Ewald artifacts in liquid state molecular dynamics simulations

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An investigation into the effects of the anisotropic nature of the Ewald potential for the treatment of long range electrostatic interactions in liquid solutions has been performed. The rotational potential energy surface for two simple charge distributions, and a small protein, have been studied under conditions typically implemented in current biomolecular simulations. A transition between hindered and free rotation is observed which can be modeled quantitatively for simple charge distributions. For most systems in aqueous solution, the transition involves an energy change well below k_BT . It is argued that, for solvents with a reasonably high relative permittivity, Ewald artifacts will be small and in many cases may be safely ignored. © 1996 American Institute of Physics. [S0021-9606(96)02034-X]

I. INTRODUCTION

The correct treatment of long range electrostatic interactions is of considerable importance for the reliability and reproducibility (precision) of modern computer simulations of polar/charged systems. Approximate methods,¹ while often simple and computationally cheap, have been shown to produce unacceptable artifacts which bring into question the validity of many of the results so obtained.^{2–4}

The Ewald technique is a well established method for a rigorous treatment of electrostatic interactions in periodic systems which contain a set of full or partial atomic charges.⁵ While the method has been successfully applied to a variety of systems in condensed phase physics, its use for simulating liquids^{6,7} and, in particular, biomolecular systems, has only recently emerged.^{8,9} Some of the reasons for the slow acceptance of the technique include the added expense and complexity involved in implementing the method, and the possibility of artificial enhanced periodicity effects for finite size systems.^{10–12}

Progress has been made in reducing the computational effort required in implementing the Ewald technique.^{13–15} However, the question of artificial periodicity effects has received less attention.¹⁶ Artificial periodicity effects can be thought of by simply comparing the force lines generated by a simple charge distribution, a dipole for example, in isolation or at infinite dilution (normally the target conditions), with the field lines obtained for a infinite array of dipoles. The field lines differ at large distances and this has been a perceived problem with the technique. Here, we consider the significance of this artifact for a number of test cases.

Our study is focused on two simple model charge distributions, a linear dipole and quadrupole, together with a study of the protein, bovine pancreatic trypsin inhibitor (BPTI). For all three systems we were interested in the effect of the anisotropic nature of the Ewald potential on the rotational properties of the system. A linear dipole, for instance, will tend to align preferentially along one of the axes of the periodic cell; a ferroelectric configuration of the system. This study attempts to determine under what conditions the alignment will dominate to a degree that free thermal rotation is no longer possible. The evidence suggests that for most typical liquid state biomolecular simulations Ewald artifacts will be small and can be safely ignored.

In Section II, we develop equations which prove useful in modeling the anisotropic nature of the Ewald potential for simple charge distributions. We show the dependence of the rotational potential energy surface on charge, charge separation, relative permittivity, and box length. The results for a linear dipole and quadrupole, together with those for BPTI, are then presented. Finally, the implications for simulations using the Ewald potential in liquid state simulations of macromolecules are discussed in the conclusions.

II. THEORY

Here, we develop equations to model the orientational effects of simple linear dipoles and quadrupoles observed when using Ewald electrostatics. The Ewald electrostatic potential energy of a periodic system of N charges ($\{q\}$) with a total charge of Q in a cubic box of length L and relative permittivity ϵ_r , surrounded by a dielectric continuum of relative permittivity ∞ is given by^{5,17}

$$V = \frac{1}{4\pi\epsilon_{r}\epsilon_{0}} \left[\sum_{i < j}^{N} \frac{q_{i}q_{j}}{|\mathbf{r}_{ij}|} \operatorname{erfc}(\alpha|\mathbf{r}_{ij}|) + \sum_{i < j}^{N} \frac{q_{i}q_{j}}{\pi L} \sum_{|\mathbf{n}| \neq 0}^{\infty} \frac{e^{-\pi^{2}|\mathbf{n}|^{2}/(\alpha^{2}L^{2})}}{|\mathbf{n}|^{2}} \cos\left(\frac{2\pi\mathbf{n}\cdot\mathbf{r}_{ij}}{L}\right) - \frac{\alpha}{\sqrt{\pi}} \sum_{i}^{N} q_{i}^{2} - \frac{\pi Q^{2}}{2\alpha^{2}L^{3}} \right], \qquad (1)$$

where erfc is the complementary error function,

$$\operatorname{erfc}(x) = 1 - \frac{2}{\sqrt{\pi}} \int_{0}^{x} e^{-t^{2}} dt, \qquad (2)$$

with $\mathbf{r}_{ij} = \mathbf{r}_j - \mathbf{r}_i$, and \mathbf{r}_i is the position of atom *i*. The summation over $\mathbf{n} = (n_x, n_y, n_z)$ represents a sum over lattice vectors in three dimensions $(n_\alpha, \alpha = x, y, \text{ or } z \text{ are integers})$ with $|\mathbf{n}|^2 \le n_{\max}^2$. Here, we have assumed that the value of the convergence parameter α has been chosen such that the

first term on the right hand side of Eq. (1) is negligible beyond a radius of L/2, and that a correspondingly sufficient number of lattice vectors are included to achieve convergence.

Let us consider an explicit molecular dipole consisting of two atoms of opposite charge q separated by a fixed distance |r| rotating around their center of geometry located at the center of the box. Given our condition on the value of α , the only term that depends on the orientation of the molecular dipole is that given by the sum over lattice vectors. Therefore,

$$V = V_q \sum_{|\mathbf{n}| \neq 0}^{\infty} E_n \cos\left(\frac{2\,\pi\mathbf{n}\cdot\mathbf{r}}{L}\right),\tag{3}$$

where we have written

$$V_{q} = -\frac{1}{4\pi\epsilon_{r}\epsilon_{o}}\frac{q^{2}}{\pi L}, \qquad E_{n} = \frac{e^{-\pi^{2}|\mathbf{n}|^{2}/(a^{2}L^{2})}}{|\mathbf{n}|^{2}}.$$
 (4)

We proceed by expanding the cosine function and then expanding $\mathbf{n} \cdot \mathbf{r}$. Noting that the summation over lattice vectors implies that terms containing odd powers of n_{α} will be zero we have,

$$V = V_q (V_0 + V_2 + V_4 + V_6 + \cdots).$$
(5)

The first four terms of our expression for the rotational potential energy are given by

$$V_{0} = A, \qquad V_{2} = -\frac{1}{2!} \left(\frac{2\pi|r|}{L}\right)^{2} B,$$

$$V_{4} = \frac{1}{4!} \left(\frac{2\pi|r|}{L}\right)^{4} [C_{1}(x^{4} + y^{4} + z^{4}) + 6C_{2}(x^{2}y^{2} + x^{2}z^{2} + y^{2}z^{2})], \qquad (6)$$

$$V_{6} = -\frac{1}{6!} \left(\frac{2\pi |r|}{L}\right)^{6} \left[D_{1}(x^{6} + y^{6} + z^{6}) + 90D_{3}(x^{2}y^{2}z^{2}) + 15D_{2}(x^{4}y^{2} + x^{4}z^{2} + x^{2}y^{4} + x^{2}z^{4} + y^{4}z^{2} + y^{2}z^{4})\right],$$

where $x = r_x/|r|$, $y = r_y/|r|$, and $z = r_z/|r|$ are unit vectors defining the orientation of the dipole and,

$$A = \sum_{|\mathbf{n}|\neq 0}^{\infty} E_n, \qquad B = \sum_{|\mathbf{n}|\neq 0}^{\infty} E_n n_{\alpha}^2, \qquad C_1 = \sum_{|\mathbf{n}|\neq 0}^{\infty} E_n n_{\alpha}^4,$$
$$C_2 = \sum_{|\mathbf{n}|\neq 0}^{\infty} E_n n_{\alpha}^2 n_{\beta}^2, \qquad D_1 = \sum_{|\mathbf{n}|\neq 0}^{\infty} E_n n_{\alpha}^6,$$
$$D_2 = \sum_{|\mathbf{n}|\neq 0}^{\infty} E_n n_{\alpha}^4 n_{\beta}^2, \qquad D_3 = \sum_{|\mathbf{n}|\neq 0}^{\infty} E_n n_{\alpha}^2 n_{\beta}^2 n_{\gamma}^2$$
(7)

for which $\alpha \neq \beta \neq \gamma$. The parameters *A*, *B*, *C*, and *D* are solely dependent on αL and, by symmetry, are invariant on interchange of α , β , or γ . In addition, the terms within the square brackets in Eq. (6) are only dependent on the relative orientation of the dipole in the central box. The above expansion is similar to previous Ewald approximations.^{18–20}

As the V_0 and V_2 terms do not depend on the relative orientation of the dipole in the box, the leading term for the rotational energy is given by,

$$V_4 = V_q \frac{1}{4!} \left(\frac{2\pi |\mathbf{r}|}{L}\right)^4 V_a(\theta, \phi), \tag{8}$$

where $V_a(\theta, \phi)$ describes the variation in energy (spherical polar coordinates) on rotation of the dipole. We will show that further terms provide a negligible contribution to the relative rotational potential energy surface.

We now switch our attention to the properties of this potential energy surface. The transition from hindered to free rotation may be thought of as analogous to that of a rotational melting (phase) transition. However, we know that heat capacity of such systems changes dramatically at the melting temperature. Hence, we have chosen to follow the heat capacity curves as a function of temperature, generated from the rotational potential energy surface, in an attempt to characterize the transition from hindered to free rotation. For a system in the canonical (NVT) ensemble the constant volume heat capacity is given by,

$$C_{v}(T) = \frac{1}{k_{B}T^{2}} \langle (E - \langle E \rangle)^{2} \rangle, \qquad (9)$$

and the derivative with respect to temperature by

$$T^4 \frac{dC_v}{dT} = \frac{1}{k_B^2} \langle (E - \langle E \rangle)^3 \rangle - 2T^3 C_v , \qquad (10)$$

where k_B is the Boltzmann constant, E is the total energy of the system, and the angular brackets denote an ensemble average. We denote a maximum in C_v as defining a transition temperature T_r . Below T_r rotation is severely restricted due to orientation along the field lines generated by the lattice, while above T_r rotation is relatively free and lattice artifacts will be small. T_r may be obtained from the expression

$$2k_B T_r = \frac{\langle (E - \langle E \rangle_{T_r})^3 \rangle_{T_r}}{\langle (E - \langle E \rangle_{T_r})^2 \rangle_{T_r}},\tag{11}$$

where the subscript T_r denotes that ensemble averages are determined at T_r . One can rewrite the expressions for the heat capacity and T_r using our expression for the energy as a function of the rotation of the dipole. It will be useful to define a reduced energy ε such that,

$$\varepsilon = \frac{1}{4\pi\epsilon_r\epsilon_0} \frac{q^2}{\pi L} \frac{1}{4!} \left(\frac{2\pi|r|}{L}\right)^4.$$
 (12)

Considering just the configurational contribution to the rotational heat capacity in terms of the reduced temperature $T^* = k_B T/\varepsilon$ we have,

$$\frac{C_v(T^*)}{k_B} = \frac{1}{T^{*2}} \langle (V_a - \langle V_a \rangle)^2 \rangle \tag{13}$$

and

(a)

$$2T_r^* = \frac{\langle V_a^3 \rangle_{T_r^*}}{\langle V_a^2 \rangle_{T_r^*}} \tag{14}$$

if we chose $\langle V_a \rangle_{T_r^*}$ as our zero of energy. For our interest the ensemble average of X is given by

$$\langle X \rangle = \frac{\int_0^{2\pi} \int_0^{\pi} X(\theta, \phi) e^{-V_a/T^*} \sin \theta \, d\theta \, d\phi}{\int_0^{2\pi} \int_0^{\pi} e^{-V_a/T^*} \sin \theta \, d\theta \, d\phi}.$$
 (15)

We have shown that the leading term describing the rotational energy surface for a simple molecular dipole scales as $q^2r^4L^{-5}$ and that the maximum in $C_v(T)$ occurs at a temperature which also scales in the same manner. This implies, that once we have determined $C_v(T)$ and the value of T_r for a given dipole, by the law of corresponding states we know $C_v(T)$ and the value of T_r for any combination of q, |r|, L. The same approach can be taken to develop equations for a simple linear quadrupole. For a linear array of charges q:-2q:q separated by distances of r/2 the expressions for the rotational energy and T_r are obtained by simply replacing $-q^2$ by $3q^2/4$.

III. METHOD

Mapping of the rotational potential energy surface was performed for a linear dipole with charges +1/-1 separated by 1.0 nm, and for a linear quadrupole of charges +1/-2/+1separated by 0.5 nm. For both systems |r|=1.0 nm, the box size was 2.5 nm, the relative permittivity was unity, $\alpha L=6.25$, $n_{max}^2=25^2$. The polar angles θ and ϕ were scanned with 0.25° resolution. Symmetry arguments limit the necessary range of θ and ϕ to 0° – 90° in both cases. The unit of energy [Eq. (12)] is 29.4028 and 22.0521 kJ/mol for the dipole and quadrupole, respectively. For these conditions (αL) the constants A, B, C₁, C₂, D₁, D₂, and D₃ are 13.49, 14.28, 52.21, 17.28, 367.91, 73.60 and 24.51, respectively.

The BPTI rotational potential energy surface was determined by using an NMR refined structure,²¹ and the GROMOS partial atomic charge model.²² BPTI is roughly $3.0 \times 2.0 \times 2.0$ nm in size with a charge of +6 and a dipole moment (center of mass origin) of 5.72 |*e*| nm. Parameters for BPTI were chosen to roughly represent those of current molecular dynamics simulations. A box length of 5.0 nm was used, together with values of $\alpha L = 5.0$, a relative permittivity of unity, and $n_{max}^2 = 9^2$. BPTI was reoriented within the box using Euler angles (ϕ, θ, ψ). Ranges for these angles were $0^\circ - 90^\circ$ (reduced from $0^\circ - 360^\circ$ by symmetry arguments), $0^\circ - 180^\circ$, and $0^\circ - 360^\circ$, respectively, incremented in 2° intervals. A volume element is given by sin $\theta d\theta d\phi d\psi$.

IV. RESULTS

The rotational potential surface map for the linear dipole is given in Fig. 1(a). The minimum occurs when the dipole points along one of the lattice axes (values of $\theta=0$ and θ/ϕ of 90/0 and 90/90), with a maximum at θ/ϕ equal to 54.74/45 corresponding to a dipole pointing to one corner of the unit cell. The energy difference between the maximum and the minimum is 0.251 reduced units. Figures 1(b) and

70 60 50 40 30 20 10 0 20 30 40 50 60 70 80 90 10 90 (b) 80 70 60 х 50 40 30 20 10 0 50 60 70 80 90 n 10 20 30 40 90 (c) 80 70 60 50 40 30 20 10 0 10 20 30 70 80 90 0 40 50 60

FIG. 1. Contour plots of the rotational potential energy surface (reduced units) for a simple linear dipole as a function of the polar angles θ and ϕ . The calculation is for charges +1/-1 separated by 1.0 nm in a box of length 2.5 nm and a relative permittivity of 1.0. (a) Calculated using the full Ewald potential, (b) just the V_4 term, and (c) the V_4+V_6 terms. Contours are displayed at 0.05 (dot-dashed), 0.10 (dotted), 0.15 (dashed), and 0.20 (long-dashed).

1(c) display the maps obtained for the dipole using the V_4 term and the $V_4 + V_6$ terms, respectively. The maps are very similar in the region of the minima with small differences in

90

80



FIG. 2. Constant volume heat capacity curves for a linear dipole (solid) and quadrupole (dashed) in an Ewald field as a function of the reduced temperature.

curvature when approaching the maximum. The relative energy of the maximum in Figs. 1(b) and 1(c) is 0.251 and 0.247, respectively. The results obtained with just the V_4 term are sufficiently accurate for our purposes. The rotational energy surface for a linear quadrupole may be obtained by inverting the dipole potential surface and scaling by 3/4. Hence, linear quadrupoles tend to orientate with their axis pointing towards the corner of the cell.

In Fig. 2 we show the variation of C_v with reduced temperature for the linear dipole and quadrupole systems. Both display a maximum and a slow decay to zero as T^* approaches infinity. Values of T_r^* for the dipole and quadrupole were 0.037 and 0.007, respectively, or 131 and 24 K for the conditions described in the methods section. Above T_r^* there are no large potential energy barriers to rotation. However, this does not imply free rotation. As a more realistic measure of free rotation we have taken the value of T^* for which the average rotational kinetic energy $(k_B T)$ is equal to twice the energy difference between the maximum and minimum. Using this approach, free rotation occurs at reduced temperatures of 0.502 (1775 K) and 0.377 (1332 K) for the dipole and quadrupole, respectively. The numbers in parentheses correspond to a relative permittivity of unity, which is an extreme case. Both T_r and the temperature for free rotation are inversely scaled by the relative permittivity. Therefore, under aqueous conditions both temperatures will be reduced by almost two orders of magnitude.

Further justification for dominance of the V_4 term for linear dipoles and quadrupoles comes from the observed dependence of T_r on |r| and L. Log-log plots for variation of these two parameters give straight lines with slopes of 4.05 ± 0.01 and -5.09 ± 0.01 , respectively, and correlation coefficients of 0.999 99 for both. It should be noted here, that this does not imply that higher terms (V_6 and beyond) are small. They are, in fact, large in magnitude but display only a small dependence on rotation.

The population of BPTI rotational states at 300 K (averaged over ϕ) is displayed in Fig. 3 for two different relative permittivities. Above a relative permittivity of 10.0, the dif-



FIG. 3. Population contours (%) for BPTI at 300 K for relative permittivities of (a) 1.0 and (b) 10.0 as a function of the Euler angles θ and ψ . Results have been averaged over ϕ . In (a) contours are at 0.05 (dotted) and 0.10 (dashed) and in (b) contours are at 0.005 (dotted) and 0.01 (dashed).

ference in population between the different rotational states is very small. This is also illustrated in the C_v curve for BPTI shown in Fig. 4. While the decay to zero is very slow for low values of the permittivity, this is increased dramatically with larger permittivities. For a relative permittivity of 1.0 T_r is found to be 135 K. The difference in energy between the maximum and minimum on the BPTI rotational potential energy surface is 50.4 kJ/mol which translates to a temperature for free rotation of 8084 K. However, for a relative permittivity of 80.0 the values of T_r , the energy difference, and the free rotation temperature are reduced to 2 K, 0.6 kJ/mol and 101 K, respectively.

V. CONCLUSIONS

We have shown that rotational Ewald artifacts due to artificial periodicity in liquid simulations can be observed and modeled for simple charge distributions. Above a certain temperature these artifacts become negligible. For a simple dipole and quadrupole this temperature varies as $q^2 r^4 L^{-5} / \epsilon_r$. The first three variables are all known before a simulation is attempted. However, the value of ϵ_r , which is of major importance, can only be approximated. For a molecular dipole/quadrupole in solution the solvent molecules will, on average, align to oppose the solute dipole. This imparts dielectric screening between the molecule in the central cell and images in neighboring cells. This screening will be related to the dielectric constant of the solvent. For water as solvent a relative permittivity of 80.0 is more appropriate and leads to the conclusion that, for both the model systems and BPTI, Ewald artifacts are completely negligible for most properties of interest. While we expect more sophisticated



FIG. 4. Constant volume heat capacity curves for BPTI as a function of temperature and relative permittivity. Curves are for relative permittivities of 1.0 (solid), 10.0 (dotted), and 80.0 (dashed).

approaches for modeling the dielectric screening effect to change this result slightly, the general conclusion should still hold.

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