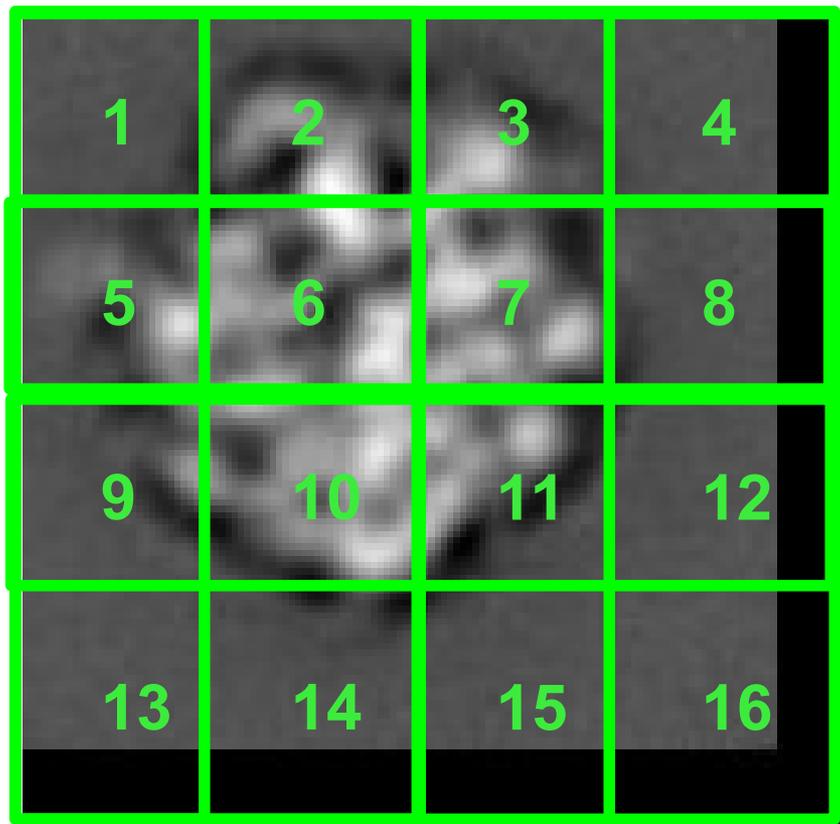


Image  $f$

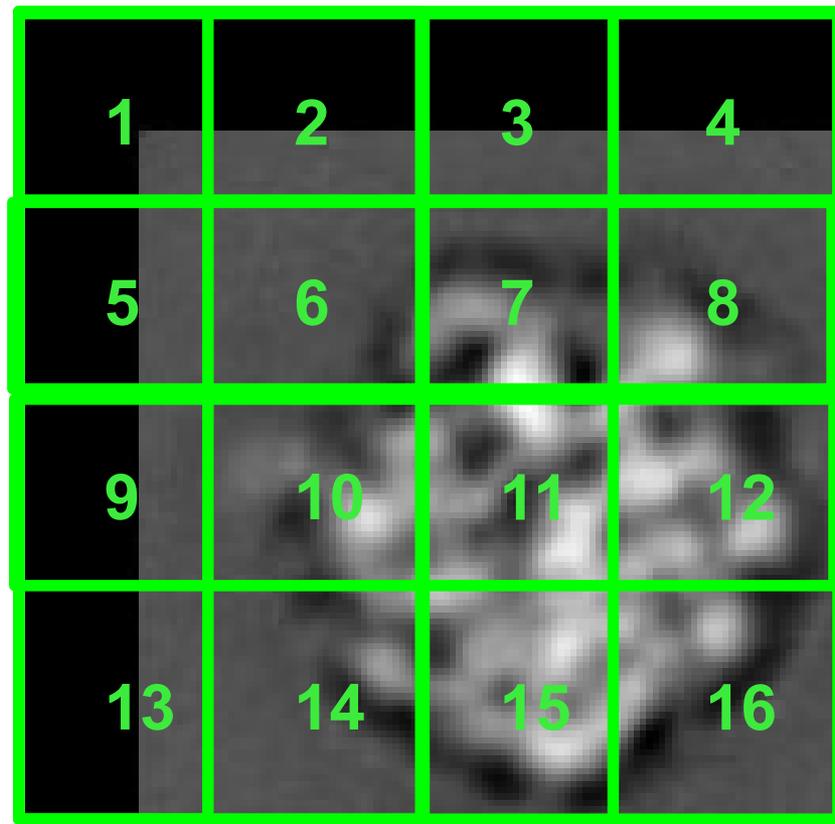


Image  $g$

Cross-correlation coefficient:

$$\frac{\sum_{N=1}^{16} f(\vec{x}) g(\vec{x})}{\sigma_f \sigma_g}$$

constant  
"normalization"

# Cross-correlation

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

Image  $f$

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

Image  $g$

$$\begin{aligned} \text{Unnormalized CCC} = & f_1g_1 + f_2g_2 + f_3g_3 + f_4g_4 + f_5g_5 + f_6g_6 + f_7g_7 + f_8g_8 \\ & + f_9g_9 + f_{10}g_{10} + f_{11}g_{11} + f_{12}g_{12} + f_{13}g_{13} + f_{14}g_{14} + f_{15}g_{15} + f_{16}g_{16} \end{aligned}$$

# Cross-correlation

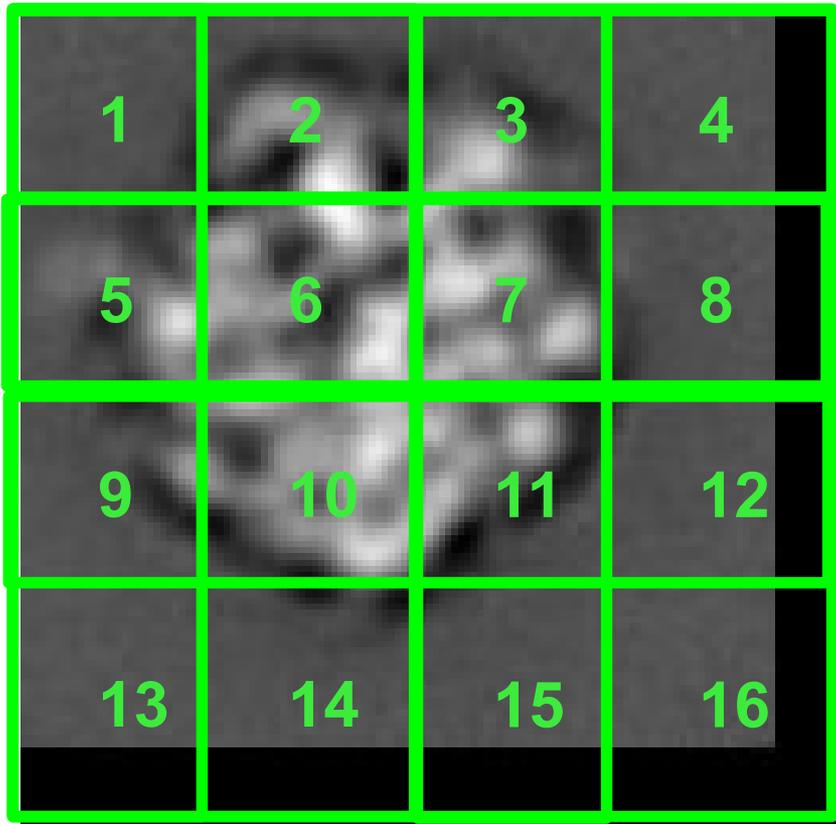


Image  $f$

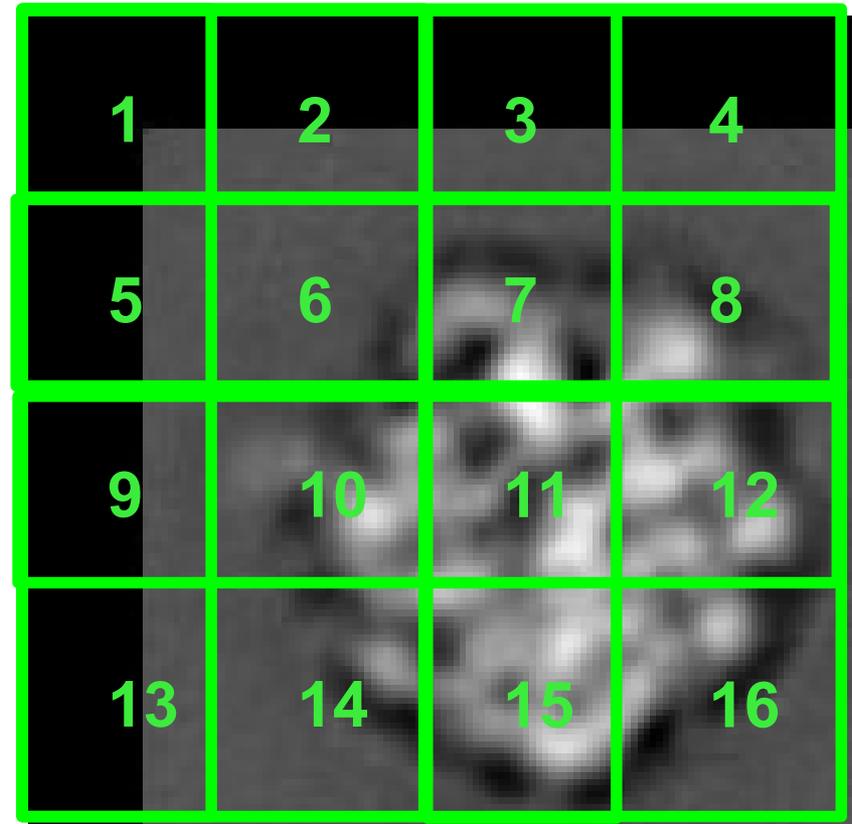


Image  $g$

$$\begin{aligned} \text{Unnormalized CCC} = & f_1g_1 + f_2g_2 + f_3g_3 + f_4g_4 + f_5g_5 + f_6g_6 + f_7g_7 + f_8g_8 \\ & + f_9g_9 + f_{10}g_{10} + f_{11}g_{11} + f_{12}g_{12} + f_{13}g_{13} + f_{14}g_{14} + f_{15}g_{15} + f_{16}g_{16} \end{aligned}$$

# Cross-correlation coefficient

Cross-correlation coefficient: 
$$\frac{\sum_{N=1}^{16} f(\vec{x}) g(\vec{x})}{\sigma_f \sigma_g}$$

If the alignment is perfect, the correlation value will be 1.

What if the correlation isn't perfect?

# Cross-correlation

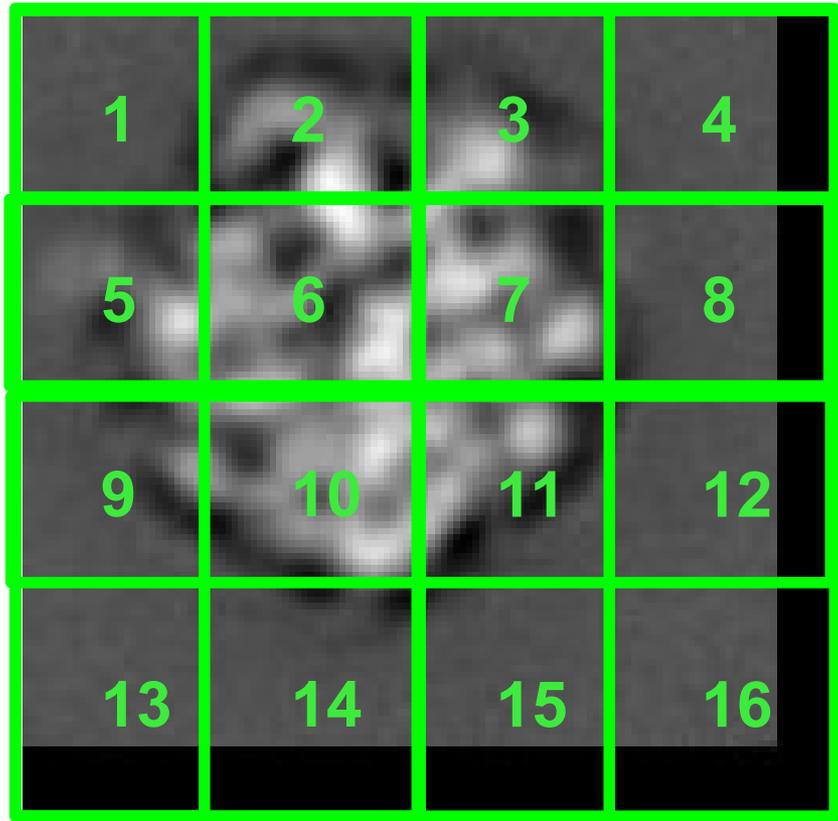


Image  $f$

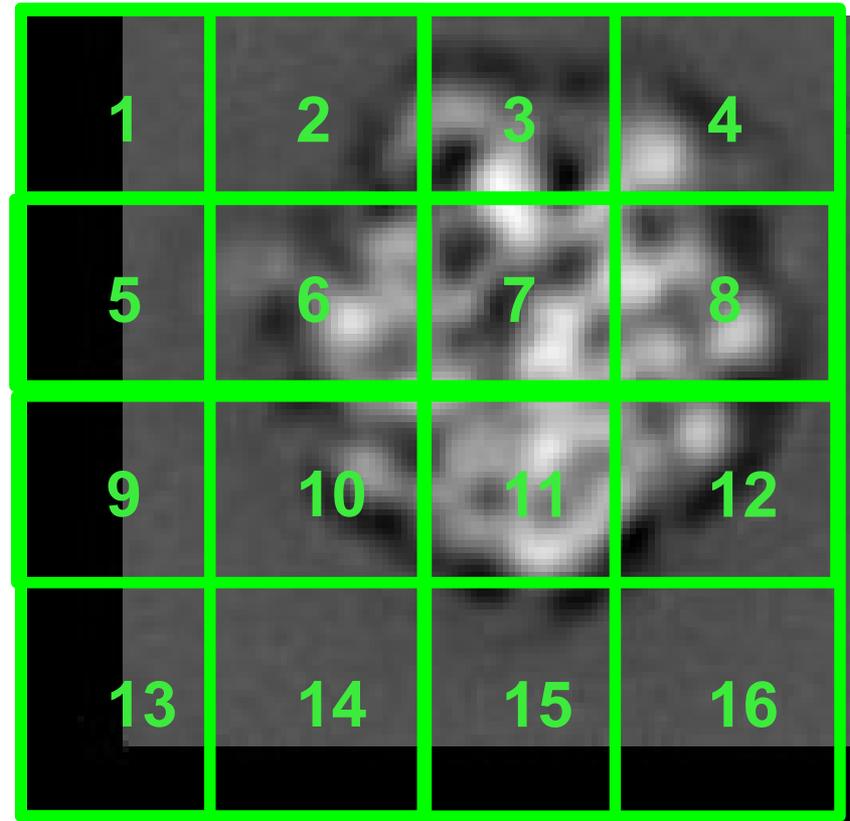
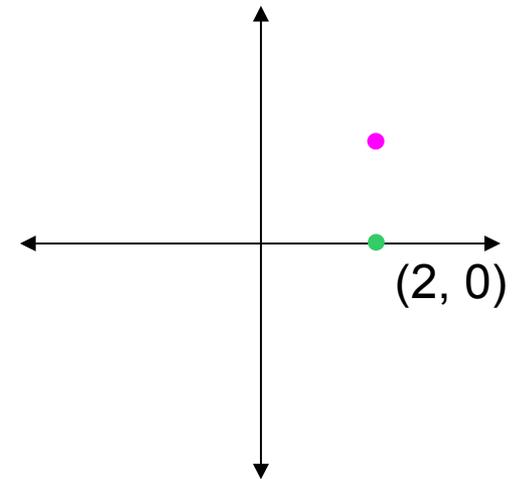
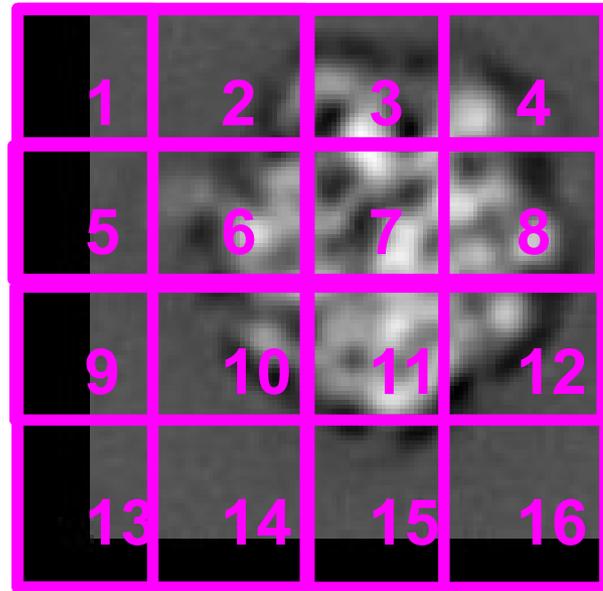
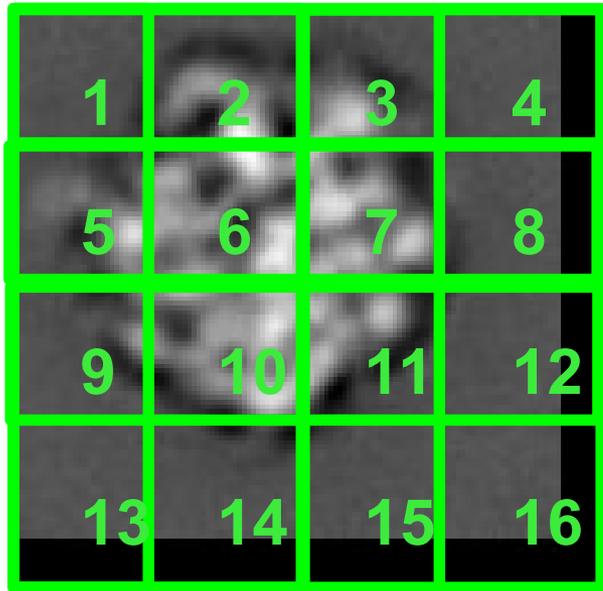
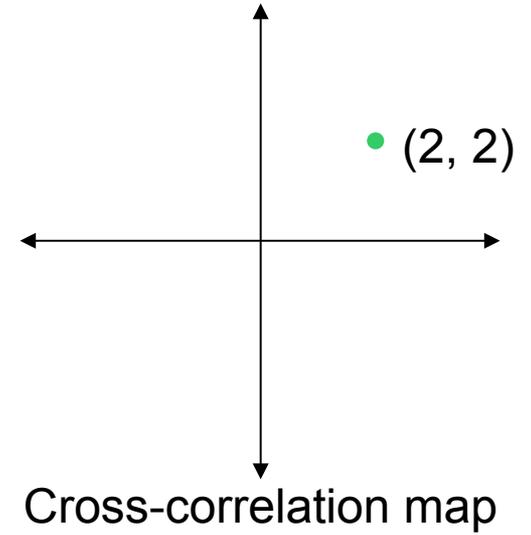
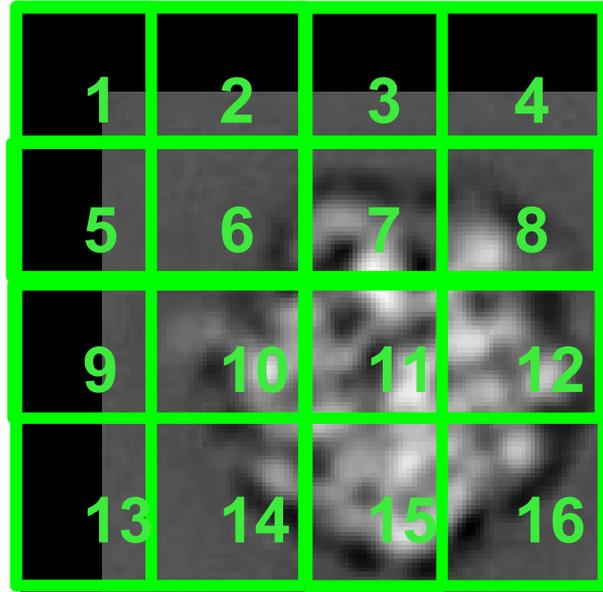
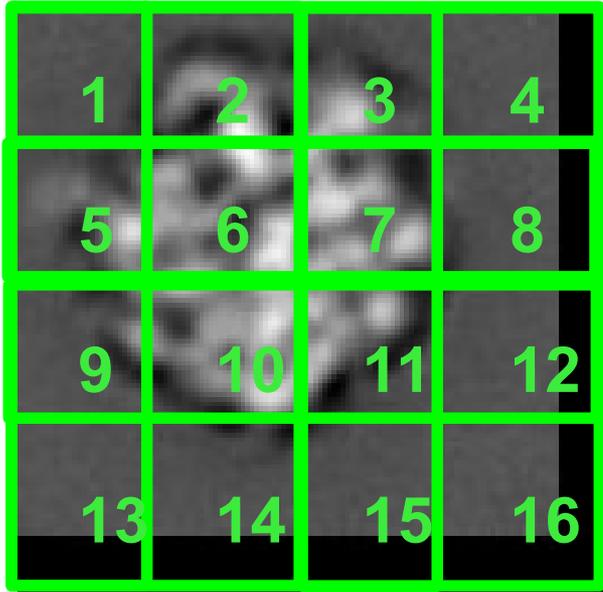


Image  $g$

What if the correlation isn't perfect?

ANSWER: You try other shifts (perhaps all).



We would need to repeat this for all combinations of shifts.

# Cross-correlation function (CCF)

Brute-force translational search is CPU-intensive

BUT

Fourier transforms can help us.

	Real space	$f(x)$	$g(x)$
Some notation:			
	Fourier space	$F(X)$	$G(X)$

# Cross-correlation function (CCF)

Brute-force translational search is CPU-intensive

BUT

Fourier transforms can help us.

Complex conjugate:

If a Fourier coefficient  $F(X)$  has the form:  $a + bi$

The complex conjugate  $F^*(X)$  has the form:  $a - bi$

$$F^*(X) G(X) = \text{F.T.}(\text{CCF})$$

This gives us a map of all possible shifts.

# Cross-correlation function (CCF)

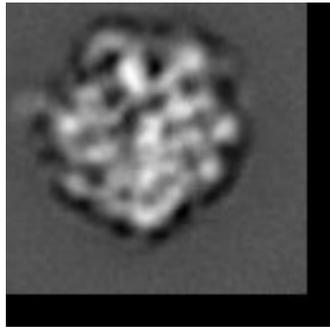


Image  $f(x)$

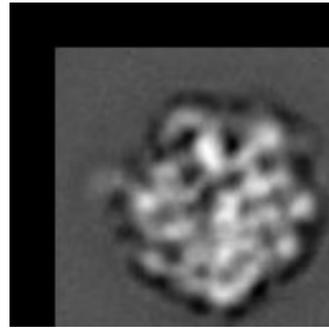
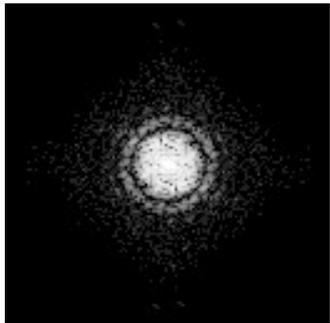
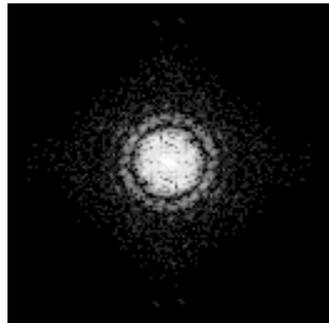


Image  $g(x)$



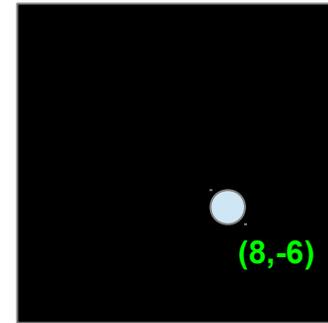
F.T.  $F^*(X)$   
(complex conjugate)

x



F.T.  $G(X)$

=



F.T. (CCF)

The position of the peak gives us the shifts that give the best match, e.g., (8,-6).

*Well, that was an easy case.  
We only needed to do translational alignment.  
What about orientation alignment?*

# Orientation alignment

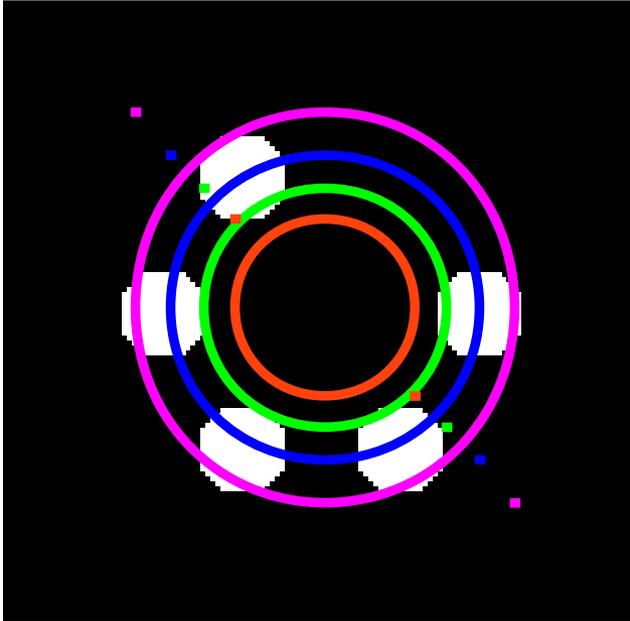


Image 1

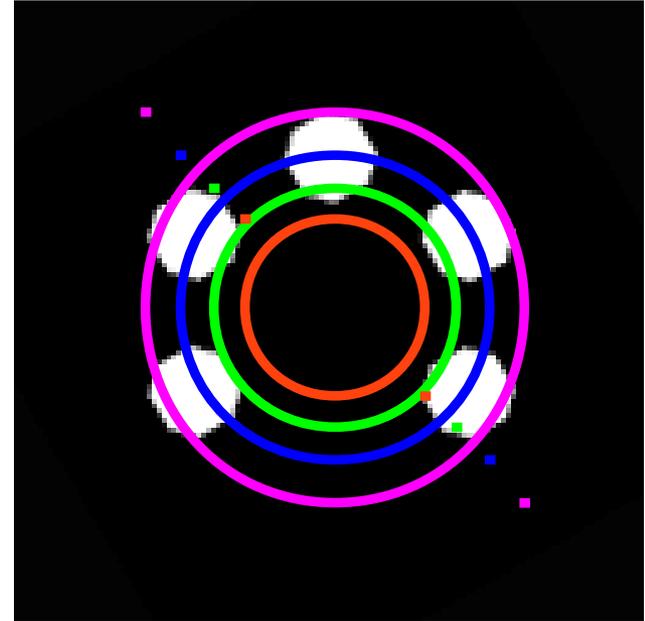
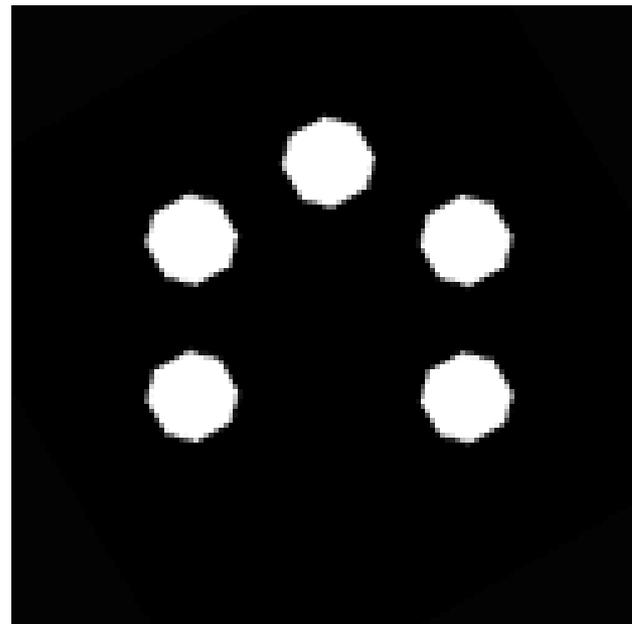
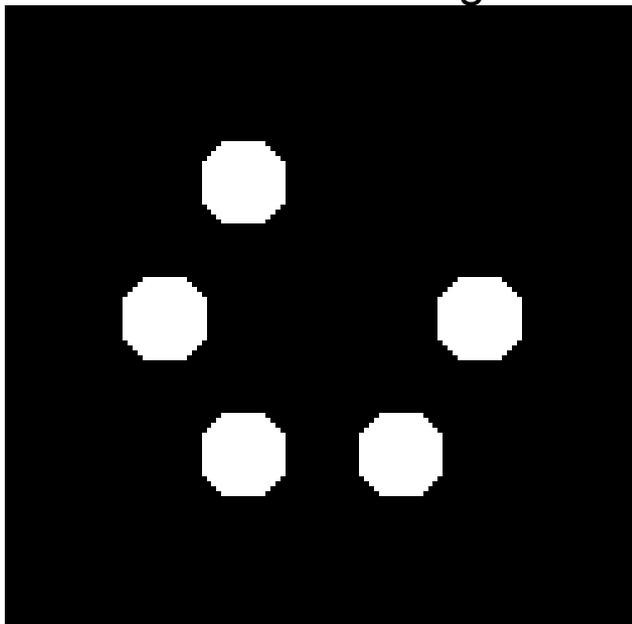


Image 2

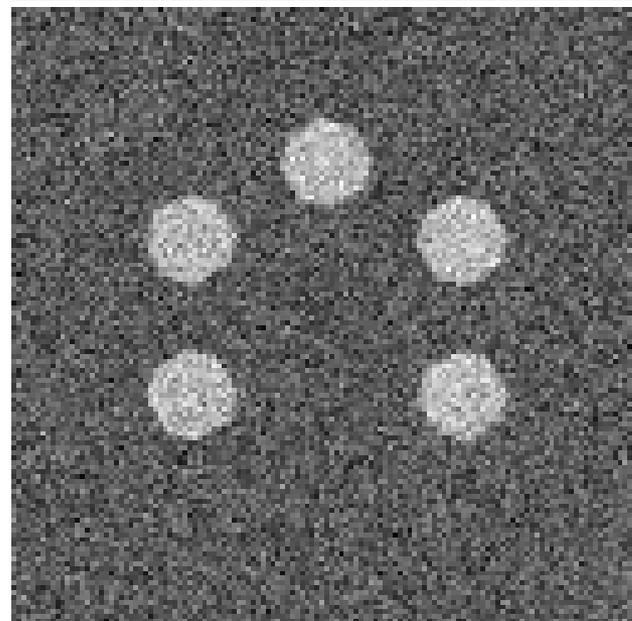
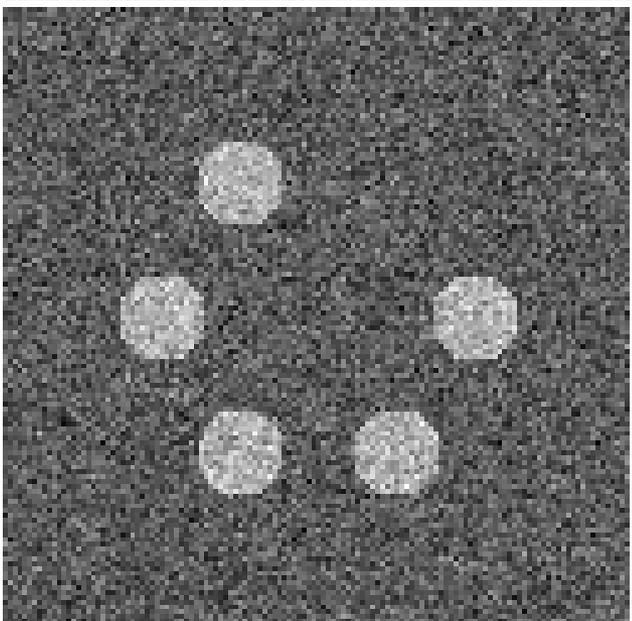
We take a series of rings from each image, unravel them, and compute a series of 1D cross-correlation functions.

Shifts along these unraveled CCFs is equivalent to a rotation in Cartesian space.

Reference image



Noise added



# Orientation alignment

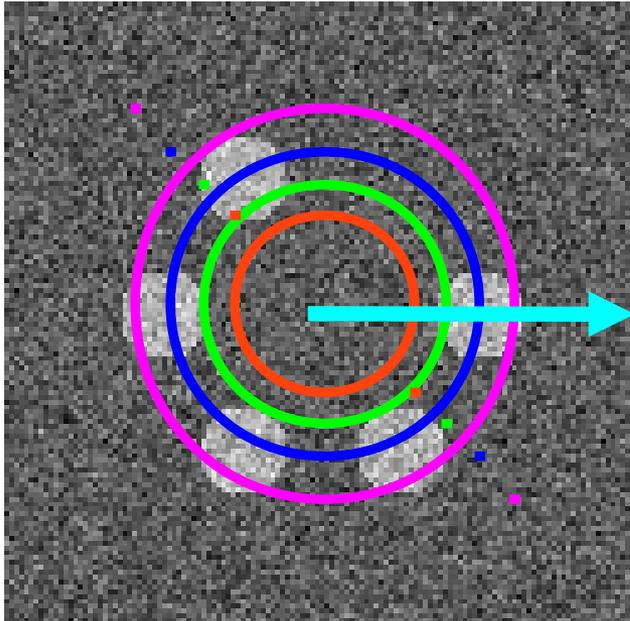


Image 1

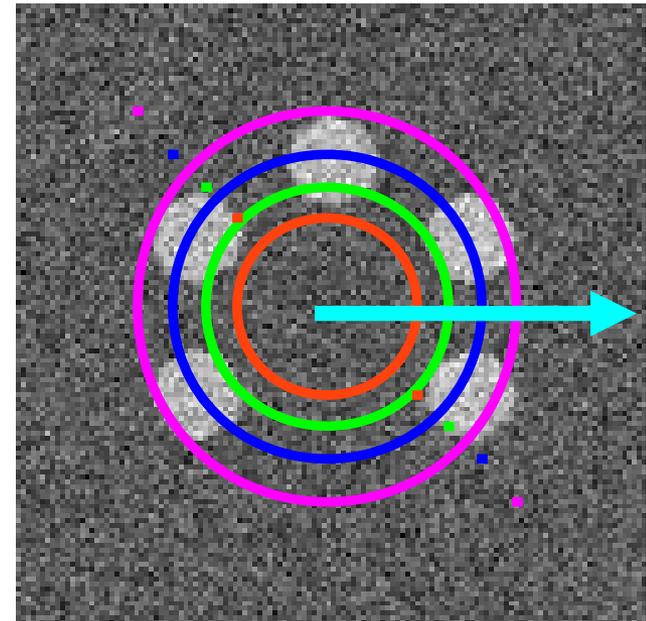
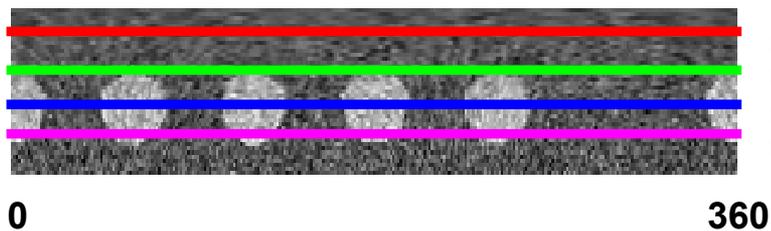
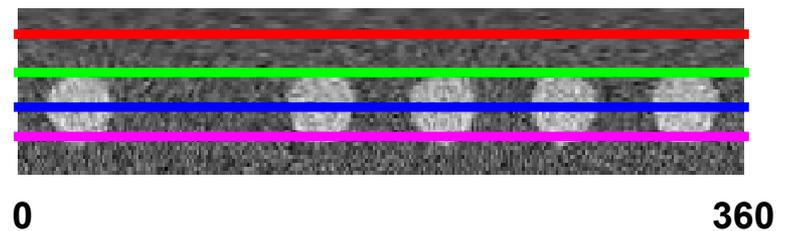


Image 2

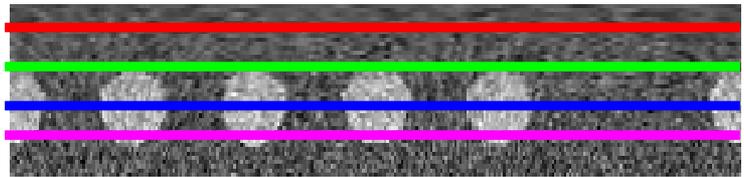


radius 1  
radius 2  
radius 3  
radius 4



Polar representation

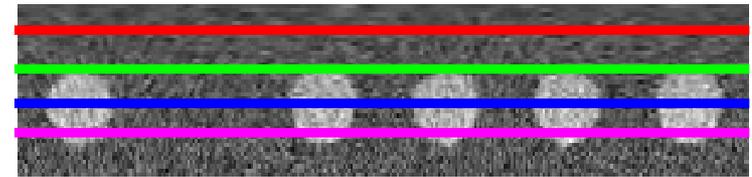
# Orientation alignment



radius 1  
radius 2  
radius 3  
radius 4

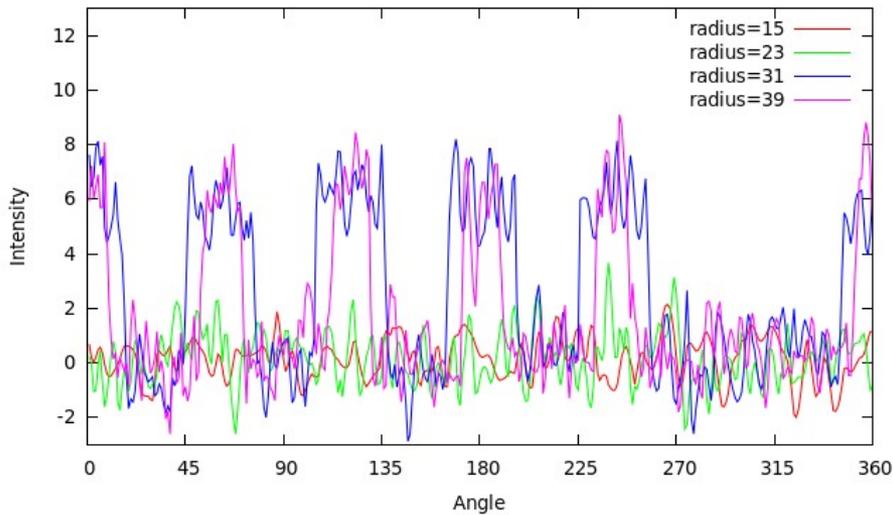
0

360

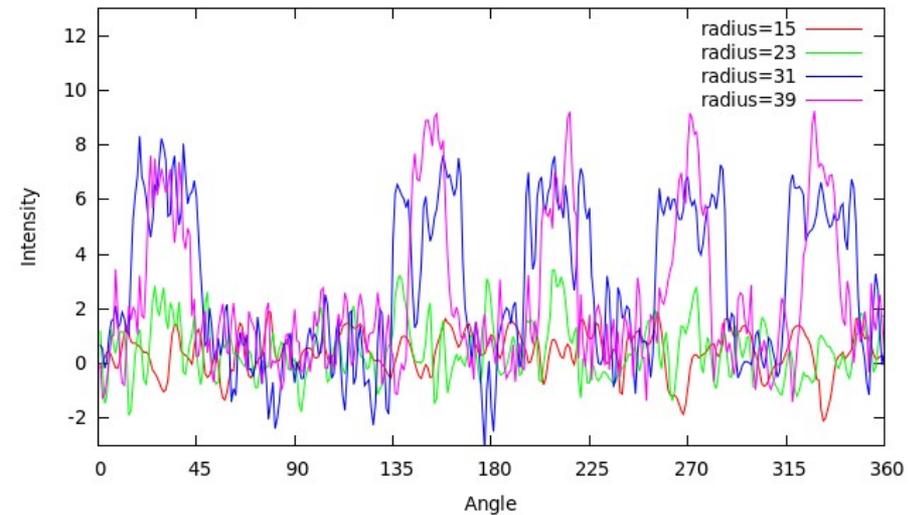


0

360

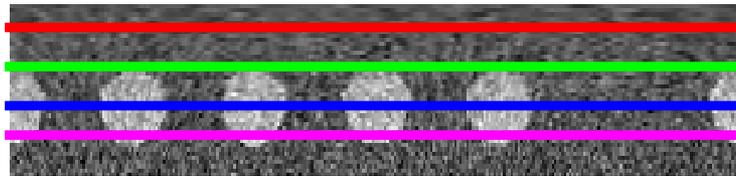


374.951, 4.53721



356.141, -2.50024

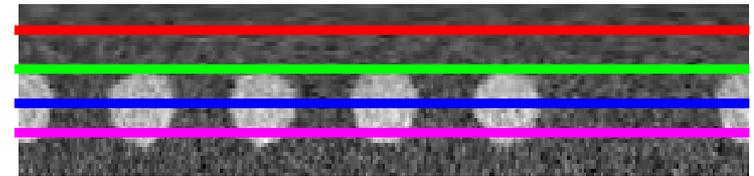
# Orientation alignment: After rotation



radius 1  
radius 2  
radius 3  
radius 4

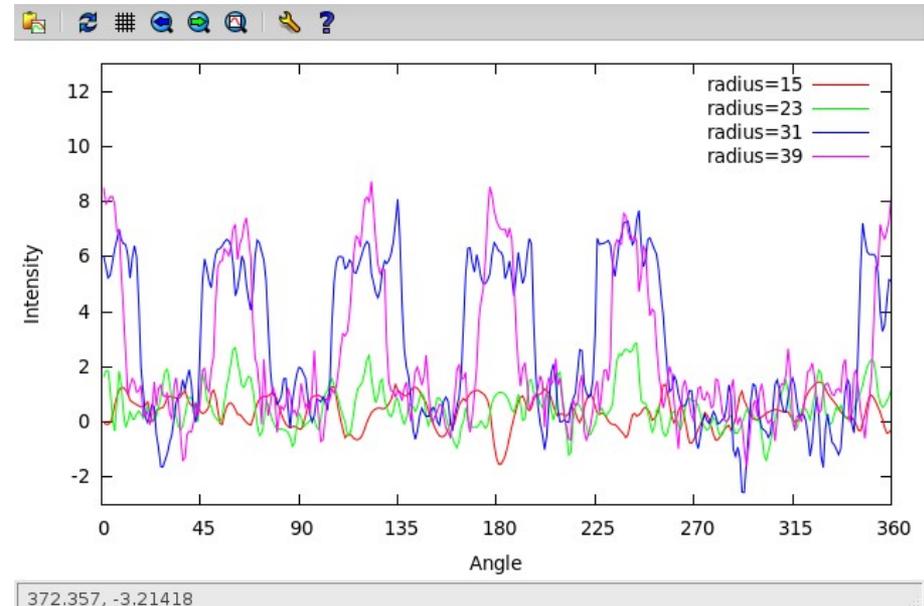
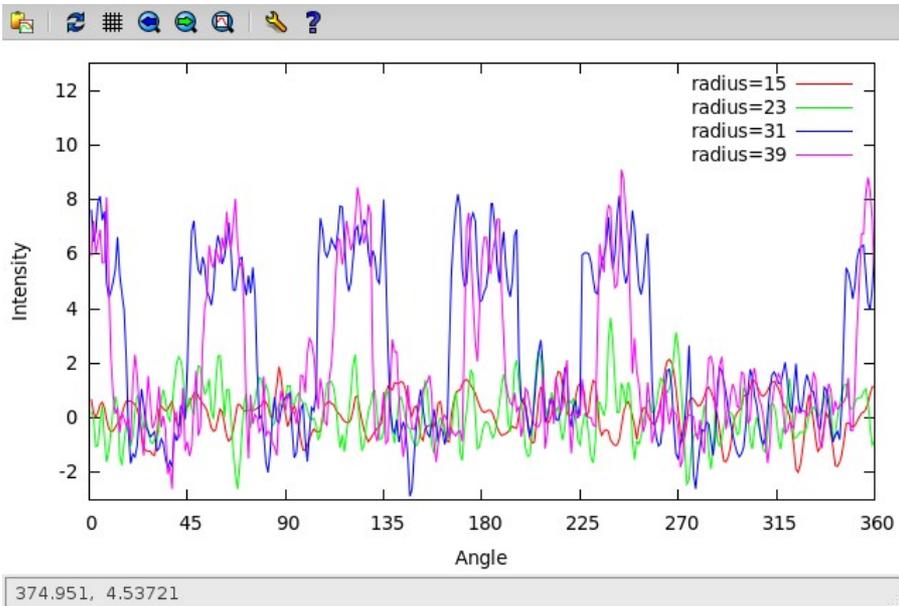
0

360



0

360



*Which do you perform first?  
Translational or orientation alignment?*

# Translational and orientation alignment are interdependent

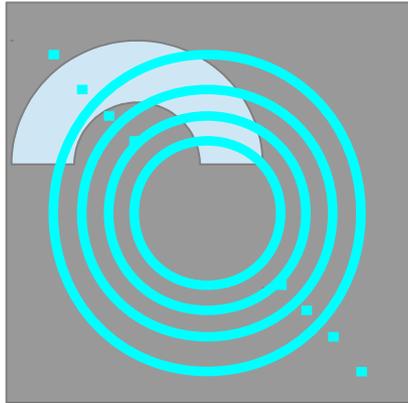


Image 1

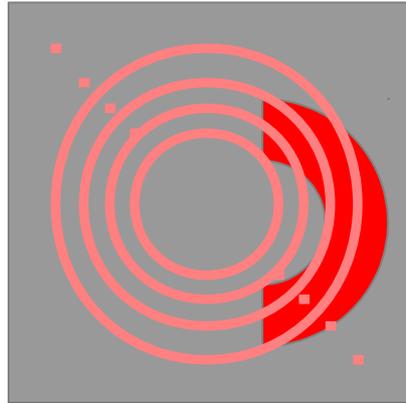
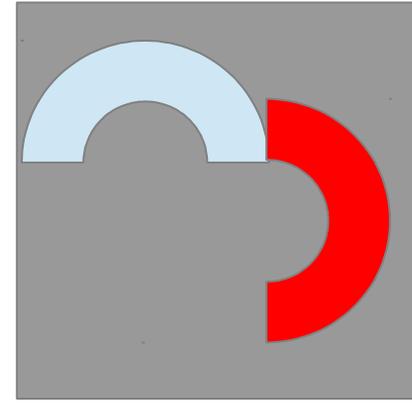


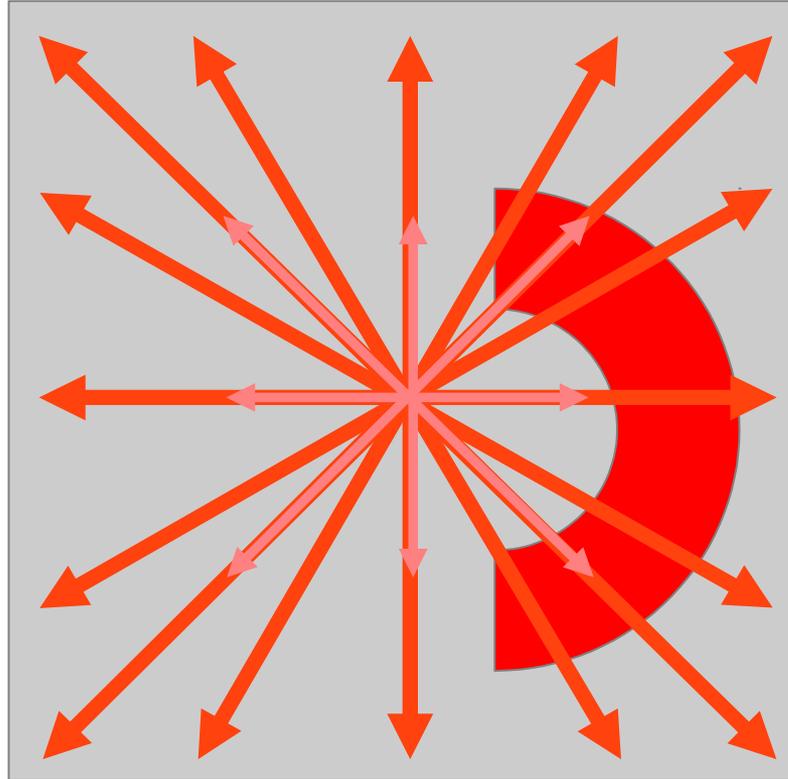
Image 2



Superimposed

**SOLUTION:** You try a set of reasonable shifts, and perform separate orientation alignments for each.

# Translational and orientation alignment are interdependent



Set of all shifts of up to 1 pixel

Set of all new shifts of up to 2 pixels

Shifts of  $(0, +/-1, +/-2)$  pixels results in 25 orientation searches.

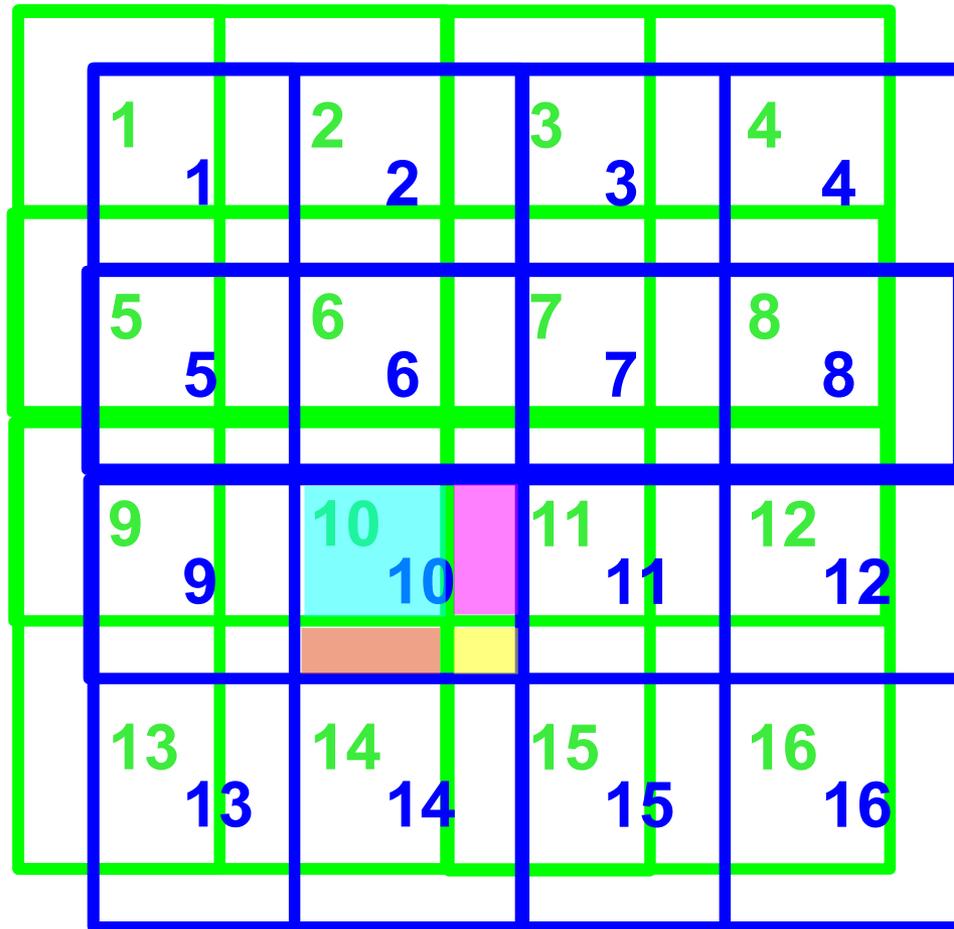
# Outline

## Image analysis II

- Fourier transforms revisited
  - Ducks and other animals
- Analogy to the Ewald sphere
- Aliasing
- Alignment
- **Interpolation**
- Multivariate data analysis

*How to apply the best shift and rotation?*

# Shifts

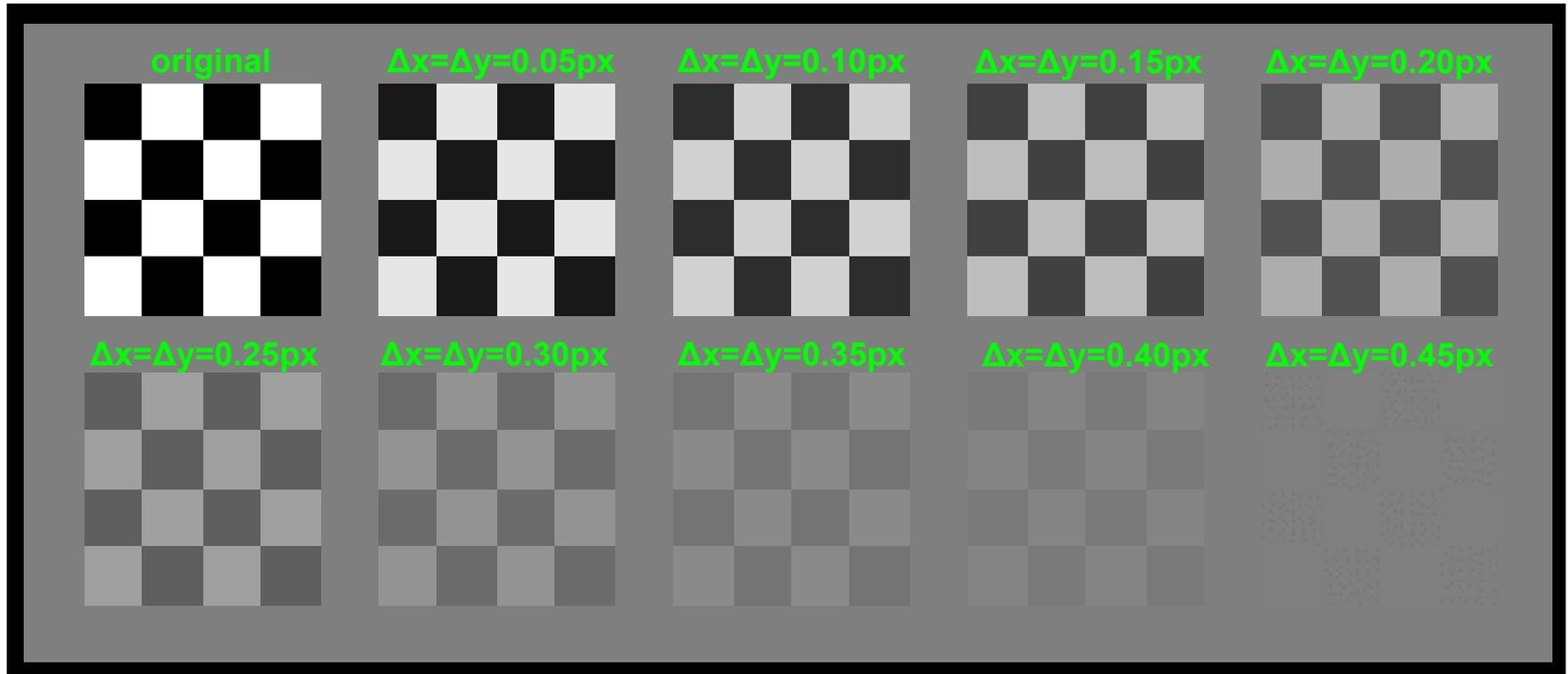


Suppose we shift the image in x & y.

The new pixels will be weighted averages of the old pixels.

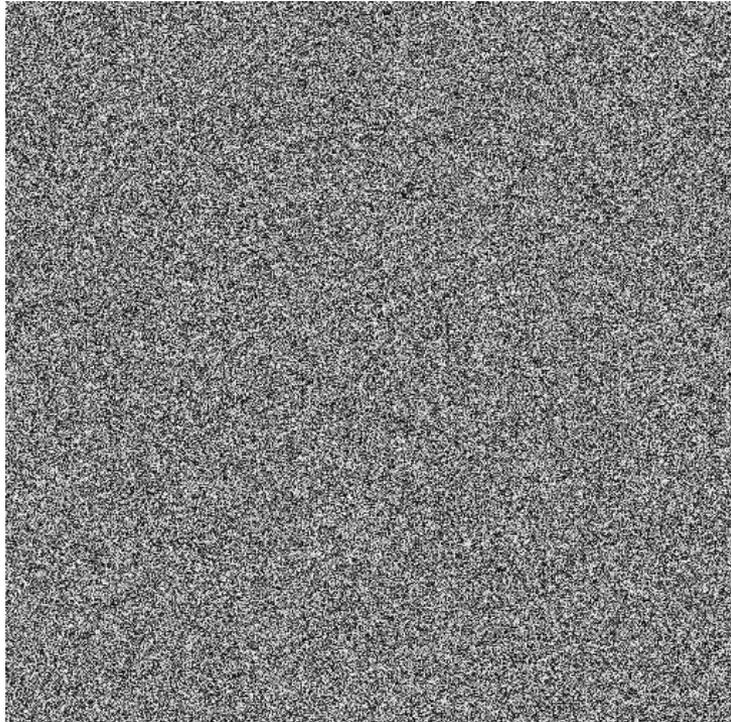
The more the mix the pixels, the worse the result will be. 

# Effect of shifts

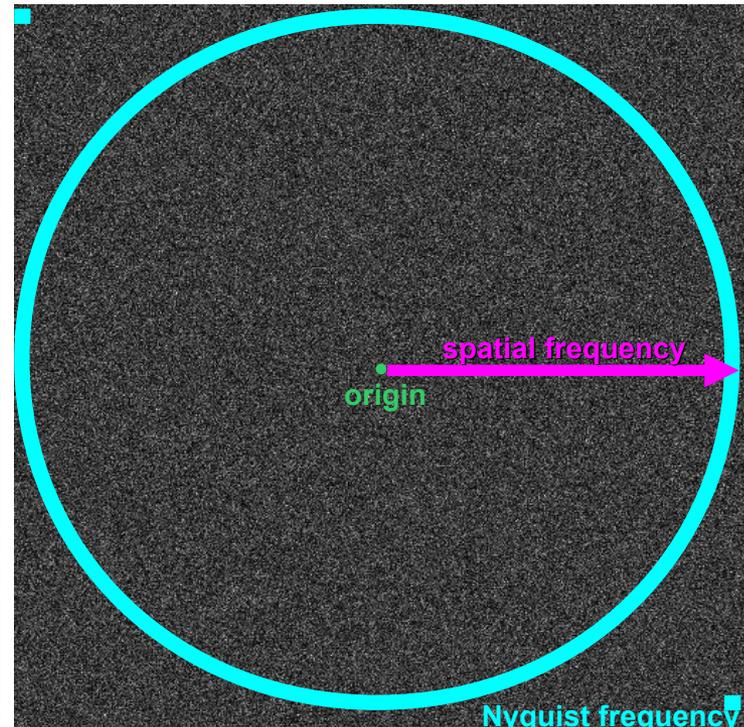


# Two more properties of Fourier transforms: Noise

- ◆ The Fourier transform of noise is noise
- ◆ “White” noise is evenly distributed in Fourier space
  - “White” means that each pixel is independent



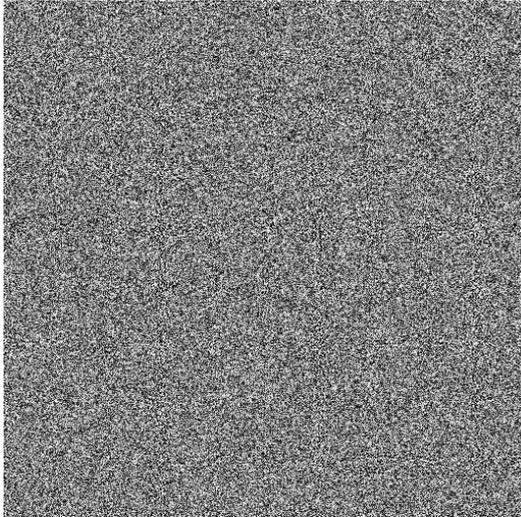
White noise



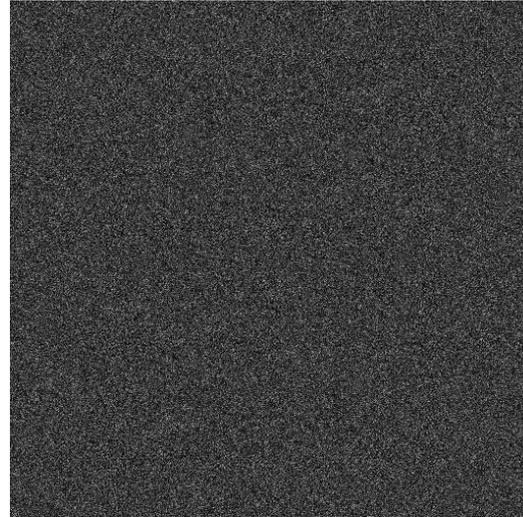
Power spectrum

# Effects of interpolation are resolution-dependent

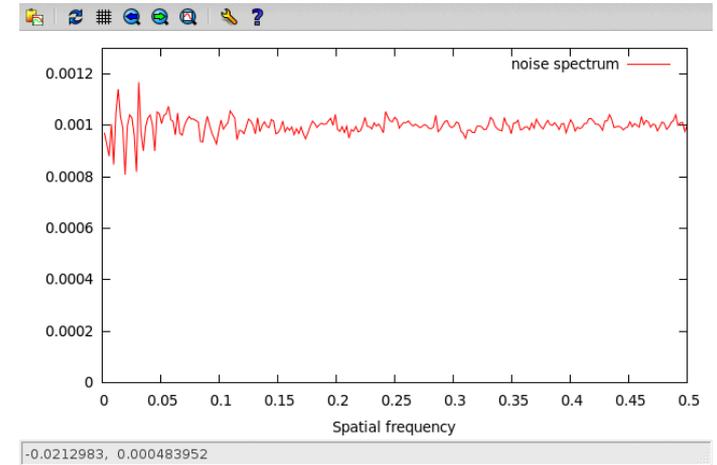
Original



Image

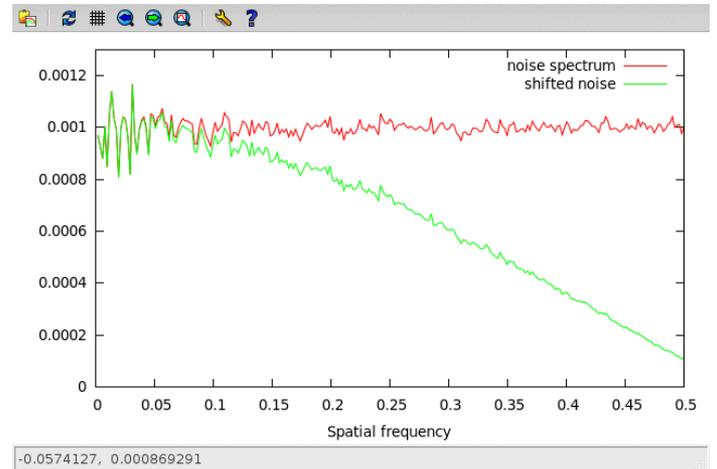
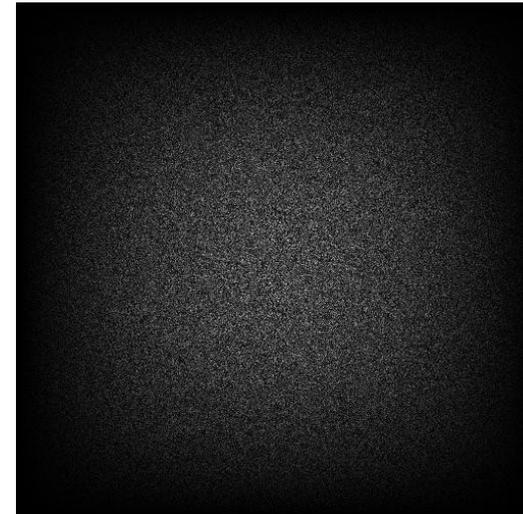
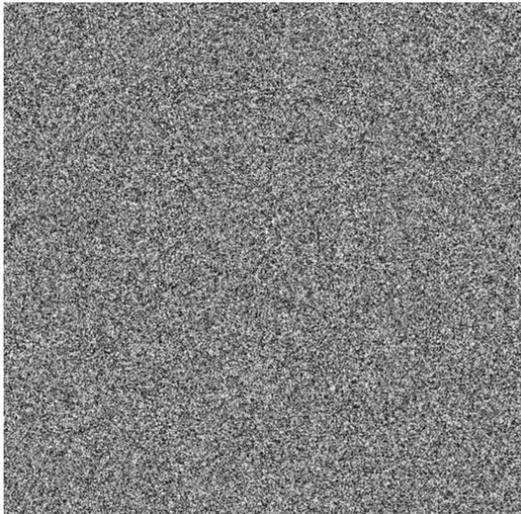


Power spectrum

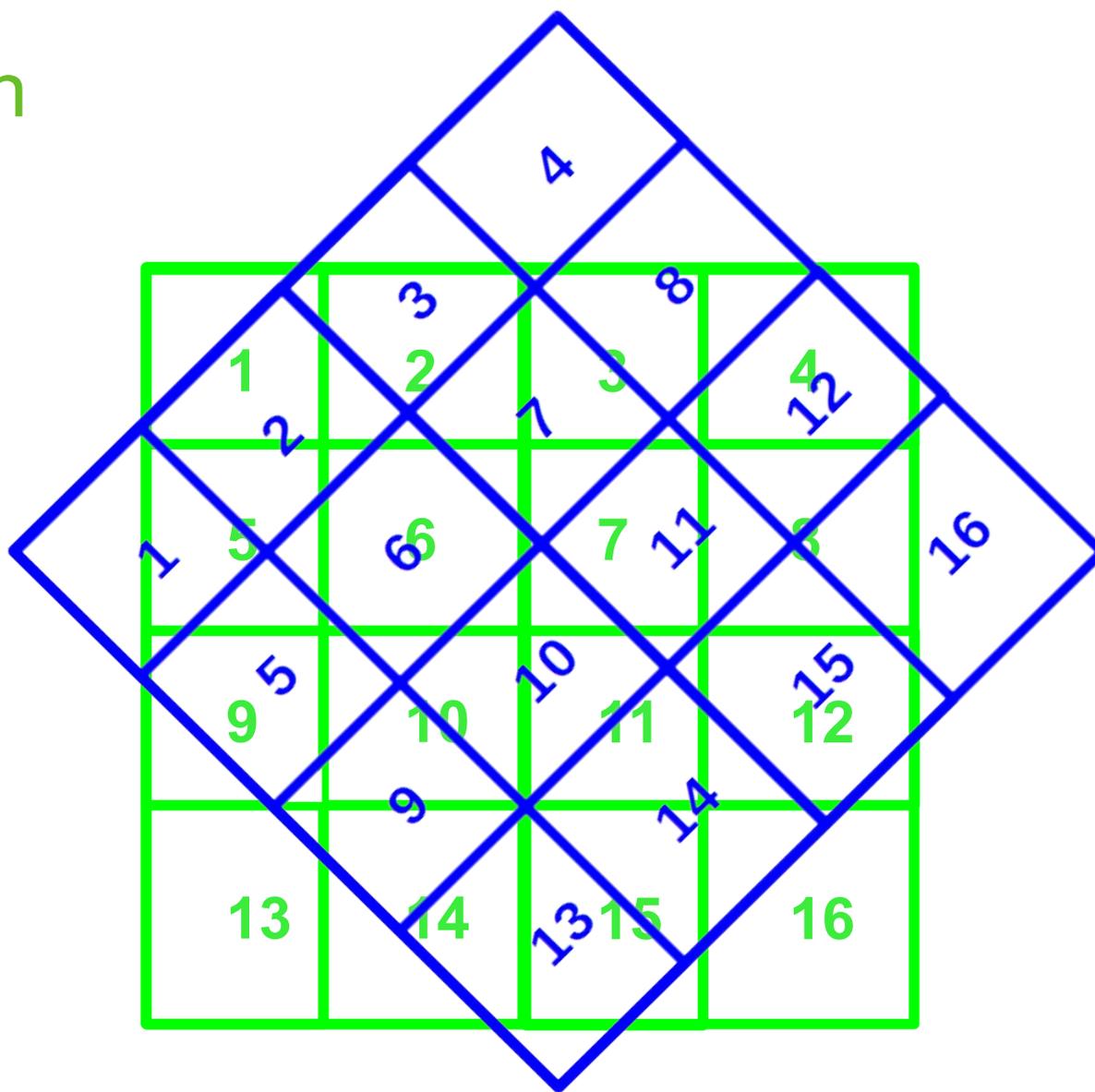


Profile

Shifted by (0.5,0.5) px



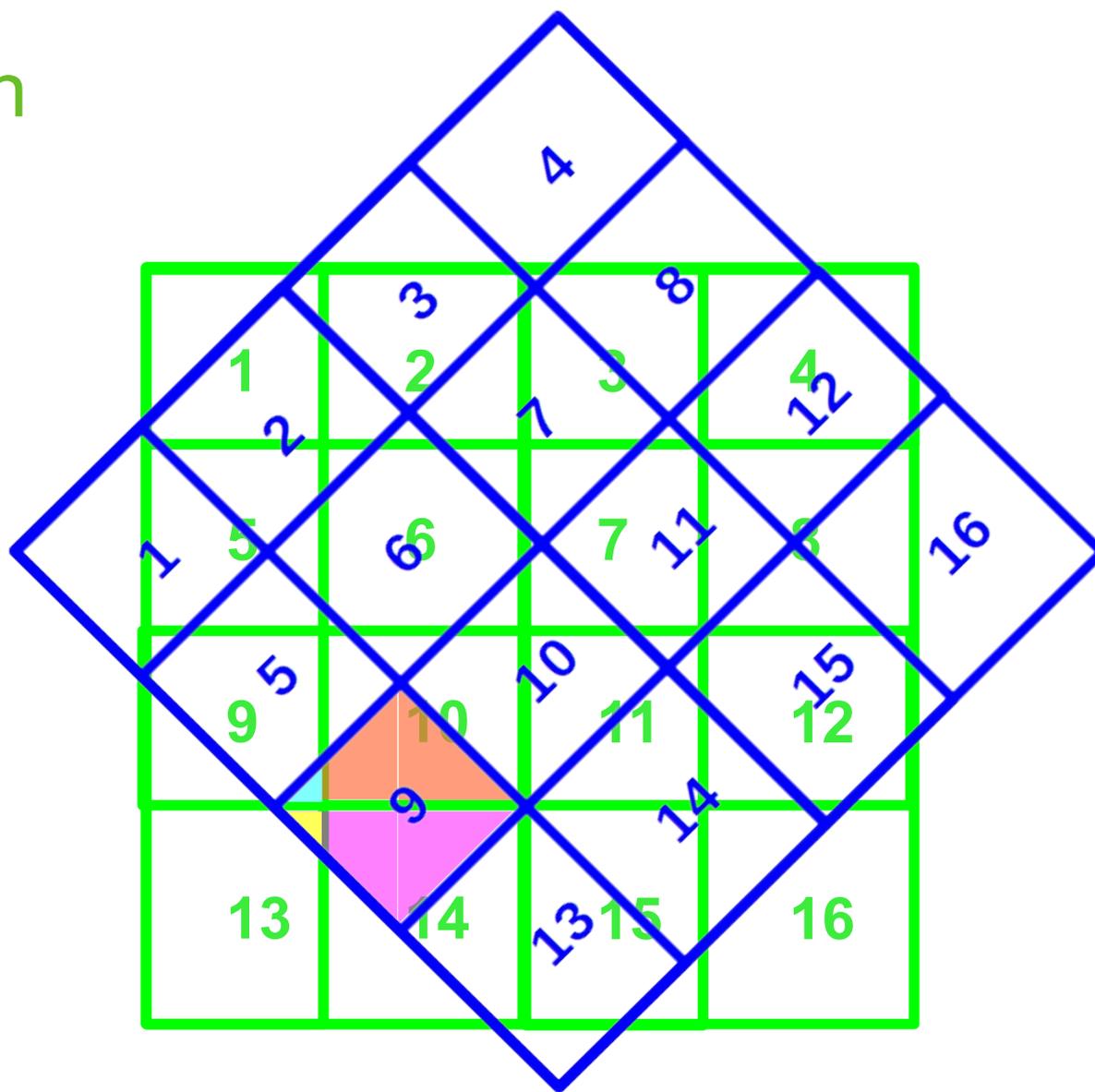
# Rotation



Suppose we rotate the image.

The new pixels will be weighted averages of the old pixels.

# Rotation



Suppose we rotate the image.

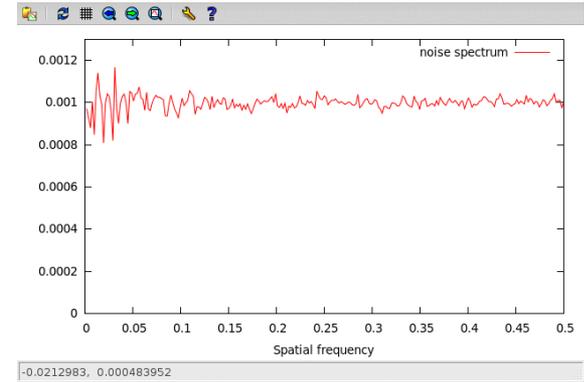
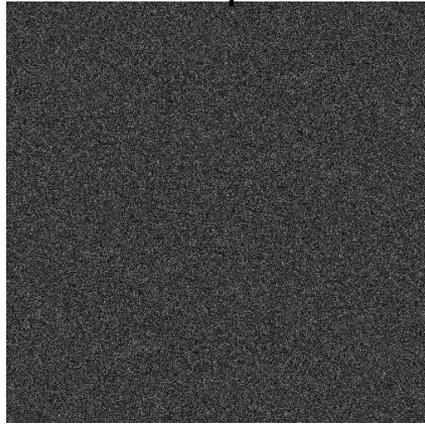
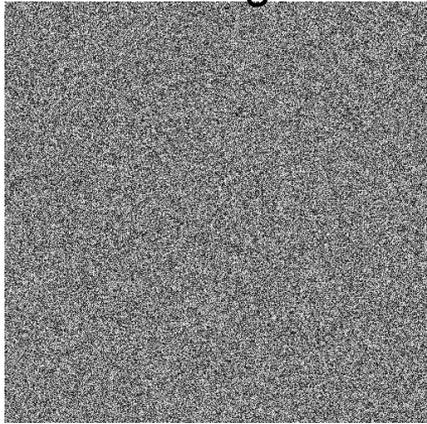
New pixel #9 will be a weighted sum of old pixels 9, 10, 13, and 14.

# Image

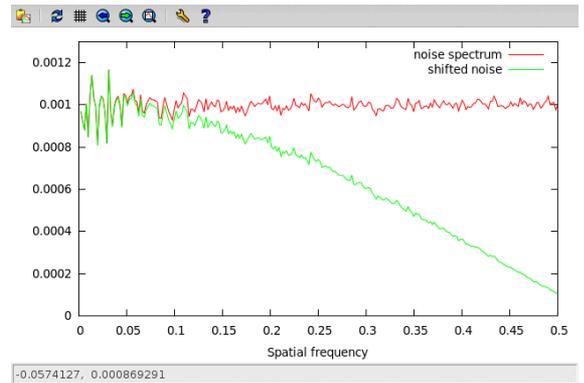
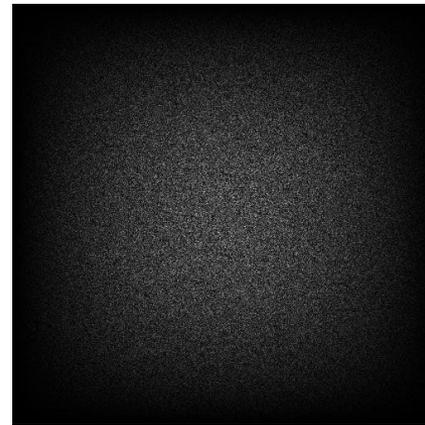
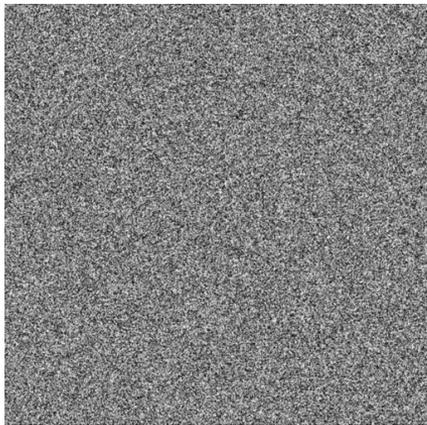
# Power spectrum

# Power spectrum profile

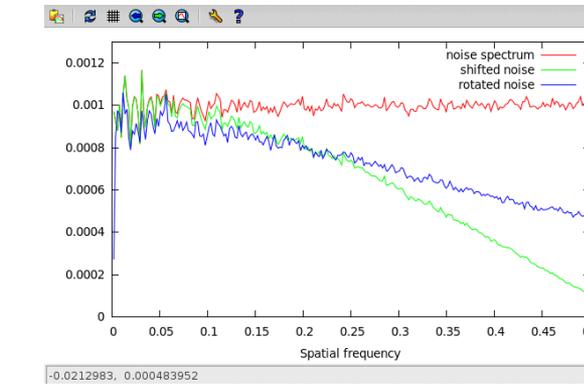
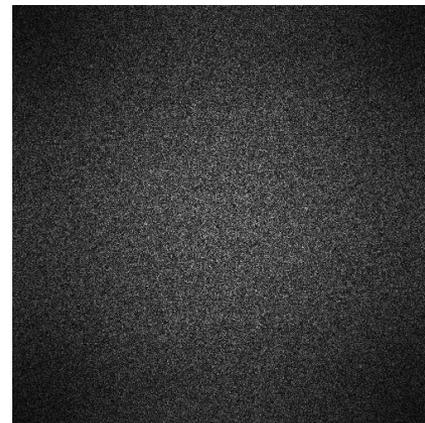
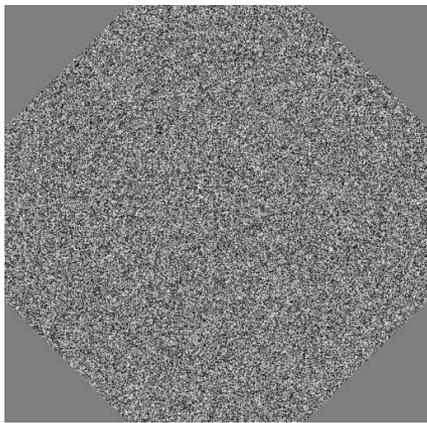
Original



Shifted by (0.5,0.5) px



Rotated by 45°



*The degradation of the images means that we should minimize the number of interpolations.*

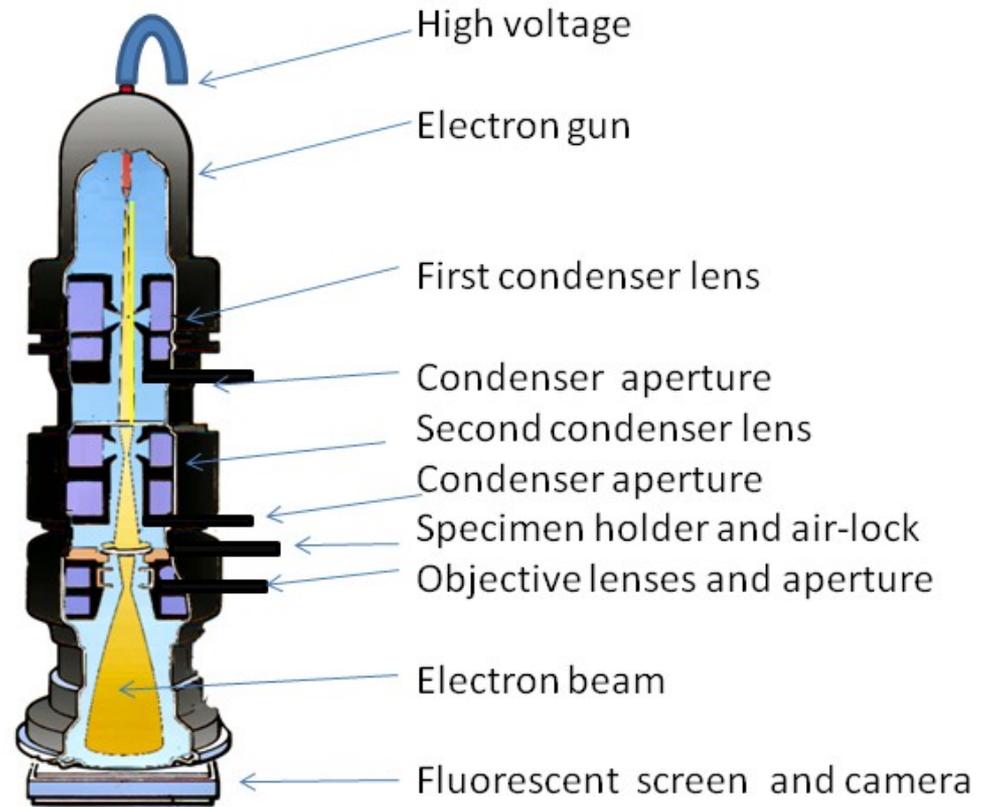
# From two weeks ago...

Typical magnification: 50,000X  
Typical detector element: 15 $\mu$ m  
(pixel size on the camera scale)

Pixel size on the specimen scale:  
 $15 \times 10^{-6} \text{ m/px} / 50000 =$   
 $3.0 \times 10^{-10} \text{ m/px} = \mathbf{3.0 \text{ \AA/px}}$

In other words,  
the best resolution we  
can achieve (or, the  
finest oscillation we  
can detect) at 3.0  $\text{\AA/px}$   
is **6.0  $\text{\AA}$** .

It will be worse due to interpolation,  
so to be safe, a pixel should be 3X  
smaller than your target resolution.

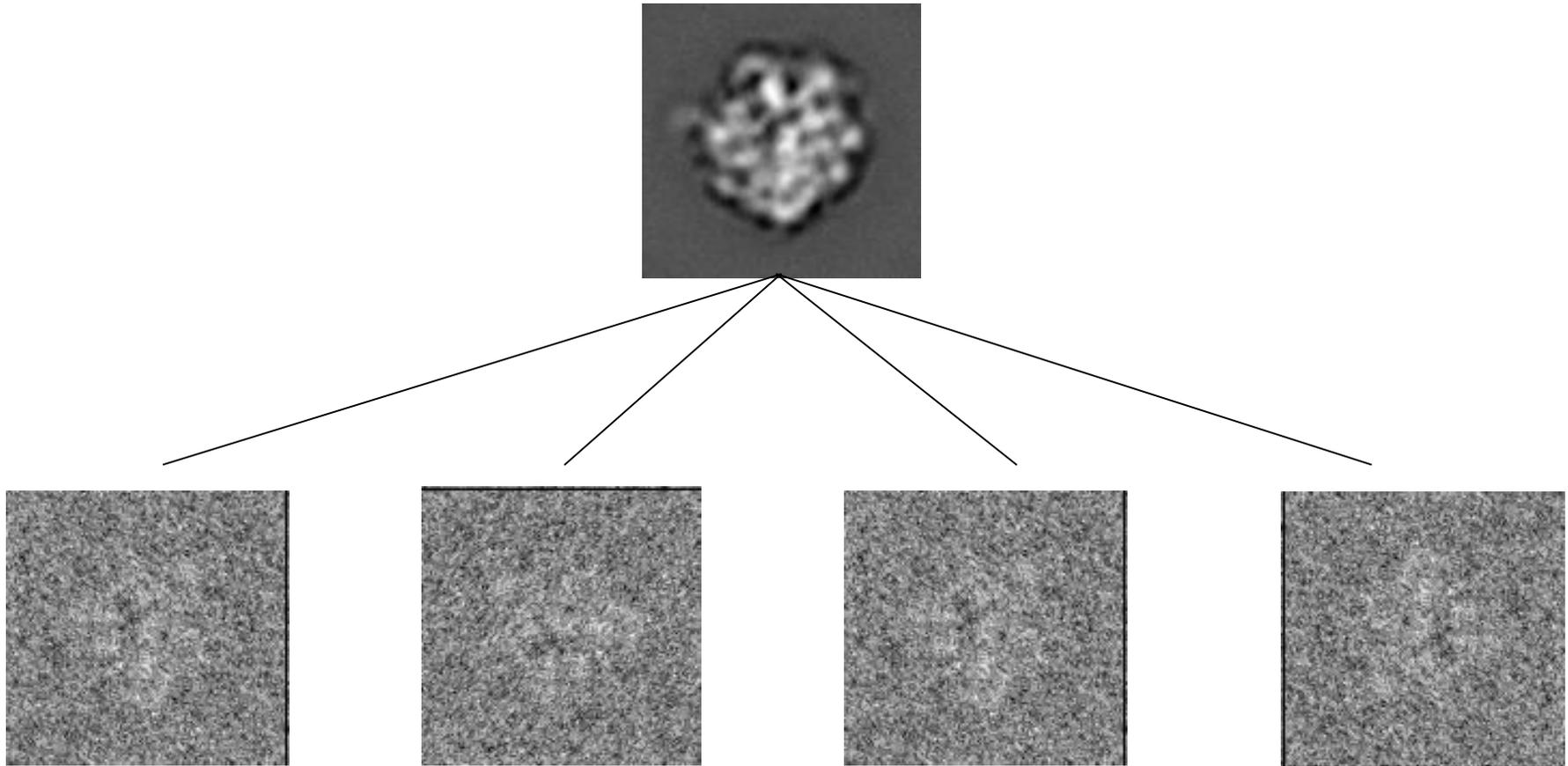


Transmission Electron Microscope

<http://www.en.wikipedia.org>

# *Different alignment strategies*

# Reference-based alignment



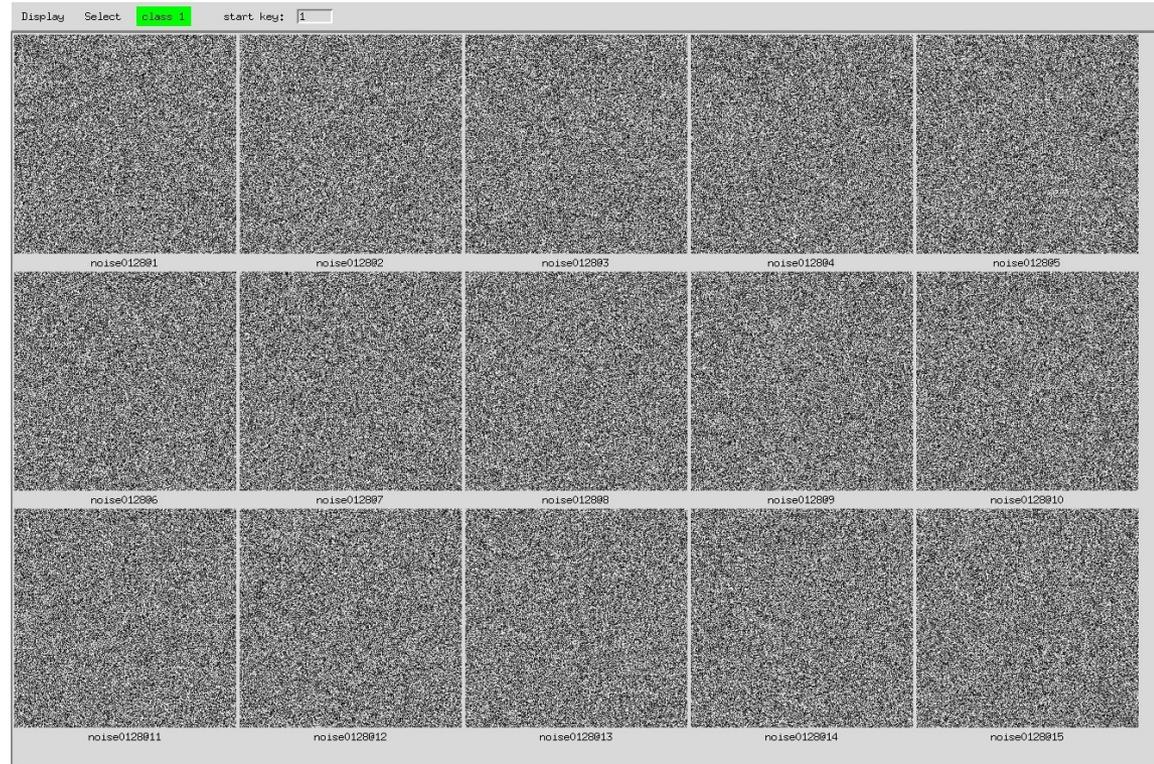
*There's a problem with reference-based alignment:*

*Model bias*

# Model bias

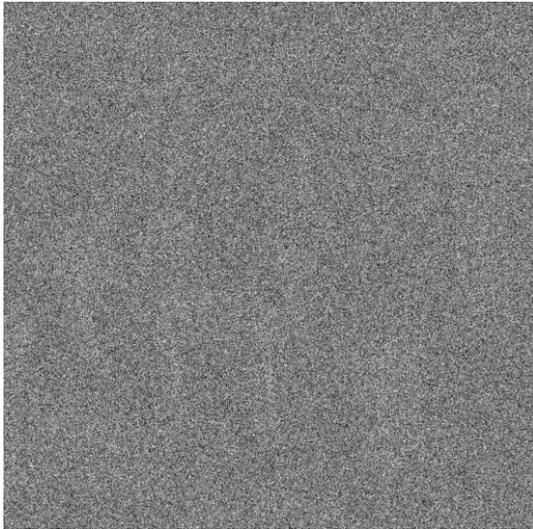


Reference

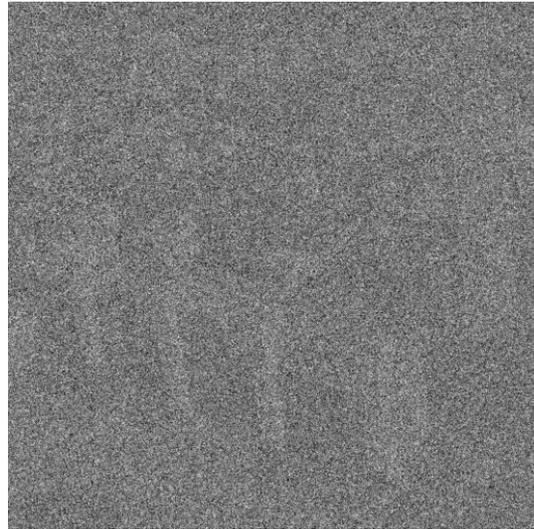


Images of pure noise

# Averages of images of pure noise



N = 128



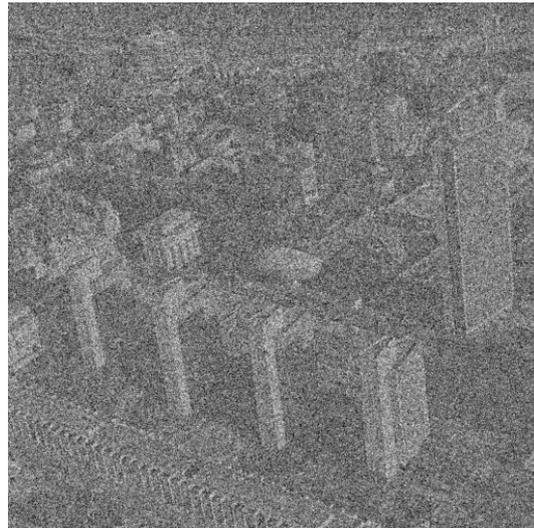
N = 256



N = 512



N = 1024



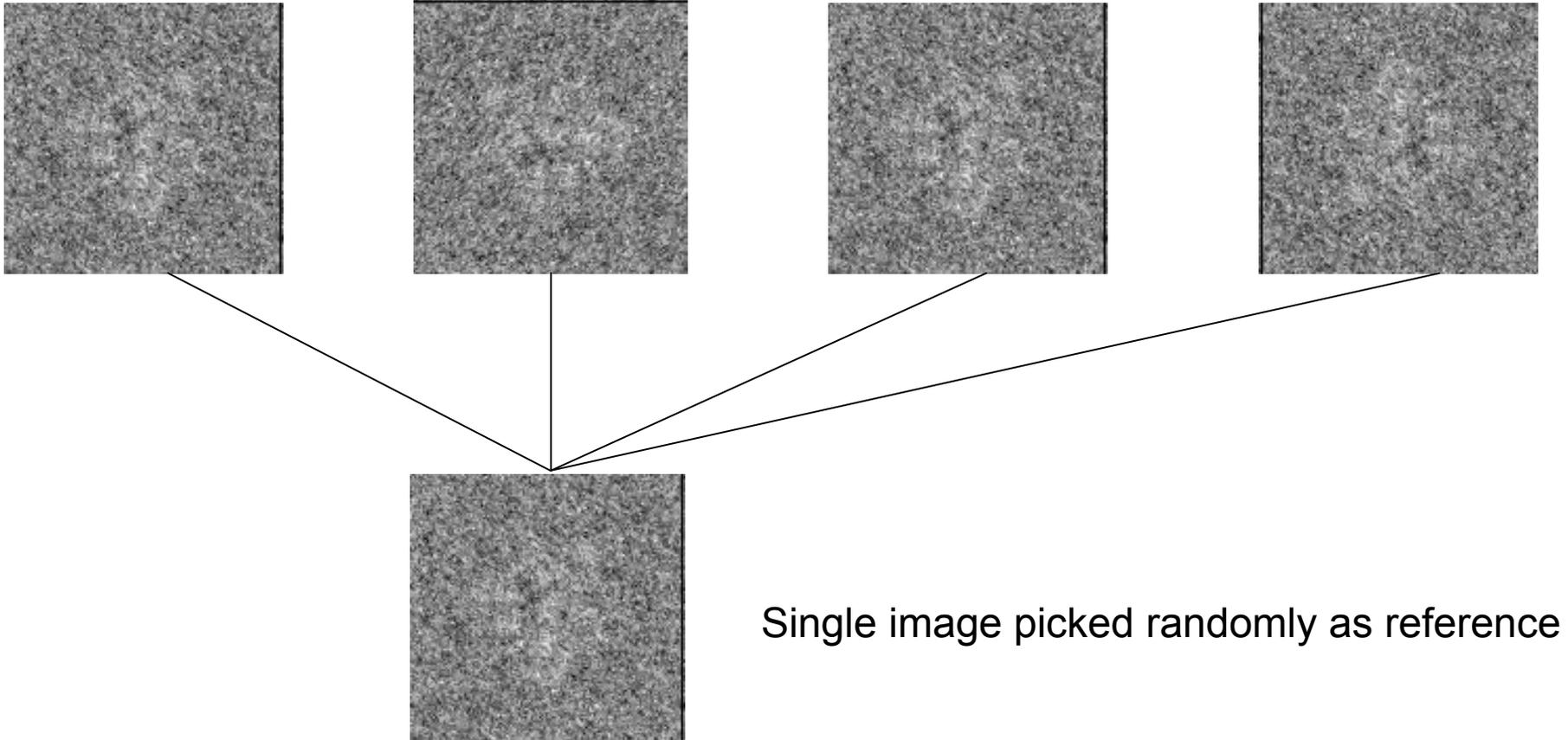
N = 2048



original

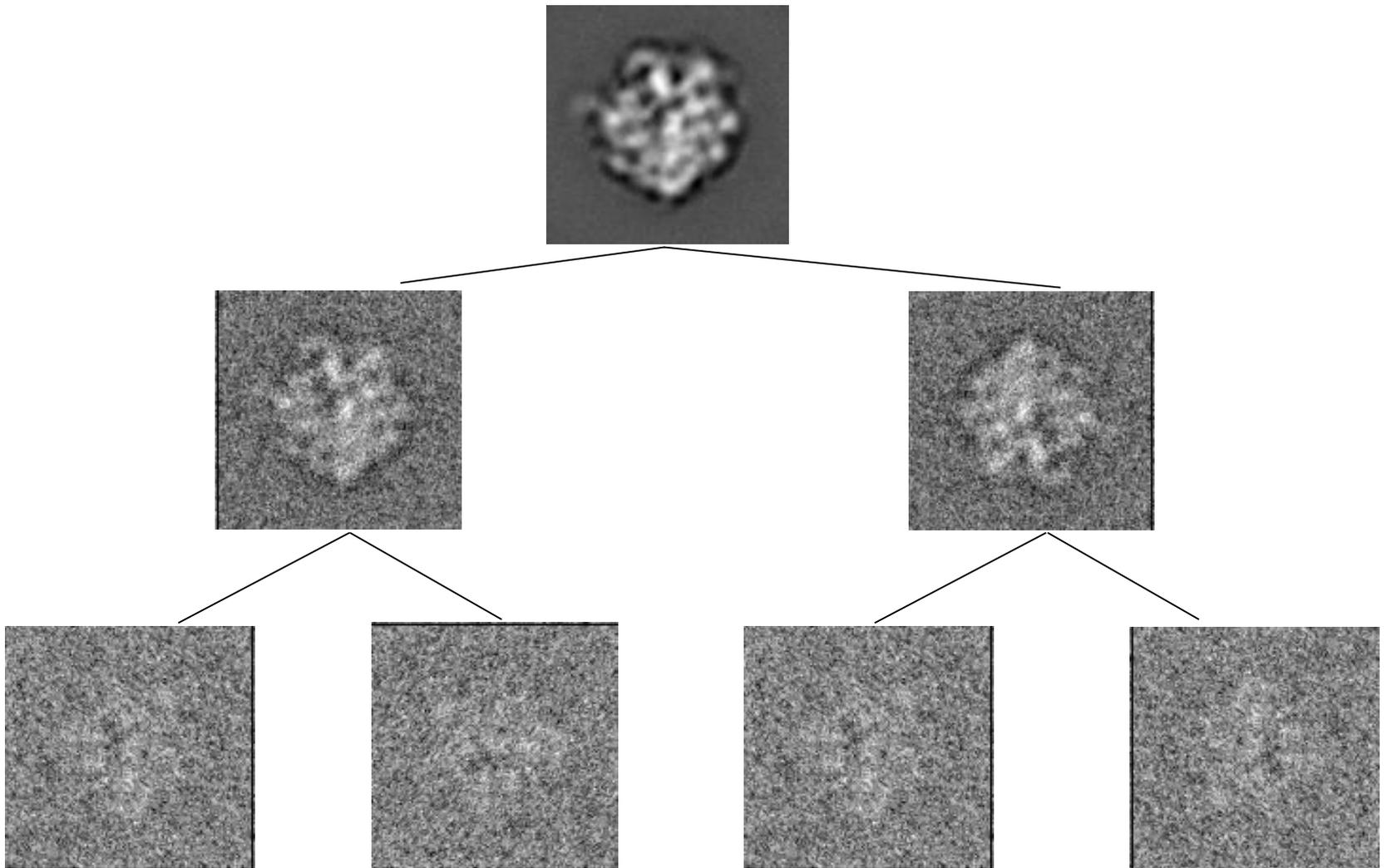
*There are reference-free alignment schemes*

# Reference-free alignment (SPIDER command AP SR)



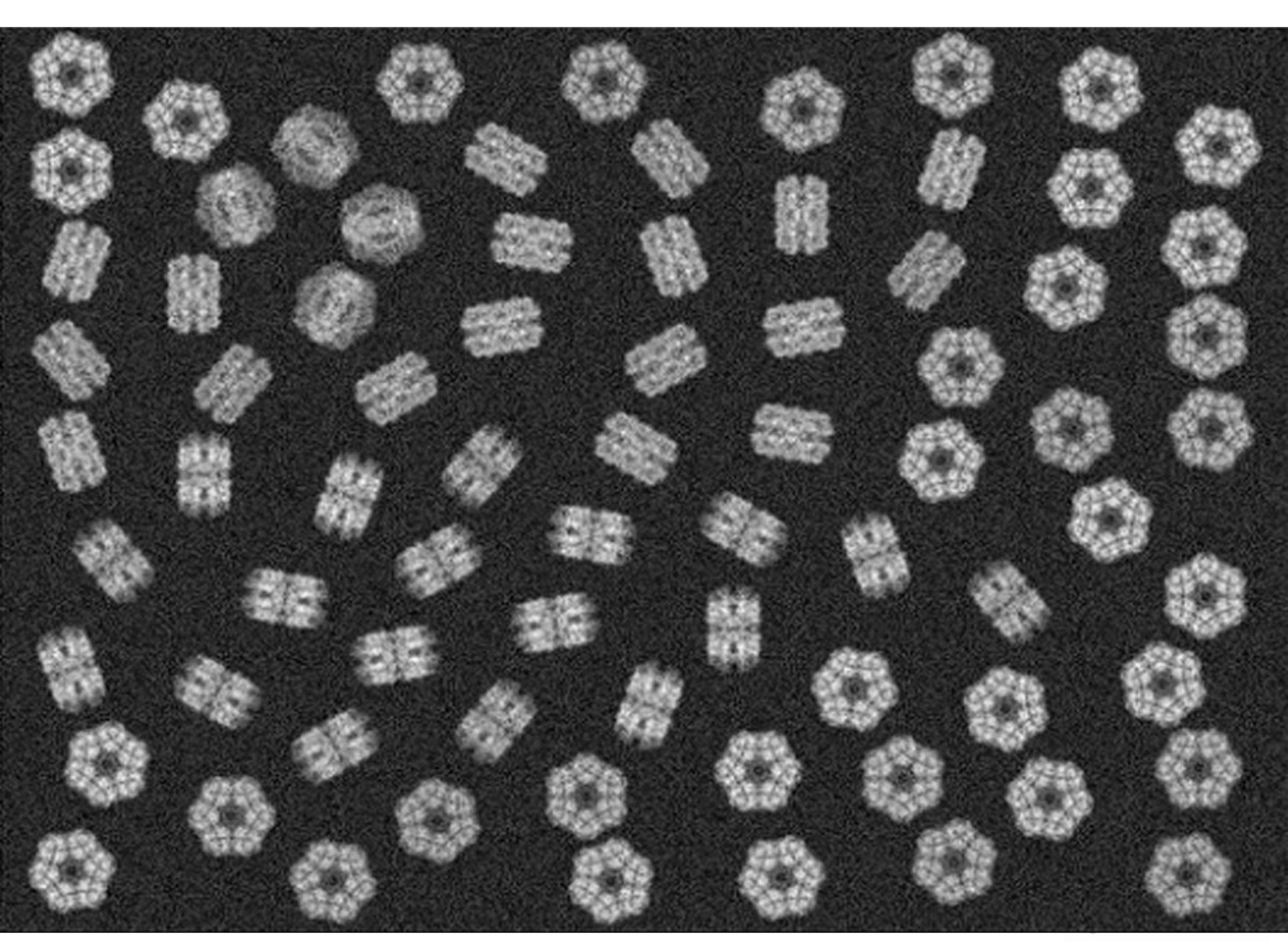
Disadvantage: Alignment depends on the choice of random seed.

# Pyramidal/pairwise alignment



Marco... Carrascosa (1996) Ultramicroscopy

*You have aligned images,  
but they don't all look the same.*

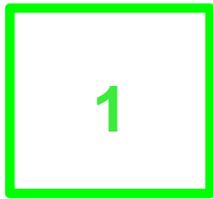


# Outline

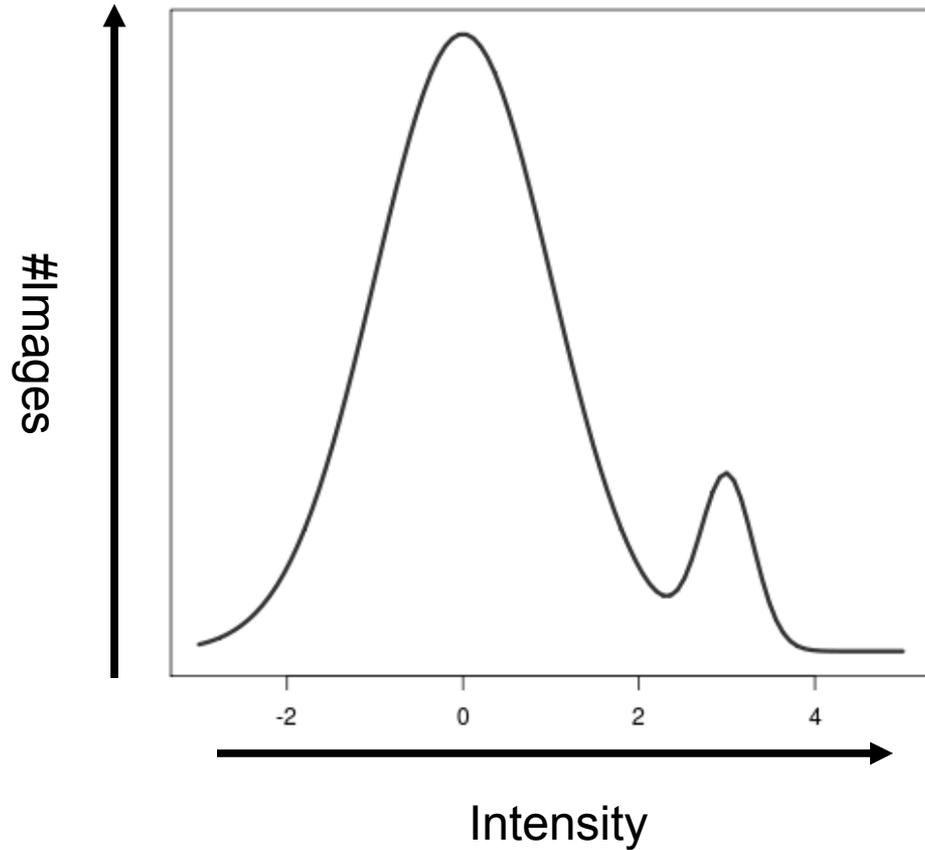
## Image analysis II

- Fourier transforms revisited
  - Ducks and other animals
- Analogy to the Ewald sphere
- Aliasing
- Alignment
- Interpolation
- Multivariate data analysis

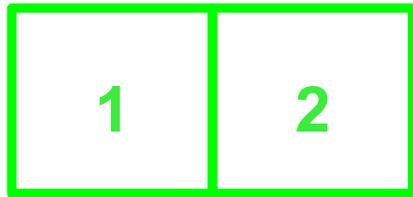
# A one-pixel image



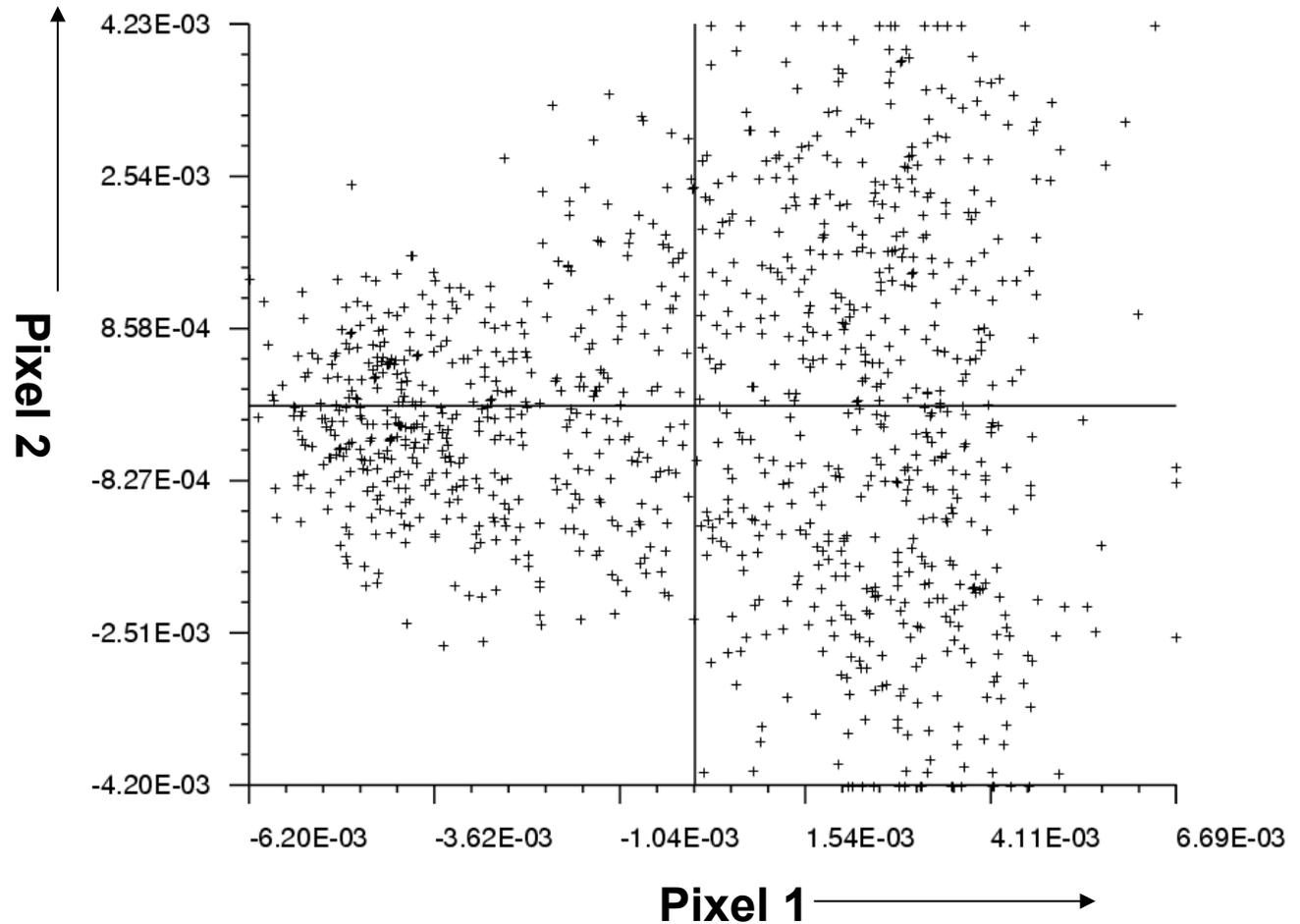
1-pixel image



# A two-pixel image



2-pixel image



# A 16-pixel image

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

Now, we have a 16-dimensional problem.

# Multivariate data analysis (MDA), or Multivariate statistical analysis (MSA)

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

Suppose pixel 6 coincided with pixel 11,  
And pixel 7 coincided with pixel 10.  
Then, we're back to two variables, and a 2D problem.

# Multivariate data analysis (MDA), or Multivariate statistical analysis (MSA)



Our 16-pixel image can be reorganized into a 16-coordinate vector.

Covariance of measurements  $x$  and  $y$ :

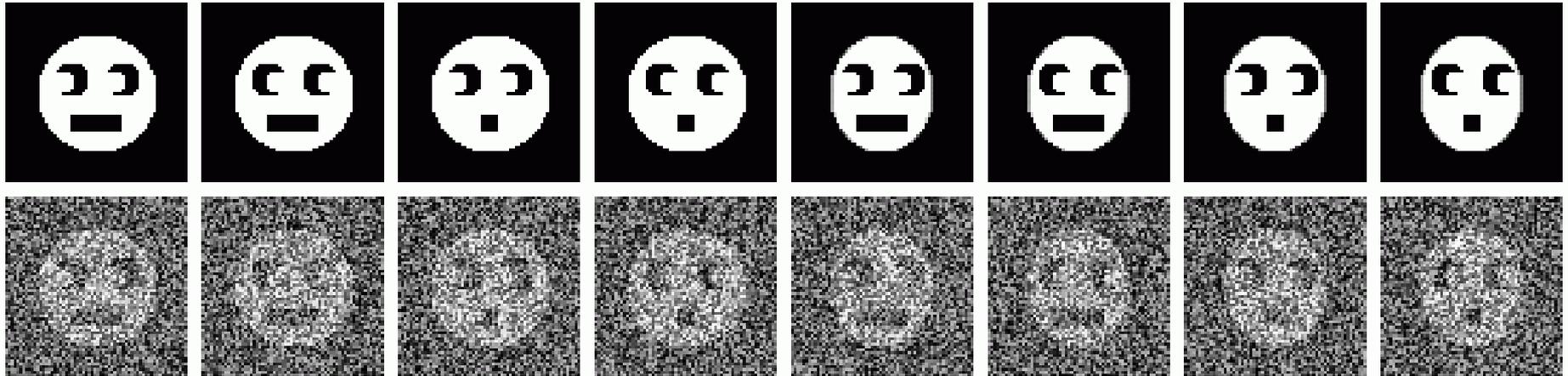
$$\langle xy \rangle - \langle x \rangle \langle y \rangle,$$

where  $\langle x \rangle$  is the mean of  $x$ .

A high covariance is a measure of the correlation between two variables.

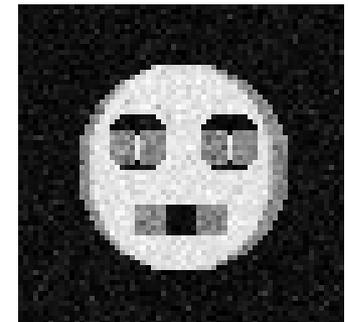
# MDA: An example

8 classes of faces, 64x64 pixels



With noise added

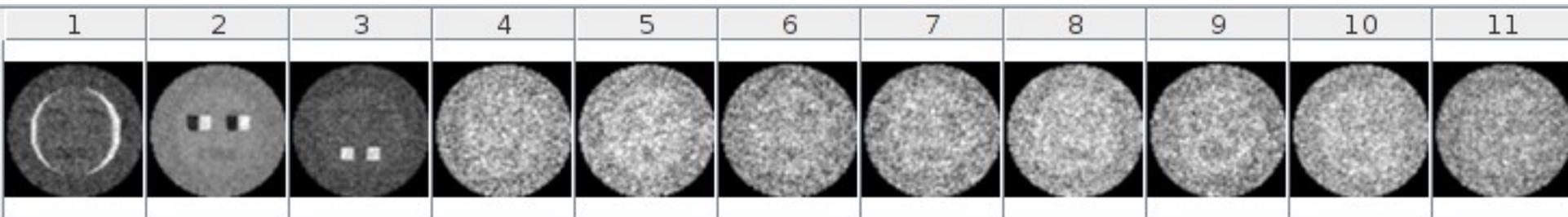
Average:



From [http://spider.wadsworth.org/spider\\_doc/spider/docs/techs/classification/tutorial.html](http://spider.wadsworth.org/spider_doc/spider/docs/techs/classification/tutorial.html)

# Principal component analysis (PCA) or Correspondence analysis (CA)

- ◆ For a 4096-pixel image, we will have a 4096x4096 covariance matrix.
- ◆ Row-reduction of the covariance matrix gives us “eigenvectors.”
  - The eigenvectors describe correlated variations in the data.
  - The eigenvectors have 4096 elements and can be converted back into images, called “eigenimages.”
  - The first eigenvectors will account for the most variation. The later eigenvectors may only describe noise.
  - Linear combinations of these images will give us approximations of the classes that make up the data.

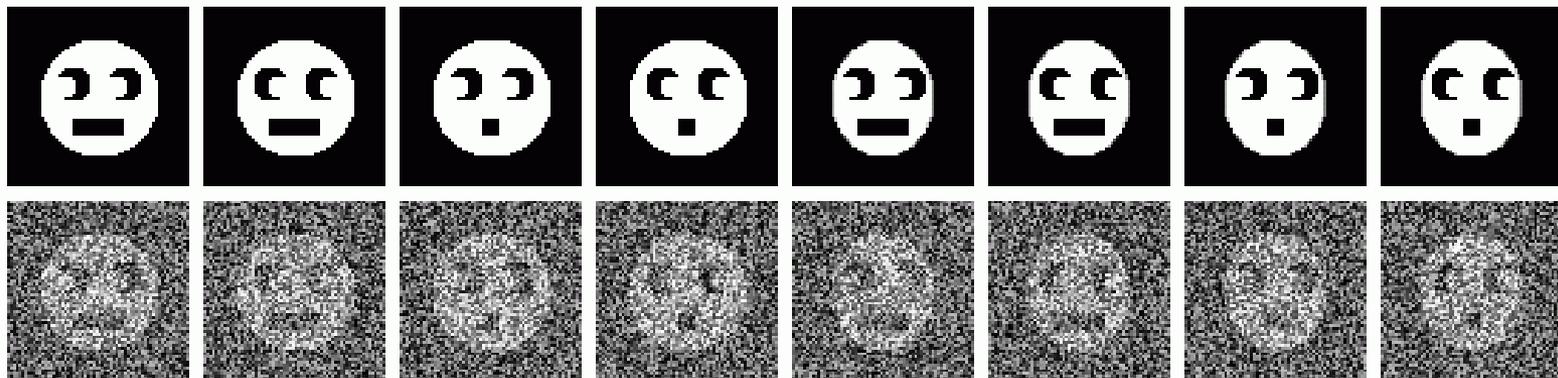


Eigenimages

# Reconstituted images

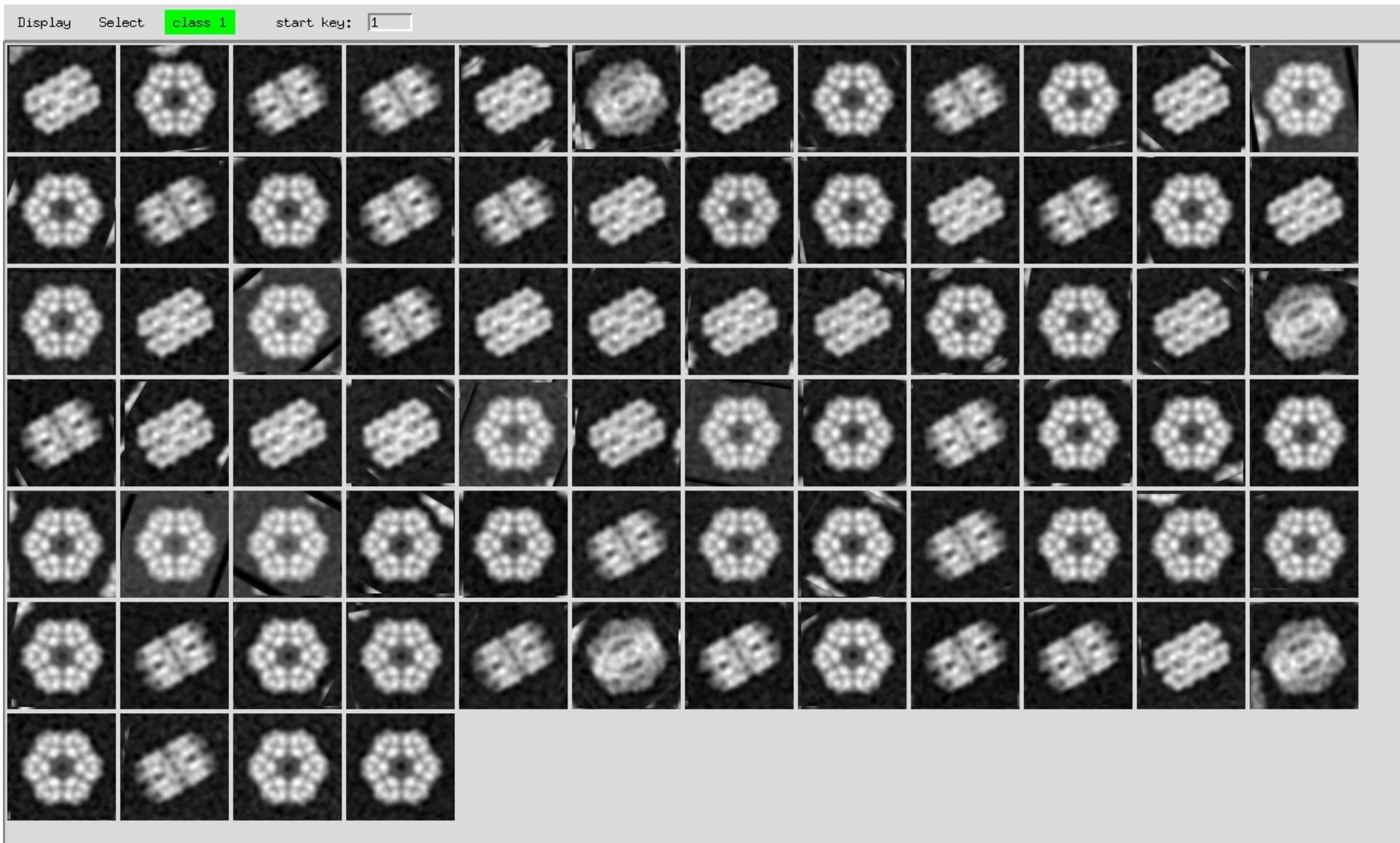
Linear combinations of these images will give us approximations of the classes that make up the data.

$$c_0 \begin{array}{c} \text{Average} \\ \text{Eigenimage \#1} \\ \text{Eigenimage \#2} \\ \text{Eigenimage \#3} \end{array} + c_1 \begin{array}{c} \text{Eigenimage \#1} \\ \text{Eigenimage \#2} \\ \text{Eigenimage \#3} \end{array} + c_2 \begin{array}{c} \text{Eigenimage \#2} \\ \text{Eigenimage \#3} \end{array} + c_3 \begin{array}{c} \text{Eigenimage \#3} \end{array} + \dots$$



A reminder of what our original images looked like

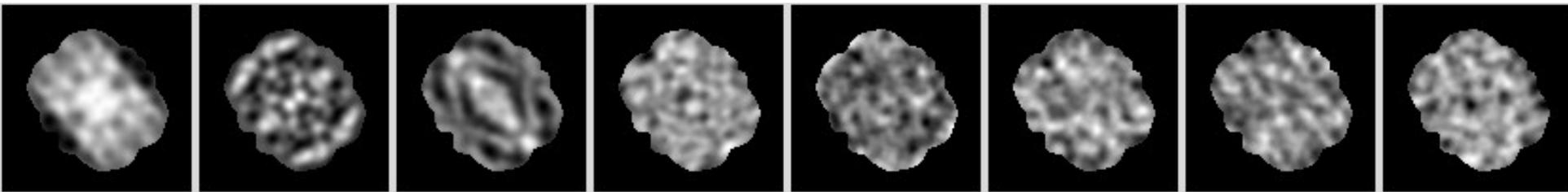
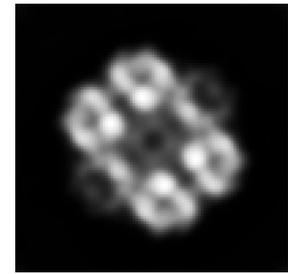
# Another example: worm hemoglobin



Phantom images of worm hemoglobin

# PCA of worm hemoglobin

Average:



stkeigenimg@1 stkeigenimg@2 stkeigenimg@3 stkeigenimg@4 stkeigenimg@5 stkeigenimg@6 stkeigenimg@7 stkeigenimg@8

$+c_0$

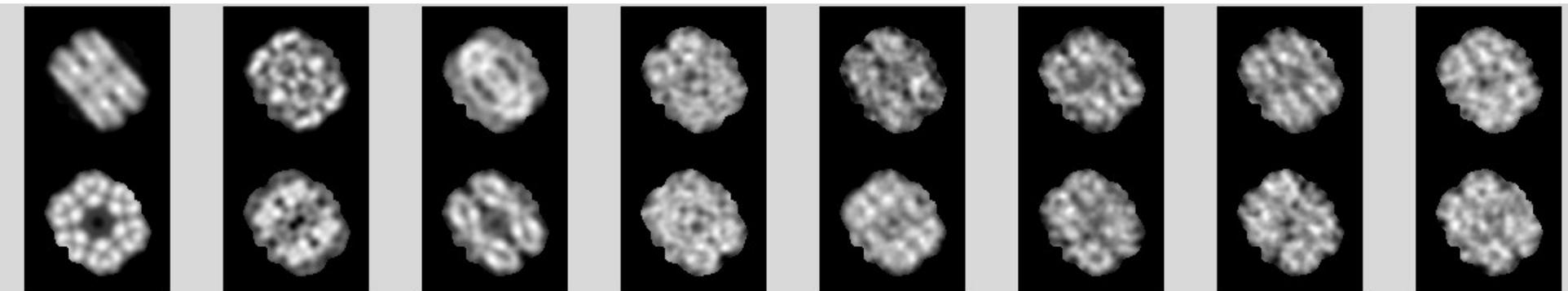
$+c_1$

$+c_2$

$+c_3$

$+c_4$

$+c_5$



stkreconstituted@1 stkreconstituted@2 stkreconstituted@3 stkreconstituted@4 stkreconstituted@5 stkreconstituted@6 stkreconstituted@7 stkreconstituted@8

$-c_0$

$-c_1$

$-c_2$

$-c_3$

$-c_4$

$-c_5$

# Thank you for your attention



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