

# OUTLINE

LANGUAGE RECOGNITION DEVICES

EILENBERG'S CORRESPONDENCE

DECIDABLE PSEUDOVARITIES

METRICS ASSOCIATED WITH PSEUDOVARITIES

PRO- $V$  SEMIGROUPS

REITERMAN'S THEOREM

EXAMPLES OF RELATIVELY FREE PROFINITE SEMIGROUPS

PSEUDOWORDS AS OPERATIONS

IMPLICIT SIGNATURES

# N: FINITE NILPOTENT SEMIGROUPS

- ▶ Recall that  $\mathbf{N} = \llbracket x^\omega = 0 \rrbracket = \bigcup_{n \geq 1} \llbracket x_1 \cdots x_n = 0 \rrbracket$ .

The proof depends on the following key result.

## LEMMA 7.1

*Let  $S$  be a finite semigroup with  $n$  elements. Then, for every choice of elements  $s_1, \dots, s_n \in S$ , there exist indices  $i, j$  such that  $0 \leq i < j \leq n$  and the following equality holds for all  $k \geq 1$ :*

$$s_1 \cdots s_n = s_1 \cdots s_i (s_{i+1} \cdots s_j)^k s_{j+1} \cdots s_n.$$

## PROOF.

Consider the  $n$  products  $p_r = s_1 \cdots s_r$  ( $r = 1, \dots, n$ ). If they are all distinct, then at least one of them, say  $p_r$ , is idempotent and we may take  $i = 0, j = r$ . Otherwise, there are indices  $i, j$  such that  $1 \leq i < j \leq n$  and  $p_i = p_j$ , in which case  $p_i = p_j = p_i s_{i+1} \cdots s_j = p_i (s_{i+1} \cdots s_j)^k$ .  $\square$

- ▶ Let  $\varphi : A^+ \rightarrow S$  be a homomorphism into a semigroup  $S \in \mathbf{N}$ , say satisfying  $x_1 \cdots x_n = 0$ . Then, all words of length at least  $n$  belong to  $\varphi^{-1}(0)$  and for  $s \in S \setminus \{0\}$ , the words in the language  $L = \varphi^{-1}(s)$  have length less than  $n$ , and so  $L$  is a finite set.

Thus, every  $\mathbf{N}$ -recognizable language is either finite or cofinite.

- ▶ To show that these are precisely the  $\mathbf{N}$ -recognizable languages, it suffices to show that every singleton language  $\{w\} \subseteq A^+$  is  $\mathbf{N}$ -recognizable.

Let  $n = |w|$  be the length of the word  $w$ . Consider the semigroup  $S$  consisting of the words of  $A^+$  of length at most  $n$  together with a zero element  $0$ . The product of two words is the word resulting from their concatenation if that word has length at most  $n$  and is  $0$  otherwise.<sup>1</sup> Then  $S$  satisfies the identity  $x_1 \cdots x_n = 0$ , for the natural homomorphism  $\varphi : A^+ \rightarrow S$ , that sends each letter to itself, we have  $\varphi^{-1}(w) = \{w\}$ .

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<sup>1</sup>This amounts to “killing” the ideal of the semigroup  $A^+$  consisting of the words of length greater than  $n$ , identifying all the elements in the ideal to a zero. In semigroup theory, such a construction is called a **Rees quotient**.

## PROPOSITION 7.2

*A language over a finite alphabet  $A$  is  $\mathbf{N}$ -recognizable if and only if it is finite or its complement in  $A^+$  is finite.*

- ▶ In view of Theorem 5.9, we deduce the following result:

## PROPOSITION 7.3

*Let  $\mathbf{V}$  be a pseudovariety of semigroups containing  $\mathbf{N}$ . Then the completion homomorphism  $\iota : A^+ \rightarrow \overline{\Omega}_A \mathbf{V}$  is injective and  $A^+$  is a discrete subspace of  $\overline{\Omega}_A \mathbf{V}$ . In particular, a language  $L \subseteq A^+$  is  $\mathbf{V}$ -recognizable if and only if its closure  $\overline{L}$  in  $\overline{\Omega}_A \mathbf{V}$  is a clopen subset.*

## PROOF.

The injectivity of  $\iota$  amounts to  $\mathbf{V}$  satisfying no identity  $u = v$  with  $u, v \in A^+$  distinct words. Indeed,  $\text{Synt}(\{u\})$  is nilpotent, whence it belongs to  $\mathbf{V}$ . Since  $1u1 \in \{u\}$  while  $1v1 \notin \{u\}$ , we deduce that  $u$  and  $v$  are not  $\sigma_{\{u\}}$ -equivalent and so  $\text{Synt}(\{u\}) \not\models u = v$ .

We may therefore identify each  $w \in A^+$  with  $\iota(w) \in \overline{\Omega}_A \mathbf{V}$ .

For  $w \in A^+$ , we have  $\overline{\{w\}} = \{w\}$ , because  $\overline{\Omega}_A \mathbf{V}$  is a metric space. Since  $\{w\}$  is  $\mathbf{V}$ -recognizable, its closure  $\overline{\{w\}}$  is an open subset of  $\overline{\Omega}_A \mathbf{V}$  by Theorem 5.9. Hence  $A^+$  is a discrete subset of  $\overline{\Omega}_A \mathbf{V}$ . □

## PROPOSITION 7.4

The semigroup  $\overline{\Omega}_A \mathbf{N}$  is obtained by adding to  $A^+$  a zero element. The open sets containing zero consist of zero together with a cofinite subset of  $A^+$ .<sup>2</sup>

## PROOF.

It suffices to observe that a non-eventually constant sequence  $(w_n)_n$  of words of  $A^+$  is a Cauchy sequence with respect to the metric  $d_{\mathbf{N}}$  if and only if  $\lim |w_n| = \infty$ . In the affirmative case, for every homomorphism  $\varphi : A^+ \rightarrow S$  into  $S \in \mathbf{N}$ , we have  $\lim \varphi(w_n) = 0$ . Thus, all non-eventually constant Cauchy sequences converge to the same point of  $\overline{\Omega}_A \mathbf{N}$ , which is a zero.

The open subsets of  $\overline{\Omega}_A \mathbf{N}$  containing 0 have complement which is a closed, whence compact, subset of  $A^+$ . Since  $A^+$  is a discrete subset of  $\overline{\Omega}_A \mathbf{N}$ , that complement must be finite. The converse is clear. □

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<sup>2</sup>This is known as the **Alexandroff** or **one-point compactification**, which in general is obtained by adding one point and declaring the open sets containing it to consist also of the complement of a compact subset of the original space.

## $\mathbf{K}$ : FINITE SEMIGROUPS SATISFYING $es = e$

- ▶ Recall that  $\mathbf{K} = \llbracket x^\omega y = x^\omega \rrbracket$ . Note that

$$\mathbf{K} = \bigcup_{n \geq 1} \mathbf{K}_n \text{ where } \mathbf{K}_n = \llbracket x_1 \cdots x_n y = x_1 \cdots x_n \rrbracket.$$

- ▶ Let  $A^{\mathbb{N}}$  denote the set of all **right infinite words** over  $A$ , i.e., sequences of letters.
- ▶ Endow the set  $S = A^+ \cup A^{\mathbb{N}}$  with the operation

$$u \cdot v = \begin{cases} uv & \text{if } u \in A^+ \\ u & \text{otherwise} \end{cases}$$

and the function  $d : S \times S \rightarrow \mathbb{R}_{\geq 0}$  defined by  $d(u, v) = 2^{-r(u, v)}$ , where  $r(u, v)$  is the length of the longest common prefix of  $u$  and  $v$ .

## PROPOSITION 7.5

The set  $S$  is a pro- $\mathbf{K}$  semigroup for the above operation and distance function  $d$ . The unique continuous homomorphism  $\overline{\Omega}_A \mathbf{K} \rightarrow S$  that sends each letter  $a \in A$  to itself is an isomorphism.

## PROOF.

It is easy to check that the multiplication defined on  $S$  is associative and that  $d$  is an totally bounded complete ultrametric.

Consider the set  $S_n$  consisting of all words of  $A^+$  of length at most  $n$ , endowed with the operation

$$u \cdot v = \begin{cases} uv & \text{if } |uv| \leq n \\ i_n(u) & \text{otherwise} \end{cases}$$

where  $i_n(w)$  denotes the longest prefix of length at most  $n$  of the word  $w$ . This operation is associative and  $S_n \in \mathbf{K}_n$ . Moreover, every  $n$ -generated semigroup from  $\mathbf{K}_n$  is a homomorphic image of  $S_n$ . Hence  $S_n \simeq \overline{\Omega}_A \mathbf{K}_n$ .

Note also that the mapping  $\varphi_n : S \rightarrow S_n$  which sends each  $w \in S$  to  $i_n(w)$  is a continuous homomorphism.

Hence, given two distinct points  $u$  and  $v$  from  $S$ , for  $n = r(u, v) + 1$ , the mapping  $\varphi_n$  is a continuous homomorphism into a semigroup from  $\mathbf{K}$  which distinguishes  $u$  from  $v$ . Thus,  $S$  is a pro- $\mathbf{K}$  semigroup.



(...)

Consider next the unique continuous homomorphism  $\psi : \overline{\Omega}_A \mathbf{K} \rightarrow S$  which maps each letter  $a \in A$  to itself. Since  $\mathbf{K} = \bigcup_{n \geq 1} \mathbf{K}_n$ , given distinct  $u, v \in \overline{\Omega}_A \mathbf{K}$ , there exists a continuous homomorphism  $\theta : \overline{\Omega}_A \mathbf{K} \rightarrow S_n$  such that  $\theta(u) \neq \theta(v)$ .

$$\begin{array}{ccc} \overline{\Omega}_A \mathbf{K} & \xrightarrow{\psi} & S \\ \theta \downarrow & & \downarrow \varphi_n \\ S_n & \xleftarrow{\mu} & \overline{\Omega}_A \mathbf{K}_n \end{array}$$

The fact that the above diagram can always be completed by a homomorphism  $\mu$  shows that  $\psi(u) \neq \psi(v)$ . Hence  $\psi$  is injective.  $\square$

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# IMPLICIT OPERATIONS

- ▶ Let  $n$  be a positive integer.
- ▶ An  $n$ -ary implicit operation on pro- $\mathbf{V}$  semigroups is a correspondence  $\pi$  associating to each pro- $\mathbf{V}$  semigroup  $S$  an  $n$ -ary operation  $\pi_S : S^n \rightarrow S$  such that, for every continuous homomorphism  $\varphi : S \rightarrow T$  between pro- $\mathbf{V}$  semigroups, the following diagram commutes:

$$\begin{array}{ccc} S^n & \xrightarrow{\pi_S} & S \\ \downarrow \varphi^n & & \downarrow \varphi \\ T^n & \xrightarrow{\pi_T} & T, \end{array}$$

i.e.,  $\varphi(\pi_S(s_1, \dots, s_n)) = \pi_T(\varphi(s_1), \dots, \varphi(s_n))$  for all  $s_1, \dots, s_n \in S$ .

- ▶ Examples: the binary multiplication  $(s_1, s_2) \mapsto s_1 s_2$  and the component projections  $(s_1, \dots, s_n) \mapsto s_i$  are implicit operations. Composing implicit operations we also obtain implicit operations.

- ▶ If  $A$  and  $B$  are finite sets with the same cardinality  $n$ , then  $\overline{\Omega}_A \mathbf{V} \simeq \overline{\Omega}_B \mathbf{V}$ . We denote by  $\overline{\Omega}_n \mathbf{V}$  any of them. Usually, we identify  $\overline{\Omega}_n \mathbf{V}$  with  $\overline{\Omega}_{X_n} \mathbf{V}$ , where  $X_n = \{x_1, \dots, x_n\}$  has cardinality  $n$ .
- ▶ To each  $w \in \overline{\Omega}_n \mathbf{V}$ , we may associate an  $n$ -ary implicit operation  $\pi_w$  on pro- $\mathbf{V}$  semigroups as follows:
  - ▶ for a pro- $\mathbf{V}$  semigroup  $S$ , given  $s_1, \dots, s_n \in S$ , let  $f : X_n \rightarrow S$  be the function defined by  $f(x_i) = s_i$  ( $i = 1, \dots, n$ );
  - ▶ let  $(\pi_w)_S(s_1, \dots, s_n) = \hat{f}(w)$  where  $\hat{f}$  completes the following diagram:

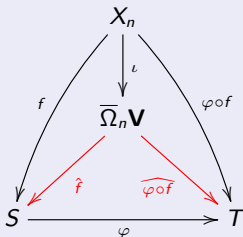
$$\begin{array}{ccc}
 X_n & \xrightarrow{\iota} & \overline{\Omega}_n \mathbf{V} \\
 & \searrow f & \downarrow \hat{f} \\
 & & S.
 \end{array}$$

## PROPOSITION 8.1

1. For each  $w \in \overline{\Omega}_n \mathbf{V}$ ,  $\pi_w$  is indeed an  $n$ -ary implicit operation on  $\text{pro-}\mathbf{V}$  semigroups.
2. The correspondence  $w \in \overline{\Omega}_n \mathbf{V} \mapsto \pi_w$  is injective and in fact  $\pi_w$  is completely characterized by the operations  $(\pi_w)_S$  with  $S \in \mathbf{V}$ .

## PROOF.

1. Let  $\varphi : S \rightarrow T$  be a continuous homomorphism between two pro- $\mathbf{V}$  semigroups and let  $s_1, \dots, s_n$  be elements of  $S$ . Let  $f : X_n \rightarrow S$  be defined by  $f(x_i) = s_i$  ( $i = 1, \dots, n$ ). Then we have the following commutative diagram:



which shows that

$$\begin{aligned} \varphi((\pi_w)_S(f(s_1), \dots, f(s_n))) &= \varphi(\hat{f}(w)) = \widehat{\varphi \circ f}(w) \\ &= (\pi_w)_T(\varphi(f(s_1)), \dots, \varphi(f(s_n))). \end{aligned}$$

(...)

2. Let  $u, v \in \overline{\Omega}_n \mathbf{V}$  be two distinct elements. Then there exists a continuous homomorphism  $\varphi : \overline{\Omega}_n \mathbf{V} \rightarrow S$  into a semigroup  $S \in \mathbf{V}$  such that  $\varphi(u) \neq \varphi(v)$ . Let  $s_i = \varphi(x_i)$  ( $i = 1, \dots, n$ ). For  $w \in \overline{\Omega}_n \mathbf{V}$ , by definition of  $\pi_w$  we have

$$(\pi_w)_S(s_1, \dots, s_n) = \varphi(w).$$

Since  $\varphi(u) \neq \varphi(v)$ , we deduce that

$$(\pi_u)_S(s_1, \dots, s_n) \neq (\pi_v)_S(s_1, \dots, s_n)$$

and so, certainly  $\pi_u \neq \pi_v$ . □

- ▶ We identify  $w$  with  $\pi_w$ .
- ▶ Note that  $S \in \mathbf{V}$  satisfies the  $\mathbf{V}$ -pseudoidentity  $u = v$  if and only if  $u_S = v_S$ .
- ▶ We say that a pro- $\mathbf{V}$  semigroup  $S$  **satisfies** the  $\mathbf{V}$ -pseudoidentity  $u = v$  if  $u_S = v_S$ .

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- ▶ By an **implicit signature** we mean a set  $\sigma$  of implicit operations (on  $\mathbf{S}$ ) which includes the binary operation of multiplication.
- ▶ **Example:**  $\kappa = \{- \cdot -, -^{\omega-1}\}$ .
- ▶ Given an implicit signature  $\sigma$ , each profinite semigroup  $S$  becomes a natural  $\sigma$ -algebra in which each operation  $w \in \sigma$  is interpreted as  $w_S$ .
- ▶ In particular, each  $\overline{\Omega}_A \mathbf{V}$  becomes a  $\sigma$ -algebra. The  $\sigma$ -subalgebra generated by  $\iota(A)$  is denoted  $\Omega_A^\sigma \mathbf{V}$ .
- ▶ For the minimum implicit signature  $\sigma$ , consisting only of multiplication, we denote  $\Omega_A^\sigma \mathbf{V}$  simply by  $\Omega_A \mathbf{V}$ .<sup>3</sup>
- ▶ A formal term constructed from the letters  $a \in A$  using the operations from the implicit signature  $\sigma$  is called a  **$\sigma$ -term over  $A$** . Such  $\sigma$ -term  $w$  determines an element  $w_{\mathbf{V}}$  of  $\Omega_A^\sigma \mathbf{V}$  by evaluating the operations within  $\Omega_A^\sigma \mathbf{V}$ .

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<sup>3</sup>The bar in the notation  $\overline{\Omega}_A \mathbf{V}$  comes from the fact  $\Omega_A \mathbf{V} = \iota(A^+)$  is dense in  $\overline{\Omega}_A \mathbf{V}$ . This notation (without reference to  $\mathbf{V}$ ) was introduced by Reiterman [Rei82].

- ▶ The following result is an immediate consequence of Theorem 5.3.

### PROPOSITION 9.1

The  $\sigma$ -algebra  $\Omega_A^\sigma \mathbf{V}$  is a  $\mathbf{V}$ -free  $\sigma$ -algebra freely generated by  $A$  in the sense of the following universal property: for every mapping  $\varphi : A \rightarrow S$  into a semigroup  $S \in \mathbf{V}$ , there is a unique homomorphism  $\hat{\varphi}$  of  $\sigma$ -algebras such that the following diagram commutes:

$$\begin{array}{ccc} A & \xrightarrow{\iota} & \Omega_A^\sigma \mathbf{V} \\ & \searrow \varphi & \downarrow \hat{\varphi} \\ & & S. \end{array}$$



## Examples:

- ▶  $\Omega_A^\kappa \mathbf{N} = \overline{\Omega}_A \mathbf{N}$ ;
- ▶ for  $|A| \geq 2$ , since  $\overline{\Omega}_A \mathbf{K}$  is uncountable, we have  $\Omega_A^\sigma \mathbf{K} \subsetneq \overline{\Omega}_A \mathbf{K}$  for every countable implicit signature  $\sigma$ ;
- ▶  $\Omega_A^\kappa \mathbf{J} = \overline{\Omega}_A \mathbf{J}$  [Alm95, Section 8.1];
- ▶  $\Omega_A^\kappa \mathbf{G}$  is the free group freely generated by  $\iota(A) = A$ ;
- ▶  $\Omega_A^\kappa \mathbf{CR}$  is the free completely regular (union of groups) semigroup freely generated by  $\iota(A) = A$ .

- ▶ A key problem for the applications is to be able to solve the **word problem** in the free  $\sigma$ -algebra  $\Omega_A^\sigma \mathbf{V}$ : to find an algorithm, if one exists, that given two  $\sigma$ -terms over  $A$ , determines whether  $u_{\mathbf{V}} = v_{\mathbf{V}}$ .

If such algorithm exists, then we say that the word problem is **decidable**; otherwise, we say that it is **undecidable**.

## Examples:

- ▶ The word problem for  $\Omega_A^\kappa \mathbf{N}$ : two  $\kappa$ -terms coincide in  $\Omega_A^\sigma \mathbf{N}$  if and only if they are equal or they both involve the operation  $_{-}\omega^{-1}$ .
- ▶ The word problem for  $\Omega_A^\kappa \mathbf{G}$  is well known: the operation  $_{-}\omega^{-1}$  is inversion in profinite groups, so all  $\kappa$ -terms can be effectively reduced (over  $\mathbf{G}$ ) to  $\kappa$ -terms in which that operation is only applied to letters; then use, in any order, the reduction rules  $aa^{\omega^{-1}} \rightarrow 1$  and  $a^{\omega^{-1}}a \rightarrow 1$  ( $a \in A$ ) to obtain a **canonical form** for  $\kappa$ -terms over  $\mathbf{G}$ ; two  $\kappa$ -terms are equal over  $\mathbf{G}$  if and only if they have the same canonical form.
- ▶ Word problem for  $\overline{\Omega}_A \mathbf{K}$ : exercise.
- ▶ The solution of the word problem for  $\Omega_A^\kappa \mathbf{J} = \overline{\Omega}_A \mathbf{J}$  gives the structure of  $\overline{\Omega}_A \mathbf{J}$  [Alm95, Section 8.1].
- ▶ The word problem for  $\Omega_A^\kappa \mathbf{CR}$  has been solved by Kad'ourek and Polák [KP86].
- ▶ The word problem for  $\Omega_A^\kappa \mathbf{A}$  has been solved by McCammond [McC01].

## Part II

# *Separating words and regular languages*

$\sigma$ -FULLNESS

PRO-**V**-METRICS

## A SEPARATION PROBLEM

- ▶ Let  $\mathbf{V}$  be a pseudovariety of semigroups.
- ▶ Suppose that a regular language  $L \subseteq A^+$  and a word  $w \in A^+$  are given. How do we find out whether a proof that  $w \notin L$  exists using  $\mathbf{V}$ -recognizable languages?
- ▶ More precisely, we wish to decide whether, given such  $L$  and  $w$ , there exists a  $\mathbf{V}$ -recognizable language  $K \subseteq A^+$  such that  $L \subseteq K$  and  $w \notin K$ .
- ▶ For instance, how do we determine whether there exists a finite permutation automaton such that no word from  $L$  ends in the same state as  $w$  does?
- ▶ Another example of the same type of problem: is there some integer  $n$  such that no word from  $L$  has the same subwords of length at most  $n$  as  $w$  does?



- ▶ Our problem sounds like a topological separation problem, and indeed it admits such a formulation in the profinite world.

### PROPOSITION 10.1

Let  $\mathbf{V}$  be a pseudovariety of semigroups,  $L \subseteq A^+$  a regular language and  $w$  a word in  $A^+$ . Then there is a  $\mathbf{V}$ -recognizable language  $K \subseteq A^+$  such that  $L \subseteq K$  and  $w \notin K$  if and only if  $\iota_{\mathbf{V}}(w)$  does not belong to the closure of  $\iota_{\mathbf{V}}(L)$  in  $\overline{\Omega}_A \mathbf{V}$ .

### PROOF.

By Proposition 5.4, the condition  $\iota_{\mathbf{V}}(w)$  belongs to the closure  $\overline{\iota_{\mathbf{V}}(L)}$  in  $\overline{\Omega}_A \mathbf{V}$  holds if and only if every clopen subset of  $\overline{\Omega}_A \mathbf{V}$  which contains  $\iota_{\mathbf{V}}(w)$  has nontrivial intersection with  $\iota_{\mathbf{V}}(L)$ . By Theorem 5.9, such clopen subsets are precisely the sets of the form  $\overline{\iota_{\mathbf{V}}(K)}$  where  $K$  is a  $\mathbf{V}$ -recognizable subset of  $A^+$ . It remains to observe that,  $\iota_{\mathbf{V}}(w) \in \overline{\iota_{\mathbf{V}}(K)}$  and  $\overline{\iota_{\mathbf{V}}(K)} \cap \iota_{\mathbf{V}}(L) = \emptyset$  if and only if  $w \in K$  and  $K \cap L = \emptyset$ , which follows from the facts that  $K = \iota_{\mathbf{V}}^{-1}(\overline{\iota_{\mathbf{V}}(K)})$  and  $L \subseteq \iota_{\mathbf{V}}^{-1}(\iota_{\mathbf{V}}(L))$ .  $\square$

- ▶ Note that, while  $\overline{\Omega}_A \mathbf{V}$  is in general uncountable, by Theorem 5.9 it has only countably many clopen subsets, since there are only that many  $\mathbf{V}$ -recognizable subsets of  $A^+$  (for instance since they are all recognized by finite automata).
- ▶ An idea due to Pin and Reutenauer [PR91] in the case of the pseudovariety  $\mathbf{G}$  of all finite groups is to somehow “compute” the closure of  $\iota_{\mathbf{V}}(L)$  not in  $\overline{\Omega}_A \mathbf{G}$  but in the free group  $\Omega_A^{\kappa} \mathbf{G}$ , or even in  $A^+$ .
- ▶ Under the assumption of a conjectured property for the pseudovariety  $\mathbf{G}$ , they produced an algorithm for computing the required closure, which solves our problem for  $\mathbf{G}$ .
- ▶ We proceed to introduce the required property in general, returning later to their algorithm.

- ▶ For a subset  $L$  of  $A^+$ , denote by  $\text{cl}_{\sigma, \mathbf{V}}(L)$  and  $\text{cl}_{\mathbf{V}}(L)$  respectively the closure of  $\iota_{\mathbf{V}}(L)$  in  $\Omega_A^\sigma \mathbf{V}$  and in  $\overline{\Omega}_A \mathbf{V}$ .
- ▶ Note that  $\text{cl}_{\sigma, \mathbf{V}}(L) = \text{cl}_{\mathbf{V}}(L) \cap \Omega_A^\sigma \mathbf{V}$ .
- ▶ Denote by  $\rho_{\mathbf{V}}$  the natural continuous homomorphism  $\overline{\Omega}_A \mathbf{S} \rightarrow \overline{\Omega}_A \mathbf{V}$ .
- ▶ Since  $\overline{\Omega}_A \mathbf{S}$  is compact and  $\rho_{\mathbf{V}}$  is an onto continuous mapping, we always have the equality  $\text{cl}_{\mathbf{V}}(L) = \rho_{\mathbf{V}}(\text{cl}_{\mathbf{S}}(L))$ .
  - ▶ In general, for a continuous function  $f : S \rightarrow T$ , and a subset  $X$  of  $S$ , we have  $f(\overline{X}) \subseteq \overline{f(X)}$ . The reverse inclusion also holds if  $f$  is onto and  $S$  is compact.
- ▶ We say that the pseudovariety  $\mathbf{V}$  is  $\sigma$ -full if, for every regular language  $L \subseteq A^+$ , the following equality holds:

$$\text{cl}_{\sigma, \mathbf{V}}(L) = \rho_{\mathbf{V}}(\text{cl}_{\sigma, \mathbf{S}}(L)).$$

In other words, membership of  $w \in \Omega_A^\sigma \mathbf{V}$  in  $\text{cl}_{\sigma, \mathbf{V}}(L)$  is witnessed by some  $w' \in \text{cl}_{\sigma, \mathbf{S}}(L)$  such that  $\rho_{\mathbf{V}}(w') = w$ .

## Examples:

- ▶ The pseudovariety **N** is  $\kappa$ -full: for a regular language  $L \subseteq A^+$  and a  $\kappa$ -term  $w$ ,  $w_{\mathbf{N}} \in \text{cl}_{\kappa, \mathbf{N}}(L)$  if and only if  $w$  is a word from  $L$  or  $w$  involves the operation  $_{-}^{\omega-1}$  and  $L$  is infinite; in the latter case, by compactness there is some  $\kappa$ -term  $v$  such that  $v_{\mathbf{S}} \in \text{cl}_{\kappa, \mathbf{S}}(L) \setminus A^+$  and so  $w_{\mathbf{N}} = 0 = p_{\mathbf{N}}(v_{\mathbf{S}})$ .
- ▶ That the pseudovariety **J** is  $\kappa$ -full follows from the structure theorem for  $\overline{\Omega}_A \mathbf{J}$ .
- ▶ The pseudovariety **G** is  $\kappa$ -full: the essential ingredient is a seminal theorem of Ash [Ash91]; the details follow from [AS00] and [Del01].
- ▶ The pseudovariety **Ab** is  $\kappa$ -full [Del01].

- ▶ The pseudovariety  $\mathbf{G}_p$  is not  $\kappa$ -full: this follows from a weak version of Ash's theorem proved by Steinberg [Ste01] for  $\mathbf{G}_p$  together with fact that the conjunction of this weaker property with  $\kappa$ -fullness implies that the pseudovariety is defined by pseudoidentities in which both sides are given by  $\kappa$ -terms [AS00]; however, such a definition does not exist since, by a theorem of Baumslag [Bau65], the free group is residually a finite  $p$ -group.
- ▶ That the pseudovarieties  $\mathbf{A}$  and  $\mathbf{R}$  are  $\kappa$ -full has been proved by JA-JCCosta-MZeitoun using the solution of the word problems for  $\Omega_A^\kappa \mathbf{A}$  [McC01]<sup>4</sup> and  $\Omega_A^\kappa \mathbf{R}$  [AZ07].

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<sup>4</sup>plus refinements from an alternative proof obtained by the same authors including the fact that  $\Omega_A^\kappa \mathbf{A}$  is closed for taking factors in  $\overline{\Omega_A \mathbf{A}}$ .

$\sigma$ -FULLNESS

PRO-**V**-METRICS

- ▶ The same way we defined a pseudo-ultrametric on the free semigroup  $A^+$  associated with a pseudovariety  $\mathbf{V}$ , we may define a pseudo-ultrametric on an arbitrary semigroup  $S$ : let

$$d(s_1, s_2) = 2^{-r(s_1, s_2)},$$

where  $r(s_1, s_2)$  is the smallest cardinality of a semigroup  $T \in \mathbf{V}$  for which there is a homomorphism  $\varphi : S \rightarrow T$  such that  $\varphi(s_1) \neq \varphi(s_2)$ .

- ▶ Similar arguments show that  $d$  is indeed a pseudo-ultrametric on  $S$ , with respect to which the multiplication in  $S$  is uniformly continuous. If  $S$  is finitely generated, then the completion  $\hat{S}$  is again a pro- $\mathbf{V}$  semigroup, but it may not be a free pro- $\mathbf{V}$  semigroup.
- ▶ The pseudo-ultrametric  $d$  is an ultrametric if and only if  $S$  is residually in  $\mathbf{V}$ .
- ▶ Every homomorphism  $S \rightarrow T$  into  $T \in \mathbf{V}$  is uniformly continuous.

# PRO-**H** METRIC ON GROUPS

- ▶ Traditionally, one denotes by **H** an arbitrary pseudovariety of groups.
- ▶ Because a group is highly symmetrical, the pro-**H** metric structure looks similar everywhere.

## LEMMA 11.1

*Let  $G$  be a group and consider the pro-**H** metric on  $G$ . Then, for every  $u, v, w \in G$ , the equalities  $d(uw, vw) = d(u, v) = d(wu, wv)$  hold. In particular, for  $\epsilon > 0$ , we have  $B_\epsilon(u) = uB_\epsilon(1) = B_\epsilon(1)u$  and a subset  $X$  is open (respectively closed) if and only if so is  $Xw$ . Moreover, for  $\epsilon > 0$ , the ball  $B_\epsilon(1)$  is a clopen normal subgroup of  $G$  such that  $G/B_\epsilon(1) \in \mathbf{H}$ . A subgroup  $H$  is open if and only if it contains some open ball  $B_\epsilon(1)$ .*

## PROOF.

This is a simple exercise. □



- ▶ For a subgroup  $H$  of a group  $G$ , denote by  $H_G$  the largest normal subgroup of  $G$  which is contained in  $H$ . It is given by the formula

$$H_G = \bigcap_{g \in G} g^{-1}Hg.$$

- ▶ If we let  $G$  act on the set of right cosets of  $H$  in  $G$  by right translation, then we obtain a homomorphism  $\varphi : G \rightarrow S_{G/H}$  into the full symmetric group  $S_{G/H}$  (of all permutations of the set  $G/H$ ) such that  $\varphi^{-1}(\text{id}) = H_G$ .
- ▶ It follows that, if the index  $(G : H)$  of the subgroup  $H$  in  $G$  is finite, then so is  $(G : H_G)$  and  $(G : H_G)$  is a divisor of  $(G : H)!$ .

## LEMMA 11.2

A subgroup  $H$  of  $G$  is (cl)open in the pro- $\mathbf{H}$  metric if and only if  $G/H_G \in \mathbf{H}$ .

## PROOF.

Suppose first that  $H$  is open. By Lemma 11.1,  $H$  contains a normal subgroup  $K$  of  $G$  such that  $G/K \in \mathbf{H}$ . Then  $K \subseteq H_G$  and so  $G/H_G \simeq (G/K)/(H_G/K)$  belongs to  $\mathbf{H}$ . Conversely, if  $G/H_G \in \mathbf{H}$  then  $H_G$  is an open set, because the natural homomorphism  $G \rightarrow G/H_G$  is (uniformly) continuous. Since  $H$  contains  $H_G$ ,  $H$  is a union of cosets of  $H_G$ , and so is its complement. Hence  $H$  is clopen. □

- ▶ Another natural question is whether, for a subgroup  $H$  of  $G$ , the intersection with  $H$  of an open subset of  $G$  in the pro- $\mathbf{H}$  metric of  $G$  is also open in the pro- $\mathbf{H}$  metric of  $H$ .
- ▶ In general, the answer is negative, but there are important situations in which it is affirmative.

### EXAMPLE 11.3

Let  $G$  be the free group on two free generators  $a, b$  and consider the homomorphism  $\varphi : G \rightarrow S_3$  defined by  $\varphi(a) = (12)$  and  $\varphi(b) = (13)$ . Let  $K = \varphi^{-1}(1)$  and let  $H = \varphi^{-1}\langle(123)\rangle$  be the inverse image of the subgroup of index 2. Then  $H$  is clopen in the pro- $\mathbf{Ab}$  metric of  $G$  and  $K$  is clopen in the pro- $\mathbf{Ab}$  metric of  $H$  but  $K$  is not clopen in the pro- $\mathbf{Ab}$  metric of  $G$ .

- ▶ Note that, for pseudovarieties of groups  $\mathbf{K}$  and  $\mathbf{H}$ ,  $\mathbf{K} * \mathbf{H}$  consists of all groups  $G$  which have a normal subgroup  $K$  such that both  $K \in \mathbf{K}$  and  $G/K \in \mathbf{H}$ .<sup>5</sup>
- ▶ If  $\mathbf{H} * \mathbf{H} = \mathbf{H}$ , then we say that  $\mathbf{H}$  is **closed under extension**.
- ▶ A condition for the answer to the above question to be affirmative is drawn from the following result.

#### LEMMA 11.4

*Let  $H$  be a clopen subgroup of  $G$  in the pro- $\mathbf{H}$  metric of  $G$  and suppose that  $U$  is a normal subgroup of  $H$  such that  $H/U \in \mathbf{H}$ . Then the normal subgroup  $U_G$  of  $G$  is such that  $G/U_G \in \mathbf{H} * \mathbf{H}$ .*

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<sup>5</sup>For those unfamiliar with semidirect products, take this as the definition of  $\mathbf{K} * \mathbf{H}$  and show that it is a pseudovariety of groups.

## PROOF.

Consider also the normal subgroup  $H_G$  and let  $g \in G$ . By Lemma 11.2,  $G/H_G$  belongs to  $\mathbf{H}$ . For each  $x \in H_G$ , the conjugate  $g x g^{-1}$  belongs to  $H$  and so the mapping  $\varphi_g : H_G \rightarrow H/U$  which sends  $x$  to  $g x g^{-1} U$  is a group homomorphism. Moreover, for  $x \in H_G$ , we have

$$\begin{aligned}x \in U_G &\Leftrightarrow x \in g^{-1} U g \text{ for all } g \in G \\&\Leftrightarrow g x g^{-1} \in U \text{ for all } g \in G \\&\Leftrightarrow \varphi_g(x) = 1 \text{ for all } g \in G.\end{aligned}$$

It follows that  $H_G/U_G$  embeds in a finite power of  $H/U$  and so  $H_G/U_G \in \mathbf{H}$ . The result now follows from the observation that  $G/H_G \simeq (G/U_G)/(H_G/U_G)$ . □

- ▶ A first application of the preceding lemma is the following answer to the above question.

### PROPOSITION 11.5

*Suppose that  $\mathbf{H}$  is closed under extension. Let  $H$  be a clopen subgroup of  $G$  in the pro- $\mathbf{H}$  metric of  $G$ . Then a subset of  $H$  is open in the pro- $\mathbf{H}$  metric of  $H$  if and only if it is open in the pro- $\mathbf{H}$  metric of  $G$ .*

### PROOF.

By Lemma 11.1, a subgroup  $L$  of  $H$  is open in the pro- $\mathbf{H}$  metric of  $H$  if and only if it contains a normal subgroup  $U$  of  $H$  such that  $H/U \in \mathbf{H}$ . By Lemma 11.4, the normal subgroup  $U_G$  of  $G$  is such that  $U/U_G \in \mathbf{H} * \mathbf{H} = \mathbf{H}$ . Hence  $U$  is open in the pro- $\mathbf{H}$  metric of  $G$  by Lemma 11.2. Since  $L$  is a union of cosets of  $U$ ,  $L$  is also open in the pro- $\mathbf{H}$  metric of  $G$ . □

- ▶ In terms of the pro- $\mathbf{H}$  metrics, we obtain the following more precise result.

### PROPOSITION 11.6

*Suppose that  $\mathbf{H}$  is closed under extension and  $G$  is a group residually in  $\mathbf{H}$ . Let  $H$  be a clopen subgroup of  $G$  in the pro- $\mathbf{H}$  metric of  $G$ . Then the pro- $\mathbf{H}$  metric  $d_H$  of  $H$  and the restriction to  $H$  of the pro- $\mathbf{H}$  metric  $d_G$  of  $G$  have the same Cauchy sequences.*

## PROOF.

Let  $d$  be the restriction of  $d_G$  to  $H$  and let  $r$  be the corresponding partial function  $H \times H \rightarrow \mathbb{N}$ . Denote by  $d'$  the pseudo-metric  $d_H$  and by  $r'$  the corresponding partial function. We start by establishing the following function inequalities:

$$r' \leq r \leq ((G : H) \cdot r')!. \quad (1)$$

The first inequality in (1) follows from the observation that, if a homomorphism from  $G$  into a member of  $\mathbf{H}$  distinguishes two elements of  $H$  then its restriction to  $H$  also distinguishes them. Suppose next that  $u, v \in H$  and the homomorphism  $\varphi : H \rightarrow K$  with  $K \in \mathbf{H}$  are such that  $\varphi(u) \neq \varphi(v)$ . Let  $U = \varphi^{-1}(1)$ . Then  $H/U$  embeds in  $K$  and, therefore, it belongs to  $\mathbf{H}$ . By Lemma 11.4,  $U_G$  is a normal subgroup of  $G$  of finite index such that  $G/U_G \in \mathbf{H} * \mathbf{H} = \mathbf{H}$  and, by an earlier observation,  $(G : U_G)$  divides  $(G : U)!$ . If we choose above  $K$  so that  $|K|$  is minimum, then  $(H : U) = r'(u, v)$  and so, since  $uU_G \neq vU_G$ ,

$$r(u, v) \leq (G : U_G) \leq (G : U)! = ((G : H) \cdot (H : U))! = ((G : H) \cdot r'(u, v))!$$

which proves (1).



...

From the first inequality in (1) we deduce that every Cauchy sequence with respect to  $d'$  is also a Cauchy sequence with respect to  $d$ . For the converse, let  $f(n) = ((G : H) \cdot n)!$ . Then  $f$  is an increasing sequence and a simple calculation shows that, for every  $\varepsilon > 0$ ,

$$d \leq 2^{-f(\lceil -\log_2 \varepsilon \rceil)} \implies d' \leq \varepsilon.$$

This implies that Cauchy sequences for  $d$  are also Cauchy sequences for  $d'$ . □

# FREE PRODUCTS

- ▶ A **free product** in a variety  $\mathcal{V}$  of semigroups is given by two homomorphisms  $\varphi_i : S_i \rightarrow F$  ( $i = 1, 2$ ), with  $S_1, S_2, F \in \mathcal{V}$  such that, given any other pair of homomorphisms  $\psi_i : S_i \rightarrow T$ , with  $T \in \mathcal{V}$ , there exists a unique homomorphism  $\theta : F \rightarrow T$  such that the following diagram commutes:

$$\begin{array}{ccc} F & \xleftarrow{\varphi_1} & S_1 \\ \varphi_2 \uparrow & \searrow \theta & \downarrow \psi_1 \\ S_2 & \xrightarrow{\psi_2} & T \end{array}$$

- ▶ By the usual argument, if the free product exists, then it is unique up to isomorphism.

## EXERCISE 11.7

Show that, for every variety  $\mathcal{V}$  and semigroups  $S_1, S_2 \in \mathcal{V}$ , the free product of  $S_1$  and  $S_2$  in  $\mathcal{V}$  exists.

- ▶ For semigroups  $S$  and  $T$  in a variety  $\mathcal{V}$ , we say that  $S$  is a **free factor** of  $T$  if there exists  $U \in \mathcal{V}$  such that  $T$  is a free product of  $S$  and  $U$  in  $\mathcal{V}$ . Note that every semigroup is a free factor of itself.

## EXERCISE 11.8

Suppose that  $S$  is a free factor of  $T$  in the variety  $\mathcal{V}$  generated by a pseudovariety  $\mathbf{V}$ . Show that:

1. the pseudo-metric  $d_{\mathbf{V}}^S$  and the restriction of the pseudo-metric  $d_{\mathbf{V}}^T$  to  $S$  coincide;
2. the open sets in pro- $\mathbf{V}$  metric of  $S$  are the intersection with  $S$  of the open sets of  $T$  in the pro- $\mathbf{V}$  metric of  $T$ .

## Section 12

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