# **Basic group theory, symmetry**

"The beauty and strength of group theory applied to physics resides in the transformation of many complex symmetry operations into a fairly simple linear algebra."

- Definition of a group
- Example of a group: P(3)
- P(3) as the symmetry group of equilateral triangle  $C_{3v}$
- Further terminology and properties, useful!
- Representations (efficient unified handling)
- Characters (distillation of the most important properties)
- Point groups (symmetry of molecules and crystals)

## Group

A set G of elements A, B, ... with the binary operation (AB, called multiplication) having the following properties:

- 1.  $AB \in G$  (closure),
- 2. (AB)C=A(BC) (associativity),
- 3. *G* contains *E* such that EA = AE = A for any  $A \in G$  (*E* is unit element),
- 4. for every  $A \in G$  there exists  $A^{-1}$  such that  $AA^{-1} = A^{-1}A = E(A^{-1} \text{ is inverse of } A)$ .

Notes:

The sequence of listing the elements does not matter.

The multiplication need not be commutative (AB=BA).

If it is, the group is called Abelian.

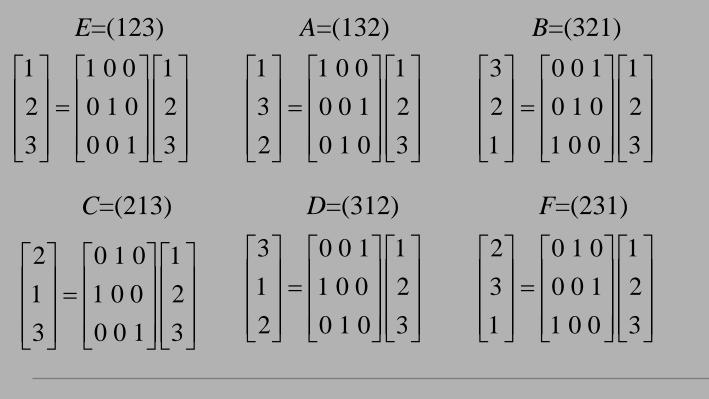
### Example of a group: permutations of three symbols P(3)

# 3!=6 elements (the group *is of order* 6), E=(123) A=(132) B=(321) C=(213) D=(312) F=(231)

mean the final arrangement of the three symbols from the initial (123) the multiplication means the sequential permutation of the three symbols: *AD* ... first *D*, then *A* Multiplication table

right left	Е	А	В	С	D	F
Е	Е	А	В	С	D	F
Α	А	Е	D	F	В	С
В	В	F	Е	D	С	А
С	С	D	F	Е	А	В
D	D	С	А	В	F	Е
F	F	В	С	А	Е	D

<u>P(3) as (3x3) matrix multiplications</u> ("row times column")



 $AD = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} = B \qquad DA = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} = C$ 

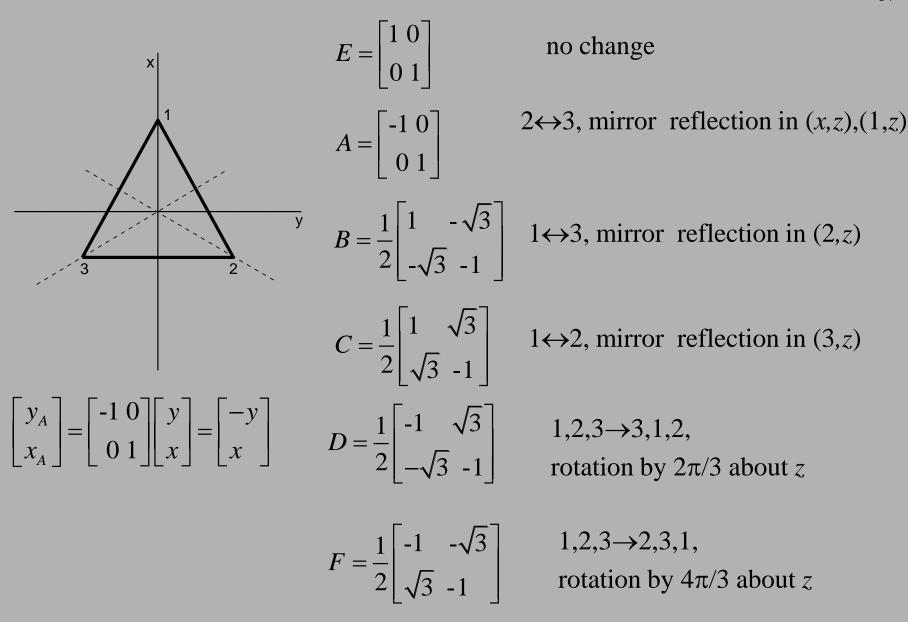
<u>P(3) as the set of (2x2) matrices</u> (can these permute three objects?)

$$E = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \qquad A = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \qquad B = \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$$
$$C = \frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix} \qquad D = \frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} \qquad F = \frac{1}{2} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$$

 $|-\sqrt{3} - 1|$ 

$$AD = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} = B$$

<u>P(3) represents the symmetry operations on an equilateral triangle</u>, the group  $C_{3v}$ 



#### Further terminology and properties

- 1. The order of G: the number of its elements; P(3) is of order 6.
- 2. A subgroup of G: a set of its elements forming a group;
  the subgroups of P(3): (E), (E,A), (E,B), (E,C), (E,D,F).
  The order of a subgroup is a divisor of the order of the group.
- 3. The order *n* of  $A \in G$ : the smallest value in  $A^n = E$ ; in *P*(3), *E* is of order 1, *A*,*B*,*C* are of order 2, *D*,*F* are of order 3.
- 4. The period of *A*∈*G* is the Abelian subgroup (*E*,*A*, *A*<sup>2</sup>,..., *A*<sup>n-1</sup>), where *n* is the order of *A*; the periods of *P*(3): (*E*), (*E*,*A*), (*E*,*B*), (*E*,*C*), (*E*,*D*,*D*<sup>2</sup>)=(*E*, *F*,*F*<sup>2</sup>) =(*E*,*D*,*F*).

- 5. Let S≡(E,S<sub>1</sub>,S<sub>2</sub>,...,S<sub>g</sub>) be a subgroup of G and X∈G. The right coset of S is the set (EX, S<sub>1</sub>X,S<sub>2</sub>X, ...,S<sub>g</sub>X), the left coset is (XE,XS<sub>1</sub>,XS<sub>2</sub>, ...,XS<sub>g</sub>). A coset need not be a group. A coset will itself be a subgroup S if X∈S. Two cosets of a given subgroup either contain the same elements, or have no elements in common. Examples using P(3): Let S≡(E,A). The right cosets of S are (E,A)E= (E,A)A=(E,A) which is a subgroup, and (E,A)B= (E,A)D= (B,D) and (E,A)C= (E,A)F= (C,F) which are not subgroups. The left cosets of (E,A) are (E,A), (C,D) and (B,F).
- 6. An element  $B \in G$  is called conjugate to  $A \in G$  if  $B = XAX^{-1}$ , where X is an arbitrary element of G. If B is conjugate to A and C is conjugate to B, then C is conjugate to A.
- 7. A class is the set of elements of which is obtained from a given element of G by conjugation. The unit element is the only class forming a subgroup. All elements of a class have the same order. An Abelian group has as many classes as elements. There are three classes in P(3): (*E*), (*A*,*B*,*C*), and (*D*,*F*).

The notion of class is very important; checking the classes of P(3):

class (a helpful look at the inverses,  $A^{-1}=A$ ,  $B^{-1}=B$ ,  $C^{-1}=C$ ,  $D^{-1}=F$ ,  $F^{-1}=D$ ): (*E*) trivial, since  $XEX^{-1}=E$  for any  $X \in G$ ,

(A,B,C) elements of the order of 2, red in the multiplication table,

(D,F) elements of the order of 3, green in the multiplication table;

if one product in the conjugation is outside a class, the other "brings it back"

right left	Е	А	В	С	D	F
Е	Е	А	В	С	D	F
А	А	Е	D	F	В	С
В	В	F	Е	D	С	А
С	С	D	F	Е	А	В
D	D	С	А	В	F	E
F	F	В	С	А	Е	D

8. A subgroup *N* of *G* is called self—conjugate (or invariant, or normal) if  $XNX^{-1}=N$ , where *X* is an arbitrary element of *G*.

To obtain a self—conjugate subgroup, it is necessary to include entire classes in it. The right and left cosets of a self—conjugate subgroup are the same. The products of the elements of two right cosets of a self—conjugate subgroup form another right coset.

For example, (E,D,F) is a self—conjugate subgroup of P(3), while (E,A), (E,B), (E,C) are not; the right and left cosets (E,D,F)A=(A,C,B) and A(E,D,F)=(A,B,C) are the same; the products (A,C,B)(E,D,F) form the right coset (A,B,C), the products (A,B,C)(A,B,C) form the right coset (E,D,F).

9. A group with no self—conjugate subgroups is called simple group.

- 10. The factor group of *G* results from a self—conjugate subgroup *N* as the set of its cosets, i.e., each coset being considered an element of the factor group.
  - N is sometimes called a normal divisor.
  - Obviously, all four rules of the multiplication are satisfied:
    - 1. The closure:  $(N_iX)(N_jY)=N_i(XN_j)Y=N_i(N_kX)Y=(N_iN_k)(XY)$  for  $X, Y \in G$  and  $N_i, N_j, N_k \in \mathbb{N}, N_k=XN_j X^{-1}$ .
    - 2. The associativity holds because it holds for the elements of G.
    - 3. The unit element of the factor group is the coset containing  $E \in G$ .
    - 4. The inverse element exists:  $(XN)(X^{-1}N) = (NX)(X^{-1}N) = NN = N$ .
- 11. The index of a subgroup is the total number of cosets.

The order of the factor group is the index of the self—conjugate subgroup.

For example,  $\mathcal{E}=(E,D,F)$  is a self—conjugate subgroup of P(3),  $\mathcal{A}=(A,B,C)$  is the only other (both right and left) coset.

 ${\mathcal E} \, \text{and} \, {\mathcal A}$  form the (Abelian) factor group with the multiplication table



This group is **isomorphic** (equivalent, one—to—one correspondence) with the group P(2) of the permutations of 2 objects, or with the subgroups (*E*,*A*), (*E*,*B*), (*E*,*C*) of P(3).

Two groups, G = (A, B, C, D, ...) and g = (u, v, ...) are homomorphic, if there exists a mapping (correspondence) of *G* into *g*, e.g.,

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A \rightarrow u, (B, C) \rightarrow v, \dots,
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such that

 $AB \rightarrow uv, AC \rightarrow uv, \dots$ 

If the correspondence is one—to—one (the orders of G and g are the same), the two groups are isomorphic.

For example, the mapping of P(3) into P(2),  $(E,D,F) \rightarrow \mathcal{E}, (A,B,C) \rightarrow \mathcal{A}$ 

is homomorphism. The correspondence of the permutation group P(3) with the symmetry group of an equilateral triangle is isomorphism.

Homomorphism or isomorphism of a group G with a group of square matrices is called a representation of G.

A matrix D(A) is assigned to each  $A \in G$  such that D(AB)=D(A)D(B), with the usual way of multiplication of square matrices ("row times column").

An example of a homomorphic representation of the permutation group P(3) is the group of two one—dimensional matrices [1], [-1]:

 $(E,D,F) \rightarrow [1], (A,B,C) \rightarrow [-1] \; .$ 

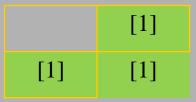
The multiplication table of this matrix group is

	[1]	[-1]
[1]	[1]	[-1]
[-1]	[-1]	[1]

Another easily found homomorphic representation of the permutation group P(3) is the group consisting of the single one—dimensional matrix [1]:

 $(E,A,B,C,D,F) \rightarrow [1].$ 

The multiplication table of this matrix group is



The one—dimensional representation [1] is a representation of any group.

An isomorphic representation of the permutation group P(3) is the group of the following six three—dimensional matrices: (they permute the elements of column or row vectors by the usual multiplication)

$$D(E) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \qquad D(A) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \qquad D(B) = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$
$$D(C) = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \qquad D(D) = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \qquad D(F) = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

 $|^{2}|\sqrt{3}-1|$ 

Another isomorphic representation of the permutation group P(3) is the group of the following six two—dimensional matrices:

(remember the transformation of the positions of vertices of the equilateral triangle)

$$D(E) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \qquad D(A) = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \qquad D(B) = \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$$
$$D(C) = \frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix} \qquad D(D) = \frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} \qquad D(F) = \frac{1}{2} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$$

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In general, the representations are built from square matrices with complex elements. The symbol \* denotes complex conjugation, T denotes transposition, and + denotes the adjoint:

$$D = \begin{bmatrix} d_{11} \ d_{12} \ \dots \\ d_{21} \ d_{22} \ \dots \\ \dots \end{bmatrix}, \qquad D^{T} = \begin{bmatrix} d_{11} \ d_{21} \ \dots \\ d_{12} \ d_{22} \ \dots \\ \dots \end{bmatrix}, \qquad D^{+} = \begin{bmatrix} d_{11}^{*} \ d_{21}^{*} \ \dots \\ d_{12}^{*} \ d_{22}^{*} \ \dots \\ \dots \end{bmatrix}.$$

Hermitian matrices are defined by Unitary matrices are defined by  $D^{T}=D^{*}$ , or  $D^{+}=D$ .  $D^{+}=D^{-1}$ .

Unitary matrices preserve the norms of vectors:

 $(Dv)^+Dv = (v^+D^+)(Dv) = v^+v$ , where v is a column vector,  $v^+$  is the adjoint row vector.

The dimensionality of a representation is the dimensionality (the number of rows and columns) of its matrices.

The representations are not unique. A simple way of generating a new set of representing matrices is combining them according to

$$D(A) = \begin{bmatrix} D_n(A) & O \\ O^T & D_m(A) \end{bmatrix},$$

where  $D_n(A)$  and  $D_m(A)$  are matrices of an n— and m—dimensional representations, respectively, and O is a  $n \times m$  matrix of zeros. The block form of the above D(A) matrices means, that this representation is reducible (it contains at least two representations). The zero matrix "separates" the two representations in the combined matrices.

Further, performing a similarity transformation

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UD(A)U^{-1}
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provides a new representation, called equivalent.

If a similarity transformation leads to the same block form of the matrices, the representation is called reducible; otherwise it is **irreducible**. In other words, an irreducible representation cannot be expressed in terms of lower—dimensional representations.

group element: symbol (label)	E	A	В	С	D	F
$\Gamma_1$	[1]	[1]	[1]	[1]	[1]	[1]
$\Gamma_1$ ,	[1]	[-1]	[-1]	[-1]	[1]	[1]
$\Gamma_2$	$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$	$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$

#### Three irreducible representations of P(3) are:

#### An example of a reducible representation $\Gamma_{\rm R}$ of P(3) is:

	E	Α	В	
$\Gamma_{\rm R}$	$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & \frac{1}{2} & \frac{-\sqrt{3}}{2} \\ 0 & 0 & \frac{-\sqrt{3}}{2} & -\frac{1}{2} \end{bmatrix}$	

The irreducible representations contained in the reducible representation  $\Gamma_{\rm R}$  are usually listed as:

$$\Gamma_{\rm R} = \Gamma_1 + \Gamma_1 + \Gamma_2 \; .$$

Among different representations, those formed by unitary matrices are of special importance. Every representation  $(D(A_j), j=1,...,n)$  with nonvanishing determinants can be brought into the unitary form by a similarity transformation. This is easily seen by inspecting the Hermitian matrix

$$H=\sum_{j=1}^n D_j D_j^+,$$

which can be diagonalized by the unitary transformation U formed from the set of orthonormal eigenvectors of H:

$$H_d = U^{-1} H U.$$

All elements of the diagonal matrix  $H_d$  are positive; we can therefore construct its square root and find the wanted similarity transformation as:

$$D_u(A_j) = H_d^{-1/2} U^{-1} D(A_j) U H_d^{1/2}.$$

It can easily be shown that the matrices  $D_u$  are unitary:

$$D_{u}(A_{j})D_{u}^{+}(A_{j}) = H_{d}^{-1/2}U^{-1}D(A_{j})UH_{d}^{1/2}(H_{d}^{-1/2}U^{-1}D(A_{j})UH_{d}^{1/2})^{+}$$
  

$$= H_{d}^{-1/2}U^{-1}D(A_{j})UH_{d}U^{-1}D^{+}(A_{j})UH_{d}^{-1/2}$$
  

$$= H_{d}^{-1/2}U^{-1}D(A_{j})U[\sum_{k=1}^{n}U^{-1}D(A_{k})UU^{-1}D^{+}(A_{k})U]U^{-1}D^{+}(A_{j})UH_{d}^{-1/2}$$
  

$$= H_{d}^{-1/2}[\sum_{k=1}^{n}U^{-1}D(A_{j})D(A_{k})UU^{-1}D^{+}(A_{k})D^{+}(A_{j})U]H_{d}^{-1/2} = I,$$

where *I* is the unit matrix. The sum in the last row is obviously the diagonal matrix  $H_d$ , since the multiplication by the fixed matrix  $D(A_j)$  merely rearranges the elements of the representation.

The irreducible representation has the following two properties concerning the commutation properties,

$$MD(A_{j}) = D(A_{j})M, j = 1, ..., n,$$

with auxiliary matrices *M* (Schur's first and second lemma):

- The only matrix commuting with all matrices of the irreducible representation is a const.×*I*. If a non—constant commuting matrix exists, the representation is reducible.
- If the representations (D<sub>a</sub>(A<sub>j</sub>), j=1,...,n) and (D<sub>b</sub>(A<sub>j</sub>), j=1,...,n) of a given group are of dimensionalities d<sub>a</sub> and d<sub>b</sub>, respectively, then if there is a d<sub>a</sub>×d<sub>b</sub> matrix M

such that  $MD_a(A_j) = D_b(A_j)M, j = 1, ..., n,$ 

then *M* must be the null matrix if a  $d_a \neq d_b$ . For a  $d_a = d_b$ , *M* is nonzero only for the two representations differing by a similarity transformation, i.e., equivalent.

The irreducible representations  $(D_a(A_j), j=1,...,n)$  and  $(D_b(A_j), j=1,...,n)$  of a given group, of dimensionalities  $d_a$  and  $d_b$ , respectively, obey the following great orthogonality theorem:

$$\sum_{j=1}^n D_{a,rs}(A_j) D_{b,tu}(A_j^{-1}) = \frac{n}{d_a} \delta_{ab} \delta_{rt} \delta_{su},$$

where  $D_{a,rs}$  is the element of  $D_a$  from the *r*-th row and *s*-th column, and  $\delta_{ab}$  the Kronecker symbol:  $\delta_{ab} = 1$  for a = b and  $\delta_{ab} = 0$  for  $a \neq b$ .

For unitary representations, the above relation simplifies to

$$\sum_{j=1}^{n} D_{a,rs}(A_j) D_{b,tu}^*(A_j) = \frac{n}{d_a} \delta_{ab} \delta_{rt} \delta_{su}.$$
 (GOT)

The irreducible representations of P(3):

	E	Α	В	С	D	F
$\Gamma_1$	[1]	[1]	[1]	[1]	[1]	[1]
$\Gamma_1$ ,	[1]	[-1]	[-1]	[-1]	[1]	[1]
$\Gamma_2$	$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$	$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$	$\left[\begin{array}{cc} \frac{1}{2} \left[ \begin{array}{c} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{array} \right] \right]$

They are orthogonal:

1. The sum in (GOT) for  $\Gamma_1$  and  $\Gamma_1$  vanishes: as many [1]'s as [-1]'s, the sum is [0]. 2. The sum in (GOT) for  $\Gamma_1$  and  $\Gamma_2$  vanishes:

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}.$$

The irreducible representations of P(3): C D B A E[1] [1] [1] [1] [1]  $\Gamma_1$  $\Gamma_1$ , [1] [-1] [-1] [-1] [1]  $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$  $\Gamma_2$ 

F

[1]

[1]

3. The sum in (GOT) for  $\Gamma_1$ , and  $\Gamma_2$  vanishes:

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} - \frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} - \frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}.$$

The irreducible representations of $P(3)$ :
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	E	Α	В	С	D	F
$\Gamma_1$	[1]	[1]	[1]	[1]	[1]	[1]
$\Gamma_1$ ,	[1]	[-1]	[-1]	[-1]	[1]	[1]
$\Gamma_2$	$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$	$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$	$\left[\begin{array}{cc} \frac{1}{2} \left[ \begin{array}{c} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{array} \right] \right]$

The sums in (GOT) for a=b are:

$$\Gamma_1$$
:  $[1]^2 + [1]^2 + [1]^2 + [1]^2 + [1]^2 + [1]^2 = [6]$ 

$$\Gamma_{1}: \qquad \begin{bmatrix} 1 \end{bmatrix}^{2} + \begin{bmatrix} -1 \end{bmatrix}^{2} + \begin{bmatrix} -1 \end{bmatrix}^{2} + \begin{bmatrix} -1 \end{bmatrix}^{2} + \begin{bmatrix} 1 \end{bmatrix}^{2} + \begin{bmatrix} 1 \end{bmatrix}^{2} = \begin{bmatrix} 6 \end{bmatrix}.$$

$$\Gamma_{2}: \qquad \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}^{2} + \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}^{2} + \frac{1}{4} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}^{2} + \frac{1}{4} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}^{2} + \frac{1}{4} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}^{2} + \frac{1}{4} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}^{2} = \begin{bmatrix} 3 & 0 \\ 0 & 3 \end{bmatrix}.$$

The irreducible representations of P(3):

	E	Α	В	С	D	F
$\Gamma_1$	[1]	[1]	[1]	[1]	[1]	[1]
$\Gamma_1$ ,	[1]	[-1]	[-1]	[-1]	[1]	[1]
$\Gamma_2$	$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$	$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}$	$\left[\begin{array}{cc} \frac{1}{2} \left[ \begin{array}{c} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{array} \right]\right]$

The sums in (GOT) for  $a=b=\Gamma_2$  are:

$$\sum_{j=1}^{n} \sum_{m=1}^{2} D_{rm}(A_{j}) D_{mu}(A_{j}) = \frac{n}{d_{a}} \sum_{m=1}^{2} \delta_{rm} \delta_{mu} = \frac{n}{d_{a}} \delta_{ru}$$

$$\Gamma_{2}: \qquad \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}^{2} + \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}^{2} + \frac{1}{4} \begin{bmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}^{2} + \frac{1}{4} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}^{2} + \frac{1}{4} \begin{bmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{bmatrix}^{2} + \frac{1}{4} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}^{2} = \begin{bmatrix} 3 & 0 \\ 0 & 3 \end{bmatrix}.$$

The relations of (GOT) can be interpreted in the following way. The matrix elements of a unitary irreducible representation can be arranged in a set of (row or column) vectors in the space of dimensionality *n* (order of the group):

$$v_{a,rs} = \sqrt{d_a / n} [D_{a,rs}(A_1), D_{a,rs}(A_1), ..., D_{a,rs}(A_n)].$$

Individual vectors of this set are labeled by the symbol of the representation, *a*, and by the indices *r* and *s* of the row and column, respectively. All these vectors are mutually orthogonal ("projections on other members of the family vanish"). In addition, the inclusion of the normalization factor of the square root ensures the normalization (the square of the length of the vector is unity):

$$v_{a,rs}v_{a,rs}^+=1.$$

The maximum number of orthogonal vectors in the n-dimensional space is n.

The irreducible representations  $\Gamma_1$ ,  $\Gamma_1$ ,:, and  $\Gamma_2$  of P(3) contain the following set of orthonormal vectors:

normalization factor	v <sub>1</sub>	v <sub>2</sub>	<i>v</i> <sub>3</sub>	$v_4$	<i>v</i> <sub>5</sub>	v <sub>6</sub>
1/√6	1	1	1	1	1	1
1/√6	1	-1	-1	-1	1	1
1/√3	1	-1	1/2	1/2	-1/2	-1/2
1	0	0	-1/2	1/2	1/2	-1/2
1	0	0	-1/2	1/2	-1/2	1/2
1/√3	1	1	-1/2	-1/2	-1/2	-1/2

The matrices  $(D_a(A_j), j=1,...,n)$  of a given representation are very useful in dealing with the group G; however, they suffer from the arbitrariness with regard to similarity transformation ,  $UD_aU^{-1}$ . Consequently, there is a reduction of information content circumventing this arbitrariness.

Let  $\chi_a(A_j)$  denote the trace of the matrix  $D_a(A_j)$ ,  $\chi_a(A_j) = \operatorname{Tr}\left\{D_a(A_j)\right\} = \sum_{j=1}^{d_a} D_{a,jj}.$ 

The set of the traces for all group elements,

$$\chi_a(A_1), \chi_a(A_2), \dots, \chi_a(A_n),$$

is called the character of the representation  $(D_a)$ . Since

$$\operatorname{Tr}\left\{UD\right\} = \sum_{j} \left(\sum_{k} U_{jk} D_{kj}\right) = \sum_{k} \left(\sum_{j} D_{kj} U_{jk}\right) = \operatorname{Tr}\left\{DU\right\},$$

the similarity transformation does not change the character of a representation:  $\operatorname{Tr}\left\{UDU^{-1}\right\} = \operatorname{Tr}\left\{U(DU^{-1})\right\} = \operatorname{Tr}\left\{(DU^{-1})U\right\} = \operatorname{Tr}\left\{D(U^{-1}U)\right\} = \operatorname{Tr}\left\{D\right\}.$ 

Equivalent representations are related to each other by a similarity transformation  $\rightarrow$  they have the same character.

The group elements within a class are related by conjugation, the corresponding representation matrices by a similarity transformation  $\rightarrow$ 

the corresponding entries in the character of any representation are the same.

character representation	$\chi(E)$	χ(A)	χ(B)	χ(C)	$\chi(D)$	$\chi(F)$
$\Gamma_1$	1	1	1	1	1	1
$\Gamma_1$ ,	1	-1	-1	-1	1	1
$\Gamma_2$	2	0	0	0	-1	-1

Character table of the irreducible representations of P(3):

Its economized version (listing only the classes):

class representation	$C_1 = (E)$	$C_2 = (A, B, C)$	<b>C</b> <sub>3</sub> =(D,F)
$\Gamma_1$	1	1	1
$\Gamma_1$ ,	1	-1	1
$\Gamma_2$	2	0	-1

Characters of irreducible representations  $(D_a(A_j), j=1,...,n)$  and  $(D_b(A_j), j=1,...,n)$  satisfy the orthogonality relations:

$$\sum_{j=1}^n \chi_a(A_j) \chi_b^*(A_j) = n \delta_{ab},$$

which follow easily from the great orthogonality theorem for the matrices. The characters also satisfy the second orthogonality relation, containing a sum over classes instead of the group elements:

$$\sum_{k} \sqrt{\frac{n_k}{n}} \chi_a(C_k) \sqrt{\frac{n_k}{n}} \chi_b^*(C_k) = \delta_{ab},$$

where  $\chi_a(C_k)$  is the (common) entry in the character of the *k*-th class, formed by  $n_k$  elements. The number of classes,  $n_c$ , is equal to the number of inequivalent irreducible representations ,  $n_k$ . This is the maximum number of mutually orthogonal vectors in the  $n_c$ -dimensional space.

The orthogonality of the rows of the character table of the irreducible representations of P(3) can be checked by inspection:

character representation	χ(Ε)	χ(A)	χ(B)	χ(C)	χ(D)	χ(F)
$\Gamma_1$	1	1	1	1	1	1
$\Gamma_1$ ,	1	-1	-1	-1	1	1
$\Gamma_2$	2	0	0	0	-1	-1

as well as the orthogonality of the columns of the table containing classes:

class representation	$C_1 = (E)$	$C_2 = (A, B, C)$	<b>C</b> <sub>3</sub> =(D,F)
$\Gamma_1$	1	1	1
$\Gamma_1$ ,	1	-1	1
$\Gamma_2$	2	0	-1

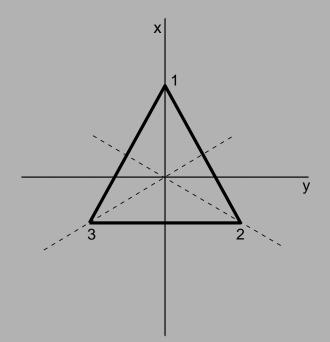
### Representation theory - constructing character tables

After finding classes, the following properties of representations are useful for the construction of character tables:

- (1) The number  $n_r$  of inequivalent irreducible representations is equal to the number of classes,  $n_c=n_r$ .
- (2) The sum of squares of the dimensionalities, d<sub>j</sub>, j=1,..., n<sub>r</sub>, is equal to the order n of the group.
- (3) There is always one identity representation, showing up as a row of 1s.
- (4) There is always one class composed of the identity element, showing up as a column with the traces of unity matrices, i.e., the dimensionalities.
- (5) The orthogonality of characters applies, both for rows and columns.

<u>Representation theory - constructing character tables of P(3)</u>, isomorphic with  $C_{3v}$  using the Schoenfliess notation for symmetry operations of point groups

- *E*: identity; needed in order to form a group.
- $C_n$ : rotation by  $2\pi/n$ ; the rotation axis is called *n*-fold. The axis of highest *n*, called principal, is "vertical".
- $\sigma$ : reflection in a plane, with three kinds of suffixes.
  - $\sigma_h$ : reflection in a horizontal plane.
  - $\sigma_v$ : reflection in a vertical plane.
  - $\sigma_d$ : reflection in a vertical diagonal plane.
- *I*: inversion,  $x \leftrightarrow -x$ ,  $y \leftrightarrow -y$ ,  $z \leftrightarrow -z$ .
- $S_n$ : improper rotation by  $2\pi/n$ , consisting of the rotation by  $2\pi/n$ , folloved by a reflection in a horizontal plane  $(\sigma_h C_n)$ .



class:	E	$3\sigma_v$	2 <i>C</i> <sub>3</sub>
symmetry operations:	identity	mirror plane 1 mirror plane 2 mirror plane 3	rotation by $2\pi/3$ rotation by $4\pi/3$

# <u>Representation theory - constructing character tables of P(3)</u>, isomorphic with $C_{3v}$

The first row and column are trivial:

The second row has to be
orthogonal to the first,
with the proper value of
the summed squares:

class representation	E	$3\sigma_v$	2 <i>C</i> <sub>3</sub>
$\Gamma_1$	1	1	1
$\Gamma_1$ ,	1		
$\Gamma_2$	2		

class representation	E	$3\sigma_v$	2 <i>C</i> <sub>3</sub>
$\Gamma_1$	1	1	1
$\Gamma_{1}$ ,	1	-1	1
$\Gamma_2$	2		

The second and third columns have to be orthogonal to the first:

class representation	E	3σ <sub>v</sub>	2 <i>C</i> <sub>3</sub>
$\Gamma_1$	1	1	1
$\Gamma_1$ ,	1	-1	1
$\Gamma_2$	2	0	-1

done!

<u>A résumé of the symmetry group</u>  $C_{3v}$  (isomorphic with P(3))

using the example of the ammonia  $(NH_3)$  molecule (N out of the plane of  $H_3$ ):

- 6 symmetry operations, , 6-dimensional space of orthogonal vectors forming the characters
- 3 classes of conjugate elements, 3x3 table of characters

class representation	E	$3\sigma_v$	2 <i>C</i> <sub>3</sub>
$\Gamma_1$	1	1	1
$\Gamma_1$ ,	1	-1	1
$\Gamma_2$	2	0	-1

