

Cultivation and Bioprocessing Techniques & Design of Experiments (DoE)

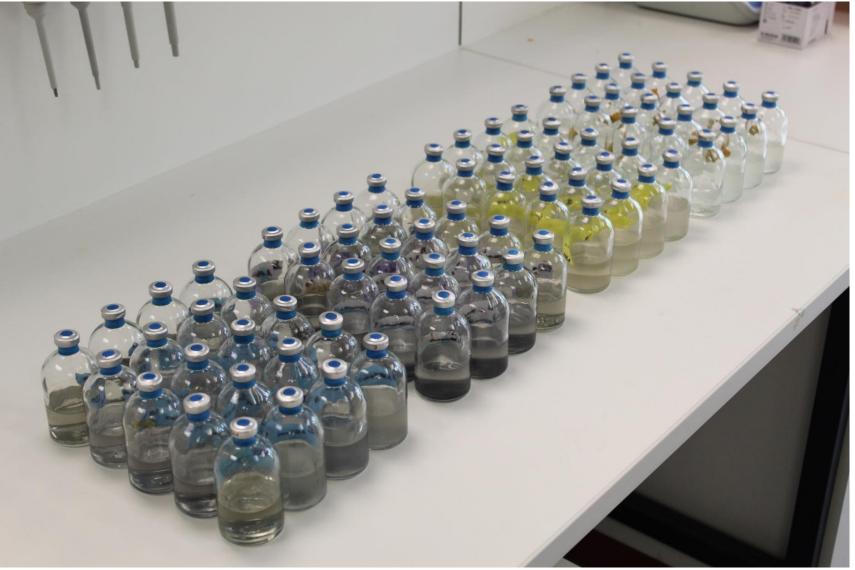
Dr. Simon K.-M. R. Rittmann

Cultivation & Bioprocessing Techniques



- 1. Closed batch (serum bottles, usually anaerobic)
- 2. Batch (e.g. Erlenmeyer flask, uncontrolled conditions)
- 3. Batch (bioreactor, (un)controlled conditions)
- 4. Fed-batch (bioreactor, controlled conditions)
- 5. Continuous culture (bioreactor, controlled conditions)





Closed batch cultivation of *Methanothermobacter marburgensis*, *Methanothermococcus okinawensis*, *Methanocaldococcus villosus* and *Methanosarcina soligelidi* in 120 mL serum bottles.



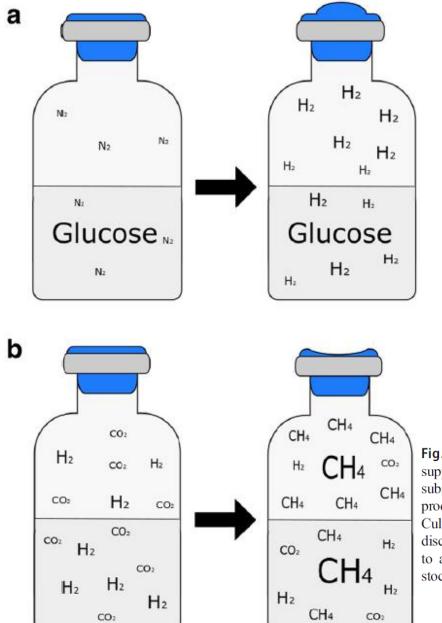
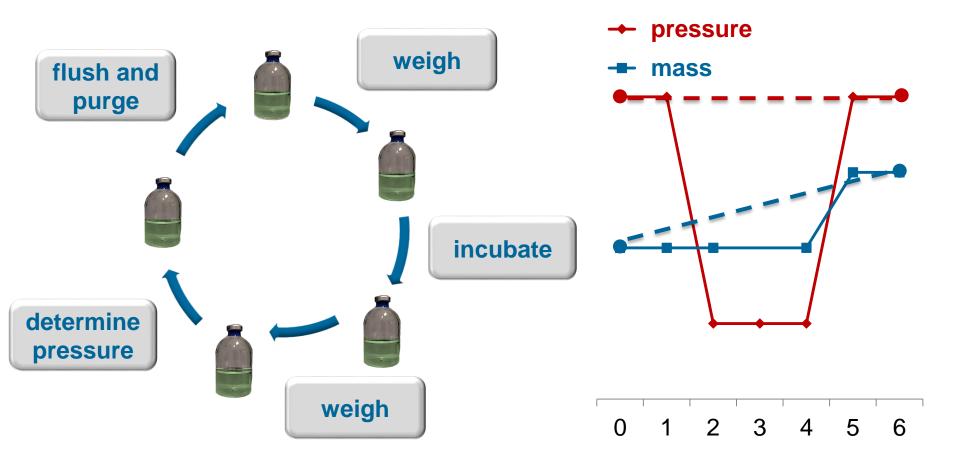


Fig. 4 Anaerobic closed batch cultivation set-up (serum bottle) supplemented with (**a**) a liquid substrate, glucose, and (**b**) a gaseous substrate, H₂/CO₂. **a** Cultivation of a H₂-producing microorganism: H₂ production from glucose leads to a pressure increase in the serum bottle. **b** Cultivation of a methanogenic archaeon: closed batch cultivation with discontinuous H₂/CO₂ gassing. The conversion of H₂/CO₂ to CH₄ leads to a pressure drop in the cultivation device due to the following stochiometric formula $(4H_2 + CO_2 \rightarrow CH_4 + 2H_2O)$

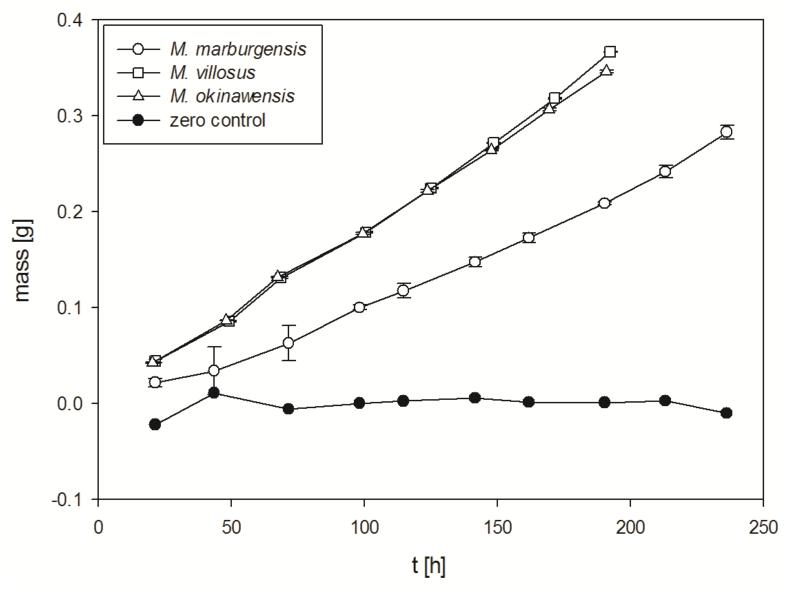
Mauerhofer et al. 2018





$4H_2 + CO_2 \rightarrow CH_4 + 2H_2O$

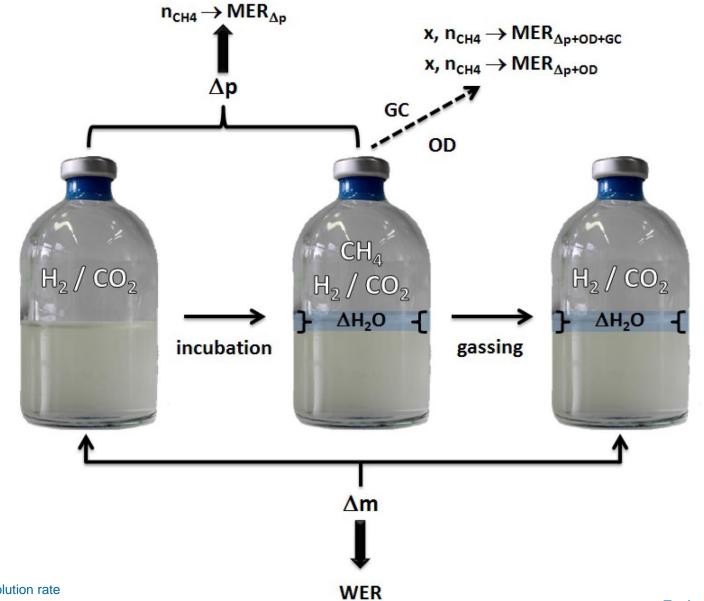




Cummulative H₂O production of *M. marburgensis, M. villosus* and *M. okinawensis* in 120 mL serum bottles. Growth conditions: T = 65, 80 and 60°C, respectively, V = 50 mL, n = 9, 3 and 3, respectively.

Taubner & Rittmann, 2016





Bioprocessing techniques

 V_{R}



cell envelope

0

General mass balance

$$\dot{V}_{In}c_{i,In} - \dot{V}_{Out}c_{i,out} + V_Rr_i = V_R \frac{\partial c_i}{\partial t} + c_i \frac{\partial V_R}{\partial t}$$

$$c_i = \text{concentration of component i}$$

$$V_R = \text{reactor volume}$$

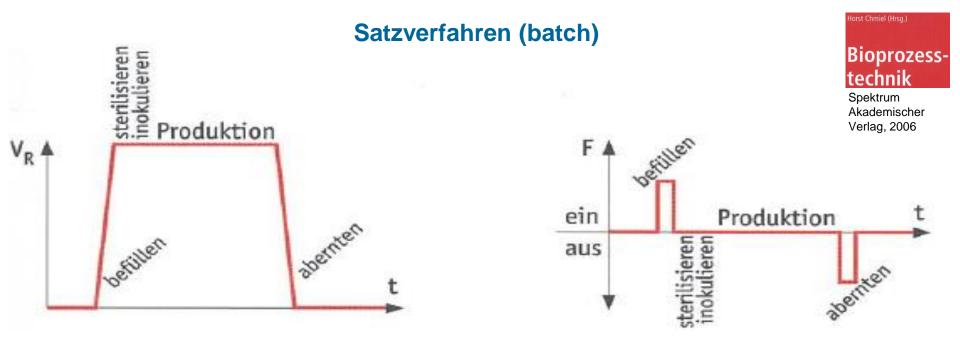
$$\dot{V} = \text{volumetric flowrate}$$

$$r_i = \text{reaction rate of component i} = \text{production - uptake}$$

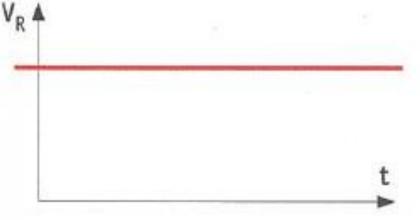
VG. IN Уi, IN

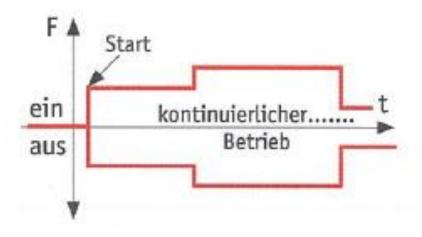
Batch & Fed-batch





Kontinuierliche Kultur (continuous culture)

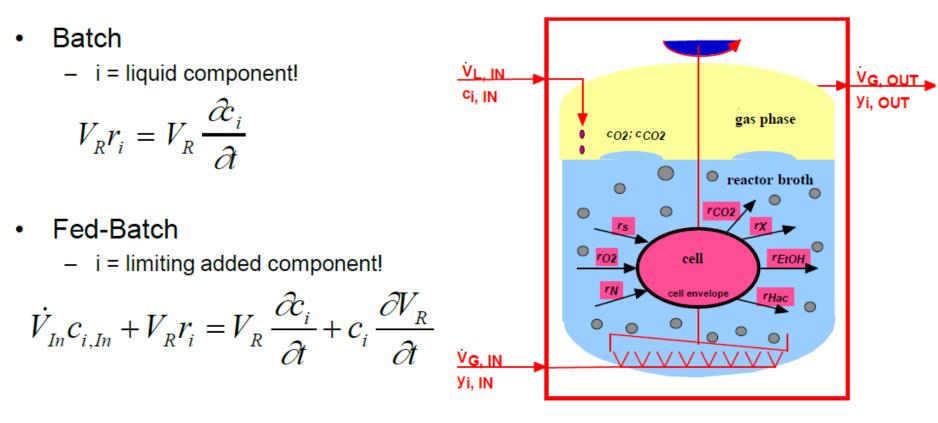




Batch & Fed-batch



Mass balance for batch and fed-batch



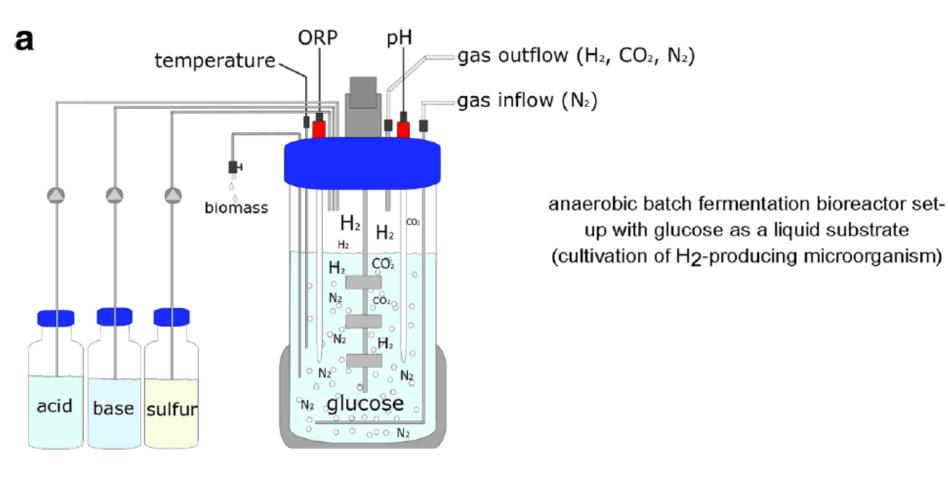
Exponentieller Feed

 $\dot{V}_{In} = \dot{V}_0 \exp(\mu t)$ Beschleunigter Feed (Accelerostat) $\dot{V} = \dot{V}_0 \exp((\mu + \alpha t)t)$

$$V_R(t) = V_{R,0} + \dot{V}_{In}t \text{ und } \dot{V}_{In} = \frac{\partial V_R}{\partial t} = \text{const.}$$

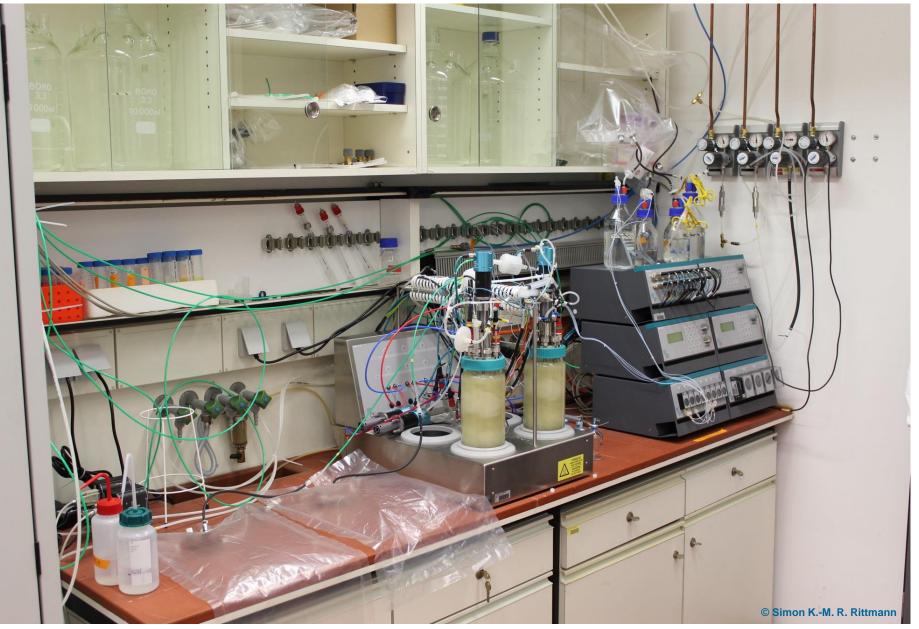
Batch



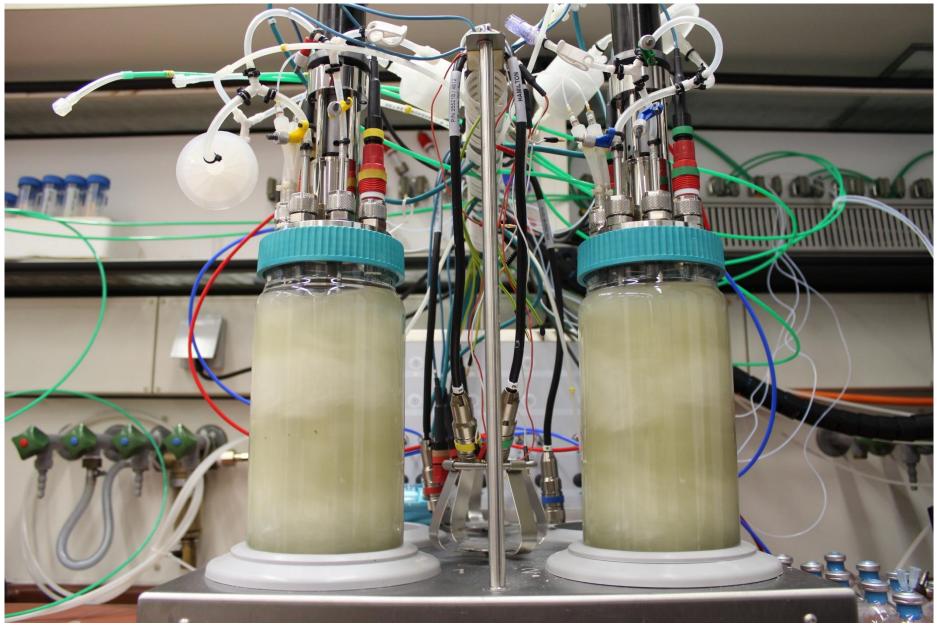


Mauerhofer et al. 2018



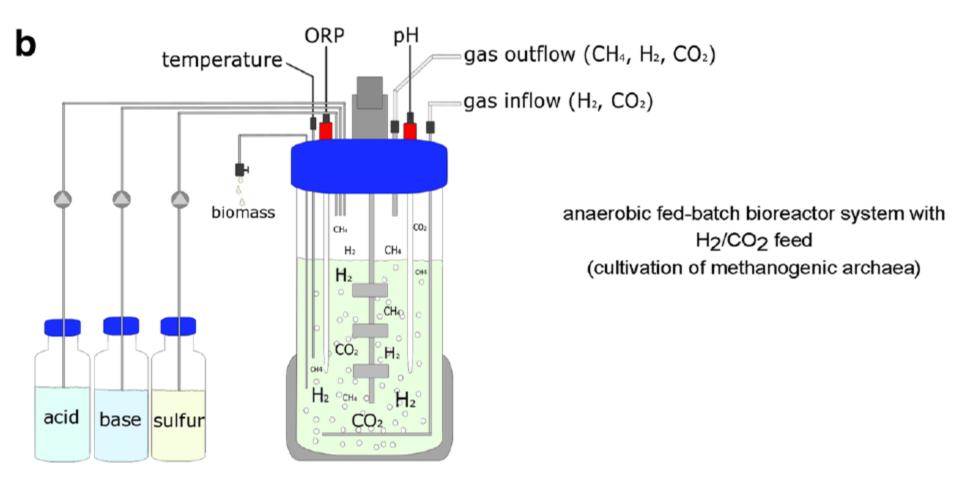




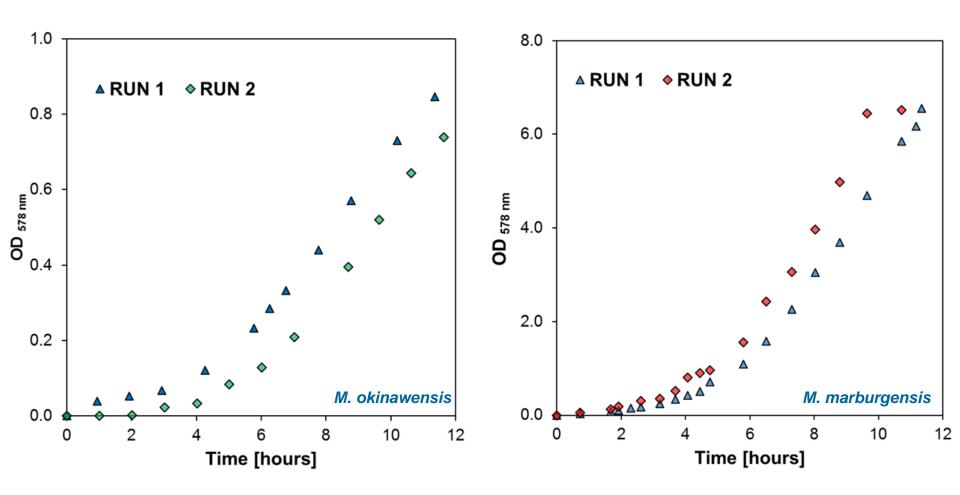


Fed-batch fermentation of *Methanothermobacter marburgensis* in the Eppendorf bioreactor system.



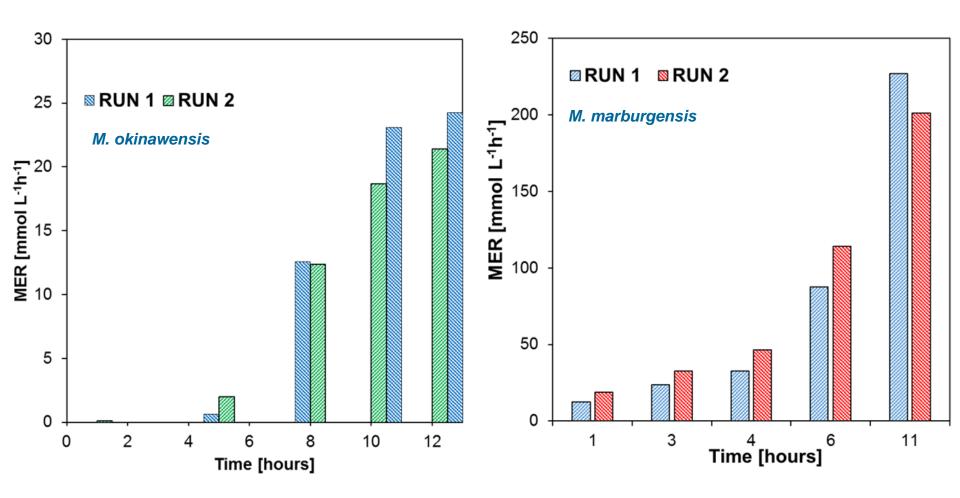






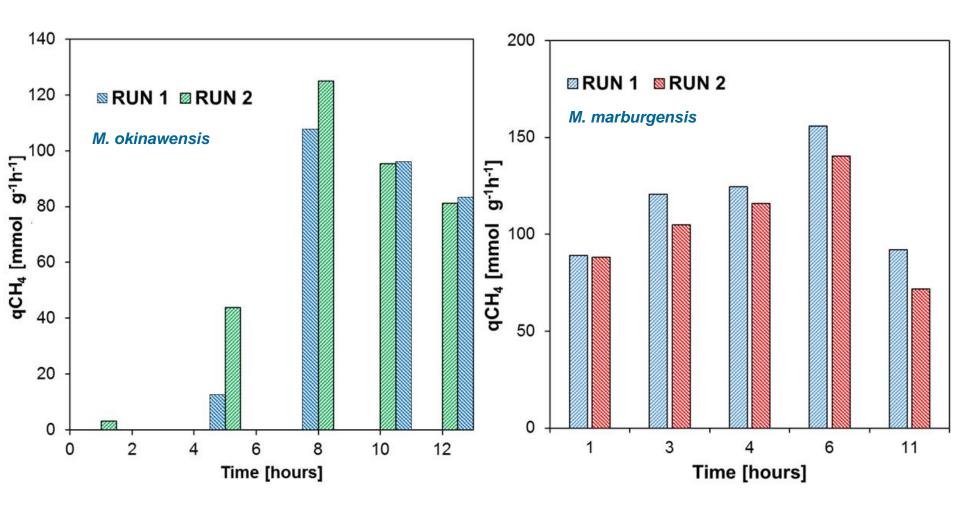
Growth (OD_{578nm}) of *M. okinawensis* (left) and *M. marburgensis* (right) in fed-batch cultivation mode. Run 1 and run 2 are replicates.





Methane evolution rate (MER) of *M. okinawensis* (left) and *M. marburgensis* (right) in fed-batch cultivation mode. Run 1 and run 2 are replicates.

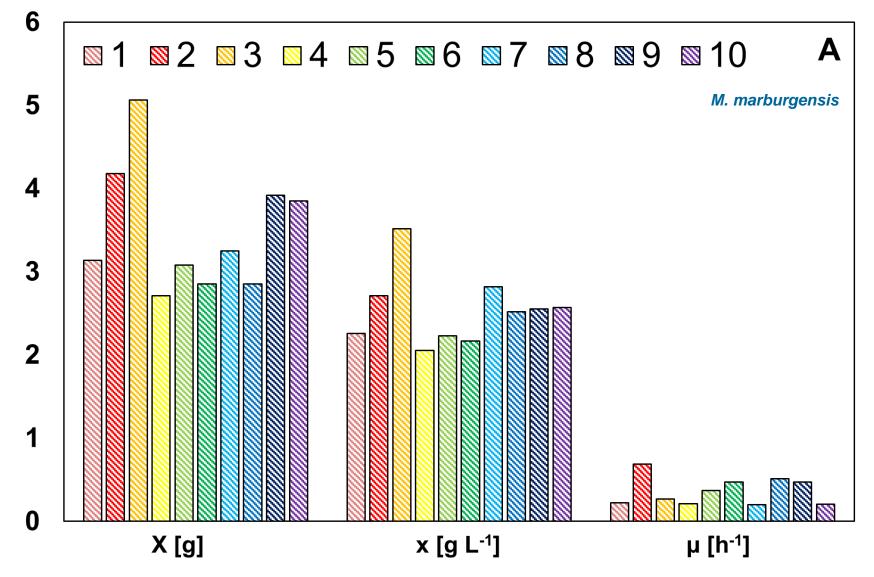




Specific methane evolution rate (qCH₄) of *M. okinawensis* (left) and *M. marburgensis* (right) in fed-batch cultivation mode. Run 1 and run 2 are replicates.

Exponential fed-batch

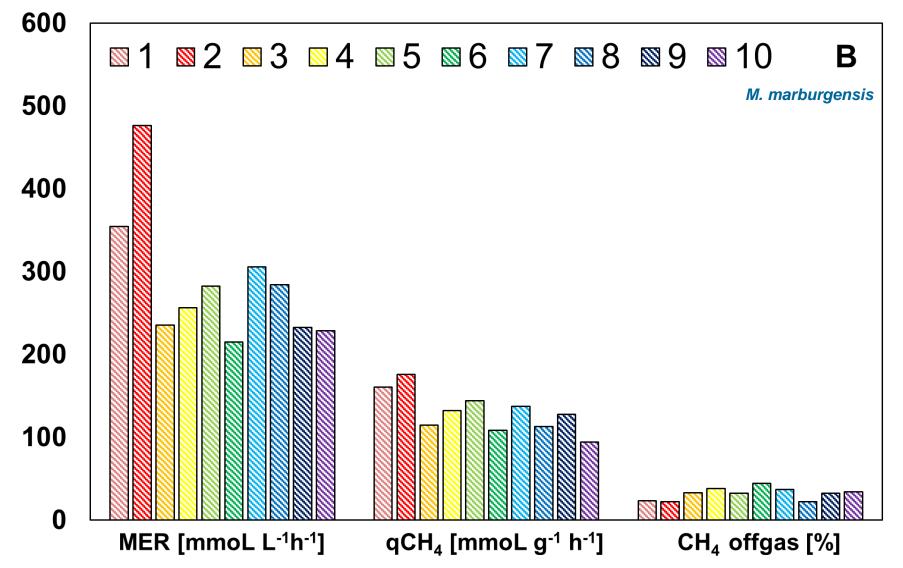




Results from the exponential fed-batch cultivation using *M. marburgensis.* For each run (colour legend) are presented the values of X, x, μ on the x-axis. Run 3 (orange bar) had the highest biomass (X [g]) and biomass concentration (x [g L⁻¹]).

Exponential fed-batch

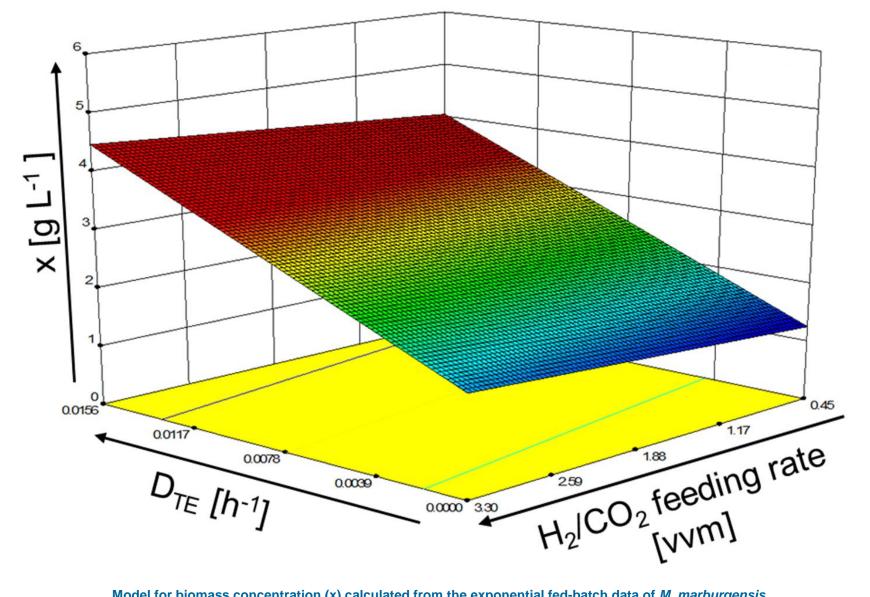




Results from the exponential fed-batch cultivation using *M. marburgensis.* For each run the values MER, qCH_4 , CH_4 offgas are presented on the x-axis. Run 2 (red bar) showed the highest MER and qCH_4 . During run 6 the highest CH₄ off-gas concentration was obtained.

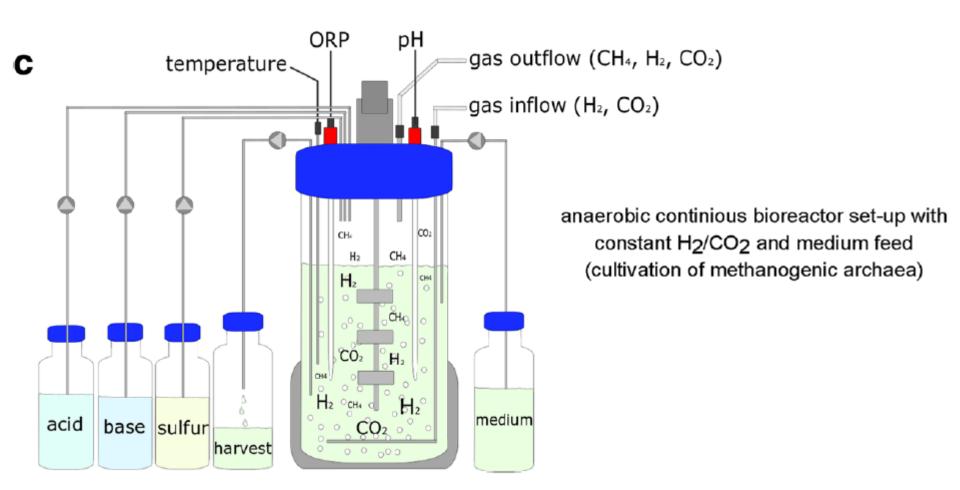
Exponential fed-batch





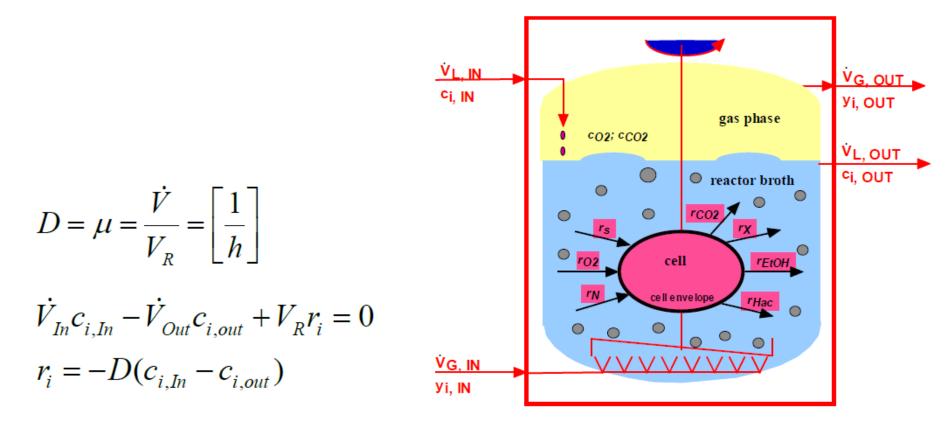
Model for biomass concentration (x) calculated from the exponential fed-batch data of *M. marburgensis*.



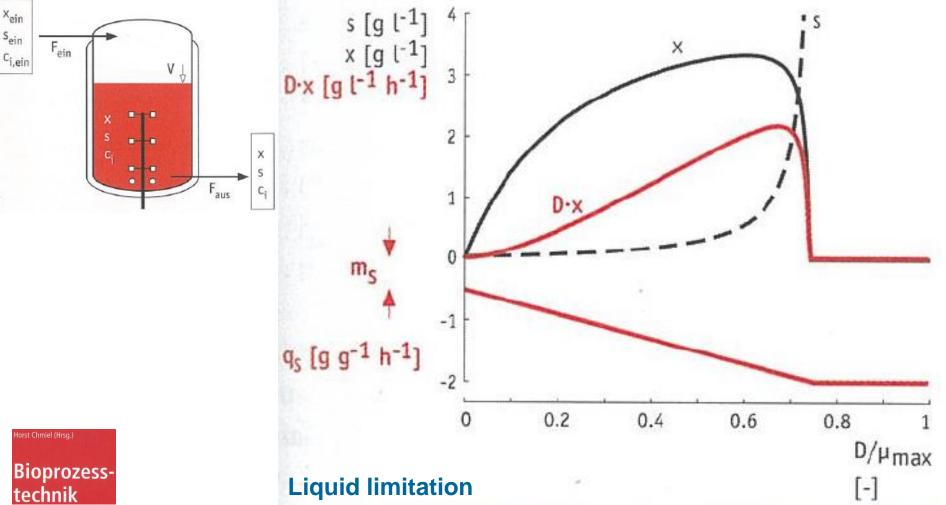




Mass balance for continuous culture

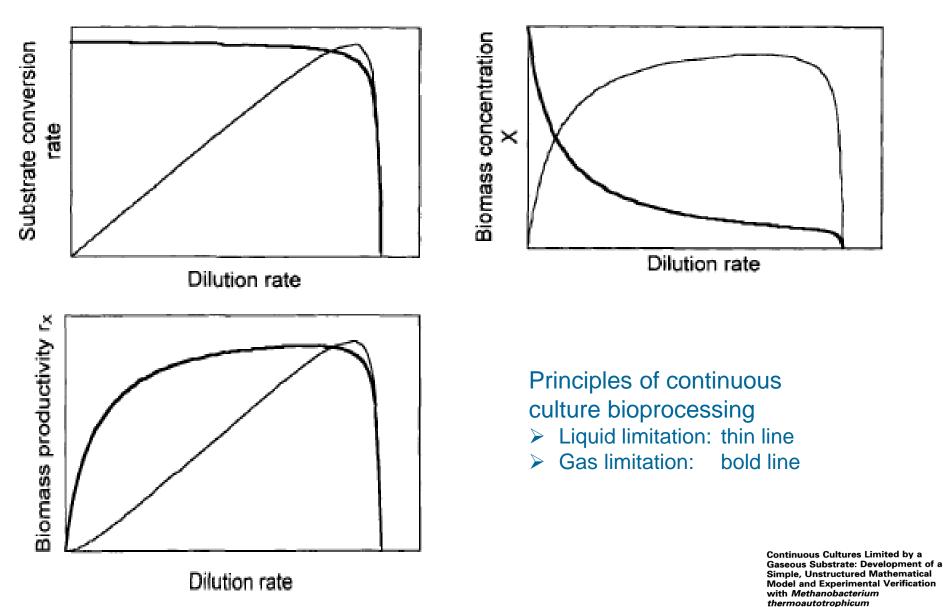






Spektrum Akademischer Verlag, 2006





N. Schill, W. M. van Gulik, D. Voisard, and U. von Stockar* Biotechnology and Bioengineering, Vol. 51, Pp. 645–658 (1996)



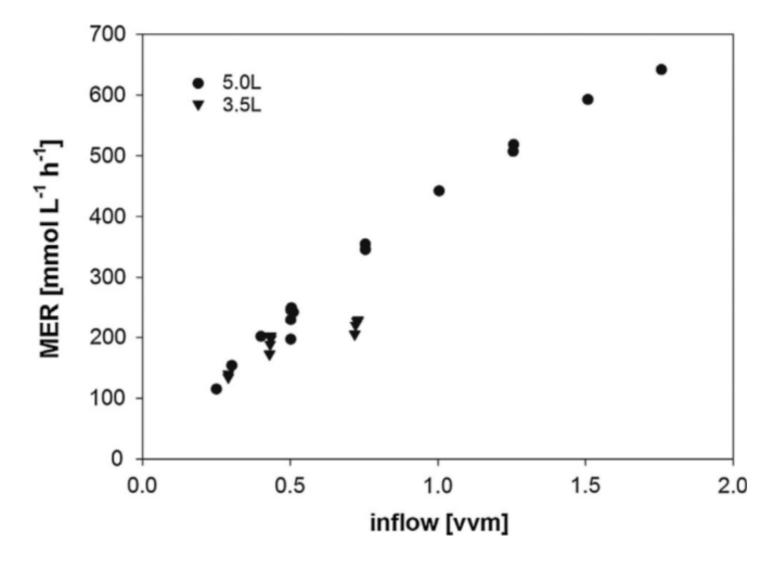
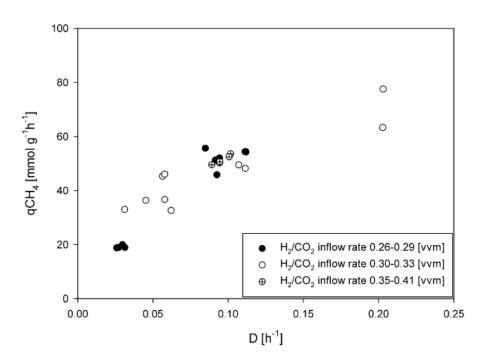


Fig. 6. Increase of MER with increased gasflow at 3.5 L and 5 L culture volume.





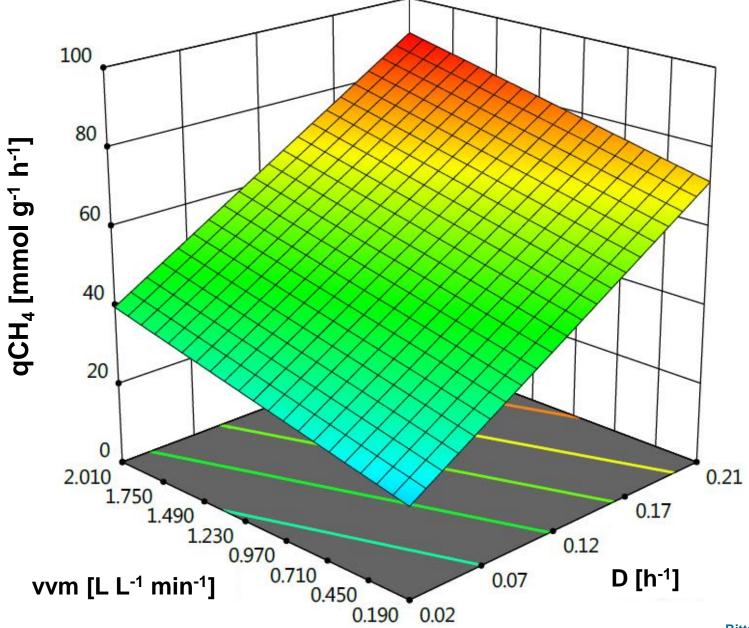
150 0 qH₂O [mmol g⁻¹h⁻¹] 0 0 00 100 50 H2/CO2 inflow rate 0.26-0.29 [vvm] H₂/CO₂ inflow rate 0.30-0.33 [vvm] 0 H₂/CO₂ inflow rate 0.35-0.41 [vvm] Ð 0 0.00 0.05 0.10 0.15 0.20 0.25 $D[h^{-1}]$

200

Fig. 2 – Specific methane productivity is shown as a function of the liquid dilution rate. By increasing the liquid dilution rate the specific methane productivity increased. An elevated H_2/CO_2 gassing rate ambiguously influenced qCH₄.

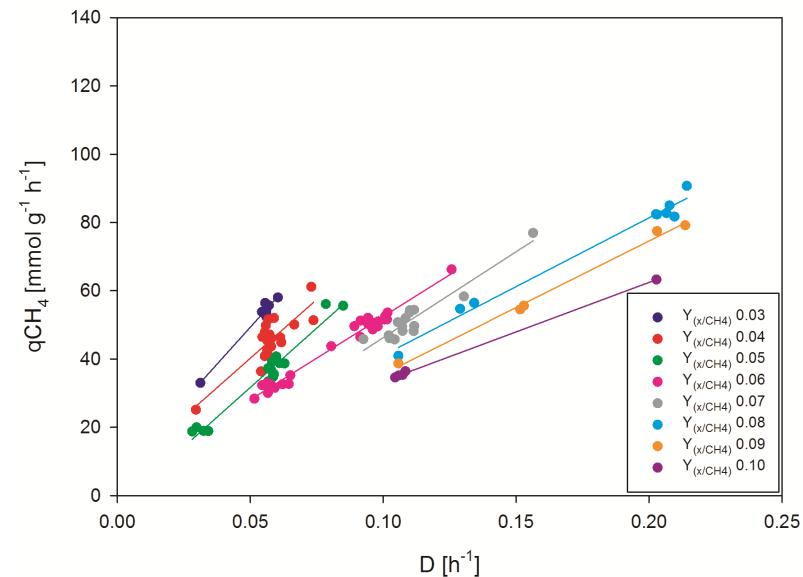
Fig. 5 – Specific water production of *M. marburgensis* is illustrated as a function of the liquid dilution rate. By increasing the liquid dilution rate the specific water production increased. An elucidation of different H_2/CO_2 gassing rates ambiguously influenced specific water productivity.



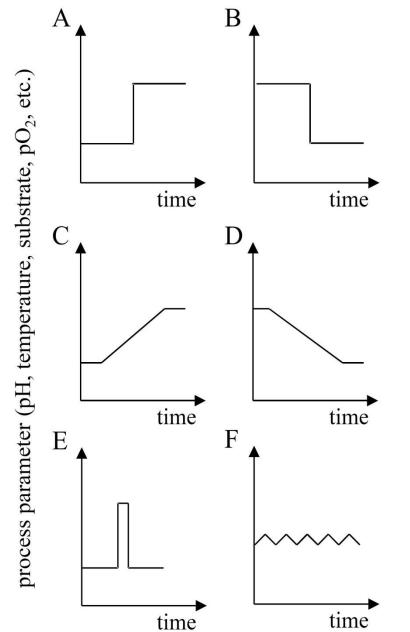


Rittmann et al. 2018









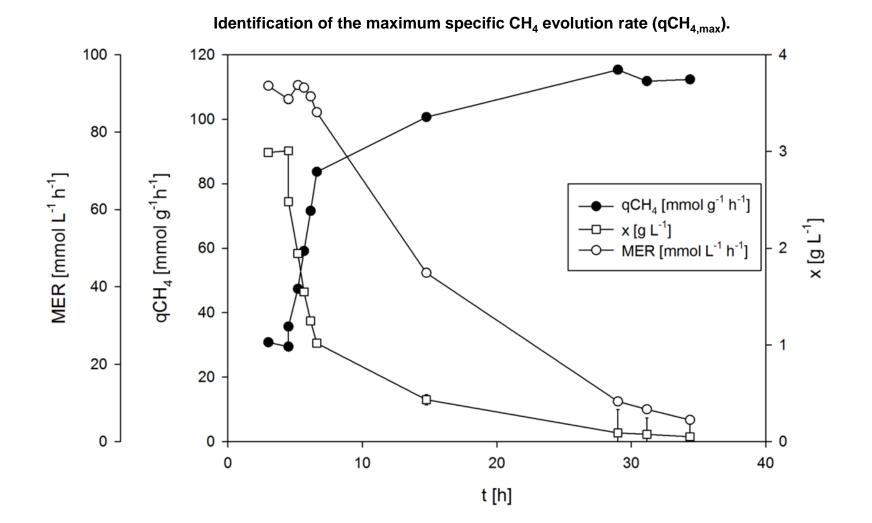
- ➤ A: shift-up
- B: shift-down
- ➤ C: ramp-up
- D: ramp-down
- ➤ E: pulse
- F: oscillation

Figure 1. An overview of dynamic process conditions which can be used in bioprocess development.



Dynamic condition	Modus operandi	Changed condition	Identification/Optimisation of
Shift	rapid change of parameter(s) followed by stable condition(s)		maximum physiological capacity (µ _{max} , q _{s,max}) productivity (q _{p,max}) maintenance energy stress response metabolism morphology changes limitations
Ramp	continuous and slow change of parameter(s)	physical parameters (D, T, rpm, vvm, light intensity, feed profile)	productivity yields growth and production kinetic morphology viability limitations physiological capacity
Pulse	sudden change of parameter(s)	chemical parameters (pH, nutrient concentrations, osmolality) physiological parameters (μ, q _i)	productivity uptake rates yields growth kinetics short time cellular response product and metabolite release unscramble physiological and metabolic changes strain characteristic parameters (q _s , q _p)
Oscillation	controlled short up and down ramp(s) in a defined or changing frequency and/or amplitude		productivity growth kinetics metabolic and physiological optimisation heat and stress response quality improvement metabolite formation Spadiut et al. 2013







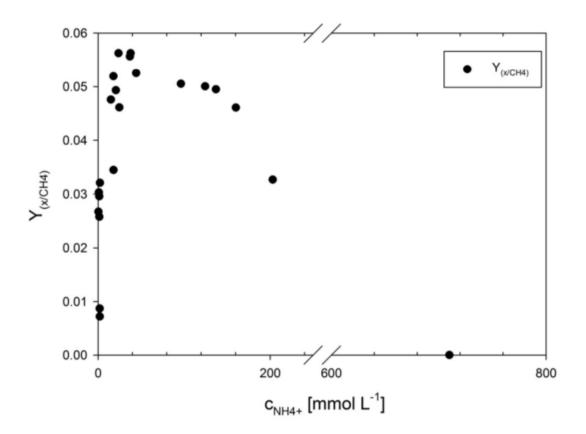


Figure 5. Analysis of $Y_{(x/CH4)}$ as function of the C_{NH4+} from dynamic experiments and chemostat cultures at fixed DM. The results indicate that a window of operation for a high $Y_{(x/CH4)}$ can be achieved if the C_{NH4+} is set to values between 30 and 60 mmol L^{-1} . C_{NH4+} lower than 30 mmol L^{-1} and higher than 60 mmol L^{-1} clearly reduces $Y_{(x/CH4)}$.



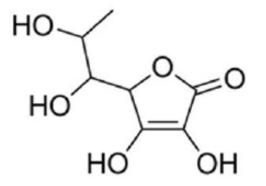
Reducing agent	Concentration in media	ORP (mV)	Reference
Na ₂ S·9H ₂ O	0.025-0.05%	- 571	(Bast 2001a; Breznak and Costilow 2007)
Cysteine-HCl	0.025-0.05%	- 340	(Bast 2001a; Breznak and Costilow 2007)
Dithiothreitol	0.01 - 0.05%	- 330	(Cleland 2002; Breznak and Costilow 2007)
FeS (amorphous hydrated)	$4 \ \mu g \ mL^{-1}$	-270	(Brock and Od'ea 1977)
Sodium thioglycolate	0.05-0.1%	- 140	(Bast 2001a; Breznak and Costilow 2007)
Ascorbic acid	0.05-0.1%	+ 58	(Bast 2001a; Breznak and Costilow 2007)
H_2 (PdCl ₂)	Variable	-413	(Breznak and Costilow 2007)
Titanium(III)citrate	1–4 mM	- 480	(Zehnder and Wuhrmann 1976; Jones and Pickard 1980)

 Table 1
 Commonly used

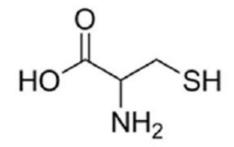
 reducing agents in anaerobic
 microbiology



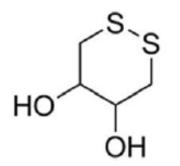
Ascorbic acid



Cystein-HCl



Dithiothreitol



Sodiumthioglycolate

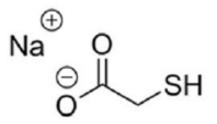


Fig. 2 Chemical structures of selected reducing agents



Table 2 Redox dyes and their corresponding standard ORP values at 30 °C and pH 7.0

	Colour			ORP		
Redox dye	reduced	oxidized	oxidized/ reduced	[mV]	Reference	
Methylene blue				+11	(Bast 2001a; Breznak and Costilow 2007)	
	transparent	blue				
Tolouidine blue				-11	(Breznak and Costilow 2007)	
	blue	pink				
Resorufin				-51	(Bast 2001a; Tratnyek et al. 2001; Breznak and Costilow 2007)	
	violet	pink	transparent			
Indigo disulfonate/ Indigo carmine				-125	(Tratnyek et al. 2001; Breznak and Costilow 2007)	
	yellow	orange	green blue			
Phenosafranine				-252	(Bast 2001a; Tratnyek et al. 2001; Breznak and Costilow 2007)	
	transparent	red				
Titanium(III)citrate				-480	(Zehnder and Wuhrmann 1976; Bast 2001; Collins et al.	
	violet	transparent			2005)	

Mauerhofer et al. 2018

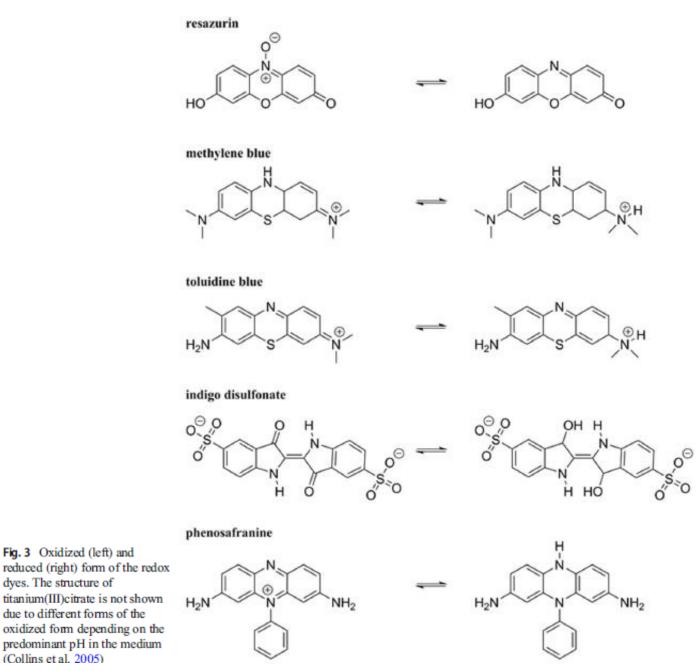
Fig. 3 Oxidized (left) and

due to different forms of the

dyes. The structure of

(Collins et al. 2005)





Mauerhofer et al. 2018

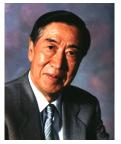
O

DoE was fouded by Ronald Fisher (UK), who basically developed factoral experiments as well as ANalysis Of VAriance

- George Box developed basis for optimisation of DoE designs (Response Surface Modeling (RSM)
 - "To find out what happens if you change something, is necessary to change it."
 - "Esentially all models are wrong, but some are useful"
- Within the DoE concept Gen'ichi Taguchi (Japan) developed a • qualitative approach (Taguchi-Methodology)















Why do we need DoE?

• Which (process/cultivation/environmental) parameters have which influence on which variables (response of an organism)?

 How can we determine with a minimum of experiments which parameters and interactions of parameters are beneficial/detrimental for the cultivation of an organism in an experimental design space?



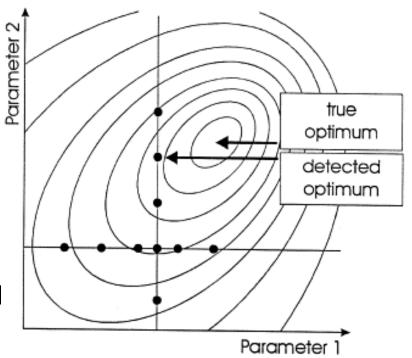
Why do we need DoE?

 Classical way to perform an experiment is to vary one parameter (factor) at a time

 \rightarrow OVAT (one-variable-at-a-time)



- Time consuming
- Interactions
- Maybe the optimum will not be identified



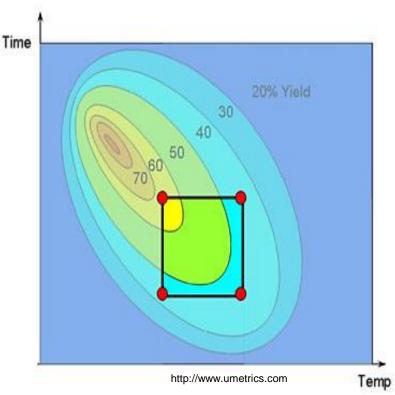


Why do we need DoE?

- Determine parameters (independent variables), which influence responses (dependent variables).
- Optimise cultivation (process)
- Improve growth, product quality, quantity..

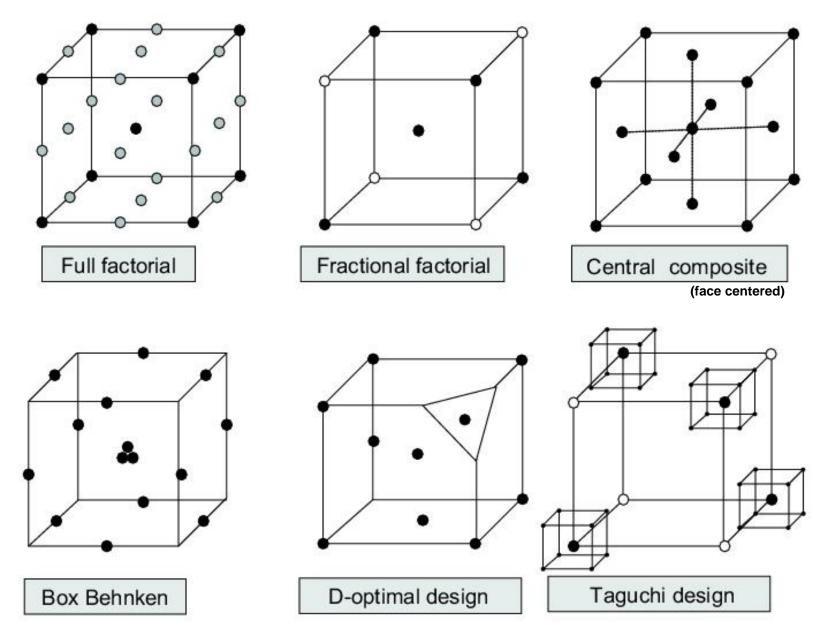
DoE requires

- Planning of randomised experiments
- Dicipline
- Application of statistics



DoE designs





Source: http://www.gmpua.com/World/GMPManual/daten/autorenteil/kapitel_07/07_i.htm

Types of DoE experiments



1.) Screening

- Full or fractional factorial designs
 - Resolution V designs are best to be used, but also
 - Resolution IV designs are possible

2.) Optimization or modelling

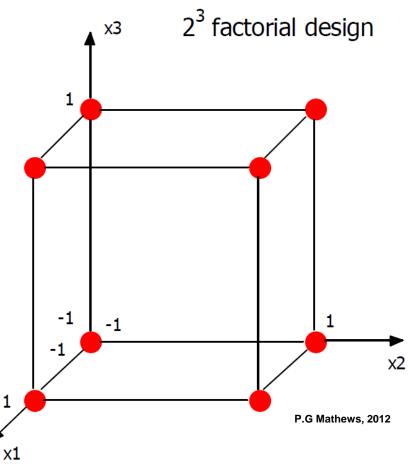
- Response Surface Model (RSM)
 - Cetral composite, Box-Behnken or Taguchi-design

3.) Verification



Screening

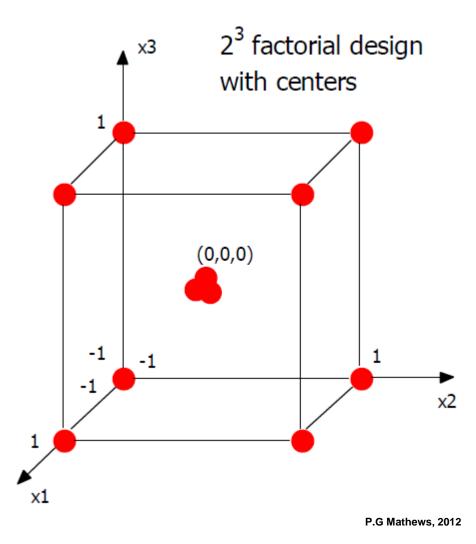
- Good for first experiment(s)
- Can consider lots of variables
- Usually only two levels of each variable
- Relatively few runs
- Limited if any ability to identify interactions
- (depending on the design)
- Risky?



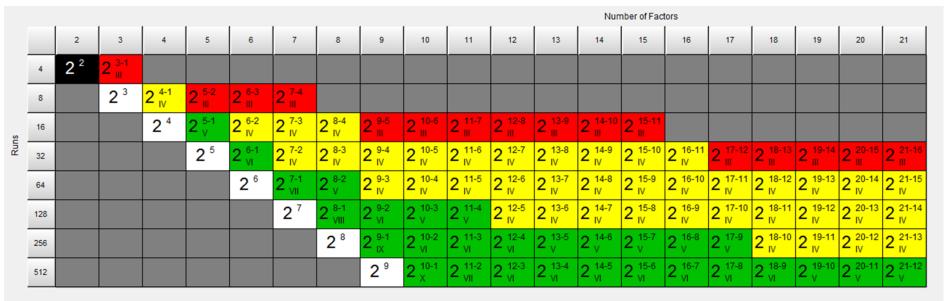


Screening

- Useful for estimating main effects and interactions
- Fractional factorial design can be used for screenign many factors to find the significant few







Color coding represents the design resolution:

green = resolution V design or higher, yellow = resolution IV design and red = resolution III design

Design Expert (Stat-Ease Inc., USA)



2⁵⁻¹

Factorial Effects Aliases [Est. Terms] Aliased Terms

[Intercept] = Intercept [A] = A
[B] = B
[C] = C
[D] = D
[E] = E
[AB] = AB + CDE
[AC] = AC + BDE
[AD] = AD + BCE
[AE] = AE + BCD
[BC] = BC + ADE
[BD] = BD + ACE
[BE] = BE + ACD
[CD] = CD + ABE
[CE] = CE + ABD
[DE] = DE + ABC

Factor Generator E = ABCD

Factorial Effects Defining Contrast

Resolution 5 design

2⁶⁻²

Factorial Effects Aliases [Est. Terms] Aliased Terms

[Intercept] = Intercept [A] = A + BCE + DEF[B] = B + ACE + CDF[C] = C + ABE + BDF[D] = D + AEF + BCF[E] = E + ABC + ADF[F] = F + ADE + BCD[AB] = AB + CE[AC] = AC + BE[AD] = AD + EF[AE] = AE + BC + DF[AF] = AF + DE[BD] = BD + CF[BF] = BF + CD[ABD] = ABD + ACF + BEF + CDE[ABF] = ABF + ACD + BDE + CEF

Factor Generator E = ABCF = BCD

Factorial Effects Defining Contrast I = ABCE = ADEF = BCDF

Resolution 4 design

DoE – Optimisation designs



Optimization

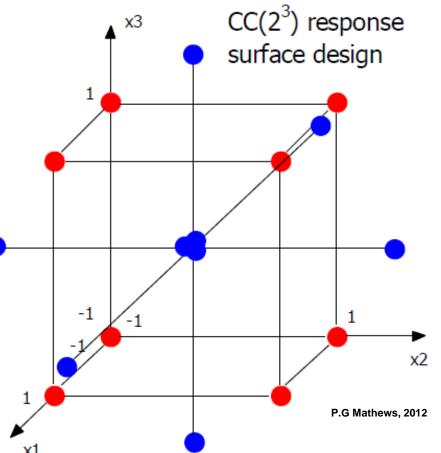
- Good follow-up experiment to a screening experiment
- Fewer variables generally the most important ones
- Often three or more levels of each variable
- Provide a more complex model for the process

DoE – Optimisation designs



Central composite

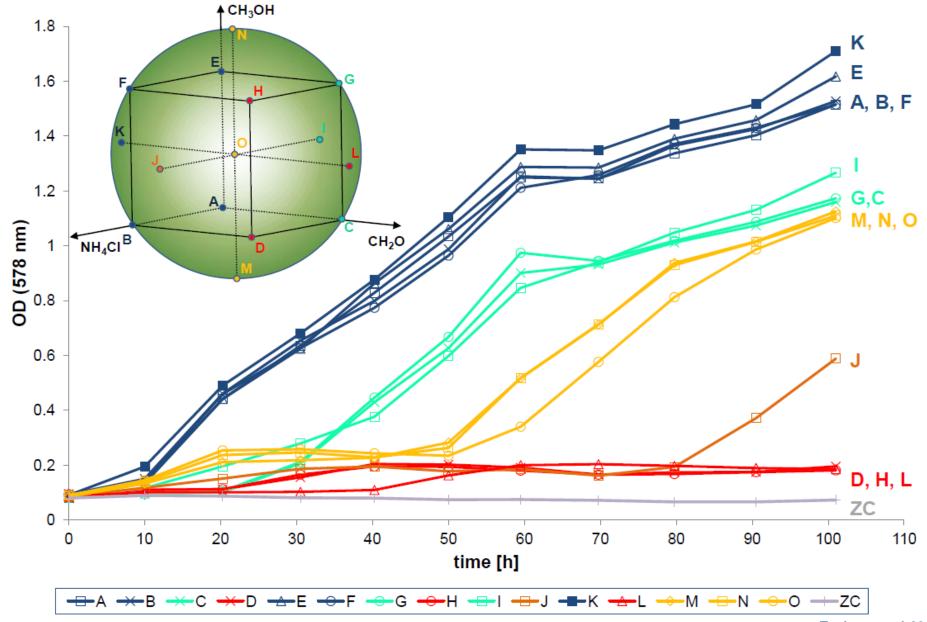
- Each numeric factor is varied over 5 levels
- plus and minus α (axial points)
- plus and minus 1 (factorial points)
- usually three to six center points



If factorial factors have to be added the central composite design will be doublicated for every combination of the categorial factor levels

DoE – Examples closed batch

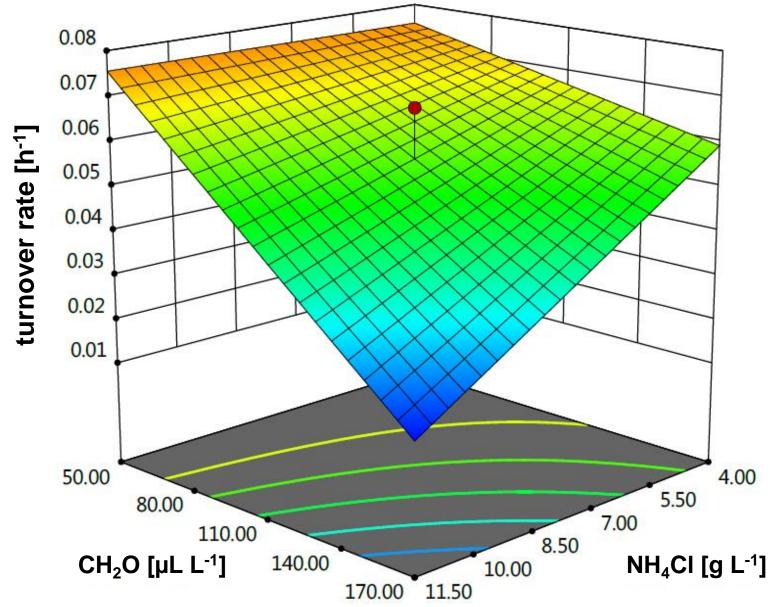




Taubner et al. 2018

DoE – Examples closed batch





Turnover rate in [h^{-1}] as function of CH₂O and NH₄Cl concentrations. The turnover rate reached its maximum value at low CH₂O concentration. At high CH₂O concentration the turnover rate is higher for low NH₄Cl concentrations. This study was based on a DoE approach. **Taubner et al. 2018**

DoE – Examples batch

Organism:

Nitrososphera viennensis

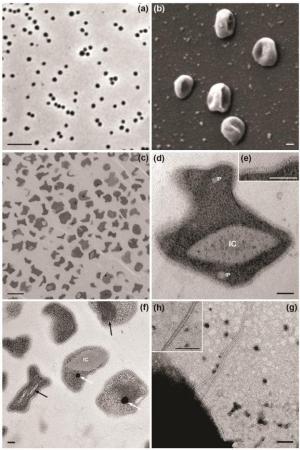
Factors:

- Ammonia concentration 1, 2.5 and 4 mM
- Pyruvate concentration 0.1, 0.8 and 1.5mM
- Temperature 37, 42 and 47 °C

Calculation of:

- NH₄ uptake rates [mmol L⁻¹ h⁻¹]
- NO²⁻ production rates [mmol L⁻¹ h⁻¹]
- Cell counts
- Specific growth rate [h⁻¹] from NO²⁻ production rate

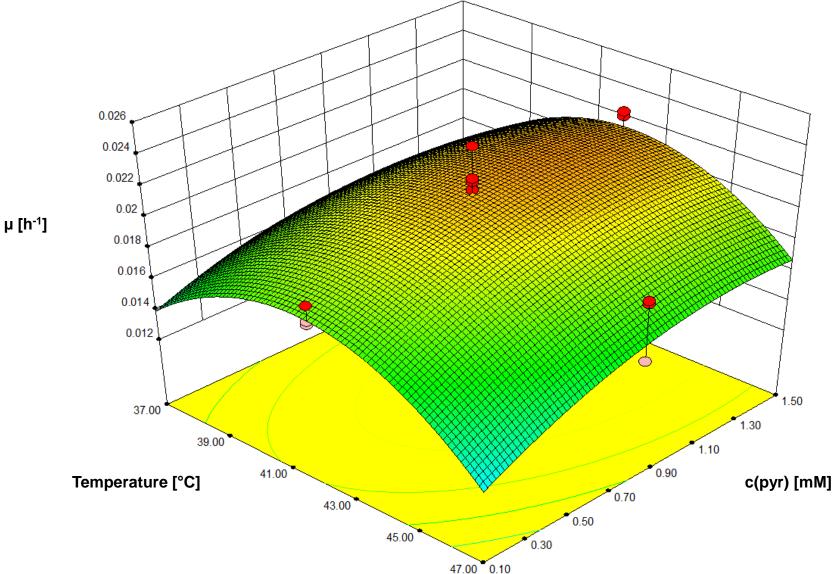




Stieglmeier et al., 2014

DoE – Examples batch

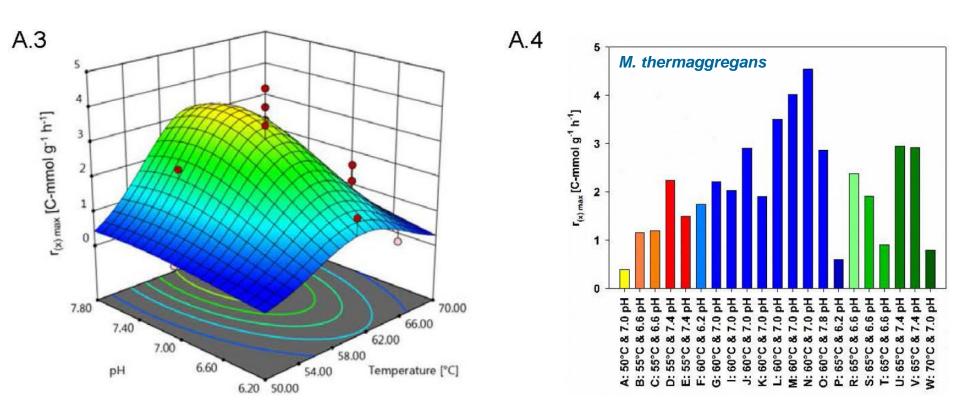




The graph illustrates the effect of pyruvate concentration (c(pyr)) [mM] and temperature [°C] on the growth rate (μ) [h⁻¹] of EN76^T at a fixed ammonium concentration (c(NH₄⁺)) of 2.5 mM. Based on the results of the closed batch cultivation and the subsequent generated response surface model (RSM), the optimal conditions for the cultivation of EN76^T within this three-factorial design space could be retrieved. The optimal cultivation conditions using μ as target value for maximization were calculated as follows: c(pyr) = 1.15 mM, c(NH₄⁺) = 2.03 mM, temperature = 42.02 °C, with a desirability of 0.854. Data points of the individual experiments are presented in red or rose colour.

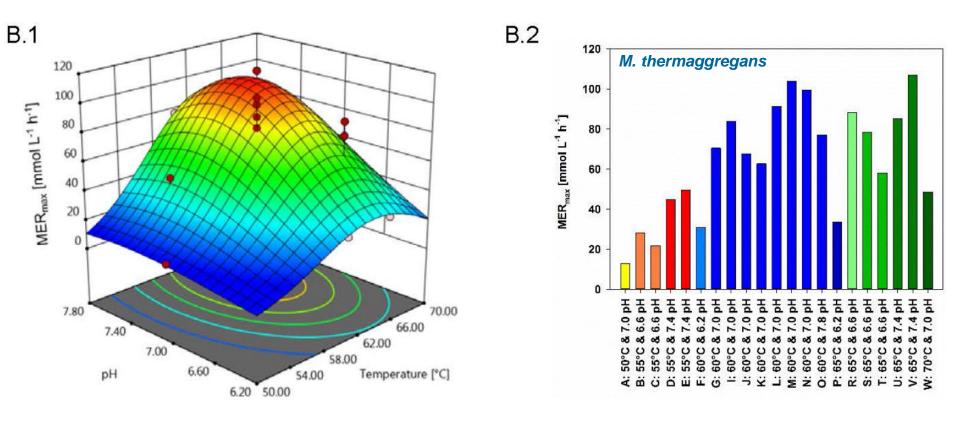
DoE – Examples fed-batch





DoE – Examples fed-batch





DoE – Examples conti culture

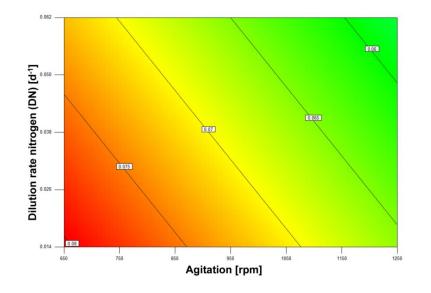


Run	DM [h ⁻¹]	рН	DS [L L ⁻¹ d ⁻¹]	т [°С]	rpm	vvm [L L ⁻¹ min ⁻¹]	ratio (H ₂ /CO ₂)	DN [L L ⁻¹ d ⁻¹]	C _{NH4+} [mmol L ⁻¹]	x [g L ⁻¹]	MER [mmol L ⁻¹ h ⁻¹]	q _{сн4} [mmol g ⁻¹ h ⁻¹]	CH₄ offgas [Vol.%]	Y _(X/CH4) [C- mol/mo	r _x [C- mmol	DoR-balance	C- balance	N- balance
												J]	L ⁻¹ h ⁻¹]			
1	0.043	6.16	0.012	60	654	0.16	3.0	0.014	54.2	0.92	16.4	17.8	4.5	0.07	1.21	96.8%	75.3%	84.1%
2	0.055	7.83	0.013	60	1243	0.19	4.9	0.051	111.9	1.35	45.1	33.4	13.6	0.05	2.25	95.7%	101.8%	142.3%
3	0.211	7.84	0.010	60	1242	0.49	3.0	0.019	26.6	1.14	105.0	91.9	11.6	0.07	7.35	98.5%	80.7%	84.5%
4	0.057	6.16	0.049	61	1243	0.50	5.0	0.016	37.4	3.80	114.0	30.0	12.9	0.06	6.73	94.6%	99.5%	95.0%
5	0.21	6.16	0.053	61	1245	0.20	3.0	0.042	58.5	0.88	71.4	80.9	28.4	0.08	5.72	101.9%	95.6%	103.5%
6	0.059	6.15	0.011	70	1242	0.50	3.0	0.062	128.7	2.76	99.2	36.0	10.5	0.05	4.96	97.2%	124.0%	108.3%
7	0.202	6.15	0.012	70	1245	0.20	5.1	0.016	38.2	0.79	65.2	82.8	23.8	0.08	4.89	103.3%	93.7%	82.8%
8	0.161	7.85	0.009	69	650	0.16	3.1	0.044	64.3	0.27	17.8	66.0	5.0	0.08	1.33	101.6%	96.6%	100.7%
9	0.056	7.85	0.044	70	1245	0.19	2.9	0.014	44.4	1.75	65.2	37.2	26.0	0.05	3.00	97.0%	95.1%	110.4%
10	0.207	7.84	0.051	69	1245	0.49	5.0	0.048	44.7	1.29	111.3	86.7	12.7	0.07	8.13	98.2%	110.0%	101.9%
11	0.110	6.98	0.033	63	951	0.28	4.0	0.034	47.8	1.30	60.7	46.7	11.7	0.07	4.37	101.1%	82.4%	92.3%
12	0.111	6.99	0.025	64	947	0.29	3.9	0.018	37.8	1.26	61.5	48.7	11.5	0.07	4.31	103.7%	82.1%	94.0%
13	0.114	6.99	0.026	65	950	0.30	4.0	0.017	49.0	1.16	54.6	47.0	9.4	0.07	4.04	96.7%	129.8%	109.1%
14	0.107	6.99	0.020	65	946	0.29	3.9	0.026	45.6	1.05	59.5	56.7	11.0	0.06	3.39	95.1%	106.0%	105.2%
15	0.061	6.14	0.055	70	1245	0.20	5.1	0.045	100.3	1.77	67.8	38.2	25.8	0.05	3.32	101.1%	90.9%	109.3%
16	0.065	5.53	0.010	67	1242	0.49	2.9	0.055	96.6	1.98	99.2	50.0	10.7	0.04	3.97	95.4%	71.2%	112.5%
17	0.064	8.41	0.053	68	1242	0.19	2.9	0.004	18.2	2.06	66.4	32.2	27.1	0.06	4.01	106.0%	76.0%	93.0%
18	0.212	5.6	0.059	59	1242	0.19	2.9	0.047	39.7	0.84	71.2	85.0	31.5	0.08	5.43	103.5%	85.4%	84.3%

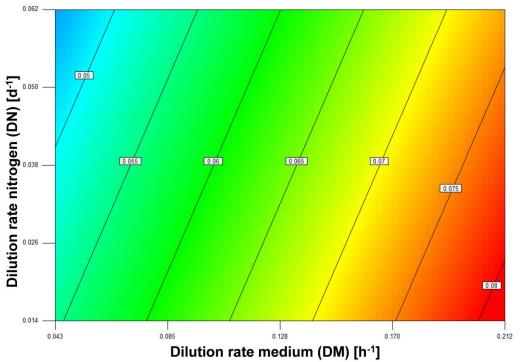
Multivariate model generation from a nona-factorial DoE

DoE – Examples conti culture





Plot of nitrogen dilution rate (DN) [d⁻¹] versus medium dilution rate (DM) [h⁻¹] in order to analyze growth to product yield ($Y_{(x/CH4)}$). Individual levels of $Y_{(x/CH4)}$ are indicated through lines and boxes within the graph. The analysis shows that $Y_{(x/CH4)}$ varies by adjusting DN, DM, or both. An increase of DN reduces $Y_{(x/CH4)}$. However, an increase of DM increases $Y_{(x/CH4)}$.



Plot of the nitrogen dilution rate (DN) versus the agitation speed in order to analyze $Y_{(X/CH4)}$ [C-mol/mol]. Individual levels of $Y_{(x/CH4)}$ are indicated through lines and boxes within the graph. $Y_{(x/CH4)}$ is highest at the lowest investigated range of both factors.

DoE – Software



- Modde (Umetrics, Sweden)
- Design Expert (Stat-Ease Inc., USA)
- Statistica (StatSoft, USA)
- R Commander