



Central European Institute of Technology
BRNO | CZECH REPUBLIC

Nanobiotechnology

Scanning Probe Microscopies

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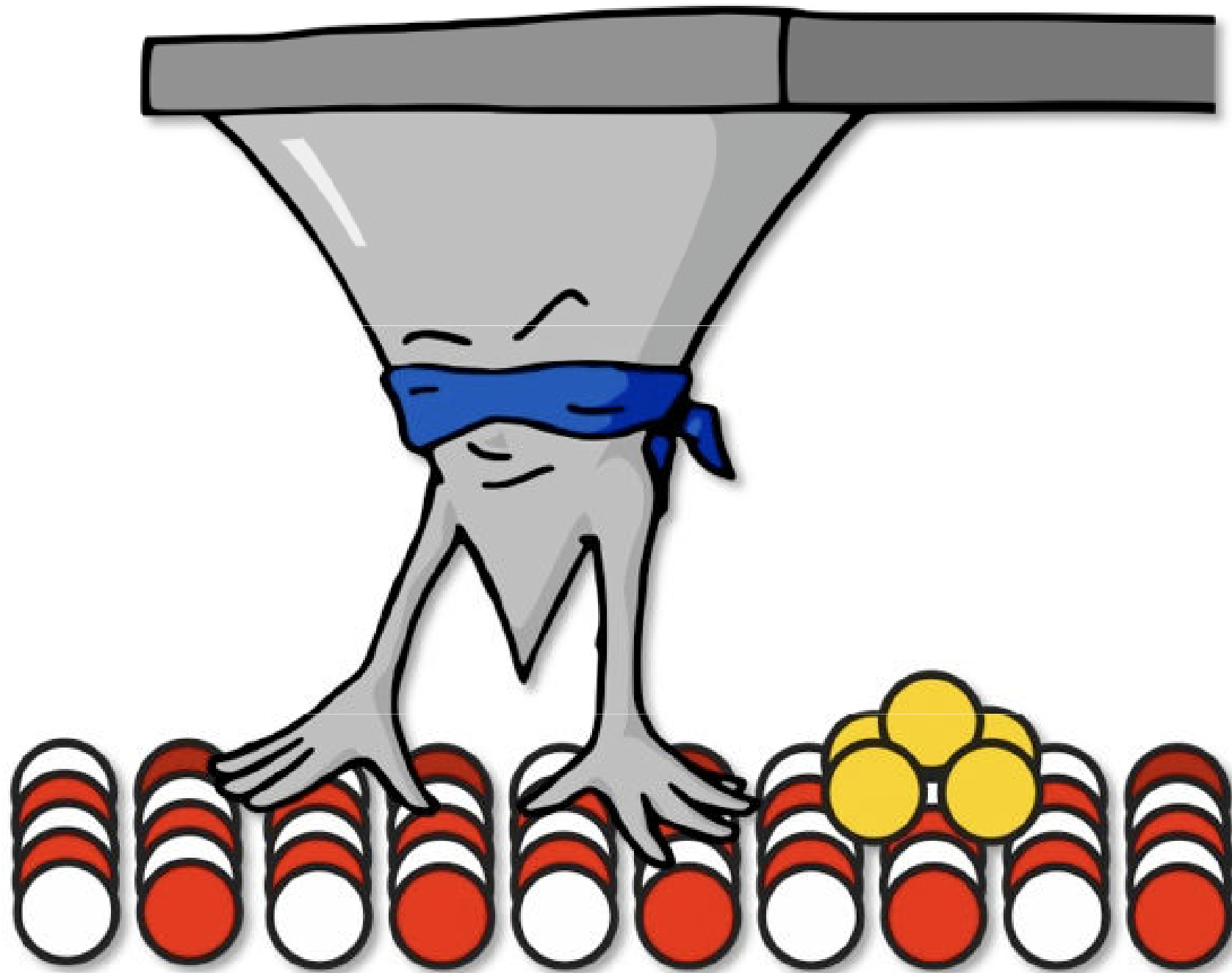


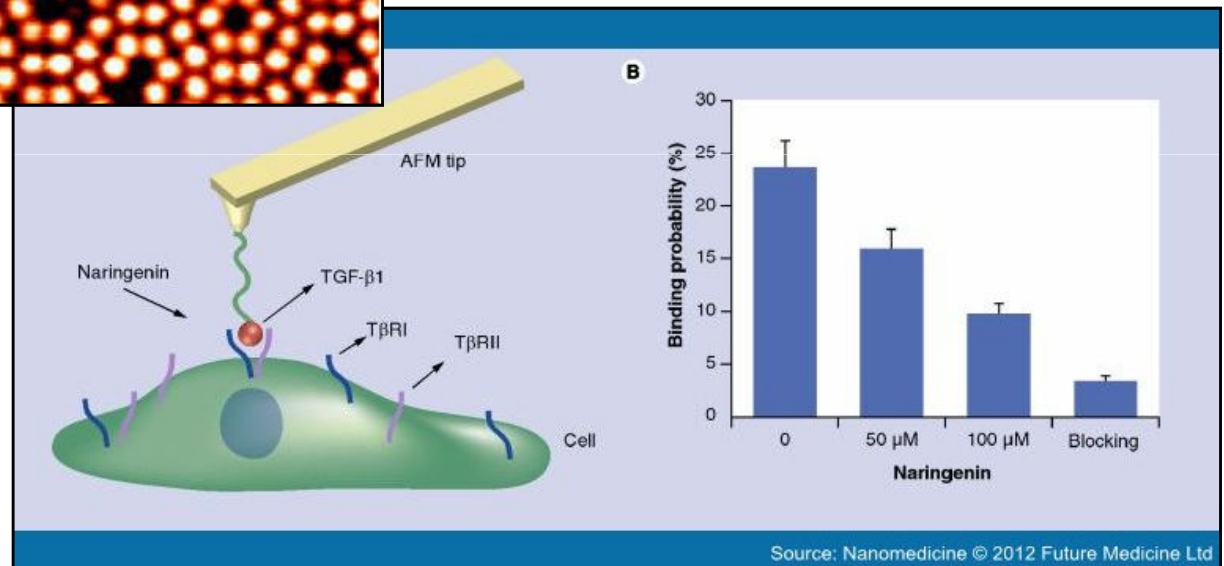
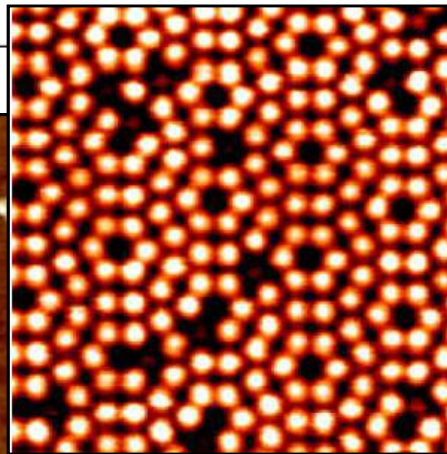
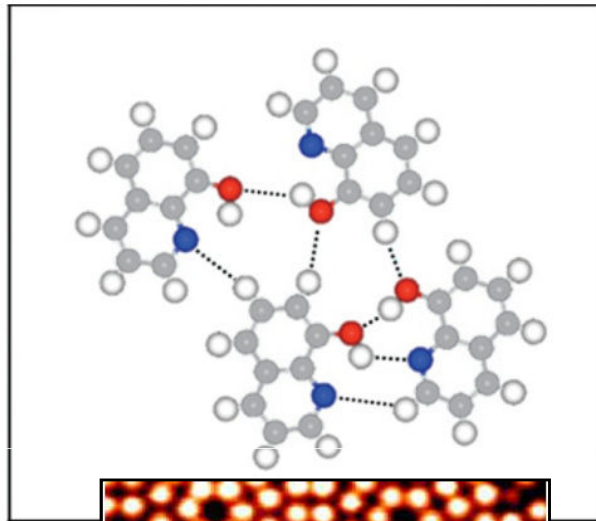
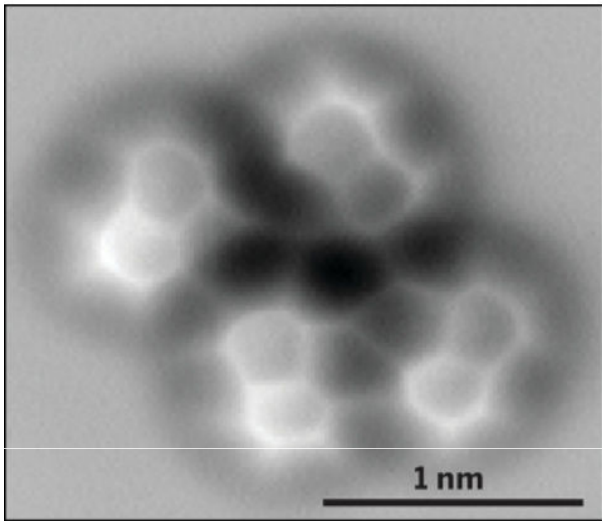
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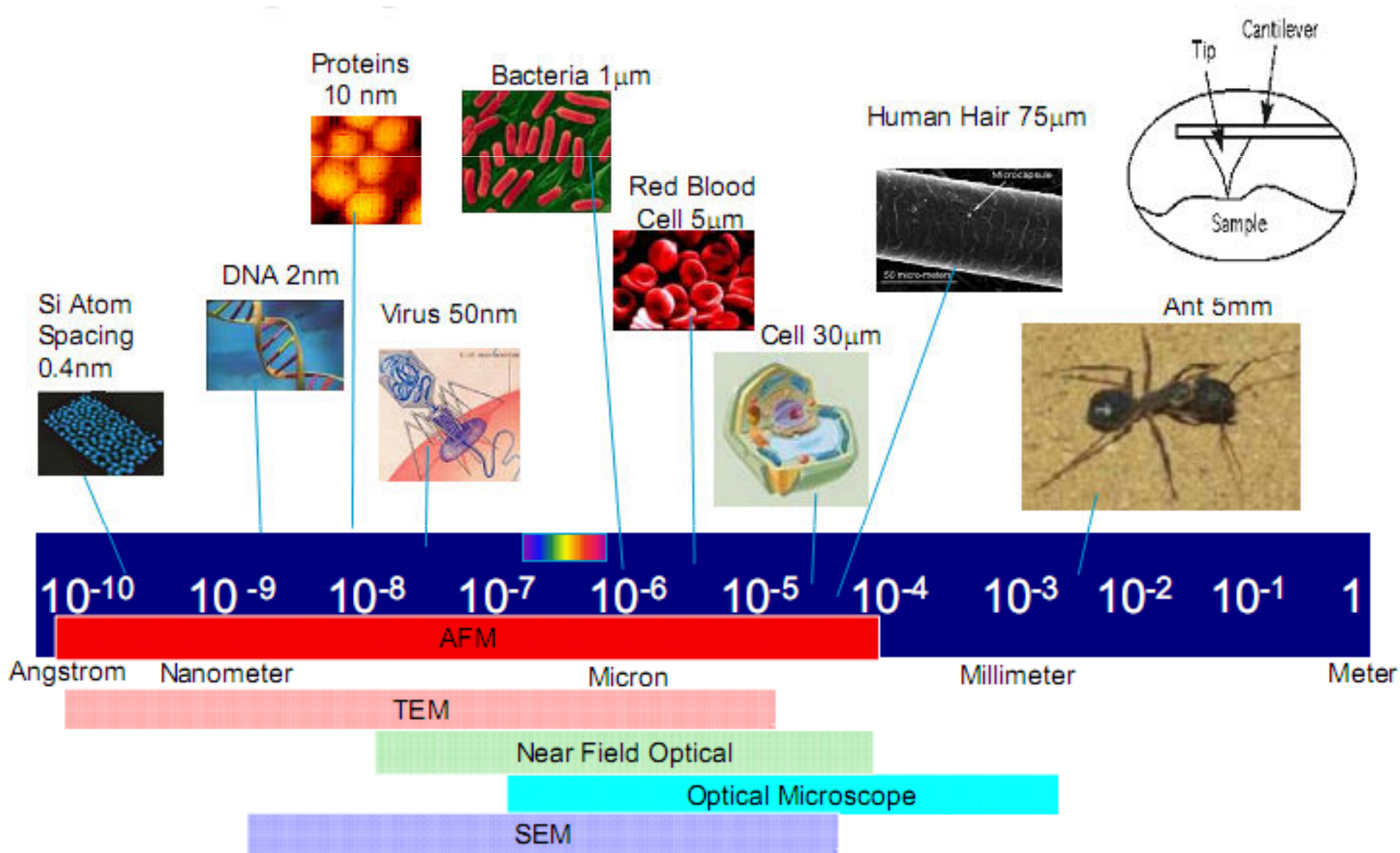
OP Research and
Development for Innovation





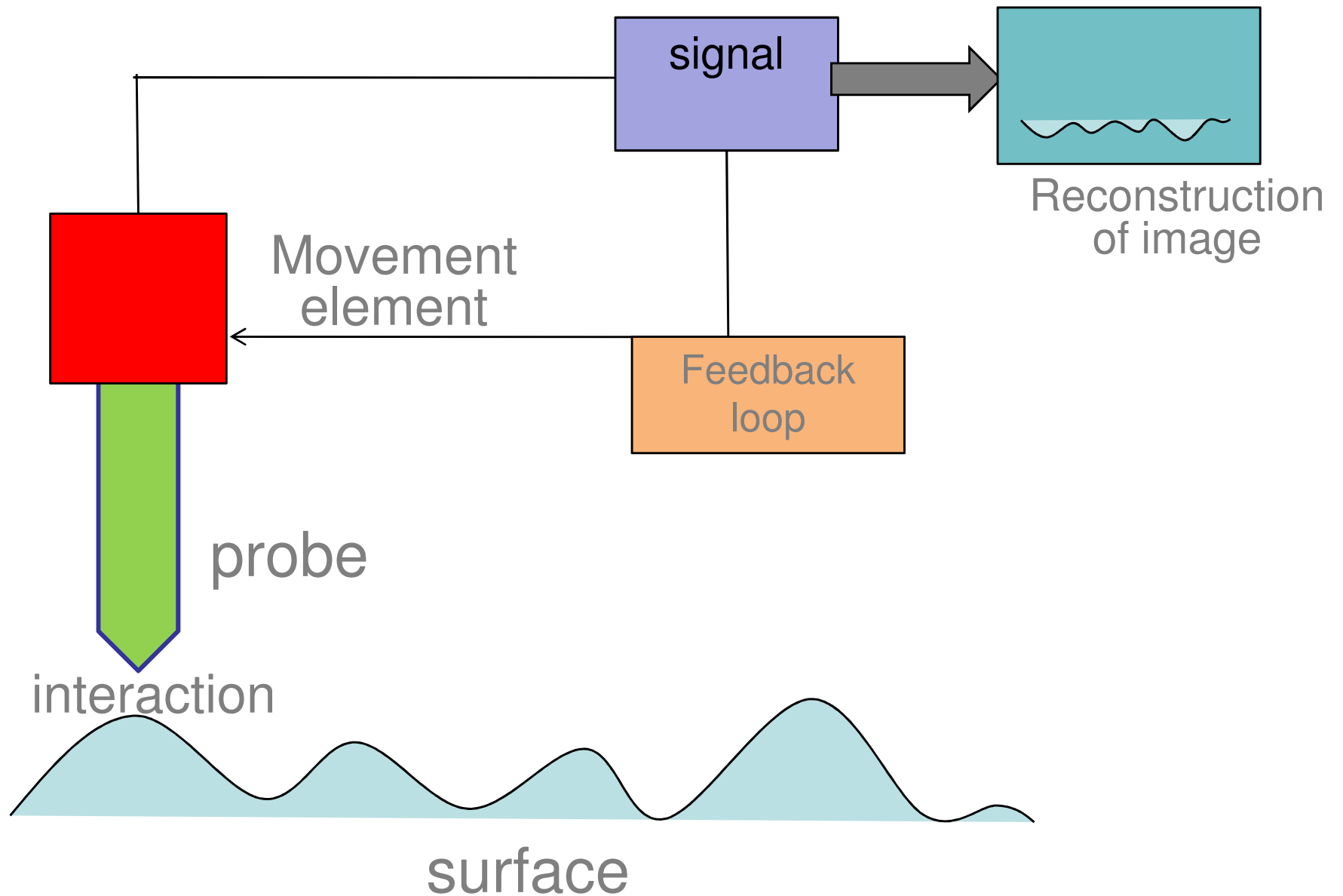


Microscopy techniques - resolution

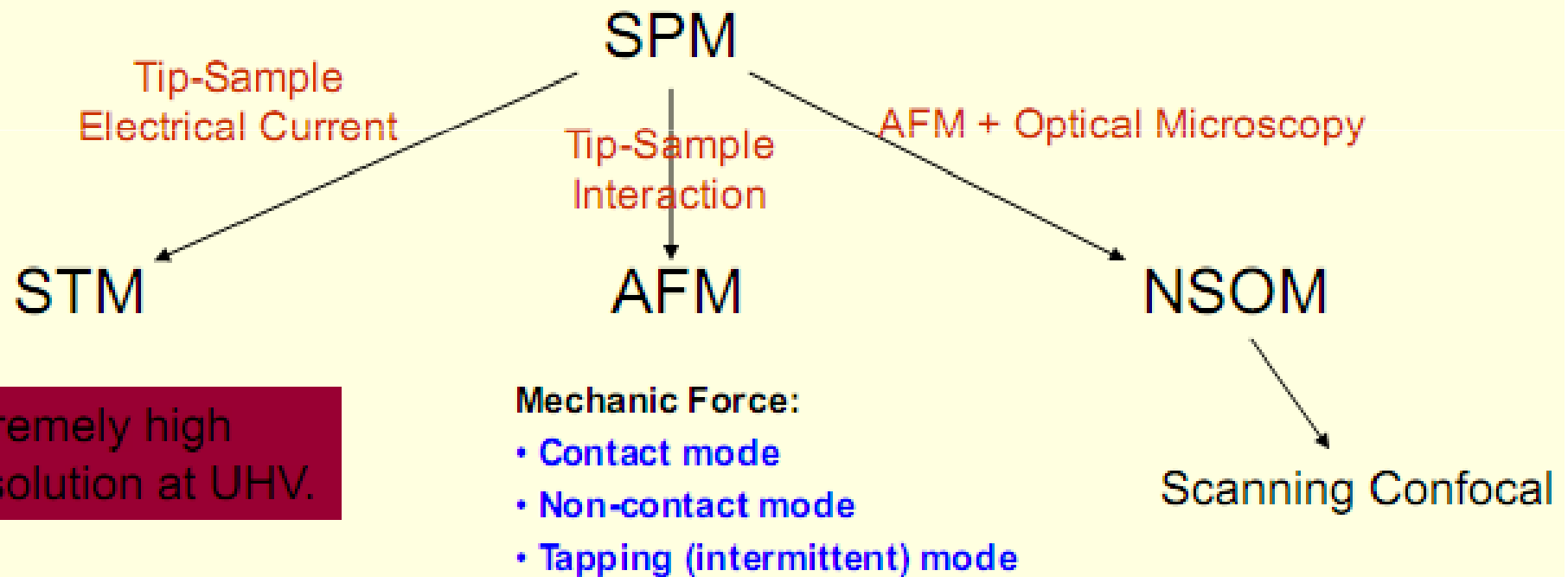


Scanning Probe Microscope

basic scheme



SPM Family



Extremely high
Resolution at UHV.

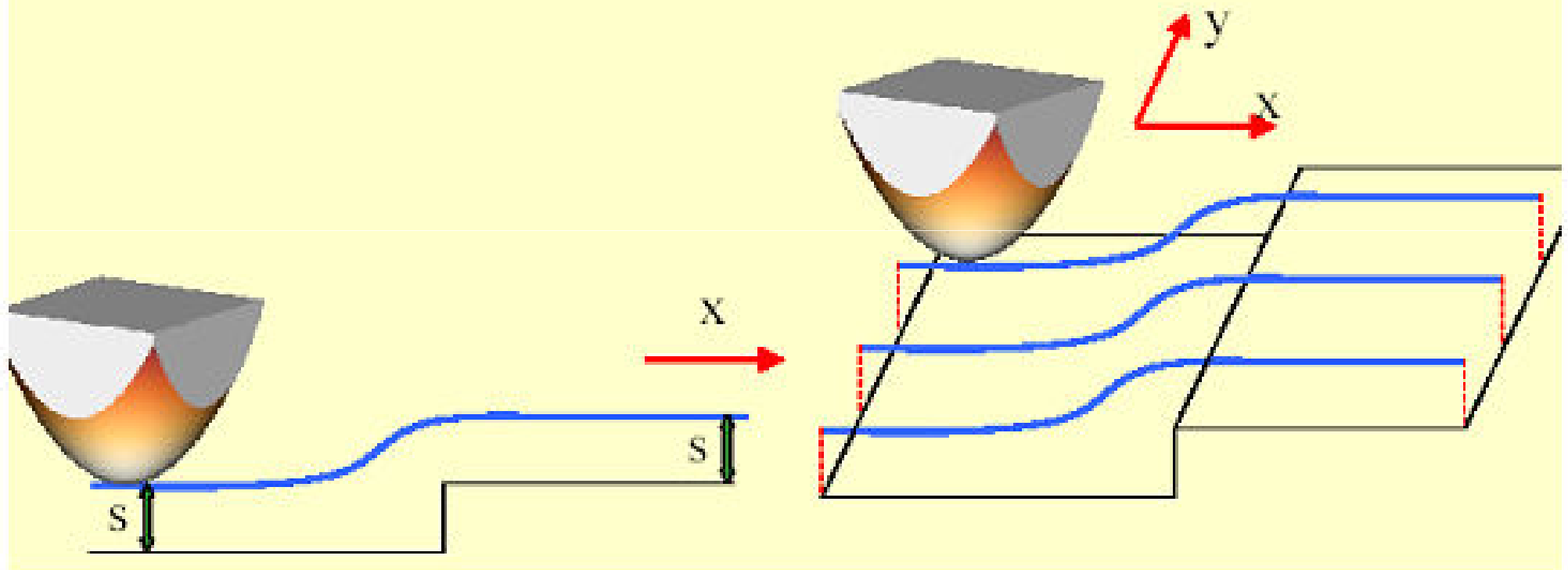
Mechanic Force:

- Contact mode
- Non-contact mode
- Tapping (intermittent) mode

Other Interactions:

- Electrostatic mode (scanning electrostatic potential microscope)
- Magnetic mode
- Chemical Force mode

Obtaining Surface Profiles



- General components of SPM;
- Tip --- the probe;
- Cantilever --- the indicator of the tip;
- Tip-sample interaction --- the feedback system;
- Scanner --- piezoelectric movement at x,y,z;
- Measurement artifacts: vibration must be isolated.

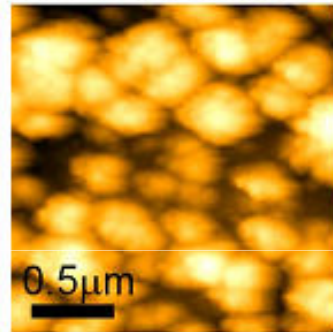


SNOM (=NSOM) Scanning NearField Optical Microscopy

SNOM – basic principles

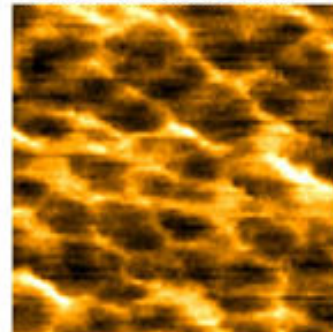
- **STM** measures electric **current**, and **AFM** measures **forces**, neither deals with **light**;
- **Light** - crucial excitation source in both scientific research and mother nature systems.
- Scientific research fields: absorption, fluorescence, photoinduced electron transfer, light-emitting devices, photovoltaic cells.

NSOM topography



TiO₂ particles
wrapped in PPV
film

NSOM fluorescence



Fluorescence
quenching by
TiO₂ particles

Why SNOM?

- **Light diffraction limit** - conventional optical microscopy: $\lambda/2 \sim 250$ nm (\rightarrow Abbe diffraction limit)
- Real cases - **optical resolution** $\sim \lambda$, 500 nm
- **NSOM** offers higher resolution around 50 nm (or even < 30 nm), depending on tip aperture size.
- **NSOM** - simultaneous measurements of the:
 - topography
 - + optical properties (fluorescence)
 - \rightarrow direct correlation between surface nanofeatures and optical/electronic properties.
- Useful for the **studying**:
inhomogeneous material surfaces (nanoparticles, polymer blends, porous silicon, biological systems)

History of NSOM

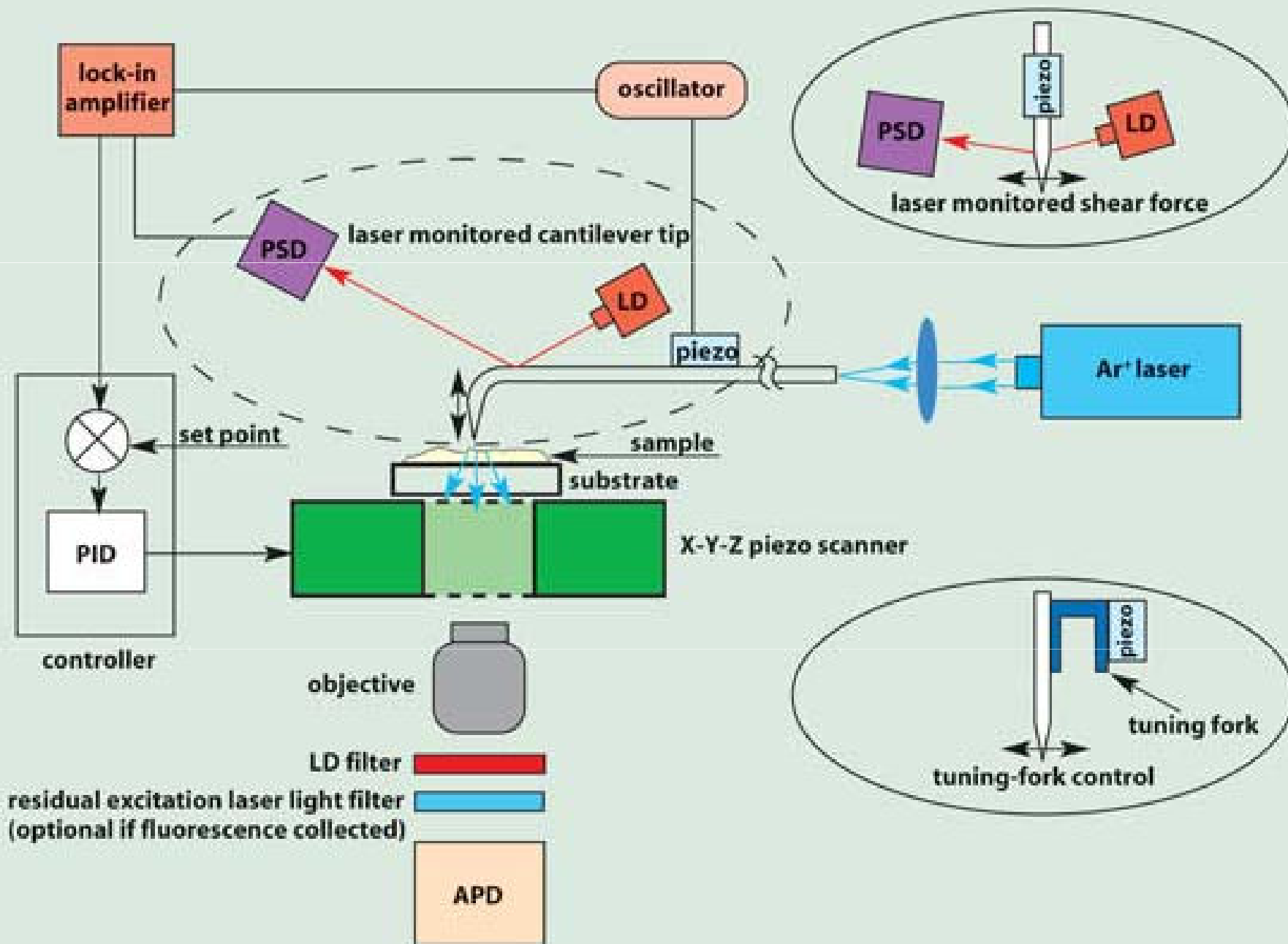
- **1928** roots trace back – letters between Edward Hutchinson Synge and Albert Einstein

- **Ideas started in mid-1980's:**
 - D.W. Pohl, W. Denk, and M. Lanz, Appl. Phys. Lett. 44, 651-3 (1984).
 - A. Lewis, M. Isaacson, A. Harootunian, and A. Murray, Ultramicroscopy 13, 227 (1984);

- **Technology developed in 1990's:**
 - Eric Betzig, et al. Science, 262, 1422-1425 (1993).
 - Eric Betzig, et al. Nature, 369, 40-42 (1994).

- **Prototype commercial available since 2000's**

Scheme of SNOM apparatus



Major components of NSOM

- **Optical:**

- Light source (lasers: CW and pulsed), Fibers, Mirrors, Lenses, Objectives (oil, large NA)
- Photon detectors (Photon-Multiplier)
- Probe (tip)

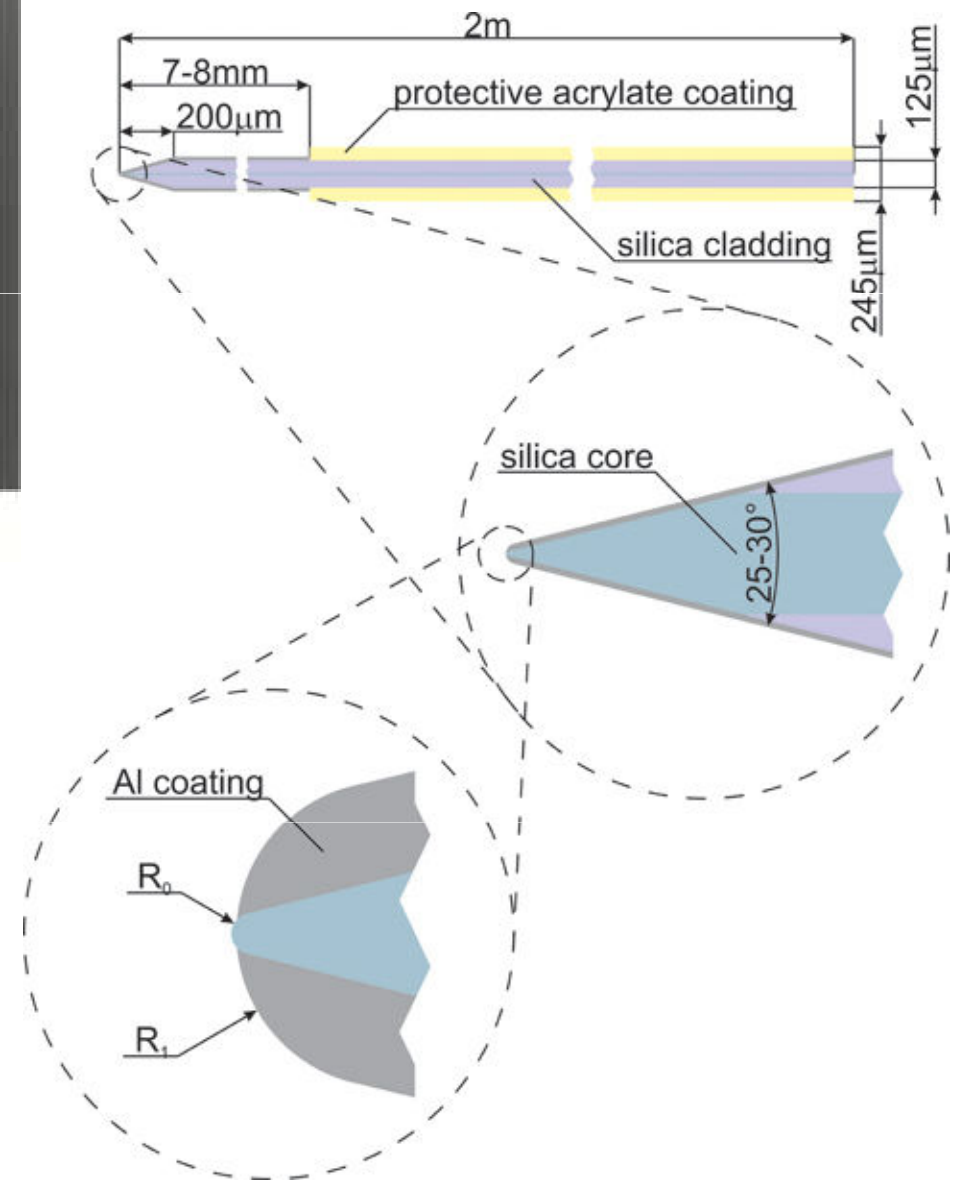
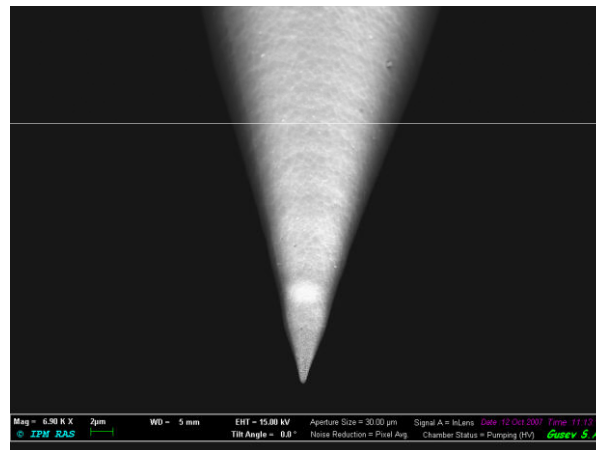
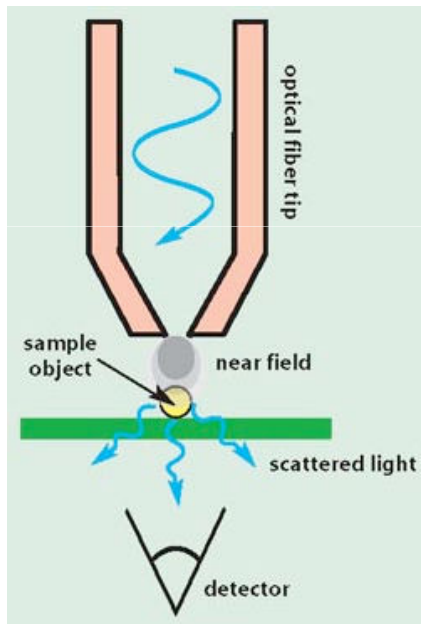
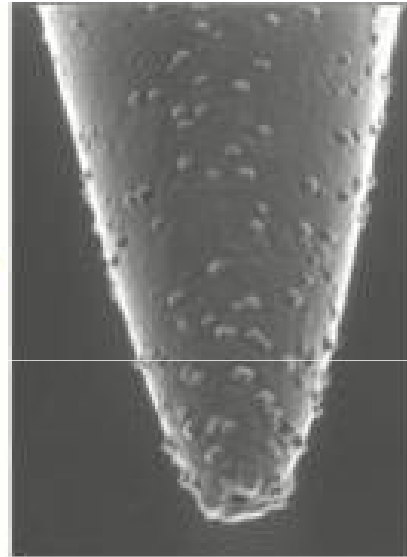
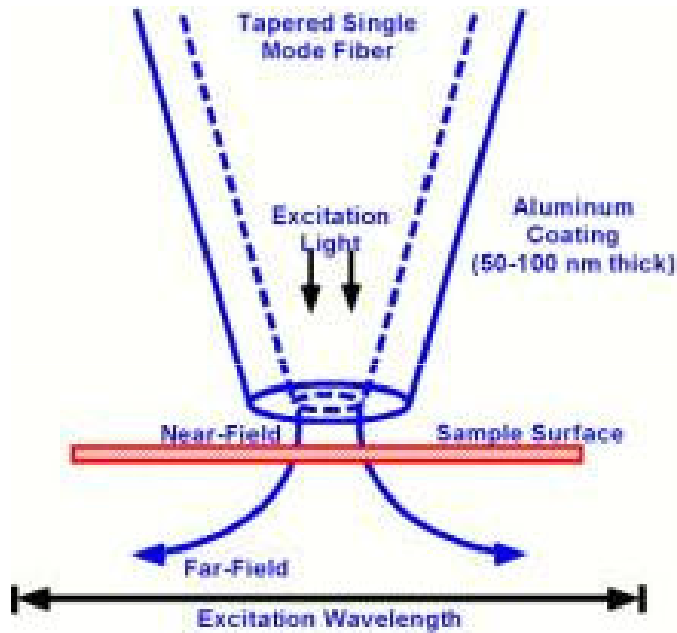
- **Mechanical:**

- Translation stage, Piezo scanner
- Anti-vibration optical table

- **Electrical:**

- Scanning drivers for piezo scanner
- z distance control (feedback system)
- Amplifiers, Signal processors
- Software and Computer

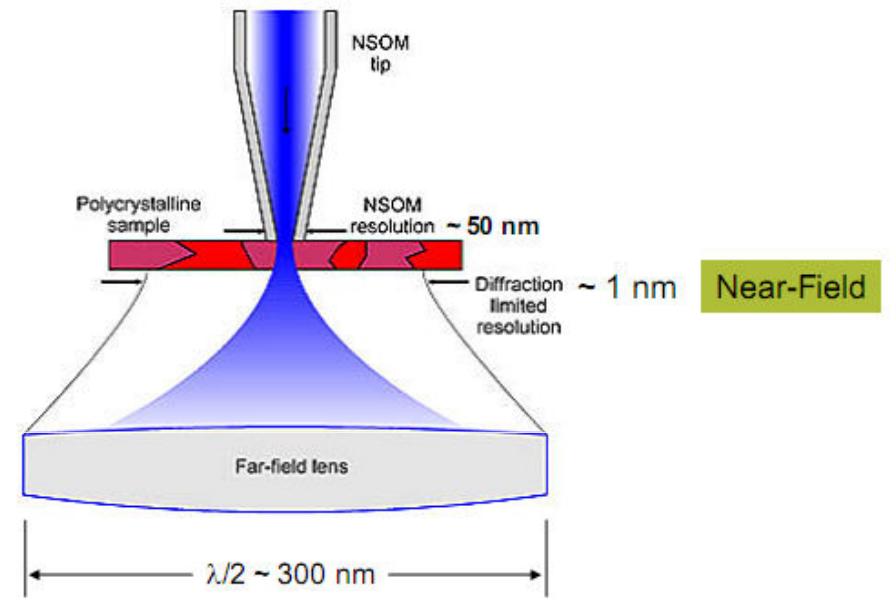
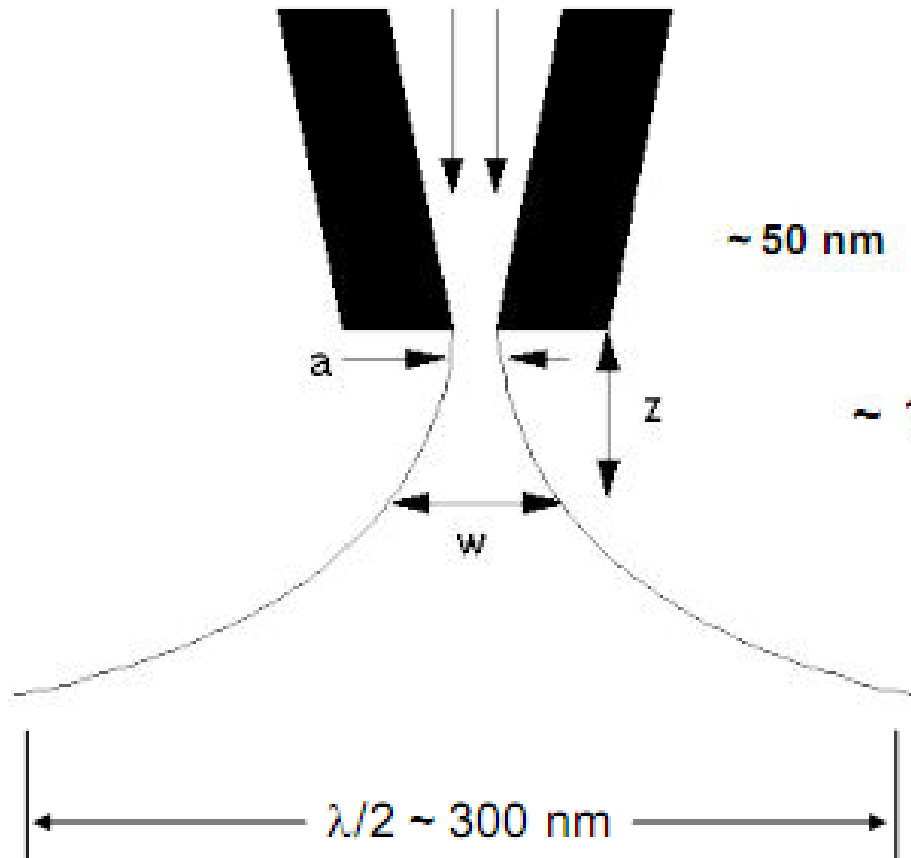
SNOM probe



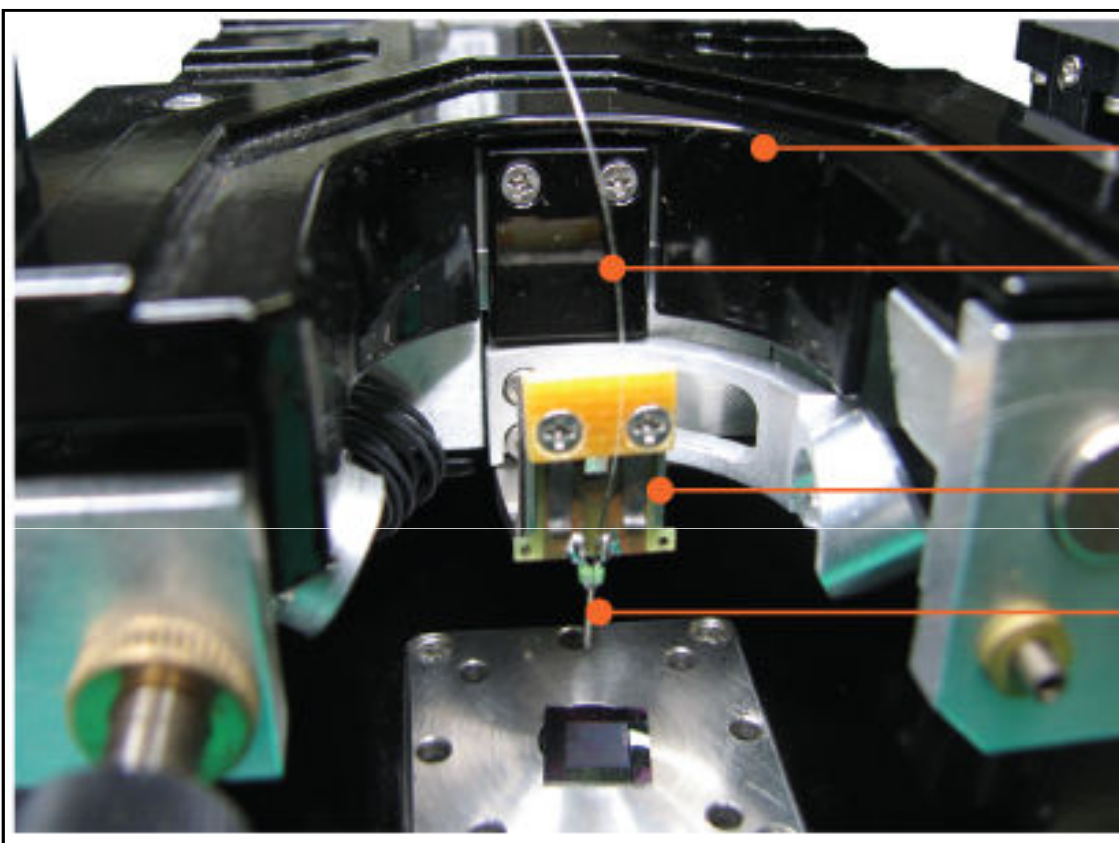
What is Near-Field?

- requires a nanometer sized aperture (much smaller than the light wavelength).
- A specimen is scanned very close to the aperture.
- As long as the specimen remains within a distance less than the aperture diameter, an image with sub-wavelength resolution (aperture size) can be generated.
- There is a tradeoff between resolution and sensitivity (light intensity)
- --- aperture size cannot be too small.

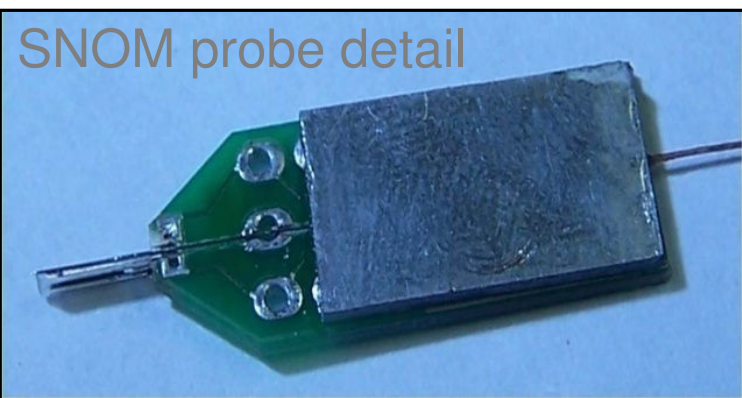
What is Near-Field?



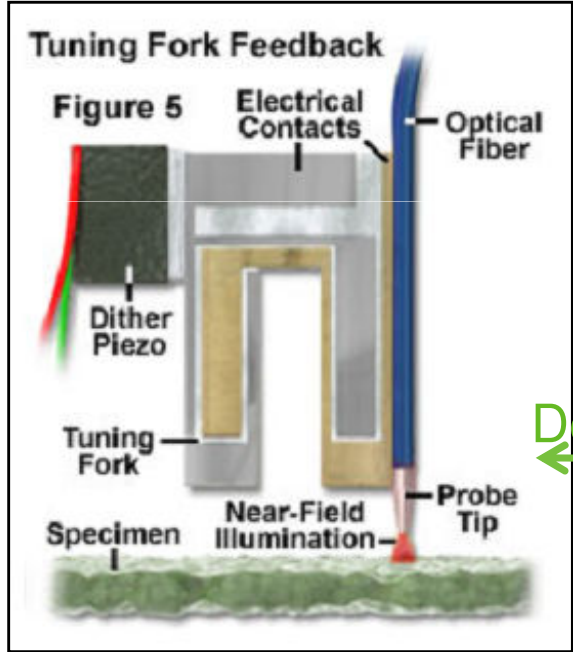
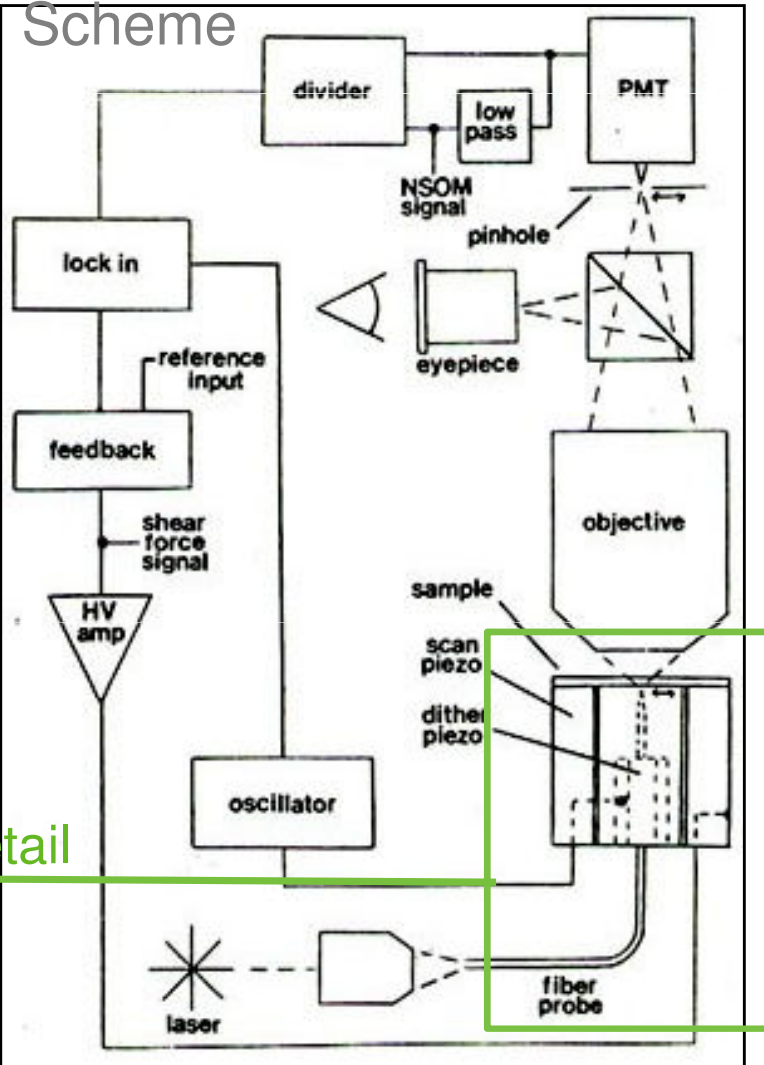
Near-field: For high spatial resolution, the probe must be close to the sample



SNOM probe detail



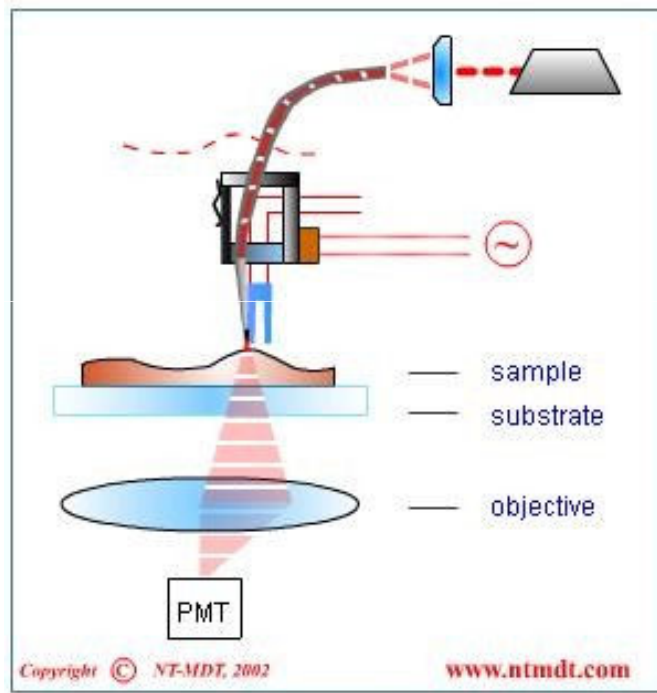
Scheme



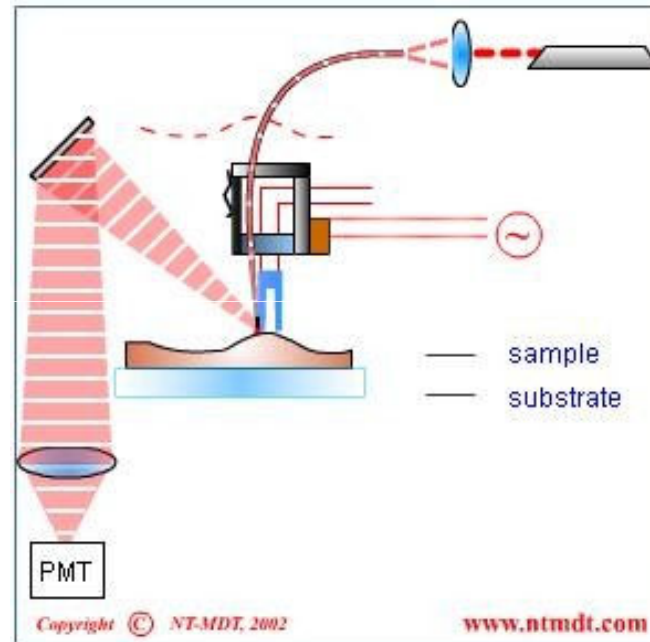
NSOM
in operation

Detail

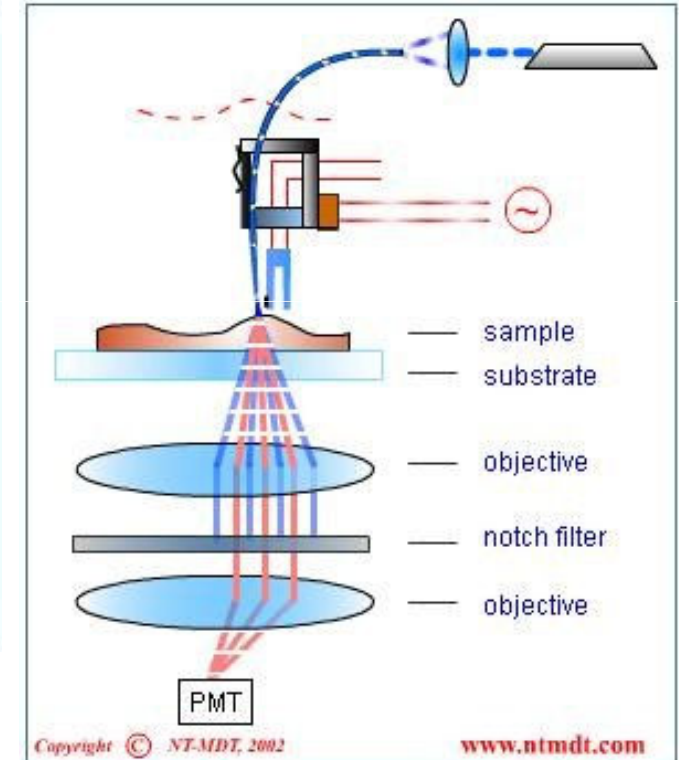
Operation Modes



Transmission mode



Reflection mode



Luminescence mode

Simultaneously with **Shear Force Microscopy (SFM)**

→ Piezodriver via quartz tuning fork (change of oscillation amplitude is monitored → AFM-like imaging)

Key point is to use “AFM technology” to bring the light very close to the surface (1-10 nm, distance < probe diameter)

Real instrument example

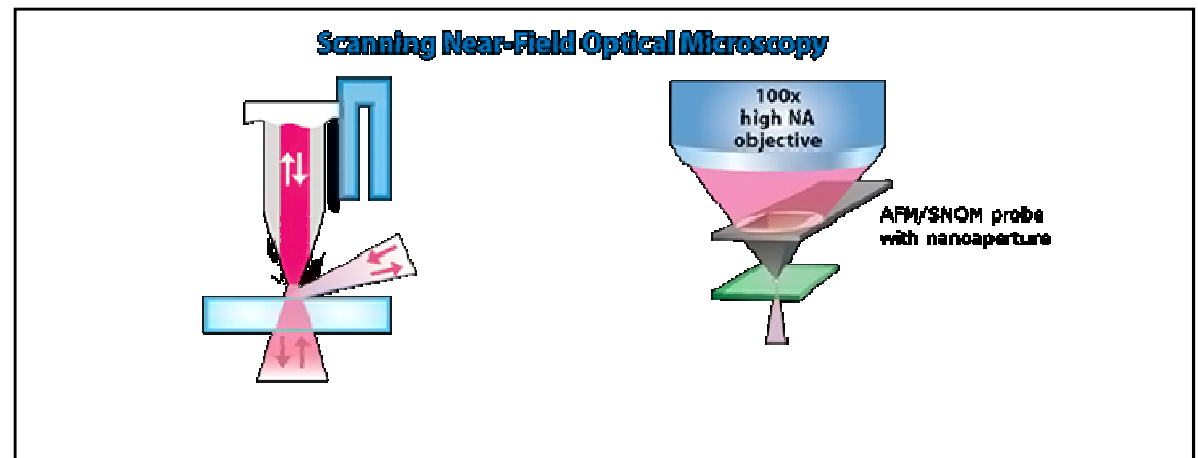
Ntgra Vita AURA, Ntgra Vita SPECTRA (NTMDT, Zelenograd, Russia)



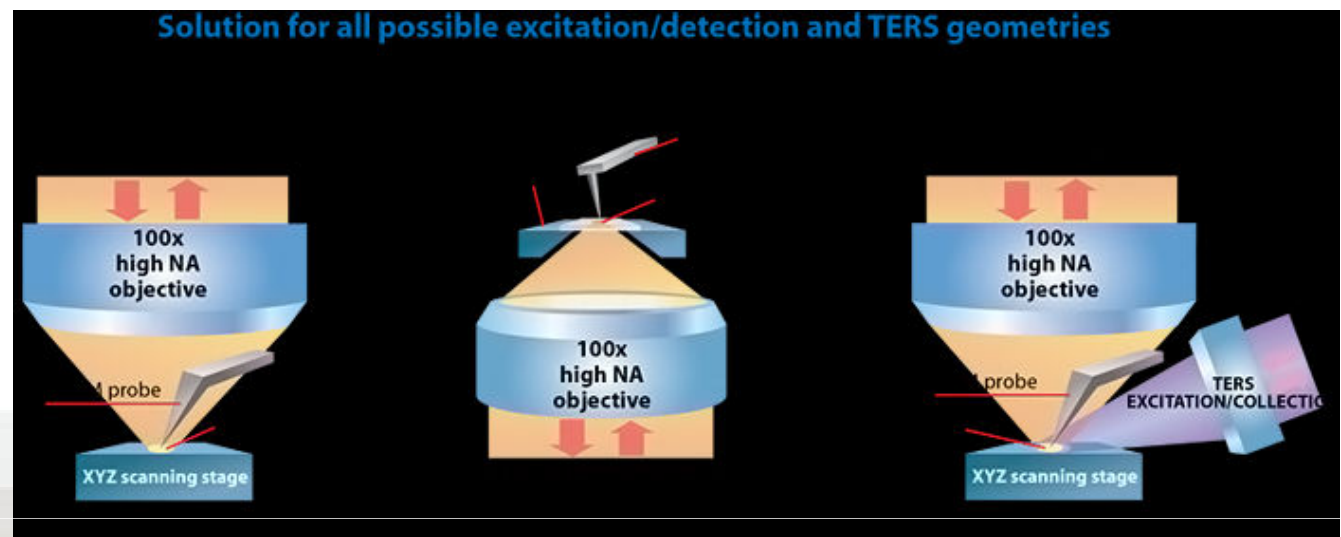
Bringing light close to the surface

Optical fiber
+ tuning fork

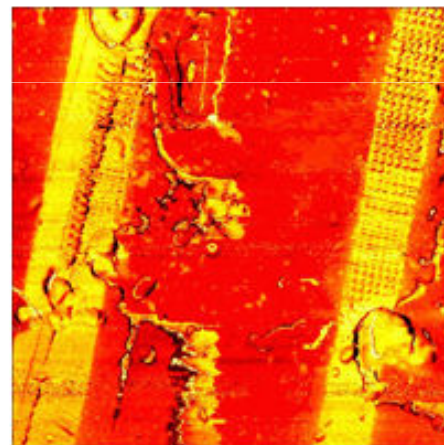
AFM transparent
probe
+ light via
objective



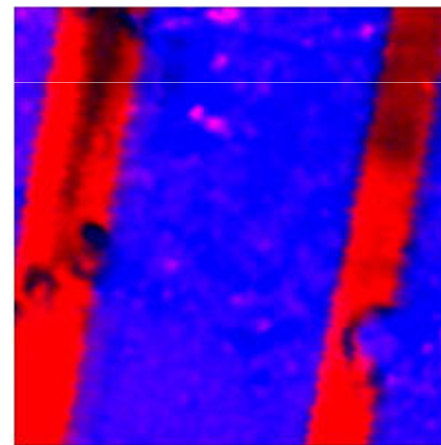
TERS



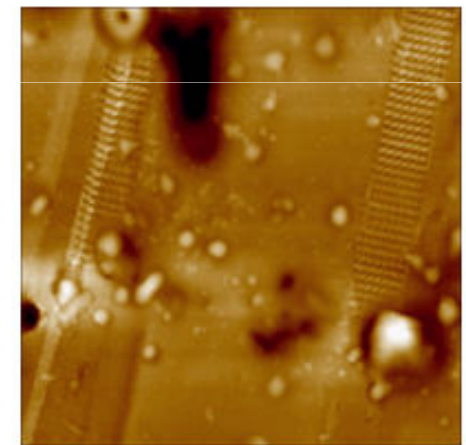
Tip Enhanced Raman Spectroscopy



Stiffness of HDPE/LDPE polymer sandwich cut by microtome

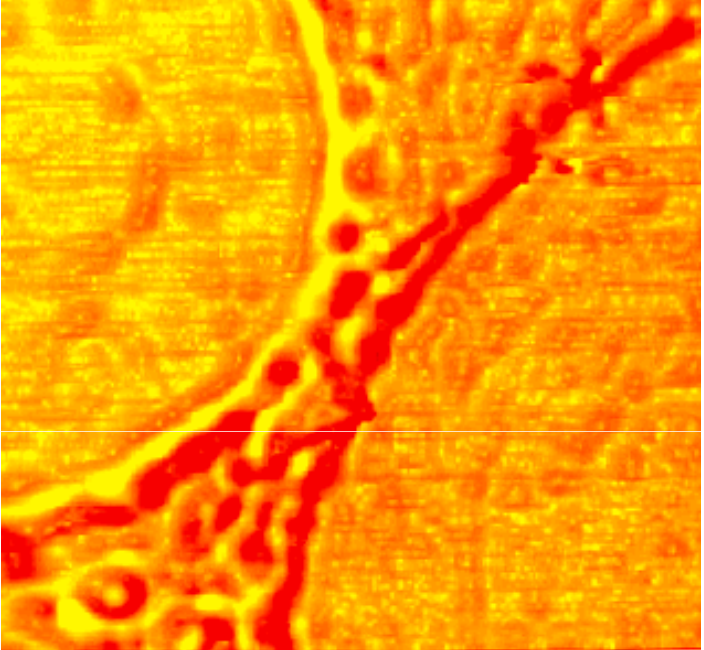


Overlap of Raman maps: HDPE (red), LDPE (blue)



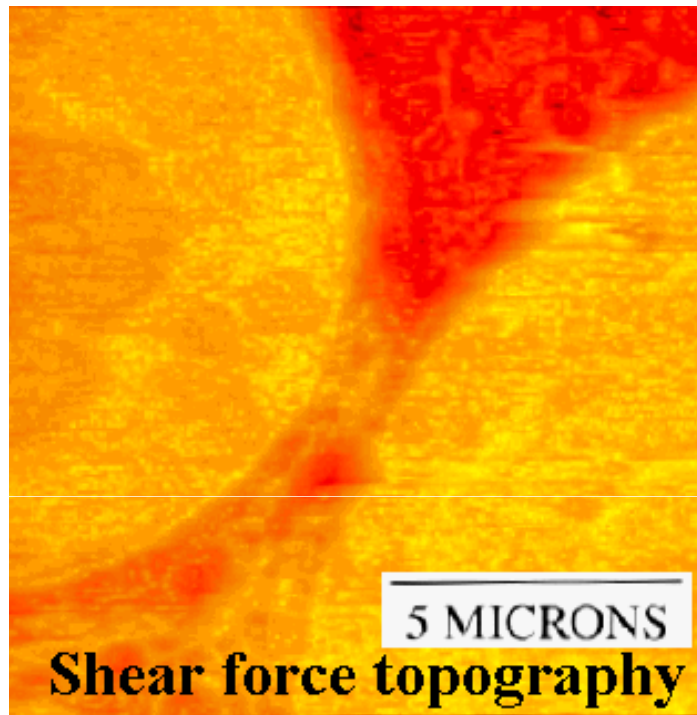
AFM topography

NSOM res = 100-150 nm

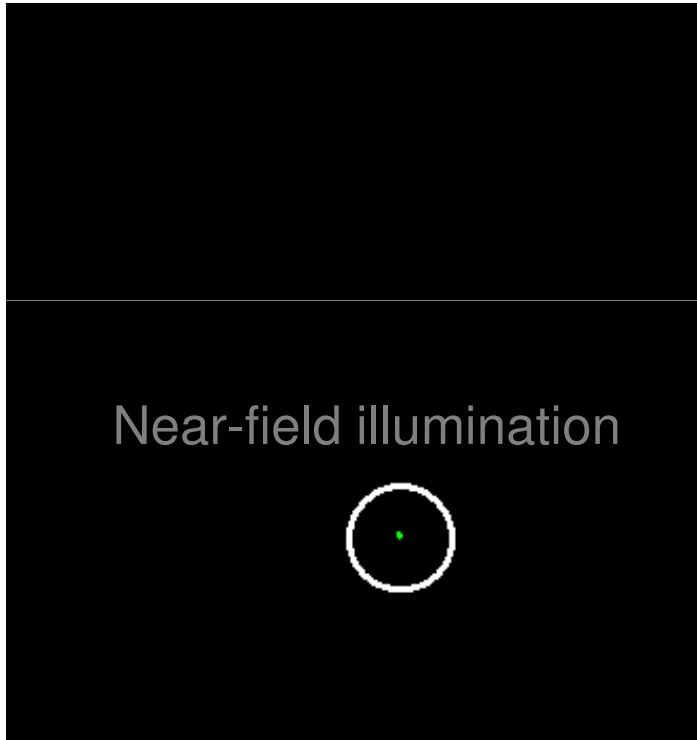
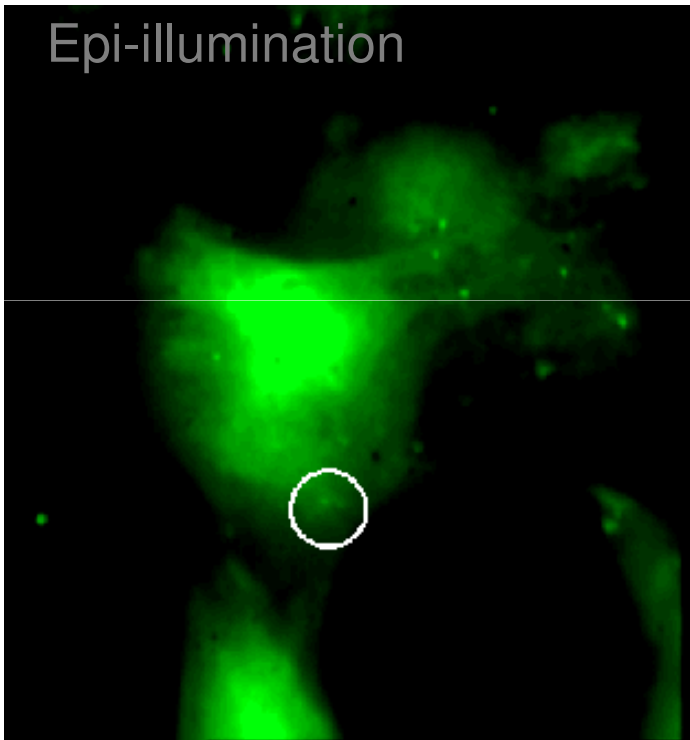


NSOM images

Tissues images



Living cells

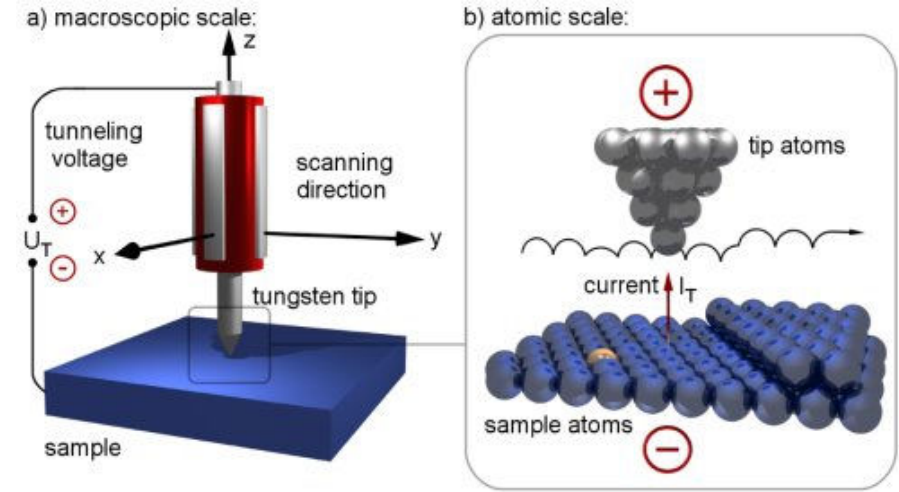




Scanning Tunnelling Microscopy **STM**

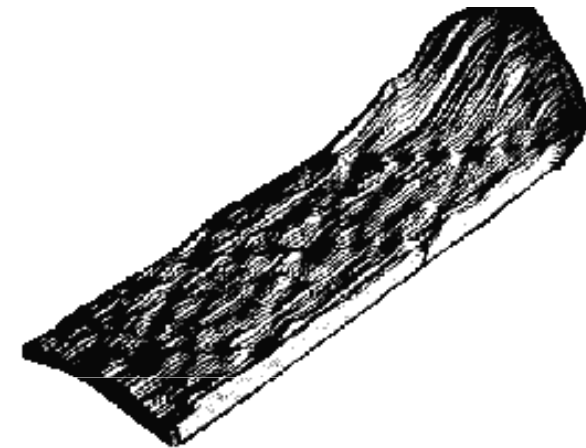
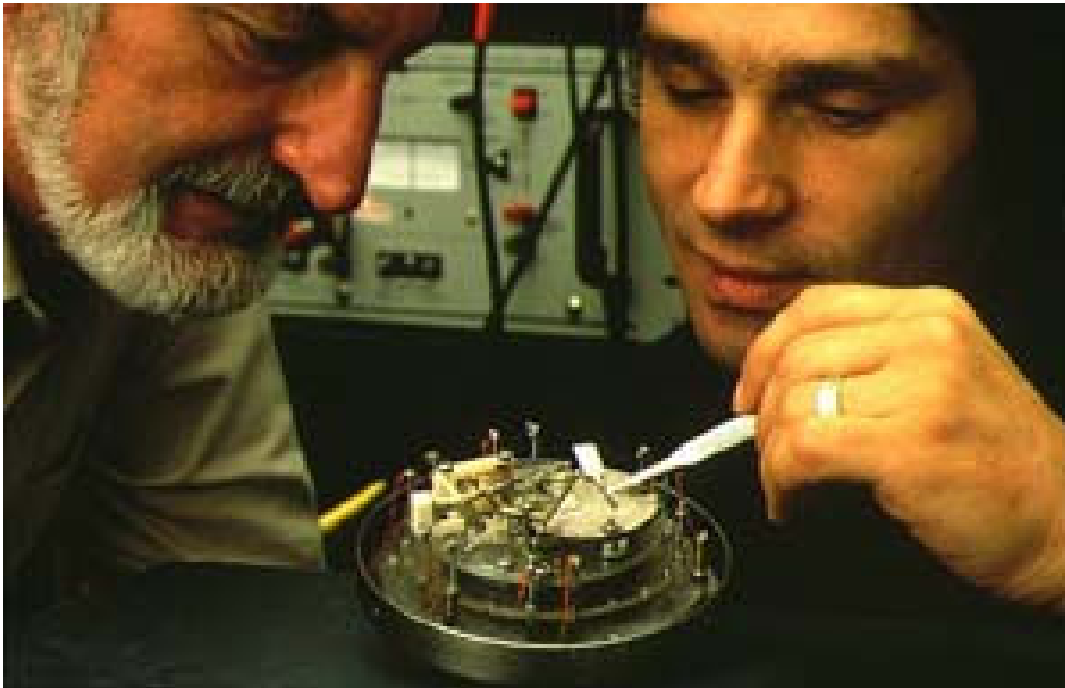


The Nobel Prize in Physics 1986



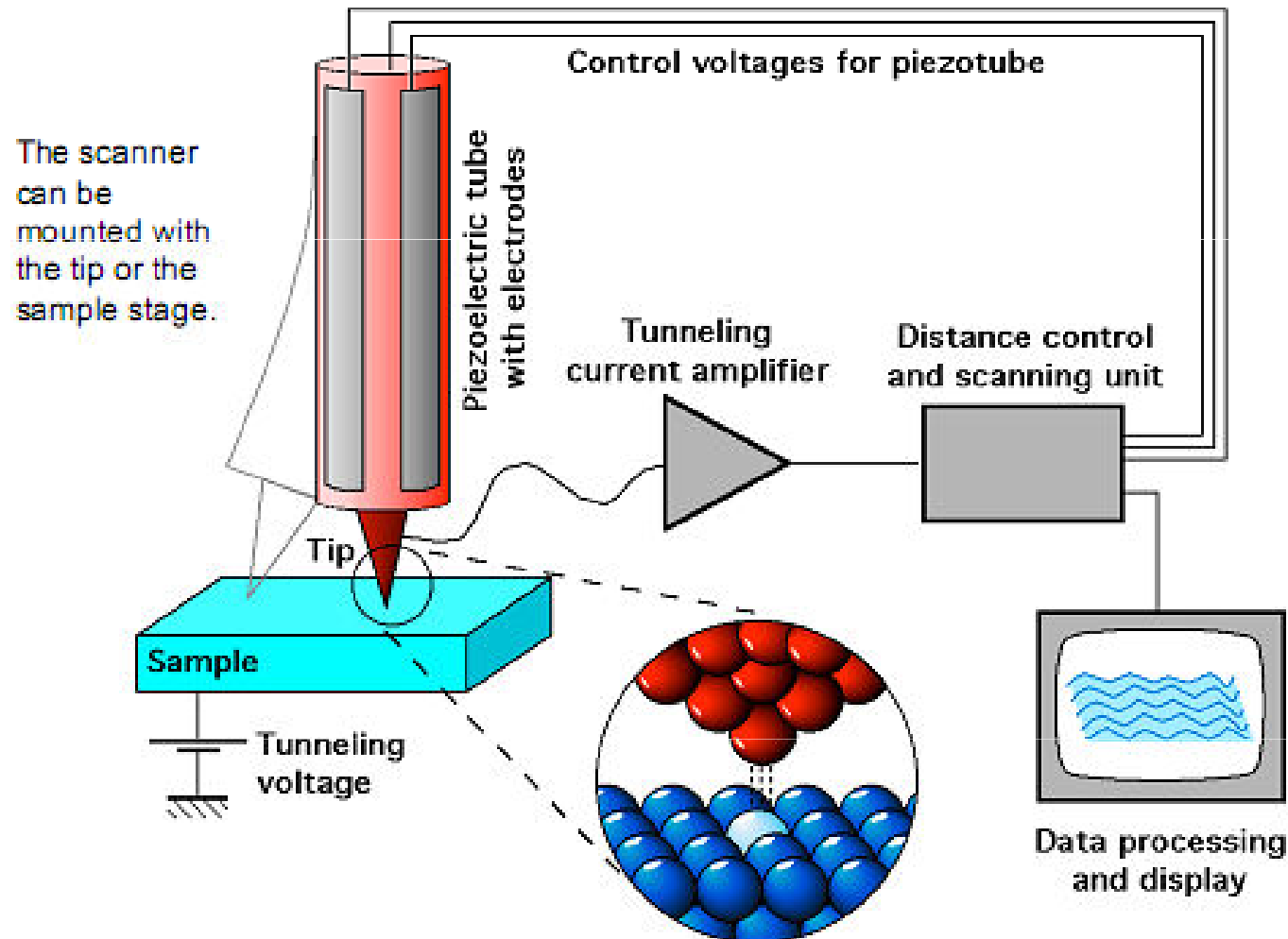
Nobel Laureates Heinrich Rohrer and Gerd Binnig

- **STM** - the **first** member of **SPM** family
- Developed in **1982** by Gerd Binnig and Heinrich Rohrer members of IBM in Zurich (Phys. Rev. Lett., 1982, vol 49, p57)
- **1986** - Nobel prize in physics for their brilliant invention



1982 - Triumph of Scanning Probe Microscopy - image of silicon surface 7x7 reconstruction.

Basic components of STM

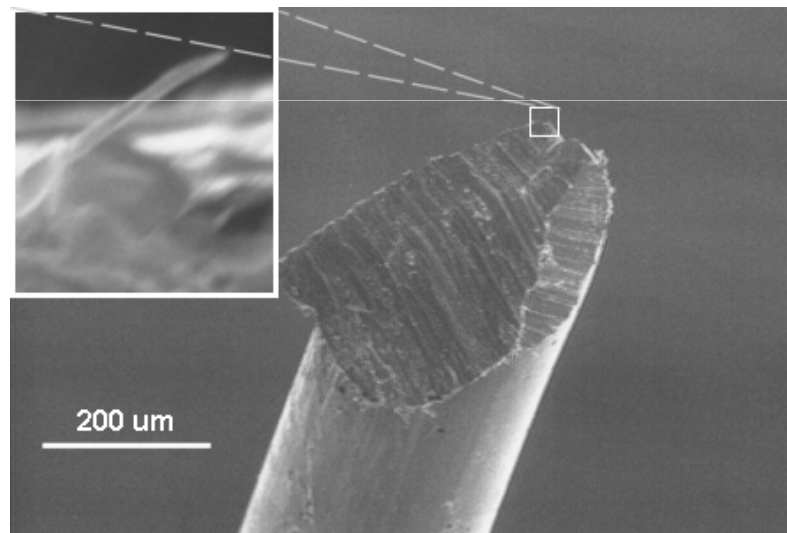
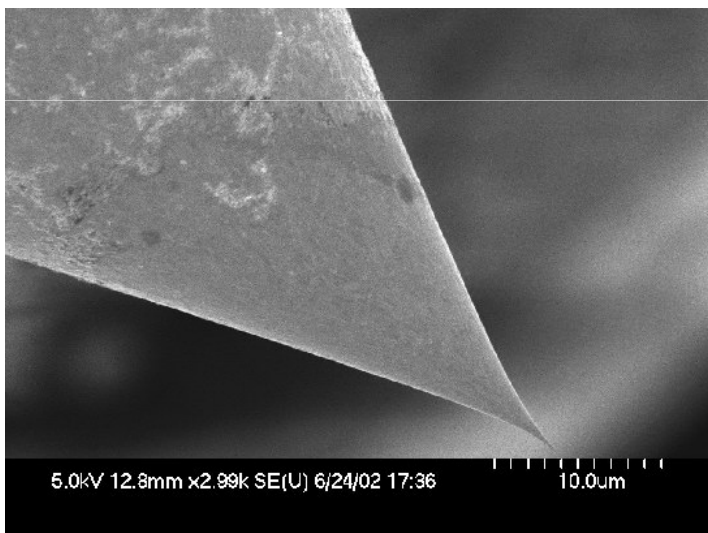
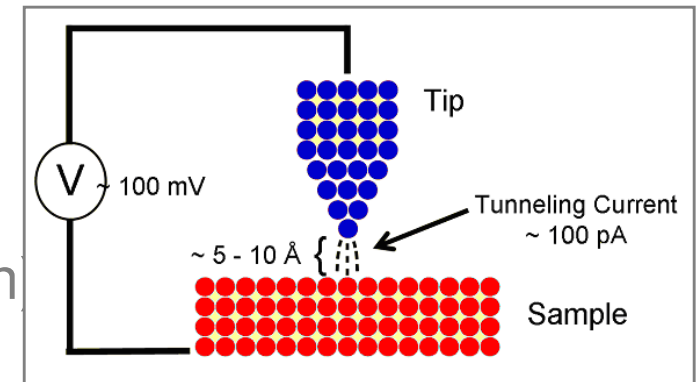


Five basic components:

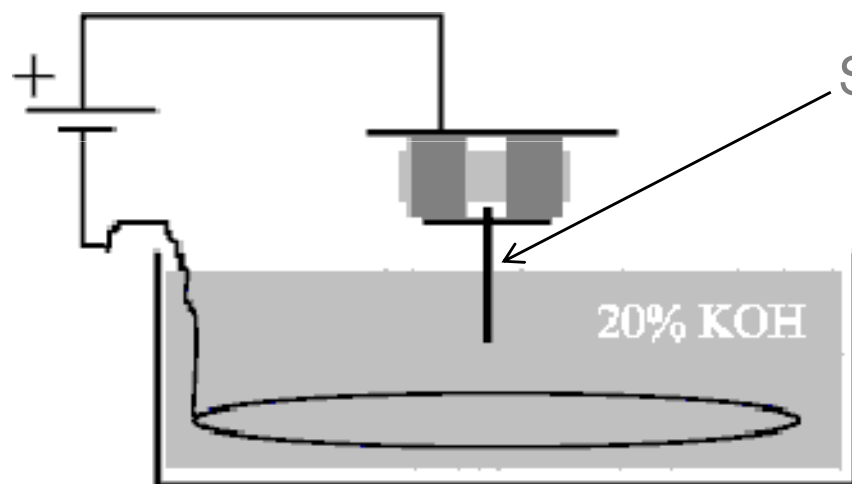
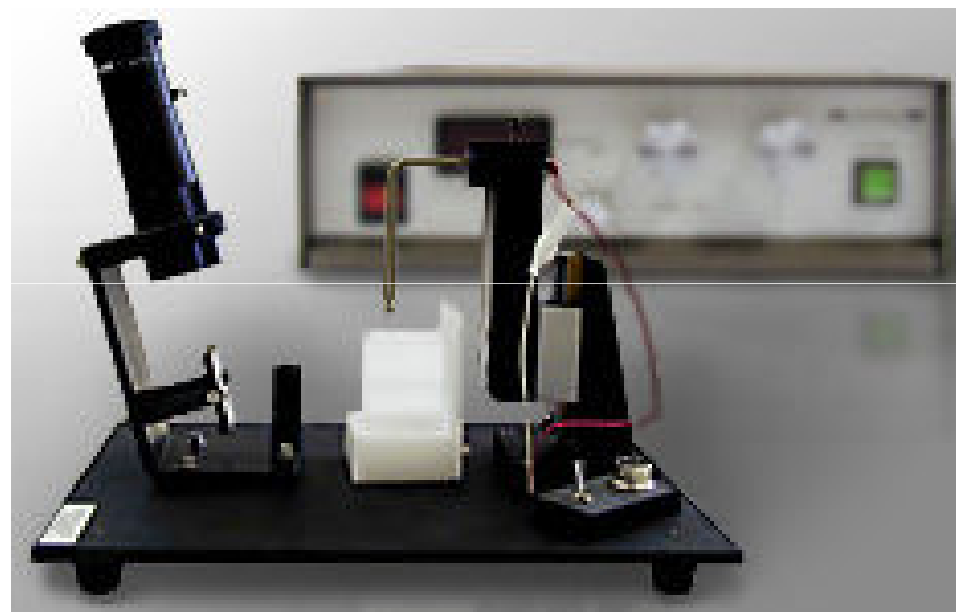
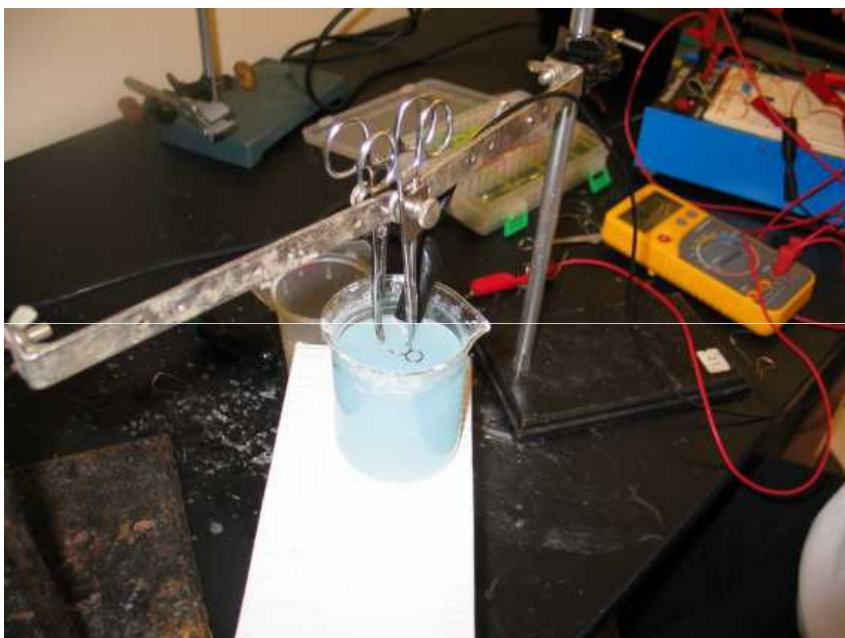
1. Metal tip,
2. Piezoelectric scanner,
3. Current amplifier (nA),
4. Bipotentiostat (bias),
5. Feedback loop (current).

STM tip

- **STM tip** - conductive (metals - Pt, W, Pt/Ir)
 - **STM** microscopy uses the very top (outermost) atom at the tip and the nearest atom on sample
- Tip is not necessarily very sharp in shape (different from AFM)
- **Tip preparation:**
 - Cutting with scissors
 - Electrochemical etching
 - Other techniques such as FIB (and combination)



STM tip electrochemical etching



Surface tension helps to create tip shape

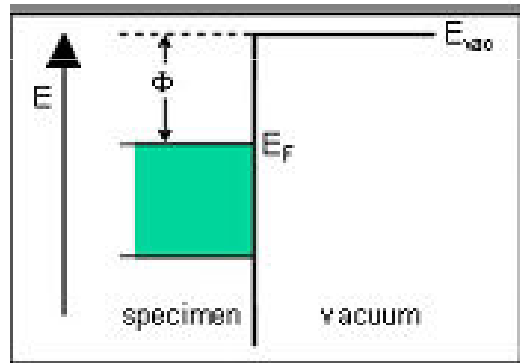
After etching the tip- including part of wire remains in holder, remaining part falls to bottom

Or...

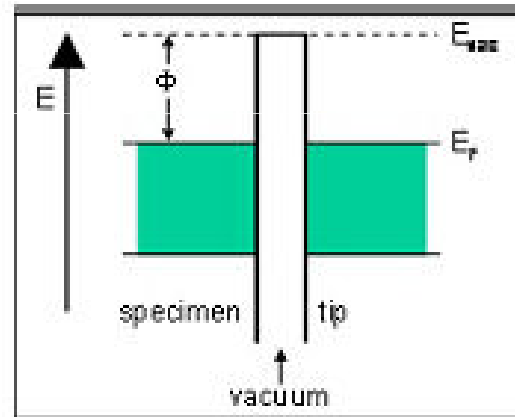


Tunneling current

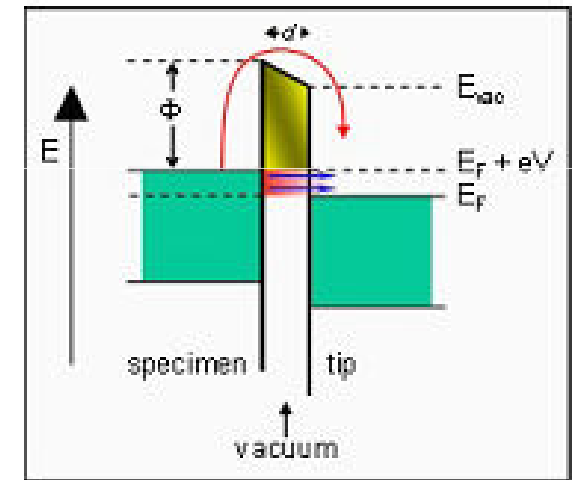
Transfer of electrons **without a contact** of conductive is not possible → according to classical mechanics → **tunneling**



In a metal, the energy levels of the electrons are filled up to a particular energy, known as the 'Fermi energy' E_F . In order for an electron to leave the metal, it needs an additional amount of energy Φ , the so-called 'work function'.

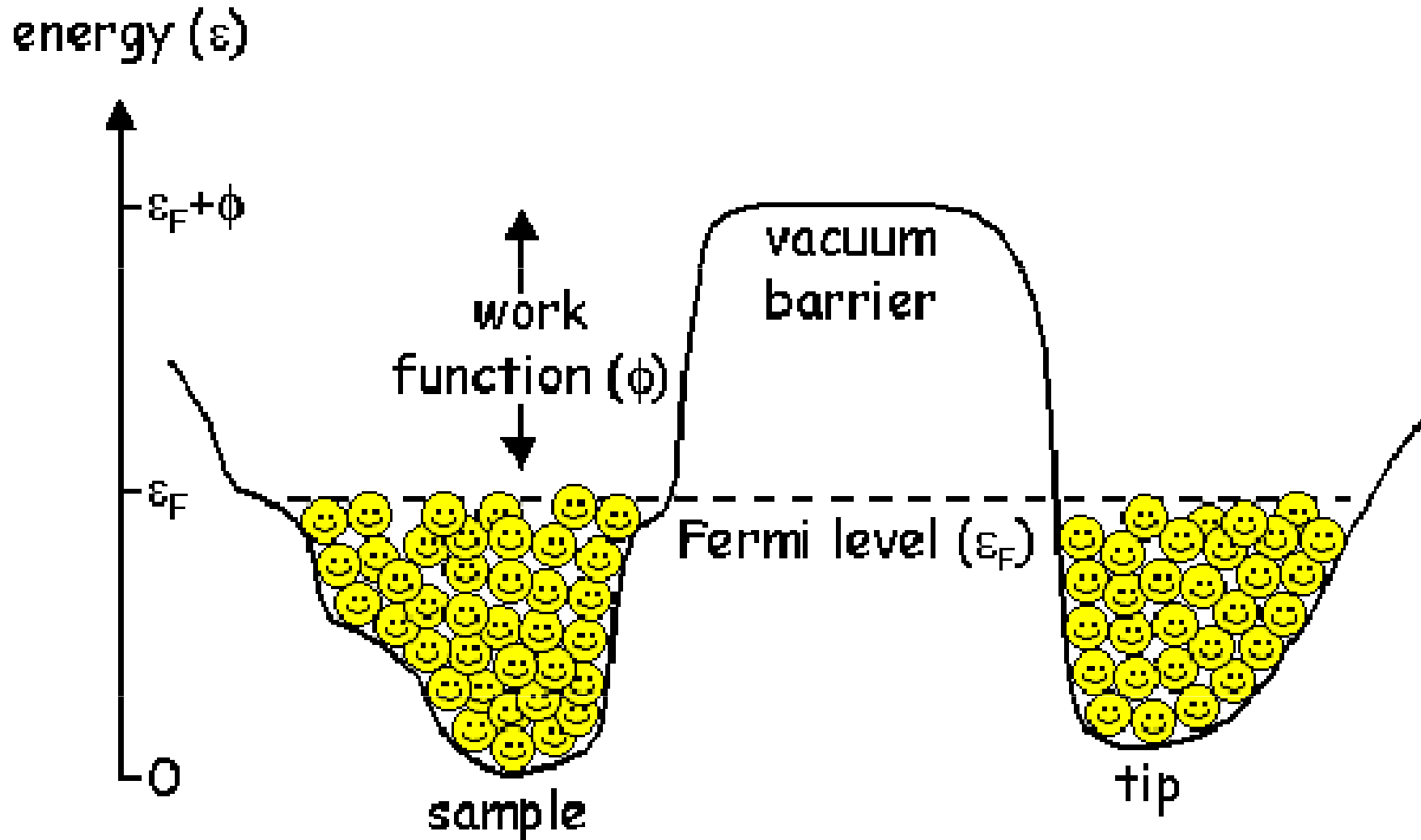


When the specimen and the tip are brought close to each other, there is only a narrow region of empty space left between them. On either side, the electrons are present up to the Fermi energy. They need to overcome a barrier Φ to travel from tip to specimen or vice versa



If the distance d between specimen and tip is small enough, electrons can 'tunnel' through the vacuum barrier. When a voltage V is applied between specimen and tip, the tunneling effect results in a net electron current. In this example from specimen to tip. This is the tunneling current.

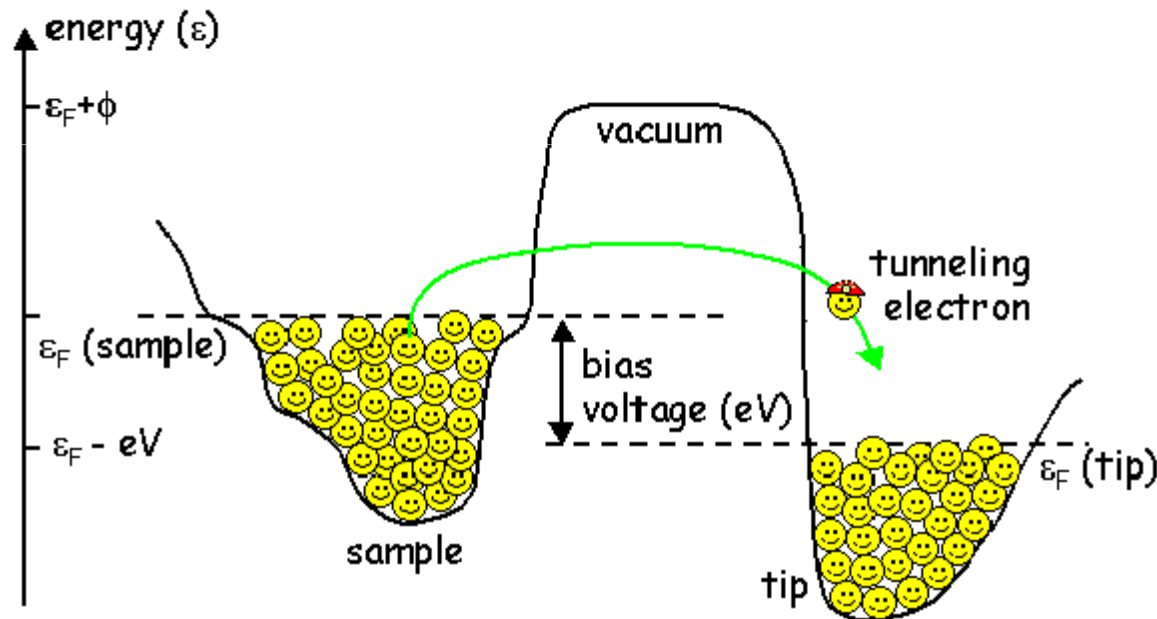
Tunneling of electrons... Fermi level



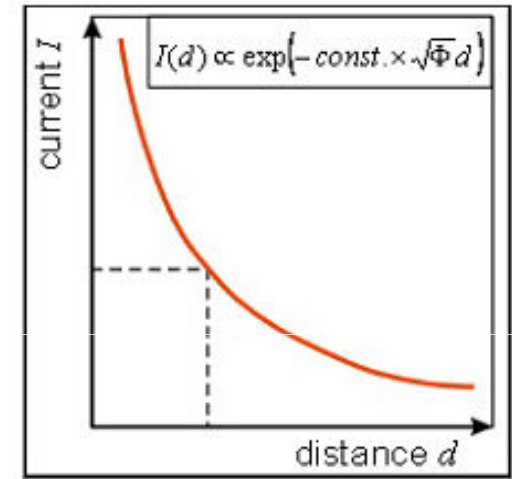
The electrons in the tip and the sample are sitting in two separate valleys, separated by a hill which is the vacuum barrier.

Electron density of states - Fermi level

- Electrons are happy sitting in either the tip or the sample
- It takes energy to remove an electron into free space - vacuum around the tip as an energy hill that the electron would need to climb in order to escape. The height of this energy hill is called the work function, ϕ .
- In order to bring an electron up and over the vacuum energy barrier from the tip into the sample (or vice versa), we would need to supply a very large amount of energy.
- Quantum mechanics tells us that the electron can tunnel right through the barrier. Note: this only works for particles!
- As long as both the tip and the sample are held at the same electrical potential, their Fermi levels line up exactly. There are no empty states on either side available for tunneling into! This is why we apply a bias voltage.



- A thin metal tip is brought in close proximity of the sample surface. At a distance of only a few Å, the overlap of tip and sample electron wavefunctions is large enough for an electron tunneling to occur.
- When an electrical voltage V is applied between sample and tip, this tunneling phenomenon results in a net electrical current, the 'tunneling current'. This current depends on the tip-surface distance d , on the voltage V , and on the height of the barrier Φ :
- This (approximate) equation shows that the tunneling current obeys Ohm's law, i.e. the current I is proportional to the voltage V .
- The current depends exponentially on the distance d .
- For a typical value of the work function Φ of 4 eV for a metal, the tunneling current reduces by a factor 10 for every 0.1 nm increase in d . This means that over a typical atomic diameter of e.g. 0.3 nm, the tunneling current changes by a factor 1000! This is what makes the STM so sensitive.
- The tunneling current depends so strongly on the distance that it is dominated by the contribution flowing between the last atom of the tip and the nearest atom in the specimen



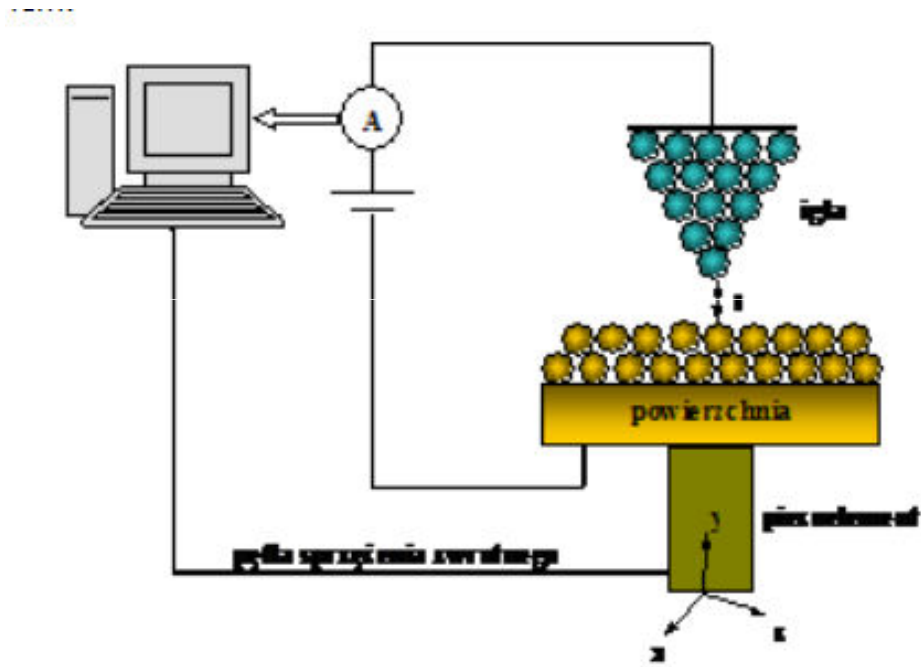
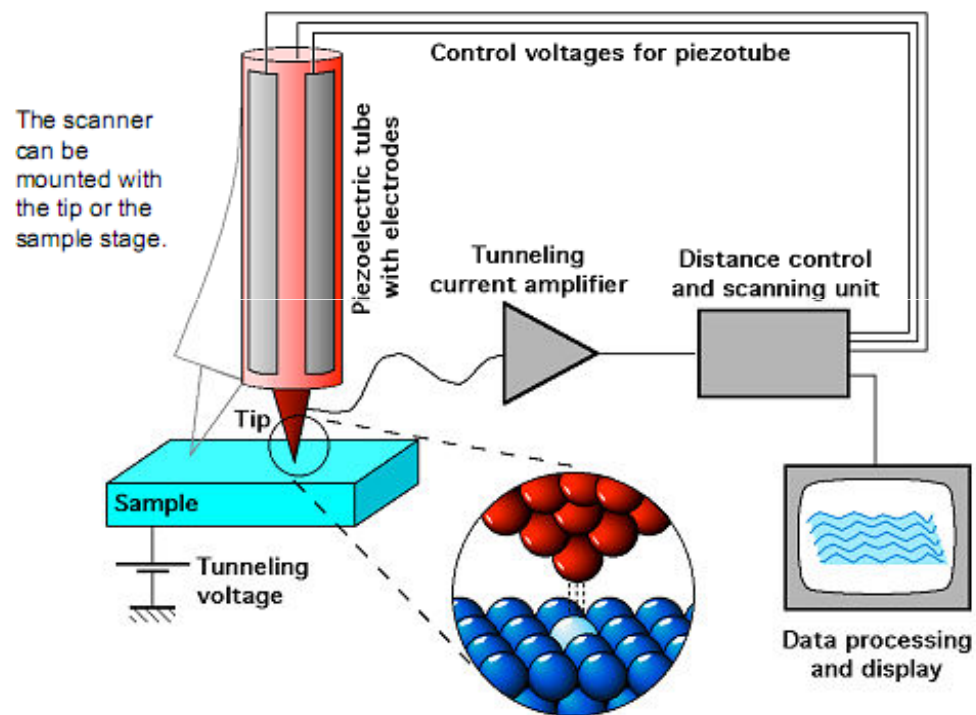
$$I(d) = constant \times eV \exp\left(-2 \frac{\sqrt{2m\Phi}}{\hbar} d\right)$$

Φ - the work function (energy barrier)

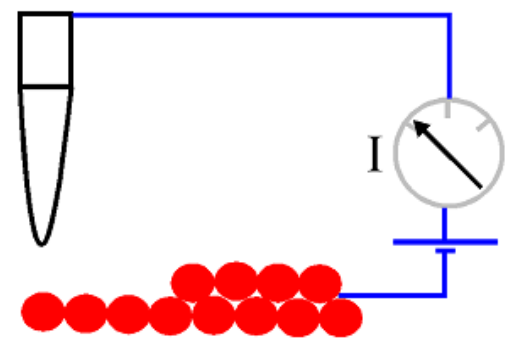
D - tip-sample distance

Single-atom imaging!

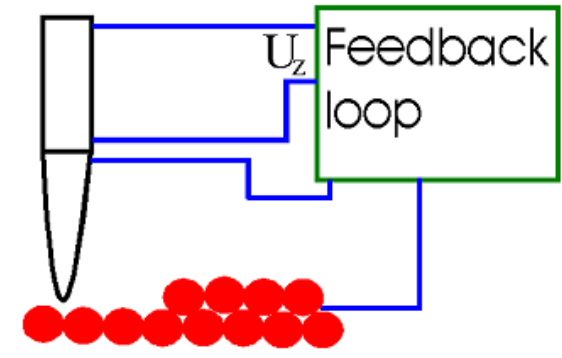
STM modes



Tell if same atoms

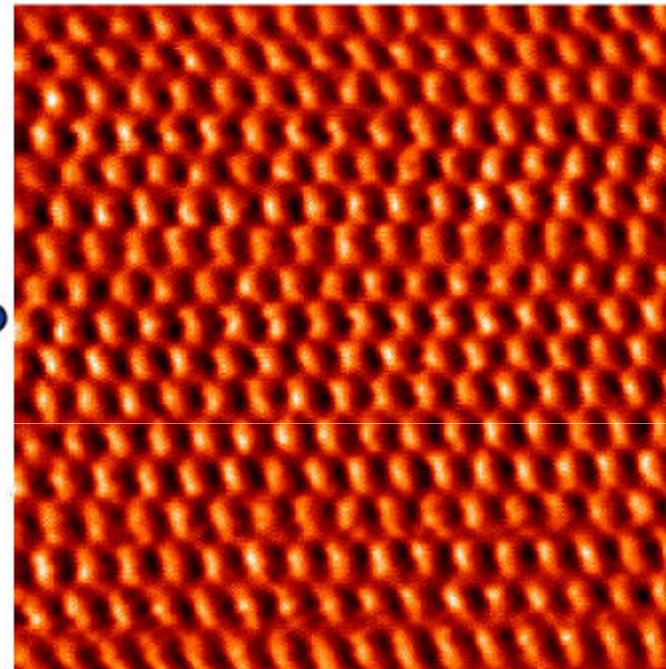
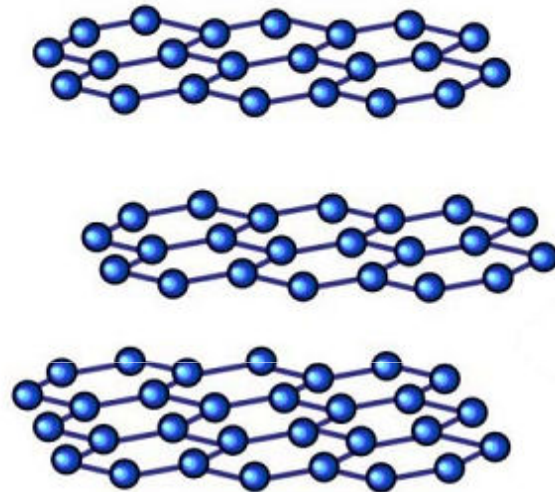


Tell heights for the same atoms



Factors affecting STM imaging

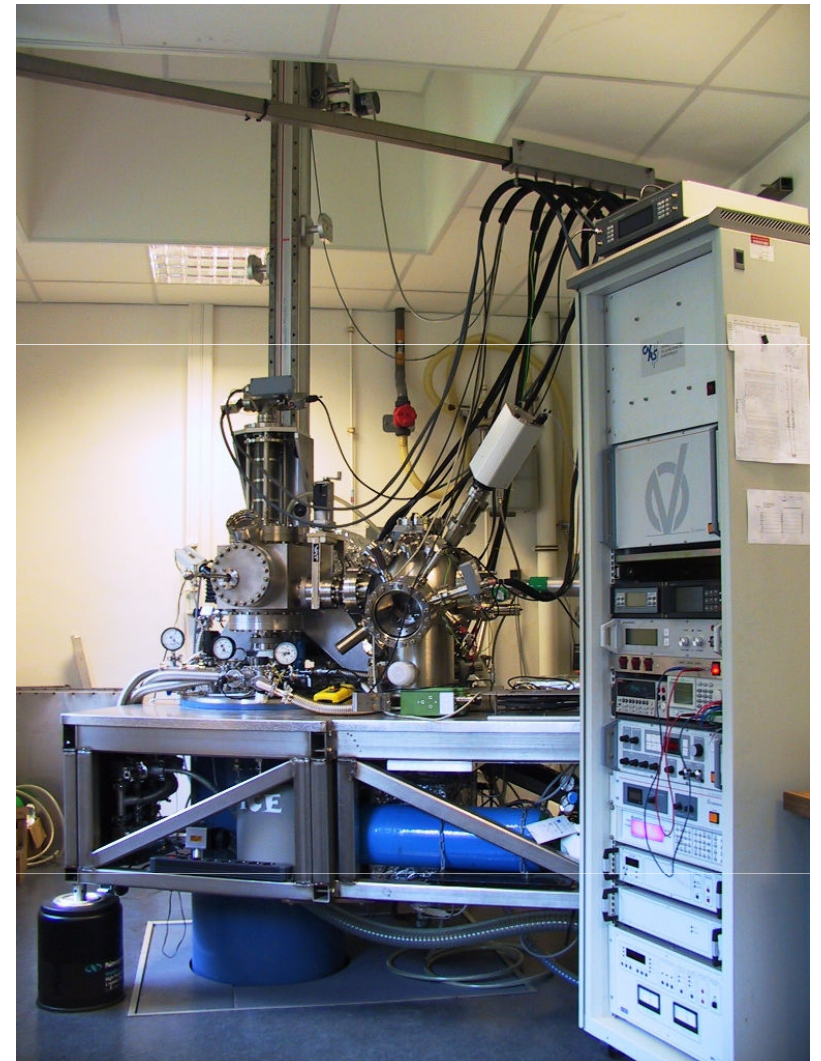
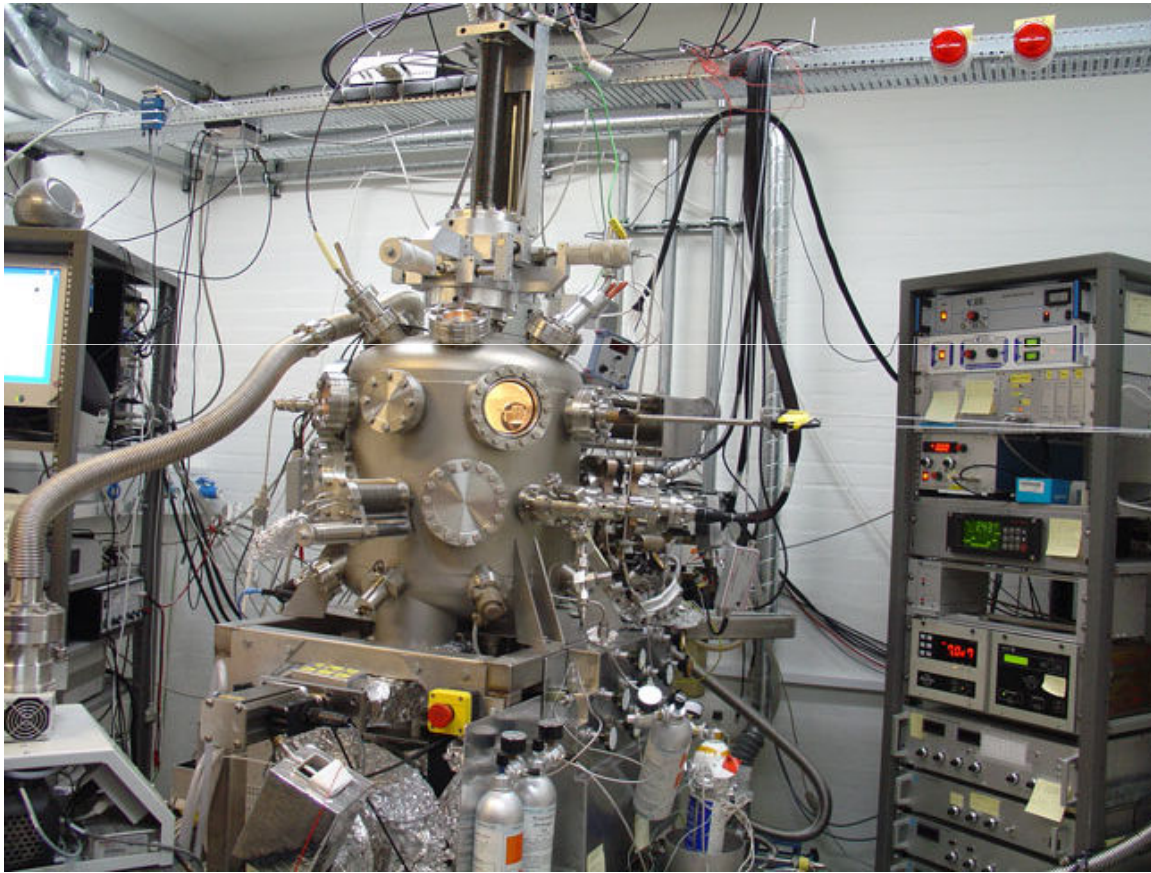
- **Corrugation** - how much the electron density of surface atoms varies in height above the surface.
- **Thermal drift** – change of temperature cause extension of material



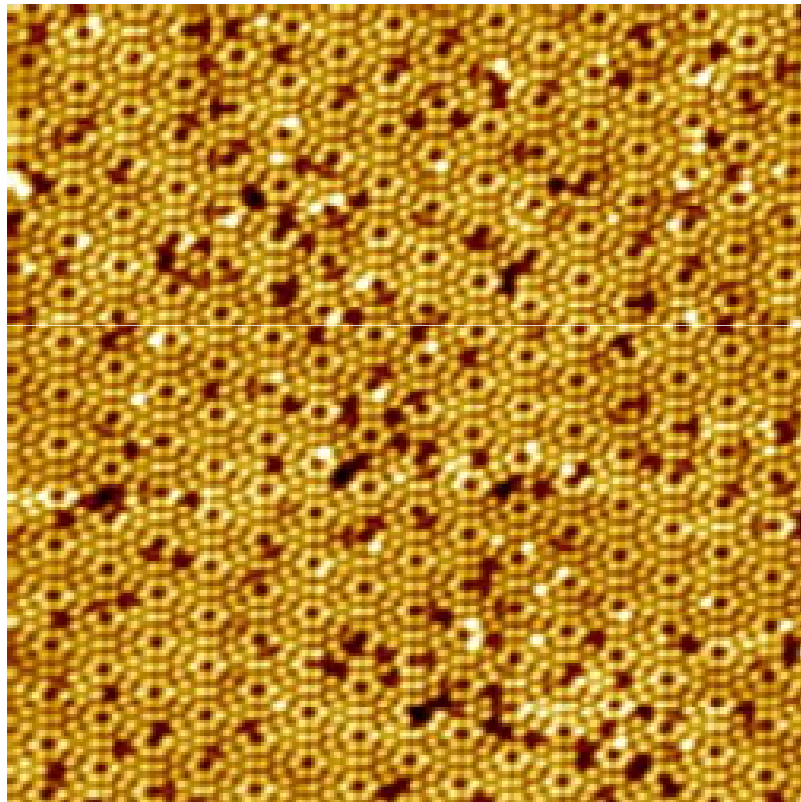
HOPG

Graphite has a large corrugation, and is very planar, and thus is one of the easiest materials to image with atomic resolution. (see next slide for example)

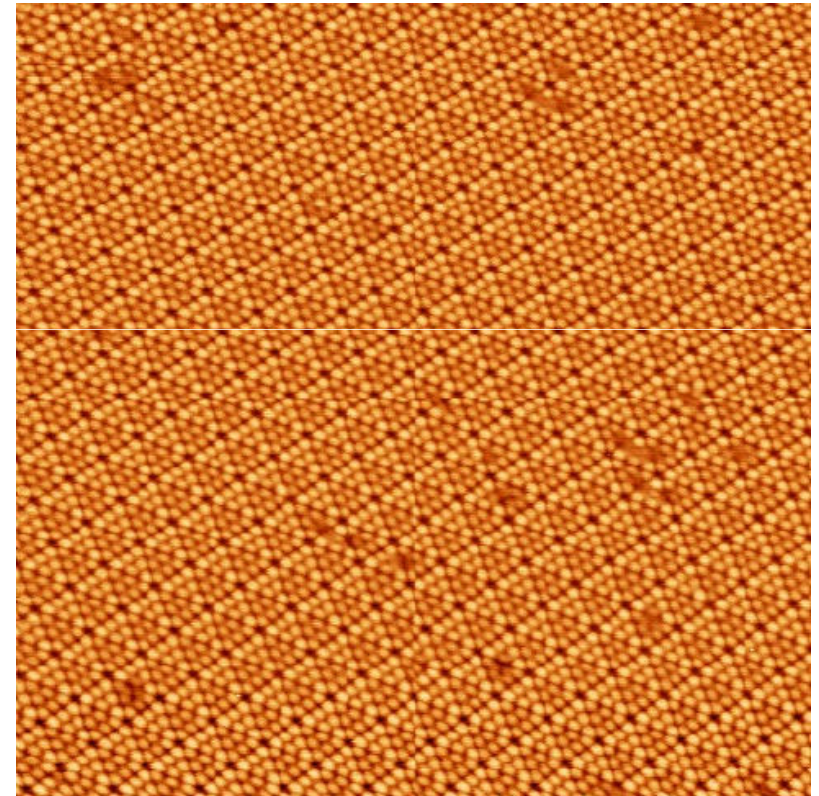
UHV-STM (UltraHigh Vacuum STM)



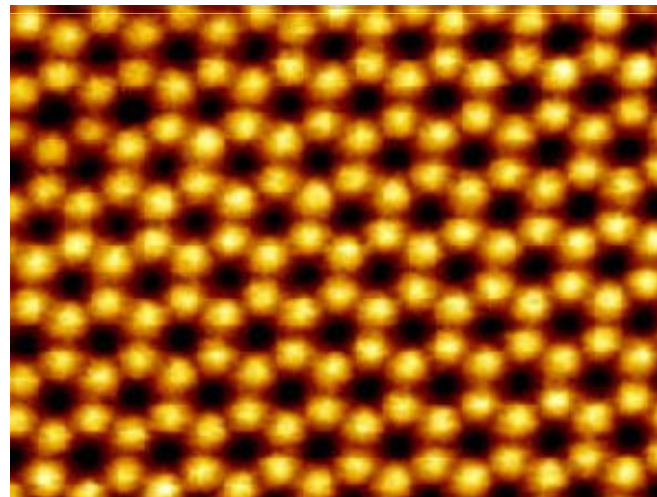
UHV-STM examples




Si (111) 7x7, 40nm
empty states image,
room temperature, dark
spots represent missing
atoms or adsorbates



Clean Si(111)
reconstructed to
(7x7); STM image 50
x 50 nm²



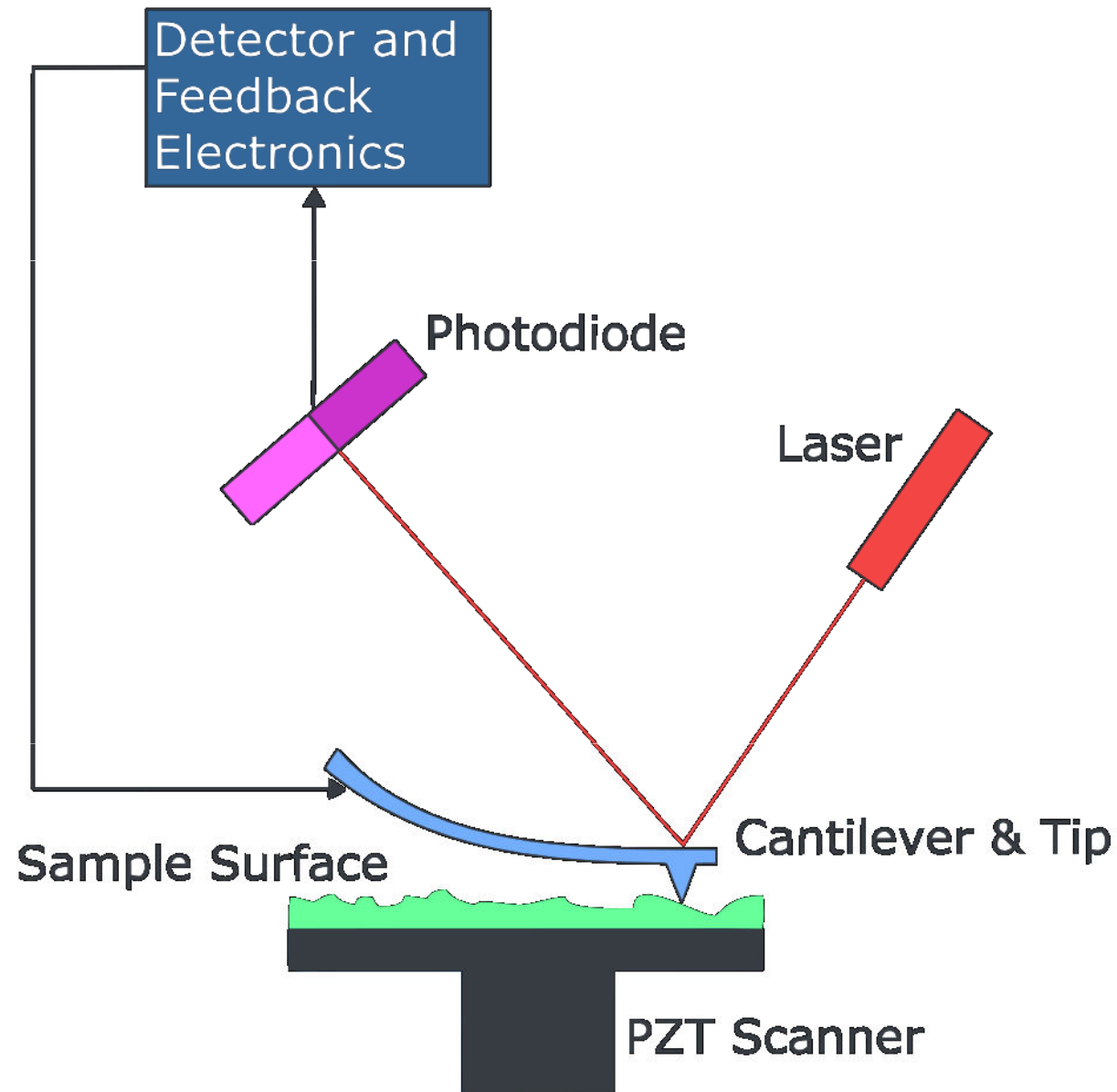
Ag-Si (111) 10nm



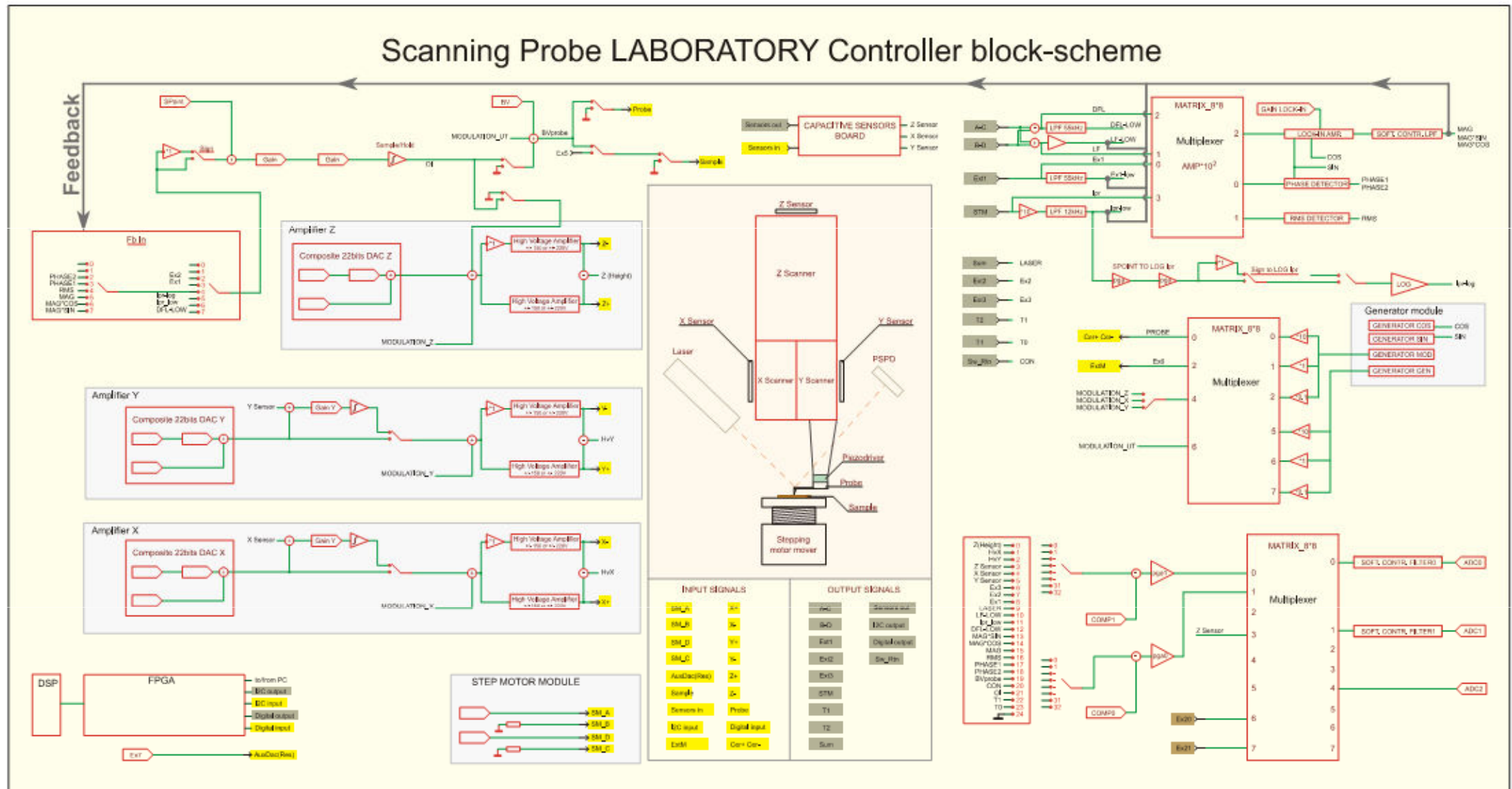
Atomic Force Microscopy

AFM

AFM microscope basic scheme

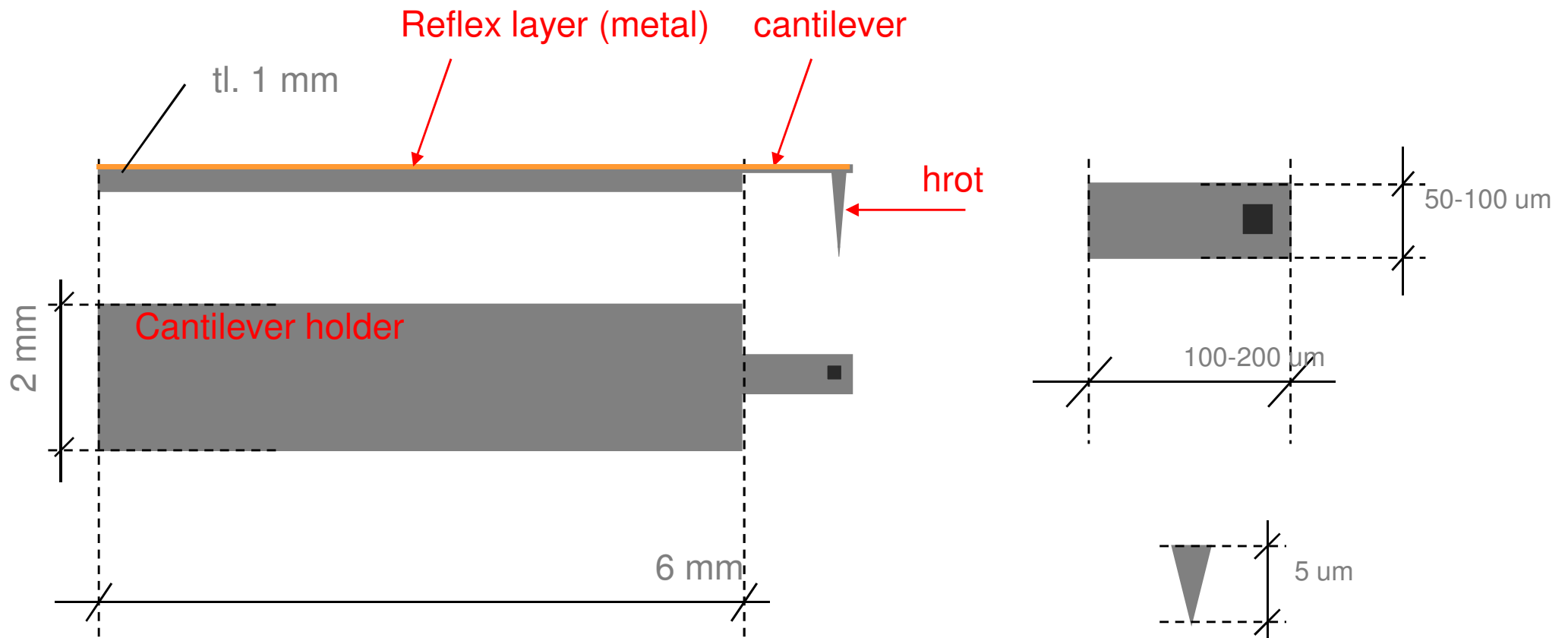


AFM microscope block scheme



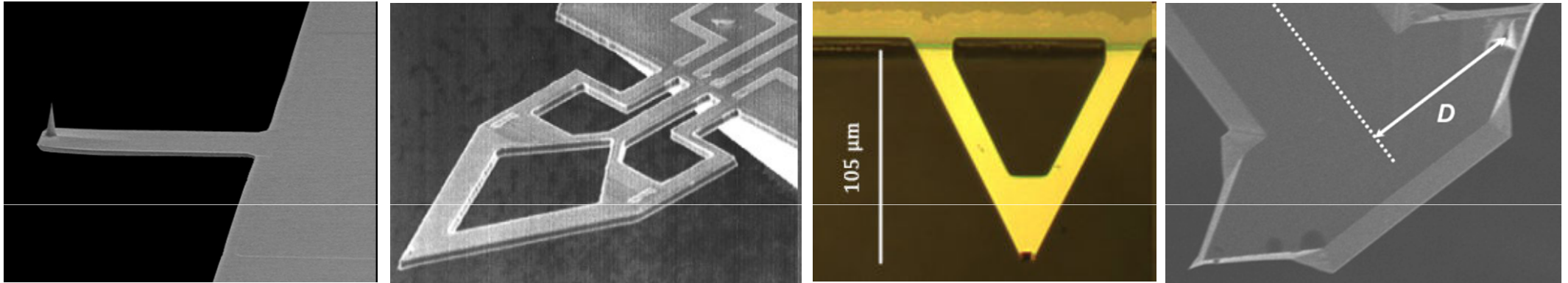
Tip and cantilever

Cantilever and tip



- Cantilever holder is quite universal
- Cantilever and tip – a variety of various types

Cantilevers



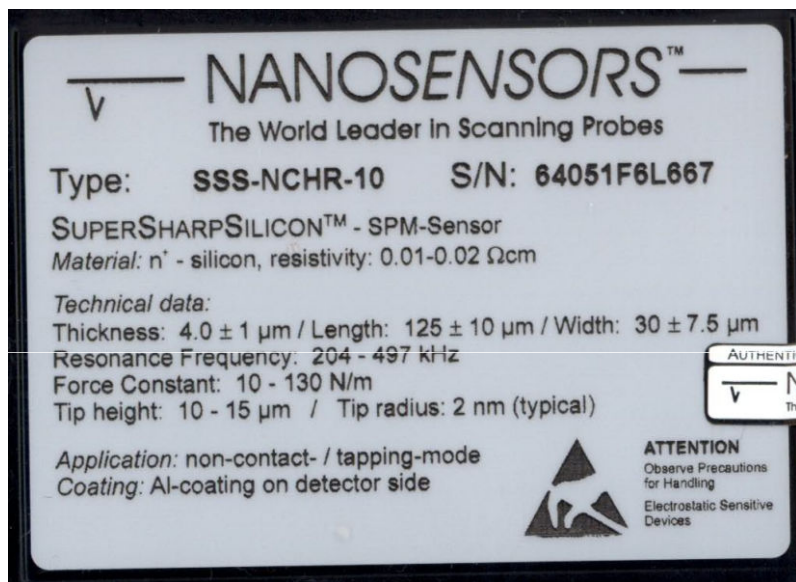
Material properties – Stiffness Force Constant [N/m]

Force const.[N/m]	10-130	1-10	0.1-1.0	0.005-0.1
Material	cryst. silicon	pol. silicon	glass	Si ₃ N ₄
Res. f. [kHz]	200-500	100-200	15-100	1-20

Special applications – conductive, colloid, magnetic, tip less, ...

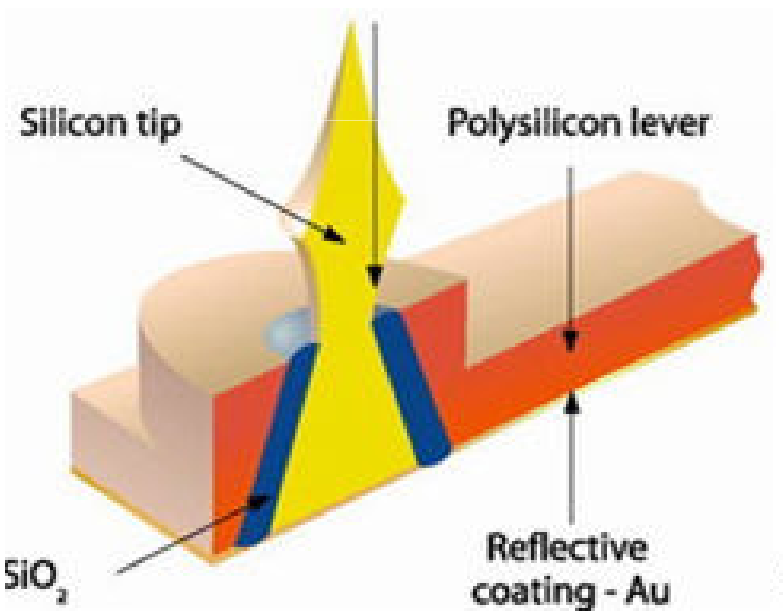
Cantilever

characterization you may find on box



Cantilever field

choose the one you like/need



AFM probes (micro)fabrication is quite complex

Tip properties

Shape – Curvature Radius R [nm]

R 1 nm

10 nm

100 nm

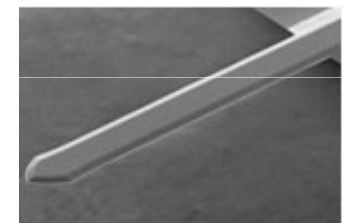
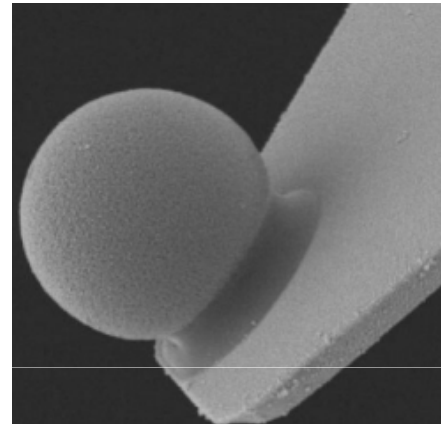
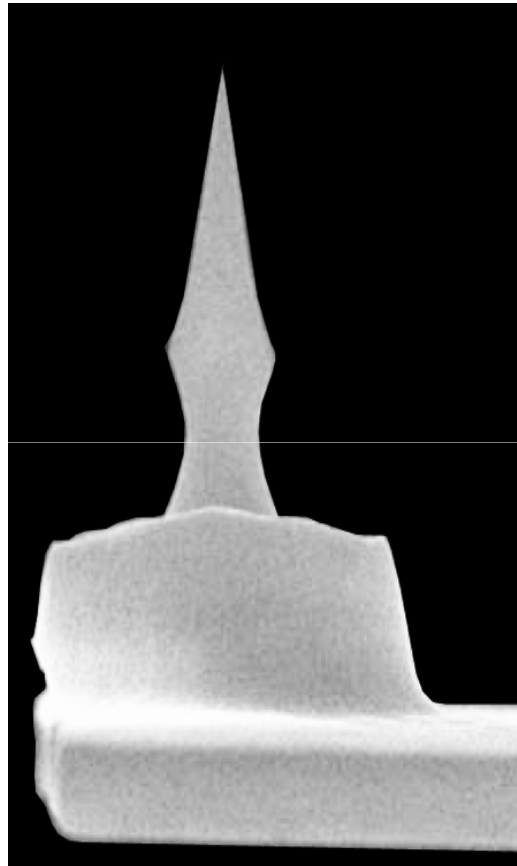
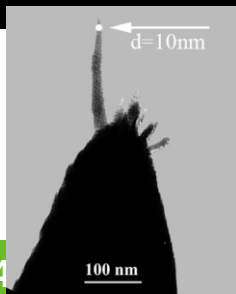
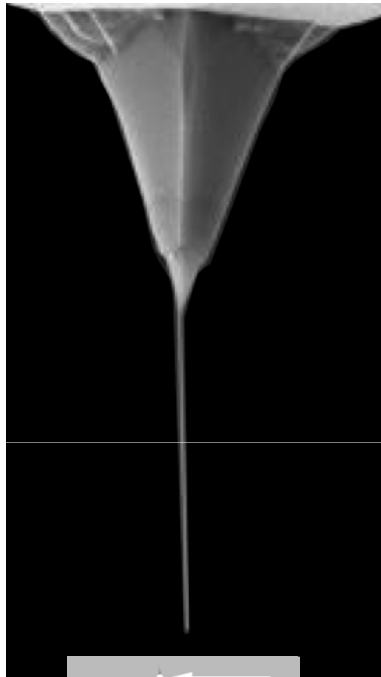


Supersharp

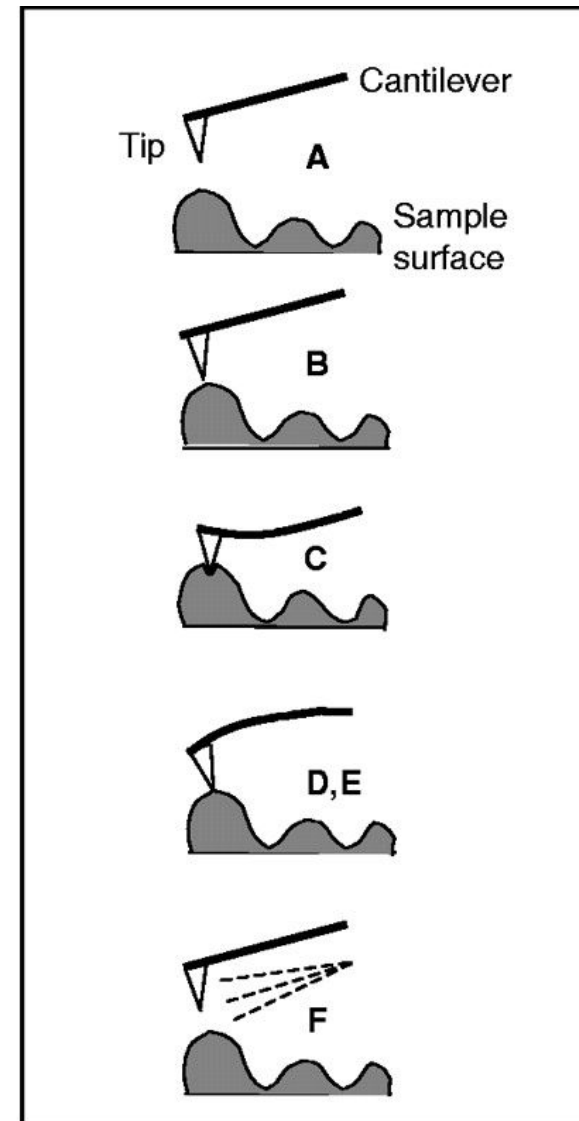
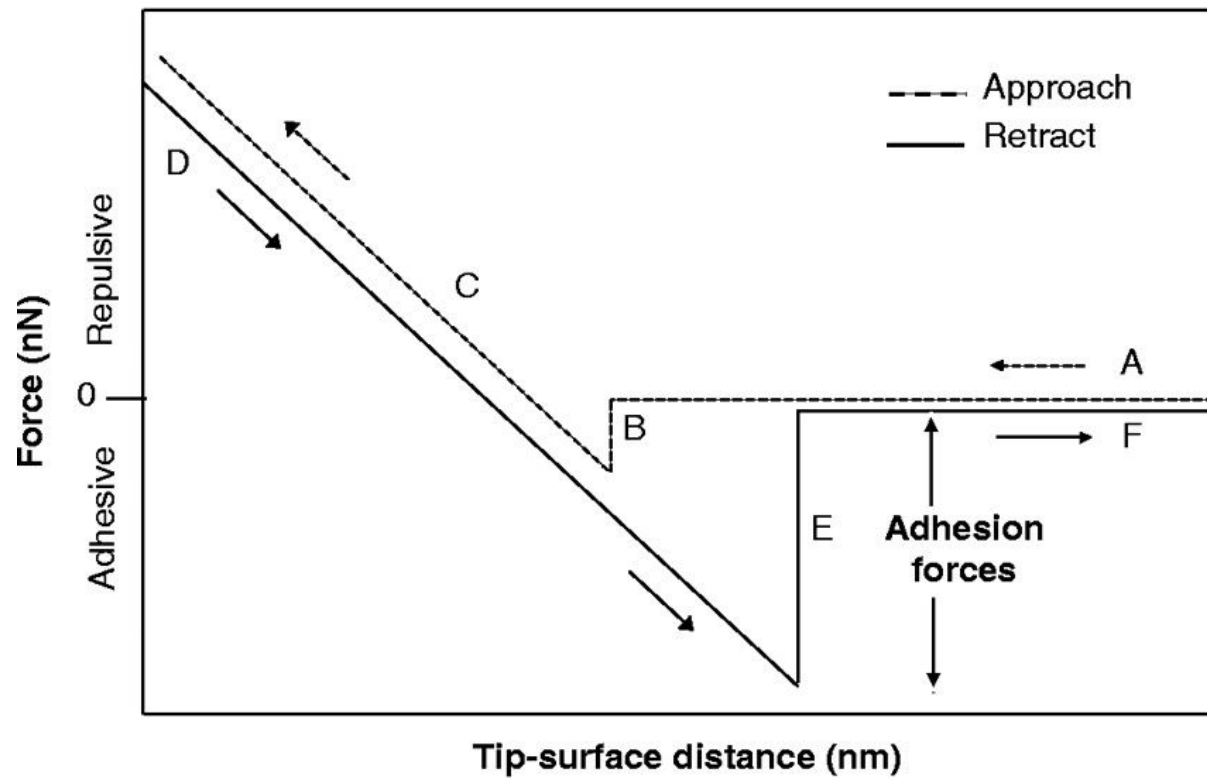
Standard

Special app.

Tip less



Idealized force-distance curve describing a single approach-retract cycle of the AFM tip, which is continuously repeated during surface scanning.

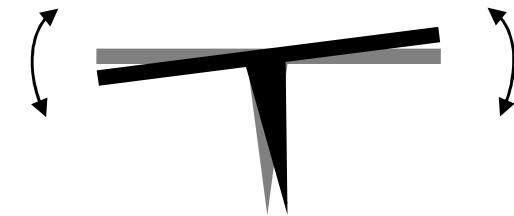
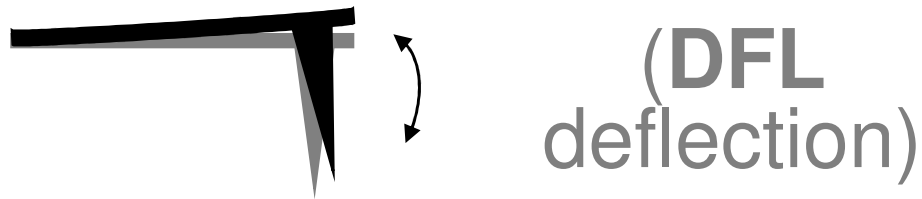


Victor Shahin et al. J Cell Sci 2005;118:2881-2889

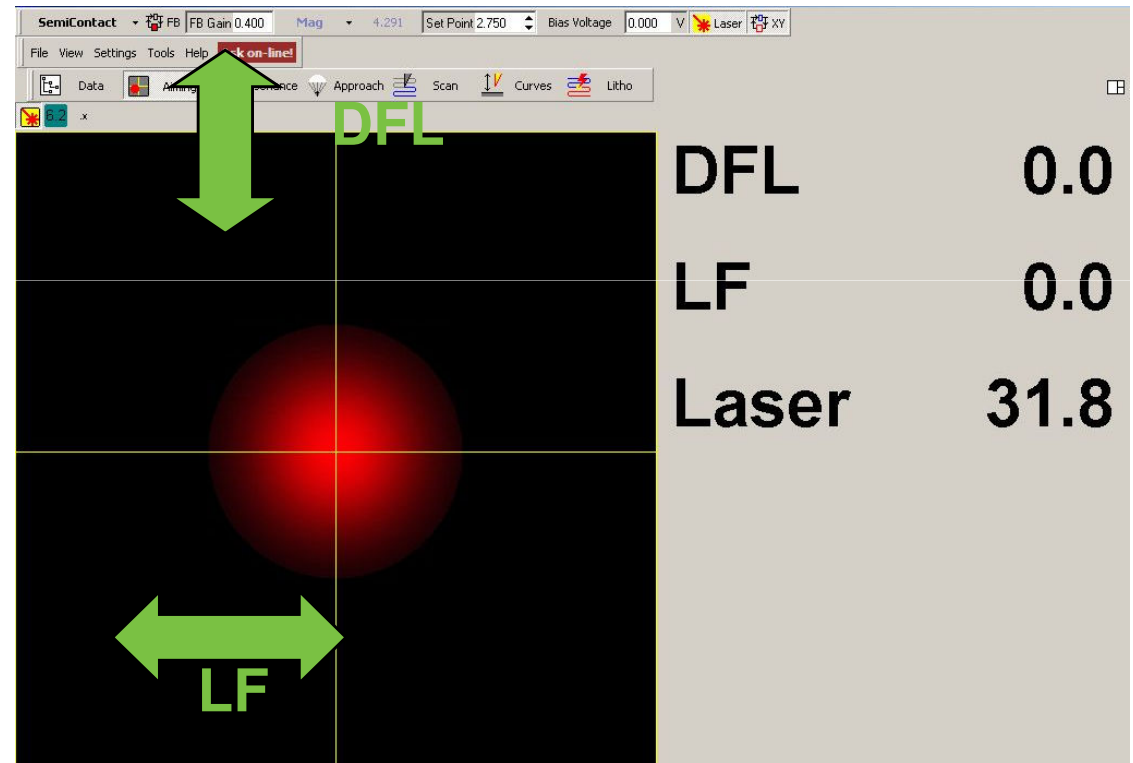
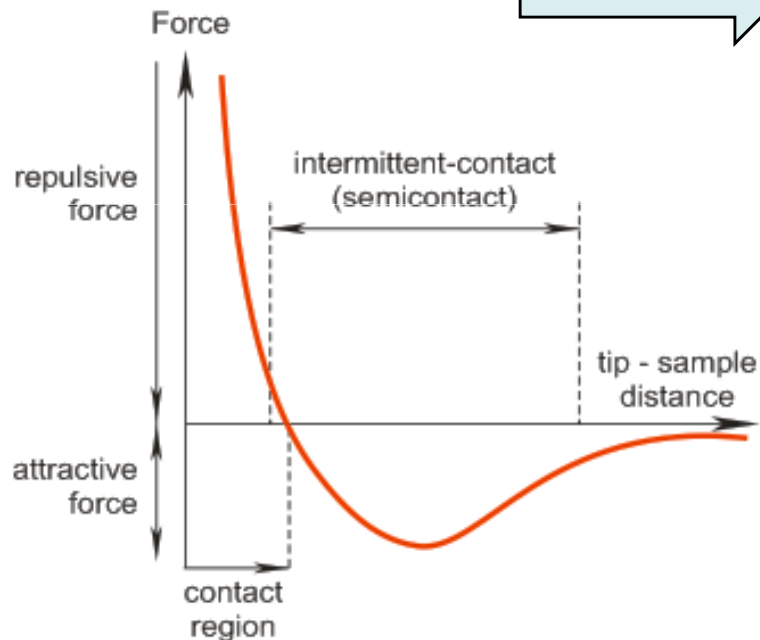
Cantilever bending – how to detect

Contact with surface

Torsion forces
(LF lateral forces)

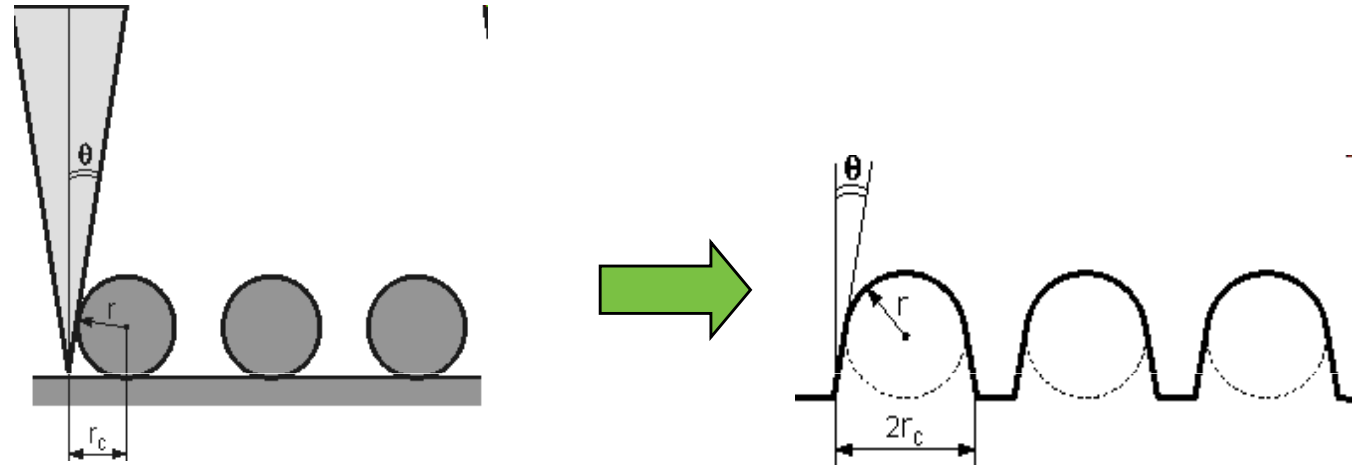


Change of cantilever properties
(DFL/LF) is detected by laser beam

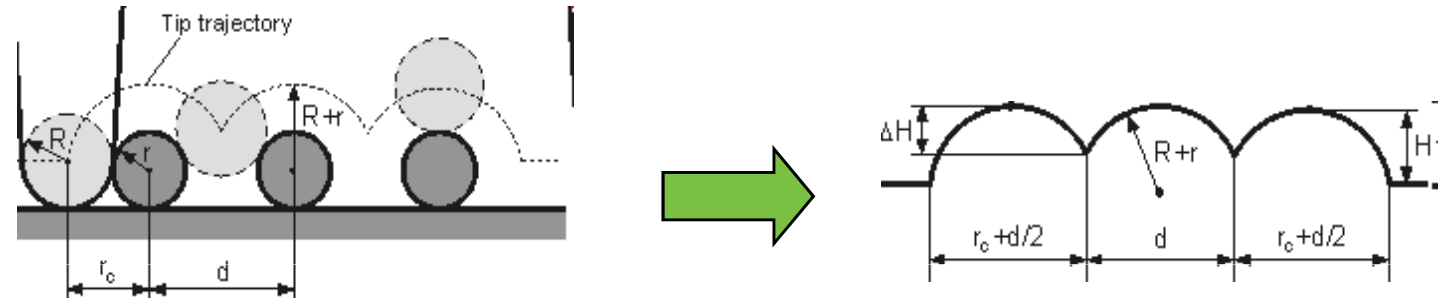


Curvature radius (R) effect

SuperSharp tip
= real image



Standard tip
= $R \sim 5-10\text{nm}$



Blunt tip
= affecting real shape
and size

