# Elements of monoidal topology Lecture 7: Kleisli monoids

Sergejs Solovjovs

Department of Mathematics, Faculty of Engineering, Czech University of Life Sciences Prague (CZU) Kamýcká 129, 16500 Prague - Suchdol, Czech Republic

## Abstract

This lecture considers an alternative representation of the category  $(\mathbb{T}, 2)$ -**Cat** as the category  $\mathbb{T}$ -**Mon** of monoids in the hom-set of a Kleisli category that avoids explicit use of relations or lax extensions. As a motivating example serves an isomorphism  $\mathbb{F}$ -**Mon**  $\cong$  **Top**, where  $\mathbb{F}$  is the filter monad on the category **Set**.

## 1. A representation of topological spaces through neighborhood filters

### 1.1. The filter monad on the category Set

**Definition 1.** The filter monad  $\mathbb{F} = (F, m, e)$  on the category **Set** of sets and maps is given by

- (1) a functor **Set**  $\xrightarrow{F}$  **Set**, where  $FX = \{\mathfrak{x} \mid \mathfrak{x} \text{ is a filter on } X\}$  for every set X, and  $FX \xrightarrow{Ff} FY$  is defined by  $Ff(\mathfrak{x}) = \{B \subseteq Y \mid f^{-1}(B) \in \mathfrak{x}\}$  for every map  $X \xrightarrow{f} Y$ ;
- (2) a natural transformation  $1_{\mathbf{Set}} \xrightarrow{e} F$ , where  $X \xrightarrow{e_X} FX$  is defined by  $e_X(x) = \dot{x} = \{A \subseteq X \mid x \in A\}$  (principal filter);
- (3) a natural transformation  $FF \xrightarrow{m} F$ , where  $FFX \xrightarrow{m_X} FX$  is defined by  $m_X(\mathfrak{X}) = \Sigma \mathfrak{X}$  (filtered sum or Kowalsky sum), where  $A \in \Sigma \mathfrak{X}$  iff  $\{\mathfrak{x} \in FX \mid A \in \mathfrak{x}\} \in \mathfrak{X}$ .

**Remark 2.** There exists the contravariant powerset functor  $\operatorname{Set}^{op} \xrightarrow{P^{\bullet}} \operatorname{Set}$  defined by  $P^{\bullet}(X \xrightarrow{f} Y) = PY \xrightarrow{P^{\bullet}} PX$ , where PX and PY are the powersets of the sets X and Y, respectively, and  $P^{\bullet}(B) = f^{-1}(B) = \{x \in X \mid f(x) \in B\}$  for every subset  $B \subseteq Y$ . The functor  $P^{\bullet}$  is self-adjoint, namely, there exists an adjoint situation  $(P^{\bullet})^{op} \dashv P^{\bullet} : \operatorname{Set}^{op} \to \operatorname{Set}$ . This adjoint situation provides the double-powerset monad  $\mathbb{P}^2 = (P^{\bullet}(P^{\bullet})^{op}, m, e)$  on the category Set. Both the filter monad  $\mathbb{F}$  and the ultrafilter monad  $\beta$  (recall Lecture 1) are restrictions of the above double-powerset monad  $\mathbb{P}^2$ .

**Definition 3.** Given a set X, the set FX of filters on X can be partially ordered by the *refinement partial* order, i.e., for every  $\mathfrak{x}, \mathfrak{y} \in FX$ ,  $\mathfrak{x} \leq \mathfrak{y}$  iff  $\mathfrak{x} \supseteq \mathfrak{y}$  (namely, given a subset  $A \subseteq X$ , if  $A \in \mathfrak{y}$ , then  $A \in \mathfrak{x}$ ). A filter  $\mathfrak{x}$  is *finer* than  $\mathfrak{y}$  (or  $\mathfrak{y}$  is *coarser* than  $\mathfrak{x}$ ) provided that  $\mathfrak{x} \supseteq \mathfrak{y}$ .

#### 1.2. The Kleisli category of a monad

**Definition 4.** Given a monad  $\mathbb{T} = (T, m, e)$  on a category  $\mathbf{X}$ , the *Kleisli category*  $\mathbf{X}_{\mathbb{T}}$  associated to  $\mathbb{T}$  is defined as follows. The objects of  $\mathbf{X}_{\mathbb{T}}$  are those of  $\mathbf{X}$ . Given two  $\mathbf{X}_{\mathbb{T}}$ -objects X, Y, the hom-set  $\mathbf{X}_{\mathbb{T}}(X,Y)$  is the hom-set  $\mathbf{X}(X,TY)$  (the elements of which will be denoted  $X \xrightarrow{f} Y$ ). Given two  $\mathbf{X}_{\mathbb{T}}$ -morphisms  $X \xrightarrow{f} Y$ ,  $Y \xrightarrow{g} Z$ , their *Kleisli composition* in  $\mathbf{X}_{\mathbb{T}}$  is defined via the composition in  $\mathbf{X}$  as  $g \circ f = m_Z \cdot Tg \cdot f$ , i.e., as the  $\mathbf{X}$ -morphism  $X \xrightarrow{f} TY \xrightarrow{Tg} TZ \xrightarrow{m_Z} TZ$ . The identity on an  $\mathbf{X}_{\mathbb{T}}$ -object X is the X-morphism  $X \xrightarrow{e_X} TX$ .

Email address: solovjovs@tf.czu.cz (Sergejs Solovjovs)

URL: http://home.czu.cz/solovjovs (Sergejs Solovjovs)

Preprint submitted to the Czech University of Life Sciences Prague (CZU)

**Example 5.** Given the powerset monad  $\mathbb{P} = (P, m, e)$  (recall Lecture 1), a  $\operatorname{Set}_{\mathbb{P}}$ -morphism  $X \xrightarrow{f} Y$  is a map  $X \xrightarrow{f} PY$ , which can be considered as a relation  $X \xrightarrow{r} Y$  defined by xry iff  $y \in f(x)$ . Given two  $\operatorname{Set}_{\mathbb{P}}$ -morphisms  $X \xrightarrow{f} Y$  and  $Y \xrightarrow{g} Z$ , the Kleisli composition  $g \circ f$  is the composition  $s \cdot r$  of the relations corresponding to g and f, respectively. Indeed, if t is the relation corresponding to  $g \circ f$ , then for every  $x \in X$  and every  $z \in Z$ , xtz iff  $z \in g \circ f(x)$  iff  $z \in m_Z \cdot Pg \cdot f(x) = m_Z(Pg \cdot f(x)) = \bigcup Pg \cdot f(x) = \bigcup Pg(f(x)) = \bigcup_{y \in f(x)} g(y)$  iff there exists  $y \in f(x)$  such that  $z \in g(y)$  iff there exists  $y \in Y$  such that  $y \in f(x)$  and  $z \in g(y)$  iff there exists  $y \in Y$  such that xry and ysz iff  $x(s \cdot r)z$ . It follows that  $\operatorname{Set}_{\mathbb{P}} = \operatorname{Rel}$ .

**Remark 6.** Given a Kleisli category  $\mathbf{X}_{\mathbb{T}}$ , there exists a functor  $\mathbf{X}_{\mathbb{T}} \xrightarrow{G_{\mathbb{T}}} \mathbf{X}$ ,  $G_{\mathbb{T}}(X \xrightarrow{f} Y) = TX \xrightarrow{m_Y \cdot Tf} TY = TX \xrightarrow{Tf} TTY \xrightarrow{m_Y} TY$ . The functor  $G_{\mathbb{T}}$  has a left adjoint  $\mathbf{X} \xrightarrow{F_{\mathbb{T}}} \mathbf{X}_{\mathbb{T}}$ ,  $F_{\mathbb{T}}(X \xrightarrow{f} Y) = X \xrightarrow{e_Y \cdot f} Y = X \xrightarrow{f} Y \xrightarrow{f} Y$ . The unit  $\mathbf{1}_{\mathbf{X}} \xrightarrow{\eta_{\mathbb{T}}} G_{\mathbb{T}}F_{\mathbb{T}}$  of this adjunction is e, and the co-unit  $F_{\mathbb{T}}G_{\mathbb{T}} \xrightarrow{\varepsilon_{\mathbb{T}}} \mathbf{1}_{\mathbf{X}_{\mathbb{T}}}$  is given by **X**-morphisms  $TX \xrightarrow{\mathbf{1}_{TX}} TX$ . The monad associated to this adjunction gives back the original monad  $\mathbb{T}$ .

**Remark 7.** Given sets X and Y, the hom-set  $\mathbf{Set}_{\mathbb{F}}(X,Y)$  is partially ordered by the pointwise refinement partial order of Definition 3, namely, given  $f, g \in \mathbf{Set}_{\mathbb{F}}(X,Y), f \leq g$  iff  $f(x) \leq g(x)$  for every  $x \in X$  (recall that both f and g are maps  $X \xrightarrow[g]{\longrightarrow} FY$ ). Therefore, the partially ordered set  $\mathbf{Set}_{\mathbb{F}}(X,Y)$  can be considered as a category, the objects of which are the elements of  $\mathbf{Set}_{\mathbb{F}}(X,Y)$ , and, for every two objects f and g, there exists precisely one morphism  $f \to g$  provided that  $f \leq g$ .

**Lemma 8.** A partially ordered set S, considered as a category as in Remark 7, is a strict monoidal category (recall Lecture 4) precisely when it has a monoid structure whose multiplication  $S \times S \rightarrow S$  is monotone.

**Proposition 9.**  $(\mathbf{Set}_{\mathbb{F}}(X,X), \circ, e_X)$  is a strict monoidal category.

PROOF. In view of Lemma 8, it will be enough to show that the Kleisli composition preserves the refinement partial order. Thus, given  $g_1, g_2, f_1, f_2 \in \mathbf{Set}_{\mathbb{F}}(X, X)$  such that  $g_1 \leq g_2$  and  $f_1 \leq f_2$ , one has to show that  $g_1 \circ f_1 \leq g_2 \circ f_2$ , which is equivalent to  $m_X \cdot Fg_1 \cdot f_1(x) \leq m_X \cdot Fg_2 \cdot f_2(x)$  for every  $x \in X$ , which, in its turn, is equivalent to  $m_X \cdot Fg_1 \cdot f_1(x) \supseteq m_X \cdot Fg_2 \cdot f_2(x)$  for every  $x \in X$ . Take an arbitrary element  $x \in X$ . Given  $A \in m_X \cdot Fg_2 \cdot f_2(x)$ , by Definition 1(3), it follows that

Take an arbitrary element  $x \in X$ . Given  $A \in m_X \cdot Fg_2 \cdot f_2(x)$ , by Definition 1 (3), it follows that  $\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\} \in Fg_2 \cdot f_2(x) = Fg_2(f_2(x))$ , which implies by Definition 1 (1) that  $g_2^{-1}(\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\}) \in f_2(x)$ . Since  $f_1 \leq f_2$ , it follows that  $f_1(x) \supseteq f_2(x)$ , and then  $g_2^{-1}(\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\}) \in f_1(x)$ . Further, if  $y \in g_2^{-1}(\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\})$ , then  $g_2(y) \in \{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\}$ , namely,  $A \in g_2(y) \subseteq g_1(y)$  since  $g_1 \leq g_2$ . Thus,  $A \in g_1(y)$  implies  $g_1(y) \in \{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\}$ , which gives  $y \in g_1^{-1}(\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\})$ . As a consequence, one obtains that  $g_2^{-1}(\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\}) \subseteq g_1^{-1}(\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\})$ . Since  $g_2^{-1}(\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\}) \in f_1(x)$  and  $f_1(x)$  is a filter, it follows that  $g_1^{-1}(\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\}) \in f_1(x)$ , which implies  $\{\mathfrak{r} \in FX \mid A \in \mathfrak{r}\} \in Fg_1 \cdot f_1(x)$ , which finally gives  $A \in m_X \cdot Fg_1 \cdot f_1(x)$ . Therefore,  $m_X \cdot Fg_1 \cdot f_1(x) \supseteq m_X \cdot Fg_2 \cdot f_2(x)$  as desired.

## 1.3. Kleisli triples

Definition 10. A Kleisli triple on a category X consists of the following data:

- a function  $\mathcal{O}_{\mathbf{X}} \xrightarrow{T} \mathcal{O}_{\mathbf{X}}$ , which sends X to TX;
- an extension operation  $(-)^{\mathbb{T}}$ , which sends an **X**-morphism  $X \xrightarrow{f} TY$  to an **X**-morphism  $TX \xrightarrow{f^{\mathbb{T}}} TY$ ;
- an **X**-morphism  $X \xrightarrow{e_X} TX$  for every **X**-object X;

such that

$$(g^{\mathbb{T}} \cdot f)^{\mathbb{T}} = g^{\mathbb{T}} \cdot f^{\mathbb{T}}, \quad e_X^{\mathbb{T}} = 1_{TX}, \quad f^{\mathbb{T}} \cdot e_X = f$$

for every **X**-object X and every **X**-morphisms  $X \xrightarrow{f} TY$ ,  $Y \xrightarrow{g} TZ$ . If one defines  $g \circ f = g^{\mathbb{T}} \cdot f$ , then the above conditions are equivalent to this "Kleisli composition" being associative, and  $e_X$  being its identity,

namely, given **X**-morphisms  $X \xrightarrow{f} TY$ ,  $Y \xrightarrow{g} TZ$ , and  $Z \xrightarrow{h} TW$ ,  $h \circ (g \circ f) = h \circ (g^{\mathbb{T}} \cdot f) = h^{\mathbb{T}} \cdot g^{\mathbb{T}} \cdot f = (h^{\mathbb{T}} \cdot g) \circ f = (h \circ g) \circ f$ ,  $f \circ e_X = f^{\mathbb{T}} \cdot e_X = f$ , and  $e_Y \circ f = e_Y^{\mathbb{T}} \cdot f = 1_{TY} \cdot f = f$ .

**Definition 11.** A Kleisli triple morphism  $(S, (-)^{\mathbb{S}}, d) \xrightarrow{\alpha} (T, (-)^{\mathbb{T}}, e)$  is given by a family of **X**-morphisms  $SX \xrightarrow{\alpha_X} TX$  for every **X**-object X such that

$$\alpha_Y \cdot f^{\mathbb{S}} = (\alpha_Y \cdot f)^{\mathbb{T}} \cdot \alpha_X, \quad \alpha_X \cdot d_X = e_X$$

for every **X**-morphism  $X \xrightarrow{f} SY$ . Observe that a Kleisli triple morphism is a family of **X**-morphisms preserving the Kleisli composition and its identity, i.e., given **X**-morphisms  $X \xrightarrow{f} SY$  and  $Y \xrightarrow{g} SZ$ ,  $\alpha_Z \cdot (g \circ_{\mathbb{S}} f) = \alpha_Z \cdot g^{\mathbb{S}} \cdot f = (\alpha_Z \cdot g)^{\mathbb{T}} \cdot \alpha_Y \cdot f = (\alpha_Z \cdot g) \circ_{\mathbb{T}} (\alpha_Y \cdot f)$ .

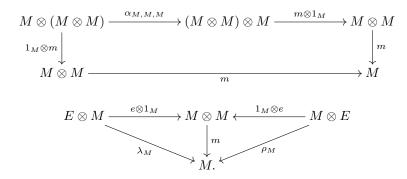
**Remark 12.** A Kleisli triple  $(T, (-)^{\mathbb{T}}, e)$  on a category **X** provides a monad  $\mathbb{T} = (T, m, e)$  on **X** by setting  $Tf = (e_Y \cdot f)^{\mathbb{T}}$  for every **X**-morphism  $X \xrightarrow{f} Y$ , and  $m_X = (1_{TX})^{\mathbb{T}}$  for every **X**-object X. A Kleisli triple morphism  $(S, (-)^{\mathbb{S}}, d) \xrightarrow{\alpha} (T, (-)^{\mathbb{T}}, e)$  provides then a morphism of the corresponding monads  $\mathbb{S} \xrightarrow{\alpha} \mathbb{T}$ .

**Remark 13.** Given a monad  $\mathbb{T} = (T, m, e)$  on a category **X**, one gets a Kleisli triple  $(T, (-)^{\mathbb{T}}, e)$  by setting  $f^{\mathbb{T}} = m_Y \cdot Tf$  for every **X**-morphism  $X \xrightarrow{f} TY$ . A monad morphism  $\mathbb{S} \xrightarrow{\alpha} \mathbb{T}$  provides then a morphism  $(S, (-)^{\mathbb{S}}, d) \xrightarrow{\alpha} (T, (-)^{\mathbb{T}}, e)$  of the corresponding Kleisli triples.

**Remark 14.** The above passages from a Kleisli triple to a monad and from a monad to a Kleisli triple are inverse to each other, namely, both definitions describe the same structure on a category  $\mathbf{X}$  (and the respective two definitions of Kleisli composition then correspond).

## 1.4. Monoids in monoidal categories

**Definition 15.** Let **C** be a monoidal category (see Lecture 4). A monoid M in **C** is a **C**-object together with two **C**-morphisms  $M \otimes M \xrightarrow{m} M$  and  $E \xrightarrow{e} M$  such that the following two diagrams commute:



A homomorphism of monoids  $(M, m, e) \xrightarrow{f} (N, n, d)$  is a C-morphism  $M \xrightarrow{f} N$  such that the following two diagrams commute:



 $Mon_C$  stands for the category of monoids in C and their homomorphisms.

Example 16.

- (1) The category **Set** is monoidal w.r.t. cartesian product of sets. The category **Mon**<sub>Set</sub> is exactly the category **Mon** of monoids and their homomorphisms in the sense of universal algebra.
- (2) The category Sup of V-semilattices and V-preserving maps is monoidal w.r.t. the usual tensor product. The category Quant of quantales and their homomorphisms is exactly the category Mon<sub>Sup</sub>.
- (3) Given a partially ordered set  $(S, \leq)$ , considered as a monoidal category  $(S, \leq, \otimes, k)$  as in Lemma 8, a monoid in S is an element  $s \in S$  such that  $s \otimes s \leq s$  and  $k \leq s$ . Observe that if s is a monoid in S, then  $k \leq s$  implies  $s = s \otimes k \leq s \otimes s$ . Since  $s \otimes s \leq s$ , it follows that  $s \otimes s = s$ .

## 1.5. Topological spaces via neighborhood filters

**Theorem 17.** The category **Top** of topological spaces and continuous maps is (concretely) isomorphic to the category  $\mathbb{F}$ -**Mon**, the objects of which are pairs  $(X, \nu)$  such that  $X \xrightarrow{\nu} FX$  is a monoid in  $\mathbf{Set}_{\mathbb{F}}(X, X)$ (i.e.,  $\nu \circ \nu \leq \nu$  and  $e_X \leq \nu$ ), and whose morphisms  $(X, \nu) \xrightarrow{f} (Y, \mu)$  are maps  $X \xrightarrow{f} Y$  such that  $f_{\natural} \circ \nu \leq \mu \circ f_{\natural}$ , where  $f_{\natural} = e_Y \cdot f$  is the image of the map f under the left adjoint functor  $\mathbf{Set} \to \mathbf{Set}_{\mathbb{F}}$  of Remark 6.

PROOF. Given a topological space  $(X, \tau)$ , where  $\tau$  is a topology on a set X, define a map  $X \xrightarrow{\nu} FX$  by  $\nu(x) = \{A \subseteq X \mid \text{there exists } U \in \tau \text{ such that } x \in U \subseteq A\}$  (neighborhood filter of x). It is easy to see that  $\nu(x)$  is contained in the principal filter  $e_X(x) = \dot{x}$  for every  $x \in X$ . Therefore,  $e_X \leq \nu$  in the pointwise refinement partial order. To show that  $\nu \circ \nu \leq \nu$ , we will need the following simple lemma.

**Lemma 18.** For  $x \in X$  and  $A \subseteq X$ ,  $A \in \nu(x)$  iff there exists  $B \in \nu(x)$  such that  $A \in \nu(y)$  for every  $y \in B$ .

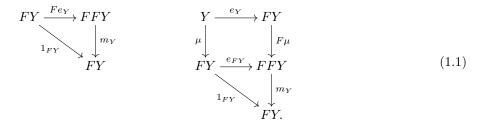
#### Proof.

 $\Rightarrow$ : If  $A \in \nu(x)$ , then there exists  $U \in \tau$  such that  $x \in U \subseteq A$ . Put B = U and notice that, first,  $B \in \nu(x)$  and, second,  $A \in \nu(y)$  for every  $y \in B$  since  $U \in \tau$ .

 $\Leftarrow$ : Given  $y \in B$ , it follows that  $A \in \nu(y)$ , i.e., there exists  $V_y \in \tau$  such that  $y \in V_y \subseteq A$ . Thus,  $B \subseteq \bigcup_{y \in B} V_y \subseteq A$ , which implies  $A \in \nu(x)$ , since  $B \in \nu(x)$  and  $\nu(x)$  is a filter.

Given an element  $x \in X$  and a subset  $A \subseteq X$ , define a set  $A^{\mathbb{F}} = \{\mathfrak{x} \in FX \mid A \in \mathfrak{x}\}$  (the set of filters containing A). Then  $A \in \nu \circ \nu(x)$  iff  $A \in m_X \cdot F\nu \cdot \nu(x)$  iff  $A^{\mathbb{F}} \in F\nu \cdot \nu(x) = F\nu(\nu(x))$  iff  $\nu^{-1}(A^{\mathbb{F}}) \in \nu(x)$  iff there exists  $B \in \nu(x)$  such that  $B \subseteq \nu^{-1}(A^{\mathbb{F}})$  iff there exists  $B \in \nu(x)$  such that  $A \in \nu(y)$  for every  $y \in B$  iff (Lemma 18)  $A \in \nu(x)$ . As a consequence, one obtains  $\nu \circ \nu(x) = \nu(x)$ . By Example 16 (3) and the above two properties  $(\nu \circ \nu \leq \nu \text{ and } e_X \leq \nu)$ , a topological space  $(X, \tau)$  provides a monoid  $\nu$  in the monoidal category  $\mathbf{Set}_{\mathbb{F}}(X, X)$ .

Consider a continuous map  $(X,\tau) \xrightarrow{f} (Y,\sigma)$ , and let  $\nu$  and  $\mu$  be the monoids corresponding to the spaces  $(X,\tau)$  and  $(Y,\mu)$ , respectively. First, we show that  $Ff \cdot \nu \leq \mu \cdot f$ . Indeed, given  $x \in X$  and  $B \subseteq Y$ ,  $B \in \mu \cdot f(x) = \mu(f(x))$  iff there exists  $V \in \sigma$  such that  $f(x) \in V \subseteq B$ , which implies (since f is continuous)  $f^{-1}(V) \in \tau$  and  $x \in f^{-1}(V) \subseteq f^{-1}(B)$ , which results in  $f^{-1}(B) \in \nu(x)$ , which is equivalent to  $B \in Ff(\nu(x)) = Ff \cdot \nu(x)$ . As a consequence, one gets  $Ff \cdot \nu(x) \supseteq \mu \cdot f(x)$  or  $Ff \cdot \nu(x) \leq \mu \cdot f(x)$ . Second, since  $\mathbb{F} = (F, m, e)$  is a monad on **Set**, the following two diagrams commute:



Thus,  $Ff \cdot \nu = 1_{FY} \cdot Ff \cdot \nu = m_Y \cdot Fe_Y \cdot Ff \cdot \nu = m_Y \cdot F(e_Y \cdot f) \cdot \nu = m_Y \cdot Ff_{\natural} \cdot \nu = f_{\natural} \circ \nu$  by the left-hand side of diagram (1.1), and  $\mu \cdot f = 1_{FY} \cdot \mu \cdot f = m_Y \cdot F\mu \cdot e_Y \cdot f = m_Y \cdot F\mu \cdot f_{\natural} = \mu \circ f_{\natural}$  by the right-hand side of diagram (1.1). As a result, one obtains  $f_{\natural} \circ \nu \leq \mu \circ f_{\natural}$ .

The above constructions define a functor **Top**  $\xrightarrow{G} \mathbb{F}$ -**Mon** by  $G((X, \tau) \xrightarrow{f} (Y, \sigma)) = (X, \nu) \xrightarrow{f} (Y, \mu)$ . To obtain a functor in the opposite direction, one proceeds as follows.

Given an  $\mathbb{F}$ -Mon-object  $(X, \nu)$ , define  $\tau = \{U \subseteq X \mid \text{ for every } x \in X, \text{ if } x \in U, \text{ then } U \in \nu(x)\}$ . To show that  $\tau$  is a topology on the set X, one notices the following.

- Since the set X is an element of every filter on X,  $X \in \tau$ . Since the empty set  $\emptyset$  clearly satisfies the condition on the elements of  $\tau, \emptyset \in \tau$ .
- Given  $U, V \in \tau$ , if  $x \in U \cap V$ , then  $U \in \nu(x)$  and  $V \in \nu(x)$ , which implies  $U \cap V \in \nu(x)$ , since  $\nu(x)$  is a filter. As a consequence, one obtains that  $U \cap V \in \nu(x)$ .
- Given  $U_i \in \tau$  for every  $i \in I$ , if  $x \in \bigcup_{i \in I} U_i$ , then  $x \in U_{i_0}$  for some  $i_0 \in I$ , which implies  $U_{i_0} \in \nu(x)$ . Since  $U_{i_0} \subseteq \bigcup_{i \in I} U_i$  and  $\nu(x)$  is a filter,  $\bigcup_{i \in I} U_i \in \nu(x)$ . As a result, one obtains that  $\bigcup_{i \in I} U_i \in \nu(x)$ .

Given an  $\mathbb{F}$ -**Mon**-morphism  $(X, \nu) \xrightarrow{f} (Y, \mu)$ , to show that the map  $X \xrightarrow{f} Y$  provides a continuous map  $(X, \tau) \xrightarrow{f} (Y, \sigma)$  (where  $\tau$  and  $\sigma$  are obtained from  $\nu$  and  $\mu$ , respectively), notice that given  $V \in \sigma$ , for every  $x \in f^{-1}(V), f^{-1}(V) \in \nu(x)$  iff  $V \in Ff(\nu(x)) = Ff \cdot \nu(x)$ . Since  $V \in \sigma$  and  $f(x) \in V$ , it follows that  $V \in \mu(f(x)) = \mu \cdot f(x)$ . Since f is an  $\mathbb{F}$ -**Mon**-morphism,  $Ff \cdot \nu(x) \supseteq \mu \cdot f(x)$ , and, therefore,  $V \in Ff \cdot \nu(x)$ . As a consequence, one obtains that  $f^{-1}(V) \in \tau$ , i.e., the map  $X \xrightarrow{f} Y$  is continuous.

The above constructions define a functor  $\mathbb{F}$ -**Mon**  $\xrightarrow{H}$  **Top** by  $H((X,\nu) \xrightarrow{f} (Y,\mu))) = (X,\tau) \xrightarrow{f} (Y,\sigma)$ . Straightforward calculations show that the functors G and H are inverse to each other and, moreover, commute with the respective forgetful functors of the constructs (**Top**, |-|) and ( $\mathbb{F}$ -**Mon**, |-|).

## 2. Power-enriched monads

**Remark 19.** Given the powerset monad  $\mathbb{P}$  on the category **Set**, the Eilenberg-Moore category  $\mathbf{Set}^{\mathbb{P}}$  of  $\mathbb{P}$  (see Lecture 1) is isomorphic to the category **Sup**. Indeed, given a  $\mathbb{P}$ -algebra (X, a), one defines an operation  $PX \xrightarrow{\bigvee} X$  by  $\bigvee S = a(S)$  providing thus a  $\bigvee$ -semilattice  $(X, \bigvee)$ . A  $\mathbb{P}$ -homomorphism  $(X, a) \xrightarrow{f} (Y, b)$  results then in a  $\bigvee$ -preserving map  $(X, \bigvee) \xrightarrow{f} (Y, \bigvee)$ . Conversely, given a  $\bigvee$ -semilattice  $(X, \bigvee)$ , the map  $PX \xrightarrow{a} X$  defined by  $a(S) = \bigvee S$  provides a  $\mathbb{P}$ -algebra (X, a). A  $\bigvee$ -preserving map  $(X, \bigvee) \xrightarrow{f} (Y, \bigvee)$  results then in a  $\mathbb{P}$ -homomorphism  $(X, a) \xrightarrow{f} (Y, b)$ . Altogether, one obtains a concrete isomorphism  $\mathbf{Set}^{\mathbb{P}} \cong \mathbf{Sup}$ .

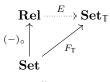
**Remark 20.** Given the Eilenberg-Moore category  $\mathbf{X}^{\mathbb{T}}$  of a monad  $\mathbb{T}$  on a category  $\mathbf{X}$ , there exists a functor  $\mathbf{X}^{\mathbb{T}} \xrightarrow{G^{\mathbb{T}}} \mathbf{X}$ ,  $G^{\mathbb{T}}((X,a) \xrightarrow{f} (Y,b)) = X \xrightarrow{f} Y$ . The functor  $G^{\mathbb{T}}$  has a left adjoint  $\mathbf{X} \xrightarrow{F^{\mathbb{T}}} \mathbf{X}^{\mathbb{T}}$ ,  $F^{\mathbb{T}}(X \xrightarrow{f} Y) = (TX, m_X) \xrightarrow{Tf} (TY, m_Y)$ , where  $(TX, m_X)$  is the so-called *free*  $\mathbb{T}$ -algebra on a given set X. The unit  $\mathbf{1}_{\mathbf{X}} \xrightarrow{\eta^{\mathbb{T}}} G^{\mathbb{T}}F^{\mathbb{T}}$  of this adjunction is e, and the co-unit  $F^{\mathbb{T}}G^{\mathbb{T}} \xrightarrow{\varepsilon^{\mathbb{T}}} \mathbf{1}_{\mathbf{X}^{\mathbb{T}}}$  is given by  $\mathbb{T}$ -homomorphisms  $(TX, m_X) \xrightarrow{\varepsilon^{\mathbb{T}}_{(X,a)}=a} (X, a)$ . The monad associated to this adjunction gives back the original monad  $\mathbb{T}$ .

**Remark 21.** Given a monad  $\mathbb{T} = (T, m, e)$  on a category **X**, there exists a full and faithful *comparison* functor  $\mathbf{X}_{\mathbb{T}} \xrightarrow{K} \mathbf{X}^{\mathbb{T}}$  defined by  $K(X \xrightarrow{f} Y) = (TX, m_X) \xrightarrow{m_Y \cdot Tf} (TY, m_Y)$ .

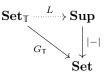
**Proposition 22.** Given a monad  $\mathbb{T} = (T, m, e)$  on **Set**, there exists a one-to-one correspondence between

(1) monad morphisms  $\mathbb{P} \xrightarrow{\tau} \mathbb{T}$  (recall Lecture 2), where  $\mathbb{P} = (P, n, d)$  is the powerset monad on **Set**;

(2) extensions E of the functor  $\mathbf{Set} \xrightarrow{F_{\mathbb{T}}} \mathbf{Set}_{\mathbb{T}}$  along the functor  $\mathbf{Set} \xrightarrow{(-)_{\circ}} \mathbf{Rel}$  (recall Lecture 1):



(3) liftings L of the functor  $\mathbf{Set}_{\mathbb{T}} \xrightarrow{G_{\mathbb{T}}} \mathbf{Set}$  along the forgetful functor  $\mathbf{Sup} \xrightarrow{|-|} \mathbf{Set}$ :



(4)  $\bigvee$ -semilattice structures on the set TX such that the maps  $TX \xrightarrow{Tf} TY$  and  $TTX \xrightarrow{m_X} TX$  are  $\bigvee$ -preserving for every map  $X \xrightarrow{f} Y$  and every set X.

PROOF. In view of Example 5 and Remarks 19, 20, one can identify the category **Rel** with  $\mathbf{Set}_{\mathbb{P}}$ , the category **Sup** with  $\mathbf{Set}^{\mathbb{P}}$ , and the forgetful functor  $\mathbf{Sup} \xrightarrow{|-|} \mathbf{Set}$  with  $\mathbf{Set}^{\mathbb{P}} \xrightarrow{G^{\mathbb{P}}} \mathbf{Set}$ .

(1)  $\Leftrightarrow$  (2): Given a monad morphism  $\mathbb{P} \xrightarrow{\tau} \mathbb{T}$ , one defines a functor  $\mathbf{Set}_{\mathbb{P}} \xrightarrow{E} \mathbf{Set}_{\mathbb{T}}$  by  $E(X \xrightarrow{f} Y) = X \xrightarrow{\tau_Y \cdot f} Y$ . Given now a map  $X \xrightarrow{g} Y$ ,  $E(-)_{\circ}(X \xrightarrow{g} Y) = X \xrightarrow{\tau_Y \cdot s} Y$ , where  $X \xrightarrow{s} PY$  is defined by  $s(x) = \{f(x)\}$ , and  $F_{\mathbb{T}}(X \xrightarrow{g} Y) = X \xrightarrow{e_Y \cdot f} Y$ . For every  $x \in X$ ,  $\tau_Y \cdot s(x) = \tau_Y(\{f(x)\}) = \tau_Y \cdot d_Y(f(x)) \xrightarrow{\tau_Y \cdot d_Y = e_Y} e_Y(f(x)) = e_Y \cdot f(x)$ . Thus,  $\tau_Y \cdot s = e_Y \cdot f$ , i.e., the required triangle commutes.

Conversely, given an extension  $\operatorname{Set}_{\mathbb{P}} \xrightarrow{E} \operatorname{Set}_{\mathbb{T}}$ , define a monad morphism  $\mathbb{P} \xrightarrow{\tau} \mathbb{T}$  by  $PX \xrightarrow{\tau_X} TX = PX \xrightarrow{E1_{PX}} TX$ . Diagram chasing shows that  $\tau$  satisfies all the required properties.

(1)  $\Leftrightarrow$  (3): Given a monad morphism  $\mathbb{P} \xrightarrow{\tau} \mathbb{T}$ , one defines a functor  $\mathbf{Set}_{\mathbb{T}} \xrightarrow{L} \mathbf{Set}^{\mathbb{P}}$  by  $L(X \xrightarrow{f} Y) = (TX, m_X \cdot \tau_{TX}) \xrightarrow{m_Y \cdot T_f} (TY, m_Y \cdot \tau_{TY})$  (cf. Remark 21). Notice that  $G^{\mathbb{P}}L(X \xrightarrow{f} Y) = TX \xrightarrow{m_Y \cdot T_f} TY = G_{\mathbb{T}}(X \xrightarrow{f} Y)$ , namely, the required triangle commutes.

Conversely, given a lifting  $\operatorname{\mathbf{Set}}_{\mathbb{T}} \xrightarrow{L} \operatorname{\mathbf{Set}}^{\mathbb{P}}$ , define a monad morphism  $\mathbb{P} \xrightarrow{\tau} \mathbb{T}$  by  $PX \xrightarrow{\tau_X} TX = PX \xrightarrow{Pe_X} PTX \xrightarrow{a} TX$ , where *a* is the structure map of the Eilenberg-Moore algebra LX = (TX, a) (recall that  $G^{\mathbb{P}}LX = G_{\mathbb{T}}X = TX$ ). Diagram chasing shows that  $\tau$  satisfies all the required properties.

(3)  $\Leftrightarrow$  (4): Given a map  $X \xrightarrow{f} Y$ , one obtains a  $\mathbf{Set}_{\mathbb{T}}$ -morphism  $X \xrightarrow{e_Y \cdot f} Y$ . Since  $G^{\mathbb{P}}L(X \xrightarrow{e_Y \cdot f} Y) = G_{\mathbb{T}}(X \xrightarrow{e_Y \cdot f} Y) = TX \xrightarrow{m_Y \cdot T(e_Y \cdot f)} TY$  and  $m_Y \cdot T(e_Y \cdot f) = m_Y \cdot Te_Y \cdot Tf = (m_Y \cdot Te_Y) \cdot Tf = 1_{TY} \cdot Tf = Tf$ , it follows that the functor L sends a  $\mathbf{Set}_{\mathbb{T}}$ -morphism  $X \xrightarrow{e_Y \cdot f} Y$  to a  $\bigvee$ -preserving map  $TX \xrightarrow{Tf} TY$ . Moreover, since  $TX \xrightarrow{1_{TX}} X$  is a  $\mathbf{Set}_{\mathbb{T}}$ -morphism,  $G^{\mathbb{P}}L(TX \xrightarrow{1_{TX}} X) = G_{\mathbb{T}}(TX \xrightarrow{1_{TX}} X) = TTX \xrightarrow{m_X \cdot T1_{TX}} TX$  and  $m_X \cdot T1_{TX} = m_X \cdot 1_{TTX} = m_X$  together imply that the functor L sends a  $\mathbf{Set}_{\mathbb{T}}$ -morphism  $TX \xrightarrow{1_{TX}} X$  to a  $\bigvee$ -preserving map  $TTX \xrightarrow{m_X} TX$ . As a consequence, it follows that the conditions of item (4) are just pointwise restatements of the condition of item (3).

## Remark 23.

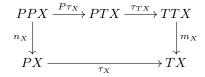
(1) Given a morphism  $\mathbb{P} \xrightarrow{\tau} \mathbb{T}$  of monads on **Set**, Proposition 22(3) equips the underlying set TX of a free  $\mathbb{T}$ -algebra with a partial order given by

$$\mathfrak{x} \leqslant \mathfrak{y} \text{ iff } m_X \cdot \tau_{TX}(\{\mathfrak{x},\mathfrak{y}\}) = \mathfrak{y}$$

$$(2.1)$$

for every  $\mathfrak{x}, \mathfrak{y} \in TX$  (cf. Remark 19).

(2) For every set X, the map  $PX \xrightarrow{\tau_X} TX$  is monotone, since given  $A, B \in PX$  with  $A \subseteq B$ , the diagram



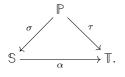
commutes ( $\tau$  is a morphism of monads). As a consequence,  $m_X \cdot \tau_{TX}(\{\tau_X(A), \tau_X(B)\}) = m_X \cdot \tau_{TX} \cdot P\tau_X(\{A, B\}) = \tau_X \cdot n_X(\{A, B\}) = \tau_X(A \bigcup B) = \tau_X(B)$ , namely,  $\tau_X(A) \leq \tau_X(B)$ .

- (3) The hom-sets  $\mathbf{Set}_{\mathbb{T}}(X,Y)$  become partially ordered by the respective pointwise order, i.e., for every **X**-morphisms  $X \xrightarrow[q]{q} TY$ ,  $f \leq g$  iff  $f(x) \leq g(x)$  for every  $x \in X$ .
- (4) Given  $f, g \in \mathbf{Set}_{\mathbb{T}}(X, Y)$  and  $h \in \mathbf{Set}_{\mathbb{T}}(Y, Z)$ , if  $f \leq g$ , then  $h \circ f = m_Z \cdot Th \cdot f \leq m_Z \cdot Th \cdot g = h \circ g$ , since Th,  $m_Z$  are monotone by Proposition 22 (4), i.e., composition on the right is monotone. Composition on the left  $\operatorname{\mathbf{Set}}_{\mathbb{T}}(Y,Z) \xrightarrow{(-)^{\mathbb{T}} \cdot f} \operatorname{\mathbf{Set}}_{\mathbb{T}}(X,Z)$  for an **X**-morphism  $X \xrightarrow{f} TY$  may though fail to be monotone. (5) To make  $\operatorname{\mathbf{Set}}_{\mathbb{T}}$  a partially ordered category (recall Lecture 4), it is enough  $(-)^{\mathbb{T}}$  to be order-preserving,

i.e.,  $f \leq g$  implies  $f^{\mathbb{T}} \leq g^{\mathbb{T}}$  for every **X**-morphisms  $X \xrightarrow{f} TY$ . If this condition is satisfied, then the functors  $\operatorname{\mathbf{Rel}} \xrightarrow{E} \operatorname{\mathbf{Set}}_{\mathbb{T}}$  and  $\operatorname{\mathbf{Set}}_{\mathbb{T}} \xrightarrow{L} \operatorname{\mathbf{Sup}}$  of Proposition 22 become functors between partially ordered categories, i.e., preserve the partial order on hom-sets (notice that  $Lf = f^{\mathbb{T}}$  for every  $\operatorname{\mathbf{Set}}_{\mathbb{T}}$ -morphism

 $X \xrightarrow{f} Y$ ; and  $Ef = \tau_Y \cdot f$  for every  $\mathbf{Set}_{\mathbb{P}}$ -morphism  $X \xrightarrow{f} Y$ , where the map  $\tau_Y$  is monotone).

**Definition 24.** A power-enriched monad is a pair  $(\mathbb{T}, \tau)$ , where  $\mathbb{T}$  is a monad on **Set** and  $\mathbb{P} \xrightarrow{\tau} \mathbb{T}$  is a monad morphism such that  $f \leq g$  implies  $f^{\mathbb{T}} \leq g^{\mathbb{T}}$  for every **Set**-morphisms  $X \xrightarrow{f} TY$ . A morphism  $(\mathbb{S}, \sigma) \xrightarrow{\alpha} (\mathbb{T}, \tau)$  of power-enriched monads is a monad morphism  $\mathbb{S} \xrightarrow{\alpha} \mathbb{T}$  such that the next triangle commutes



## Example 25.

- (1) There exist exactly two trivial monads on **Set** (admitting only trivial T-algebras), i.e., the monad sending every set to a singleton  $1 = \{*\}$ , and the monad sending the empty set to itself and all the other sets to 1 (recall Lecture 5). The first one, denoted 1, is clearly power-enriched, where the unique monad morphism  $\mathbb{P} \xrightarrow{\tau} \mathbb{1}$  is given by the unique maps  $PX \xrightarrow{!_X} \mathbb{1}$  for every set X. The second one, say  $\mathbb{T}$ , is clearly not power-enriched, since there exists no map  $P \varnothing = 1 \rightarrow \varnothing = T \varnothing$ .
- (2) The powerset monad  $\mathbb{P}$  with the identity monad morphism  $\mathbb{P} \xrightarrow{1_{\mathbb{P}}} \mathbb{P}$  is power-enriched. The partial order on the sets PX induced by condition (2.1) is the usual inclusion of subsets, since  $\bigvee$  is the union of sets.
- (3) The filter monad  $\mathbb{F}$  is power-enriched, since the principal filter natural transformation  $\tau$  defined on a set X by  $PX \xrightarrow{\tau_X} FX$ ,  $\tau_X(A) = \dot{A} = \{B \subseteq X \mid A \subseteq B\}$  (principal filter) provides a monad morphism  $\mathbb{P} \xrightarrow{\tau}$ F. The partial order on FX induced by condition (2.1) is the refinement partial order of Definition 3, and the operation  $\bigvee$  on FX is given by the intersection of filters. For the latter statement, observe that given a subset  $\{\mathfrak{x}_s \mid s \in S\} \subseteq FX, \ \bigvee \{\mathfrak{x}_s \mid s \in S\} = m_X \cdot \tau_{FX}(\{\mathfrak{x}_s \mid s \in S\})$ . Therefore, given  $A \subseteq X, A \in \bigvee \{\mathfrak{x}_s \mid s \in S\} \text{ iff } A \in m_X \cdot \tau_{FX}(\{\mathfrak{x}_s \mid s \in S\}) \text{ iff } \{\mathfrak{z} \in FX \mid A \in \mathfrak{z}\} \in \tau_{FX}(\{\mathfrak{x}_s \mid s \in S\}) \text{ iff } \{\mathfrak{z} \in FX \mid A \in \mathfrak{z}\} \in \{B \subseteq FX \mid \mathfrak{x}_s \mid s \in S\} \subseteq B\} \text{ iff } \{\mathfrak{x}_s \mid s \in S\} \subseteq \{\mathfrak{z} \in FX \mid A \in \mathfrak{z}\} \text{ iff } A \in \mathfrak{x}_s \text{ for every } \{\mathfrak{x}_s \mid s \in S\} \subseteq \{\mathfrak{z} \in FX \mid A \in \mathfrak{z}\} \text{ iff } A \in \mathfrak{x}_s \text{ for every } \{\mathfrak{x}_s \mid s \in S\} \subseteq \{\mathfrak{z} \in FX \mid A \in \mathfrak{z}\} \text{ iff } A \in \mathfrak{x}_s \text{ for every } \{\mathfrak{x}_s \mid s \in S\} \in \{\mathfrak{z} \in S\} \in \mathfrak{z}\}$  $s \in S$  iff  $A \in \bigcap_{s \in S} \mathfrak{x}_s$ . The former statement follows then from the latter, since given  $\mathfrak{x}, \mathfrak{y} \in FX$ ,  $\mathfrak{x} \leq \mathfrak{y}$ iff  $m_X \cdot \tau_{FX}({\mathfrak{x}}, \mathfrak{y}) = \mathfrak{y}$  iff  $\mathfrak{x} \cap \mathfrak{y} = \mathfrak{y}$  iff  $\mathfrak{x} \supseteq \mathfrak{y}$ .
- (4) The ultrafilter monad  $\beta$  is not power-enriched, since  $\beta \emptyset = \emptyset$  (recall from Lecture 1 that an ultrafilter cannot contain the empty set), which is not a  $\bigvee$ -semilattice (observe that every  $\bigvee$ -semilattice contains a distinguished element  $\bigvee \emptyset$ , i.e., the underlying set of every  $\bigvee$ -semilattice is non-empty).

## 3. Kleisli monoids

**Definition 26.** Given a monad  $\mathbb{T} = (T, m, e)$  on a category X such that the respective Kleisli category  $X_{\mathbb{T}}$ is a partially ordered category,  $\mathbb{T}$ -Mon is the category of  $\mathbb{T}$ -monoids (or Kleisli monoids), whose objects are pairs  $(X, \nu)$ , where X is an **X**-object, and  $X \xrightarrow{\nu} X$  is an **X**<sub>T</sub>-morphism, which is *reflexive*  $(e_X \leq \nu)$ and *transitive*  $(\nu \circ \nu \leq \nu)$ , where  $\circ$  is the Kleisli composition in the category **X**<sub>T</sub>; and whose morphisms  $(X, \nu) \xrightarrow{f} (Y, \mu)$  are **X**-morphisms  $X \xrightarrow{f} Y$  such that  $Tf \cdot \nu \leq \mu \cdot f$ , i.e.,

$$\begin{array}{ccc} X & \stackrel{f}{\longrightarrow} Y \\ \downarrow & \downarrow & \downarrow \\ TX & \stackrel{f}{\longrightarrow} TY \end{array}$$

or equivalently  $f_{\natural} \circ \nu \leqslant \mu \circ f_{\natural}$ , where  $f_{\natural} = e_Y \cdot f$ , i.e.,

$$\begin{array}{c|c} X & \xrightarrow{f_{\natural}} Y \\ \nu & \downarrow & \leqslant & \downarrow \mu \\ \chi & \xrightarrow{f_{\natural}} Y. \end{array}$$

If  $\mathbb{T} = (T, \tau)$  is a power-enriched monad, then the partial order on the hom-sets of  $\mathbf{X}_{\mathbb{T}}$  depends on  $\tau$ . **Remark 27.** Given a  $\mathbb{T}$ -monoid  $(X, \nu), \nu = \nu \circ e_X \leq \nu \circ \nu \leq \nu$  implies  $\nu \circ \nu = \nu$ .

**Remark 28.** Given a T-monoid  $(X, \nu)$ , the functor  $\mathbf{X}_{\mathbb{T}} \xrightarrow{G_{\mathbb{T}} = (-)^{\mathbb{T}}} \mathbf{X}$  has the following property (preservation of idempotency):  $\nu^{\mathbb{T}} = (\nu \circ \nu)^{\mathbb{T}} = (m_X \cdot T\nu \cdot \nu)^{\mathbb{T}} = m_X \cdot T(m_X \cdot T\nu \cdot \nu) = m_X \cdot Tm_X \cdot TT\nu \cdot T\nu \stackrel{(\dagger)}{=} m_X \cdot T\nu \cdot m_X \cdot T\nu = \nu^{\mathbb{T}} \cdot \nu^{\mathbb{T}}$ , where  $(\dagger)$  relies on commutativity of the following diagram

$$\begin{array}{ccc} TTX & \xrightarrow{TT\nu} TTTX & \xrightarrow{Tm_X} TTX \\ m_X & & & \downarrow m_{TX} & & \downarrow m_X \\ TX & \xrightarrow{T\nu} TTX & \xrightarrow{m_X} TX. \end{array}$$

# Example 29.

- (1) If  $\mathbb{T}$  is the trivial monad  $\mathbb{1}$  on the category **Set** of Example 25 (1), then the respective Kleisli monoids are pairs  $(X, X \xrightarrow{!_X} \{*\})$ , and the respective morphisms are maps  $X \xrightarrow{f} Y$ , i.e.,  $\mathbb{1}$ -**Mon**  $\cong$  **Set**.
- (2) If  $\mathbb{T}$  is the powerset monad  $\mathbb{P}$  with the identity monad morphism  $\mathbb{P} \xrightarrow{1_{\mathbb{P}}} \mathbb{P}$ , then  $\mathbb{P}$ -Mon is the category **Prost** of preordered sets and monotone maps that can be seen as follows. First, given a set X, the partial order on PX is the inclusion of sets. Second, a map  $X \xrightarrow{\nu} PX$  induces a relation  $\leq$  on X by  $x \leq y$  iff  $x \in \nu(y)$ . If  $\nu$  is reflexive  $(e_X \leq \nu)$ , then given  $x \in X$ ,  $e_X(x) = \{x\} \subseteq \nu(x)$  implies  $x \in \nu(x)$  implies  $x \leq x$ , i.e.,  $\leq$  is a reflexive relation. If  $\nu$  is transitive  $(\nu \circ \nu \leq \nu)$ , then given  $z \in X$ ,  $\nu \circ \nu(z) \leq \nu(z)$  implies  $m_X \cdot P\nu \cdot \nu(z) \subseteq \nu(z)$  implies  $\bigcup P\nu(\nu(z)) \subseteq \nu(z)$  implies  $\bigcup_{y \in \nu(z)} \nu(y) \subseteq \nu(z)$ . Thus, given  $x, y, z \in X$  such that  $x \leq y$  and  $y \leq z$ ,  $x \in \nu(y)$  and  $y \in \nu(z)$  implies  $x \in \bigcup_{y \in \nu(z)} \nu(y) \subseteq \nu(z)$  implies  $x \in \nu(z)$  implies  $x \leq z$ , i.e.,  $\leq$  is a transitive relation. Third, given a  $\mathbb{P}$ -monoid morphism  $(X, \nu) \xrightarrow{f} (Y, \mu), x_1 \leq x_2$

implies  $x \leq z$ , i.e.,  $\leq$  is a transitive relation. Third, given a P-monoid morphism  $(X, \nu) \xrightarrow{\rightarrow} (Y, \mu), x_1 \leq x_2$ implies  $x_1 \in \nu(x_2)$  implies  $f(x_1) \in f(\nu(x_2)) = Pf \cdot \nu(x_2) \subseteq \mu \cdot f(x_2) = \mu(f(x_2))$  implies  $f(x_1) \leq f(x_2)$ , i.e., the map  $X \xrightarrow{f} Y$  is monotone. Fourth, the above-mentioned arguments are reversible.

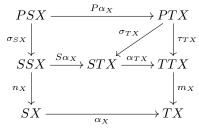
(3) The filter monad  $\mathbb{F}$  with the principal filter natural transformation  $\mathbb{P} \xrightarrow{\tau} \mathbb{F}$  provides the category  $\mathbb{F}$ -Mon, which is isomorphic to the category **Top** of topological spaces and continuous maps by Theorem 17.

**Proposition 30.** A morphism of power-enriched monads  $(\mathbb{S} = (S, n, d), \sigma) \xrightarrow{\alpha} (\mathbb{T} = (T, m, e), \tau)$  provides a concrete functor  $\mathbb{S}$ -Mon  $\xrightarrow{F_{\alpha}} \mathbb{T}$ -Mon defined by  $F_{\alpha}((X, \nu) \xrightarrow{f} (Y, \mu)) = (X, \alpha_X \cdot \nu) \xrightarrow{f} (Y, \alpha_Y \cdot \mu).$ 

PROOF. First, observe that there exists a functor  $\mathbf{Set}_{\mathbb{S}} \xrightarrow{\mathbf{Set}_{\alpha}} \mathbf{Set}_{\mathbb{T}}$  defined by  $\mathbf{Set}_{\alpha}(X \xrightarrow{f} Y) = X \xrightarrow{\alpha_Y \cdot f} Y$ . To show that  $\mathbf{Set}_{\alpha}$  preserves the Kleisli composition, notice that given  $\mathbf{Set}_{\mathbb{S}}$ -morphisms  $X \xrightarrow{f} Y$  and  $Y \xrightarrow{g} Z$ ,  $\mathbf{Set}_{\alpha}(g \circ f) = \alpha_Z \cdot (g \circ f) = \alpha_Z \cdot n_Z \cdot Sg \cdot f \xrightarrow{(\dagger)} m_Z \cdot T\alpha_Z \cdot Tg \cdot \alpha_Y \cdot f = m_Z \cdot T(\alpha_Z \cdot g) \cdot \alpha_Y \cdot f = (\alpha_Z \cdot g) \circ (\alpha_Y \cdot f) =$  $\mathbf{Set}_{\alpha}g \circ \mathbf{Set}_{\alpha}f$ , where  $(\dagger)$  relies on commutativity of the following diagram

$$\begin{array}{c} SY \xrightarrow{Sg} SSZ \xrightarrow{n_Z} SZ \xrightarrow{n_Z} SZ \\ \downarrow^{\alpha_Y} \downarrow & \downarrow^{\alpha_{SZ}} \downarrow^{\alpha_Z} \downarrow^{\alpha_Z} \\ TY \xrightarrow{Tg} TSZ \xrightarrow{T\alpha_Z} TTZ \xrightarrow{m_Z} TZ. \end{array}$$

Second, notice that given a set X, the map  $SX \xrightarrow{\alpha_X} TX$  is  $\bigvee$ -preserving, which follows from the next commutative diagram



and the definition of  $\bigvee$  on the sets SX and TX. In particular, it follows that the map  $\alpha_X$  is monotone.

Third, observe that the functor  $F_{\alpha}$  is correct on objects, since given an S-monoid  $(X, \nu)$ ,  $d_X \leq \nu$  implies  $e_X = \alpha_X \cdot d_X \leq \alpha_X \cdot \nu$  (since  $\alpha$  is a monad morphism, whose components are monotone), and  $\nu \circ \nu \leq \nu$  implies  $\nu \circ \nu = \nu$  (by Remark 27) implies  $(\alpha_X \cdot \nu) \circ (\alpha_X \cdot \nu) = \alpha_X \cdot (\nu \circ \nu) = \alpha_X \cdot \nu$  (since **Set**<sub> $\alpha$ </sub> is a functor).

Fourth, notice that the functor  $F_{\alpha}$  is correct on morphisms, since given an S-monoid morphism  $(X, \nu) \xrightarrow{f} (Y, \mu)$ ,  $Sf \cdot \nu \leq \mu \cdot f$  implies  $\alpha_Y \cdot Sf \cdot \nu \leq \alpha_Y \cdot \mu \cdot f$  (since  $\alpha_Y$  is monotone) implies  $Tf \cdot \alpha_X \cdot \nu \leq \alpha_Y \cdot \mu \cdot f$  by commutativity of the following diagram

$$\begin{array}{c} SX \xrightarrow{\alpha_X} TX \\ Sf \downarrow & \downarrow^{T_J} \\ SY \xrightarrow{\alpha_Y} TY. \end{array}$$

Fifth, the functor  $F_{\alpha}$  is concrete, since it does not change the underlying sets of Kleisli monoids.

## 4. The Kleisli extension

**Definition 31.** Define a functor  $\operatorname{Rel}^{op} \xrightarrow{(-)^{\flat}} \operatorname{Set}_{\mathbb{P}}$  by  $(X \xrightarrow{r} Y)^{\flat} = Y \xrightarrow{r^{\flat}} X$ , where the map  $Y \xrightarrow{r^{\flat}} PX$  is given by  $x \in r^{\flat}(y)$  iff x r y (representing the opposite relation  $Y \xrightarrow{r^{\circ}} X$ ; cf. Example 5).

**Definition 32.** The functors of Definition 31 and Proposition 22 provide a functor  $\operatorname{Rel}^{op} \xrightarrow{(-)^{\tau}} \operatorname{Set}^{\mathbb{P}} = \operatorname{Rel}^{op} \xrightarrow{(-)^{\flat}} \operatorname{Set}_{\mathbb{P}} \xrightarrow{E} \operatorname{Set}_{\mathbb{T}} \xrightarrow{L} \operatorname{Set}^{\mathbb{P}}, (X \xrightarrow{r} Y)^{\tau} = TY \xrightarrow{r^{\tau}} TX$ , where  $r^{\tau} = m_X \cdot T(\tau_X \cdot r^{\flat}) = (\tau_X \cdot r^{\flat})^{\mathbb{T}}$ . **Definition 33.** Given a power-enriched monad  $(\mathbb{T}, \tau)$ , the *Kleisli extension*  $\check{T}$  of T to  $\operatorname{Rel}$  (w.r.t.  $\tau$ ) is provided by the functions  $\operatorname{Rel}(X,Y) \xrightarrow{\check{T}=\check{T}_{X,Y}} \operatorname{Rel}(TX,TY)$  (for every pair of sets X and Y) such that for every relation  $X \xrightarrow{r} Y$ , and every  $\mathfrak{x} \in TX$ ,  $\mathfrak{y} \in TY$ , it follows that  $\mathfrak{x}(\check{T}r)\mathfrak{y}$  iff  $\mathfrak{x} \leq r^{\tau}(\mathfrak{y})$ , which is equivalently described by a map  $TY \xrightarrow{(\check{T}r)^{\flat} = \downarrow_{TX} \cdot r^{\tau}} PTX$ , where  $\downarrow_{TX}(\mathfrak{x}) = \{\mathfrak{z} \in TX \mid \mathfrak{z} \leq \mathfrak{x}\}$  (*lower set*).

## Example 34.

- (1) Given the terminal power-enriched monad (1, !), the Kleisli extension of a relation  $X \xrightarrow{r} Y$  is the relation  $\{*\} \xrightarrow{\tilde{1}r} \{*\}$  such that  $*(\tilde{1}r)*$ .
- (2) Given the powerset monad  $(\mathbb{P} = (P, m, e), 1_{\mathbb{P}})$ , the respective Kleisli extension can be described as follows. Given a relation  $X \xrightarrow{r} Y$ , for every  $A \in PX$ ,  $B \in PY$ , it follows that  $A \leq r^{1_{\mathbb{P}}}(B)$  iff  $A \subseteq r^{1_{\mathbb{P}}}(B)$  iff  $A \subseteq m_X \cdot P(1_X \cdot r^{\flat})(B)$  iff  $A \subseteq \bigcup Pr^{\flat}(B) = \bigcup_{y \in B} r^{\flat}(y)$  iff for every  $x \in A$ , there exists  $y \in B$  such that  $x \in r^{\flat}(y)$  iff for every  $x \in A$ , there exists  $y \in B$  such that x r y iff  $A \subseteq r^{\circ}(B)$ , where  $r^{\circ}(B) = \{x \in X \mid \text{there exists } y \in B \text{ such that } x r y\}$ . As a consequence,  $A \check{P}r B$  iff  $A \subseteq r^{\circ}(B)$ , i.e., one obtains the lax extension of the functor P from Lecture 1.
- (3) Given the filter monad  $(\mathbb{F} = (F, m, e), \tau)$ , where  $\mathbb{P} \xrightarrow{\tau} \mathbb{F}$  is the principal filter natural transformation, the respective Kleisli extension can be described as follows. Given a relation  $X \xrightarrow{r} Y$ , a subset  $A \subseteq X$ , and a filter  $\mathfrak{y} \in FY$ , it follows by Definition 1 that  $A \in m_X \cdot F(\tau_X \cdot r^{\flat})(\mathfrak{y})$  iff  $A^{\mathbb{F}} = \{\mathfrak{x} \in FX \mid A \in \mathfrak{x}\} \in F(\tau_X \cdot r^{\flat})(\mathfrak{y})$  iff  $(\tau_X \cdot r^{\flat})^{-1}(A^{\mathbb{F}}) \in \mathfrak{y}$  iff  $\{y \in Y \mid \tau_X \cdot r^{\flat}(y) \in A^{\mathbb{F}}\} \in \mathfrak{y}$  iff  $\{y \in Y \mid A \in \tau_X \cdot r^{\flat}(y)\} \in \mathfrak{y}$  iff  $\{y \in Y \mid r^{\flat}(y) \subseteq A\} \in \mathfrak{y}$  (since  $\tau_X(B) = \{C \subseteq X \mid B \subseteq C\}$ ) iff there exists  $B \in \mathfrak{y}$  such that  $r^{\circ}(B) \subseteq A$ . As a consequence,  $r^{\tau}(\mathfrak{y}) = m_X \cdot F(\tau_X \cdot r^{\flat})(\mathfrak{y}) = \uparrow_{PX} \{r^{\circ}(B) \mid B \in \mathfrak{y}\}$ , where given a partially ordered set  $(Z, \leqslant)$  and a subset  $S \subseteq Z, \uparrow_Z(S) = \{z \in Z \mid \text{there exists } s \in S \text{ such that } s \leqslant z\}$ . Thus, given  $\mathfrak{x} \in FX$  and  $\mathfrak{y} \in FY$ , it follows that  $\mathfrak{x}(\check{Fr})\mathfrak{y}$  iff  $\mathfrak{x} \notin r^{\tau}(\mathfrak{y})$  iff  $\mathfrak{x} \supseteq r^{\tau}(\mathfrak{y})$  iff  $\mathfrak{x} \supseteq r^{\circ}[\mathfrak{y}]$ , where  $r^{\circ}[\mathfrak{y}] = \{r^{\circ}(B) \mid B \in \mathfrak{y}\}$ . Observe that the Kleisli extension of the filter monad coincides with the respective lax extension  $\check{F}$ .

**Definition 35.** A lax functor  $\mathbf{C} \xrightarrow{F} \mathbf{D}$  of preordered categories (recall Lecture 4) is a pair of maps  $\mathcal{O}_{\mathbf{C}} \xrightarrow{F_{\mathcal{O}}} \mathcal{O}_{\mathbf{D}}$ ,  $\mathcal{M}_{\mathbf{C}} \xrightarrow{F_{\mathcal{M}}} \mathcal{M}_{\mathbf{D}}$  (both denoted F), which satisfy the following axioms:

- (1)  $F(X \xrightarrow{f} Y) = FX \xrightarrow{Ff} FY$  for every **C**-morphism  $X \xrightarrow{f} Y$ ;
- (2)  $Ff \leq Fg$  for every **C**-morphisms  $X \xrightarrow{f} Y$  such that  $f \leq g$ ;
- (3)  $Fg \cdot Ff \leq F(g \cdot f)$  for every **C**-morphisms  $X \xrightarrow{f} Y$  and  $Y \xrightarrow{g} Z$ ;
- (4)  $1_{FC} \leq F 1_C$  for every **C**-object *C*.

#### Remark 36.

- (1) Recall from Lecture 4 that there is a functor  $(V-\mathbf{Cat})^{op} \xrightarrow{(-)^*} V-\mathbf{Mod}$  defined by  $((X, a) \xrightarrow{f} (Y, b))^* = (Y, b) \xrightarrow{f^*} (X, a)$ , where  $f^* = f^\circ \cdot b$ . In case of V = 2, this functor induces a functor  $\mathbf{Prost} \xrightarrow{(-)^*} \mathbf{Mod}^{op}$  defined by  $((X, \leqslant_X) \xrightarrow{f} (Y, \leqslant_Y))^* = (Y, \leqslant_Y) \xrightarrow{f^* = f^\circ \cdot (\leqslant_Y)} (X, \leqslant_X)$ .
- (2) There exists a lax functor  $\operatorname{Mod} \xrightarrow{|-|_L} \operatorname{Rel}$  defined by  $|(X, \leq_X) \xrightarrow{r} (Y, \leq_Y)|_L = X \xrightarrow{r} Y$ , which preserves the composition, but given a preordered set  $(X, \leq_X), 1_{|(X, \leq_X)|_L} = 1_X \leq (\leq_X) = |1_{(X, \leq_X)}|_L$ .

**Remark 37.** In the definition of lax extension of a **Set**-functor T to the category V-**Rel** (recall Lecture 1), the following statements are equivalent:

- (1)  $Tf \leq \hat{T}f$  and  $(Tf)^{\circ} \leq \hat{T}(f^{\circ})$  for every map  $X \xrightarrow{f} Y$ ;
- (2)  $(Tf)^{\circ} \leq \hat{T}(f^{\circ})$  and  $\hat{T}(f^{\circ} \cdot r) = (Tf)^{\circ} \cdot \hat{T}r$  for every map  $X \xrightarrow{f} Y$  and every relation  $Z \xrightarrow{r} Y$ .

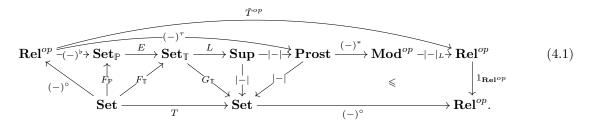
**Proposition 38.** Given a power-enriched monad  $(\mathbb{T}, \tau)$ , the Kleisli extension  $\check{T}$  of T to **Rel** provides a lax extension  $\check{\mathbb{T}} = (\check{T}, m, e)$  of  $\mathbb{T} = (T, m, e)$  to **Rel**.

PROOF. To show that  $\operatorname{\mathbf{Rel}} \xrightarrow{\tilde{T}} \operatorname{\mathbf{Rel}}$  is a lax functor, one can express it as a composition of lax functors as follows. Observe first that given a relation  $X \xrightarrow{r} Y$ ,  $TX \xrightarrow{\tilde{T}_r} TY$  can be expressed as  $TX \xrightarrow{(r^{\tau})^*} TY$ with the help of the functor  $(-)^*$  of Remark 36 (1). Thus, the Kleisli extension  $\tilde{T}^{op}$  can be written as the composition of functors  $\operatorname{\mathbf{Rel}}^{op} \xrightarrow{(-)^{\tau}} \operatorname{\mathbf{Sup}} \xrightarrow{|-|} \operatorname{\mathbf{Prost}} \xrightarrow{(-)^*} \operatorname{\mathbf{Mod}}^{op}$ , where |-| is the forgetful functor. Notice second that the Kleisli extension  $\tilde{T}^{op}$  can be expressed as the following composition

$$\operatorname{\mathbf{Rel}}^{op} \xrightarrow{(-)^{\flat}} \operatorname{\mathbf{Set}}_{\mathbb{P}} \xrightarrow{E} \operatorname{\mathbf{Set}}_{\mathbb{T}} \xrightarrow{L} \operatorname{\mathbf{Sup}} \xrightarrow{|-|} \operatorname{\mathbf{Prost}} \xrightarrow{(-)^{\ast}} \operatorname{\mathbf{Mod}}^{op} \xrightarrow{|-|_{L}} \operatorname{\mathbf{Rel}}^{op}$$

where all the arrows (except the last one) are functors, and the last arrow is the lax functor of Remark 36 (2).

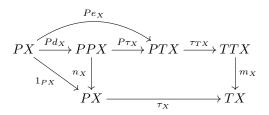
To show that  $(Tf)^{\circ} \leq \check{T}(f^{\circ})$  for every map  $X \xrightarrow{f} Y$ , one can consider the following commutative (except for the down right part, where one should notice that given a monotone map  $(X, \leq_X) \xrightarrow{f} (Y, \leq_Y)$ , it follows that  $f^{\circ} \leq f^{\circ} \cdot (\leq_Y)$ , since  $1_X \leq (\leq_Y)$  diagram:



The second condition of Definition 37 (2), i.e.,  $\check{T}(f^{\circ} \cdot r) = (Tf)^{\circ} \cdot \check{T}r$  for every map  $X \xrightarrow{f} Y$  and every relation  $Z \xrightarrow{r} Y$  can be shown as follows. Given  $\mathfrak{x} \in TX$  and  $\mathfrak{z} \in TZ$ ,  $\mathfrak{x}\check{T}(f^{\circ} \cdot r)\mathfrak{z}$  iff  $\mathfrak{x} \leq (f^{\circ} \cdot r)^{\tau}(\mathfrak{z})$  iff  $\mathfrak{x} \leq r^{\tau} \cdot (f^{\circ})^{\tau}(\mathfrak{z})$  (since  $\operatorname{\mathbf{Rel}}^{op} \xrightarrow{(-)^{\tau}} \operatorname{\mathbf{Prost}}$  is a functor) iff  $\mathfrak{x} \leq r^{\tau} \cdot Tf(\mathfrak{z})$  (by diagram (4.1)) iff  $\mathfrak{x} ((Tf)^{\circ} \cdot \check{T}r)\mathfrak{z}$ . Altogether, it follows that  $\check{T}$  is a lax extension of the **Set**-functor T to the category **Rel**. To show that

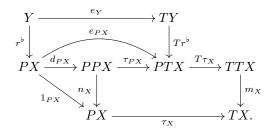


for every relation  $X \xrightarrow{r} Y$ , notice first that the following commutative diagram



implies  $\tau_X = m_X \cdot \tau_{TX} \cdot Pe_X = \bigvee_{TX} \cdot Pe_X$  (recall condition (2.1)). Observe second that given  $x \in X$  and  $y \in Y$  such that x r y, it follows that (recall Definition 31)  $e_X(x) \leq \bigvee_{x' \in r^\flat(y)} e_X(x') = \bigvee_{TX} Pe_X(r^\flat(y)) = \tau_X \cdot r^\flat(y) \stackrel{(\dagger)}{=} m_X \cdot T\tau_X \cdot Tr^\flat \cdot e_Y(y) = m_X \cdot T(\tau_X \cdot r^\flat) \cdot e_Y(y) = (\tau_X \cdot r^\flat)^{\mathbb{T}} \cdot e_Y(y) \stackrel{\text{Definition 32}}{=} r^\tau \cdot e_Y(y)$ , where

(†) relies on commutativity of the following diagram:



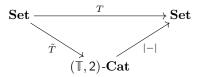
As a consequence, one obtains that  $e_X(x)(\check{T}r)e_Y(y)$ .

Lastly, to show that

$$\begin{array}{ccc} TTX & \xrightarrow{m_X} TX \\ \tilde{T}\tilde{T}r & \downarrow & \leqslant & \downarrow \tilde{T}r \\ TTY & \xrightarrow{m_Y} TY \end{array}$$

for every relation  $X \xrightarrow{r} Y$ , observe first that  $m_X \cdot \tau_{TX} \cdot \downarrow_{TX} = \bigvee_{TX} \cdot \downarrow_{TX} = 1_{TX}$ , and notice second that  $(r^{\tau})^{\mathbb{T}} = (r^{\tau} \cdot 1_{TY})^{\mathbb{T}} \xrightarrow{\text{Definition 32}} ((\tau_X \cdot r^{\flat})^{\mathbb{T}} \cdot 1_{TY})^{\mathbb{T}} \xrightarrow{\text{Definition 10}} (\tau_X \cdot r^{\flat})^{\mathbb{T}} \cdot 1_{TY}^{\mathbb{T}} \xrightarrow{\text{Definition 32}} r^{\tau} \cdot m_Y$ . Therefore, given  $\mathfrak{X} \in TTX$  and  $\mathfrak{Y} \in TTY$  such that  $\mathfrak{X} (\check{T}\check{T}r) \mathfrak{Y}$ , it follows that  $\mathfrak{X} \leq (\check{T}r)^{\tau}(\mathfrak{Y})$ , which implies  $m_X(\mathfrak{X}) \xrightarrow{\text{Proposition 22(4)}} m_X((\check{T}r)^{\tau}(\mathfrak{Y})) = m_X \cdot (\check{T}r)^{\tau}(\mathfrak{Y}) \xrightarrow{\text{Definition 32}} m_X \cdot (\tau_{TX} \cdot (\check{T}r)^{\flat})^{\mathbb{T}}(\mathfrak{Y}) \xrightarrow{\text{Definition 33}} 1_{TX}^{\mathbb{T}} \cdot (\tau_{TX} \cdot \downarrow_{TX} \cdot r^{\tau})^{\mathbb{T}}(\mathfrak{Y}) \xrightarrow{\text{Definition 10}} (1_{TX}^{\mathbb{T}} \cdot \tau_{TX} \cdot \downarrow_{TX} \cdot r^{\tau})^{\mathbb{T}}(\mathfrak{Y}) = (m_X \cdot \tau_{TX} \cdot \downarrow_{TX} \cdot r^{\tau})^{\mathbb{T}}(\mathfrak{Y}) = (r^{\tau})^{\mathbb{T}}(\mathfrak{Y})$ . As a consequence, one arrives at  $\mathfrak{X} (\check{T}r)\mathfrak{Y}$ , which finishes the proof.  $\Box$ 

**Proposition 39.** Given a monad  $\mathbb{T} = (T, m, e)$  on Set, there exists a functor Set  $\xrightarrow{\tilde{T}} (\mathbb{T}, 2)$ -Cat defined by  $\tilde{T}(X \xrightarrow{f} Y) = (TX, \tilde{m}_X) \xrightarrow{T_f} (TY, \tilde{m}_Y)$ , where  $\tilde{m}_X = \hat{T}1_X \cdot m_X$ . The functor makes the following triangle



commute (|-| is the forgetful functor). The preorder on TX induced by  $\tilde{m}_X$  is given by  $\mathfrak{x} \leq \mathfrak{y}$  iff  $\mathfrak{x} \hat{T} 1_X \mathfrak{y}$ .

**Remark 40.** Since the Kleisli extension provides a power-enriched monad  $(\mathbb{T}, \tau)$  with a lax extension, there exists an induced preorder on TX associated with  $\check{T}$  as in Proposition 39, i.e.,  $\mathfrak{x} \leq_{\mathrm{ind}} \mathfrak{y}$  iff  $\mathfrak{x}\check{T}1_X\mathfrak{y}$ . There also exists a partial order on TX provided by the monad morphism  $\mathbb{P} \xrightarrow{\tau} \mathbb{T}$  as in Remark 23 (1), i.e.,  $\mathfrak{x} \leq_{\tau} \mathfrak{y}$ 

iff  $m_X \cdot \tau_{TX}(\{\mathfrak{x}, \mathfrak{y}\}) = \mathfrak{y}$ . Following Definition 33,  $\mathfrak{x}(\check{T}r)\mathfrak{y}$  iff  $\mathfrak{x} \leq_{\tau} r^{\tau}(\mathfrak{y})$  for every relation  $X \xrightarrow{r} X$ . Thus, if  $r = 1_X$ , then  $\mathfrak{x}(\check{T}1_X)\mathfrak{y}$  iff  $\mathfrak{x} \leq_{\tau} (1_X)^{\tau}(\mathfrak{y})$  iff  $\mathfrak{x} \leq_{\tau} \mathfrak{y}$ , since  $(-)^{\tau}$  is a functor. Thus, the induced preorder associated with the lax extension  $\check{T}$  coincides with the partial order provided by the monad morphism  $\tau$ . Also notice that  $\check{T}$  fails to preserve identity relations unless  $\mathbb{T} = \mathfrak{1}$  is the terminal power-enriched monad.

**Theorem 41.** Given a power-enriched monad  $(\mathbb{T}, \tau)$  equipped with its Kleisli extension  $\check{T}$ , there exists a concrete isomorphism  $(\mathbb{T}, 2)$ -Cat  $\cong \mathbb{T}$ -Mon.

PROOF. The proof relies on a lax algebraic generalization of the classical correspondence between convergence and neighborhoods in topological spaces. In particular, given a topological space X, a filter  $\mathfrak{r}$  on X converges to some point  $y \in X$  precisely when  $\mathfrak{r}$  is finer than the neighborhood filter of y. This correspondence can be formalized via maps  $\mathbf{Set}(X, FX) \xrightarrow{\text{conv}} \mathbf{Rel}(FX, X)$  and  $\mathbf{Rel}(FX, X) \xrightarrow{\text{nbhd}} \mathbf{Set}(X, FX)$ , replacing

the filter monad  $\mathbb{F}$  with a power-enriched monad  $(\mathbb{T}, \tau)$  and identifying  $\operatorname{Rel}(TX, X)$  with  $\operatorname{Set}(X, PTX)$ , isomorphic as ordered sets. One thus defines  $\operatorname{conv}(\nu) = \downarrow_{TX} \cdot \nu$  and  $\operatorname{nbhd}(r) = \bigvee_{TX} \cdot r^{\flat}$  for every map  $X \xrightarrow{\nu} TX$ 

and every relation  $TX \xrightarrow{r} X$ . In pointwise notation, these maps can be written as  $\mathfrak{r} \operatorname{conv}(\nu) x$  iff  $\mathfrak{r} \leq \nu(x)$  and  $(\operatorname{nbhd}(r))(x) = \bigvee \{\mathfrak{y} \in TX \mid \mathfrak{y} \in r^{\flat}(x)\} = \bigvee \{\mathfrak{y} \in TX \mid \mathfrak{y} r x\}$  for every  $\mathfrak{x} \in TX$  and every  $x \in X$ .

**Lemma 42.** Given a  $\bigvee$ -semilattice A, there exists the adjunction  $\bigvee \dashv \downarrow : A \to PA$ , where PA is the powerset of A ordered by set inclusion, and  $\downarrow (a) = \downarrow a = \{b \in A \mid b \leq a\}$ , such that  $\bigvee \cdot \downarrow = 1_A$ .

**PROOF.** Given  $a \in A$  and  $S \subseteq A$ , it follows that  $\bigvee S \leq a$  iff  $S \subseteq \downarrow a$ , and, moreover,  $\bigvee \downarrow a = a$ .

**Proposition 43.** When  $\mathbf{Set}(X, TX)$  and  $\mathbf{Rel}(TX, X)$  are equipped with pointwise partial order, there exists an adjunction (recall Lecture 4)  $\mathbf{nbhd} \dashv \mathbf{conv} : \mathbf{Set}(X, TX) \rightarrow \mathbf{Rel}(TX, X)$  for every set X. Additionally, the fixpoints of  $\mathbf{conv} \cdot \mathbf{nbhd}$  are precisely the unitary relations (recall Lecture 6), and  $\mathbf{nbhd} \cdot \mathbf{conv} = \mathbf{1}_{\mathbf{Set}(X,TX)}$ , so that the fixpoints of  $\mathbf{nbhd} \cdot \mathbf{conv}$  are the maps  $X \xrightarrow{\nu} TX$ .

PROOF. Notice that given a map  $X \xrightarrow{\nu} TX$  and a relation  $TX \xrightarrow{r} X$ , it follows that  $nbhd(r) \leq \nu$ iff  $(nbhd(r))(x) \leq \nu(x)$  for every  $x \in X$  iff  $\bigvee \{ \mathfrak{x} \in TX | \mathfrak{x}rx \} \leq \nu(x)$  for every  $x \in X$  iff (Lemma 42)  $\{ \mathfrak{x} \in TX | \mathfrak{x}rx \} \subseteq \downarrow \nu(x)$  for every  $x \in X$  iff  $\mathfrak{x}rx$  implies  $\mathfrak{x} \leq \nu(x)$  for every  $\mathfrak{x} \in TX$  and every  $x \in X$  iff  $\mathfrak{x}rx$ implies  $\mathfrak{x} \operatorname{conv}(\nu)x$  for every  $\mathfrak{x} \in TX$  and every  $x \in X$  iff  $r \subseteq \operatorname{conv}(\nu)$  iff  $r \leq \operatorname{conv}(\nu)$ . As a consequence,  $nbhd(r) \leq nbhd(r)$  implies  $r \leq \operatorname{conv} \cdot nbhd(r)$ , and  $\operatorname{conv}(\nu) \leq \operatorname{conv}(\nu)$  implies  $nbhd \cdot \operatorname{conv}(\nu) \leq \nu$ , i.e.,  $1_{\operatorname{Rel}(TX,X)} \leq \operatorname{conv} \cdot nbhd$  and  $nbhd \cdot \operatorname{conv} \leq 1_{\operatorname{Set}(X,TX)}$ . Moreover, both nbhd and  $\operatorname{conv}$  are monotone maps.

Given a map  $X \xrightarrow{\nu} TX$ , for every  $x \in X$ , it follows that  $(\mathtt{nbhd} \cdot \mathtt{conv}(\nu))(x) = (\mathtt{nbhd}(\mathtt{conv}(\nu)))(x) = \bigvee \{\mathfrak{x} \in TX \mid \mathfrak{x} \in \mathsf{conv}(\nu) x\} = \bigvee \{\mathfrak{x} \in TX \mid \mathfrak{x} \leq \nu(x)\} = \bigvee \downarrow \nu(x) \xrightarrow{\mathrm{Lemma } 42} \nu(x), \text{ namely, } \mathtt{nbhd} \cdot \mathtt{conv}(\nu) = \nu$ . As a result, one obtains that  $\mathtt{nbhd} \cdot \mathtt{conv} = 1_{\mathbf{Set}(X,TX)}$ , i.e., the fixpoints of  $\mathtt{nbhd} \cdot \mathtt{conv}$  are the maps  $X \xrightarrow{\nu} TX$ . The statement on unitary relations relies on a sequence of technical calculations.  $\Box$ 

The statement on unitary relations refers on a sequence of technical calculations.

Moreover, the above adjoint maps nbhd and conv are monoid homomorphisms between  $\mathbf{Set}_{\mathbb{T}}(X, X)$  and  $(\mathbb{T}, 2)$ -**URel**<sup>op</sup>(X, X) (the set of unitary relations  $TX \xrightarrow{r} X$ ), namely, they satisfy

$$\begin{split} \texttt{nbhd}(s \circ r) &= \texttt{nbhd}(r) \circ \texttt{nbhd}(s) & \texttt{conv}(\mu) \circ \texttt{conv}(\nu) &= \texttt{conv}(\nu \circ \mu) \\ \texttt{nbhd}(1_X^\natural) &= e_X & \texttt{conv}(e_X) &= 1_X^\natural \end{split}$$

for all unitary relations  $TX \xrightarrow[s]{r} X$ , and all maps  $X \xrightarrow[\nu]{\nu} TX$ , where  $s \circ r = s \cdot \check{T}r \cdot m_X^\circ$  (*Kleisli convolution*)

and  $1_X^{\natural} = e_X^{\circ} \circ e_X^{\circ}$  (properties of power-enriched monads imply that the Kleisli convolution is associative).

**Lemma 44.** For a set X, a relation  $TX \xrightarrow{a} X$  provides a  $(\mathbb{T}, 2)$ -category (X, a) iff  $a \circ a = a$  and  $1_X^{\natural} \leq a$ .

PROOF.  $\Rightarrow: \text{ First, notice that given a } (\mathbb{T}, 2)\text{-category } (X, a), \text{ it follows that } a \cdot \hat{T}a \leqslant a \cdot m_X \text{ and } 1_X \leqslant a \cdot e_X \text{ (recall Lecture 1), which implies } a \circ a = a \cdot \hat{T}a \cdot m_X^\circ \leqslant a \cdot m_X \cdot m_X^\circ \leqslant a \text{ and } e_X^\circ \leqslant a \cdot e_X \cdot e_X^\circ \leqslant a \cdot e_X^\circ \cdot e_X^\circ \leqslant a \cdot e_X^\circ \cdot e_X^\circ \leqslant a \cdot e_X^\circ \otimes e_X^\circ \otimes a \cdot e_X^\circ \otimes e_X^\circ \otimes a \cdot e_X^\circ \otimes e_X^\circ \otimes a \cdot e_X^\circ \otimes a \circ e_$ 

 $\Leftarrow: \text{Observe that, first, } a \circ a = a \text{ implies } a \cdot \hat{T} a \cdot m_X^\circ = a \circ a \leqslant a \text{ implies } a \cdot \hat{T} a \overset{TTX \leqslant m_X}{\leqslant} \overset{TTX \leqslant m_X}{\leqslant} a \cdot \hat{T} a \cdot m_X^\circ \cdot m_X \leqslant a \cdot m_X \text{ (i.e., } a \cdot \hat{T} a \leqslant a \cdot m_X \text{), and, second, } 1_X^{\natural} \leqslant a \text{ implies } e_X^\circ = e_X^\circ \cdot 1_{TX} = e_X^\circ \cdot T 1_X \overset{\hat{T} \text{ is a lax extension of } T}{\leqslant} e_X^\circ \cdot \hat{T} 1_X = e_X^\circ \cdot \hat{T} e_X^\circ \cdot m_X^\circ = e_X^\circ \circ e_X^\circ \leqslant a \text{ implies } 1_X \leqslant e_X^\circ \cdot e_X \leqslant a \cdot e_X \text{ (i.e., } 1_X \leqslant a \cdot e_X \text{).}$ 

Given a  $(\mathbb{T}, 2)$ -algebra (X, r), it follows that  $(X, \mathsf{nbhd}(r))$  is a  $\mathbb{T}$ -monoid, and conversely if  $(X, \nu)$  is a  $\mathbb{T}$ -monoid, then  $(X, \mathsf{conv}(\nu))$  is a  $(\mathbb{T}, 2)$ -algebra. Moreover, this one-to-one correspondence is functorial.  $\Box$ 

**Corollary 45.** The category **Top** is concretely isomorphic to the category  $(\mathbb{F}, 2)$ -**Cat**, whose objects are pairs (X, a), where  $FX \xrightarrow{a} X$  is a relation, which represents convergence and which satisfies (denoting "a" and " $\hat{F}a$ " by " $\longrightarrow$ ")  $\mathfrak{X} \longrightarrow \mathfrak{y}$  and  $\mathfrak{y} \longrightarrow z$  imply  $\mathfrak{X} \longrightarrow z$ , and  $\dot{x} \longrightarrow x$  for every  $\mathfrak{X} \in FFX$ ,  $\mathfrak{y} \in FX$ ,  $x, z \in X$  (notice that  $\mathfrak{X} \longrightarrow \mathfrak{y}$  iff  $\mathfrak{X} \supseteq a^{\circ}[\mathfrak{y}]$  as in Example 34 (3)); and whose morphisms  $(X, a) \xrightarrow{f} (Y, b)$  are convergence-preserving maps  $X \xrightarrow{f} Y$ , namely,  $\mathfrak{x} \longrightarrow z$  implies  $Ff(\mathfrak{x}) \longrightarrow f(z)$  for every  $\mathfrak{x} \in FX$ ,  $z \in X$ .

PROOF. The statement follows from Theorems 17, 41.

**Corollary 46.** Given the up-set monad  $\mathbb{U}$  (for a set X,  $UX = \{\mathfrak{a} \subseteq PX \mid \uparrow_{PX} \mathfrak{a} = \mathfrak{a}\}$ ) equipped with the Kleisli extension associated with the principal filter natural transformation, there is a concrete isomorphism  $\mathbf{Cls} \cong (\mathbb{U}, 2)$ - $\mathbf{Cat}$ , where  $\mathbf{Cls}$  is the category of closure spaces and continuous maps (cf. Lecture 1).

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