

Department of Geological Sciences, Faculty of Sciences  
Masaryk University/Brno & Czech Geological Society

September 12-14, 2011

## **Short Course on Geological Hazards**

### **Day 3 (Wed AM), Lecture 5:**

(Topic 5 of Original Announcement)

### **Hazards and Risks Associated with Climate Change: the NYC Case Study.**

**Klaus H. Jacob**

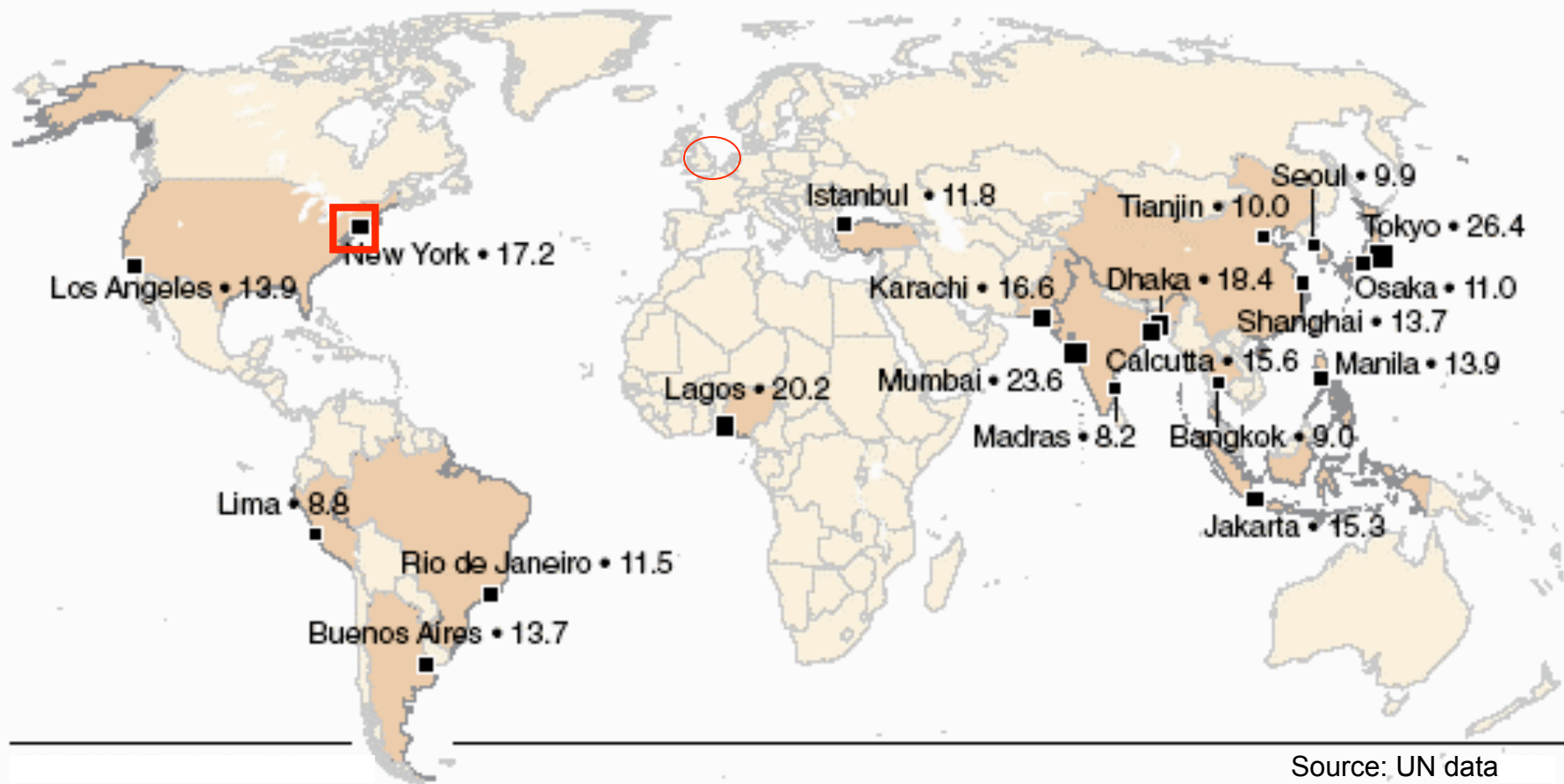
Lamont-Doherty Earth Observatory  
of Columbia University, NY  
[jacob@ldeo.columbia.edu](mailto:jacob@ldeo.columbia.edu)

## **Overview of Topics:**

- **NYC's Expected Climate Change (21<sup>st</sup> Century)**
  - Temperature
  - Precipitation
  - Storms (Hurricanes, Nor'easter's, Winterstorms, Windstorms)
  - Sea Level Rise (SLR) & Coastal Storm Surge Inundations
- **NYC's Infrastructure Exposed to the CC Hazards, and its Vulnerabilities.**
- **Risk (Expected Future \$-Losses) due to CC**
- **Options & Costs to Reduce NYC's CC Risks.**

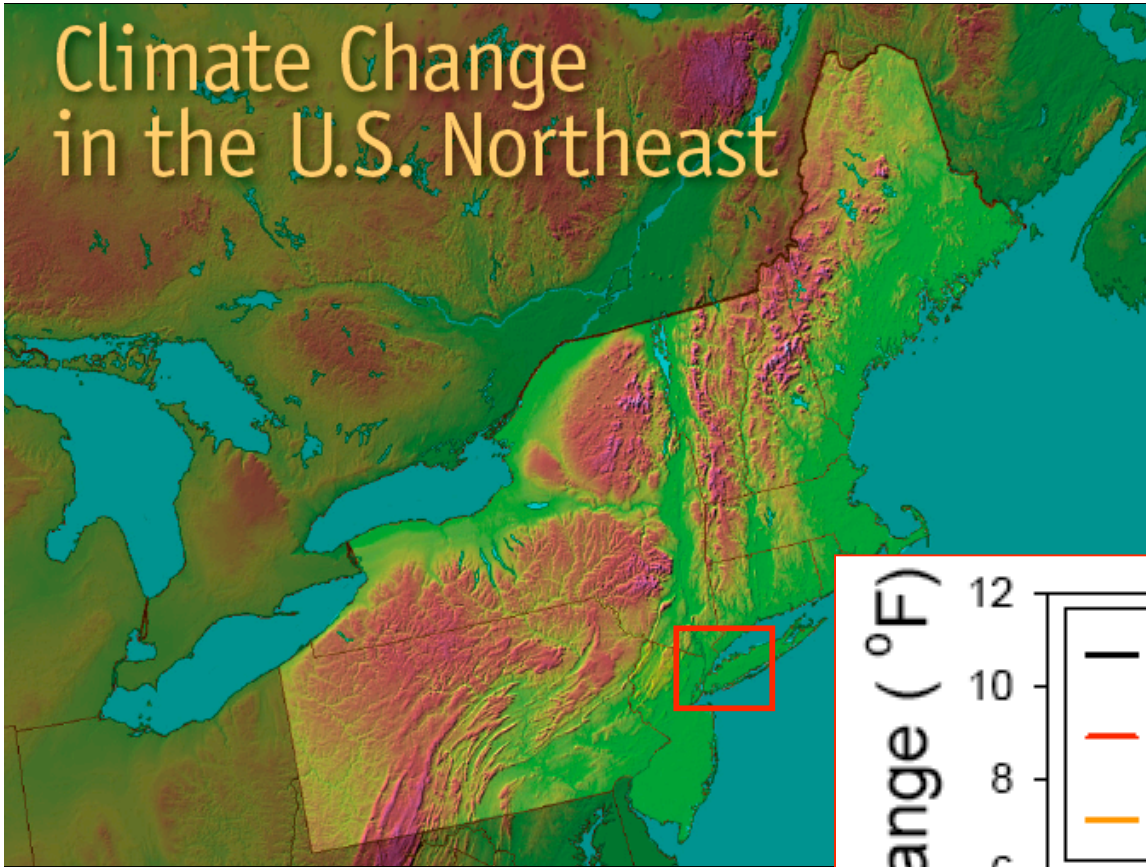
## The Global Context:

Coastal urban agglomerations with populations more than 8 million in 2010



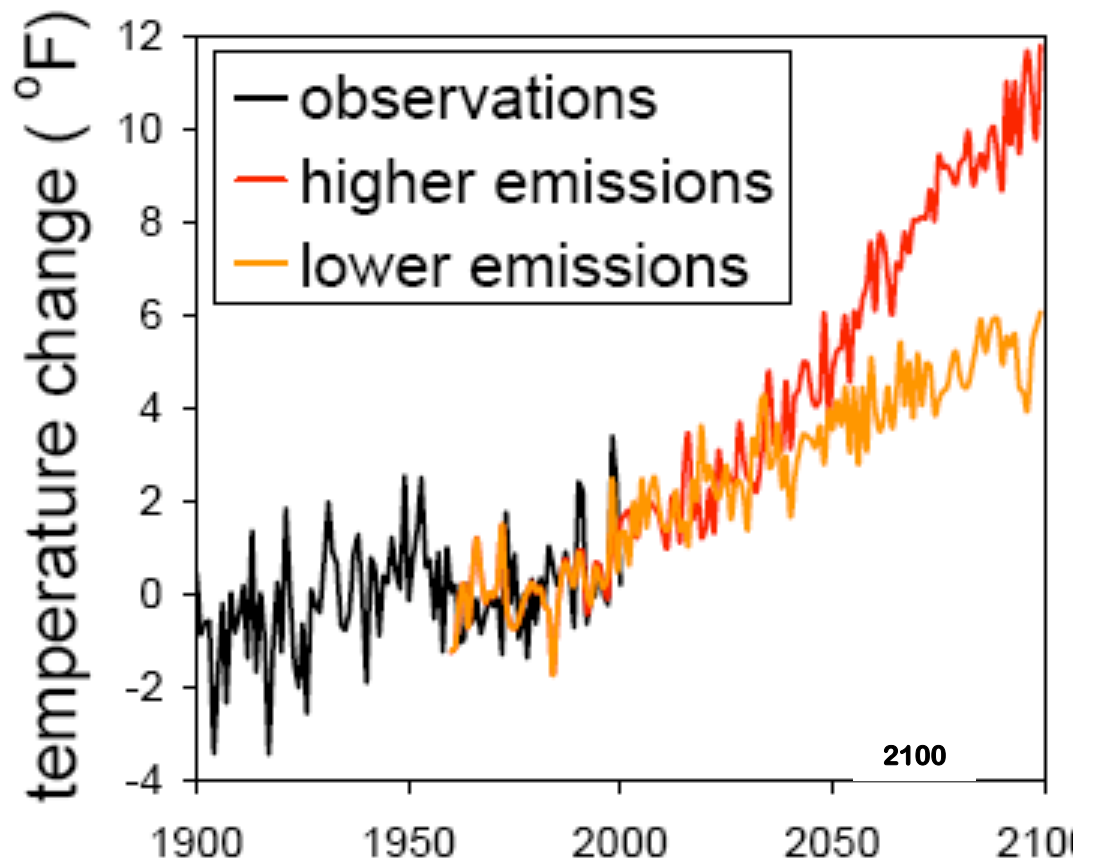
Source: UN data

# Climate Change in the U.S. Northeast



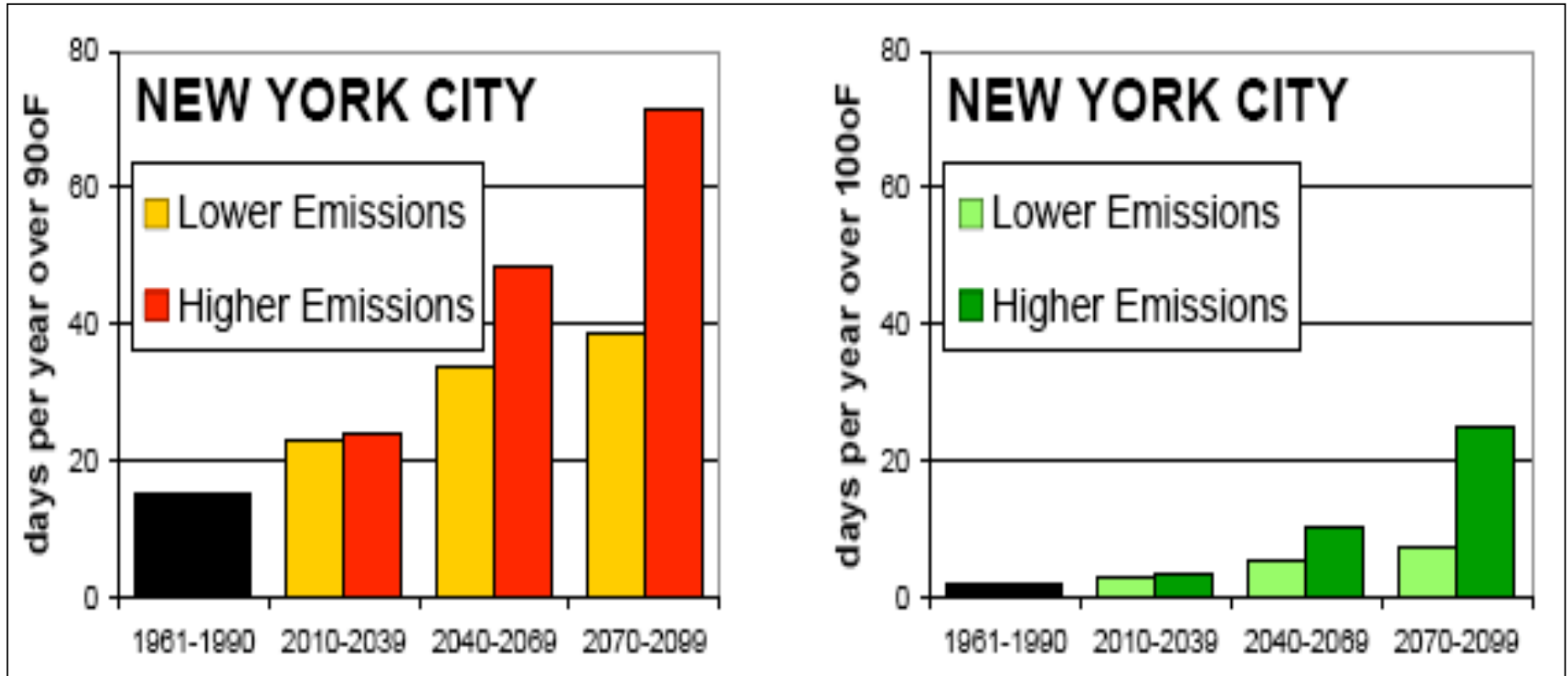
A Report of the  
Northeast Climate Impacts  
Assessment  
  
October 2006

[http://www.climatechoices.org/assets/documents/climatechoices/NECIA\\_climate\\_report\\_final.pdf](http://www.climatechoices.org/assets/documents/climatechoices/NECIA_climate_report_final.pdf)



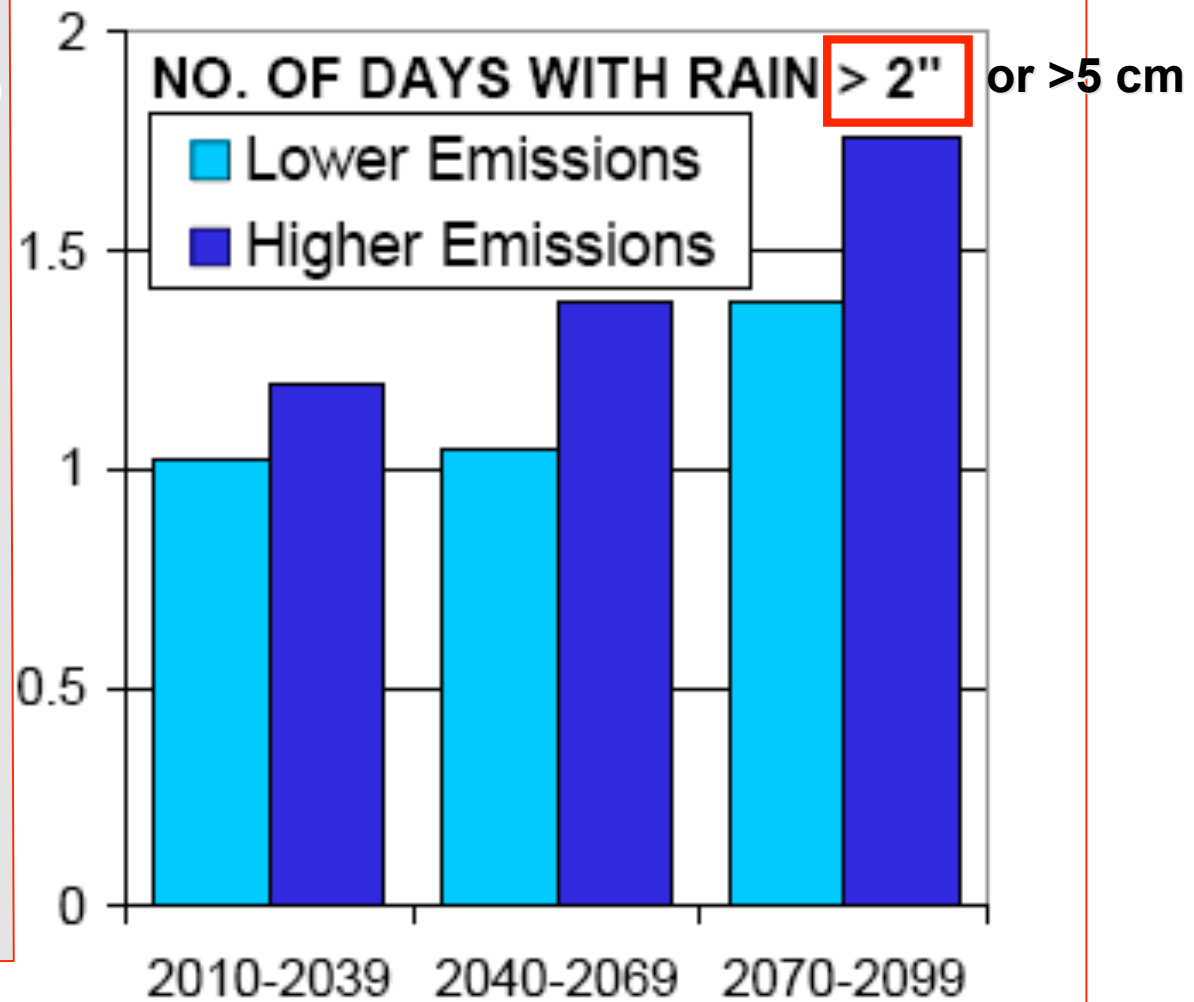
**No. of days >90°F (32°C)**

**No. of days >100°F (38°C)**

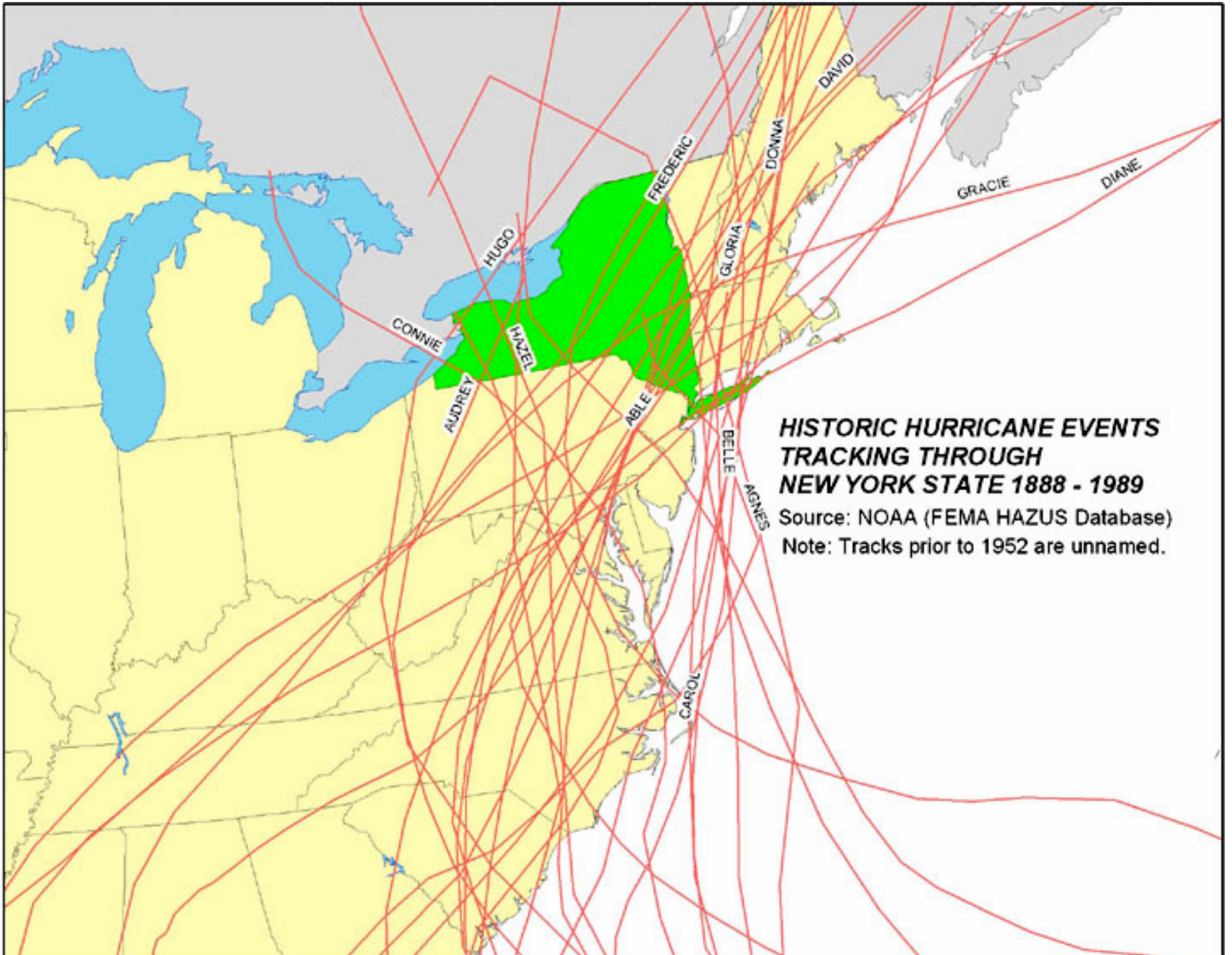


**⇒ more air conditioning, heat strokes, energy, CO2, more warming !**

**Multiplier for Number of Events per Year  
Relative to 1961-1990 Average**



**Many of these heavy rains occur during Nor'easters or Hurricanes**

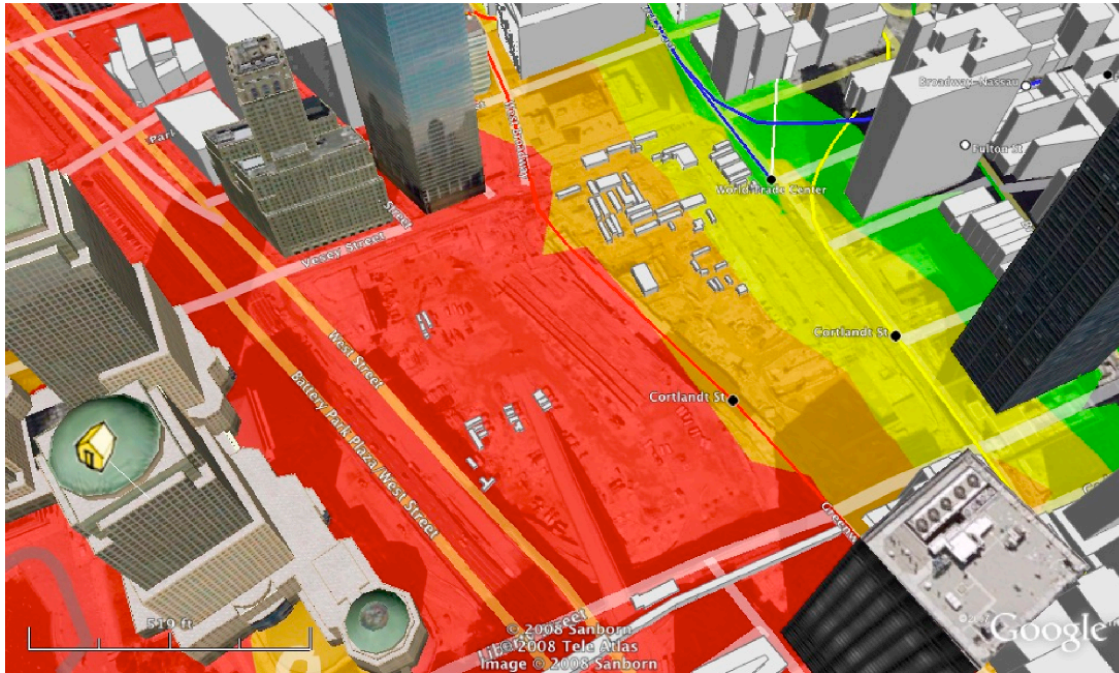


**CAT1=2.00ft (0.66m);**  
**CAT2=6.6 ft (2.1m);**  
**CAT3=7.8ft (2.6m);**  
**CAT4=13.7 ft (4.6m)**

**CAT1=7.80ft (2.6m);**  
**CAT2=11.8 ft (4m);**  
**CAT3=16.6 ft (5.5m);**  
**CAT4=22.7 ft (7.5m)**

**CAT1=12.3ft (4m);**  
**CAT2=18.1ft (6m);**  
**CAT3=24.9ft (8m);**  
**CAT4=31.3 ft (10m).**





## WTC - Site:

### Questions:

Can the West-Tub Flood?  
Can the East Tub Flood?  
For which Storm Surge Elevations?

How will Flooding affect PATH System?

- Hudson Tunnels
- Stations / Tracks / Control Systems
- New Transportation Hub?
- For how Long ?

Will Flooding of NYCT Subway System(s) Affect / Connect with PATH & WTC facilities?

If Answers to Above are YES:

What Sealing-Off Options Exist ?

What Pumping Facilities are Planned ? Where ? Capacity? Reliability ?

Is a Levee System || to West Street Feasible? Up to what Height?  
How long would it be effective, given SLR.

# GIS-based Risk Assessment Tool 'HAZUS - MH'

(FEMA's "Hazards in the United States - Multi Hazards Version": Earthquakes, Wind, Flood).

$$\text{Risk} = \text{Sum} \left( \text{Hazard} \times \text{Assets} \times \text{Vulnerability} \right)$$

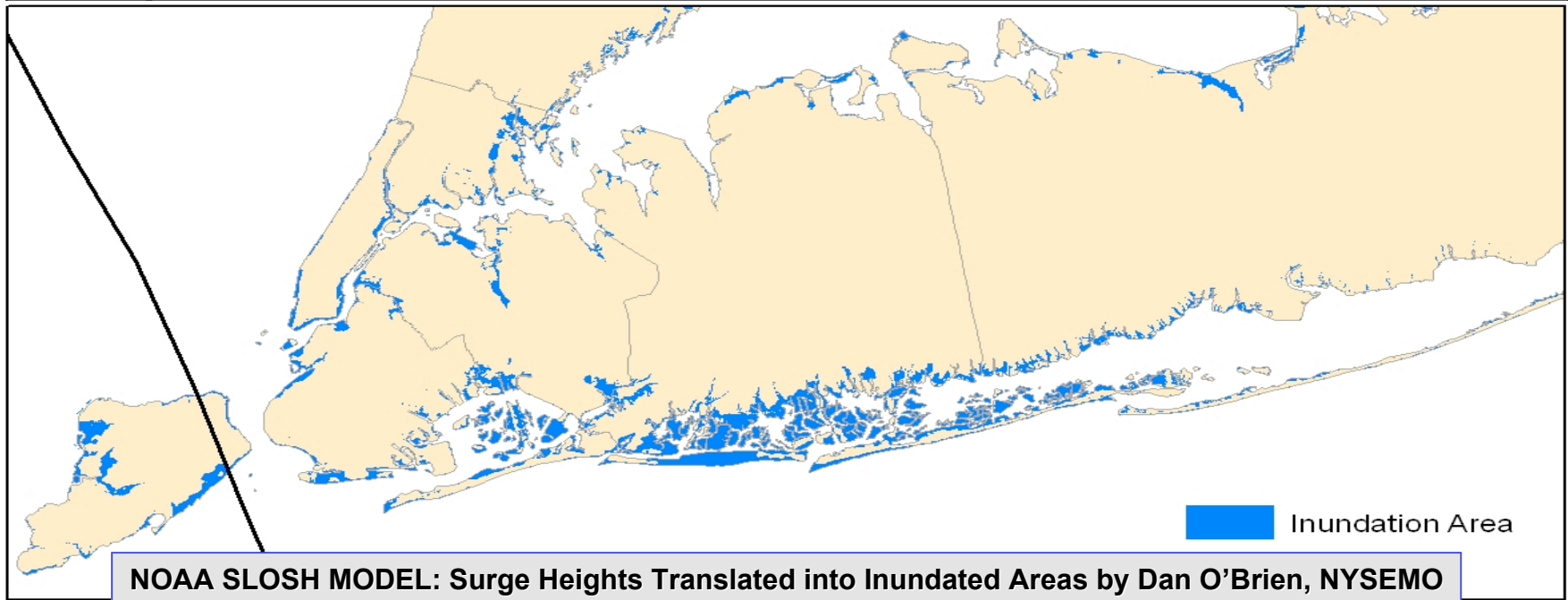
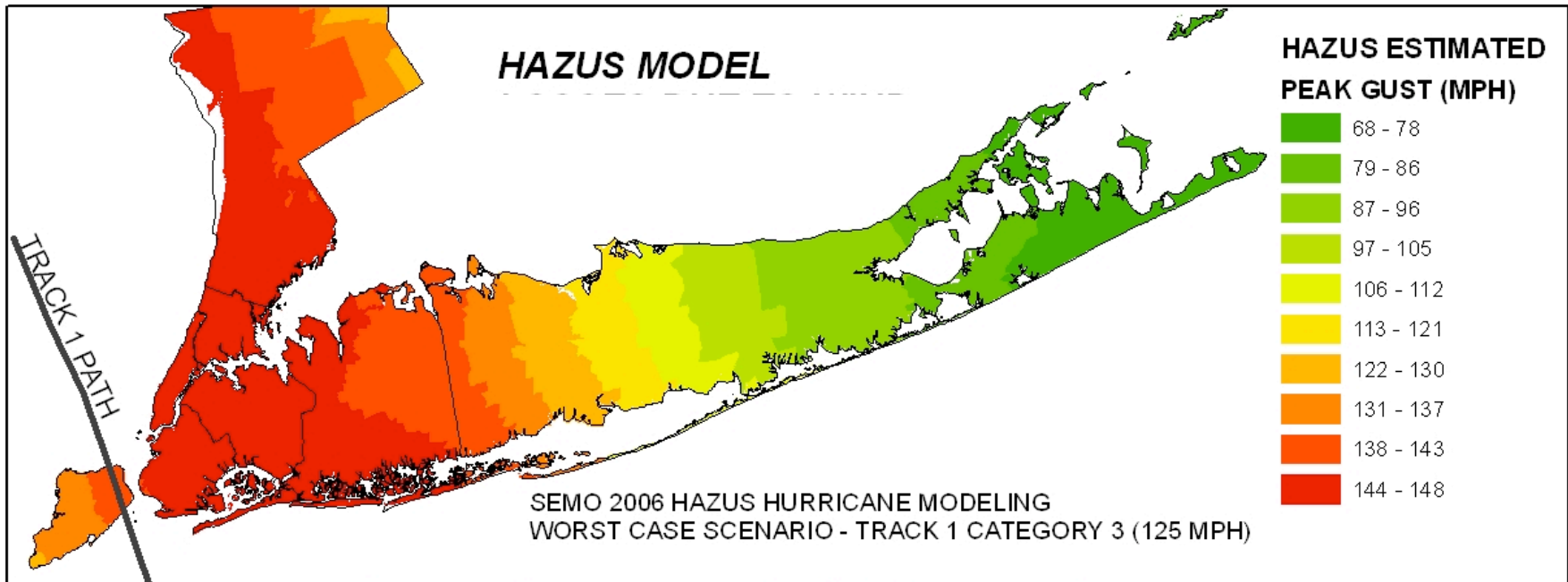
\$ / year or /event      over Region      probability per time      \$ value       $0 < V < 1$

## Risk

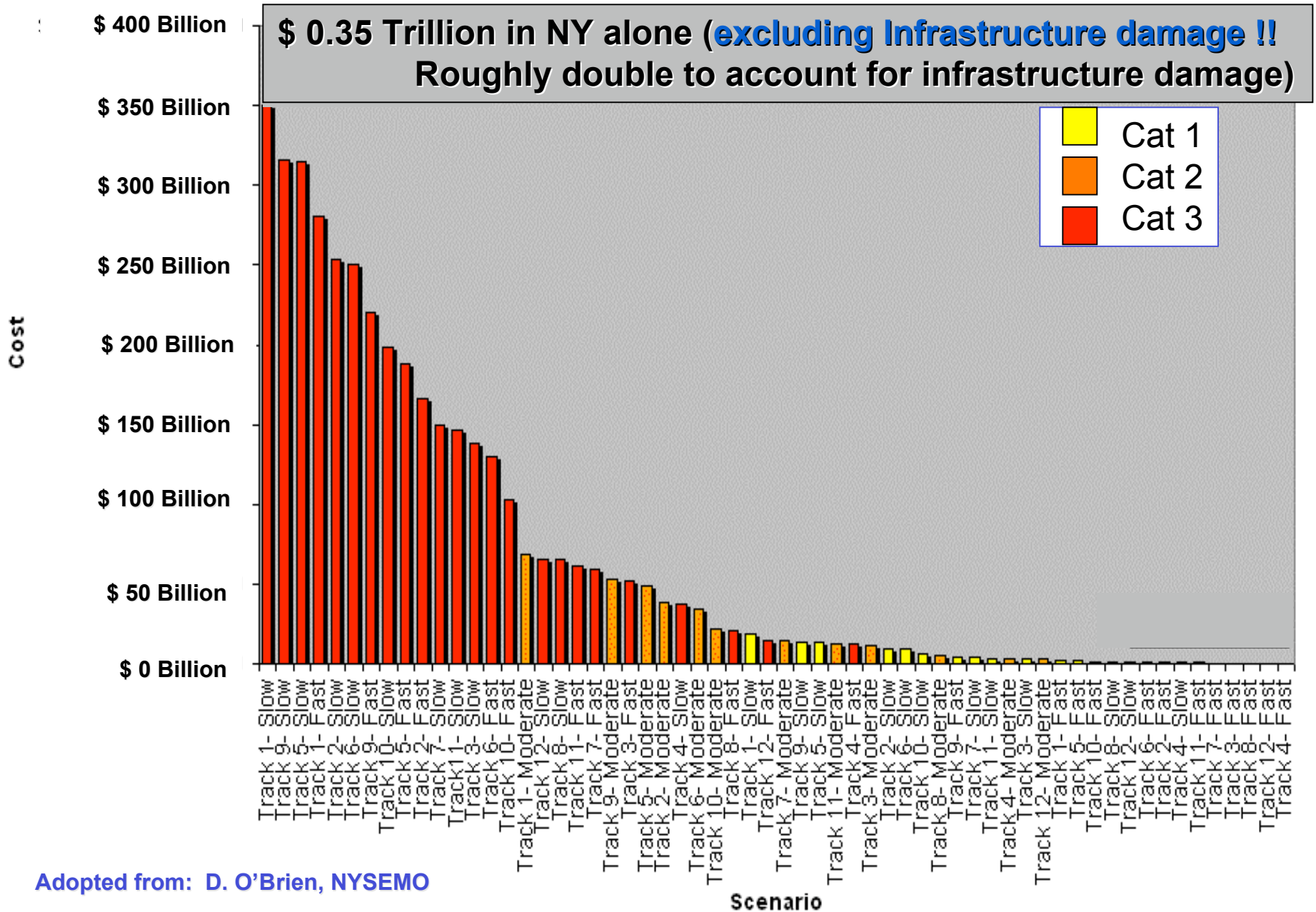
Expected Losses for either a scenario event (\$) or in terms of probabilistic annual losses (\$/year)

- **Hazards**      Probability per unit time of exceeding a certain hazard, e.g. wind speed or flood height (P=1 for scenario event)
- **Assets**      Replacement Value in Dollars for Buildings or Infrastructure, (or \$ / live !)
- **Vulnerability**      Dimensionless Value between 0 and 1. It is the Damaged Fraction of Replacement Value of a Given Asset, for the Specified Hazard Level the Asset is exposed to.

*HAZUS-MH also has a Built-in Economic Model for Damage-Related, indirect Economic Losses; e.g. for Losses related to building damage and closure; but its default version is weak in assessing vulnerabilities of infrastructure systems. Requires user input for infrastructure assets and their vulnerabilities.*

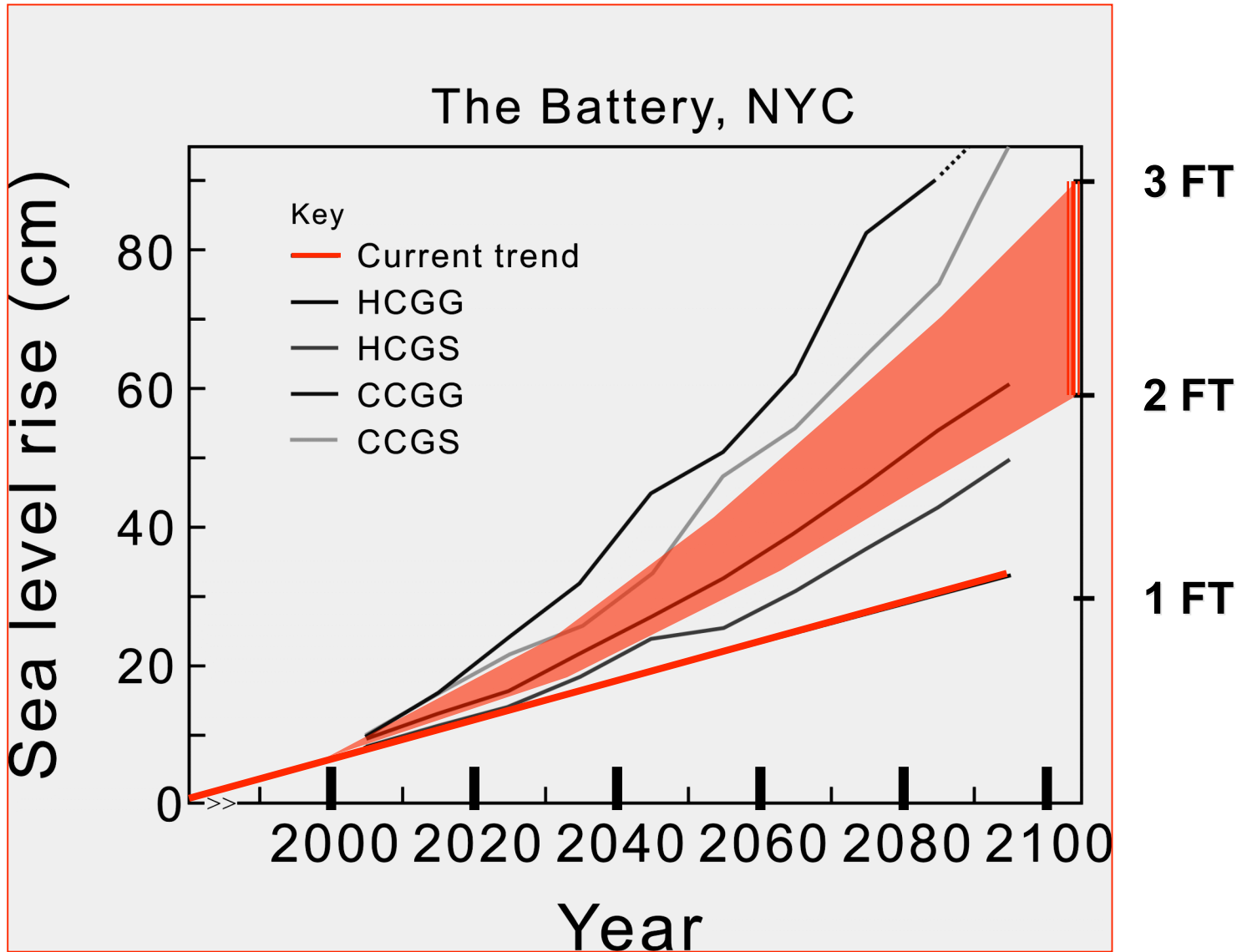


**HAZUS-MH-Modeled Building-Related Total Economic Losses for 72 Hurricane Scenarios**



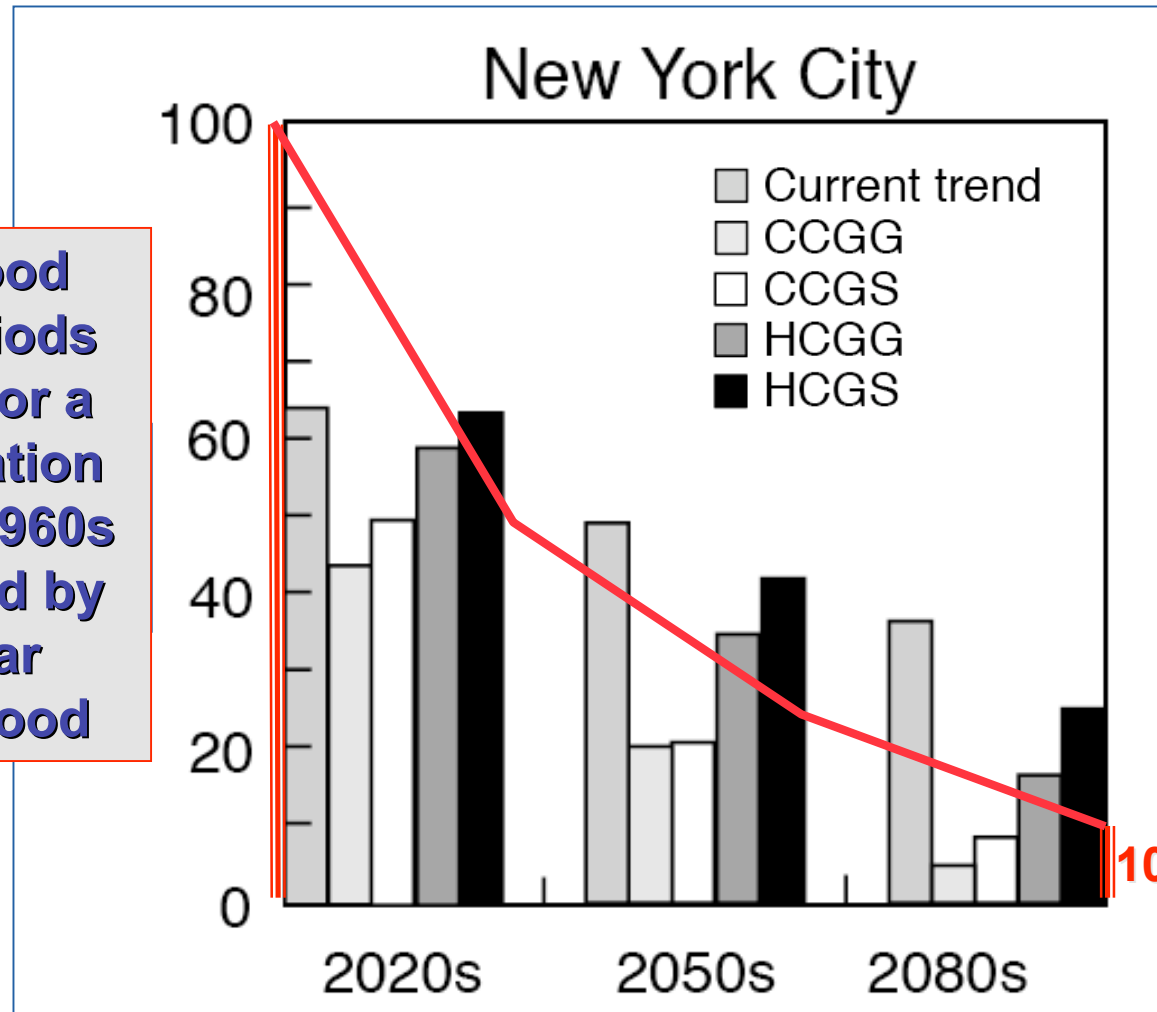
Adopted from: D. O'Brien, NYSEMO

# Sea Level Rise Makes a Bad Situation Worse !



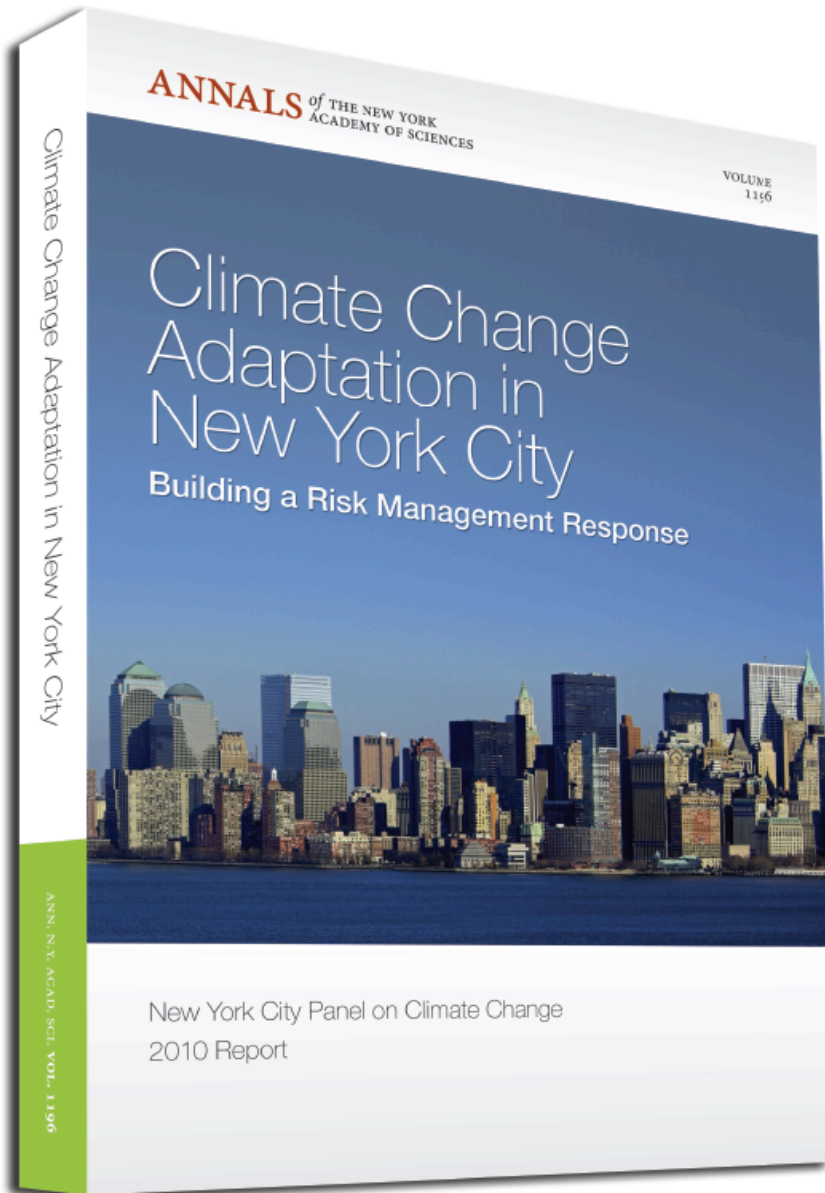
# Reduction in Return Period of the 100-Year Flood due to Sea Level Rise Only (Constant Storm Frequency).

**Future Flood Return Periods (in Years) for a Land Elevation that in the 1960s was reached by a 100-Year Coastal Flood**



**21<sup>st</sup> Century Decades**

**In 2008 Mayor Bloomberg appointed the NPCC, NYC Panel on Climate Change**



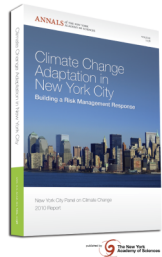
## **Annals of the New York Academy of Sciences**

**Volume 1196,**

**Climate Change Adaptation in  
New York City: Building a Risk  
Management Response.**

**New York City Panel on  
Climate Change 2010 Report  
May 2010**

<http://onlinelibrary.wiley.com/doi/10.1111/nyas.2010.1196.issue-1/issuetoc>



## Appendix A

# CLIMATE RISK INFORMATION Climate Change Scenarios & Implications for NYC Infrastructure

## New York City Panel on Climate Change

### Lead Authors

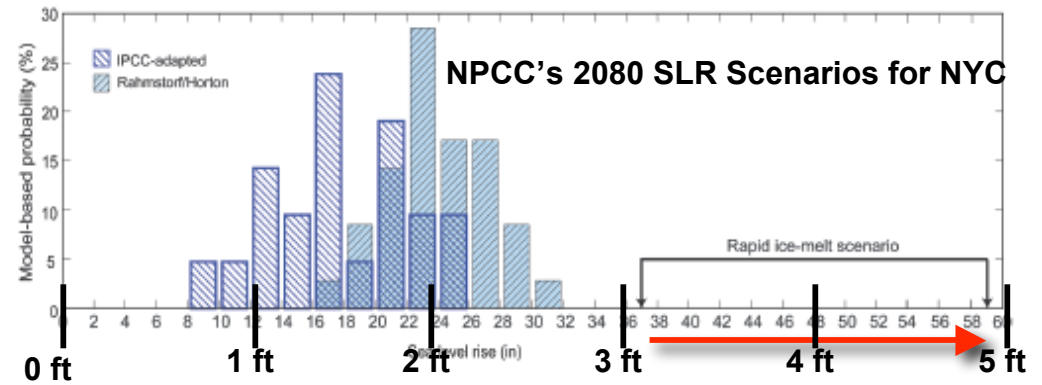
Radley Horton (Columbia University), Cynthia Rosenzweig (NASA, Columbia University)

### Contributing Authors

Vivien Gornitz (Columbia University), Daniel Bader (Columbia University), Megan O'Grady (Columbia University)

<http://onlinelibrary.wiley.com/doi/10.1111/j.1749-6632.2010.05323.x/pdf>

FIGURE C.1. Comprehensive Set of Sea Level Rise Projections New York City and the Surrounding Region



This schematic shows sea level rise projections for the 2080s relative to the 2000–2004 period, based on three distinct methodologies. The dark blue hatched bars show projections based on the IPCC-adapted method. The light blue hatched bars show projections based on the Rahmstorf/Horton method, adjusted for local conditions. Each of the two is shown as histogram, with the y-axis containing the model-based probability for that model alone, associated with the sea level rise interval shown on the x-axis. The Rapid Ice-Melt sea level rise is indicated by the bracket on the x-axis; no probability is associated with this range. Source: Columbia University Center for Climate Systems Research

TABLE C.1. Total Sea Level Rise Projections in Inches for New York City and the Surrounding Region for Four Different Methods

Average (minimum to maximum)	2020s	2050s	2080s	2090s <sup>1</sup>
IPCC Global Estimate + Local Subsidence	NA <sup>2</sup>	NA <sup>2</sup>	NA <sup>2</sup>	(10.4 to 23.4) <sup>5</sup>
IPCC-adapted Methods for the NYC Region	3.7 (1.4 to 5.5) <sup>3</sup>	9.7 (5.0 to 13.6) <sup>3</sup>	17.8 (9.3 to 25.6) <sup>3</sup>	22.2 (14.9 to 30.0) <sup>6</sup>
Rahmstorf/Horton Method + Local Subsidence	4.9 (3.7 to 6.2) <sup>4</sup>	13.1 (10.0 to 16.6) <sup>4</sup>	24.6 (18.2 to 31.6) <sup>4</sup>	28.1 (22.6 to 33.7) <sup>7</sup>
CRI Rapid Ice-Melt Sea Level Rise	~ 4 to 10 <sup>8</sup>	~ 17 to 30 <sup>8</sup>	~ 37 to 59 in <sup>8</sup>	~ 48 to 70 in <sup>9</sup>

Sources: CCSR, 2008; IPCC, 2007; Horton et al., 2008.

~4 ft

~5 ft



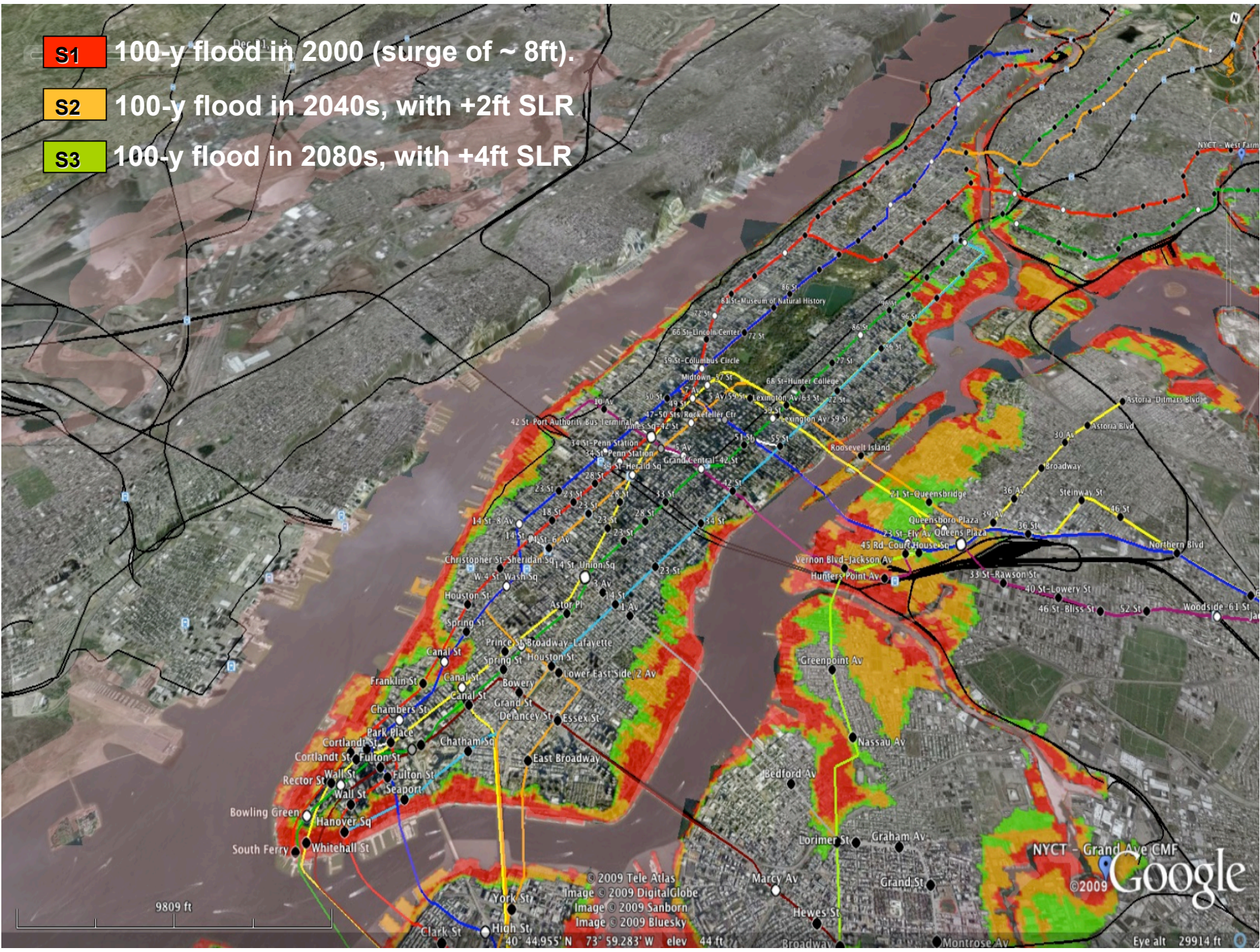
# RESPONDING TO CLIMATE CHANGE IN NEW YORK STATE



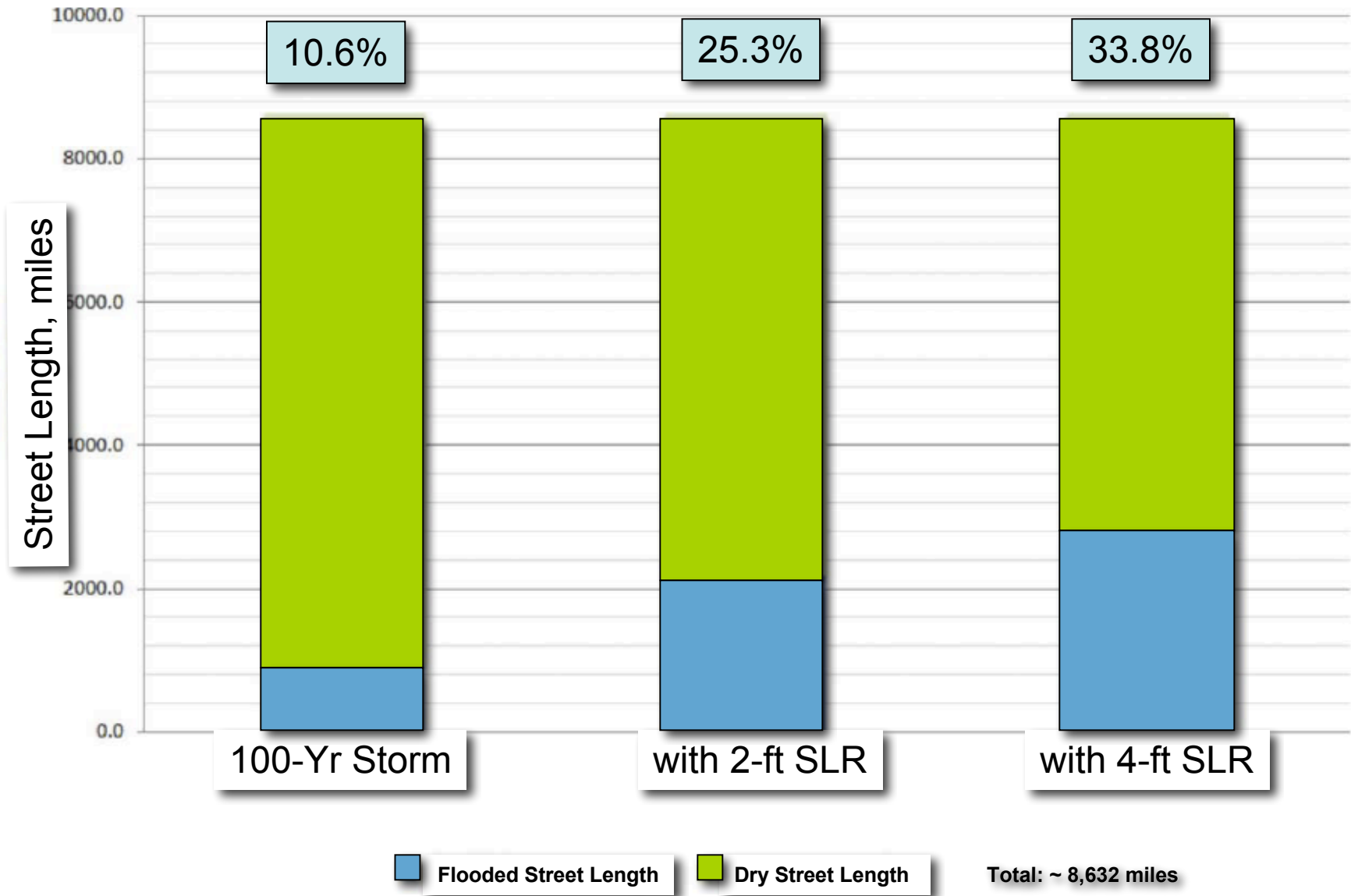
**NYSERDA-**  
**Sponsored**  
**ClimAID**  
**Project**  
---  
**2008-2011**

<http://www.nyserdera.org/programs/environment/emep/clim-aid-synthesis-draft.pdf>

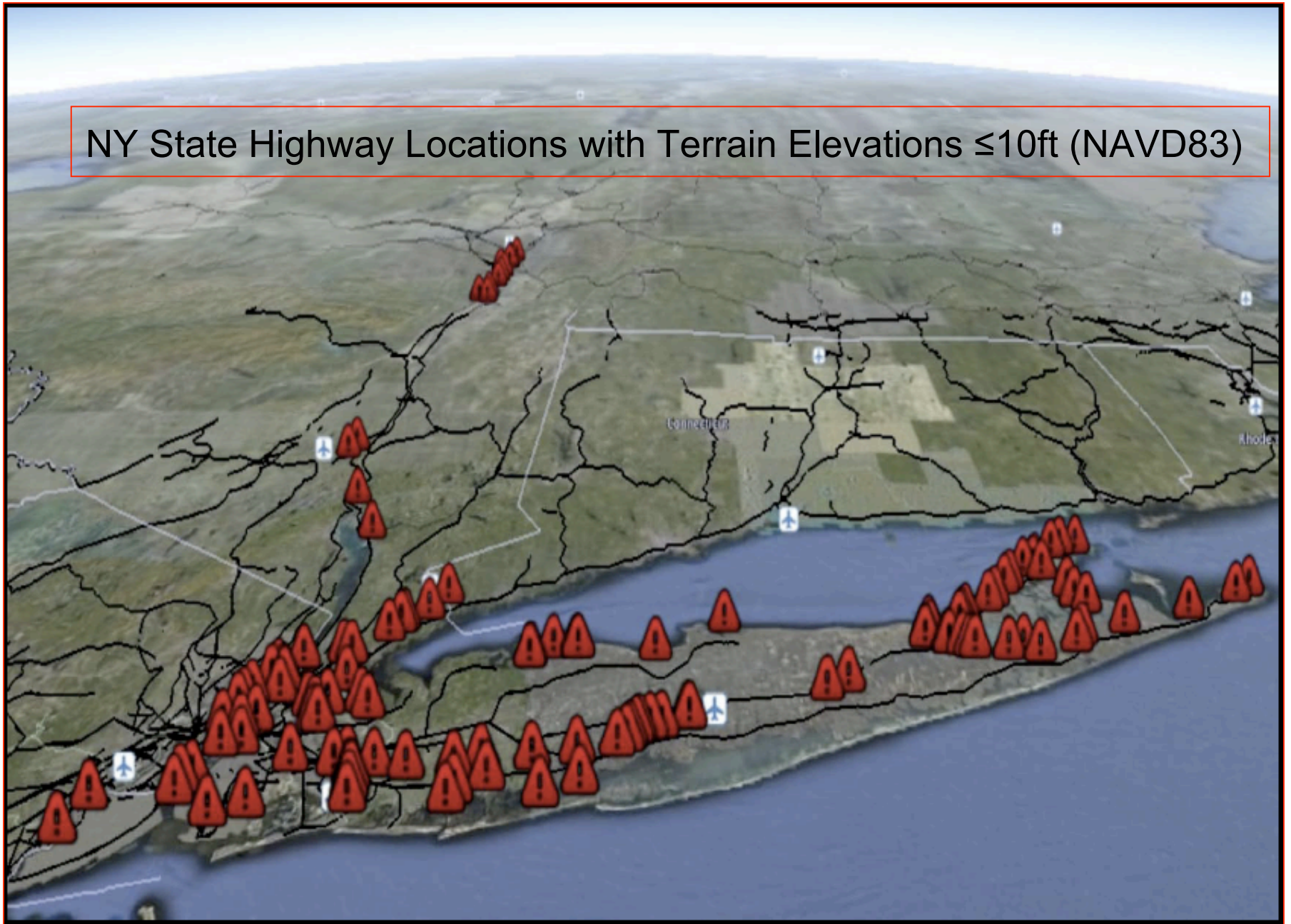
- S1** 100-y flood in 2000 (surge of ~ 8ft).
- S2** 100-y flood in 2040s, with +2ft SLR
- S3** 100-y flood in 2080s, with +4ft SLR



# NYC Street Length (miles) and % Flooded, for Three Flood Scenarios



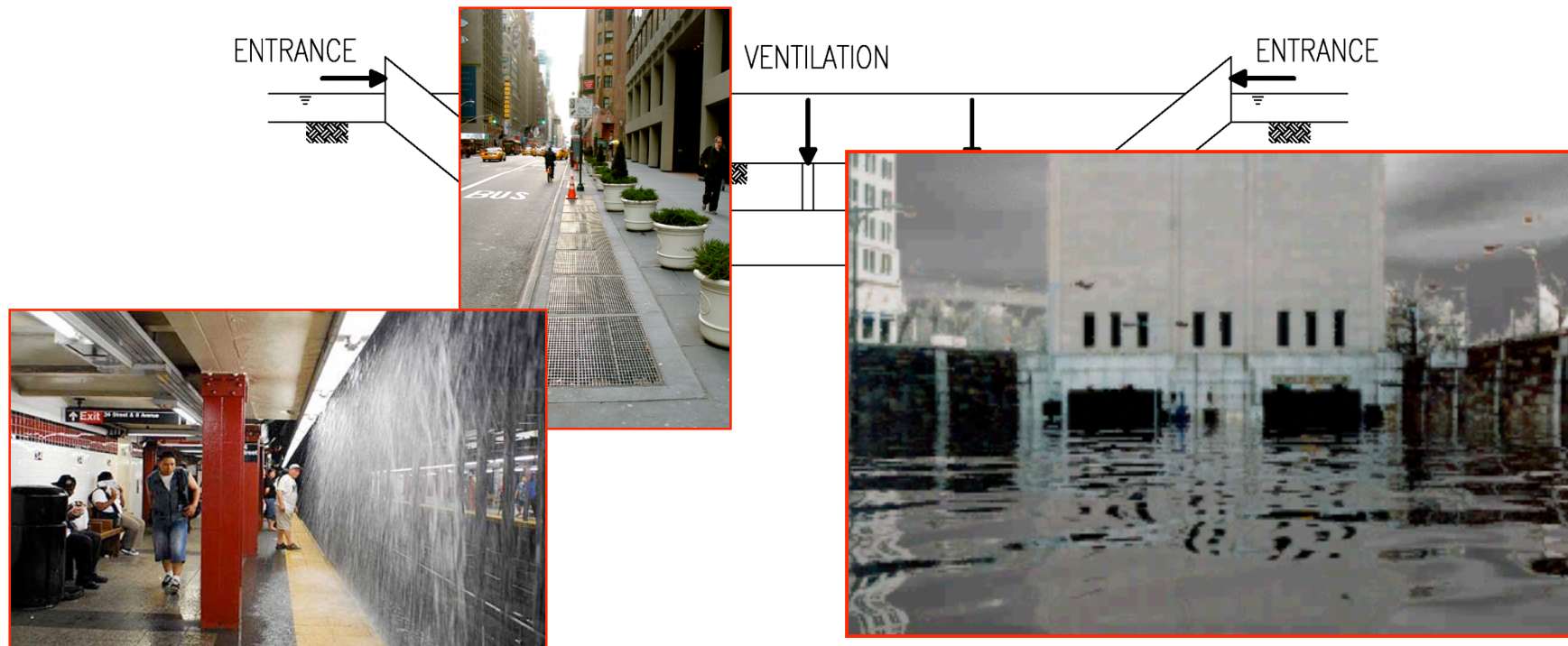
NY State Highway Locations with Terrain Elevations  $\leq 10$ ft (NAVD83)



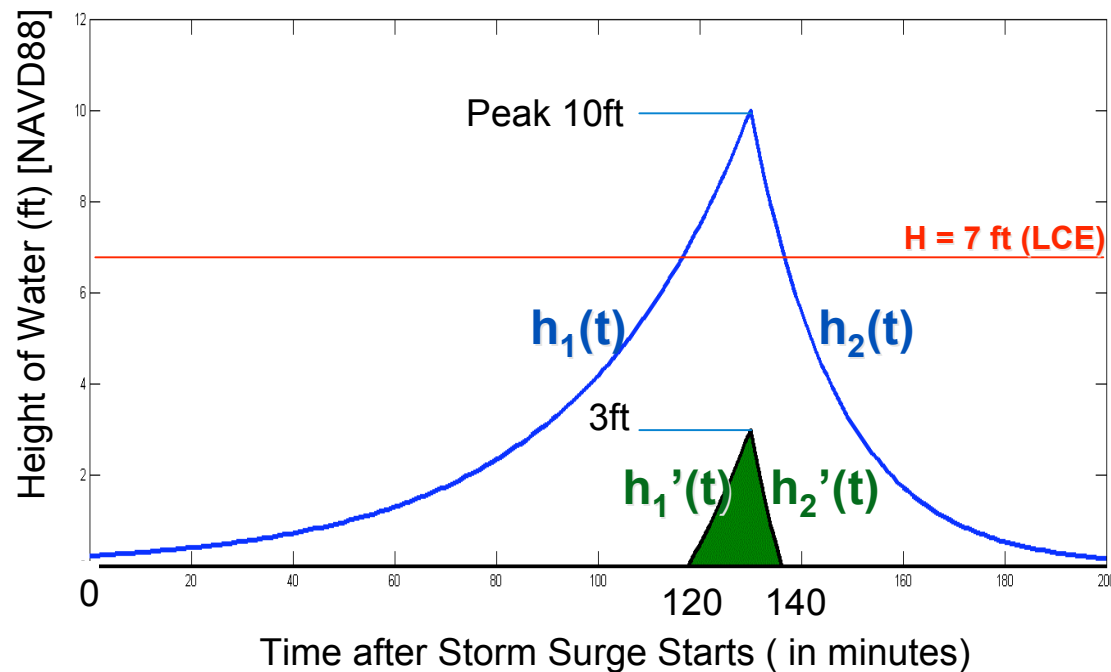
# Flood Risks to Subway and Road Tunnels from 100-y Coastal Storm Surge

## 2 Modes of Water Entry into Tunnels

- a) Mostly Vertically via Subway Ventilation and Entrances
- b) Sub-Horizontally into inclined Rail and Road Tunnels



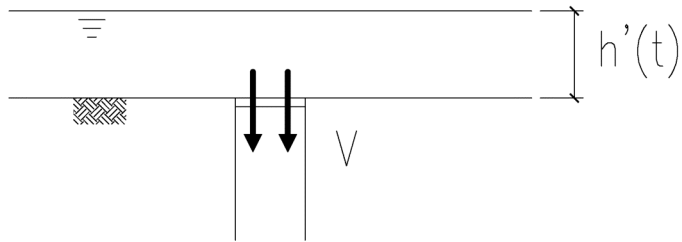
# Modified Storm Surge Time-History



**H** = Lowest Critical  
Elevation of System  
(NAVD88)

$$h_1'(t) = h_1(t) - H \quad h_2'(t) = h_2(t) - H$$

# Vertical Flow through subway entrances & ventilation grates



Average Flow Velocity (ft/s)

$$V_i(t) = \sqrt{2gh_i'(t)}$$

$g$  = gravitational constant

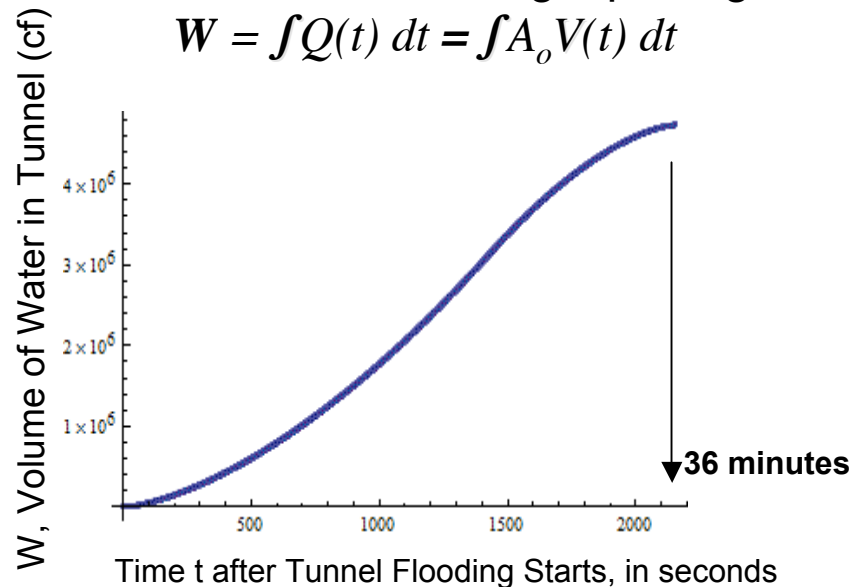
Average Flow Rate (ft<sup>3</sup>/s)

$$Q_i(t) = A_o V_i(t)$$

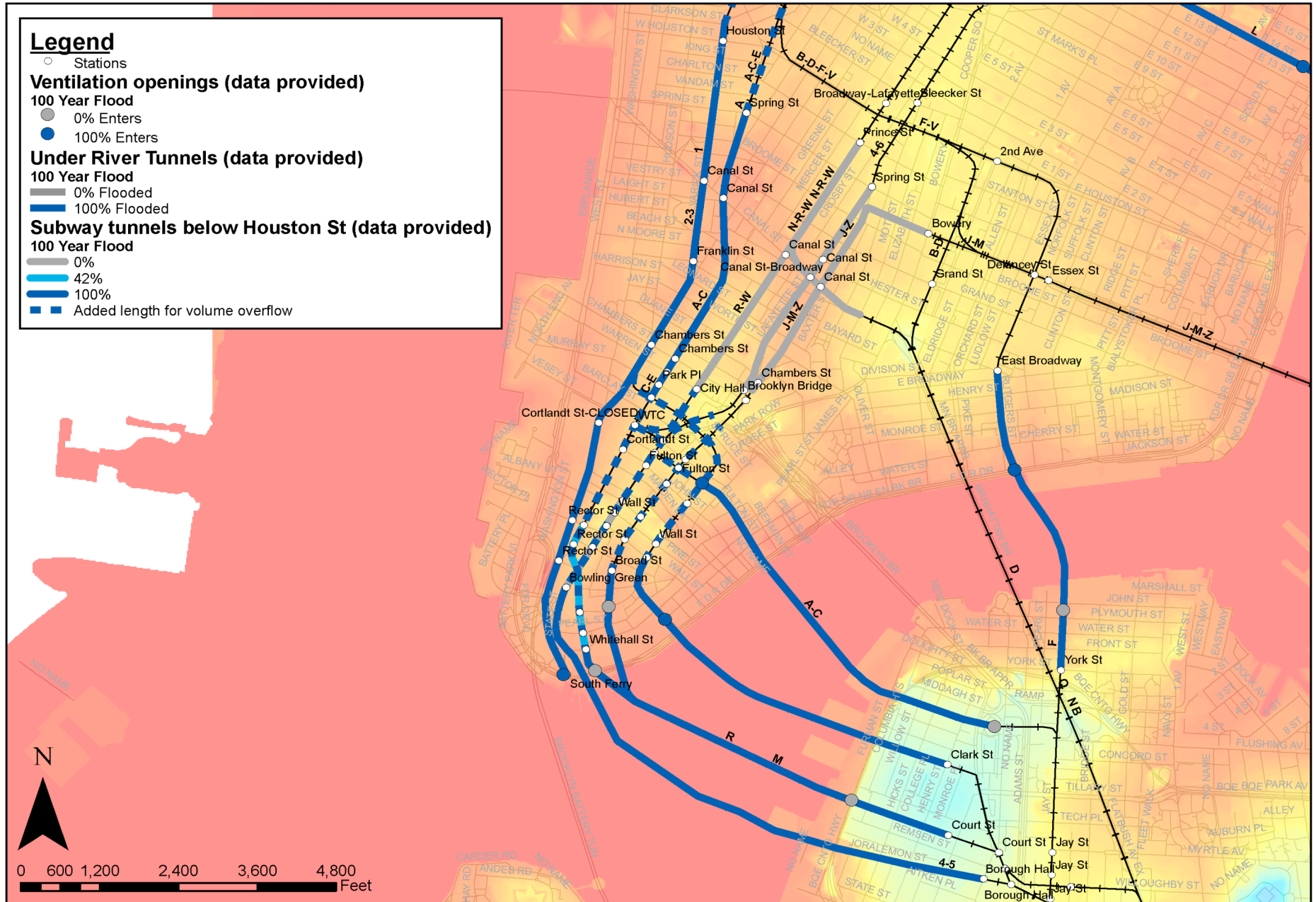
$A_o$  = total area of openings (ft<sup>2</sup>)

$W$ , Volume of Water Entering Opening Area  $A_o$

$$W = \int Q(t) dt = \int A_o V(t) dt$$

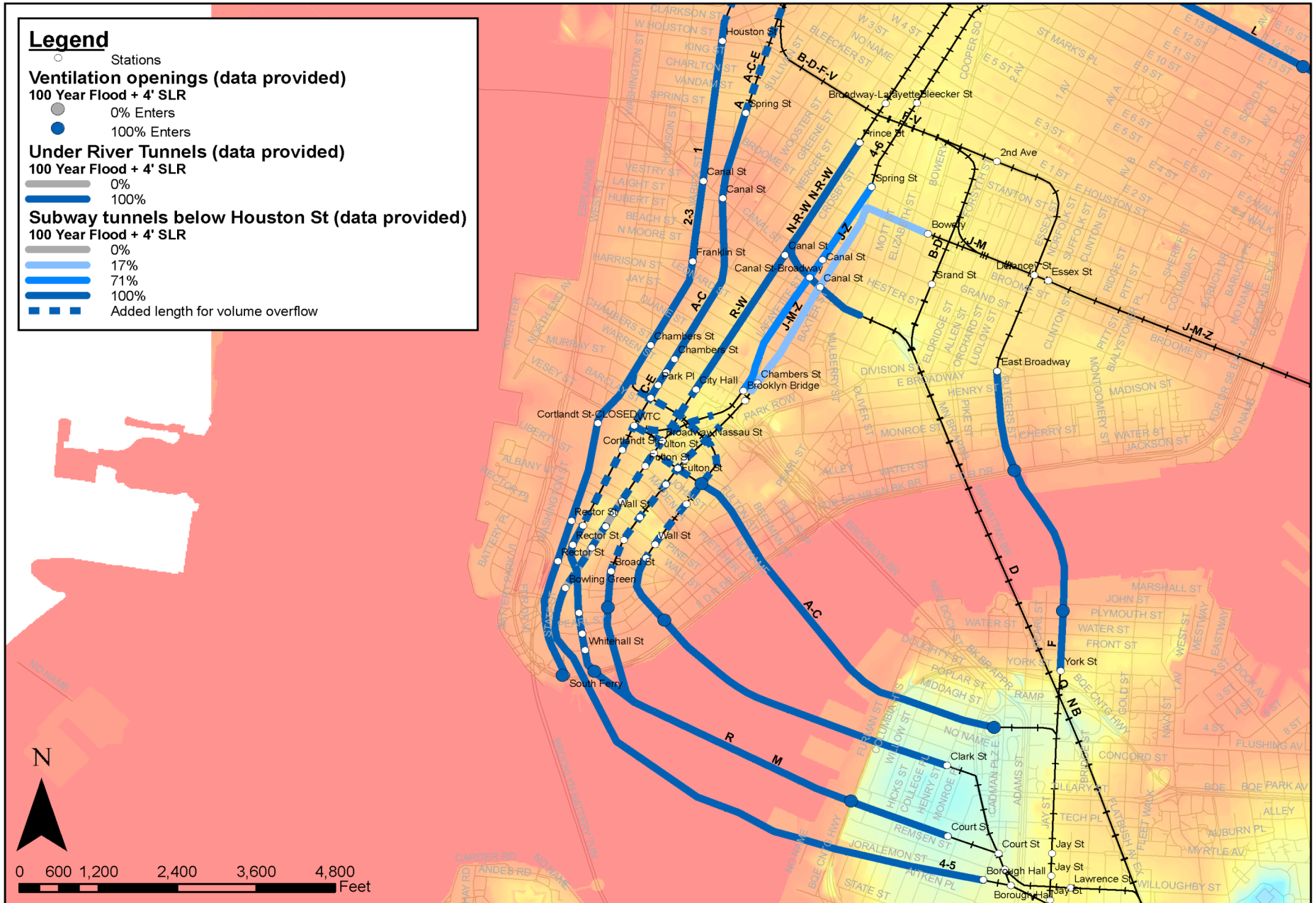


# Flooded Subway and Under-River Tunnels, Lower Manhattan, 1% Flood (length overflow)



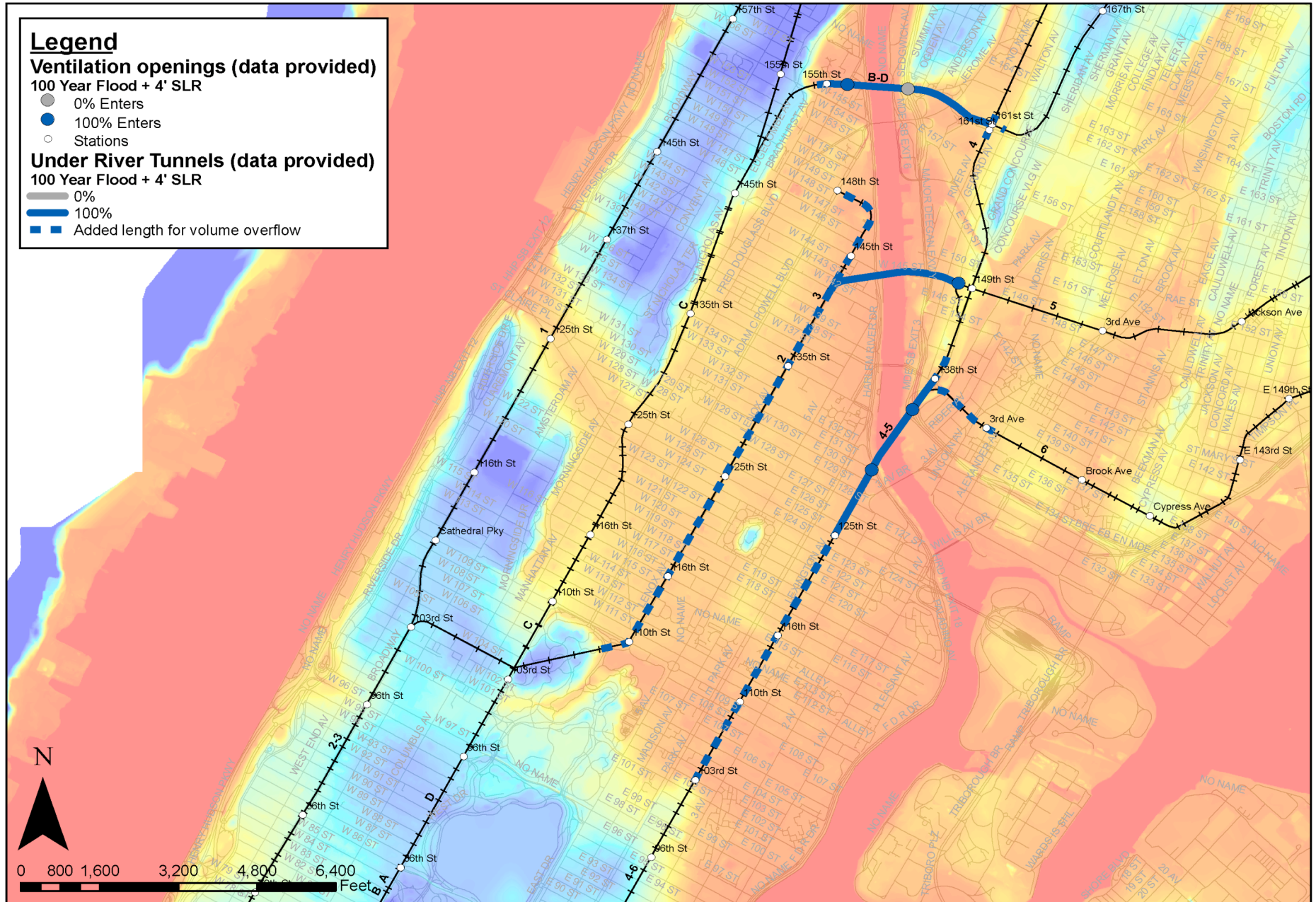


# Flooded Subway and Under River Tunnels, Lower Manhattan, 1% Flood + 4' SLR (length overflow)





# Flooded Under River Tunnels, Harlem River Crossings, 1% Flood + 4' SLR (length overflow)



- What is the expected **direct damage** from the flooding of the transportation infrastructure ?
- **How long** will it take for the various components of infrastructure to have their **services restored** ?
- What will be the **economic losses** from the transportation outages and extended restoration times ?

Combined (economic + physical-damage) Losses for the New York City Metropolitan region for a 100-year storm surge, for three sea level rise scenarios S1, S2, S3 (for 2010 assets with 2010-dollar valuation); and assuming linear recovery of economy over the minimum outage time of the subway system for (S1) 21 days, (S2) 25 days, and (S3) 29 days, respectively.

Scenario	Economic Losses from Outage (\$ billion)	Direct Physical Damage (\$ billion)	Total Loss (\$ billion)
<b>S1</b> , current sea level 2100	48	10	\$58
<b>S2</b> (2-foot rise in sea level) 2040s	57	13	\$70
<b>S3</b> (4-foot rise in sea level) 2080s	68	16	\$84

Multipliers for 40 and 80 year time horizons as a function of growth rate  $r$  when  $p=2$  (i.e. add each year 2% of initial asset value).

<b>Effective Economic Growth Rate <math>r</math> (%/year):</b>	<b>0.0</b>	<b>1.50</b>	<b>1.75</b>	<b>2.00</b>
<b>S2-Loss Multiplier for 40 Years:</b>	<b>1.8</b>	<b>2.91</b>	<b>3.16</b>	<b>3.44</b>
<b>S3-Loss Multiplier for 80 Years:</b>	<b>2.6</b>	<b>6.39</b>	<b>7.50</b>	<b>8.83</b>

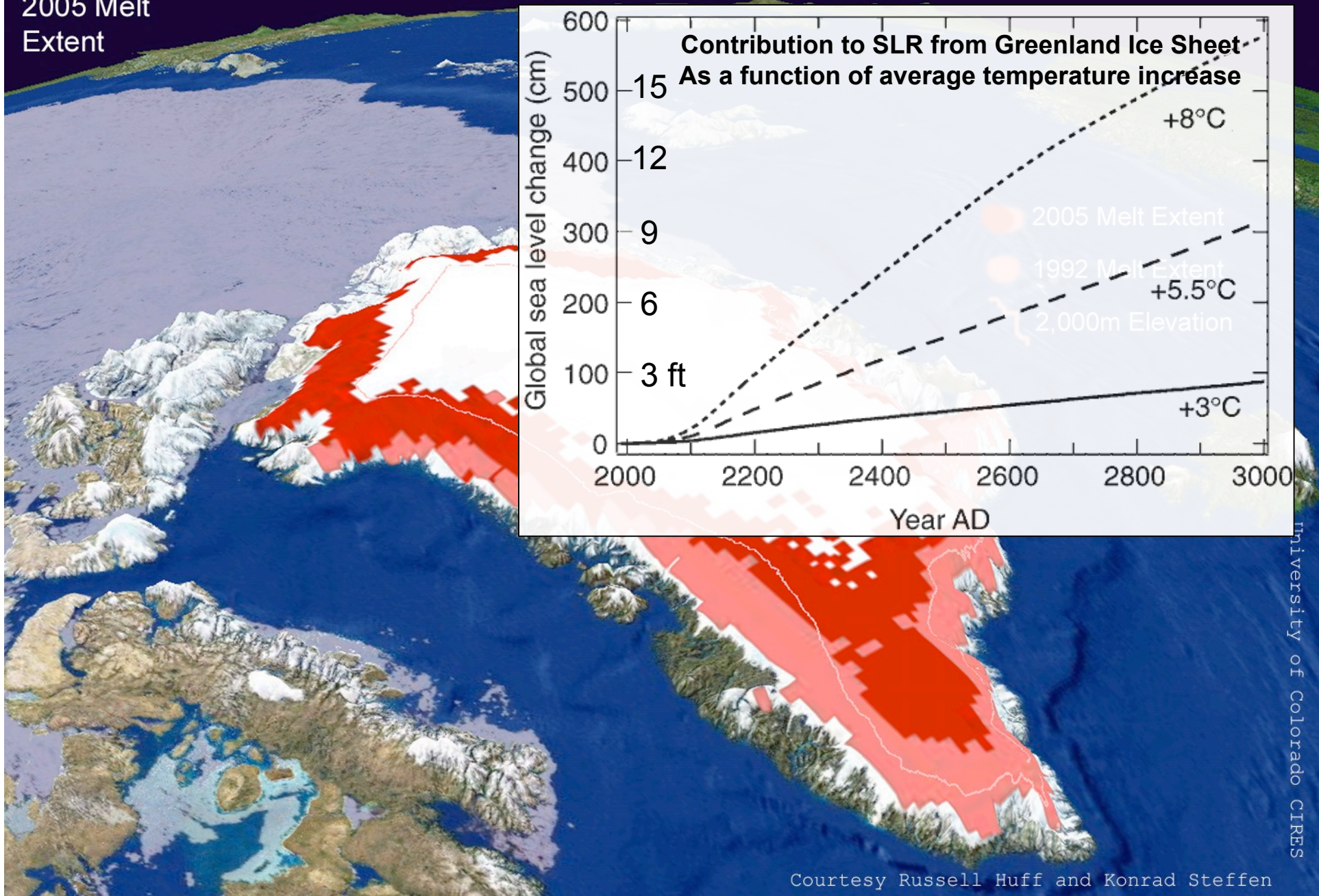
## **For Transportation, and Specifically the Subway System, what measures should be undertaken to avoid such losses?**

1. In all current and future flood zones, seal all ventilation street grates and replace passive 'open' ventilation with forced 'closed' ventilation. This requires new fan plants, and uses more energy.
2. In all flood prone zones, provide safe flood gates at all entrances and ventilation shafts; and/or safer: surround all entrances and openings by sufficiently high berms and/or levees: "*Taipei-Solution*"- Go up before you step down !
3. What are the Costs? Needs engineering studies, but costs are likely to be at least 25% of the expected avoided losses: **i.e. in excess of \$ 15 to 20 Billion ?**

# Structural “Solution”: 3 or 4 Barriers. Probably Unsustainable. Why?

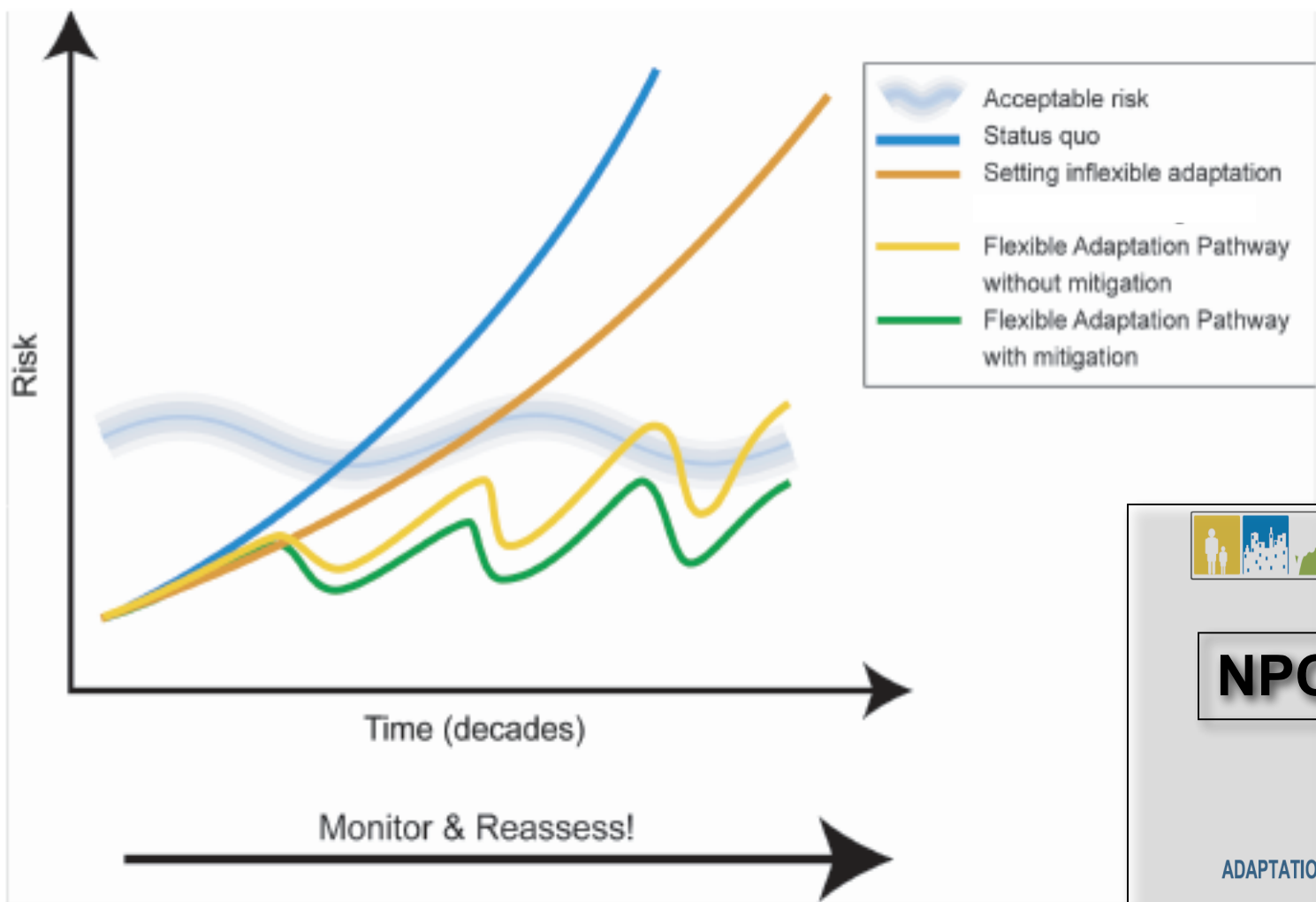


# 2005 Melt Extent





**FIGURE 2. Flexible Adaptation Pathways**



**NPCC**

Appendix B

**ADAPTATION ASSESSMENT  
GUIDEBOOK**

New York City Panel on Climate Change

Lead Authors  
David C. Major (Columbia University), Megan O'Grady (Columbia University)

**Risk Management Tools: Minimizing the Risk via Mitigation and Adaptation Measures (Let's use the Risk Equation and GIS-based Models!):**

**Risk = Sum (Hazard x Assets x Vulnerability)**

**Mitig.:** Reduce GW + SLR Hazards

**Adapt.:** Land Use Planning & Zoning,  
Considerate Placements of new Assets,  
Relocation of Essential Assets.  
Levees & Dams (?).  
Equity Issues.

or by **Risk = Sum (Hazard x Assets x Vulnerability )**

**Adapt.:** Good Engineering, Construction Quality-Control,  
Codes and Code Enforcement, Retrofitting,  
Raising Assets in Place  
Reinforcing Levees and Pump Stations

# The good Message is

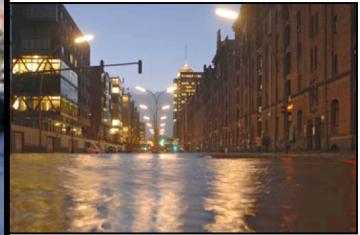
(from MMC Study, see below):

**For every \$1 invested in  
Disaster Loss Mitigation & Prevention  
there is, on Average, a Return of  
~\$4 Saved in NOT Incurred Losses.**

**National Institute of Building Science  
Multi-hazard Mitigation Council  
(NIBS/MMC) Study "Mitigation Saves":**

[http://www.nibs.org/MMC/MitigationSavingsReport/natural\\_hazard\\_mitigation\\_saves.htm](http://www.nibs.org/MMC/MitigationSavingsReport/natural_hazard_mitigation_saves.htm)

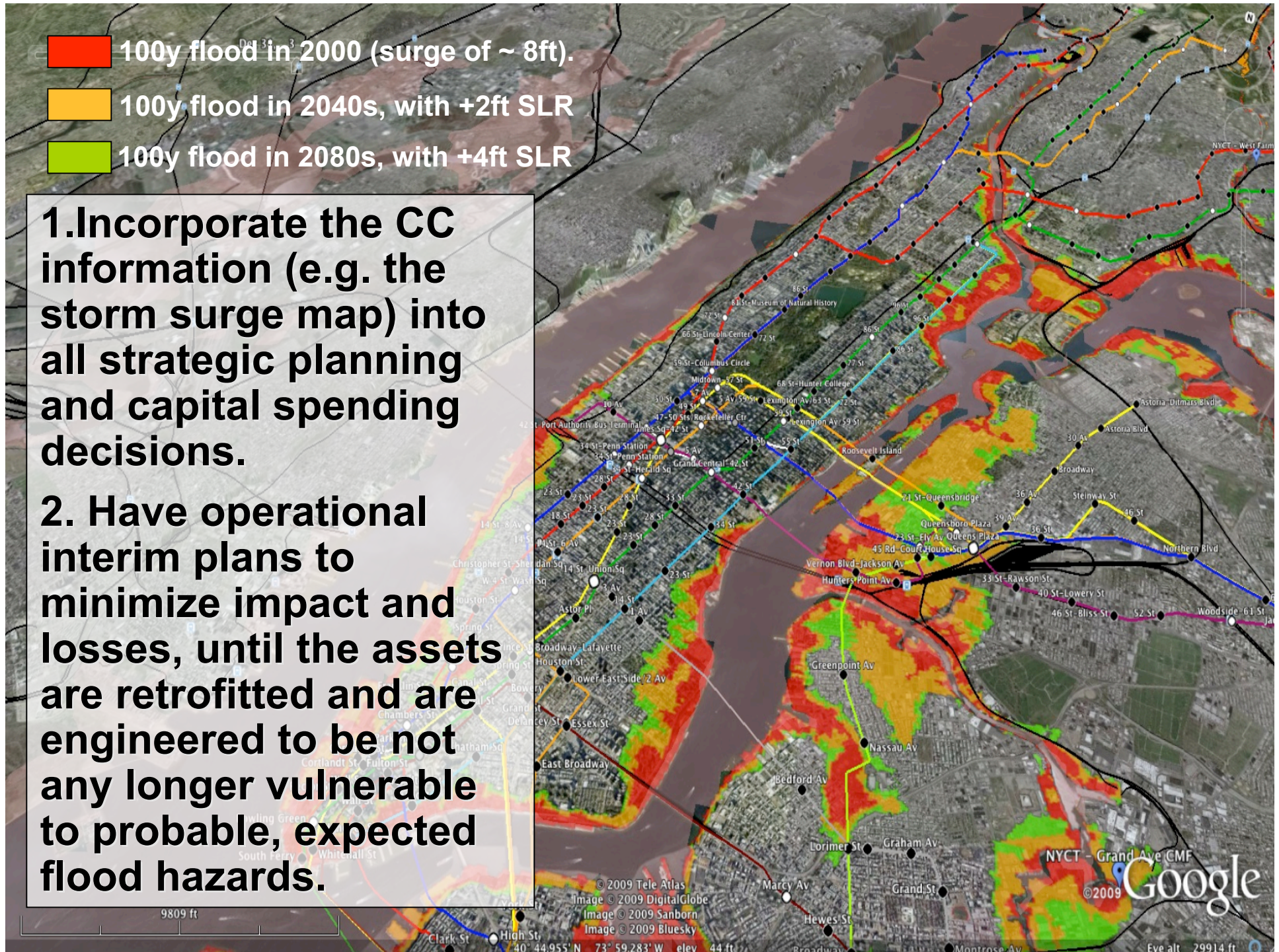
# HafenCity/Harbor City Hamburg



- 100y flood in 2000 (surge of ~ 8ft).
- 100y flood in 2040s, with +2ft SLR
- 100y flood in 2080s, with +4ft SLR

**1. Incorporate the CC information (e.g. the storm surge map) into all strategic planning and capital spending decisions.**

**2. Have operational interim plans to minimize impact and losses, until the assets are retrofitted and are engineered to be not any longer vulnerable to probable, expected flood hazards.**





## **Conclusions (Repeat from Lecture 4):**

- 1. Hazard Assessments for Critical Structures must strive for the Longest Possible Records to catch Low-Probability / High-Consequence Events (that make up the “Tails” of Probability Distributions).**
- 2. Systematic Monitoring of New Geo-Science Findings that can be Relevant to Updating Disaster Hazards and Risks Is an Essential Government Function**
- 3. Decision Makers and Regulators need to have Protocols in Place, and Prudently Exercise them, to Incorporate these New Findings in a Timely, Socially Responsible, and Effective Way**



**Thank You !**