Department of Geological Sciences, Faculty of Sciences Masaryk University/Brno & Czech Geological Society

September 12-14, 2011

Short Course on Geological Hazards

Day 3 (Wed AM), Lecture 5:

(Topic 5 of Original Announcement)

<u>Hazards and Risks Associated with</u> <u>Climate Change: the NYC Case Study.</u>

Klaus H. Jacob

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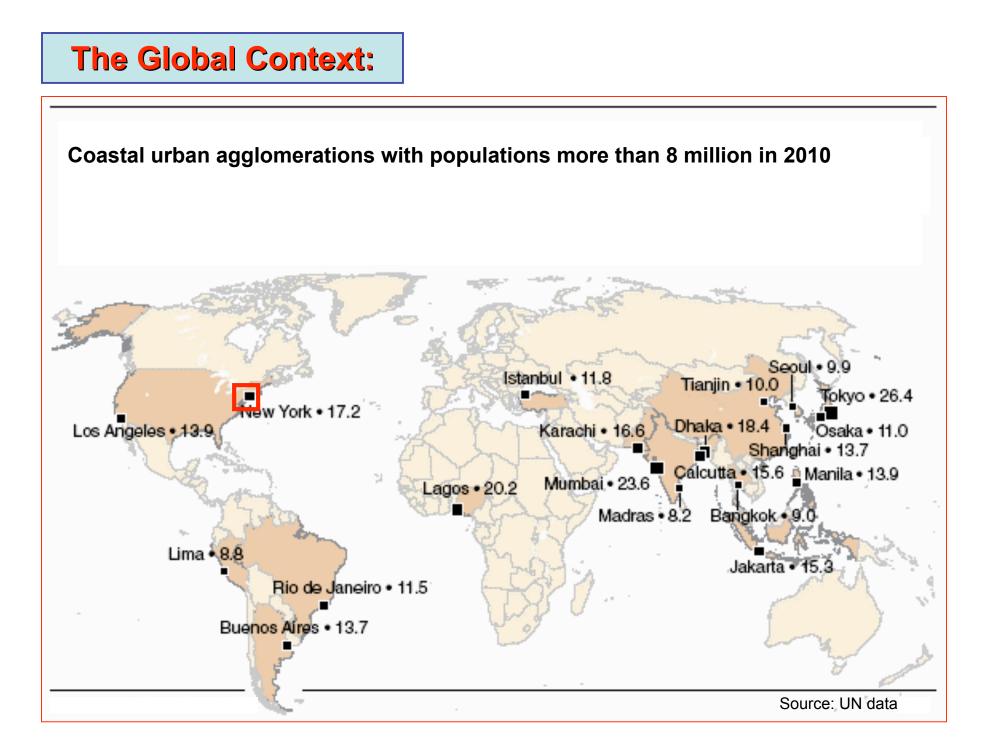
In Essence:

- NYC has developed and maintained its economic viability largely without -- at least until 3 years ago -- conscientious consideration of climate change (CC) and, especially, sea level rise (SLR).
- CC Adaptation policies and practice need (and seem now) to gradually change. If they were not, NYC's built infrastructure and other vital economic assets, will be at growing risks from SLR and storm surge inundations. Without well planned and financed adaptation measures, the growing perils will gradually undermine the City's economic viability, and eventually - or catastrophically - make parts of the City unsustainable. In any case, CC adaptation will become a persistent RACE AGAINST TIME !!

This presentation

- Outlines expected risks from CC and SLR as function of time;
- Estimates potential losses if the risks remain unaddressed;
- Discusses cost-beneficial, <u>sustainable</u> adaptation options;
- Provides estimates of the magnitude of needed investments to manage the expected risks, preferably as an integral part of an ongoing, much needed infrastructure upgrade & renewal process.





REGIONAL Studies & Resources:

• 1995: Metro NY Hurricane Transportation Study

Interim Technical Data Report. USACoE, FEMA, NYSEMO, NYCOEM et al.: Evacuation Planning

• 2001: Metro-East Cost (MEC) Climate Change Impact Study http://metroeast_climate.ciesin.columbia.edu/reports/infrastructure.pdf Early Science Baseline

→• 2006/07: Climate Change Assessment for the NE US More Recent Sc. B.L.

Union of Concerned Scientists - Working Group http://www.northeastclimateimpacts.org

2007: MTA 8/8/2007-Storm Report to Governor & Mayor

See Appendix 2 of : http://www.mta.info/mta/pdf/storm_report_2007.pdf MTA-Specific Case Study

• 2007: Mayor's PlaNYC 2030 Sparse on Specific ADAPTATION Goals http://www.nyc.gov/html/planyc2030/html/home/home.shtml

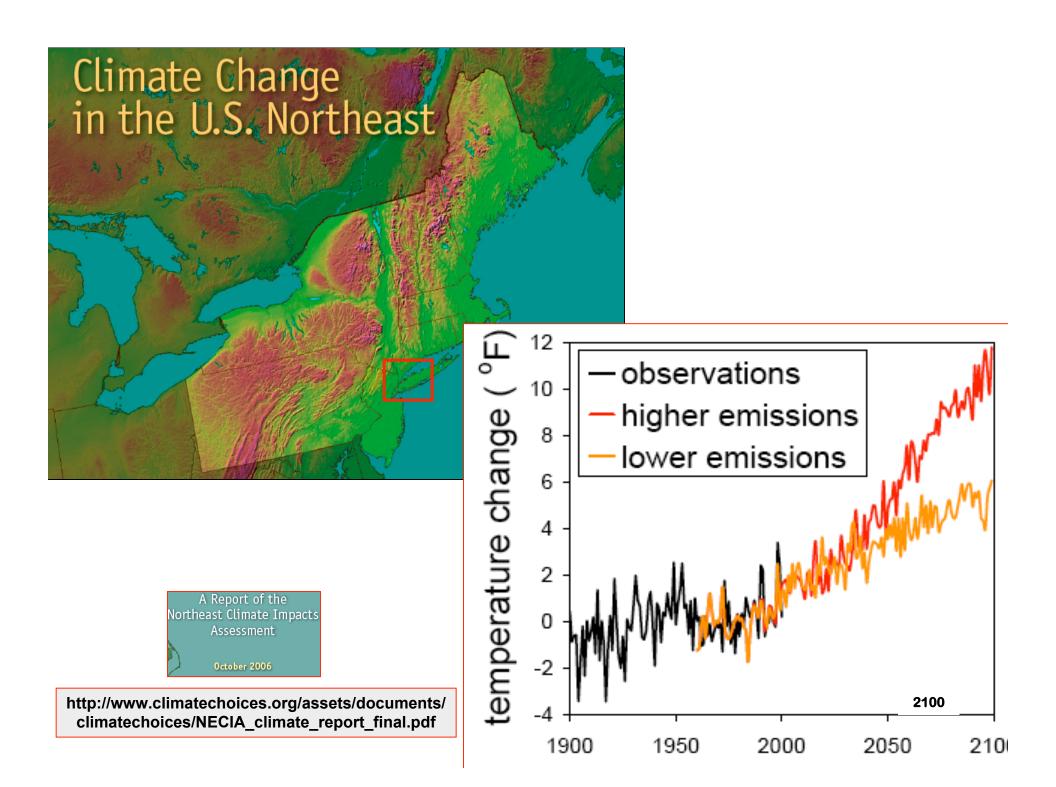
• 2008: National Academies / TRB Report # 290: Has National Scope Impacts of Climate Change on US Transport. http://onlinepubs.trb.org/onlinepubs/sr/sr290.pdf

• 2009: MTA Blue Ribbon Commission on Sustainability

http://mta.info/environment/pdf/SustRptFinal.pdf **MTA Conceptual Guide for Climate Change Adaptation:** http://www.mta.info/sustainability/pdf/Jacob_et%20al_MTA_Adaptation_Final_0309.pdf

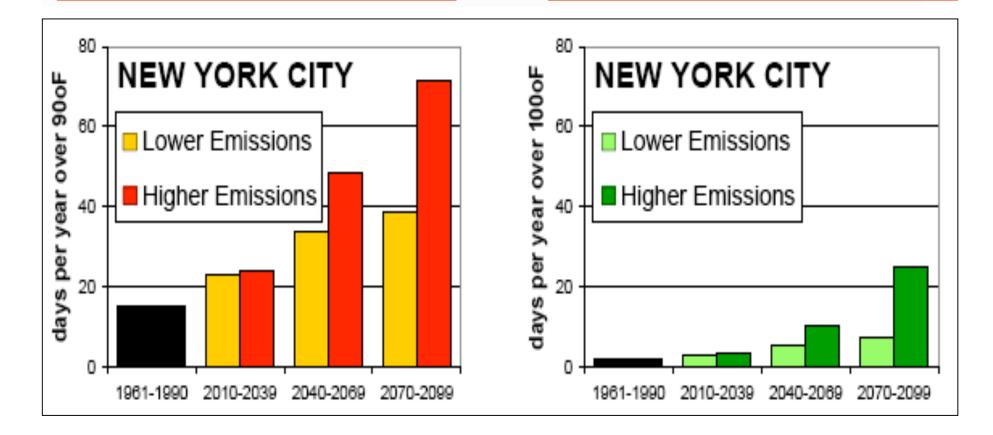
-> 2010: NPCC - NYC Panel on Climate Change NYC Rigorous Science Baseline http://www3.interscience.wiley.com/cgi-bin/fulltext/123443059/PDFSTART : in: http://www3.interscience.wiley.com/journal/123443047/issue

- **2011: NYS ClimAID:** Report due Oct 1, NYS-Wide Rigorous Science Baseline
 - 2010/2011: NYS SLRTF Sea Level Rise Task Force; Report due Oct 1, 2011.
 - 2010/2011: NYS CAC Climate Action Council (Energy, Mitigation, Adaptation)

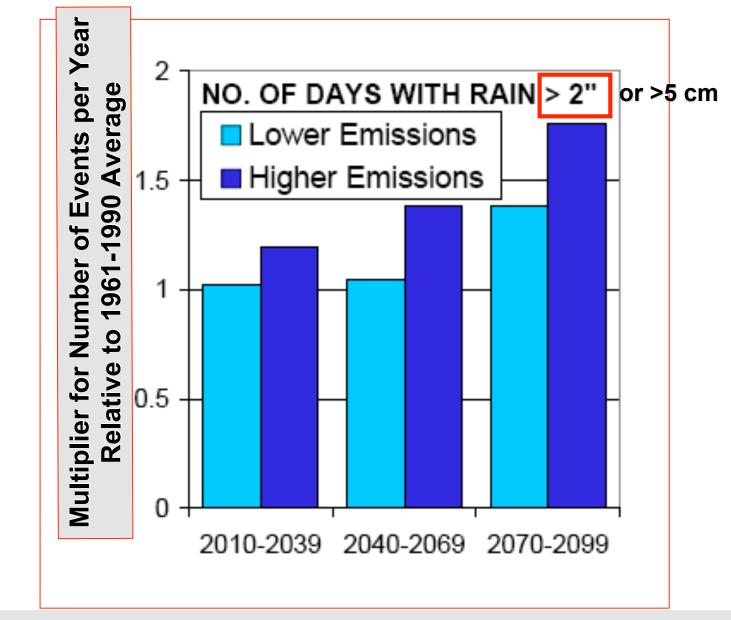


No. of days >90°F (32°C)

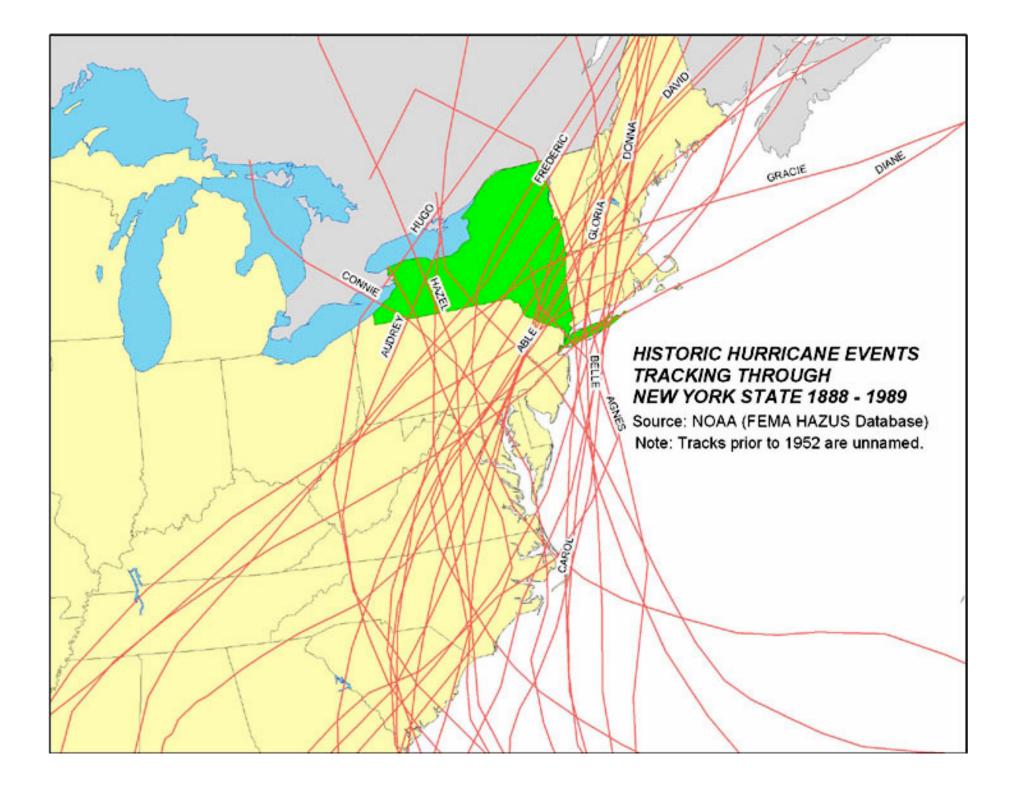
No. of days >100°F (38°C)

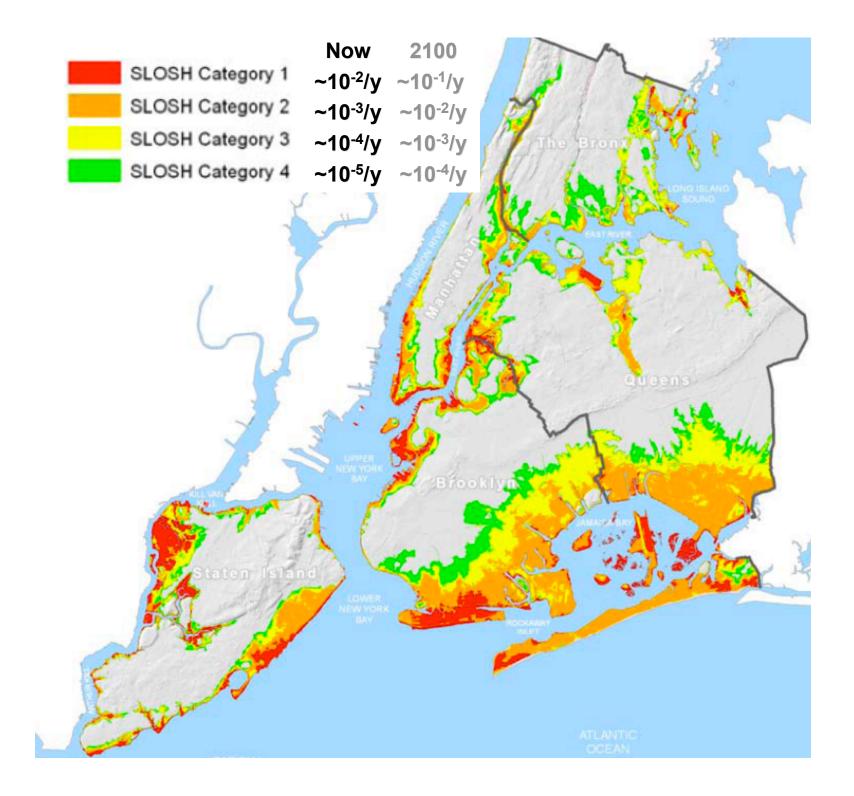


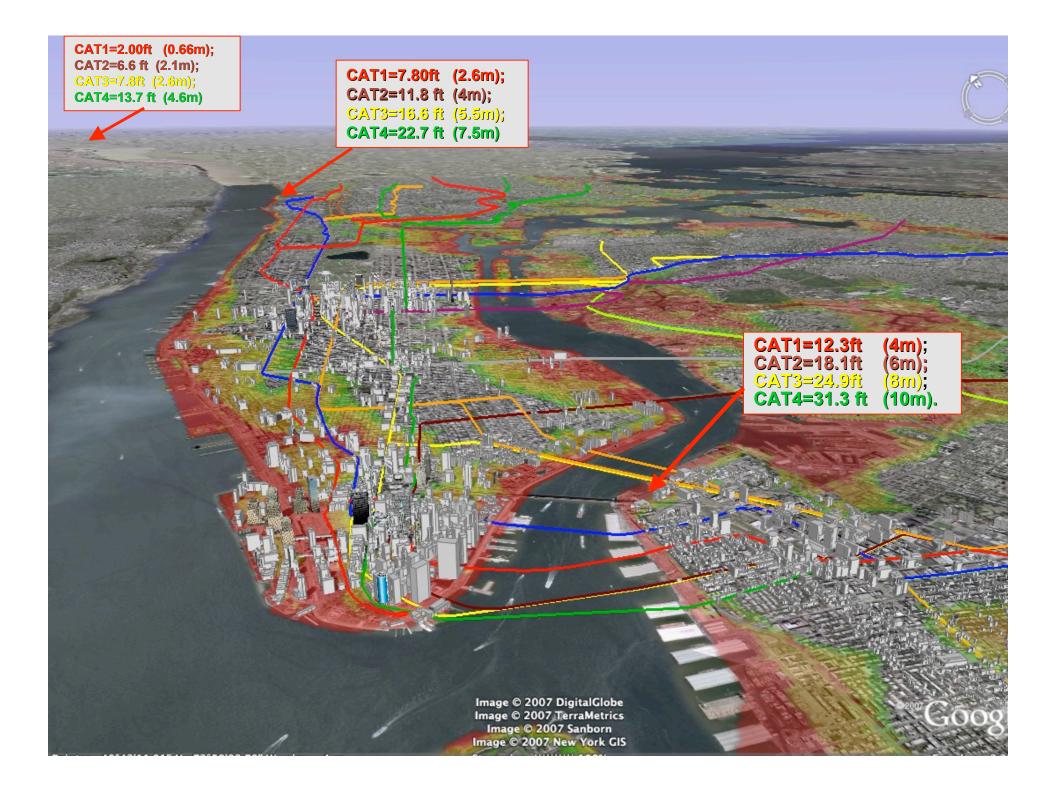
=> more air conditioning, heat strokes, energy, CO2, more warming !

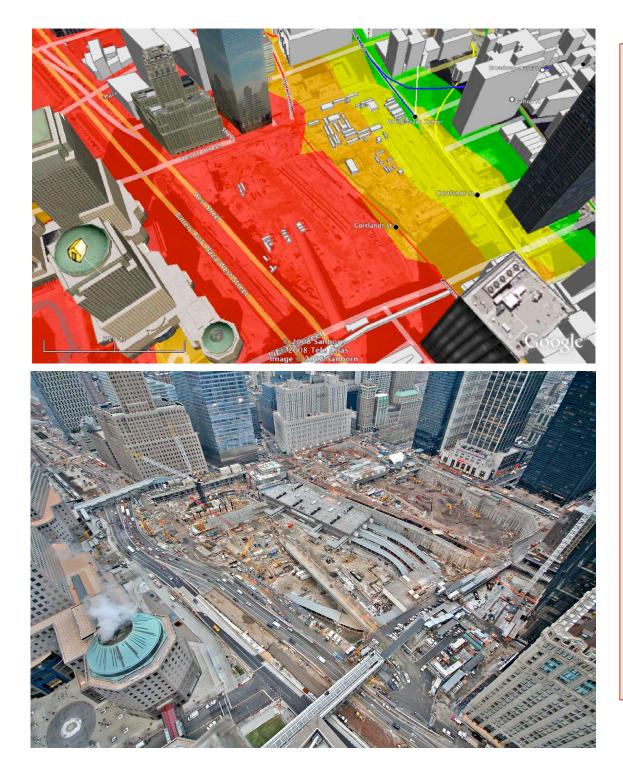


Many of these heavy rains occur during Nor'easters or Hurricanes









WTC - Site:

Questions:

Can the West-Tub Flood? Can the East Tub Flood? For which Storm Surge Elevations?

How will Flooding affect PATH System?

- Hudson Tunnels
- Stations / Tracks / Control Systems
- New Transportation Hub?
- For how Long ?

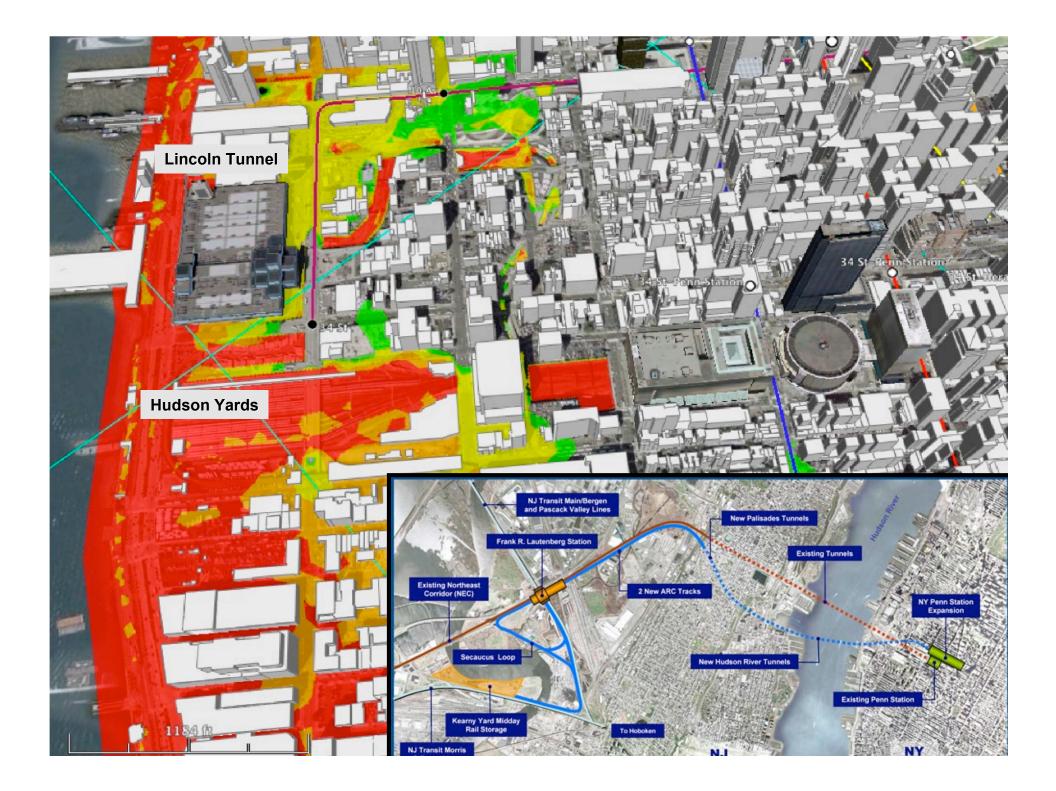
Will Flooding of NYCT Subway System(s) Affect / Connect with PATH & WTC facilities?

If Answers to Above are YES:

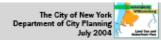
What Sealing-Off Options Exist ?

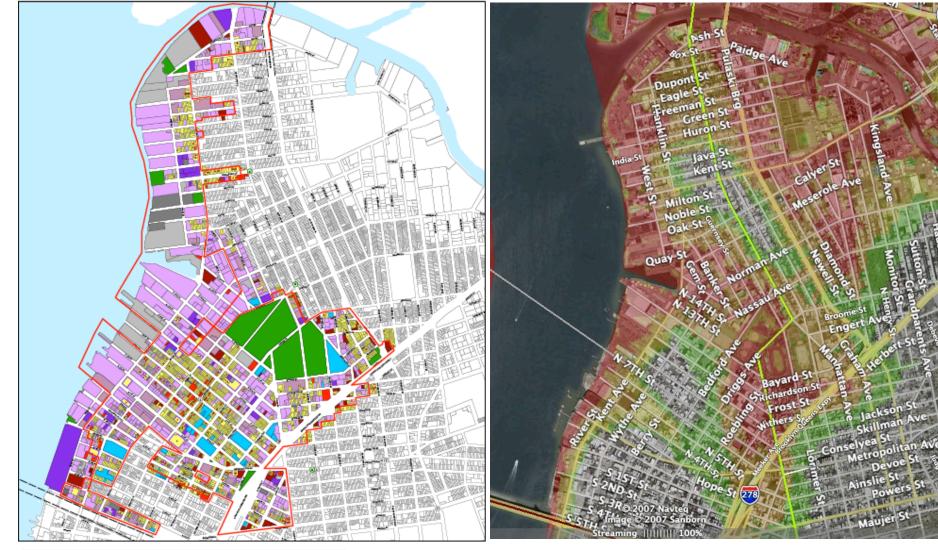
What Pumping Facilities are Planned ? Where ? Capacity? Reliability ?

Is a Levee System || to West Street Feasible? Up to what Height? How long would it be effective, given SLR.



Greenpoint-Williamsburg Rezoning











GIS-based Risk Assessment Tool 'HAZUS - MH'

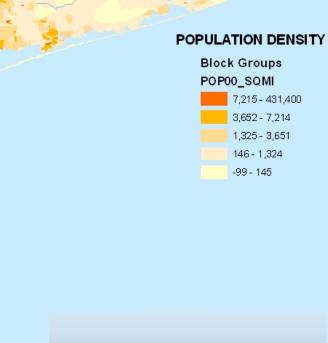
(FEMA's "Hazards in the United States - Multi Hazards Version": Earthquakes, Wind, Flood).

Risk = Sum (\$ / year or /event over Region	Hazard X Assets X Vulnerability) probability per time \$ value 0 < V < 1		
Risk	Expected Losses for either a scenario event (\$) or in terms of probabilistic annual losses (\$/year)		
Hazards	Probability per unit time of exceeding a certain hazard, e.g. wind speed or flood height (P=1 for scenario event)		
 Assets 	Replacement Value in Dollars for Buildings or Infrastructure, (or \$ / live !)		
 Vulnerability 	Dimensionless Value between 0 and 1. It is the Damaged Fraction of Replacement Value of a Given Asset, for the Specified Hazard Level the Asset is exposed to.		

HAZUS-MH also has a Built-in Economic Model for Damage-Related, indirect Economic Losses; e.g. for Losses related to building damage and closure; but its default version is weak in assessing vulnerabilities of infrastructure systems. Requires user input for infrastructure assets and their vulnerabilities. 2007 Estimated Worst Case Losses to New York State Coastal Counties:

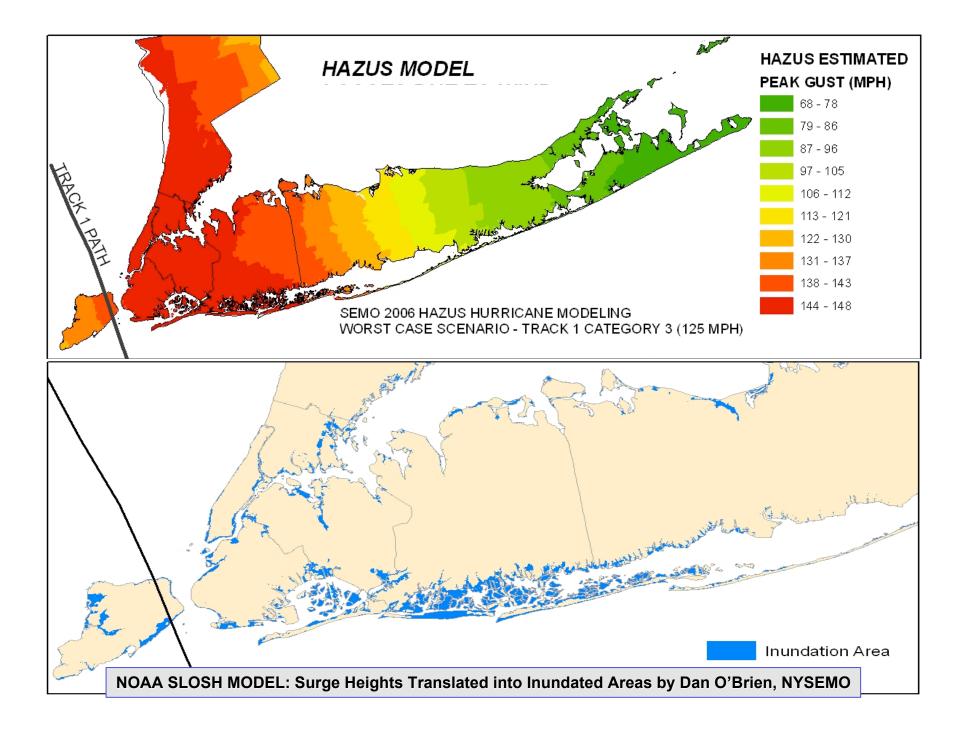
\$350 Billion Wind Related Damages to Buildings (exclusive infrastructure !!)
1.8 Million Displaced Households (wind only); Total of 3 Million Evacuees
41 Million Tons of Debris (wind only)
218 000 Depulation Desiding in expected Storm Surge Inundation Area

318,000 Population Residing in expected Storm Surge Inundation Area

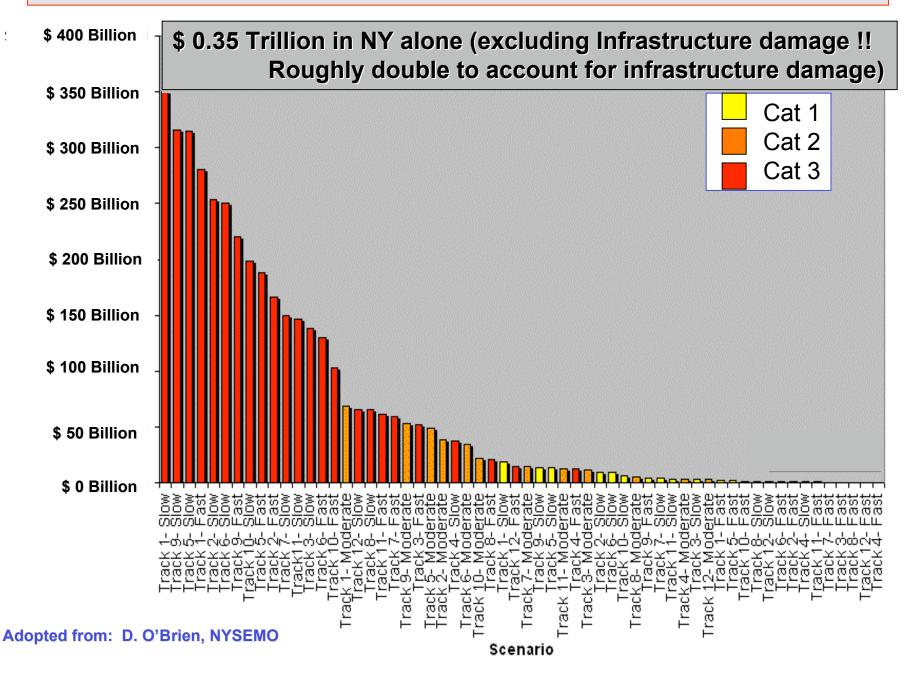


Hurricane of Category 3, 'Worst Case' Trajectory

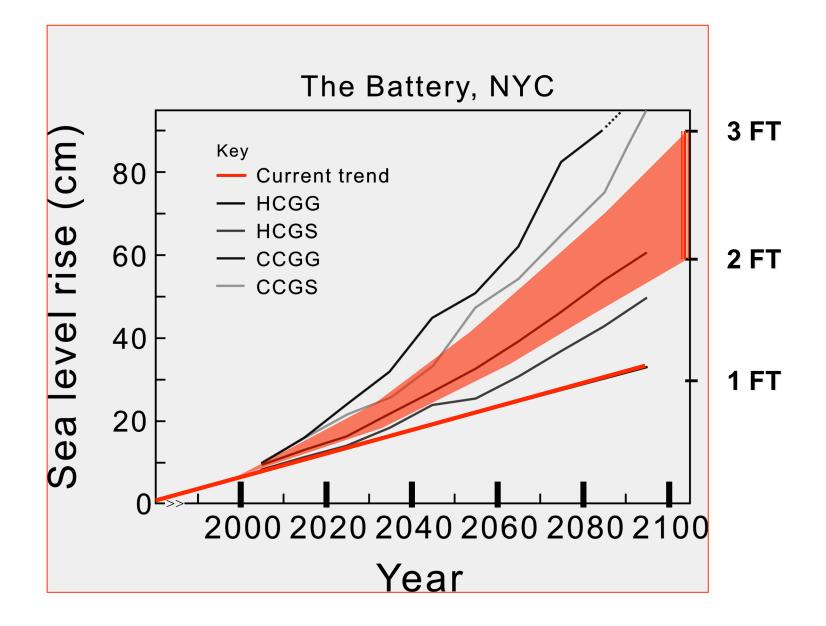
Source: Dan O'Brien, NYSEMO



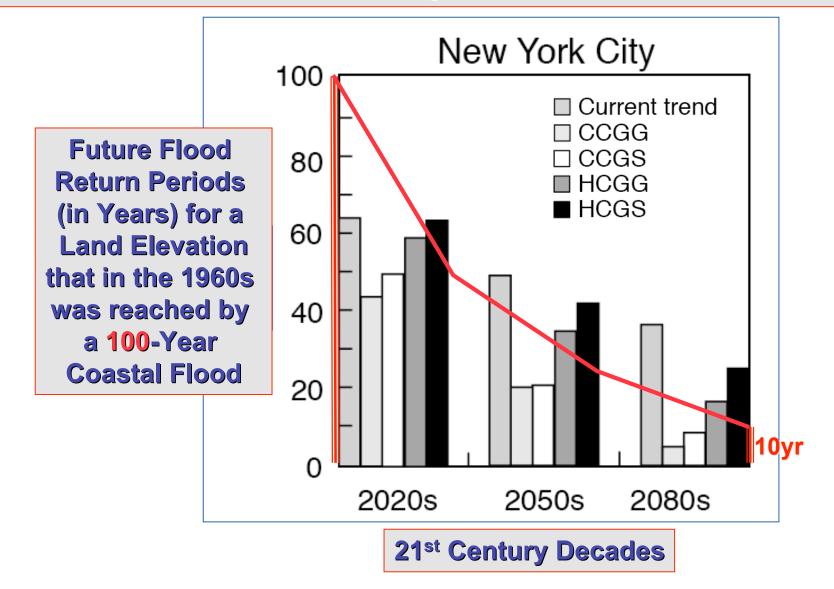


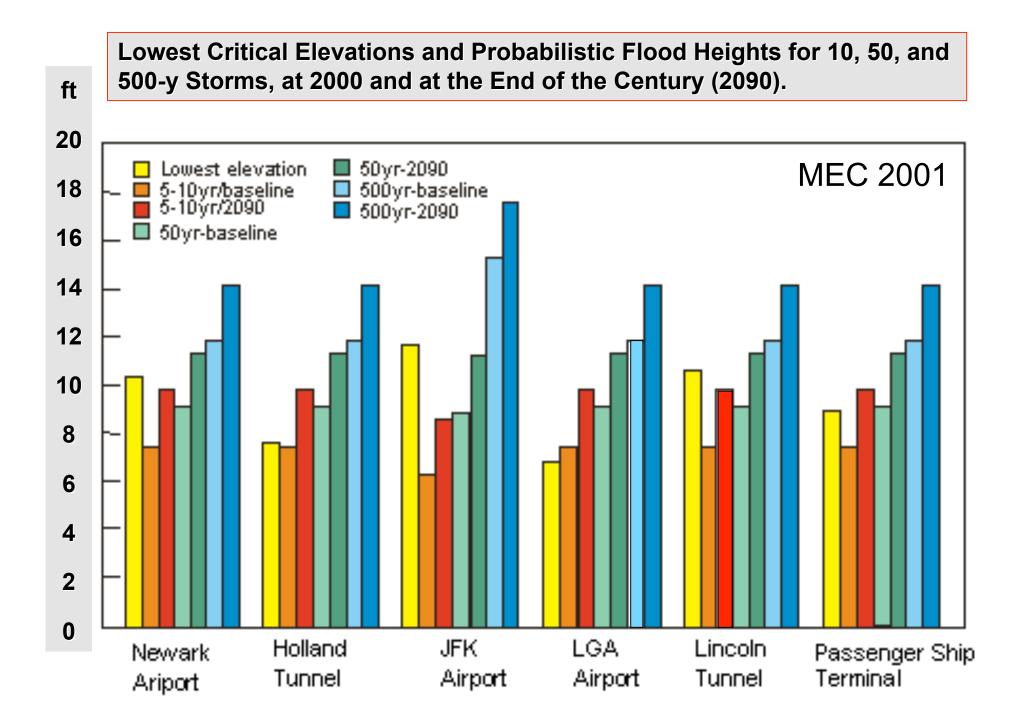


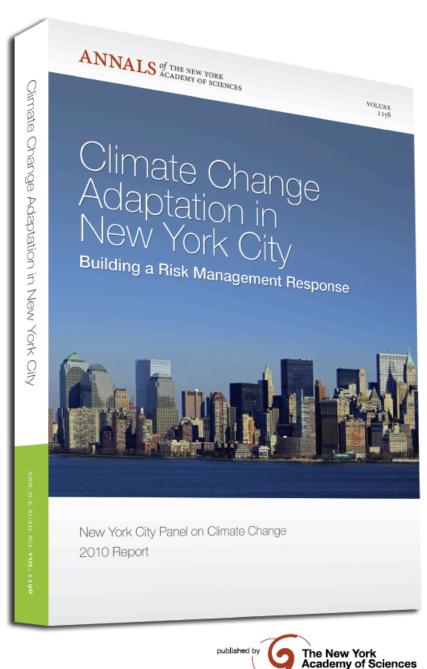
Sea Level Rise Makes a Bad Situation Worse !



Reduction in Return Period of the 100-Year Flood due to Sea Level Rise Only (Constant Storm Frequency).







Annals of the New York Academy of Sciences

Volume 1196,

Climate Change Adaptation in New York City: Building a Risk Management Response.

<u>New York City Panel on</u> <u>Climate Change 2010 Report</u> May 2010

http://onlinelibrary.wiley.com/doi /10.1111/nyas.2010.1196.issue-1/issuetoc



CLIMATE RISK INFORMATION

Climate Change Scenarios & Implications for NYC Infrastructure

New York City Panel on Climate Change

Lead Authors

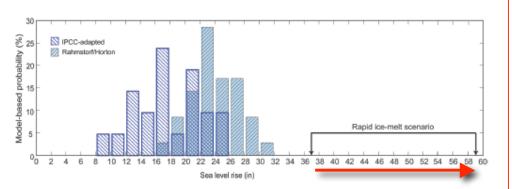
Radley Horton (Columbia University), Cynthia Rosenzweig (NASA, Columbia University)

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http://onlinelibrary.wiley.com/doi/10.11 11/j.1749-6632.2010.05323.x/pdf

FIGURE C.1. Comprehensive Set of Sea Level Rise Projections New York City and the Surrounding Region



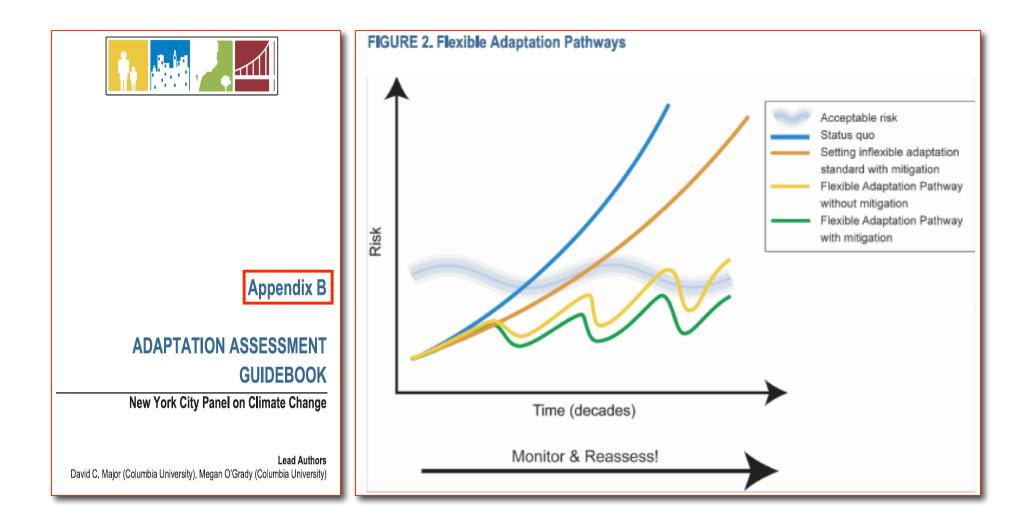
This schematic shows sea level rise projections for the 2080s, relative to the 2000 – 2004 period, based on three distinct methodologies. The dark blue hatched bars show projections based on the IPCC-adapted method. The light blue hatched bars show projections based on the Rahmstorf//Horton method, adjusted for local conditions. Each of the two is shown as histogram, with the y-axis containing the model-based probability for that model alone, associated with the sea level rise interval shown on the x-axis. The Rapid Ice-Melt sea level rise is indicated by the bracket on the x-axis; no probability is associated with this range. Source: Columbia University Center for Climate Systems Research

TABLE C.1. Total Sea Level Rise Projections in Inches for New York City and the Surrounding Region for Four Different Methods

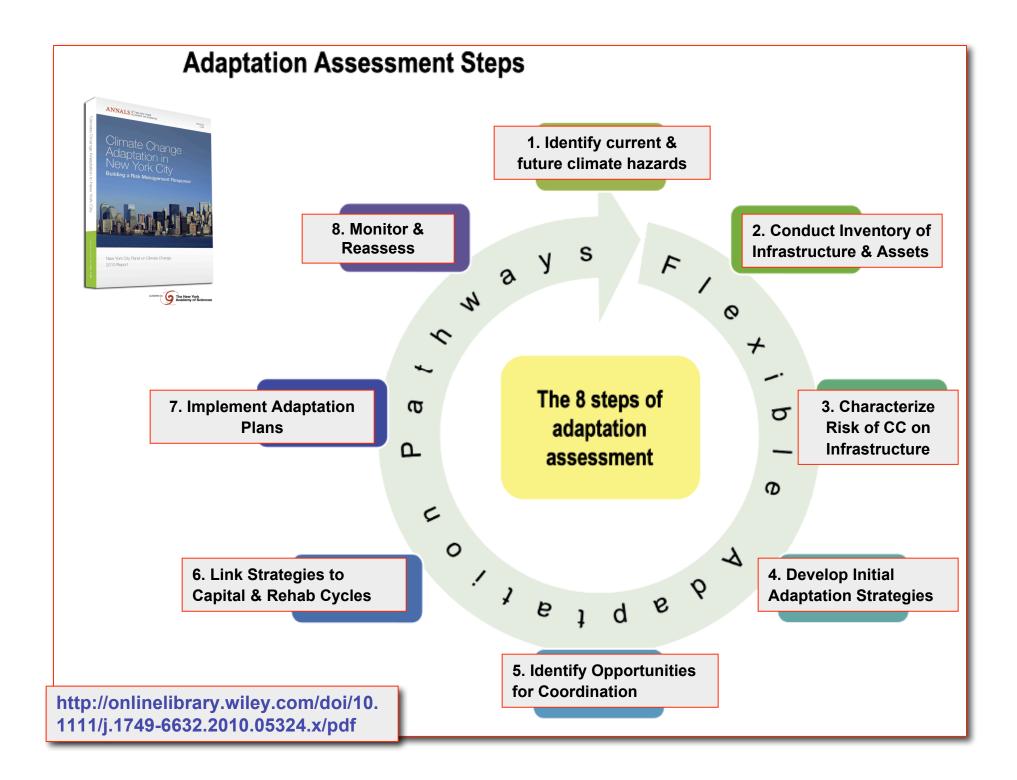
Average (minimum to maximum)	2020s	2050s	2080s	2090s ¹
IPCC Global Estimate + Local Subsidence	NA ²	NA ²	NA ²	(10.4 to 23.4) ⁵
IPCC-adapted Methods for the NYC Region	3.7 (1.4 to 5.5) ³	9.7 (5.0 to 13.6) ³	17.8 (9.3 to 25.6) ³	22.2 (14.9 to 30.0) ⁶
Rahmstorf/Horton Method + Local Subsidence	4.9 (3.7 to 6.2)4	13.1 (10.0 to 16.6)4	24.6 (18.2 to 31.6)4	28.1 (22.6 to 33.7) ⁷
CRI Rapid Ice-Melt Sea Level Rise	~ 4 to 10 ⁸	~ 17 to 308	~ 37 to 59 in ⁸	~ 48 to 70 in ⁹ C, 2007; Vorton et al., 2008.

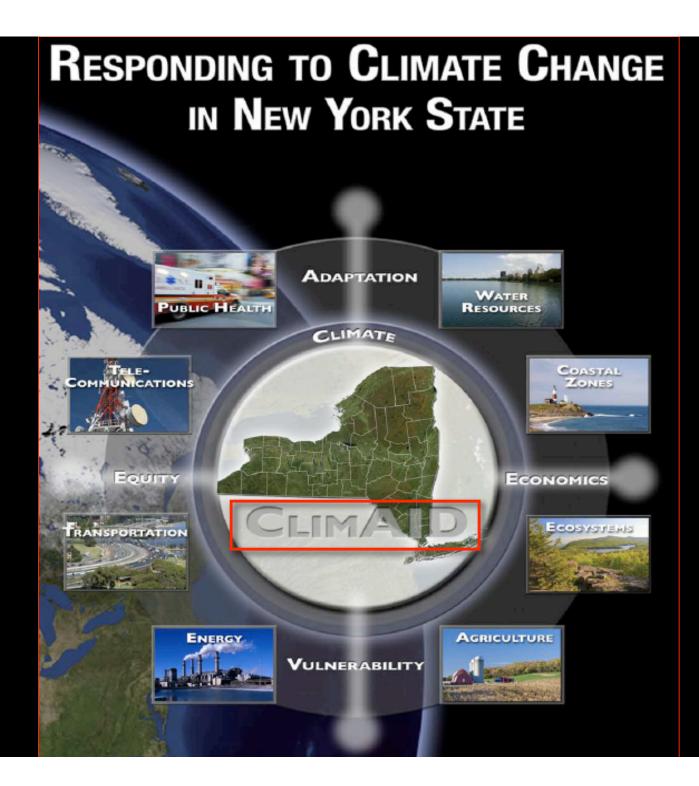






http://onlinelibrary.wiley.com/doi/10. 1111/j.1749-6632.2010.05324.x/pdf



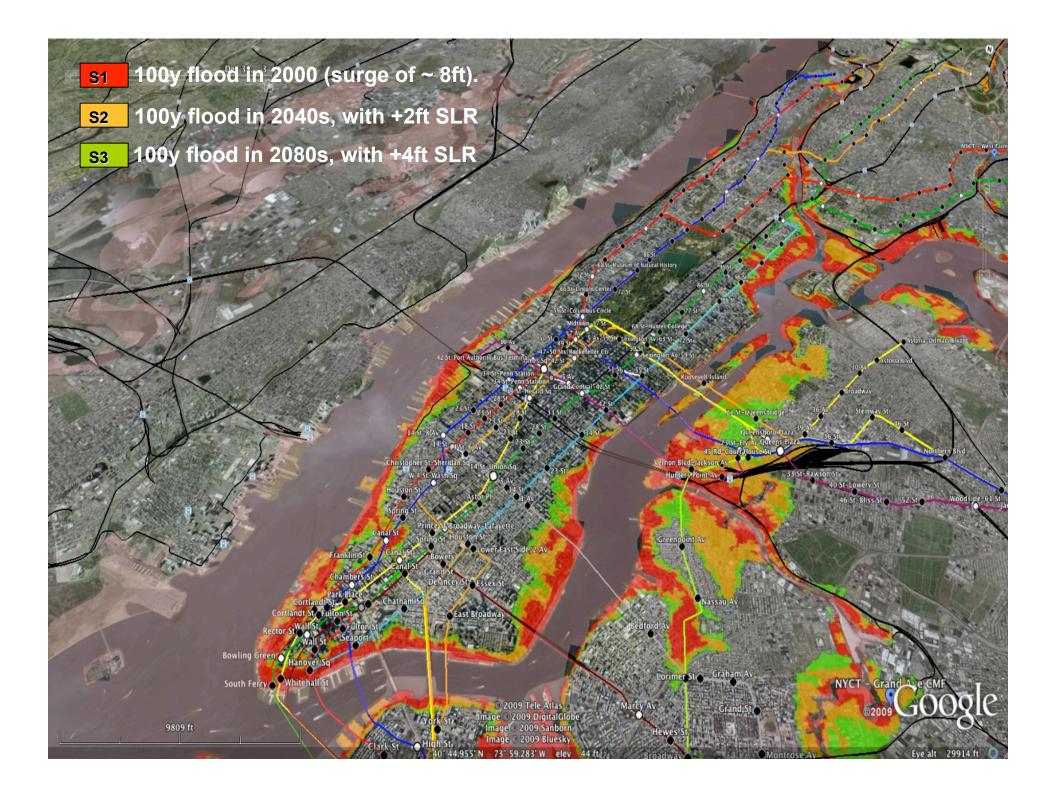


ClimAID Transportation - CASE STUDY: "100-YEAR" STORM SURGE HITS METRO-NYC TRANSPORT SYSTEM Methodology to Estimate Transport Outages, Recovery & Related Economic Losses:

- Use the surge elevations of 3 scenarios
 (S1: 1%/y flood; S2: same +2ft SLR; S3: +4ft SLR).
- 2. Map the flooded portions of the transportation system.
- 3. Compute the volume of floodwaters that enter the tunnels.
- Estimate the times (days) needed to restore electricity
 [E] & and for *logistic set-up* [L] <u>before</u> pumping-out of the tunnels can start.

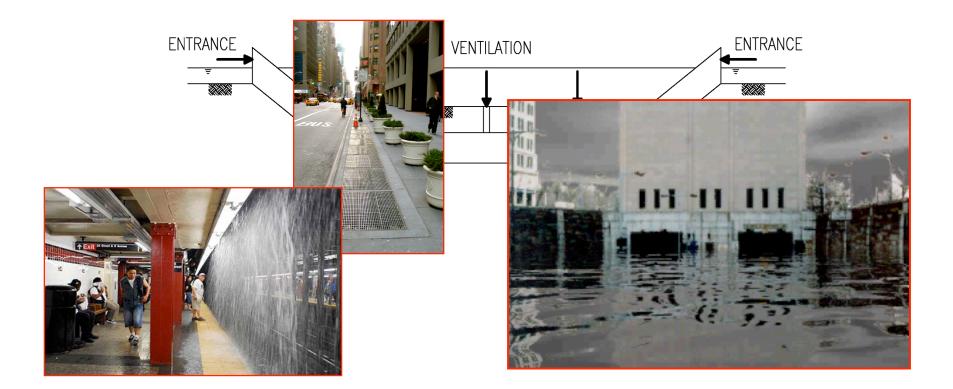
Continued:

- 5. Estimate the pumping times [P] to drain the tunnels (assume ~100 mobile modern pumps !!).
- 6. Estimate post-pumping times [days] to assess the damage [A] and carry out the necessary repairs [R].
- 7. Combine above times [T90] needed to <u>gradually</u> restore transportation system to 90% of its pre-storm capacity.
- Estimate economic impact of transport outage & restoration times based on pre-storm daily economic output (~ \$4 Billion/day)
- 9. Infer lessons for adaptation options to manage these risks.

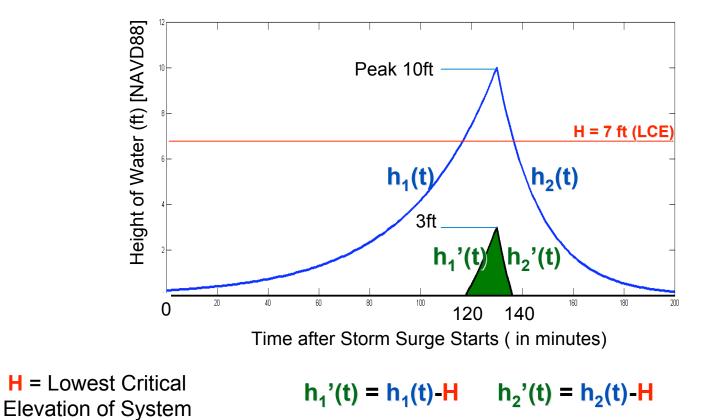


2 Modes of Water Entry into Tunnels

a) Mostly Vertically via Subway Ventilation and Entrancesb) Sub-Horizontally into inclined Rail and Road Tunnels

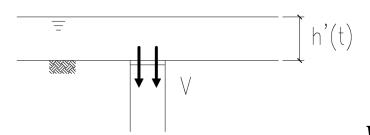


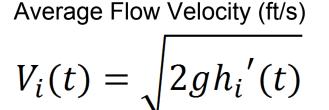
Modified Storm Surge Time-History



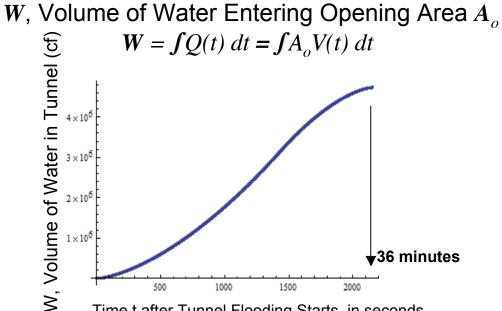
(NAVD88)

Vertical Flow through subway entrances & ventilation grates





g = gravitational constant



Time t after Tunnel Flooding Starts, in seconds

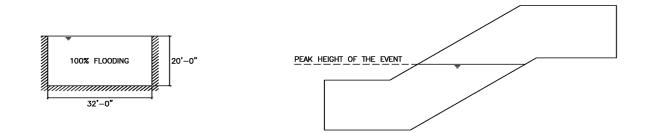
Average Flow Rate (ft³/s) $Q_i(t) = A_o V_i(t)$ A_0 = total area of openings (ft²)

Vertical Influx Example

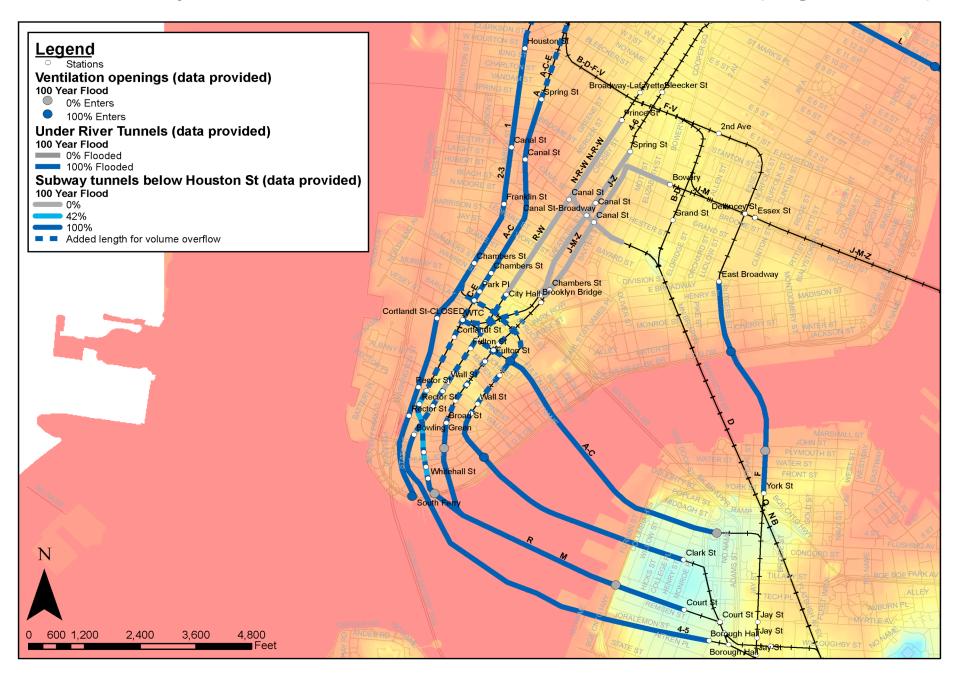
- State St. N of South Ferry Station (#1 Line)
- NAVD88 LCE elevation of ventilation area is 5' (head: h_{max} = 10'surge - 5'LCE = 5' max. head)
- Opening area: 48 ft² from grates, 150 ft² from stairway entrances (A_o=198 ft²)
- Event duration of 36 minutes
- □ 17.9 ft/s peak flow *velocity*
- □ 3,550 ft³/s peak flow *rate*, all openings
- Total volume of flooding 4,700,000 cf

Assumptions in Methodology

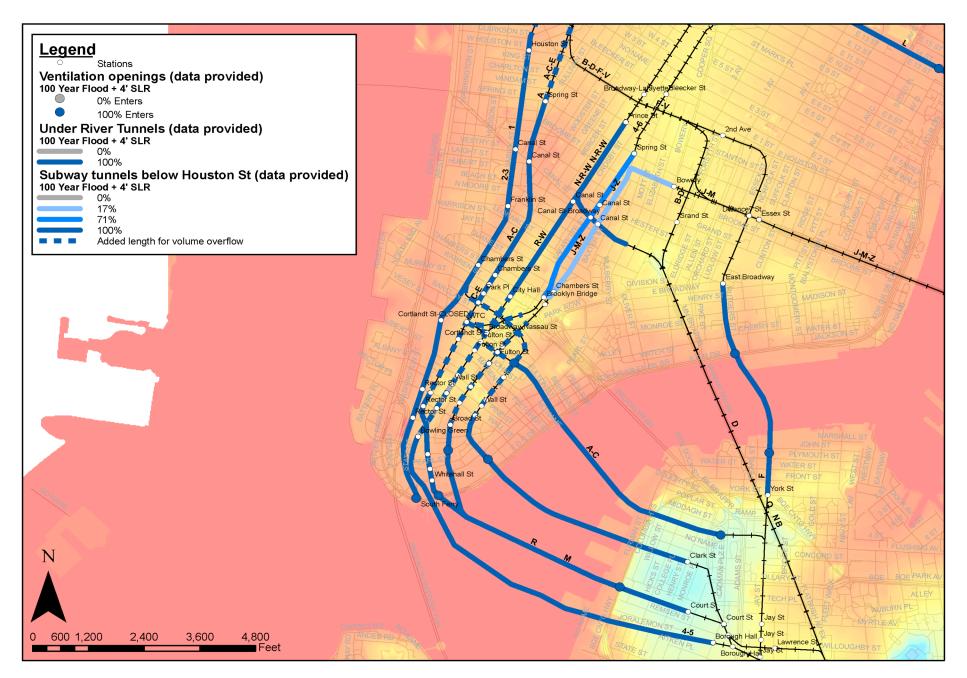
- Once the water fills its corresponding tunnel section 100%, the overflow volume is divided by the tunnel cross-sectional area to get the length of adjacent tunnels being flooded (only below or up to exterior water line).
- No debris blocking the open area of the ventilation, the entire open area is used to compute unhindered flow.



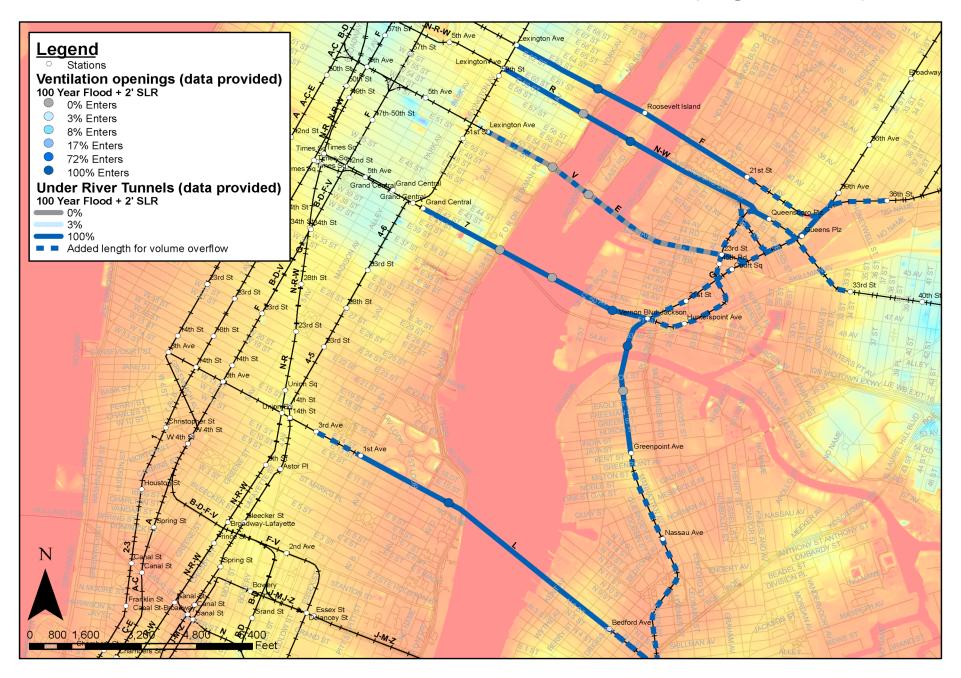
Flooded Subway and Under River Tunnels, Lower Manhattan, 1% Flood (length overflow)



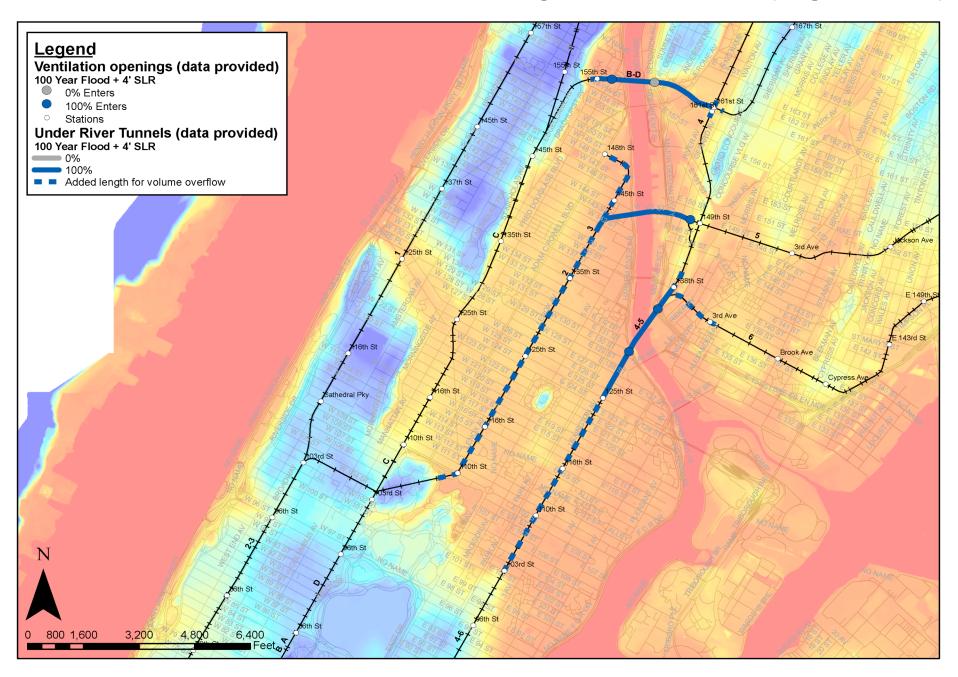
Flooded Subway and Under River Tunnels, Lower Manhattan, 1% Flood + 4' SLR (length overflow)

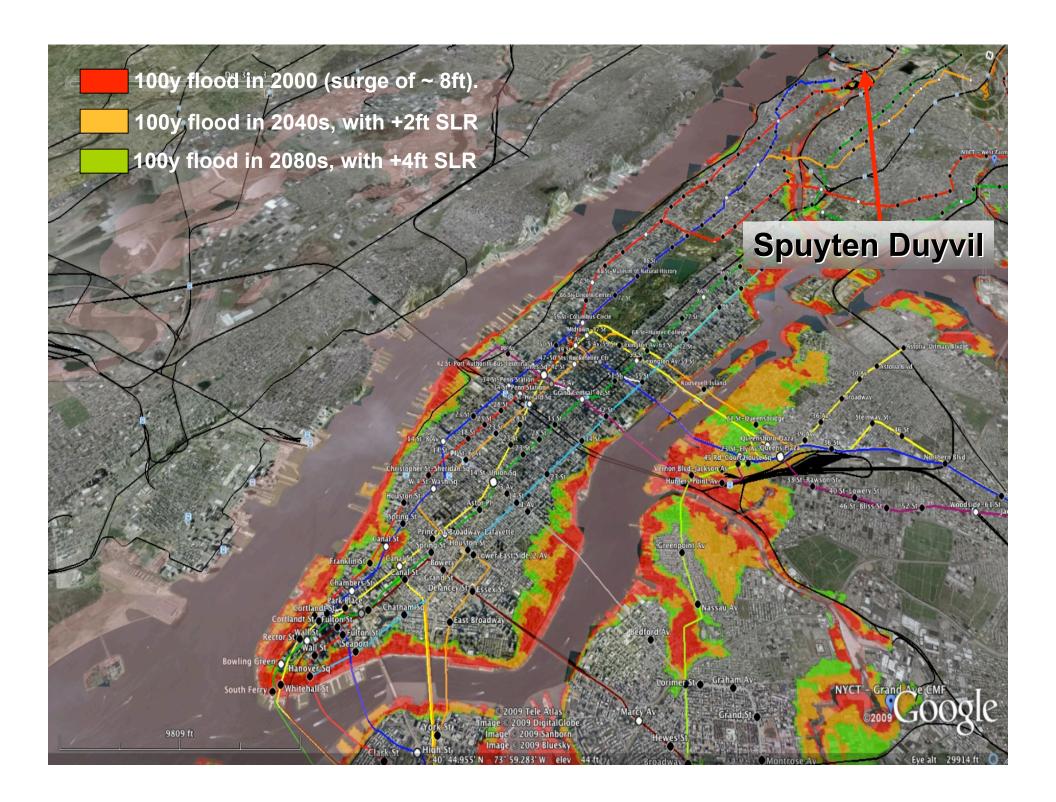


Flooded Under River Tunnels, Midtown, 1% Flood + 2' SLR (length overflow)



Flooded Under River Tunnels, Harlem River Crossings, 1% Flood + 4' SLR (length overflow)



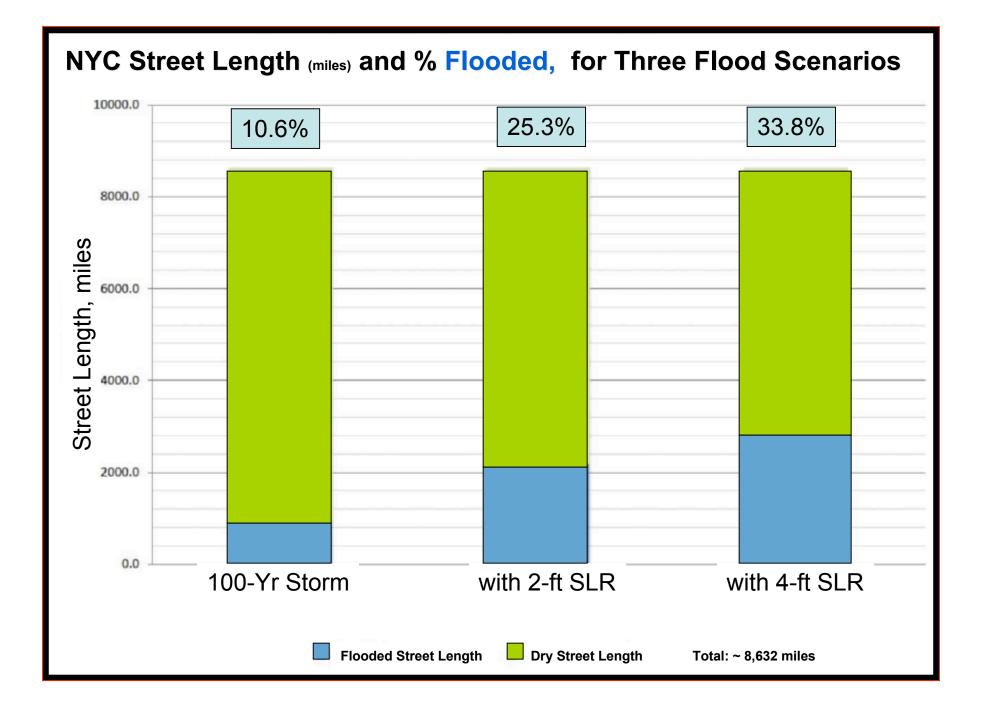


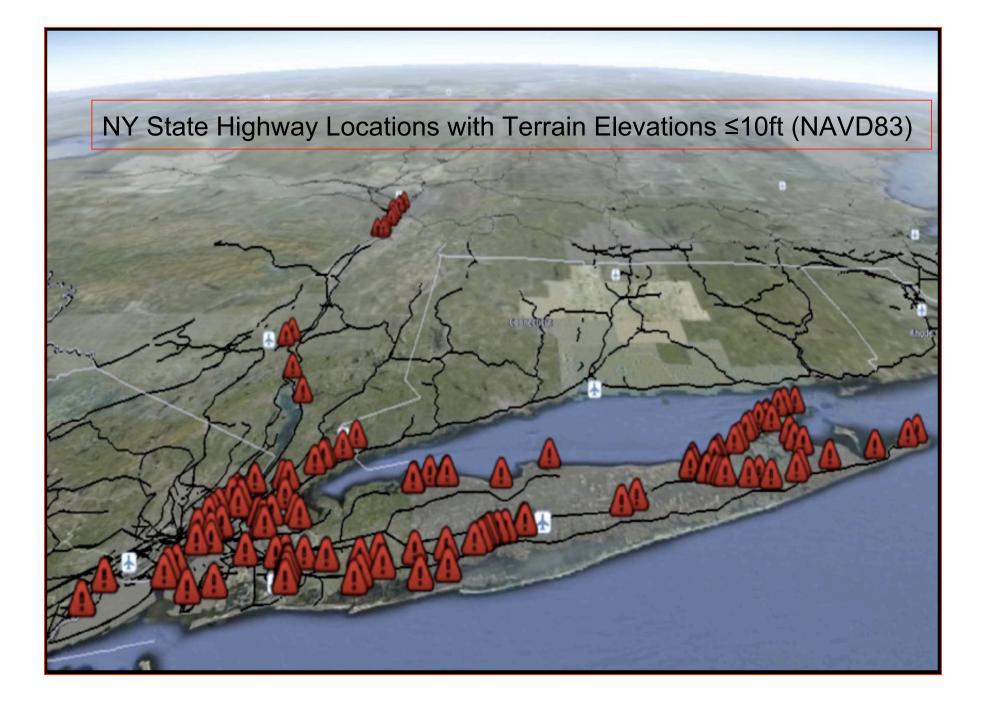


Flooding of Road Tunnels

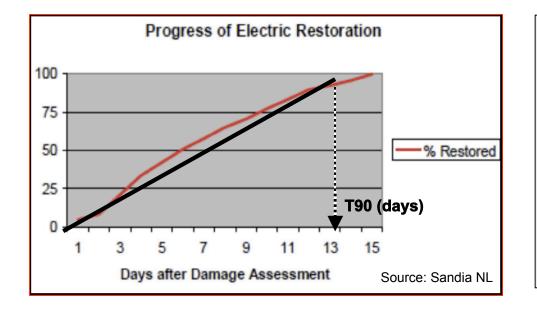
(2 Examples, Without Adaptation or Protective Measures)

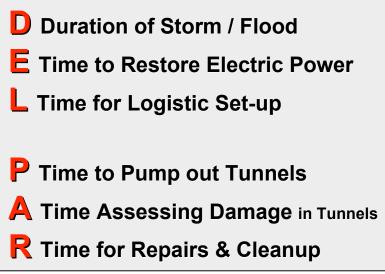
	FLOOD ESTIMATES (by % of Tunnel Volume).					
	Brooklyn-Battery			Queens Midtown		
	100y	100y+2ft SLR	100y+4ft SLR	100y 100y+2ft SLR 100y+4		100y+4ft SLR
Entrances	36%	167%	254%	0%	22%	105%
Ventilations	0%	3%	49%	0.3%	4%	45%
Total	36%	170%	303%	0.3%	26%	150%





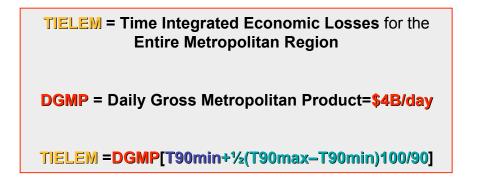
- What is the expected impact/damage from the flooding of the transportation infrastructure ?
- How long will it take for the various components of infrastructure to have their services restored ?
- What will be the **economic losses** from the transportation outages and extended restoration times ?

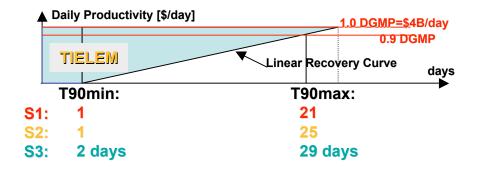




T90 (days) = Max {D, E, L|P>0} + Max {P, A, R} ≥ 1

	1	2**	3	4	5
	TYPE OF DELAY		1%/y BFE	BFE +2ft	BFE +4ft
1	Surge Duration, D⁺⁺		≤ 1	≤ 1	≤1
2	Restore Power, E		≤ 1	≤1.5	≤2
3	Logistics Set-Up, L P>0		≤ 1	≤2	≤3
4	Max{D, E, L}		≤1	≤2	≤ 3
			Т90	Т90	Т 9 0
5	FACILITY	LCE / Zi (ft)	Max{P,A,R }	Max{P,A,R }	Max{P,A,R }
6	Lincoln Tunnel*	22.6*/Z5=9	{0,0,0} T = 1	{0,0,1} <mark>T=1</mark>	$\{0,0,1\}$ T = 2
7	Holland Tunnel*	12.1*/Z5=9	{0,0,0} T = 1	{0,0,1} <mark>T=1</mark>	$\{3/2/6\}$ T = 9
8	Queens-Midtown T.	9.5/Z2=11	{1/1/1} T = 2	$\{4,2,4\}$ T = 6	{6,2,7} T=1 0
9	Brooklyn-Battery T.	7.5/Z1=9	{2/1/2} T = 3	{5,3,6} T = 6	{6,3,7} T=1 0
10	PATH System	9.9/Z5=9	{0,1,1} T = 2	{6,3,7} T = 9	{7,3,8} T=1 1
11	LIRR/Amtr ERvr 42 nd Str T	7.9/Z2=11	{6,3,10} T=11	{6,3,11} T=13	{6,3,12} T= 1 5
12	NJTHudsonTubesPennSt	8.9/Z5=9	{5,3,7} T = 8	{7,3,11} T=13	{7,3,12} T=15
13	NJT ARC Tunnel** *	11.5/Z5= 9	{0,0,0} T = 1	{0,0,0} T = 1	{5,2,7} T=1 0
14	LIRR 63 rd StrE-River>GCT	11.6 /Z2=11	{0,0,0} T = 1	{7,3,11} T=13	{8,3,10} T=13
15	to GCT via Steinway T.	9.9/Z2=11	{6,3,10} T=11	{7,4,11} T=13	{8,5,12} T=15
16	NYC Subway System	≥5.9/Z5=9	{7,5,20} T=21	{8,6,23} T=25	{9,7,26} T=29
17	MNR Hudson Line along Harlem River (SpuytenDvl.Stn.)	6.6/Z4=8	$\{0,2,3\}$ T = 4	{0,3,6} T = 8	{0,4,9} T=1 2
	Bridge Access Ramps+ to				
. 18	MarineParkw-Rockaway	6.9/Z8=9 6.9/Z8=9	$\{0,0,0\}$ T = 1	$\{0,1,1\}$ T = 2	$\{0,1,2\}$ T = 4
. 19 . 20	CrossBayBrdChnlRockaw. ThrogsNeck	8.9/Z1=14	$\{0,0,0\}$ T = 1 $\{0,0,0\}$ T = 1	$\{0,1,1\}$ T = 2 $\{0,1,1\}$ T = 2	$\{0,1,2\}$ T = 4 $\{0,1,2\}$ T = 4
20	BronxWhitestone	10.9/Z1-2=12.5	$\{0,0,0\}$ T = 1	$\{0,1,1\}$ T = 2	$\{0,1,2\}$ T = 4
22	RFK (Triboro)	13.9/Z3-2=10	$\{0,0,0\}$ T = 1	$\{0,0,0\}$ T = 1	$\{0,1,1\}$ T = 2
23	Verrazano-Narrows	7.6/Z5=9	{0,0,0} T = 1	{0,1,0} T = 2	{0,1,0} T = 2
. 24	<u>Airports:</u> JFK	10.6/Z7=8	$\{0,0,0\}$ T = 1	{0,1,1} T = 2	{1,3,4} T = 6
25	LaGuardia*	10.0*/Z2=11	$\{2,2,3\}$ T = 3	$\{3,2,4\}$ T = 4	$\{3,2,6\}$ T = 8
26 27	Newark Teterboro	9.2/Z5a=8	$\{0,0,0\}$ T = 1	$\{0,1,2\}$ T = 3	$\{0,2,3\}$ T = 5
27		3.9/Z5s≤8	{0,1,1} T = 2	$\{0,2,2\}$ T = 3	$\{0,2,3\}$ T = 5
28	Marine Ports:		Scenario 1	on currently not Scenario 2	Scenario 3
	T90min to T90max				
30	(days):		1 to 21	1 to 25	2 to 29





Combined economic and physical-damage Losses for the New York City Metropolitan region for a 100-year storm surge, for three sea level rise scenarios (2010 assets and 2010-dollar valuation).

Scenario	TIELEM (\$ billion)	Physical Damage (\$ billion)	Total Loss (\$ billion)
S1 , current sea level 2100	48	10	\$58
S2 (2-foot rise in sea level) 2040s	57	13	\$70
S3 (4-foot rise in sea level) 2080s	68	16	\$84

Iultipliers for 40 and 80 year time horizons as a function of growth ate <i>r</i> when p=2 (i.e. add each year 2% of <i>initial</i> asset value).					
Effective Economic Growth Rate <i>r</i> (%/year):	0.0	1.50	1.75	2.00	
S2-TIELEM Multiplier for 40 Years:	1.8	2.91	3.16	3.44	
S3-TIELEM Multiplier for 80 Years:	2.6	6.39	7.50	8.83	

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	S3 (4-foot rise in sea level) 2080s	68	16	▼\$84	
Multipliers for 40 and 80 year time horizons as a function of growth rate <i>r</i> when p=2 (i.e. add each year 2% of <i>initial</i> asset value).					
S2-TIE	fective Economic Growth Rate r (%/year):0.01.501.752.002-TIELEM Multiplier for 40 Years:1.82.913.163.443-TIELEM Multiplier for 80 Years:2.66.397.508.83				

For Transportation & Specifically the Subway System, what measures would it take to avoid such losses?

1. In all current and future flood zones. seal all ventilation street grates and replace passive 'open' ventilation with forced 'closed' ventilation. This requires new fan plants, and uses more energy.

2. In all flood prone zones, provide safe flood gates at all entrances and ventilation shafts; and/or safer: surround all entrances and openings by sufficiently high berms and/or levees: "Taipei-Solution"- Go up before you step down !

3. What are the Costs? Needs engineering studies, but costs are likely to be at least 25% of the expected avoided losses: **i.e.** in excess of \$15 to 20 Billion?

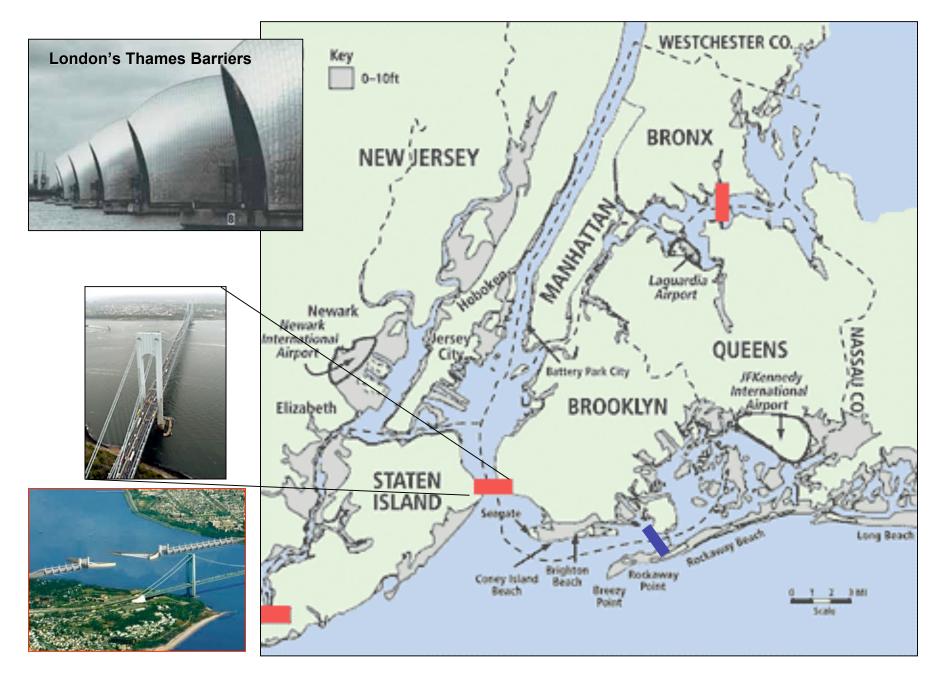
For Transportation, and Specifically the <u>Subway</u> System, what measures should be undertaken to avoid such losses?

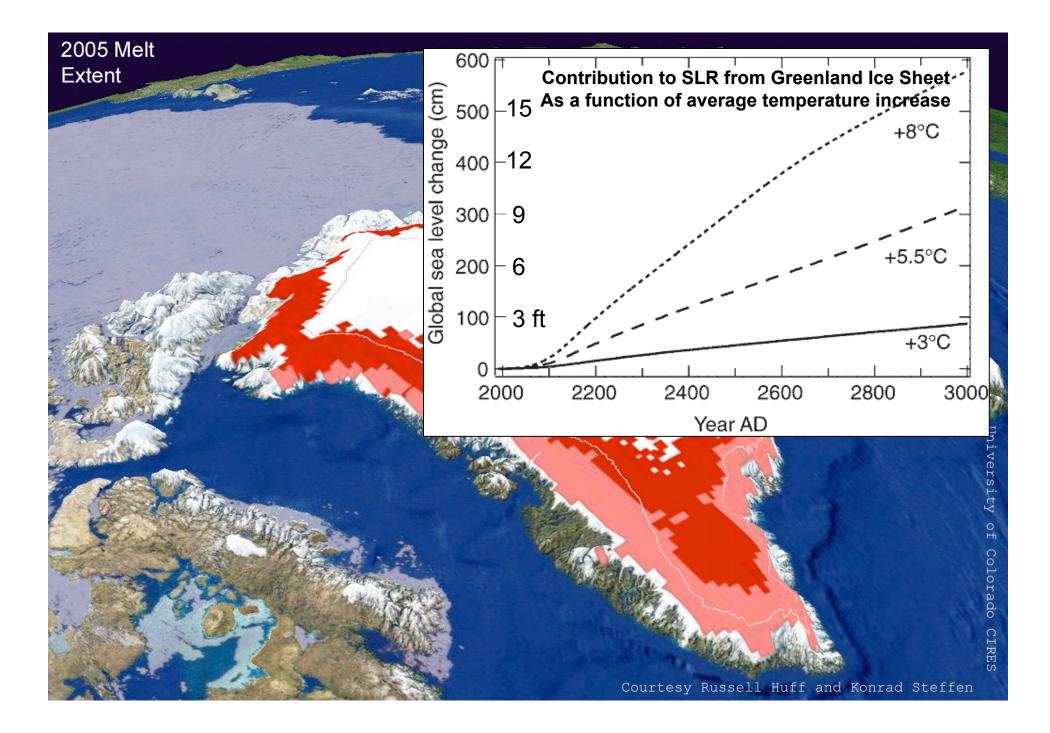
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Structural "Solution": 3 or 4 Barriers. Probably Unsustainable. Why?





Risk Management Tools: <u>Minimizing the Risk</u> via <u>Mitigation and Adaptation</u> Measures (Let's use the Risk Equation and GIS-based Models!) :

Risk = Sum (Hazard x Assets x Vulnerability)

Mitig.:Reduce GW + SLR HazardsAdapt.:Land Use Planning & Zoning,
Considerate Placements of new Assets,
Relocation of Essential Assets.
Levees & Dams (?).
Equity Issues.

or by Risk = Sum (Hazard x Assets x Vulnerability)

Adapt.:Good Engineering, Construction Quality-Control,
Codes and Code Enforcement, Retrofitting,
Raising Assets in Place
Reinforcing Levees and Pump Stations

Response Options:

1) Do Nothing: => More GHG, CC, Global Warming & Sea Level Rise, Storm Surges => Greater Hazards! => Higher, More Frequent CC-Related Losses

2) Rely on Insurance and/or Federal Disaster Relief Aid

- Limitations on Commercial Wind Insurance
- More Restrictive Federal Flood Insurance ("3-times: out")
- Both more expensive less available
- Higher Deductibles, Lower "Ceilings"
- Tighter Federal Disaster Relief Aid to Local Governments, Businesses & Citizens (see New Orleans)

3) Adaptation Measures/Options:

- Short-term: Early Warnings, Evacuation ('Only' Saves Lives)
- Emergency & Operational Preparedness.
- Assess & Avoid Growth in Hazard Zones, Retreat from Low Coasts
- Restore and Preserve Wetland, and Create Vegetated Buffer Areas / Parks.
- **Raising & Hardening Structures (Unsustainable Barriers??).**
- Increase Peak Capacity (Road Drainage / Storm Sewer / Treatment Plants / Water & Energy Supply). Reduce Demand.
- 'Flexible' / Adaptable Urban Design/Planning.

Response Options (cont.):

4) For Individual Building Projects

- Flood Proofing (Basements, Put Infrastructure far above Ground, Raise Entrances, Install Floodgates, Raise Entire Structures,).
- Reduce Run-off; Build Green Roofs; Capture Rain/Storm-Water
- Increase Insulation, Decrease Air Leakage to Increase AC Efficiency to cope with Higher Peak Temperatures.

5) City / Urban / Landuse Planning

- Reduce Heat Island Effects Trees, Parks, Green Roofs, Lighter Roadways
- Reduce Storm Runoff Infiltration
- Rezone Waterfront as Storm Surge Buffer Zones
- Adjust FEMA FIRM for SLR and New Storm/Surge Frequencies
- Modify Building Code applicable in Current & Future Flood-Prone Areas.
- Flood-Prove Infrastructure ?? NYCT, Sewer \$\$
- Protect Entire "Blocks" or entire NY Harbor Estuary Latter Unsustainable ?

6) Smart Policiese.g. PlaNY2030 / NPCC / ClimAID

- Capital Investments into CC-Mitigative & Adaptive Infrastructure & Landuse are Part of a Smart Growth Path.
- NYC can make these Investments to Achieve Short-term Gains for <u>Today's</u> Communities (Safety, Health, Quality of Live, 'Green City'), but also Leave in Place a Better Legacy for the City's <u>Future</u> and Coming Generations.

The good Message is For every \$1 invested in Hazard Loss Mitigation & Prevention There is a Return of \$4 Saved in <u>NOT</u> Incurred Losses.

National Institute of Building Science Multi-hazard Mitigation Council (NIBS/MMC) Study "Mitigation Saves":

http://www.nibs.org/MMC/MitigationSavingsReport/natural_hazard_mitigation_saves.htm



http://green-changemakers.blogspot.com/2010/09/hafencity-case-study-on-future-adaptive.html

100y flood in 2000 (surge of ~ 8ft). 100y flood in 2040s, with +2ft SLR 100y flood in 2080s, with +4ft SLR

1.Incorporate the information underlying this storm surge map into all strategic planning and capital spending decisions.

2. Have operational interim plans to minimize impact and losses, until the assets are retrofitted and are engineered to be not any longer vulnerable to flood hazards.

