

# Is Silicon Age Coming to an End...?

**Claudiu V. Falub**

**Laboratory for Solid State Physics**

**ETH Zürich, Switzerland**

[cfalub@phys.ethz.ch](mailto:cfalub@phys.ethz.ch)



# END OF THE WORLD 2012

16 days until December 21, 2012



- 2010- , ETHZ, Zürich (CH)  
Senior Research Scientist



- 2007-2010, EMPA, Dübendorf (CH)  
Research Scientist



- 2005-2007, EPFL, Lausanne (CH)  
Scientific Collaborator



- 2002-2005, PSI, Villigen (CH)  
Postdoctoral Scientist



- 1998-2002, TU-Delft, Delft (NL)  
*2002 PhD*  
Research Assistant and PhD student



- 1996-1998, NIRDIMT, Cluj-Napoca (RO)  
Research Assistant



- 1995-1996, EPFL, Lausanne (CH)  
Grant from European Physical Society



- 1990-1995 “Babeş-Bolyai” Univ, Cluj-Napoca (RO)  
*1995 Engineer in Physics*

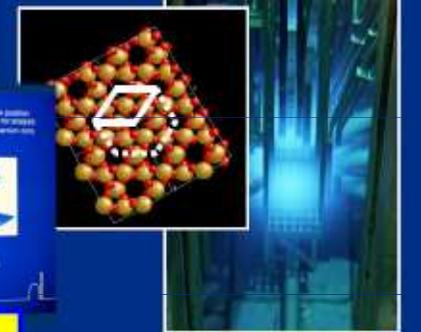
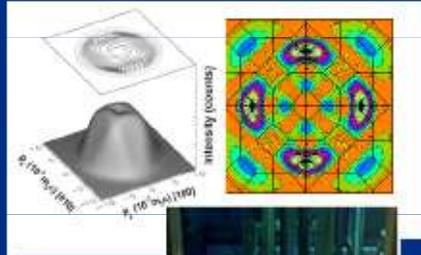
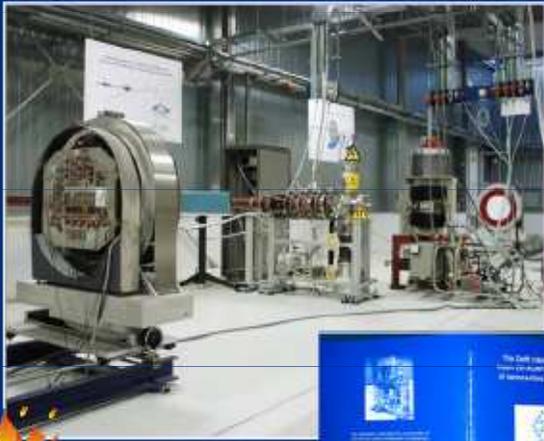


Victor Babeş – *one of the earliest bacteriologists*  
(19<sup>th</sup> century)

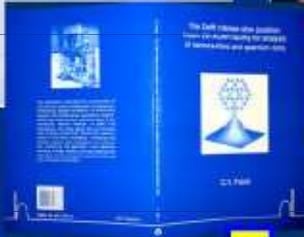
Janos Bolyai – *one of the founders of non-euclidian geometry*  
(19<sup>th</sup> century)



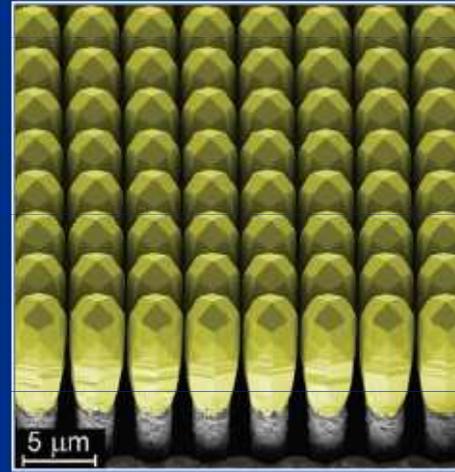
# Positron Annihilation 2D-ACAR



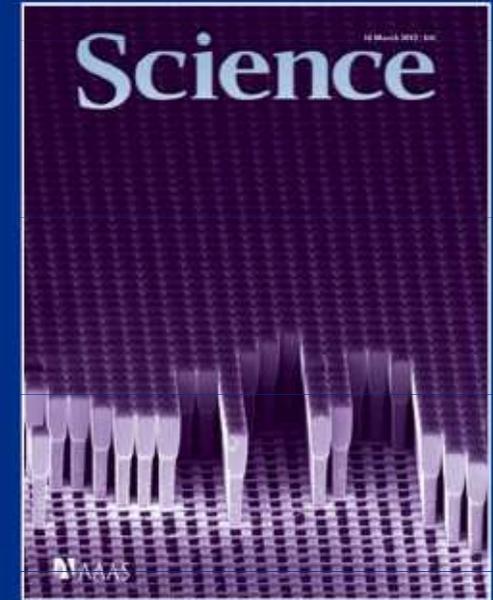
**TU Delft**  
Delft University of Technology



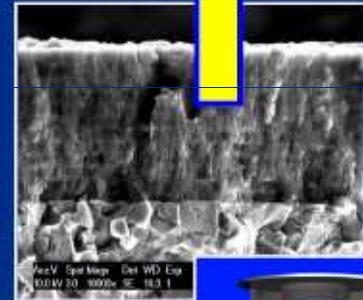
# Si/Ge Heteroepitaxy



**ETH**  
Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich



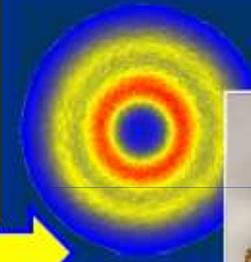
**PSI**  
PAUL SCHERRER INSTITUT



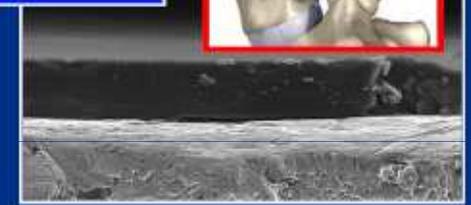
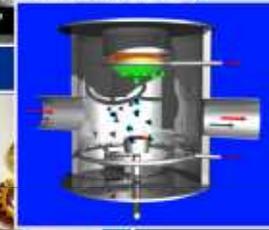
**EMPA**  
PROTECTIVE  
COATINGS



**LET THERE BE  
LIGHT ...**



**EPFL**  
ÉCOLE POLYTECHNIQUE  
FÉDÉRALE DE LAUSANNE



Laboratory for **Academic Ranking of World Universities in Natural Sciences and Mathematics - 2012**

PHYSICS OF N

<http://www.>

World Rank	Institution	Country /Region	Total Score
1	Harvard University		100
2	University of California, Berkeley		95.6
3	Princeton University		93
4	California Institute of Technology		92.8
5	Massachusetts Institute of Technology (MIT)		91
6	University of Cambridge		90.5
7	Stanford University		90.2
8	Swiss Federal Institute of Technology Zurich		74.7
9	The University of Tokyo		73.1
10	University of California, Los Angeles		72.8
11	Columbia University		71.6
12	University of Colorado at Boulder		71.4
12	University of Oxford		71.4
14	Cornell University		69

von  
el

nd Time

# 21 Nobel Prize Laureates of ETH Zürich

**W.K. Röntgen**

Physics 1901



**A. Werner**

Chemistry 1913



**R. Willstätter**

Chemistry 1915



**F. Haber**

Chemistry 1918



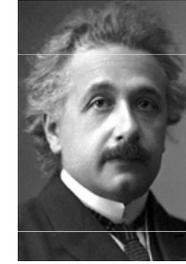
**C.-E. Guilleme**

Physics 1918



**A. Einstein**

Physics 1921



**P. Debye**

Chemistry 1936



**R. Kuhn**

Chemistry 1938



**L. Ruzicka**

Chemistry 1938



**O. Stern**

Physics 1943



**W. Pauli**

Physics 1945



**T. Reichstein**

Medicine 1950



**F. Bloch**

Physics 1952



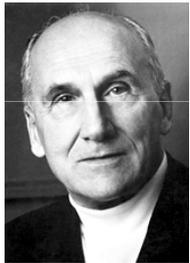
**H. Staudinger**

Chemistry 1953



**V. Prelog**

Chemistry 1975



**W. Arber**

Medicine 1978



**H. Rohrer**

Physics 1986



**G. Bednorz**

Physics 1987



**A. Müller**

Physics 1987



**R. Ernst**

Chemistry 1991



**K. Wüthrich**

Chemistry 2002



**10 Chemistry, 9 Physics, 2 Medicine**

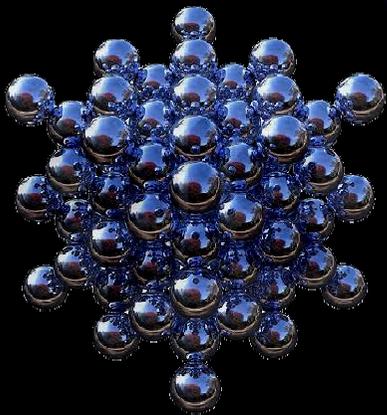
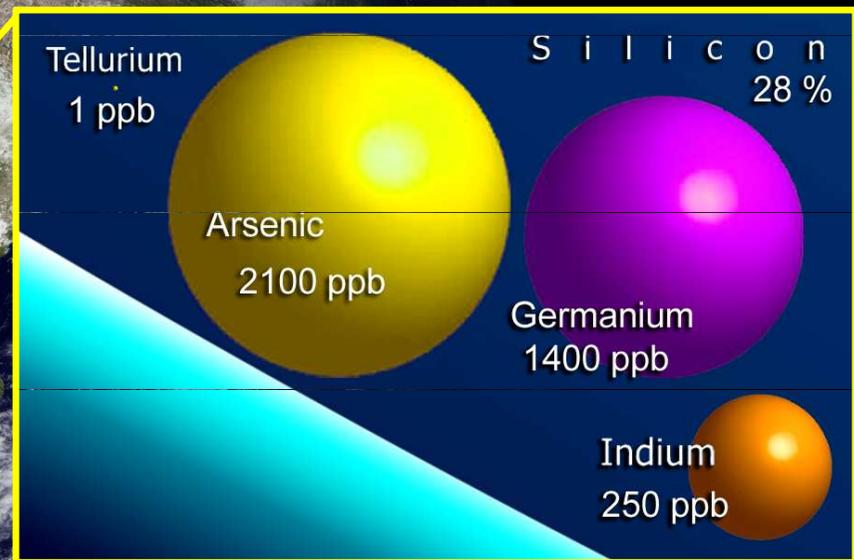
# The Age of Silicon



# Why Silicon?

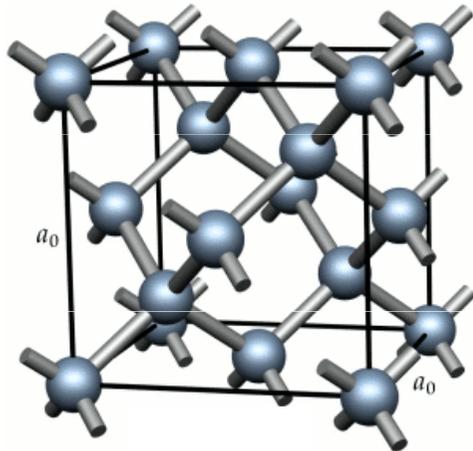
- abundant
- cheap

- well-known to mankind  
( $\text{SiO}_2$ : sand, glass)

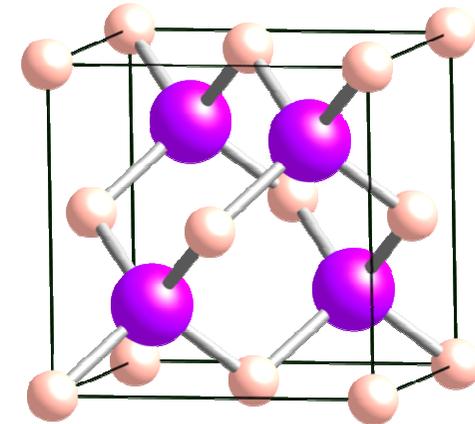
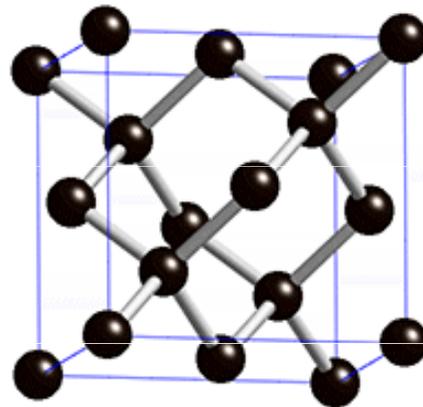


- amazing mechanical, chemical and electronical properties

# Crystal Structures

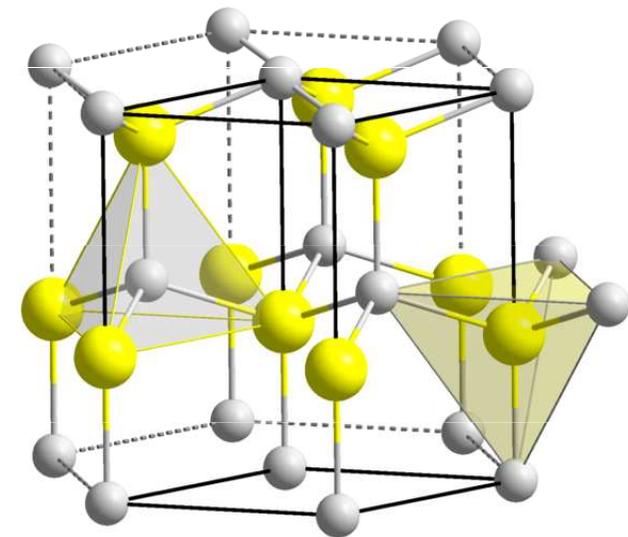


**Diamond Structure**  
(Si, Ge)



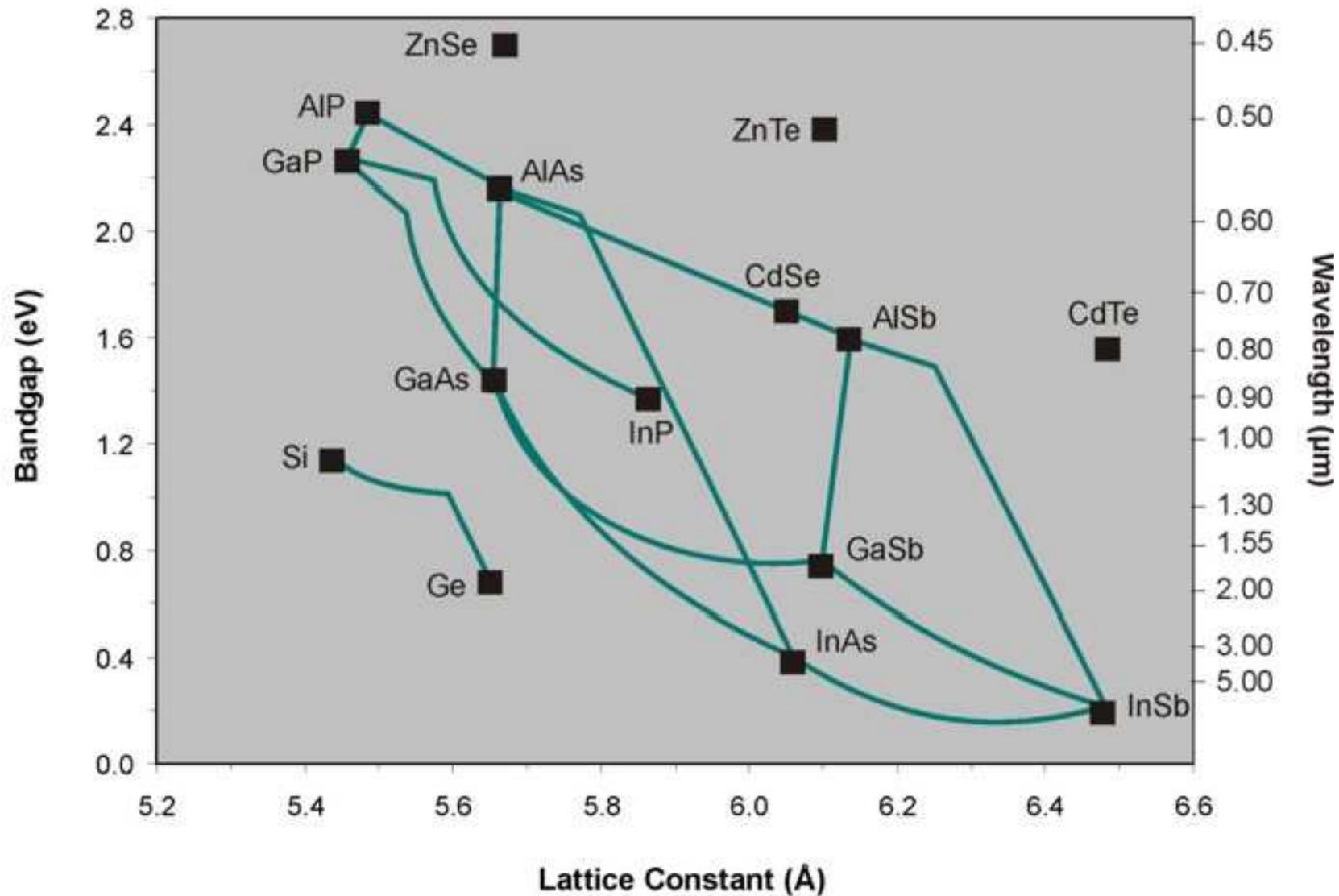
**Zincblende Structure**  
(GaAs, InP, GaP, etc. )

I	II	III	IV	V	VI	VII
	Be	B	C	N	O	F
	Mg	Al	Si	P	S	Cl
Cu	Zn	Ga	Ge	As	Se	Br
Ag	Cd	In	Sn	Sb	Te	I
	Hg	Tl	Pb	Bi		

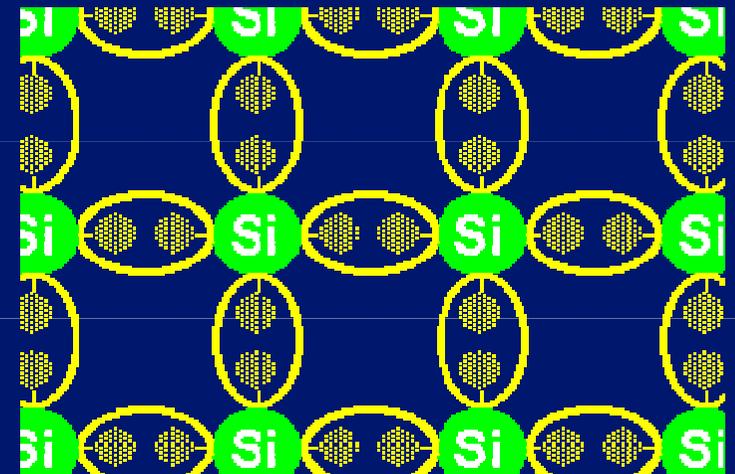
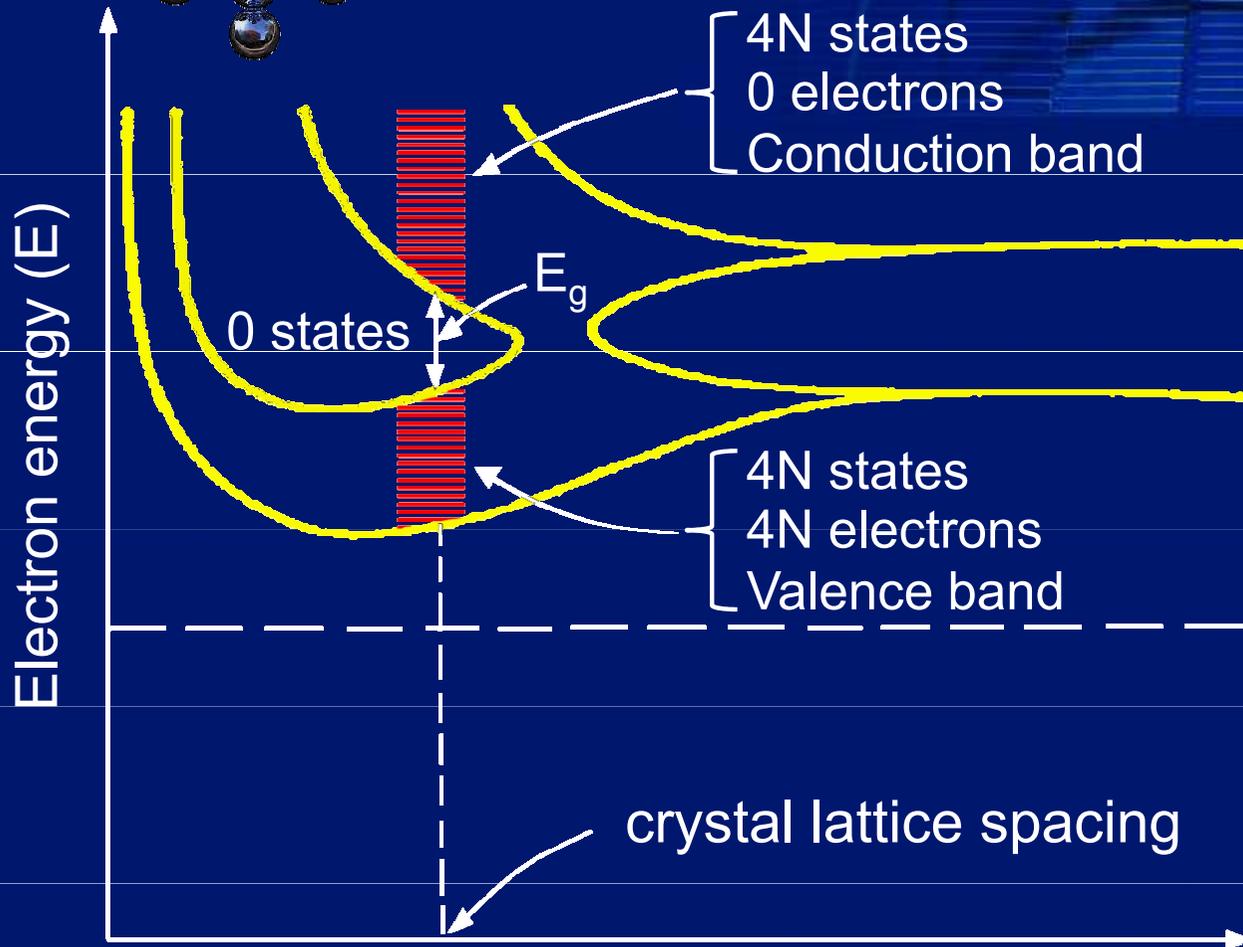
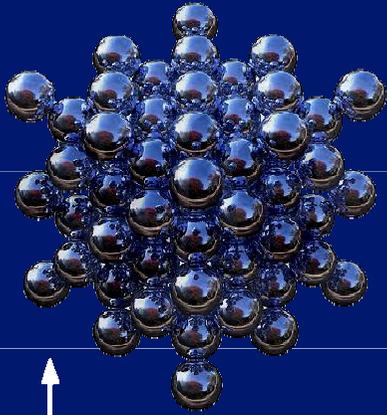


**Wurtzite Structure**  
(GaN, AlN, CdS, BN, etc.)

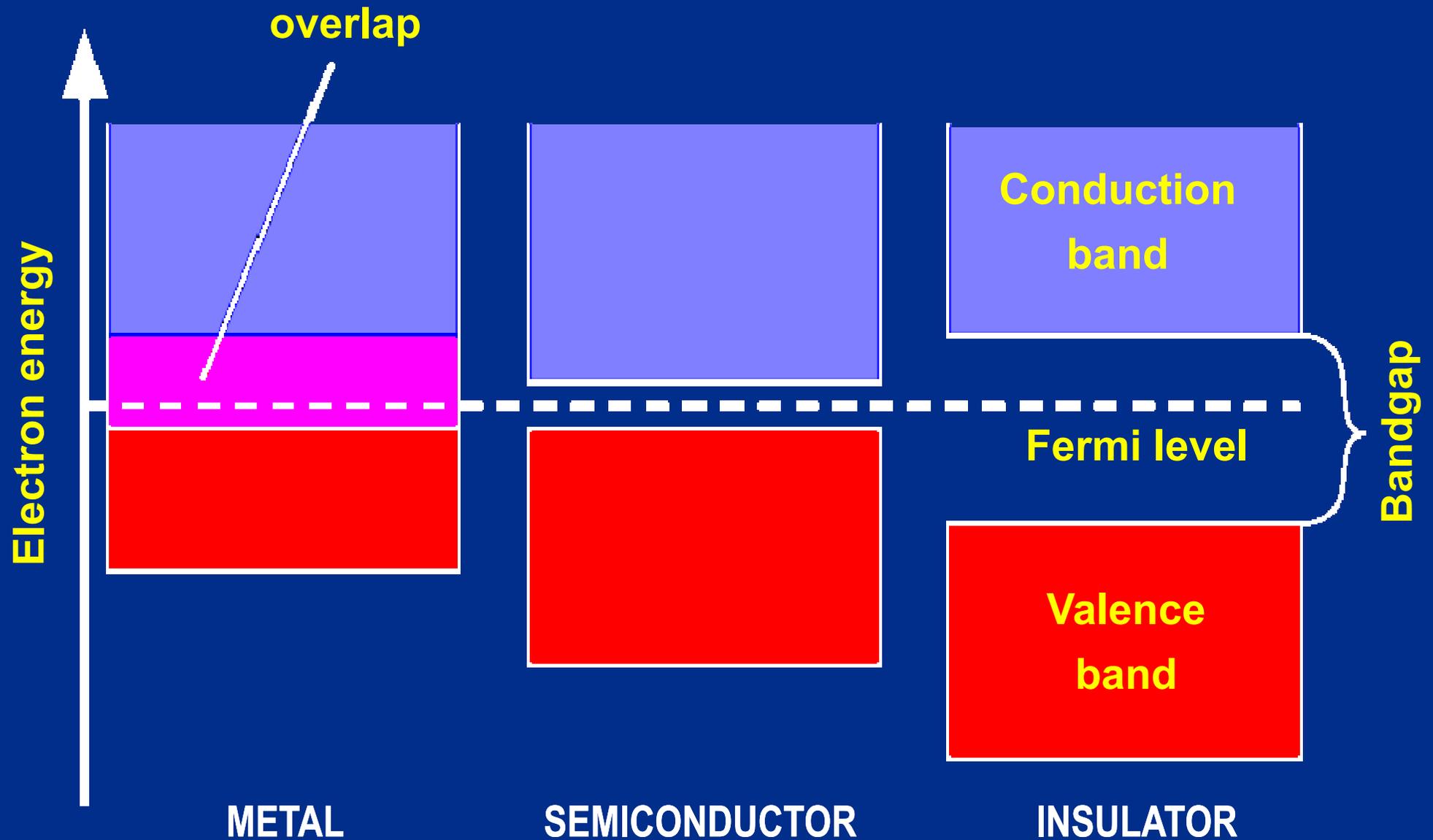
# Tetrahedrally Bonded Semiconductors



# From Atomic Levels to Band Structures

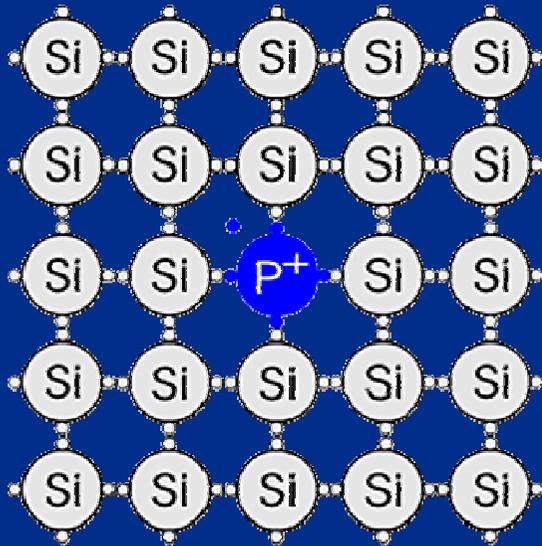


# From Band Structures to Different Materials

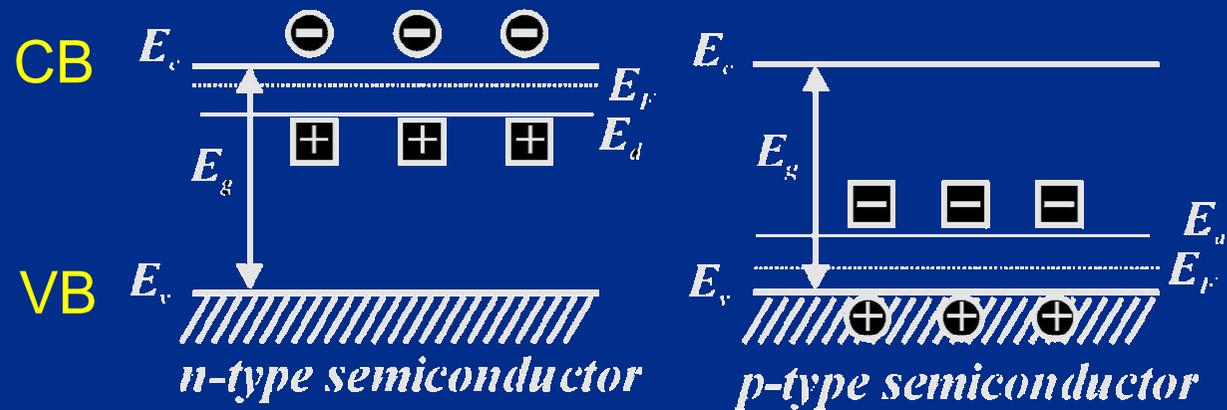
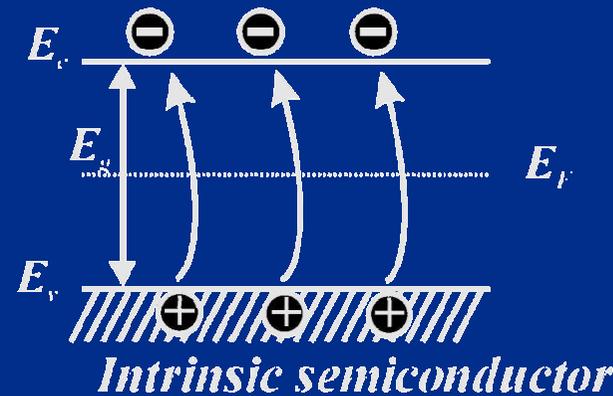
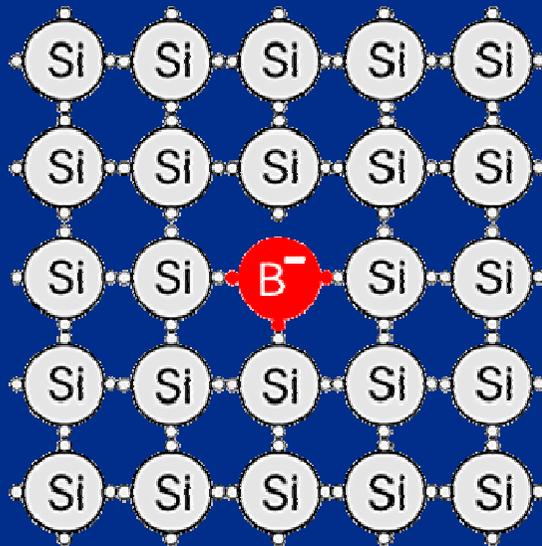


# Semiconductor Doping

## n-type semiconductor



## p-type semiconductor



- ⊖ Electrons in CB (mobile)
- ⊕ Holes in VB (mobile)
- ⊕ Positive ions (immobile donors)
- ⊖ Negative ions (immobile acceptors)

# Transistor Effect

J. Bardeen, W. Shockley,  
W. Brattain (1947, Bell Labs)

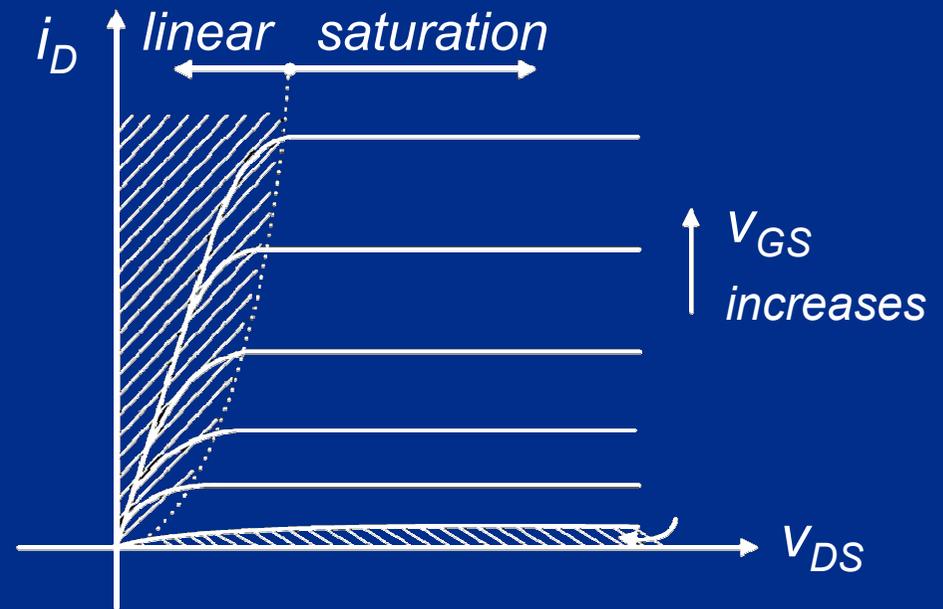
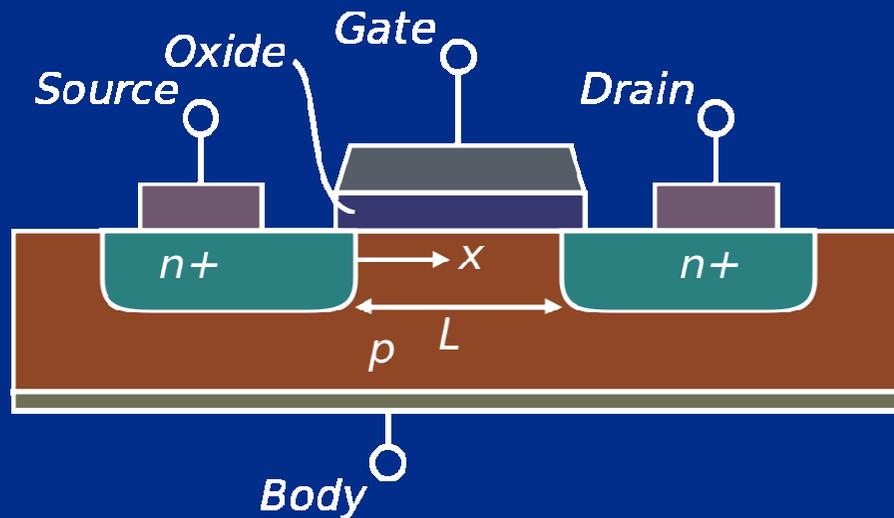
Nobel: 1956



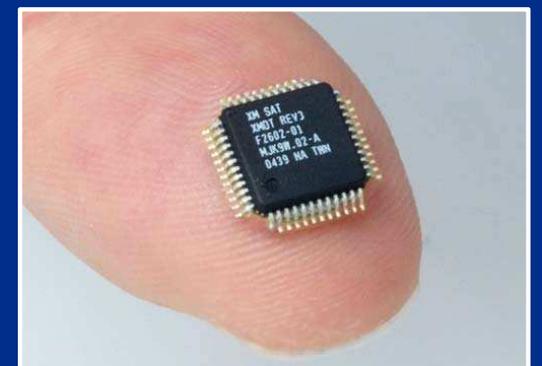
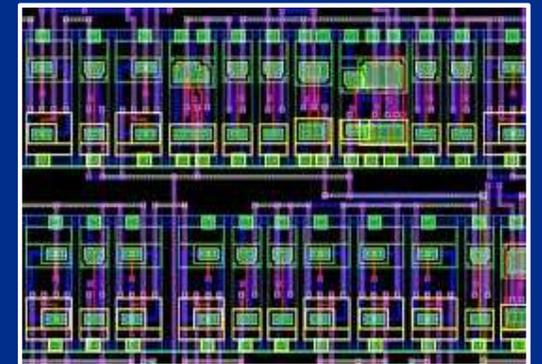
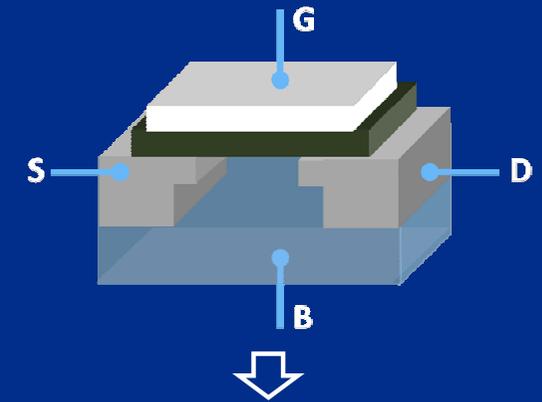
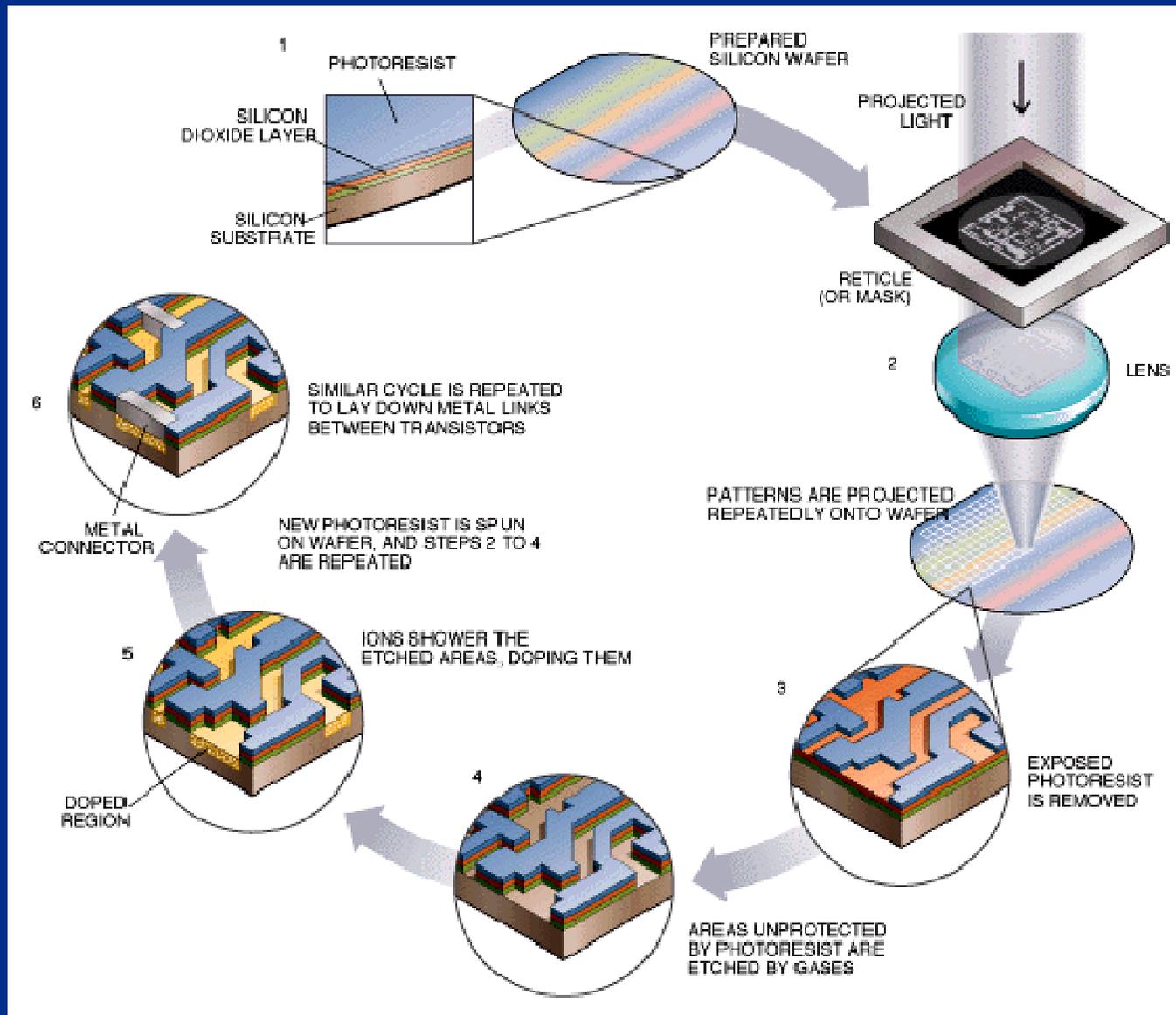
Ge Transistor



## nMOSFET



# From Transistor to CMOS Devices



# Extending Moore's Law beyond Silicon

A. Geim K. Novoselov

(Nobel: 2010)



Graphene

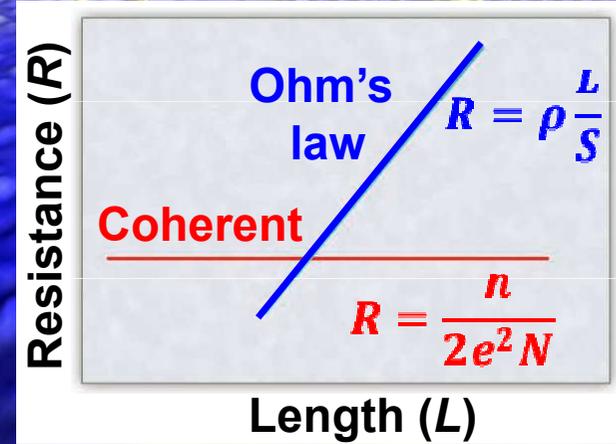


SCIENCE 335, 64 (2012)  
"Ohm's law survives to the Atomic Scale"



M. Kako

M. Simmons et al.



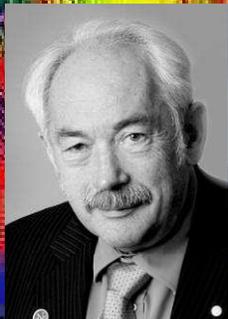
"Moore's law will collapse"

BREAKTHROUGH

Spintronics

A. Fert P. Grünberg

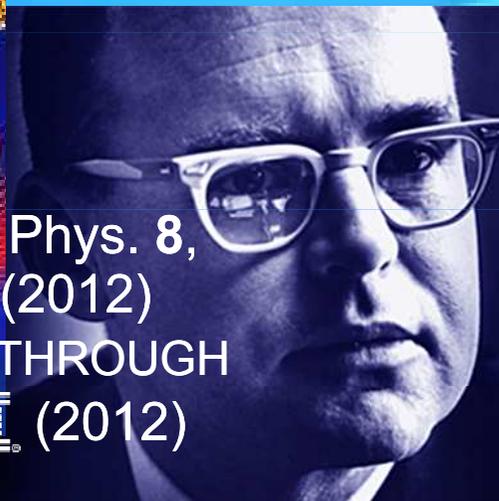
(Nobel: 2007)



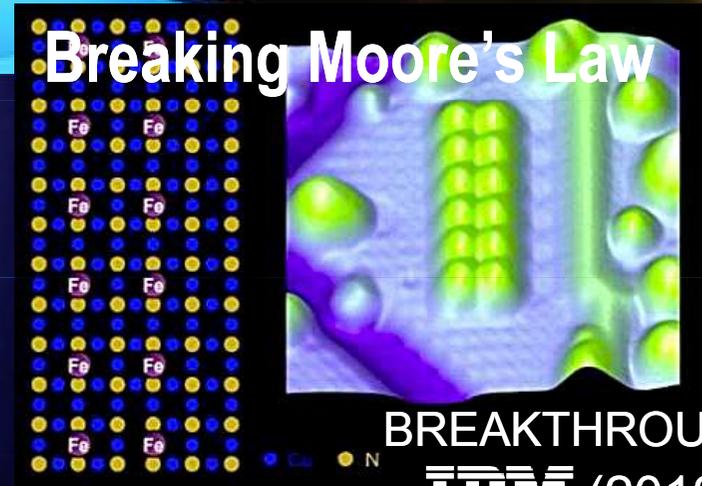
Nature Phys. 8, 757 (2012)

BREAKTHROUGH

IBM (2012)



Breaking Moore's Law

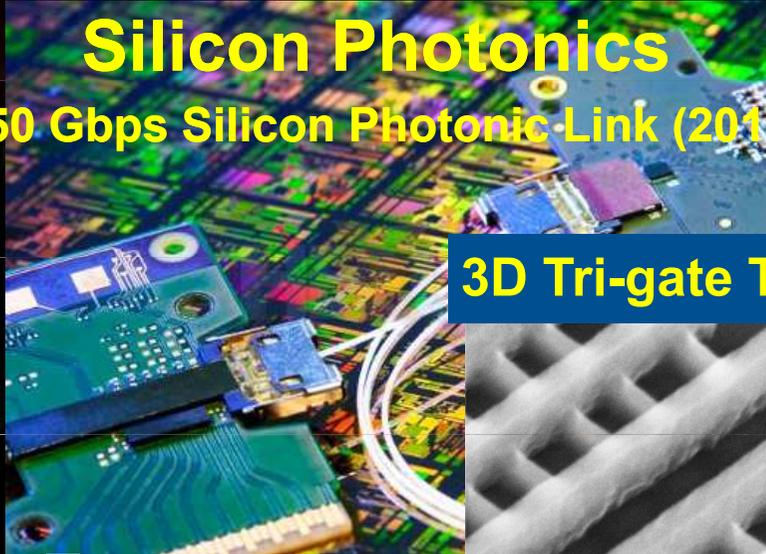


BREAKTHROUGH

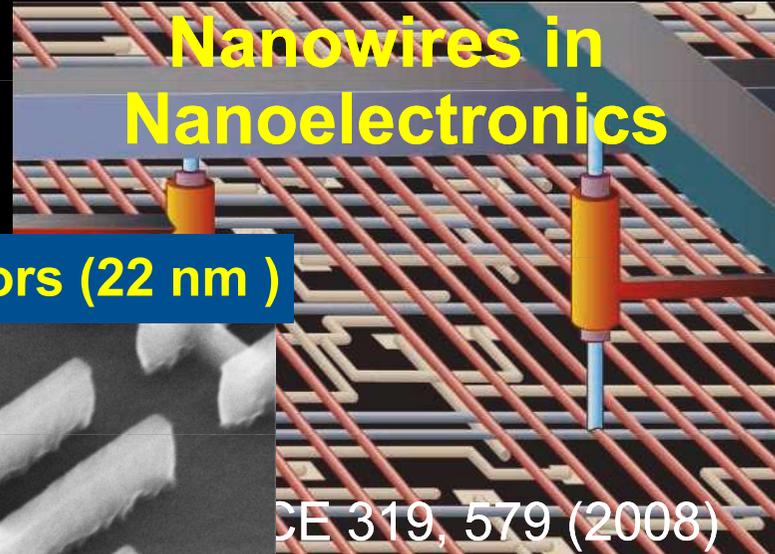
IBM (2012)

# Silicon Photonics

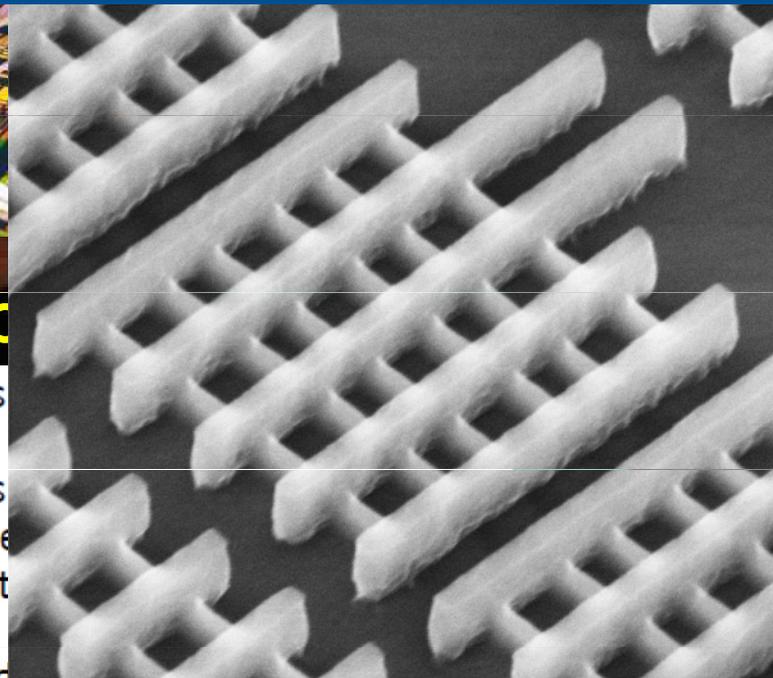
50 Gbps Silicon Photonic Link (2010)



# Nanowires in Nanoelectronics



## 3D Tri-gate Transistors (22 nm)



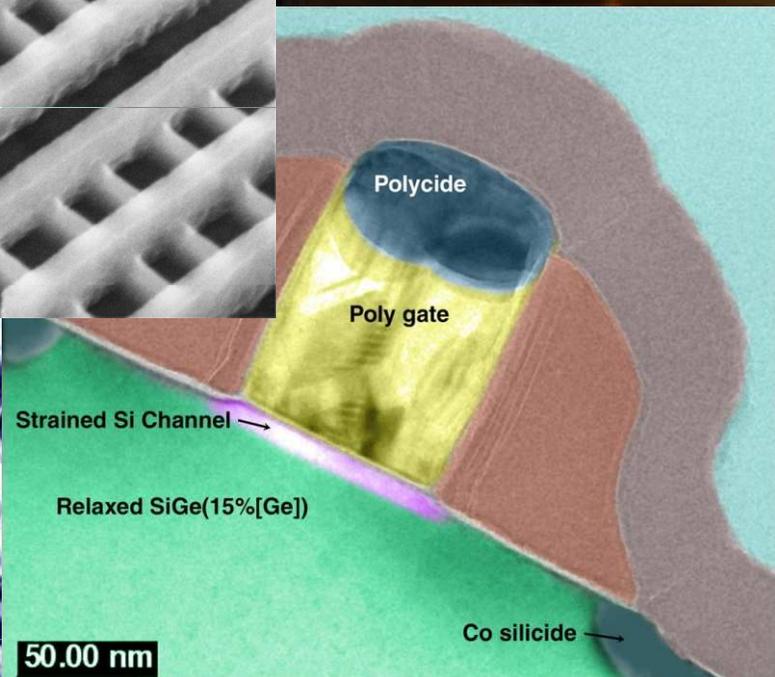
CE 319, 579 (2008)



## BREAKTHROUGHS

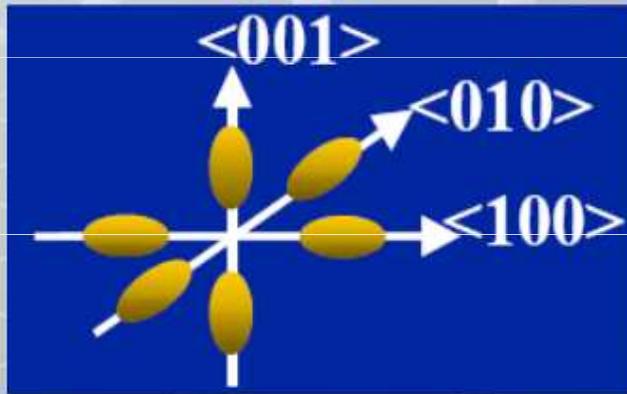
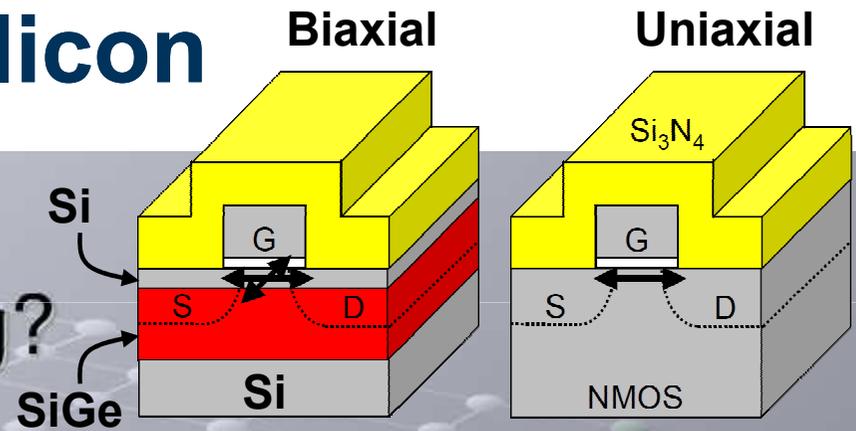
- February 2004: world's first silicon modulator
- February 2005: world's first wave silicon Raman laser
- April 2005: world's first silicon modulator
- September 2006: world's first hybrid silicon laser
- July 2007: world's first 40 Gbps silicon modulator
- August 2007: world's first 40 Gbps PIN photodetector
- December 2008: world's first 340 GHz Gain\*BW avalanche photodetector (APD)

## Silicon (90 → 32 nm)



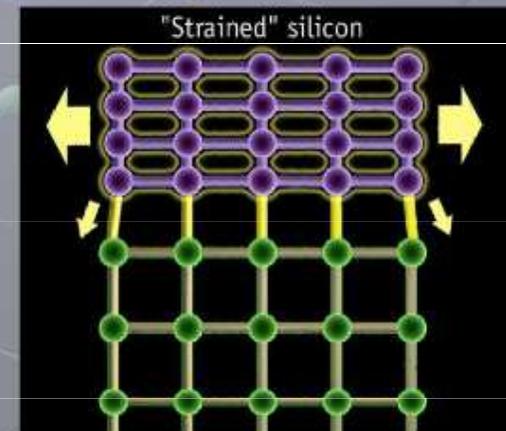
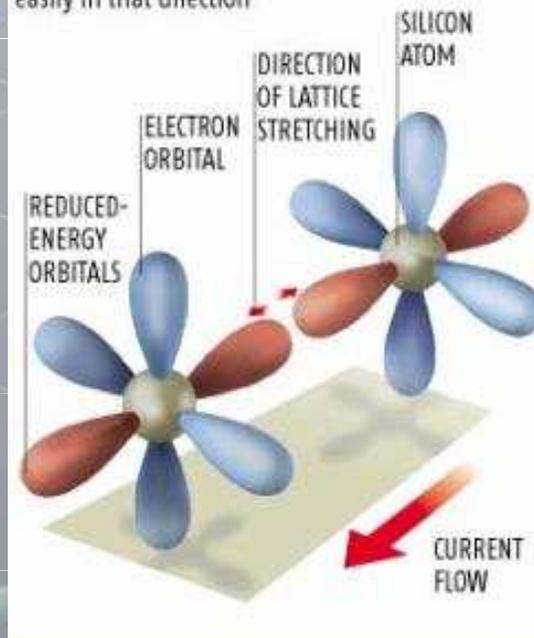
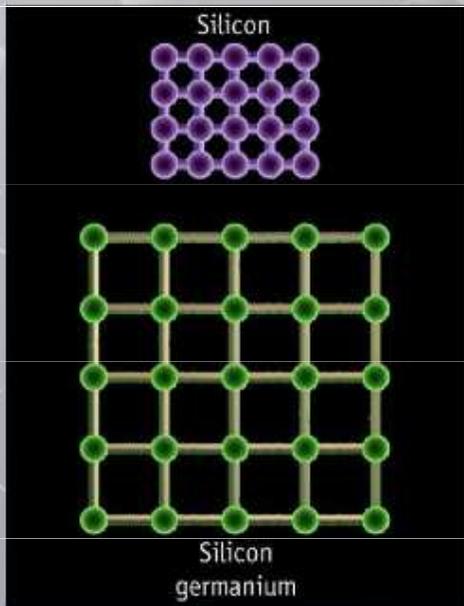
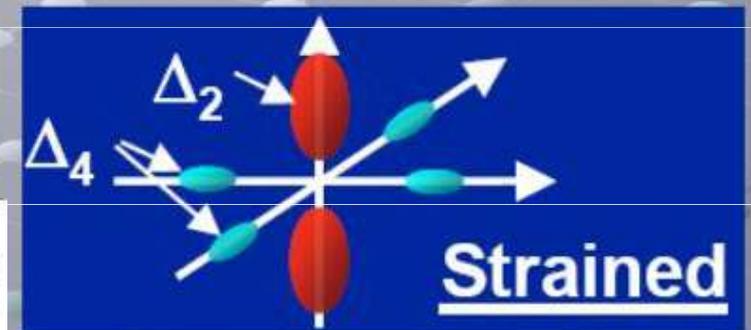
# Strained Silicon

● So, what is actually happening?



## FASTER CHIPS

Stretching the silicon lattice reduces the energy of certain orbitals, allowing electrons to move more easily in that direction



# International Roadmap for Semiconductors

More than Moore: Diversification

Analog/RF

Passives

HV  
Power

Sensors  
Actuators

Biochips

More Moore: Miniaturization

Baseline CMOS: CPU, Memory, Logic

130nm

90nm

65nm

45nm

32nm

22nm

...

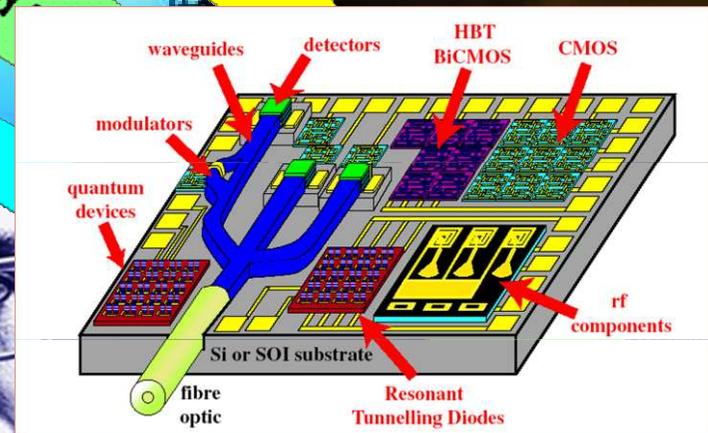
Interacting with people and environment

Non-digital content  
System-in-package  
(SiP)

Combining SoC and

Information  
Processing

Digital content  
System-on-chip  
(SoC)

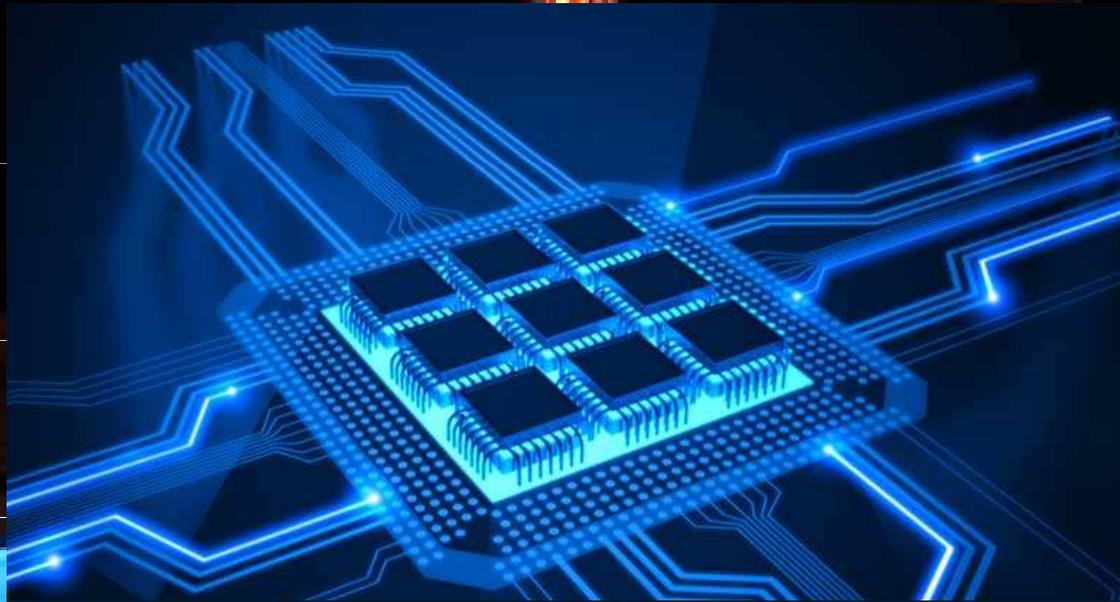


Beyond CMOS

"The integrated silicon chip or system-on-a-chip of the future"



# Extending Moore's Law beyond Silicon



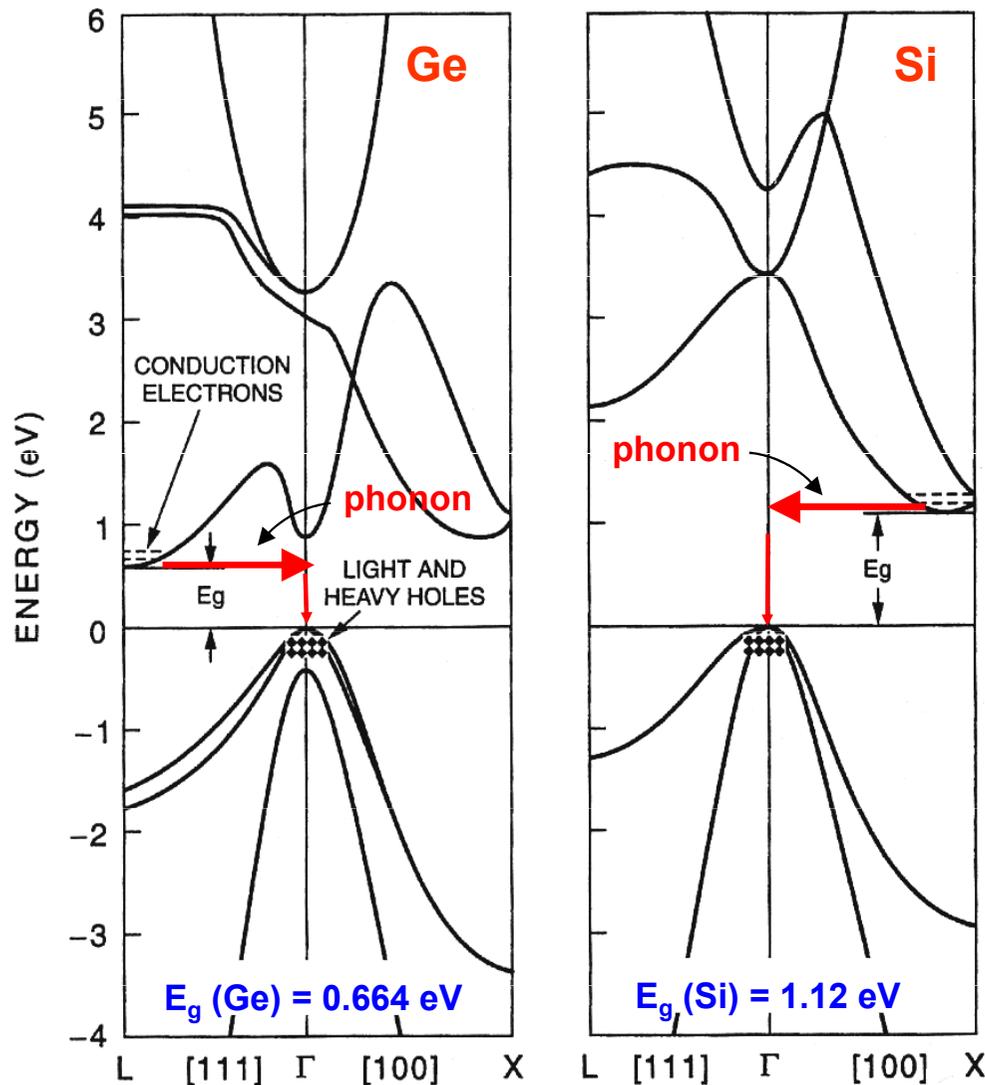
Extending Si technology to other semiconducting materials with optical and electrical properties beyond Si.



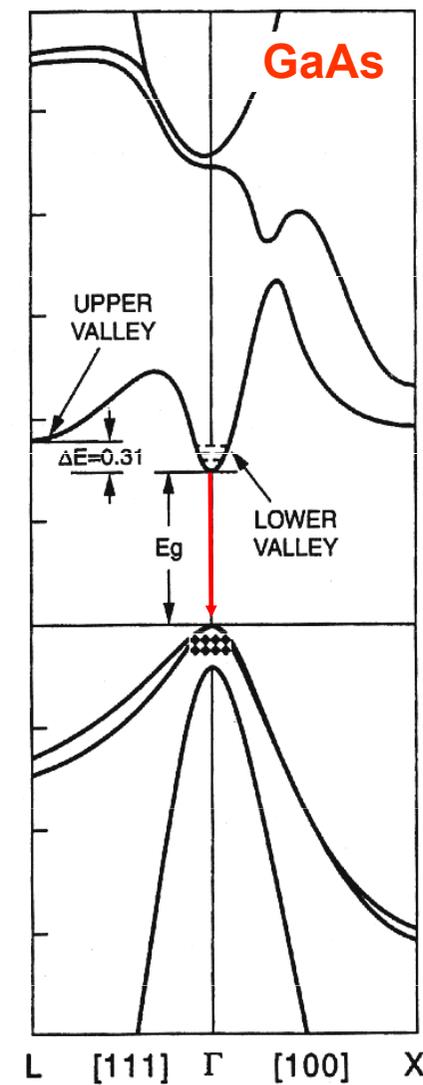
# From Microelectronics to Optoelectronics

**Si: Inefficient at emitting light** (interband transitions involve momentum transfer, i.e., the heat-generating process for electron-hole recombination dominates)

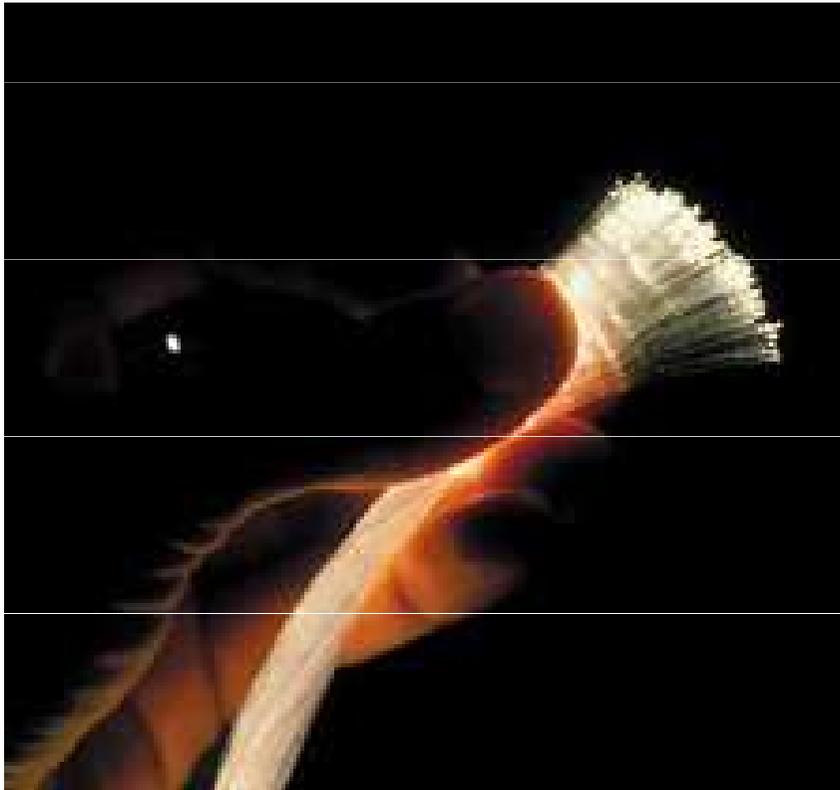
*Indirect bandgap semiconductor*



*Direct bandgap semiconductor*



# Squeeze Light out of Silicon



## Interband

- Ge-dots
- Er-doped Si
- Short period superlattices
- Porous Si
- Si nanocrystals
- Strained Si

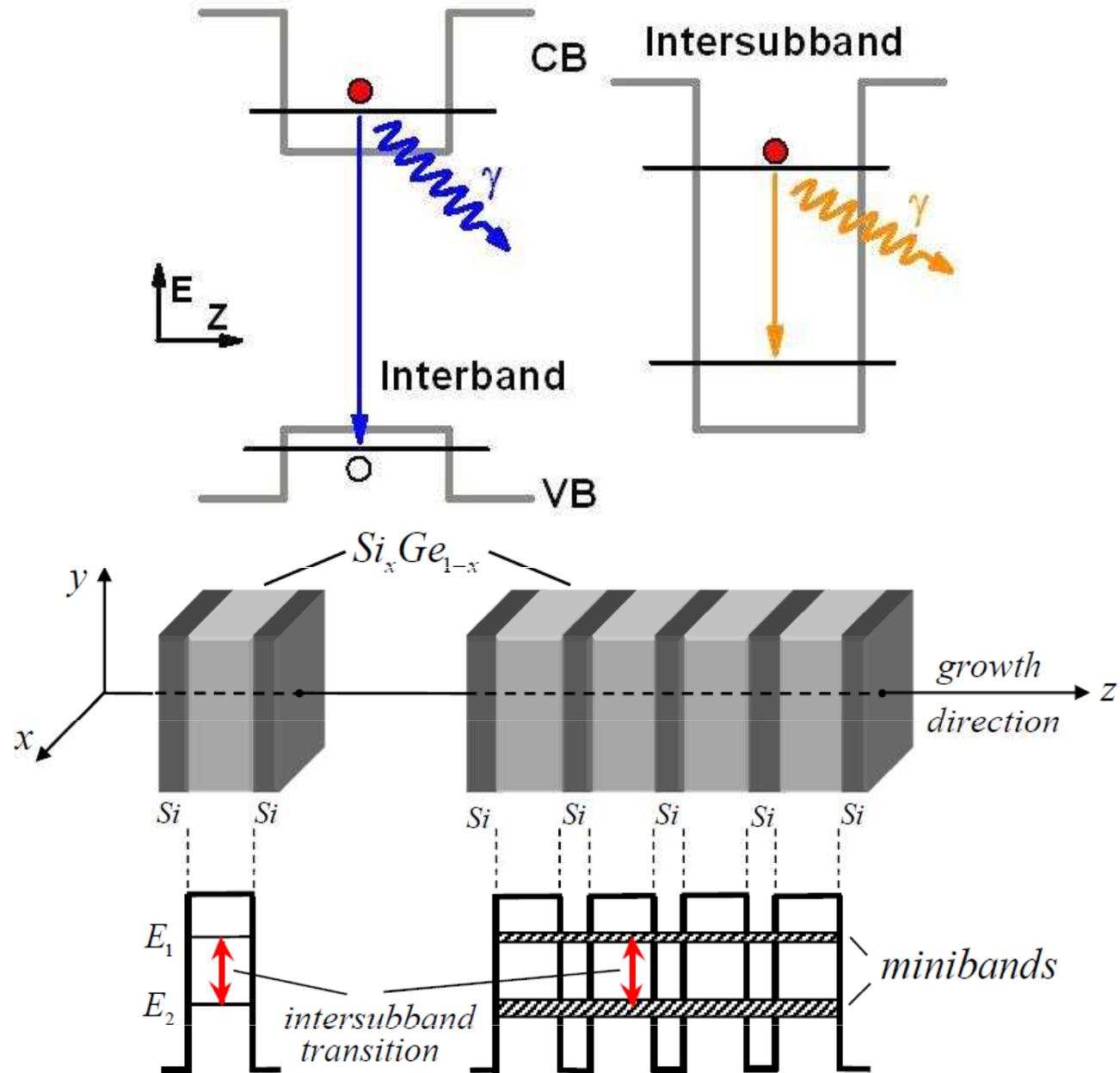
## Intersubband

- Quantum Cascade Laser (QCL)

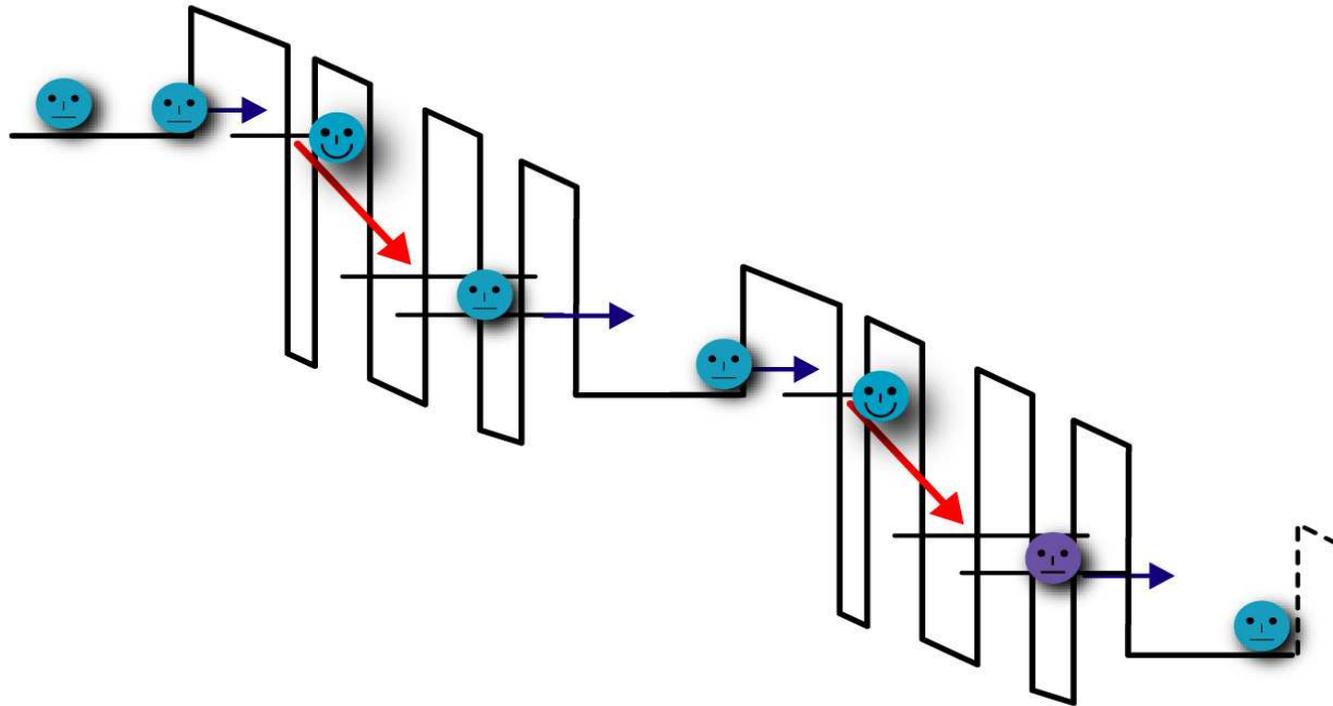
*“A silicon laser would revolutionize telecommunications, electronics and computing. Squeezing light out of silicon is no easy task, but researchers are becoming more optimistic about its light-emitting abilities.”*

*Nature* **409**, 974 - 976 (2001)

# Interband vs. Intersubband Transitions



# Quantum Cascade Laser (QCL)



Cascade: Multiple repetition of active region

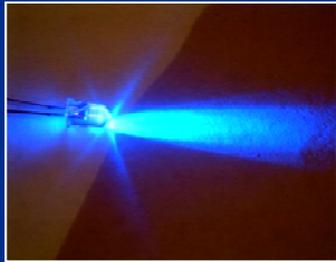
➡ Multiple photons from one electron

**Theoretical prediction:** R.F. Kazarinov and R.A. Suris, *Fiz. Tekh. Poluvrodvn.* **5**, 797-800 (1971)

**Design for III-V compounds (InGaAs/InAlAs):** J. Faist et al., *Science* **264**, 533 (1994).

# Bridging Optoelectronics and Microelectronics

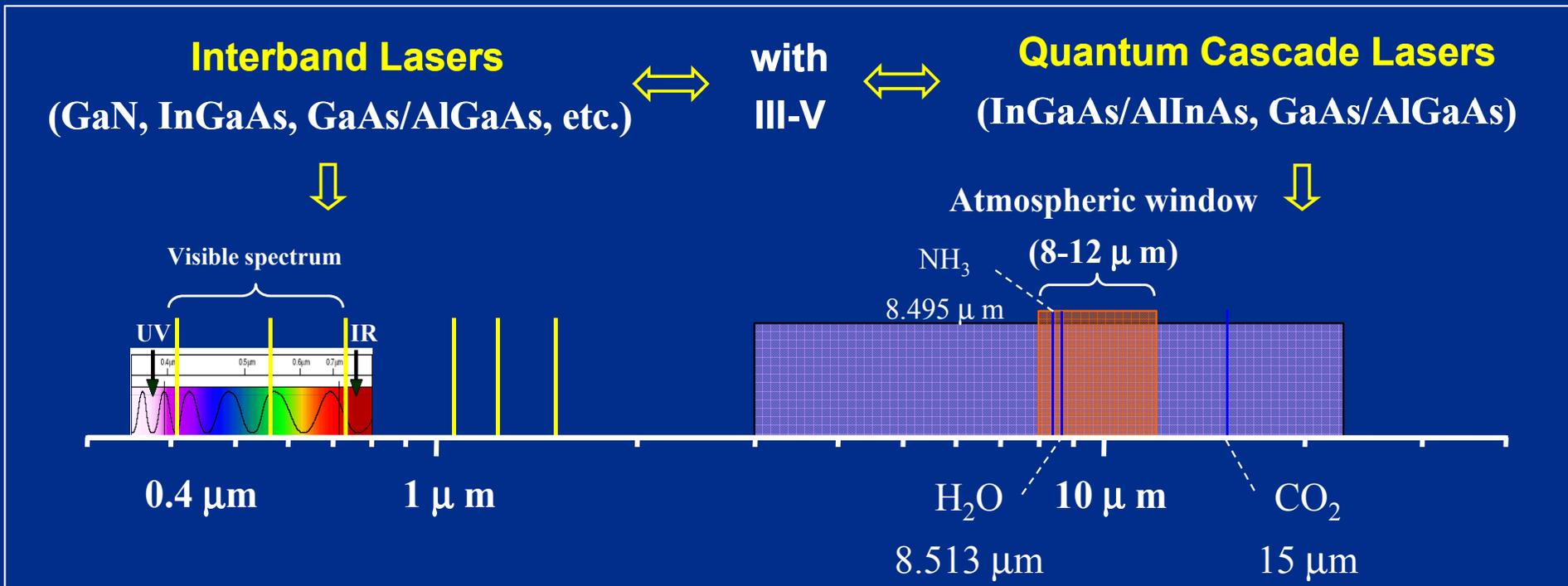
OPTO ↔ ELECTRONICS



III-V material



Silicon

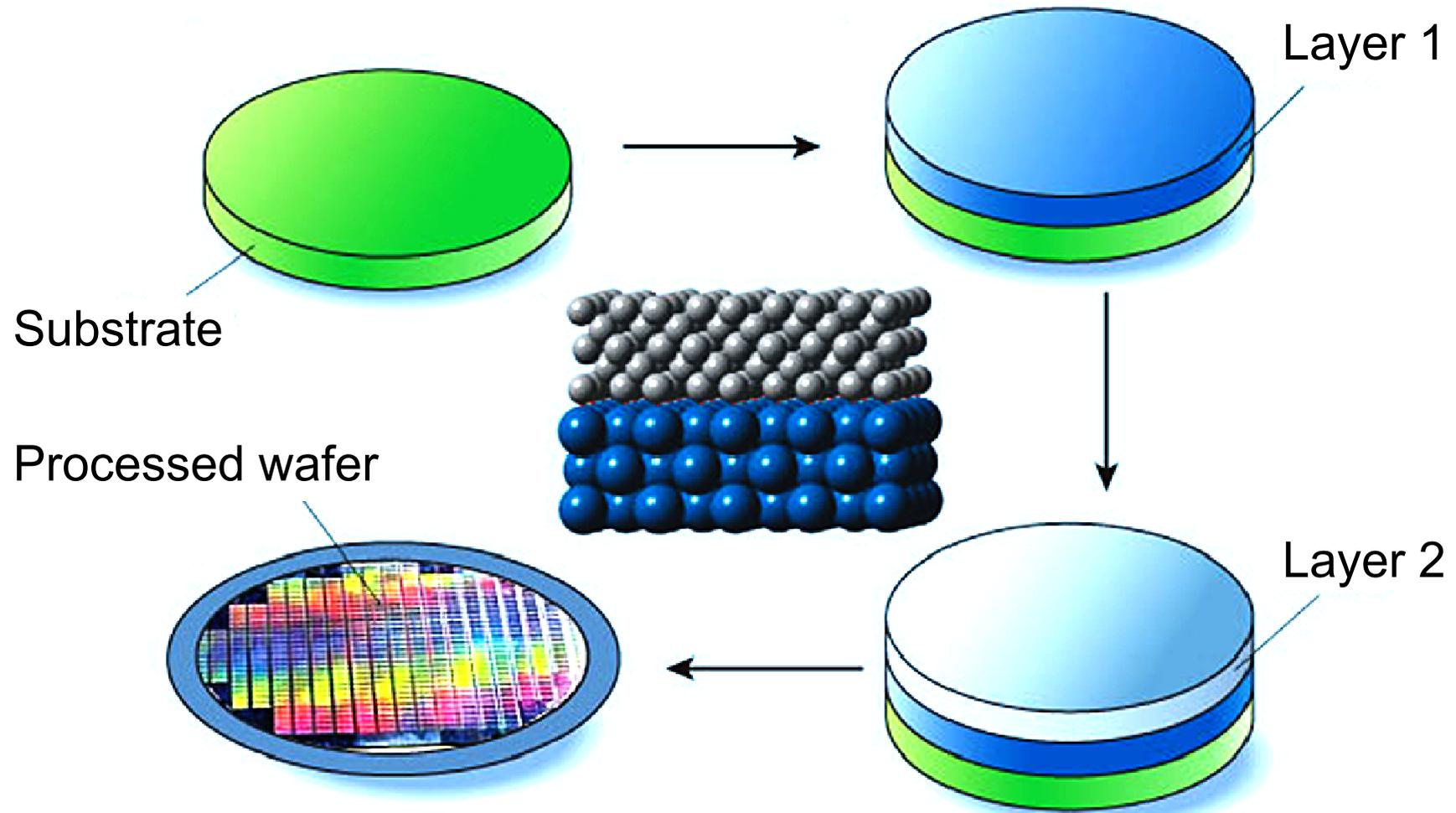


**Not possible**  
(indirect bandgap material)

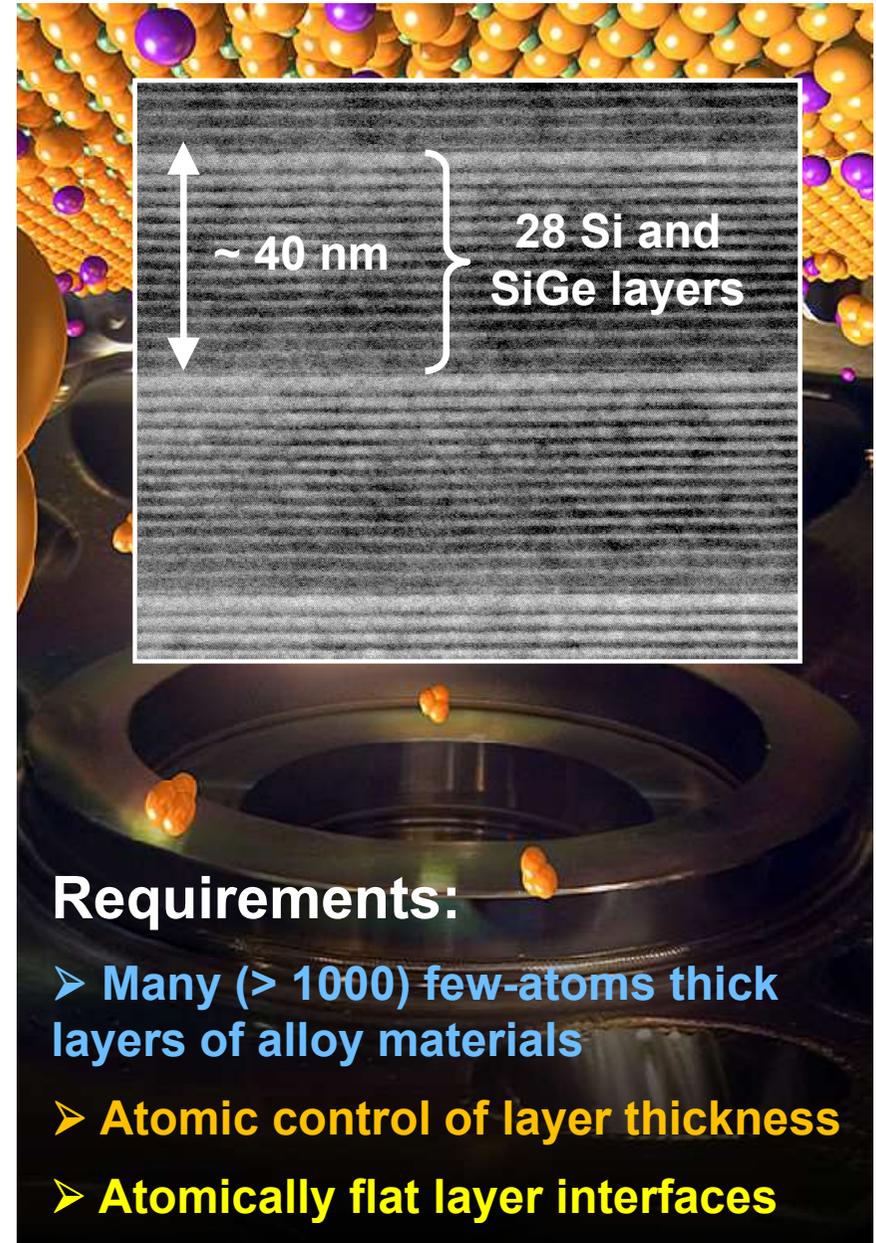
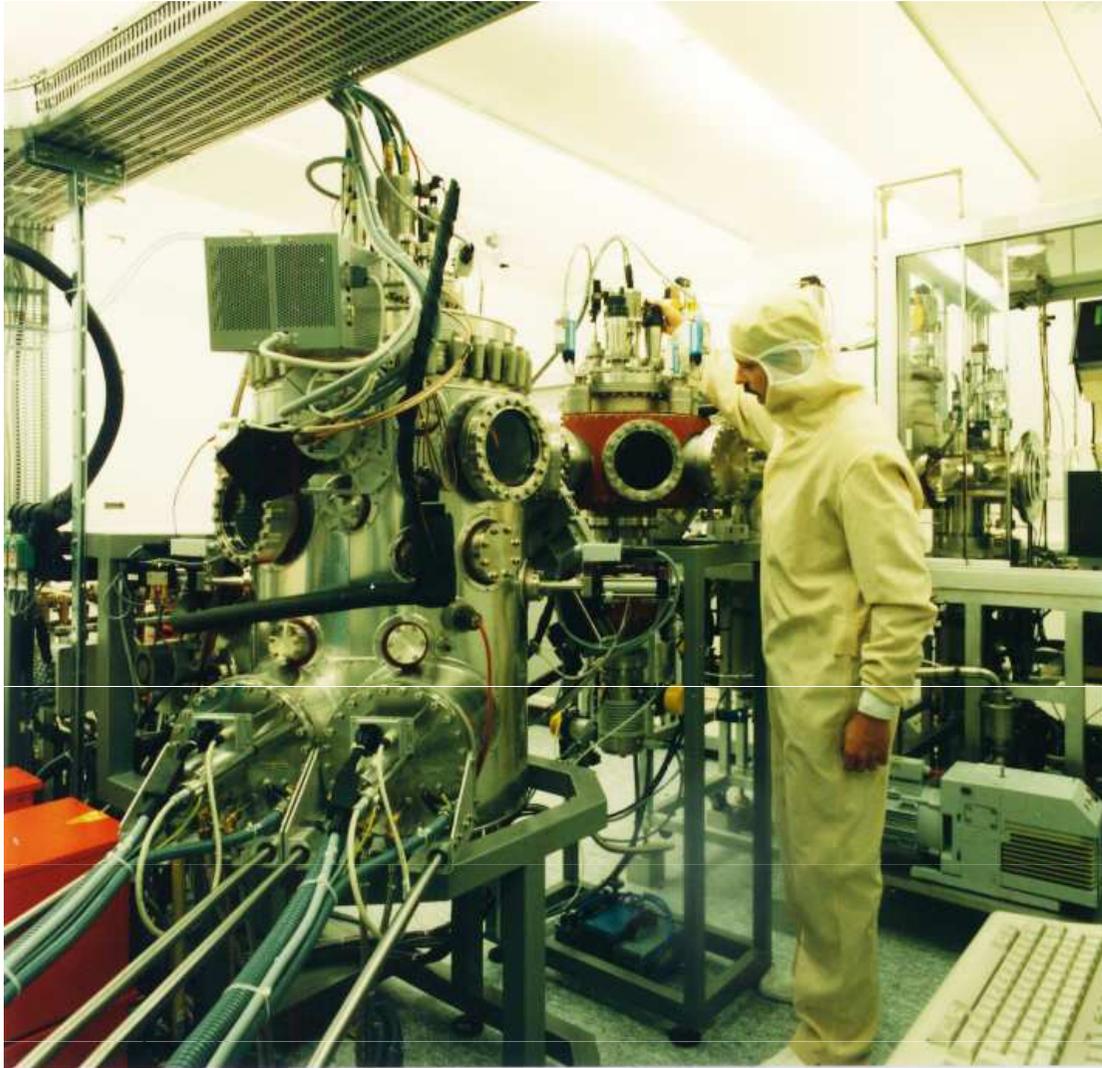
↔ with Si ↔

**Possible**  
(nature of the bandgap irrelevant)

# Monolithic Integration (Hetero-Epitaxy)



# Molecular Beam Epitaxy (MBE)



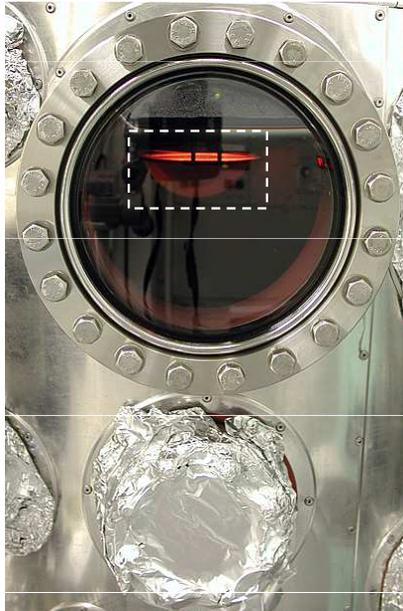
## Requirements:

- Many ( $> 1000$ ) few-atoms thick layers of alloy materials
- Atomic control of layer thickness
- Atomically flat layer interfaces

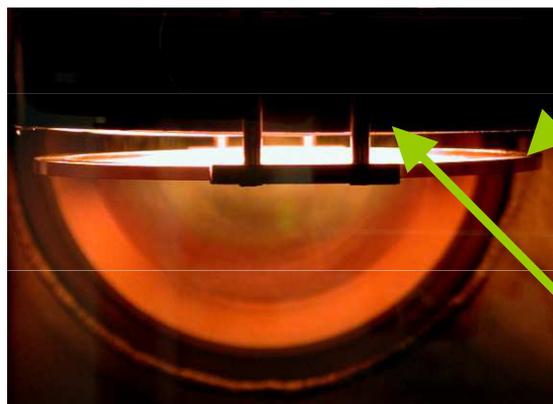
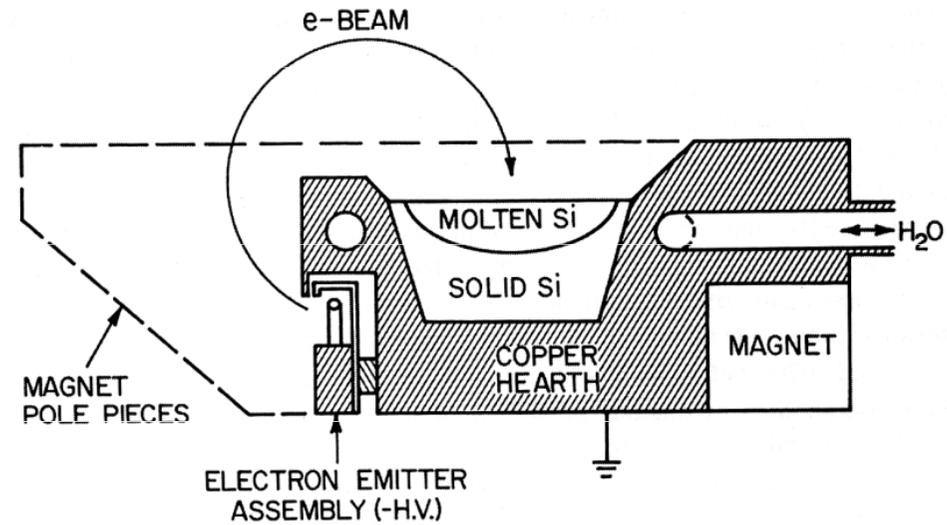
➤ Typical growth rates ( $\sim \text{\AA}/\text{s}$ )

# Molecular Beam Epitaxy (MBE)

*Growth chamber*

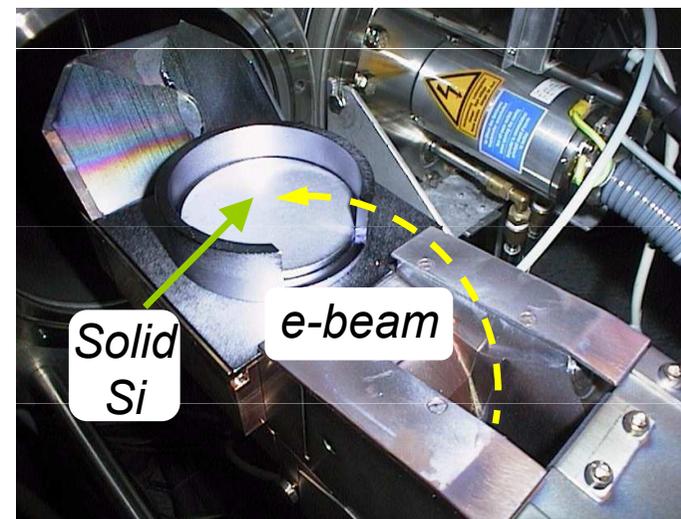


*Electron beam evaporation Si and Ge sources*



*Wafer holder*

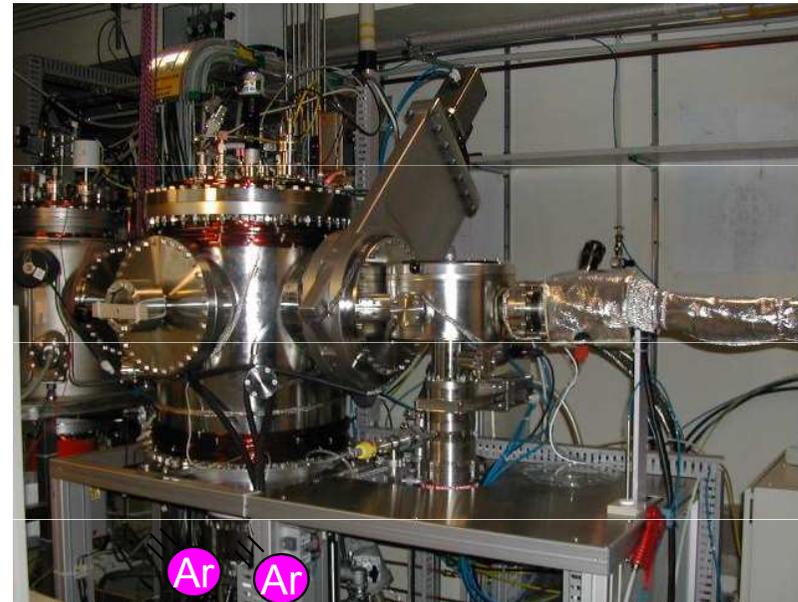
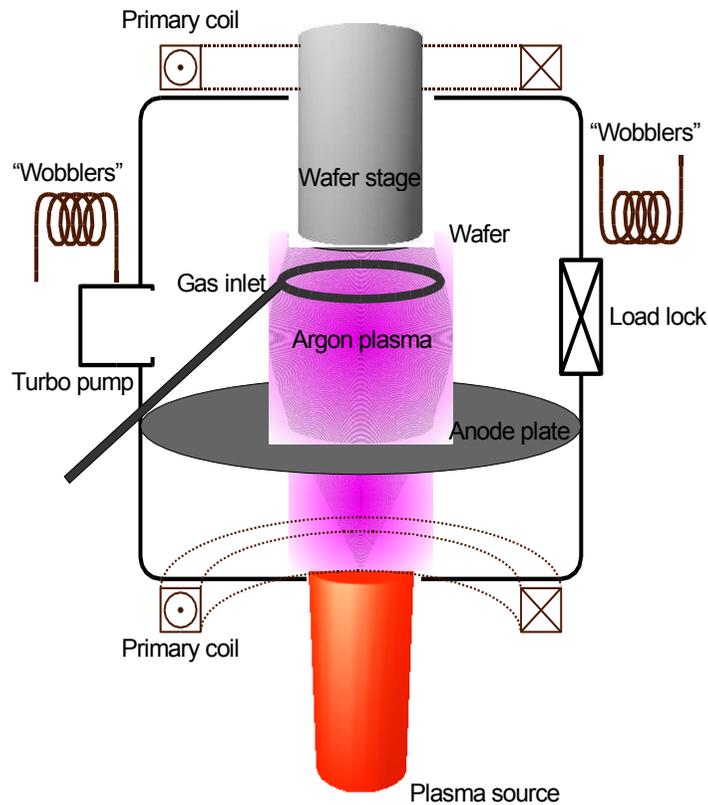
*Heater*



*Solid Si*

*e-beam*

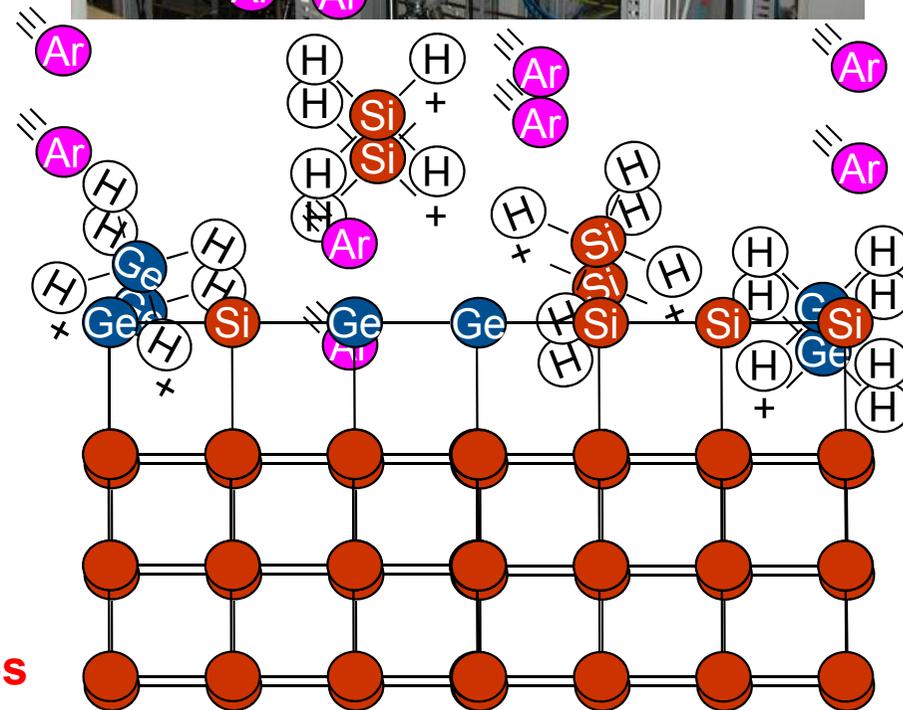
# Low-Energy Plasma Enhanced Chemical Vapor Deposition



**Principle of low-energy plasma-enhanced CVD:**  
**High-current low-voltage arc discharge**

$\text{SiH}_4$  and  $\text{GeH}_4$  are transformed into highly reactive radicals

**Very high growth rates ( $0.5 \mu\text{m}/\text{min}$ ) possible at low substrate temperatures**



# Characterization of Epitaxial Structures

## ■ **Structural:**

- Reflection high energy electron diffraction (RHEED)
- Optical reflection spectroscopy
- X-ray diffraction
- Transmission electron microscopy
- Secondary ion mass spectrometry (SIMS)
- Rutherford backscattering spectrometry (RBS)
- Scanning Tunneling Microscopy
- Atomic Force Microscopy

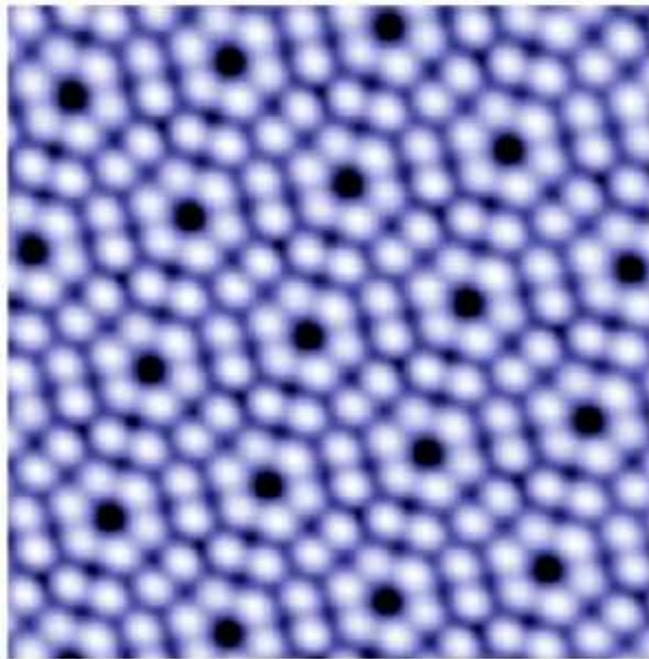
## ■ **Optical:**

- Reflection & transmission
- Photoluminescence
- Raman scattering

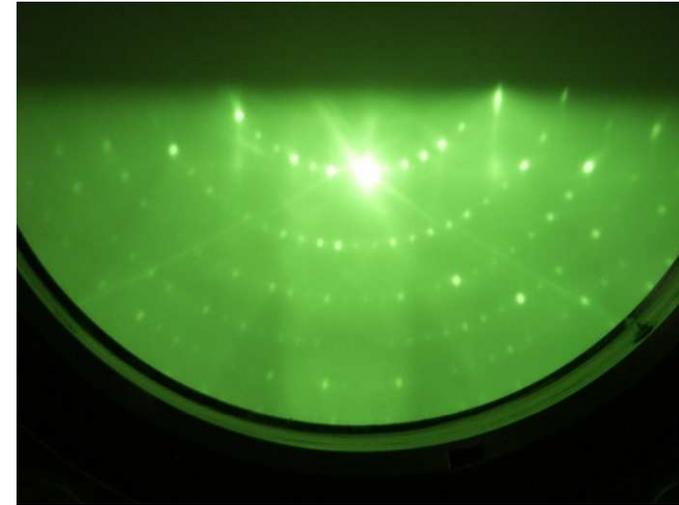
## ■ **Electrical:**

- Conductivity & Hall effect

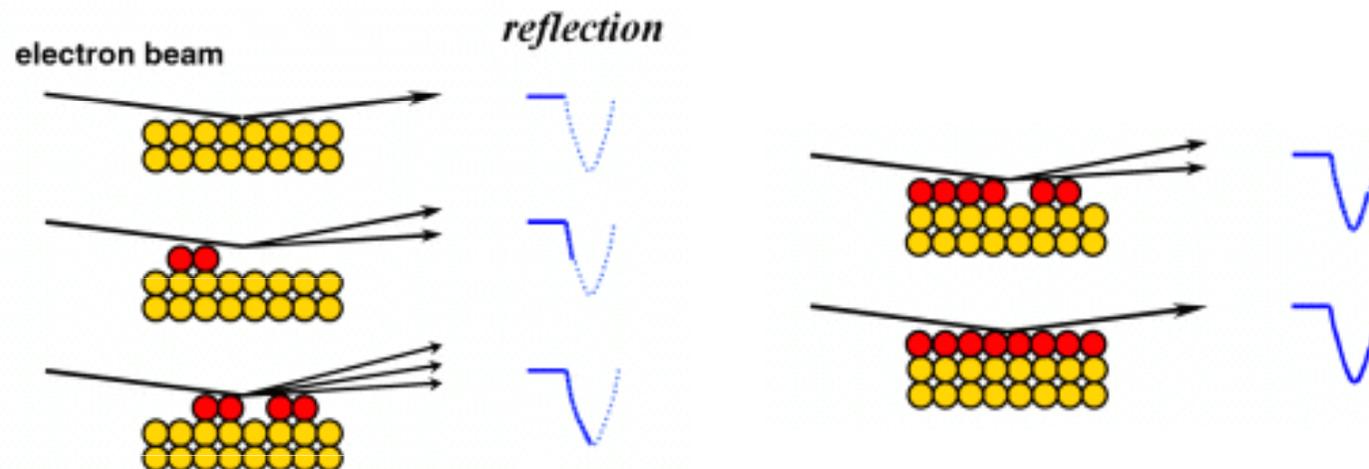
# Reflective High Energy Electron Diffraction (RHEED)



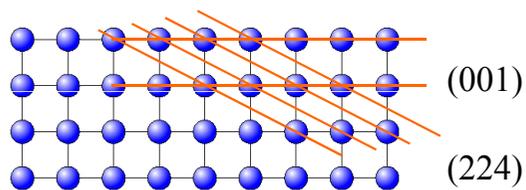
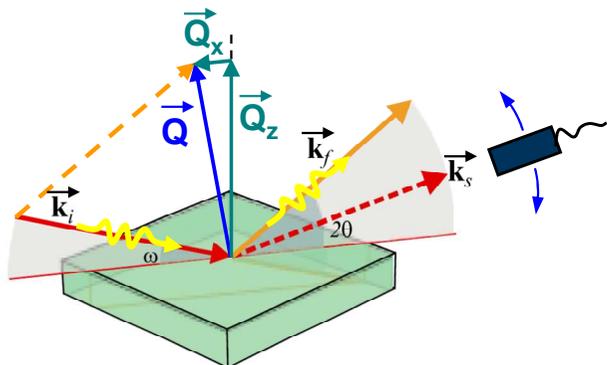
RHEED Pattern of Si(111)-7x7



Incident electron beam along  $\langle 11-2 \rangle$  azimuth

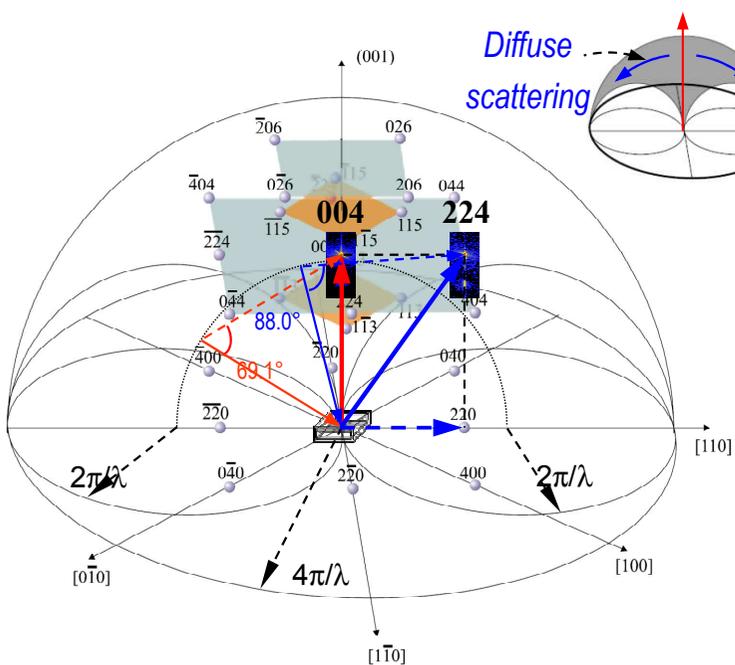


# High-Resolution X-Ray Diffraction (HRXRD)

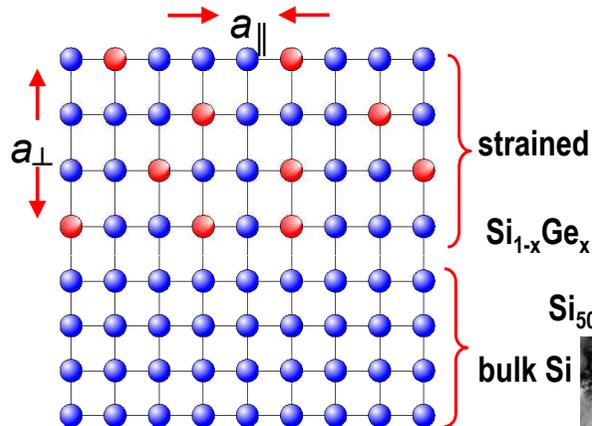


Truncation rod

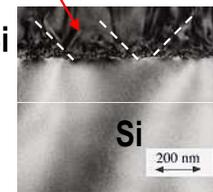
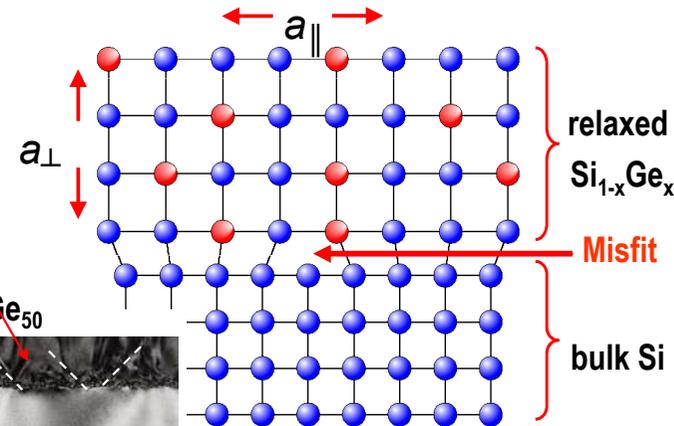
Diffuse scattering



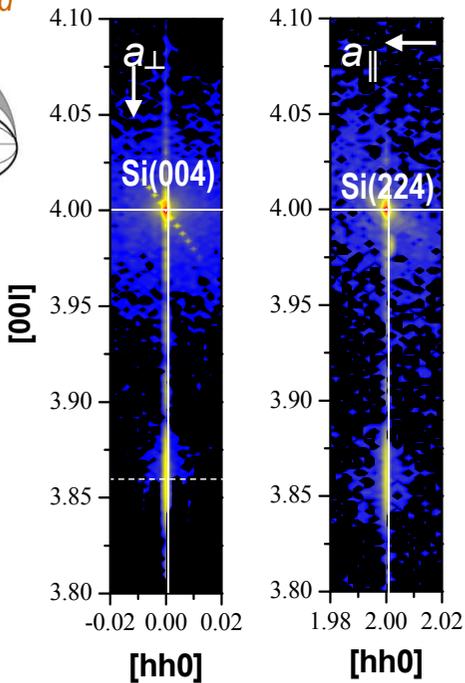
Strained SiGe on Si substrate



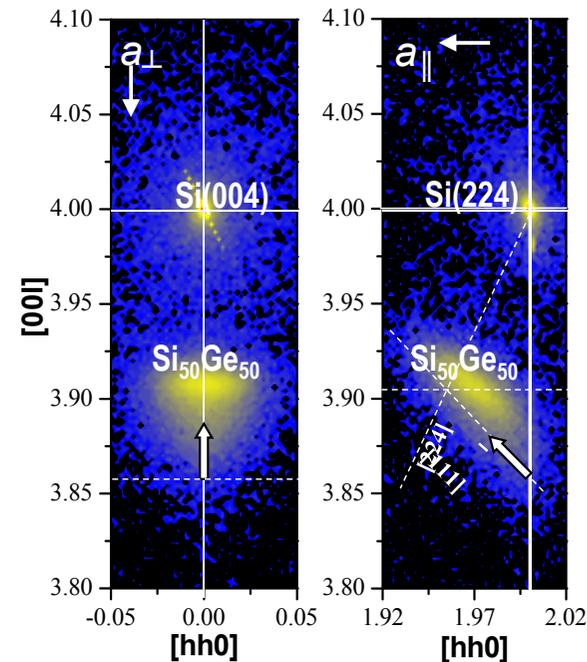
Relaxed SiGe on Si substrate



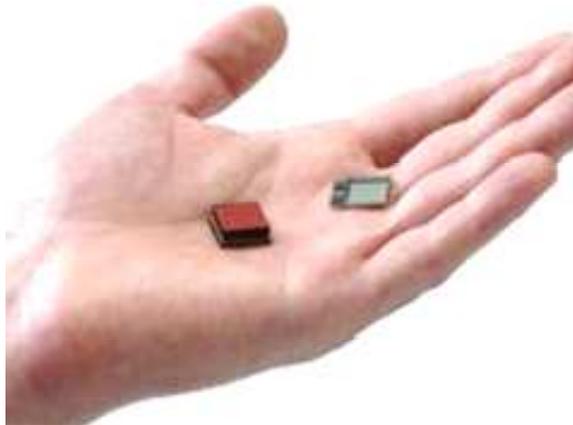
20 nm  $\text{Si}_{50}\text{Ge}_{50}$



200 nm  $\text{Si}_{50}\text{Ge}_{50}$



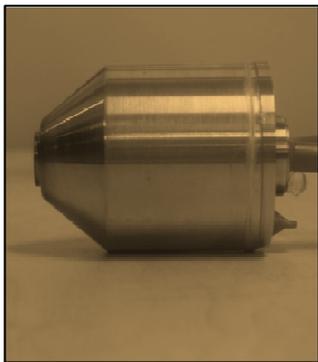
# Motivation: Integrated Miniaturized X-ray Systems



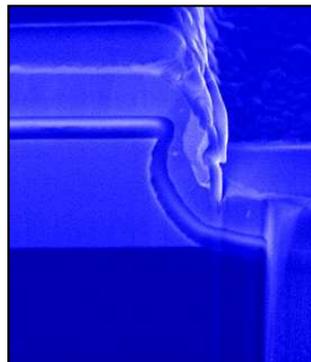
## “NEXRAY”

- **Next Generation X-Ray Systems**
- **High resolution/sensitivity**
- **Ge as conversion layer**
- **No bump-bonding (monolithic integration)**

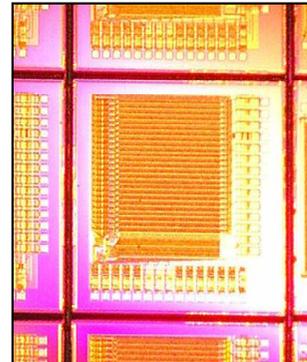
Fast, programmable  
X-ray sources



Ge layers for high-  
energy X-ray detection



Single-photon solid-  
state X-ray detection

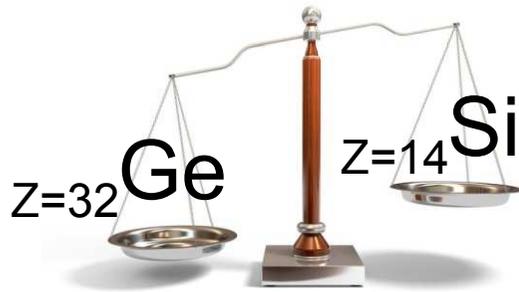


Phase contrast  
X-ray imaging

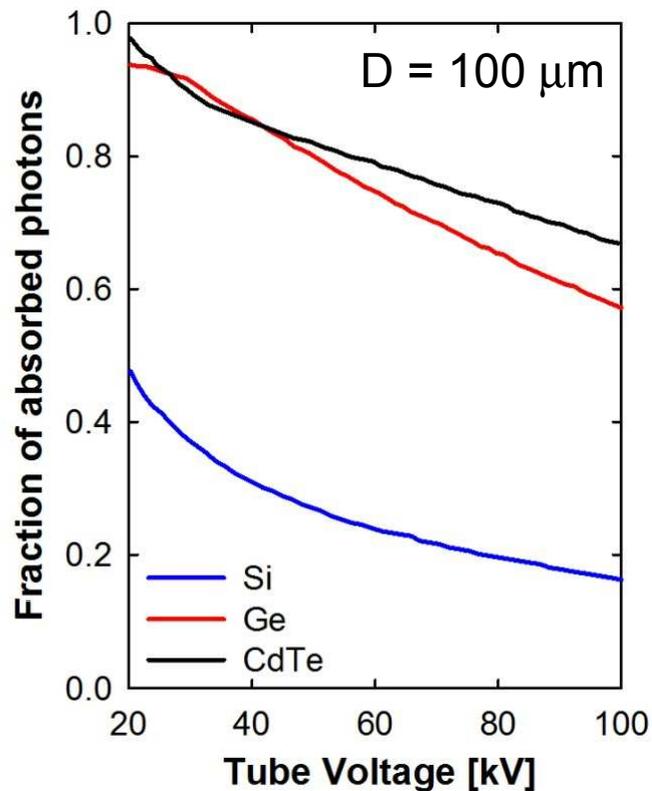
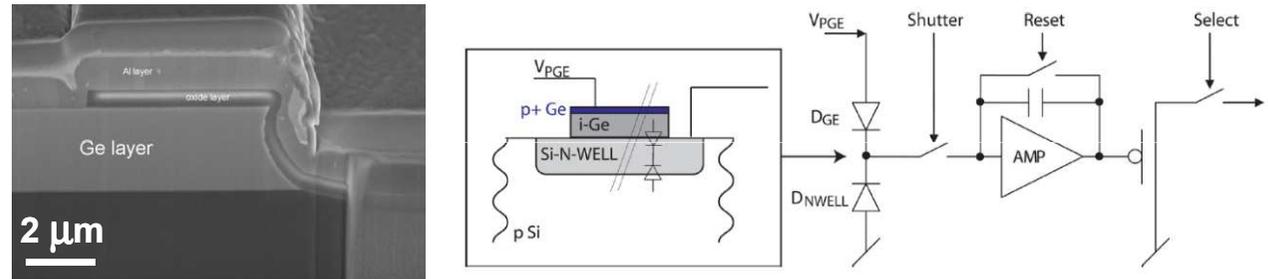


# Motivation: Next Generation X-Ray Detectors

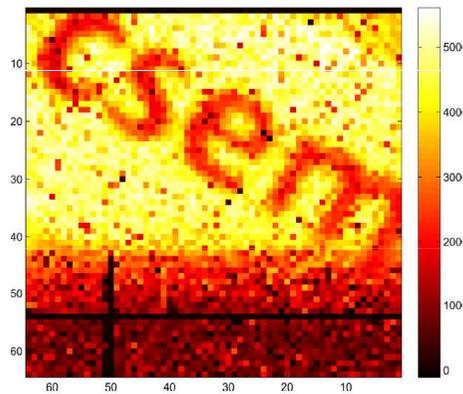
- Why Germanium?



- Monolithic integration of a 3  $\mu\text{m}$  Ge film with CMOS for IR radiation was demonstrated at ETHZ/CSEM



*64x64 pixel IR sensor with integrated Ge photodiodes*

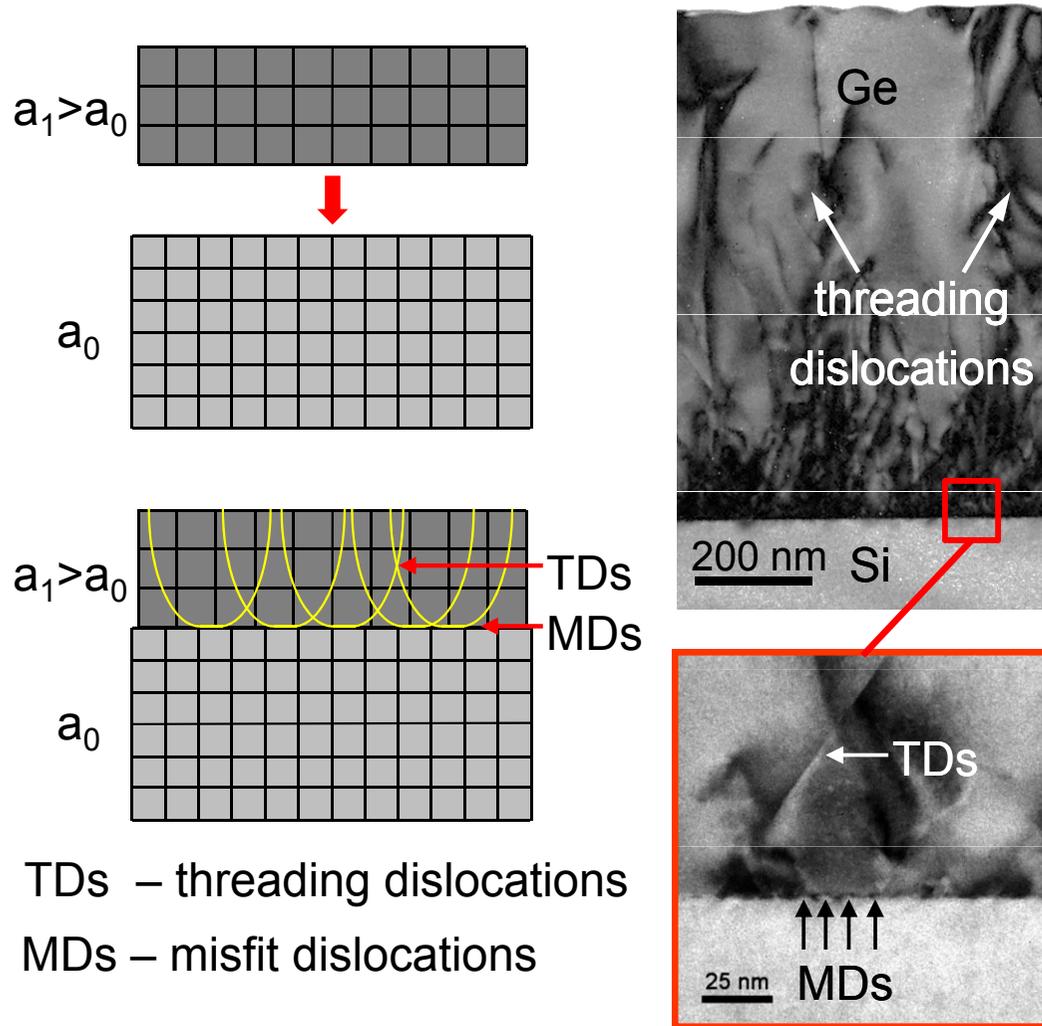


R. Kaufmann *et al.*, J. Appl. Phys. **110**, 023107 (2011)

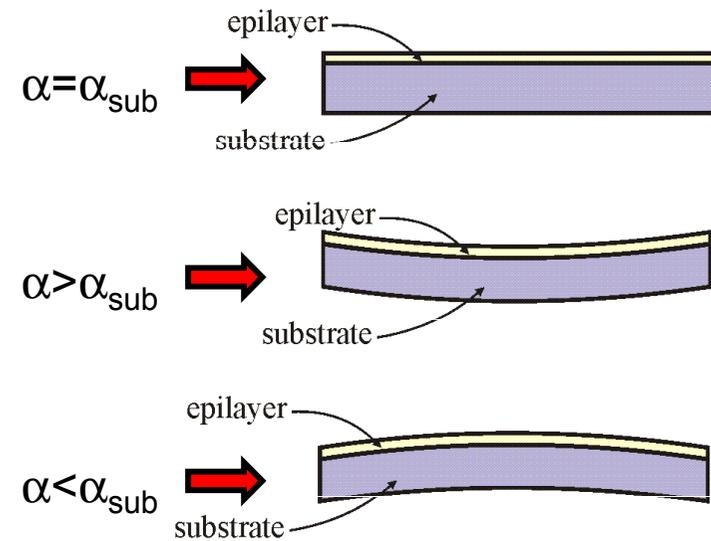
**For X-rays → SUPER THICK (> 50  $\mu\text{m}$  !!!) high quality (i.e. dislocations, uniformity) Ge epilayers**

# Key Problems of Hetero-Epitaxy

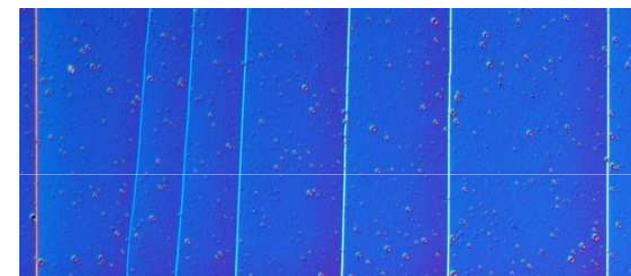
## 1. Dislocations (lattice mismatch)



## 2. Wafer Bowing & Cracks (thermal mismatch)



Nomarski top view micrograph



30  $\mu\text{m}$  Ge/Si(001)

# Scaling Hetero-Epitaxy from Layers to Three-Dimensional Crystals

Claudiu V. Falub *et al.*

*Science* **335**, 1330 (2012);

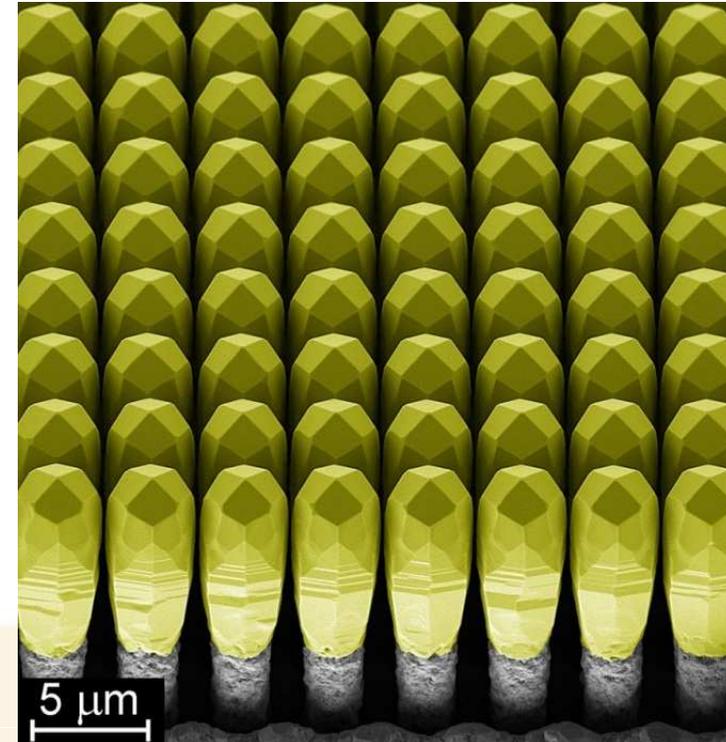
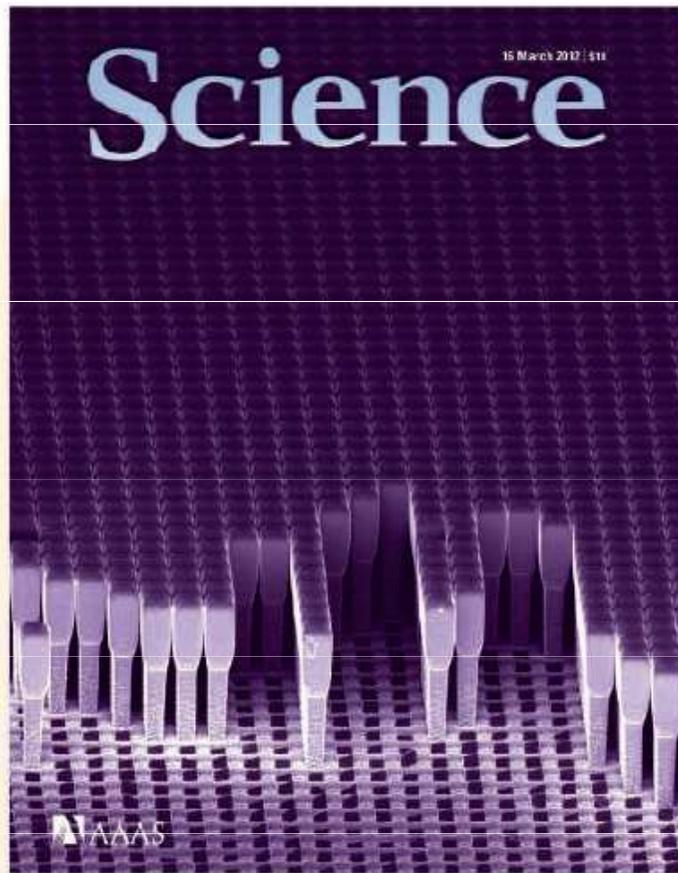
DOI: 10.1126/science.1217666

SCIENCE VOL 335 16 MARCH 2012

Published by AAAS

8 December 2011; accepted 27 January 2012

www.sciencemag.org



## COVER

False-colored scanning electron micrograph of ~8-micrometer-tall germanium crystals, separated by finite gaps, grown onto silicon pillars. In structures like this one, wafer bowing and layer cracking are absent, allowing single-crystal integration of different materials onto a silicon substrate, which serves as a platform for many applications, such as multiple-junction solar cells, x-ray and particle detectors, or power electronic devices. See page 1330.

*Image: Claudiu V. Falub, Laboratory for Solid State Physics, Swiss Federal Institute of Technology (ETH-Zürich)*

A high-angle, black and white photograph of a dense urban skyline, likely New York City. The image shows a vast array of skyscrapers and buildings of varying heights and architectural styles, packed closely together. The perspective is from a high vantage point, looking down into the city. The lighting creates strong shadows and highlights, emphasizing the three-dimensional nature of the buildings. The overall tone is dramatic and industrial.

**To Be Continued...**

# Conclusions

- Silicon age has still got tremendous potential for further progress ⇒ **its end is not near, yet!**
- Moore's law may eventually no longer decide the pace of microelectronics progress.
- **“More than Moore”** will be the new driving force.

**Thank you for your attention !**

**Khumjung, Himalaya, Nepal, November 2003**