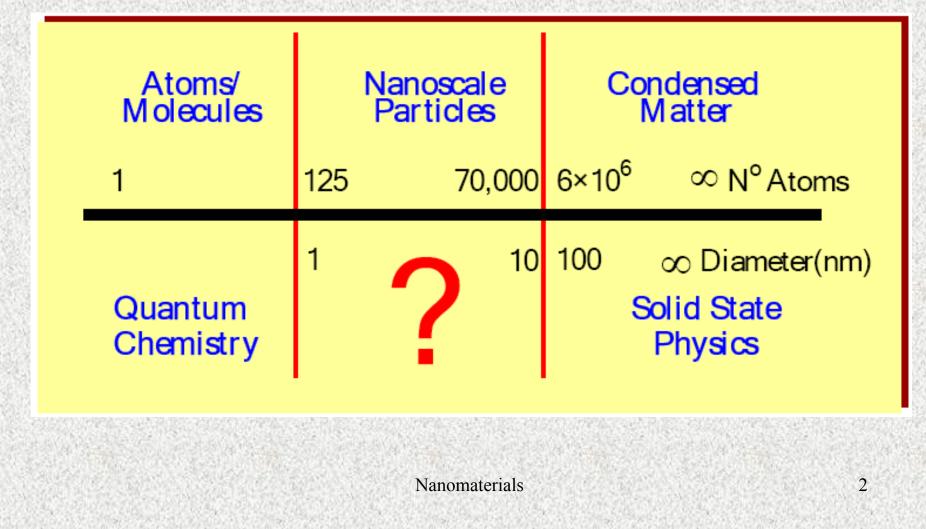
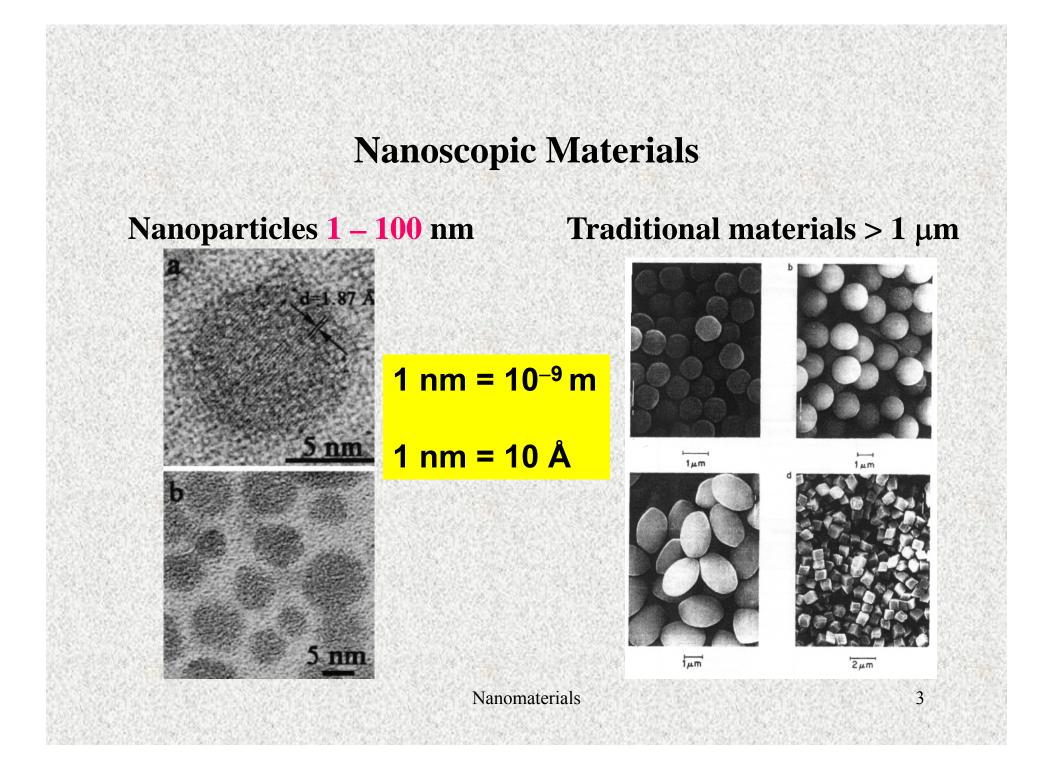


Size is another variable to change physical and chemical properties

Each physical property or fenomenon has a characteristic length

When particle size is comparable to the characteristic length, property start to depend on the size





# EU definition (2011): Size 1 - 100 nm

A natural, incidental or manufactured material containing particles, in an unbound state or as an aggregate or as an agglomerate and where, for 50% or more of the particles in the number size distribution, one or more external dimensions is in the size range 1 nm - 100 nm.

http://ec.europa.eu/environment/chemicals/nanotech/faq/definiti on\_en.htm

Nanoscale regime

Size 1 - 100 nm (traditional materials > 1  $\mu$ m)

Physical and chemical properties depend on the size !!

Natural examples:

O Human teeth, 1-2 nm fibrils of hydroxyapatite  $\rm Ca_5(\rm PO_4)_3(\rm OH) + collagen$ 

Section Asbestos, opals, calcedon

• Primitive meteorites, 5 nm C or SiC, early age of the Solar system

Nanoscale objects have been around us, but only now we can observe them, manipulate and synthesize them.

#### **Nanostructural Materials**

"Prey", the latest novel by Michael Crichton, author of "Jurassic Park".

The horrible beasties threatening humanity in this new thriller are not giant dinosaurs, but swarms of minute "nanobots" that can invade and take control of human bodies.

Last summer, a report issued by a Canadian environmental body called the action group on erosion, technology and concentration took a swipe at nanotechnology. It urged a ban on the manufacture of new nanomaterials until their environmental impact had been assessed. The group is better known for successfully campaigning against biotechnology, and especially against genetically modified crops.

The research, led by a group at the National Aeronautics and Space Administration's Johnson Space Centre in Houston, has found in preliminary studies that inhaling vast amounts of nanotubes is dangerous. Since they are, in essence, a form of soot, this is not surprising. But as most applications embed nanotubes in other materials, they pose little risk in reality.

#### **Room at the Bottom**

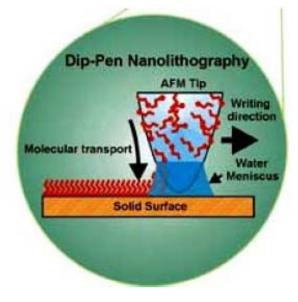
What I want to talk about is the problem of manipulating and controlling things on a small scale ...

As soon as I mention this, people tell me about miniaturization, and how far it has progressed today. They tell me about electric motors that are the size of the nail on your small finger. And there is a device on the market, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing; that's the most primitive, halting step in the direction I intend to discuss. It's a staggeringly small world that is below. In the year 2000, when they look back at this age, they will wonder why it was not until the year 1960 that anybody began seriously to move in this direction.....



Prof. Richard Feynman in "There's plenty of room at the bottom", lecture delivered at the annual meeting of the APS, Caltech, 29 December, 1959.

#### **Nanoscale Writing**



Nanoscale writing with an AFM (Mirkin et al.)

As soon as I mention this, people tell me about miniaturization, and how for it has progressed today. They tell me about electric motors that are the size of the not on your small finger. And there is a device on the market, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing: that's the most primitive, halting step in the direction I intend to discuss. It is a staggeringly small world that is below. In the year 2000, when they look back at this age, they will wonder why it was not until the year 1950 that anybody began seriously to move in this direction. 400 nm

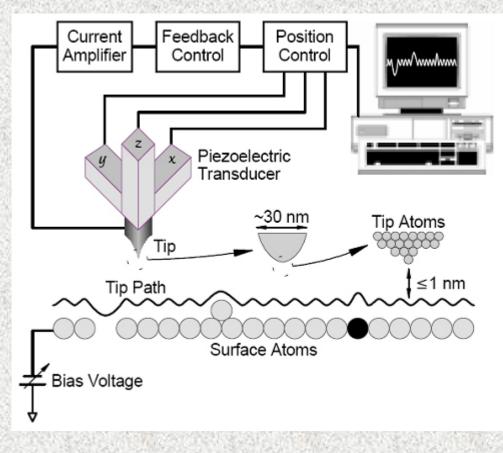
Richard P. Feynman, 1960

8

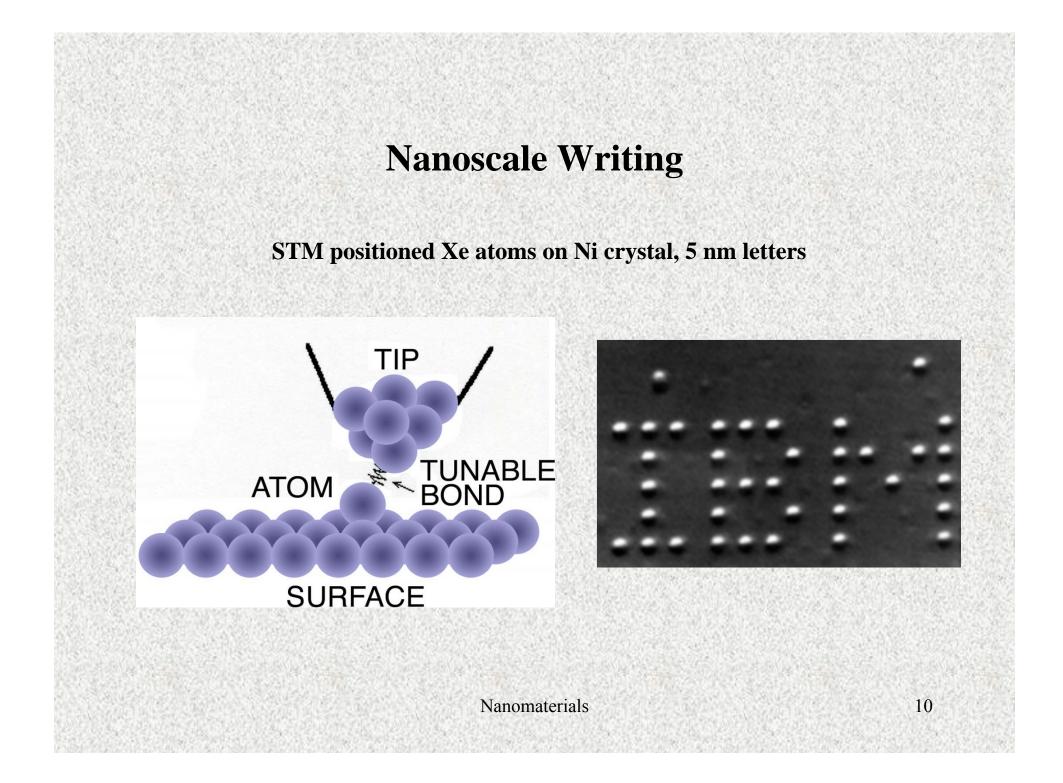
60 nm

# STM

#### **Scanning Tunelling Microscopy**



#### Binning and Rohrer Nobel 1986



**Negligible light scattering - New optics** 

**Quantum size effects - Information technology, Storage media** 

High surface area - Catalysts, Adsorbents

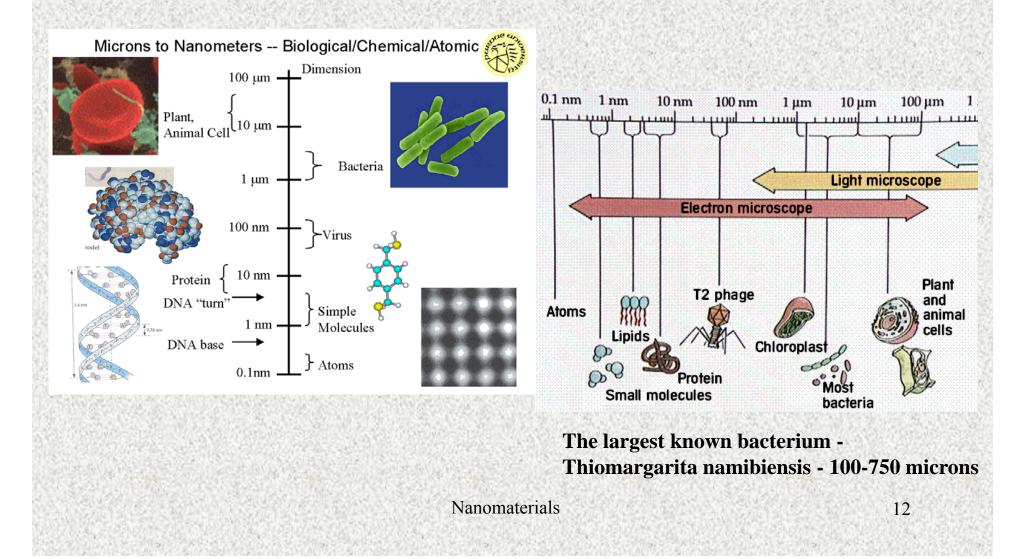
Large interfacial area - New composites

**Surface modifications - Targeted drug delivery** 

Nanomaterials

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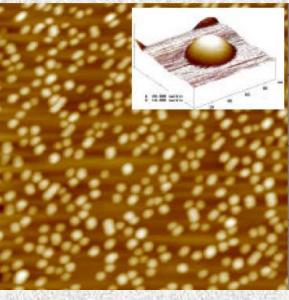
#### **Nanoscopic Size**



#### **The Nano-Family**

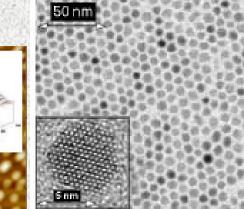
#### At least one dimension is between 1 - 100 nm

- **0-D structures (3-D confinement):**
- Quantum dots
- Nanoparticles

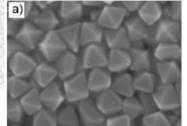


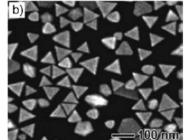
AFM 1 µm x 1 µm

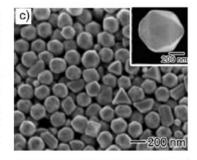
InAs on GaAs/InP

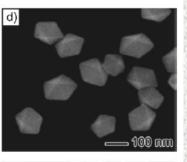


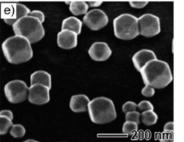
#### **CdTe nanoparticles**

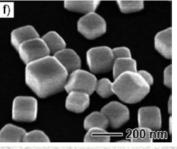












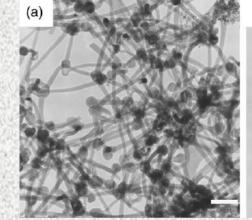
13

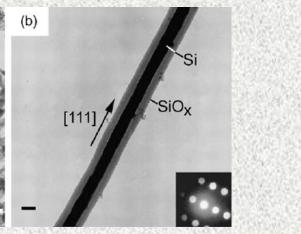
#### Au nanoparticles

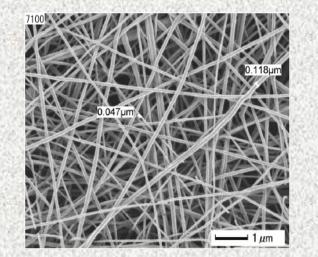
#### **The Nano-Family**

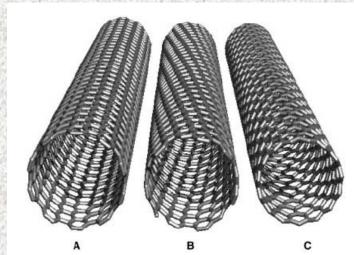
#### **1-D structures (2-D confinement):**

- Nanowires
- Nanorods
- Nanotubes
- Nanofibers

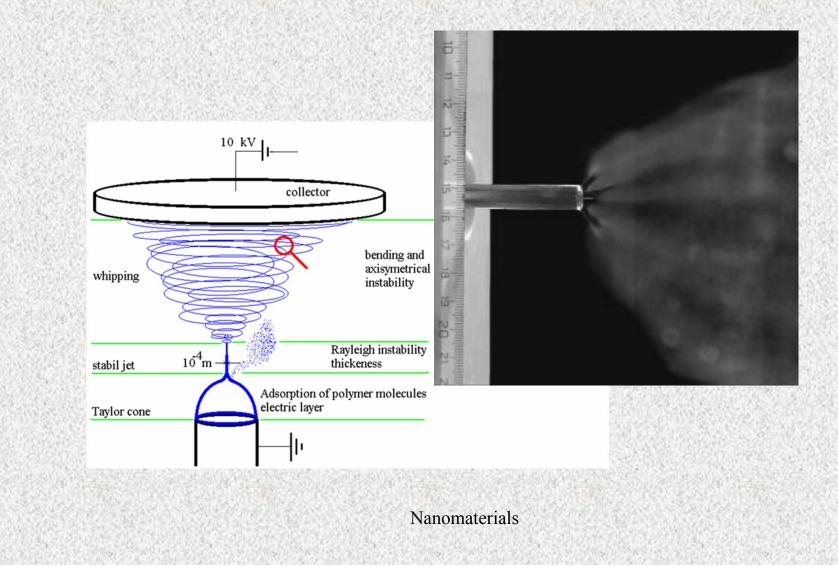








# Electrospinning

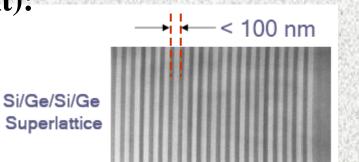


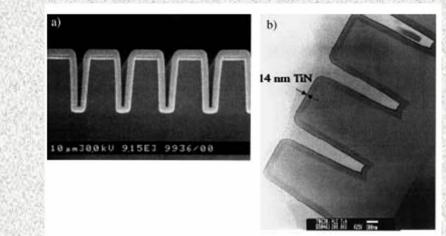
15

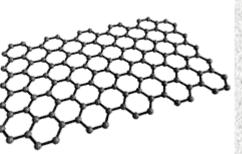
## **The Nano-Family**

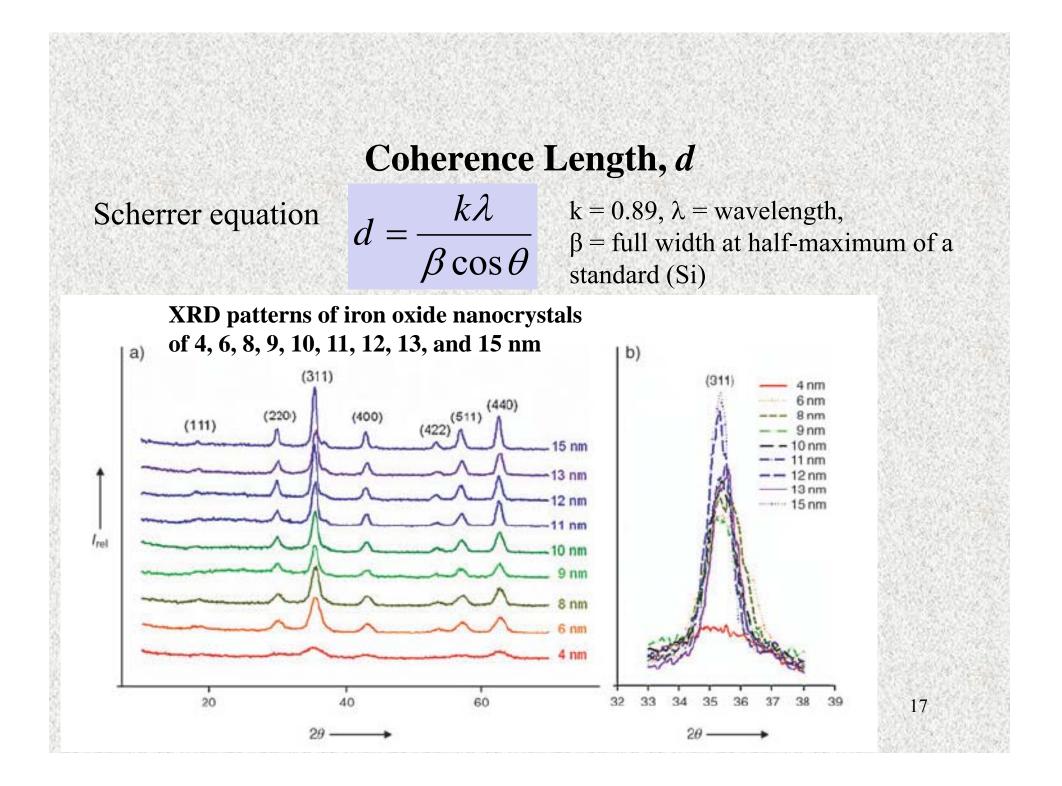
#### 2-D structures (1-D confinement):

- Thin films
- Planar quantum wells
- Superlattices
- Graphene
- SAM

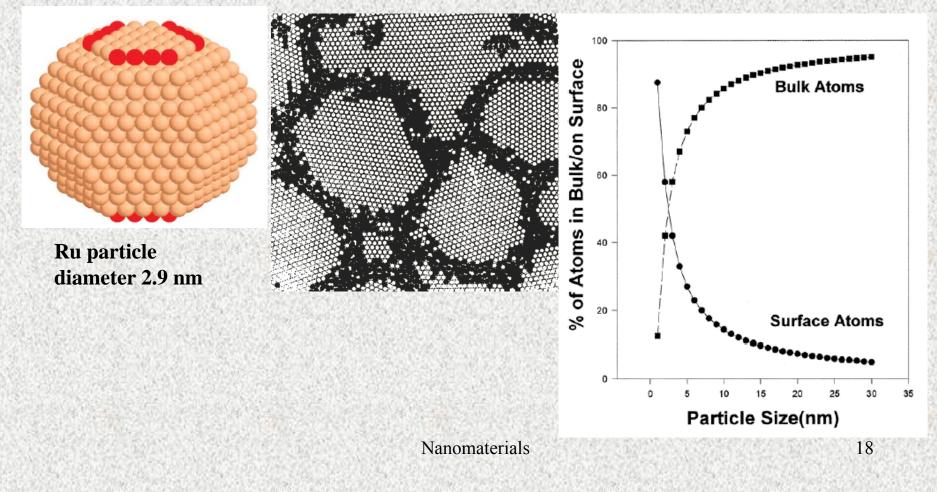








**Decreasing grain size = Increasing volume fraction of grain boundaries (50% for 3 nm particles)** 



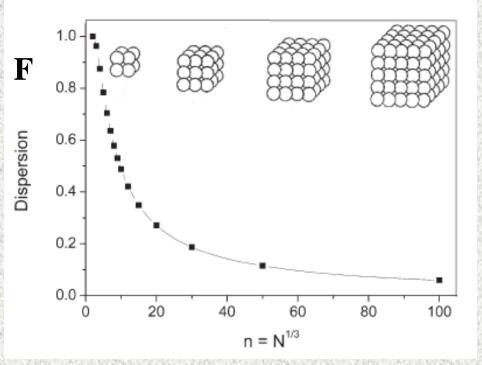
**Dispersion F = the fraction of atoms at the surface** 

F is proportional to surface area divided by volume

N = total number of atoms

 $\mathbf{V} \sim \mathbf{r}^3 \sim \mathbf{N}$ 

 $F \approx \frac{r^2}{r^3} \approx \frac{1}{r} \approx \frac{1}{\sqrt[3]{N}}$ 



n = number of atoms at the cube edge

Properties of grain boundaries
≻Lower coordination number of atoms
≻Reduced atomic density (by 10 – 30 %)
≻Broad spectrum of interatomic distances

**Experimental evidence** 

**≻HREM** 

**>**EXAFS, reduced number of nearest and next-nearest neighbors

Raman spectroscopy

> Mössbauer spectroscopy, quadrupole splitting distribution broadened

Diffusivity enhanced by up to 20 orders of magnitude !!

➢Solute solubility in the boundary region

Ag (fcc) and Fe (bcc) immiscible in (s) or (l), but do form solid solution as nanocrystalline alloy

**EPR, nano-Si gives a sharp signal** 

Atoms at surfaces have fewer neighbours than atoms in the bulk

Lower coordination and unsatisfied bonds surface atoms are less stabilized than bulk atoms

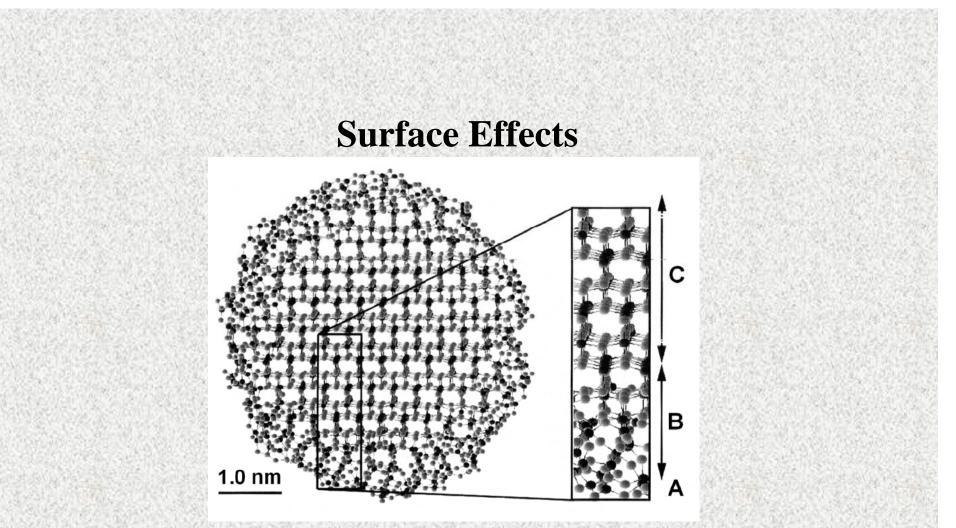
The smaller a particle the larger the fraction of atoms at the surface, and the higher the average binding energy per atom

The melting and other phase transition temperatures scale with surfaceto-volume ratio and with the inverse size

**Example: the melting point depression in nanocrystals** 

2.5 nm Au particles 930 K

bulk Au 1336 K



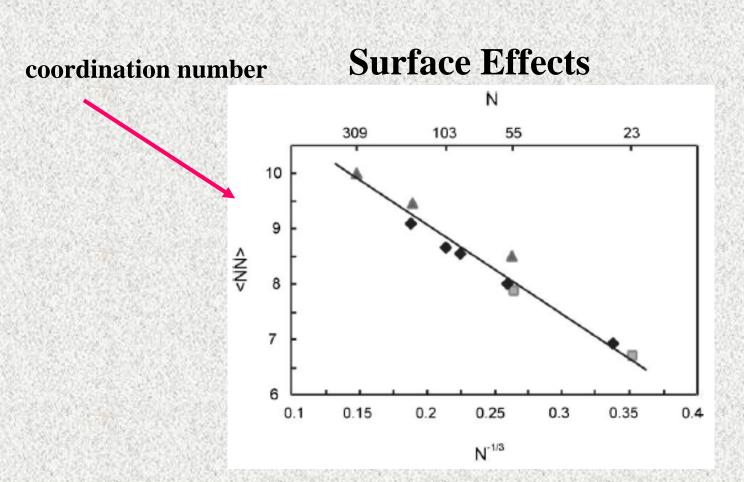
A = Atoms at surfaces (one layer) – fewer neighbours, lower coordination, unsatisfied (dangling) bonds

**B** = Atoms close to surface (several layers) – deformation of coordination sphere, distorted bond distances and angles

**C** = Bulk atoms – not present in particles below 2 nm



#### Graphite shells

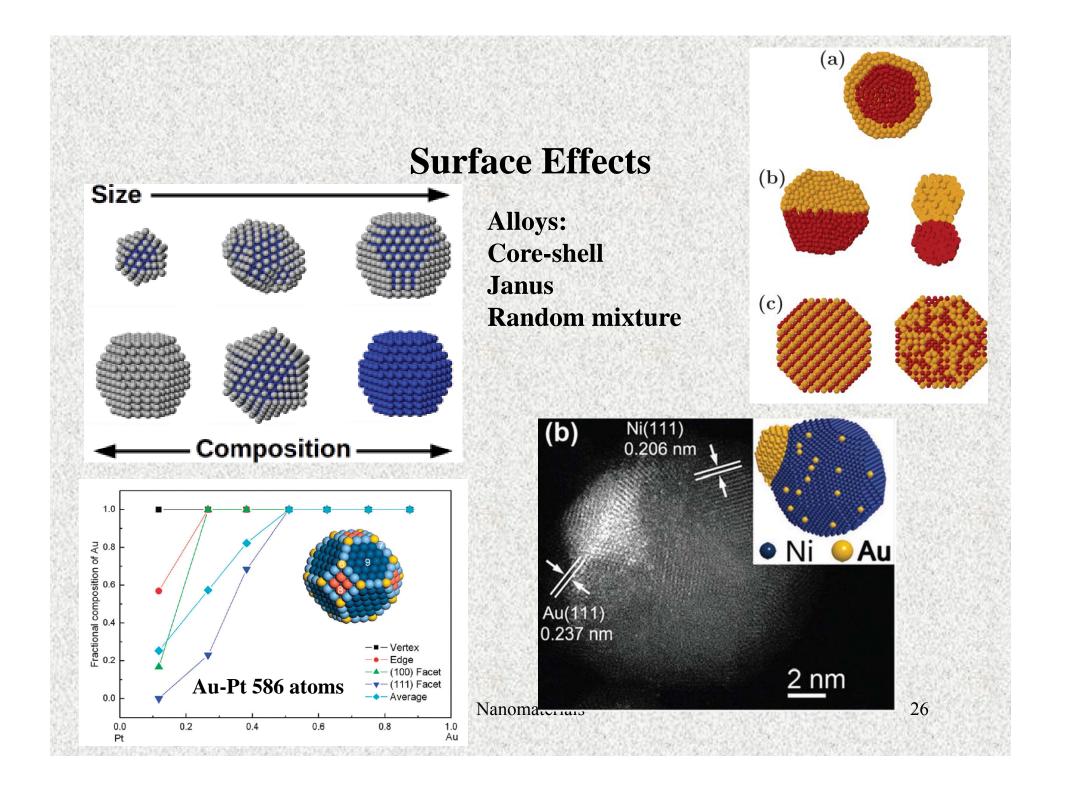


Calculated mean coordination number  $\langle NN \rangle$  as a function of inverse radius, represented by N<sup>-1/3</sup> for Mg clusters (triangles = icosahedra, squares = decahedra, diamonds = hcp

# Atom binding (vaporization) energies lower in nanoparticles, fewer neighbors to keep atoms from escaping

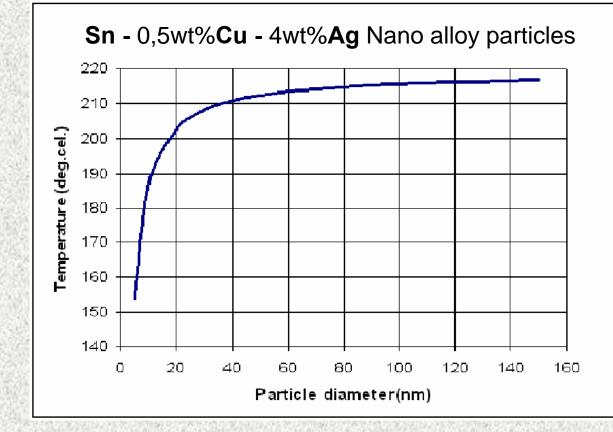
#### **Plasticity of nanocrystalline ceramics**

Full-shell "magic number" clusters	\$				
Number of shells	1	2	3	4	5
Number of atoms in cluster	13	55	147	309	561
Percentage of surface atoms	92	76	63	52	45



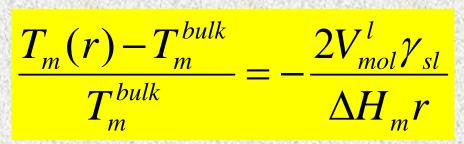
#### **Melting Point Depression**

$$\Delta T = T_m^{bulk} - T_m(r) = \frac{2T_m^{bulk}}{H_m^{bulk}\rho_s r} \sigma_s - \sigma_L \left(\frac{\rho_s}{\rho_L}\right)^{\frac{2}{3}}$$

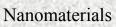


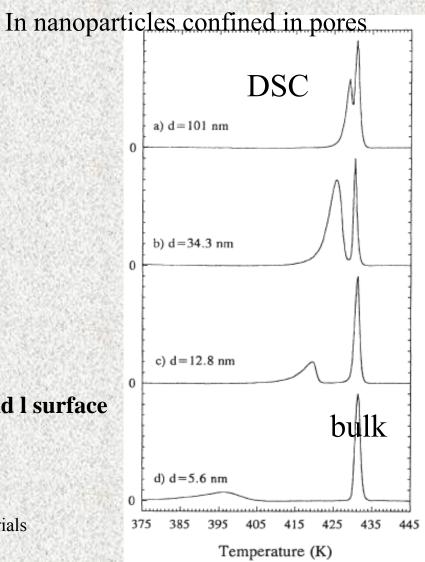


#### **Gibbs–Thomson Equation**

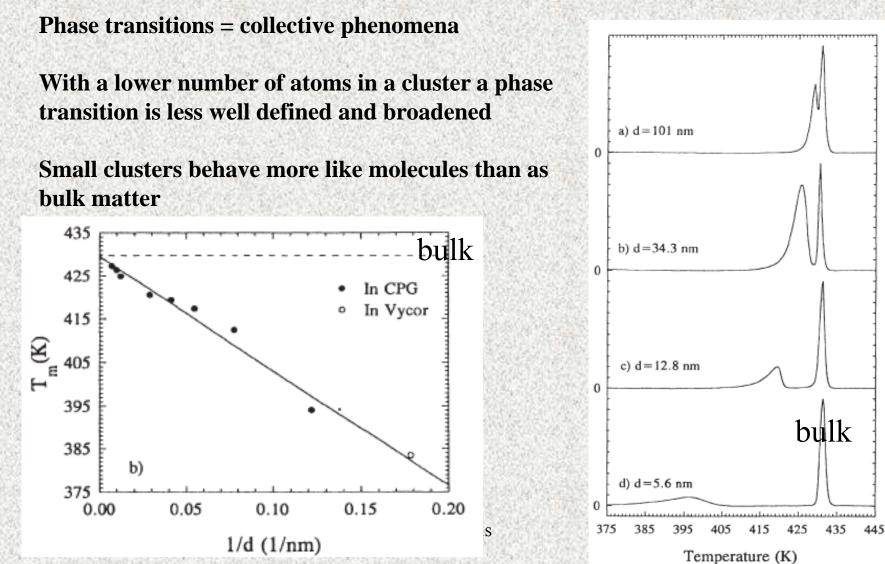


- $T_m(\mathbf{r}) = \mathbf{mp}$  of the cluster with radius  $\mathbf{r}$
- T<sub>m</sub><sup>bulk</sup> = mp of the bulk
- $V_{mol}^{l}$  = the molar volume of the liquid
- $\gamma_{sl}$  = the interfacial tension between the s and l surface
- $\Delta H_m^{bulk}$  = the bulk latent heat of melting

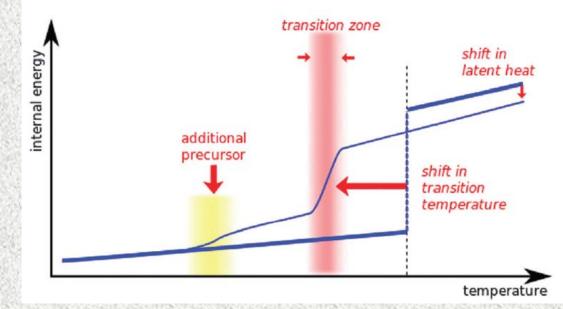




#### **Phase Transitions**







3 main consequences of a size decrease on caloric curve:

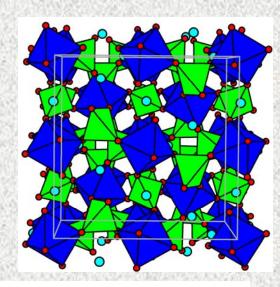
\* The transition is shifted, usually to a lower temperature (surface atoms are less coordinated and less bound than interior atoms)

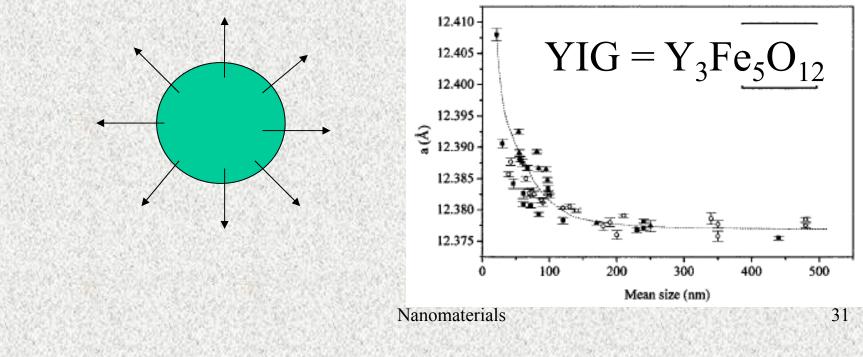
- \* The transition temp. is no longer sharp but becomes smooth and takes place over a finite range (fluctuations in TD quantities)
- \* The latent heat is lower than in the bulk limit

#### **Reduction in particle size**

#### •metal particles usually exhibit a lattice contraction

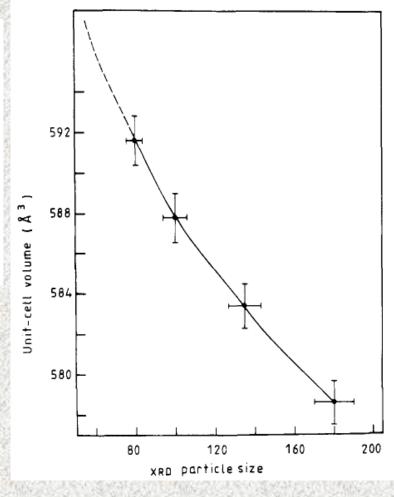
#### •oxide particles exhibit a lattice expansion





Correlation between the unitcell volume (cubic) and the XRD particle size in  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> nanoparticles

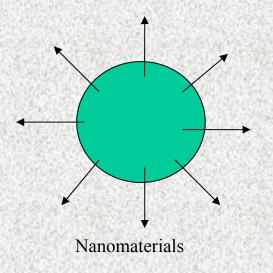
The smaller the particle size the larger the unit cell volume.



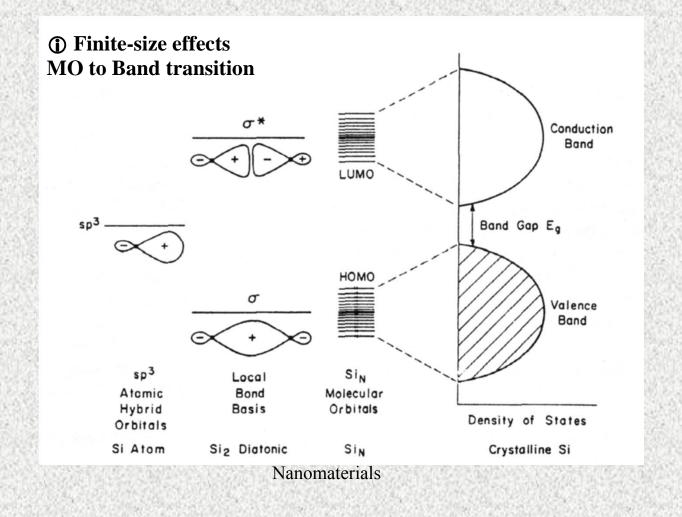
The inter-ionic bonding in nanoparticles has a directional character ions in the outermost layer of unit cells possess unpaired electronic orbitals

Associated electric dipole moments, aligned roughly parallel to each other point outwards from the surface

The repulsive dipolar interactions increase in smaller particles reduced by allowing unit cell volume to increase

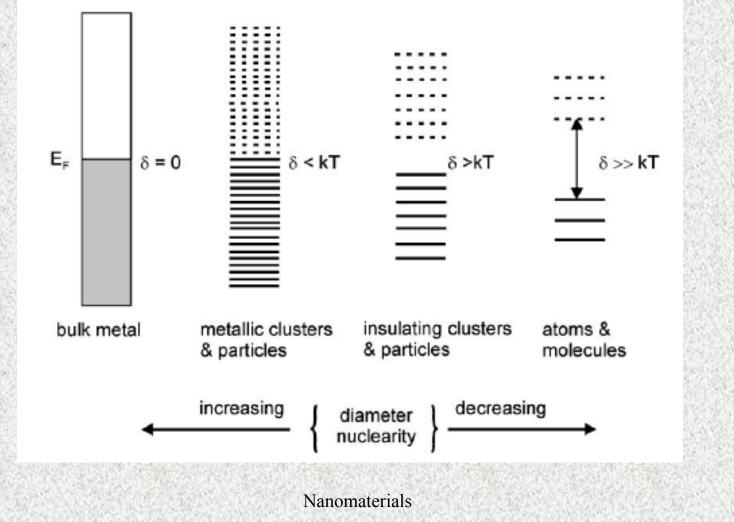


# Quantum Confinement Effects Physical and chemical properties depend on the size !!

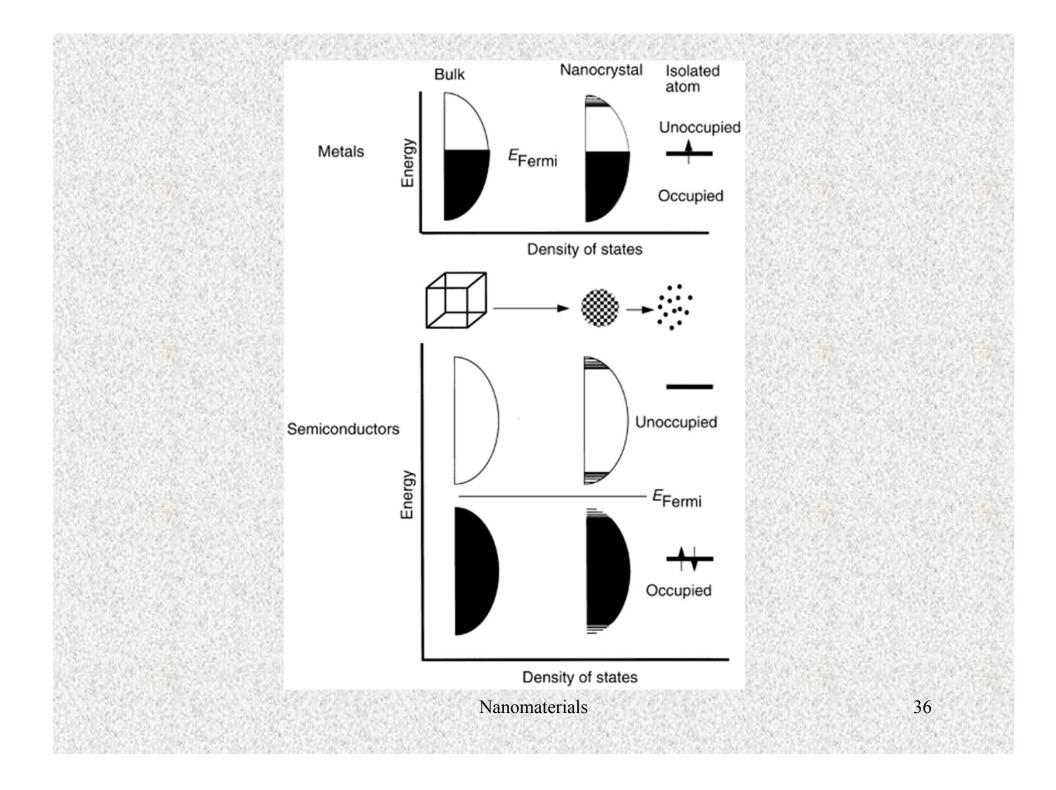


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#### **Metal-to-Insulator Transition**

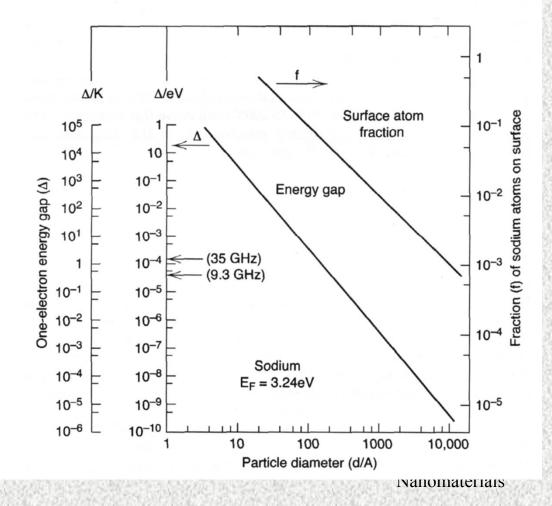


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### **Metal-to-Insulator Transition**

Band gap increases with decreasing size

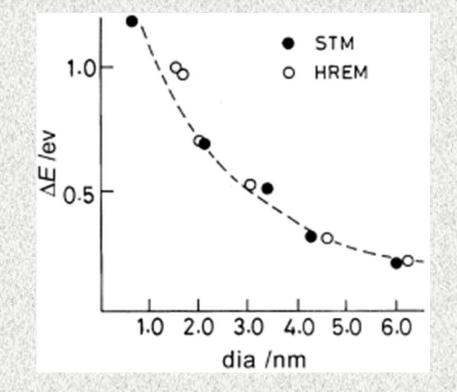


Metallic behavior Single atom cannot behave as a metal nonmetal to metal transition 100-1000 atoms

Magnetic behavior Single domain particles large coercive field

37

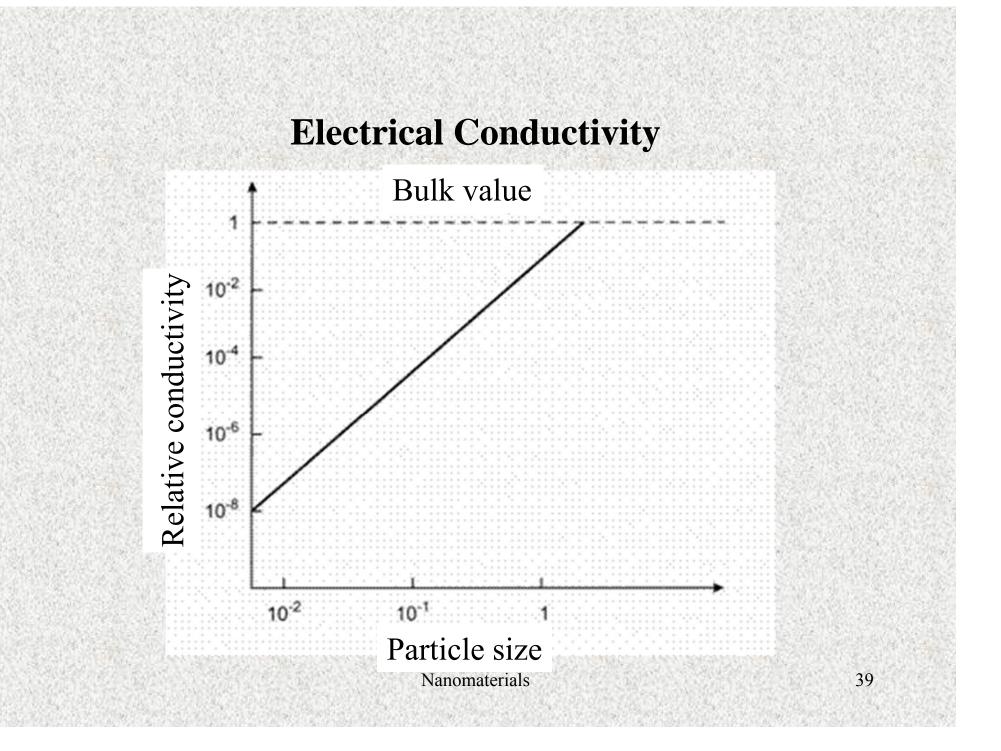
### **Metal-to-Insulator Transition**

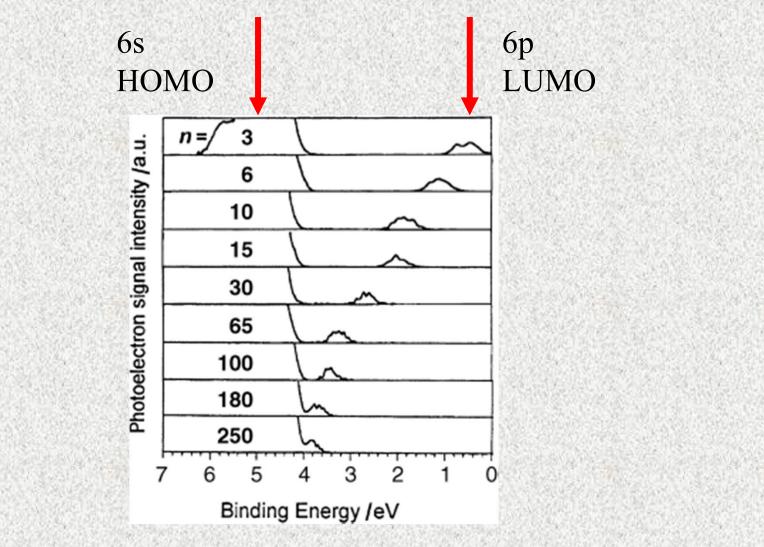


The increase in the core-level binding energy in small particles poor screening of the core charge

the size-induced metal-nonmetal transition in nanocrystals

Variation of the shift,  $\Delta E$ , in the core-level binding energy (relative to the bulk metal value) of Pd with the nanoparticle diameter



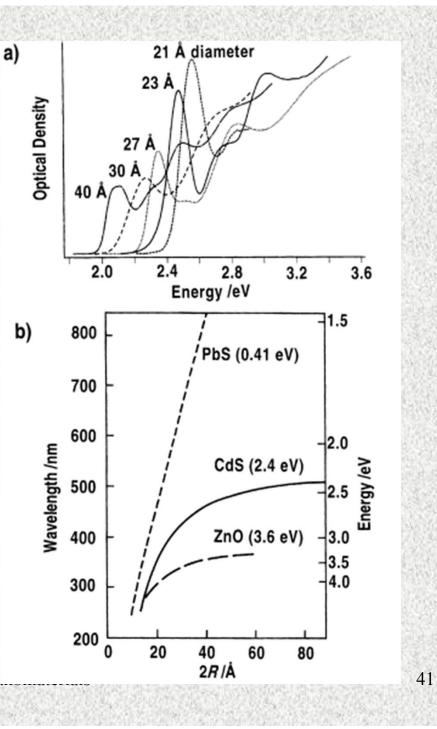


Photoelectron spectra of Hg clusters of nuclearity n The 6p peak moves gradually towards the Fermi level the band gap shrinks with increase in cluster size

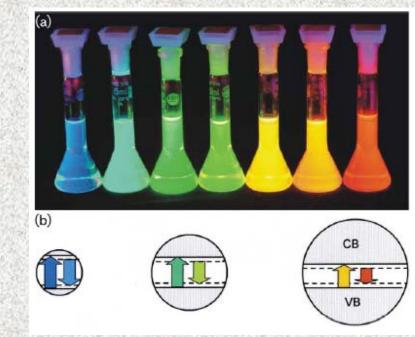
a) Absorption spectra of CdSe nanocrystals (at 10 K) of various diameters

b) Wavelength of the absorption
threshold and band gap as a function of
the particle diameter for various
semiconductors. The energy gap in the
bulk state in parenthesis

Na



## **Quantum Confinement Effects**



Fluorescence of CdSe–CdS core–shell nanoparticles with a diameter of 1.7 nm (blue) up to 6 nm (red)

Smaller particles have a wider band gap

### **Bohr Radii**

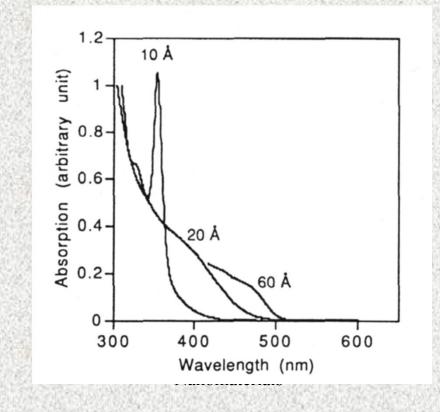
# **Quantum confinement - particles must be smaller than the Bohr radius of the electron-hole pair**

semiconductor	$r_{ m B}$ (Å)	$E_g$ (eV)
CdS	28	2.5
CdSe	53	1.7
$\mathrm{CdTe}$	75	1.5
GaAs	124	1.4
PbS	180	0.41

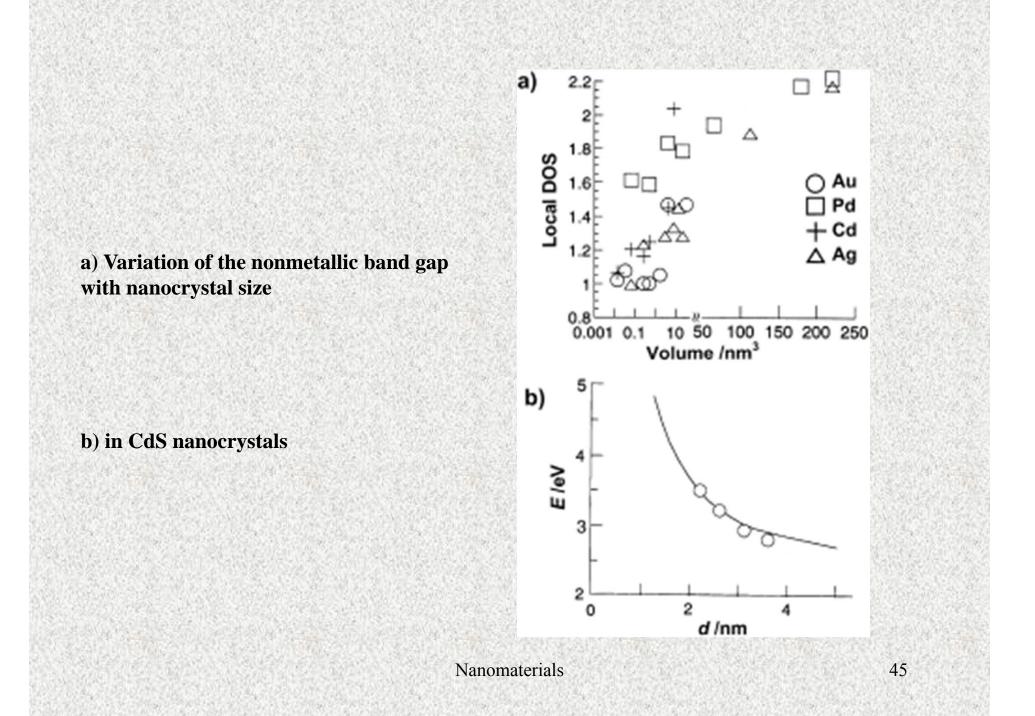
### **Quantum Confinement Effects**

### **(P)** Optical properties nc-TiO<sub>2</sub> is transparent

Blue shift in optical spectra of nanoparticles



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### **Nanoscopic Materials**

NANO -particles, crystals, powders -films, patterned films -wires, rods, tubes -dots

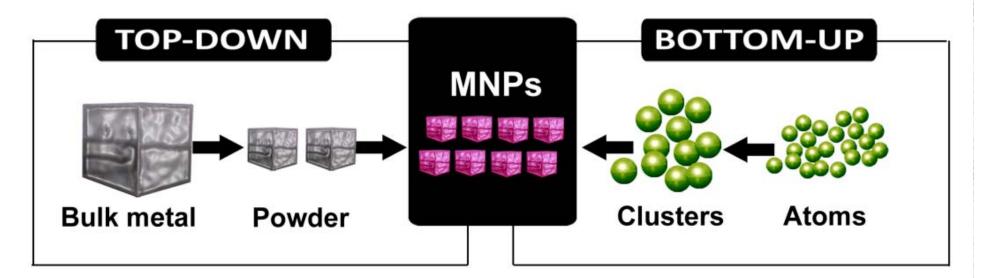
Nanostructured materials = nonequilibrium character

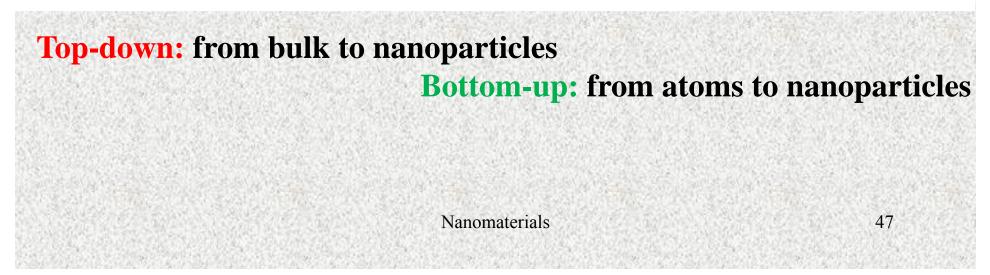
>good sinterability
>high catalytic activity
>difficult handling
>adsorption of gases and impurities
>poor compressibility

#### **PREPARATION METHODS**

**Top-down: from bulk to nanoparticles Bottom-up: from atoms to nanoparticles** 

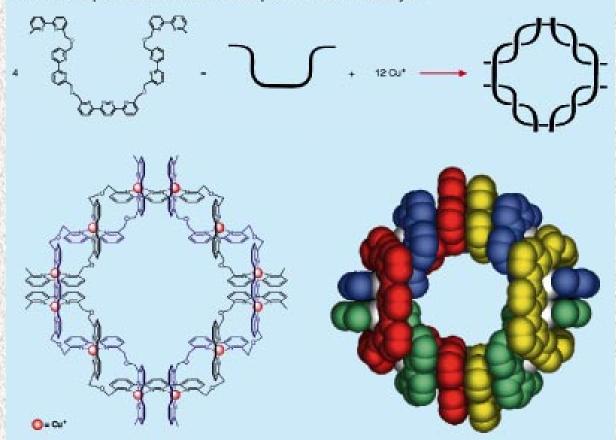
## **Preparation Methods**





## **Bottom-up Synthesis: Atom Up**

Sixteen components assemble into supramolecular macrocycle



### **\*** Atom Aggregation Method

**GEM** – gas evaporation method

♦ evaporation by heating – resistive, laser, plasma, electron beam, arc discharge

 $\boldsymbol{\diamond}$  the vapor nucleates homogeneously owing to collisions with the cold gas atoms

 $\diamond$  condensation

in an inert gas (He, Ar, 1kPa) on a cold finger, walls - metals, intermetallics, alloys, SiC,  $C_{60}$ 

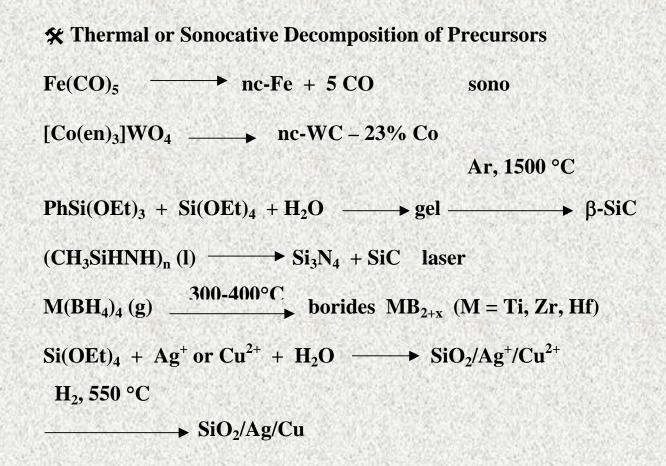
in a reactive gas  $O_2$  TiO<sub>2</sub>, MgO, Al<sub>2</sub>O<sub>3</sub>, Cu<sub>2</sub>O N<sub>2</sub>, NH<sub>3</sub> nitrides

in an organic solvent matrix

 $\begin{array}{l} SMAD-the solvated metal atom dispersion\\ 1-2 g of a metal, 100 g of solvent, cooled with liquid N_2\\ more polar solvent (more strongly ligating) gives smaller particles\\ Ni powder: THF < toluene < pentane = hexane\\ \end{array}$ 

77 to	300 K 18	180 °C, octane	
Ni(g) + pentane	$\rightarrow$ Ni <sub>x</sub> C <sub>y</sub> H <sub>z</sub> -	$\rightarrow$ Ni <sub>3</sub> C	

50



**\*** Reduction of Metal Ions

**Borohydride Reduction - Manhattan Project** 

Aqueous, under Ar  $2 \operatorname{Co}^{2+} + 4 \operatorname{BH}_{4}^{-} + 9 \operatorname{H}_{2}O \longrightarrow \operatorname{Co}_{2}B + 12.5 \operatorname{H}_{2} + 3 \operatorname{B}(OH)_{3}$ 

Under air 4 Co<sub>2</sub>B + 3 O<sub>2</sub> → 8 Co + 2 B<sub>2</sub>O<sub>3</sub>

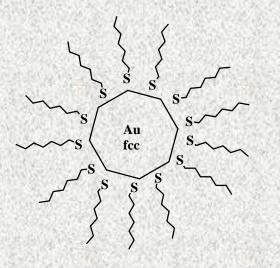
Nonaqueous  $Co^{2+} + BH_4^- + diglyme \longrightarrow Co + H_2 + B_2H_6$ 

 $TiCl_4 + 2 NaBH_4 \longrightarrow TiB_2 + 2 NaCl + 2 HCl + H_2$ 

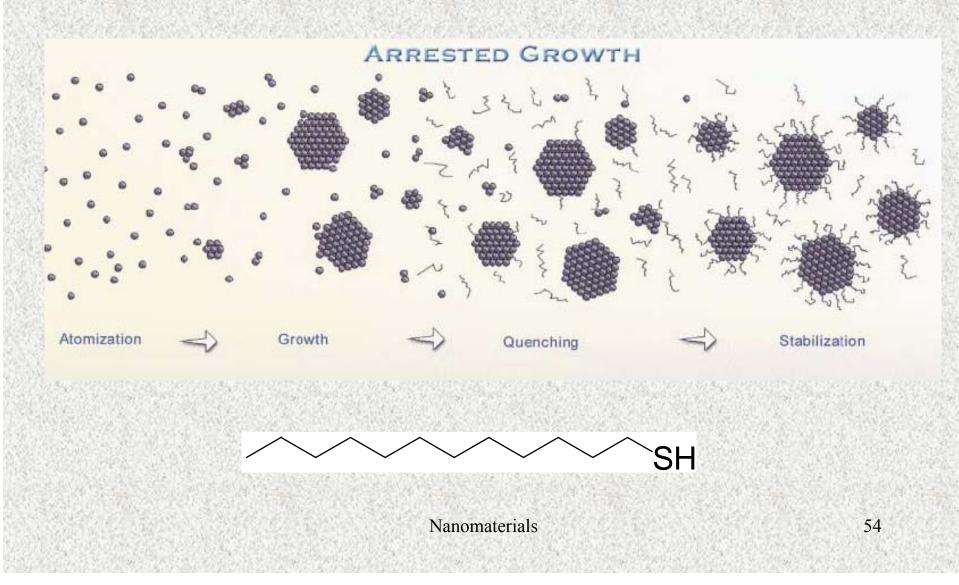
 $\begin{array}{rcl} MX_n \ + \ n \ NR_4[BEt_3H] & \longrightarrow & M \ + \ NR_4X \ + \ n \ BEt_3 \ + \ n/2 \ H_2 \\ M = group \ 6 \ to \ 11; \ n = 2,3; \ X = Cl, \ Br \\ mixed-metal \ particles \end{array}$ 

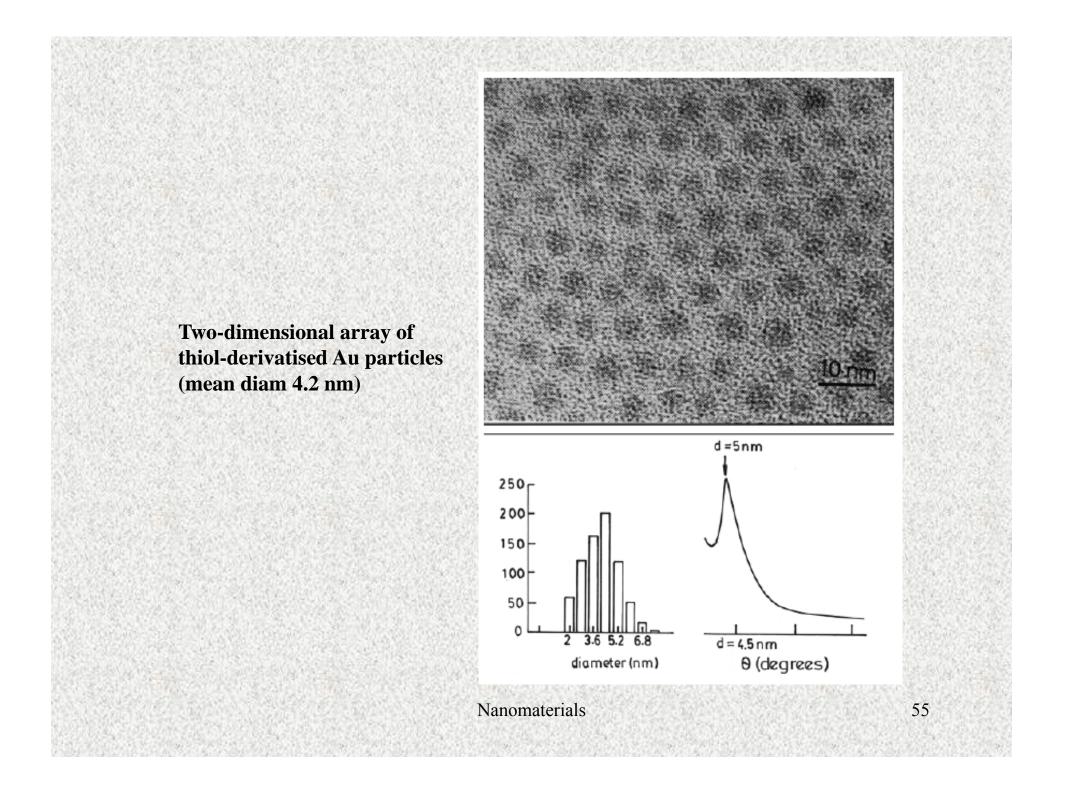
### Au colloidal particles

HAuCl<sub>4</sub> + NaBH<sub>4</sub> in toluene/H<sub>2</sub>O system, TOABr as a phase transfer agent, Au particles in the toluene layer, their surface covered with Br, addition of RSH gives stable Au colloid



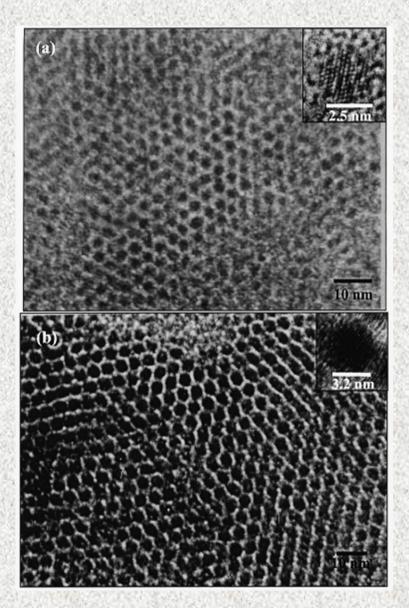
## **Bottom-up Synthesis**

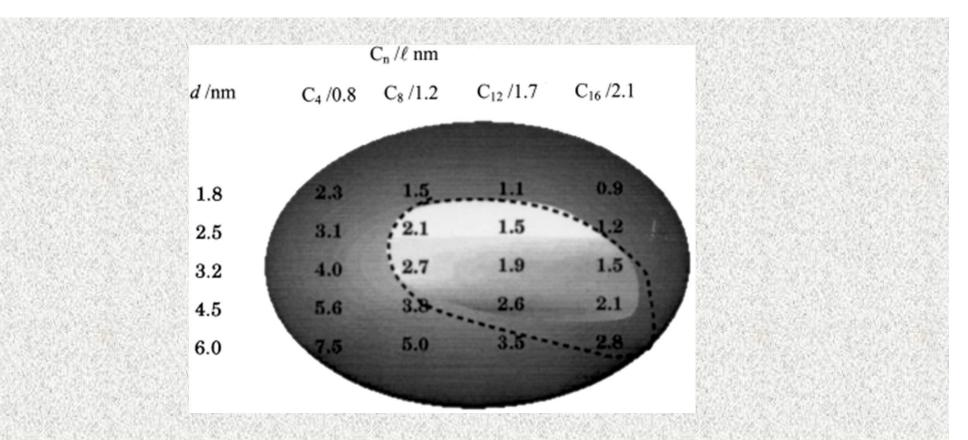




## TEM micrograph of hexagonal arrays of thiolized Pd nanocrystals:

- a) 2.5 nm, octane thiol
- b) 3.2 nm, octane thiol





The *d*-*l* phase diagram for Pd nanocrystals thiolized with different alkane thiols.

The mean diameter, *d*, obtained by TEM.

The length of the thiol, *l*, estimated by assuming an all-*trans* conformation of the alkane chain. The thiol is indicated by the number of carbon atoms,  $C_n$ .

The bright area in the middle encompasses systems which form close-paced organizations of nanocrystals. The surrounding darker area includes disordered or low-order arrangements of nanocrystals. The area enclosed by the dashed line is derived from calculations from the soft sphere model Nanomaterials 57

### NANOSTRUCTURAL MATERIALS Alkali Metal Reduction

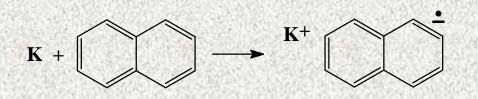
in dry anaerobic diglyme, THF, ethers, xylene

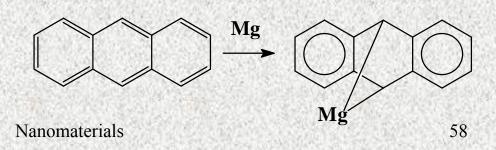
 $NiCl_2 + 2 K \rightarrow Ni + 2 KCl$ 

 $AlCl_3 + 3 K \rightarrow Al + 3 KCl$ 

**Reduction by Glycols or Hydrazine** 

"Organically solvated metals"





## **Alkalide Reduction**

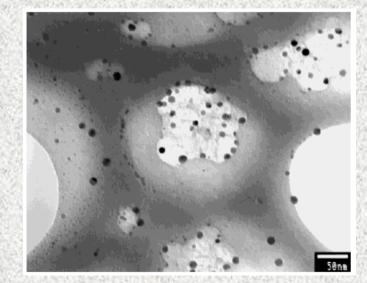
13 K<sup>+</sup>(15-crown-5)<sub>2</sub>Na<sup>-</sup> + 6 FeCl<sub>3</sub> + 2CBr<sub>4</sub>

THF -30 °C

2 Fe<sub>3</sub>C (nano) + 13 K(15-crown-5)<sub>2</sub>Cl<sub>0.43</sub>Br<sub>0.57</sub> + 13 NaCl

Anealed at 950 °C / 4 h

Fe<sub>3</sub>C: 2 – 15 nm



**\*** Reactions in Porous Solids – Zeolites, Mesoporous materials

Ion exchange in solution, reaction with a gaseous reagent inside the cavities

 $M^{2+} + H_2E \longrightarrow ME$  M = Cd, Pb; E = S, Se

Ship-in-the-Bottle Synthesis

 $Ru^{3+} + Na-Y \longrightarrow Ru(III)-Y$ Ru(III)-Y + 3 bpy  $\longrightarrow$  Ru(bpy)<sub>3</sub><sup>2+</sup> reduction of Ru(III)

Conducting carbon wires Acrylonitrile introduced into MCM-41 (3 nm diam. channels) Radical polymerization Pyrolysis gives carbon filaments

**\*** Gel or Polymer Matrices

**\*** Sol-Gel Method Aerogels, supercritical drying

**\*** Aerosol Spray Pyrolysis Aqueous solution, nebulization, droplet flow, solvent evaporation, chemical reaction, particle consolidation, up to 800 °C

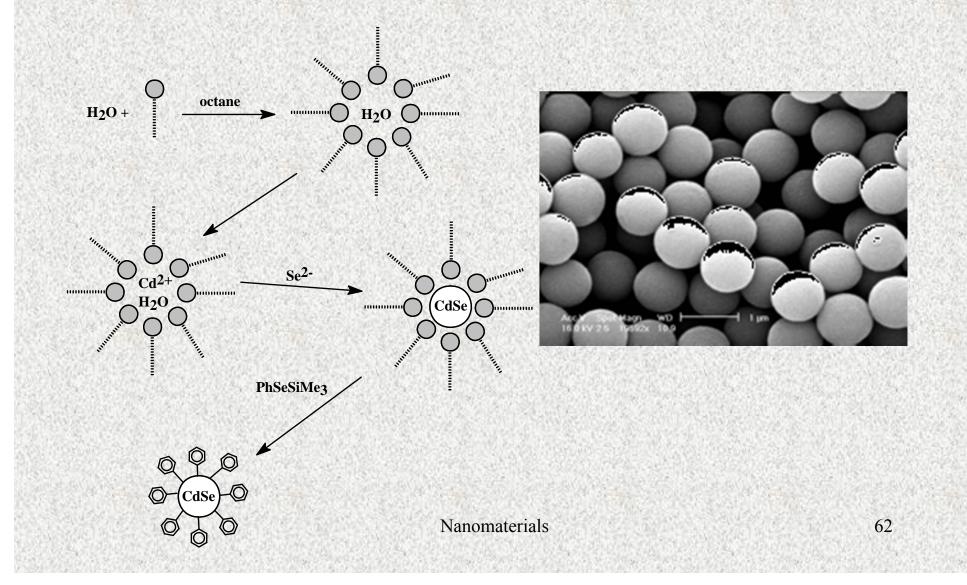
 $3Gd(NO_3)_3 + 5 Fe(NO_3)_3 \longrightarrow Ga_3Fe_5O_{12} + 6 O_2 + 24 NO_2$ 

MnCl<sub>2</sub> + 2 FeCl<sub>3</sub> + 4 H<sub>2</sub>O → MnFe<sub>2</sub>O<sub>4</sub> + 8 HCl

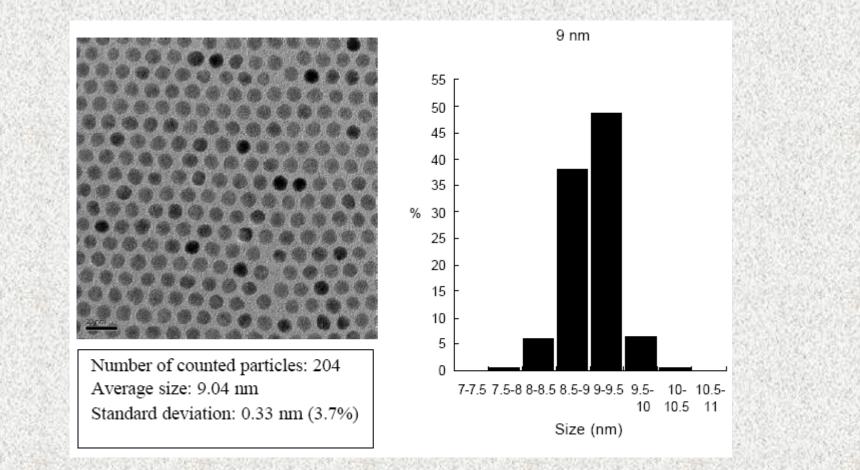
 $Mn(NO_3)_2 + Fe(NO_3)_3$  no go, why?

 $2 \operatorname{MCl}_{n}(g) + n \operatorname{H}_{2} \xrightarrow{850-900 \circ C} \operatorname{M}^{0} + 2n \operatorname{HCl}_{3-11 \operatorname{nm}}$ 

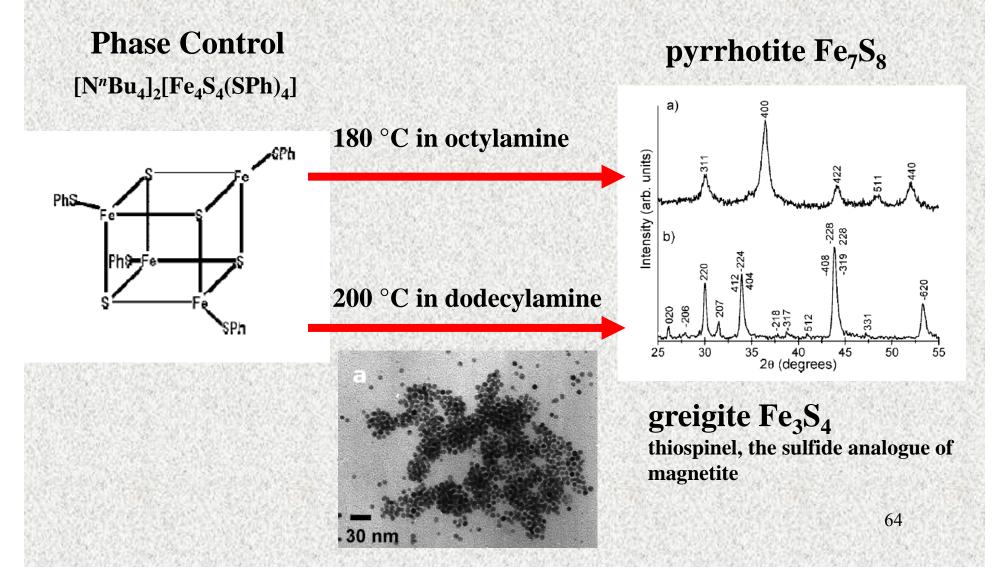
### NANOSTRUCTURAL MATERIALS \* Inverse Micelles Bottom-up Synthesis



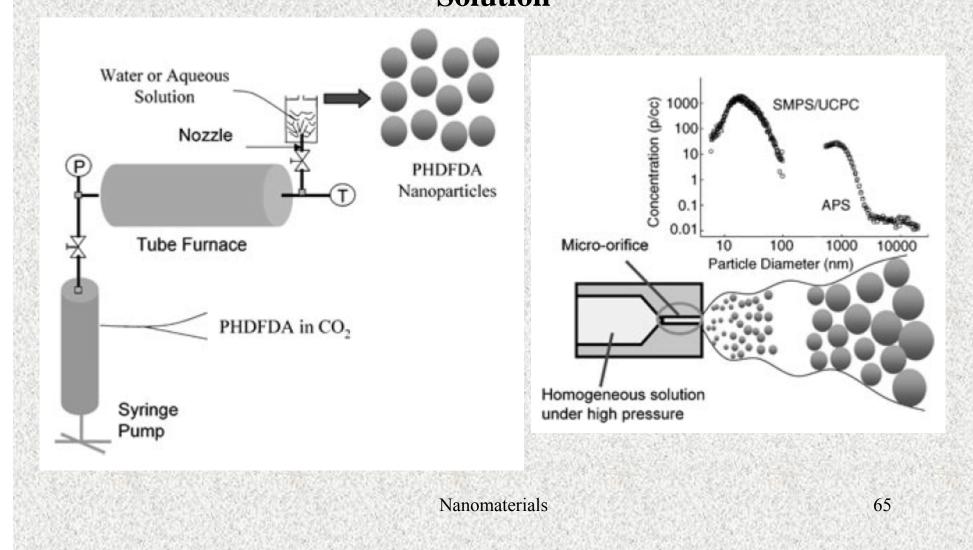
### **Bottom-up Synthesis**



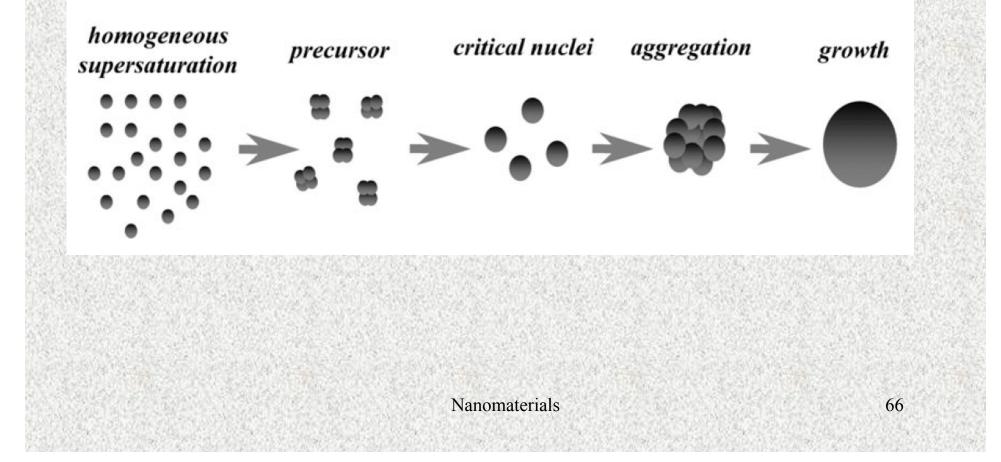
## **Bottom-up Synthesis**

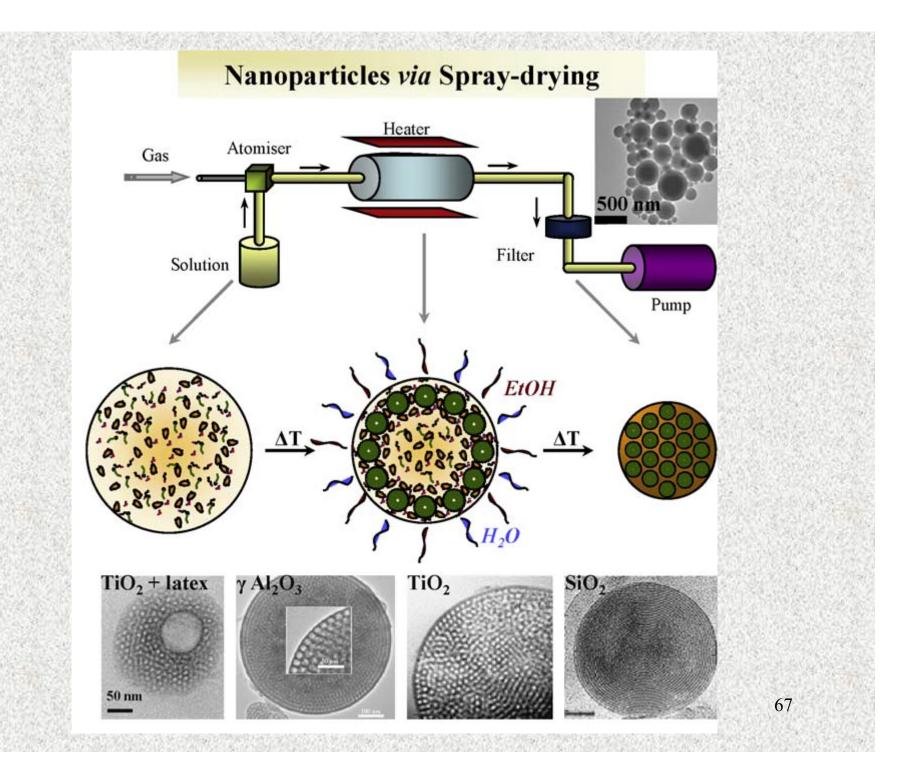


### Polymeric Nanoparticles from Rapid Expansion of Supercritical Fluid Solution



## Polymeric Nanoparticles from Rapid Expansion of Supercritical Fluid Solution

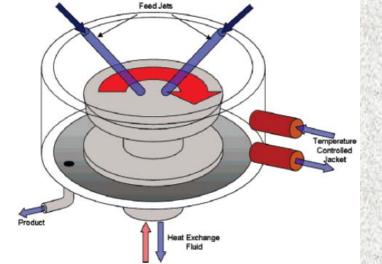




## **Spinning Disc Processing (SDP)**

A rapidly rotating disc (300-3000 rpm) Ethanolic solutions of  $Zn(NO_3)_2$  and NaOH, polyvinylpyrrolidone (PVP) as a capping agent Very thin films of fluid (1 to 200  $\mu$ m) on a surface Synthetic parameters = temperature, flow rate, disc speed, surface texture influence on the reaction kinetics and particle size

Intense mixing, accelerates nucleation and growth, affords monodispersed ZnO nanoparticles with controlled particle size down to a size of 1.3 nm and polydispersities of 10%



### NANOSTRUCTURAL MATERIALS

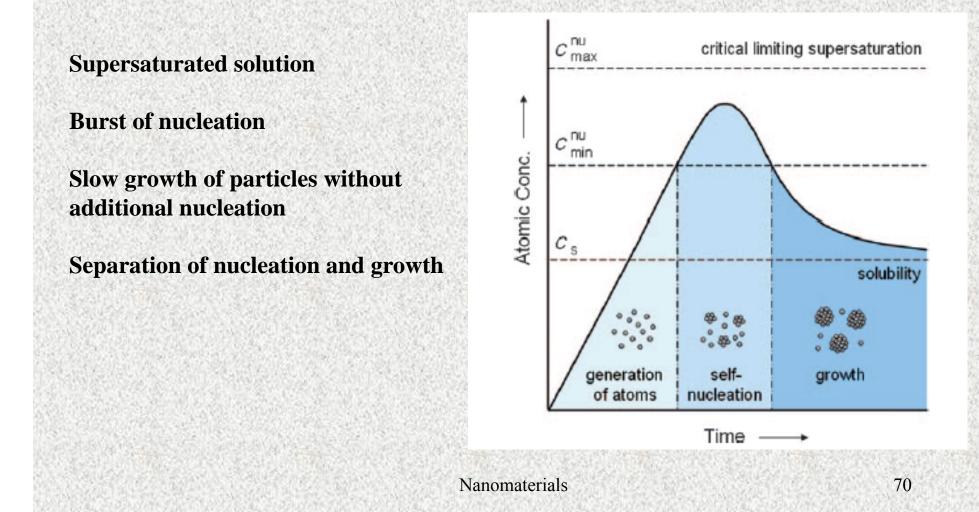
### **Properties on Nanostructured Materials**

Metallic behavior
 Single atom cannot behave as a metal
 nonmetal to metal transition: 100-1000 atoms

 Magnetic behavior Single domain particles, large coercive field

Depression of melting points in nanocrystals
 bulk Au mp 1064 °C 10 nm Au 550 °C

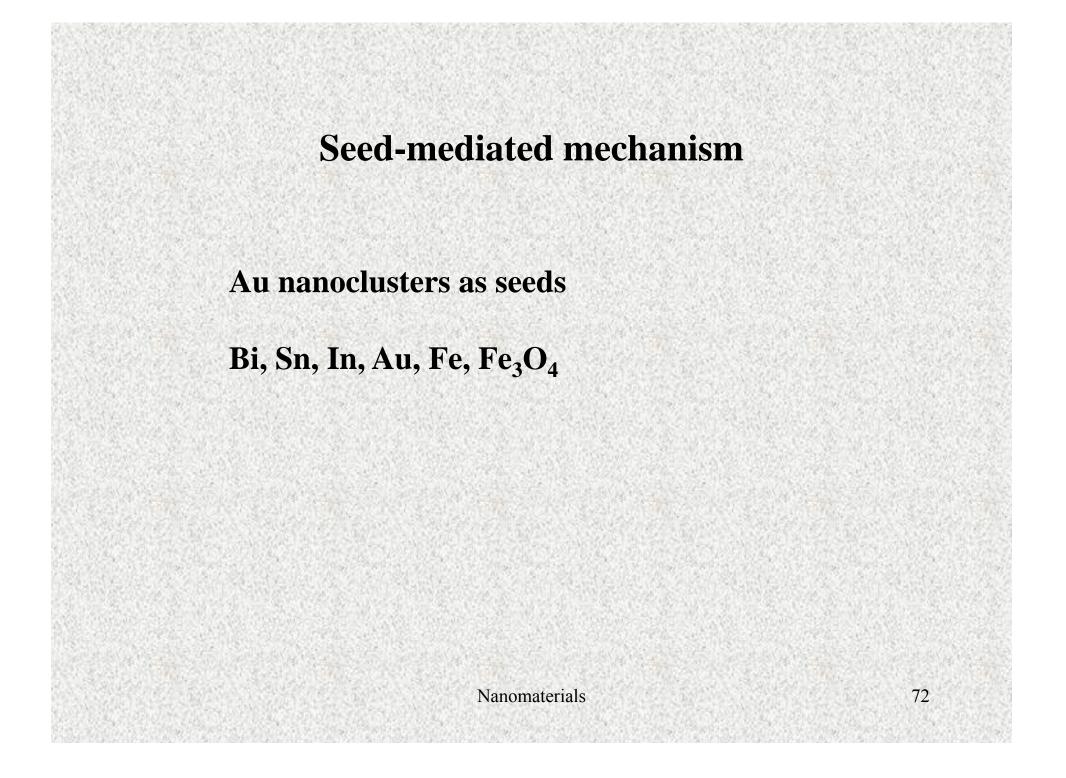
### LaMer mechanism





### **Slow continuous nucleation**

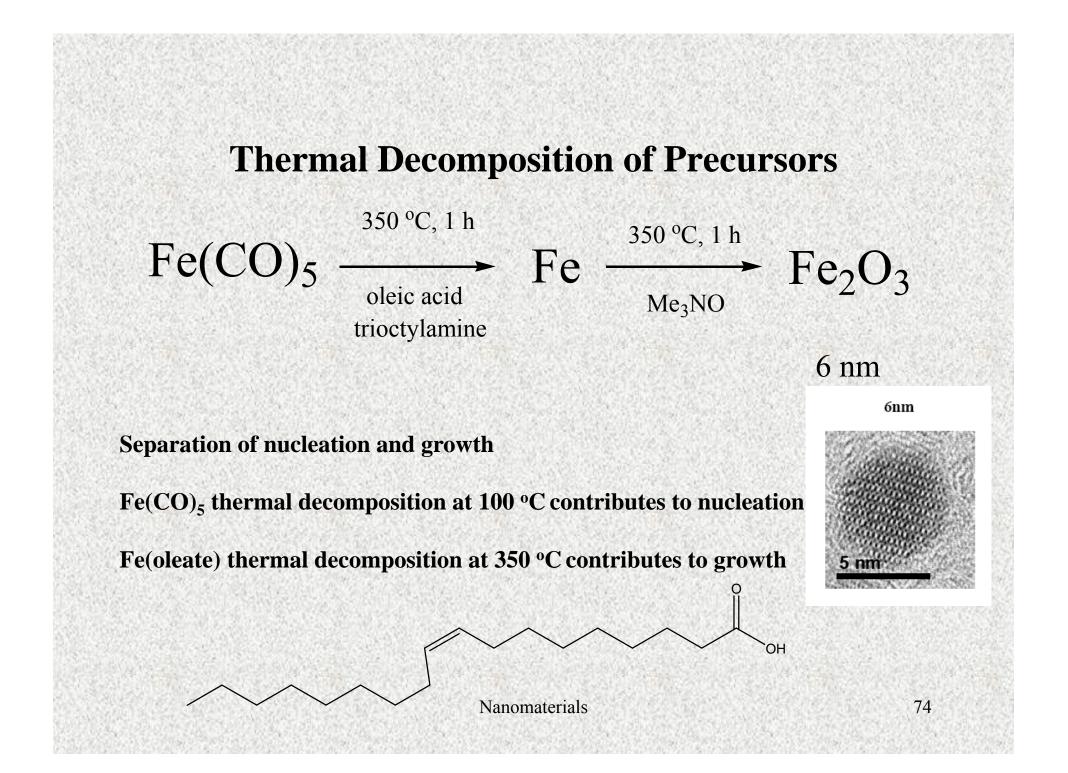
### Fast autocatalytic surface growth



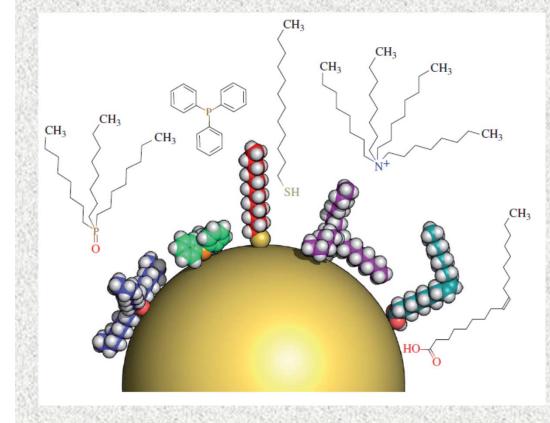
## **Other mechanisms**

### **Digestive rippening**

### Surfactant exchange



## **Surface Modification**



A nanoparticle of 5nm core diameter with different hydrophobic ligand molecules both drawn to scale.

The particle is idealized as a smooth sphere.

trioctylphosphine oxide (TOPO) triphenylphosphine (TPP) dodecanethiol (DDT) tetraoctylammonium bromide (TOAB) oleic acid (OA)

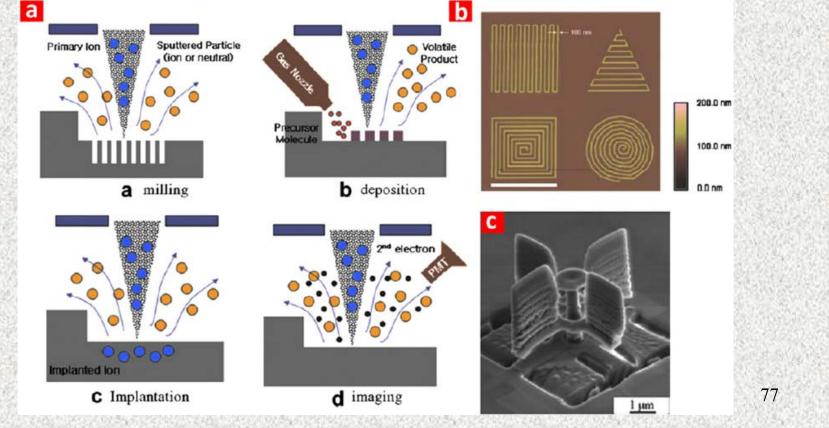
# **Top-down Synthesis: Bulk Down**

Introduction of Crystal Defects (Dislocations, Grain Boundaries)
High-Energy Ball Milling
final size only down to 100 nm, contamination
Extrusion, Shear, Wear
High-Energy Irradiation
Detonative Treatment
Crystallization from Unstable States of Condensed Matter
Crystallization from Glasses
Precipitation from Supersaturated Solid or Liquid Solutions

# **Top-down Synthesis: Bulk Down**

## **\***Lithographic Techniques

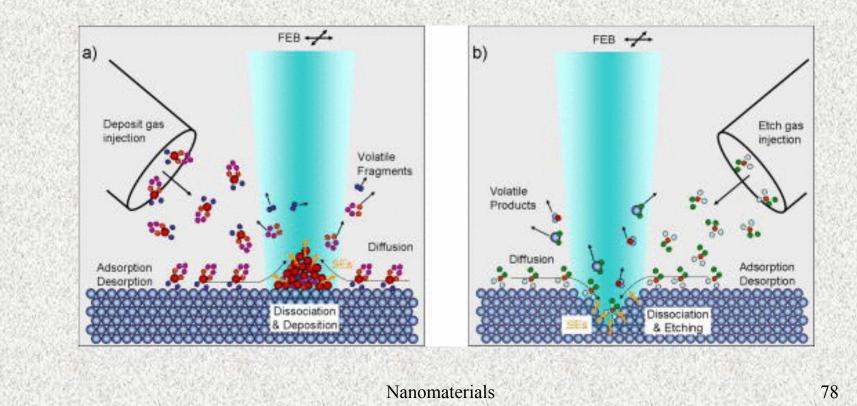
♦electron beam and focused ion beam (FIB) lithography



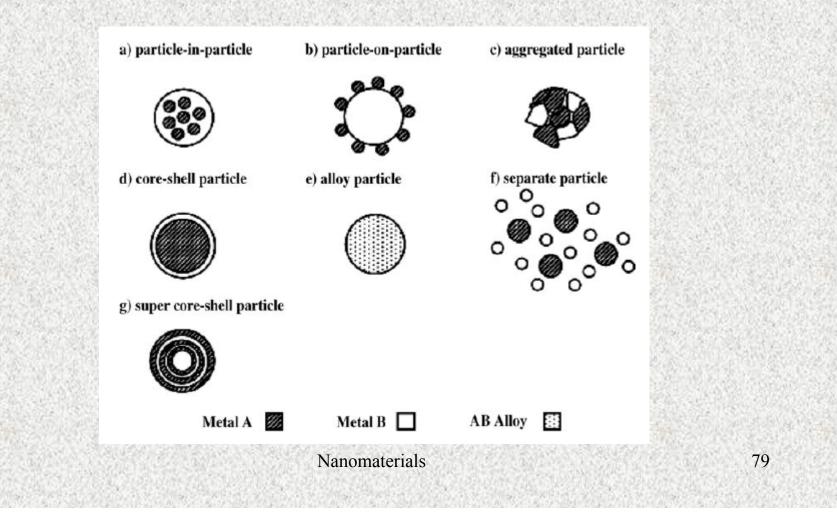
# **Top-down Synthesis: Bulk Down**

## **%**Lithographic Techniques

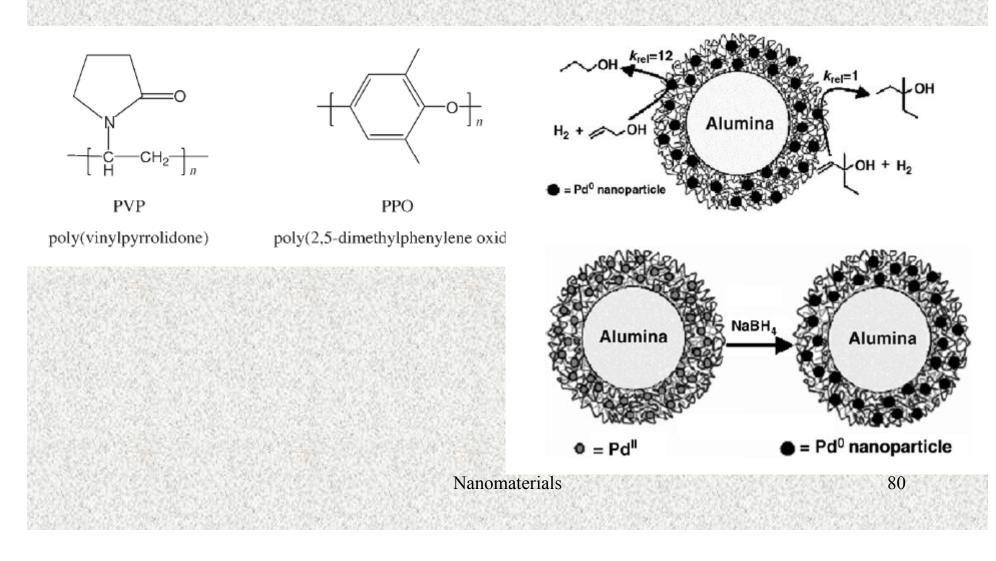
♦electron beam and focused ion beam (FIB) lithography



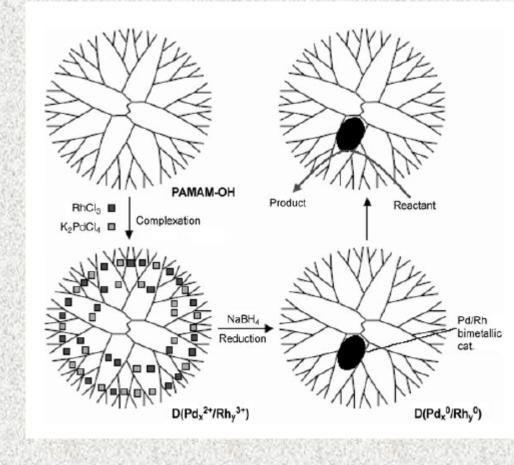
#### **Morphologies of bimetallic nanoparticles**



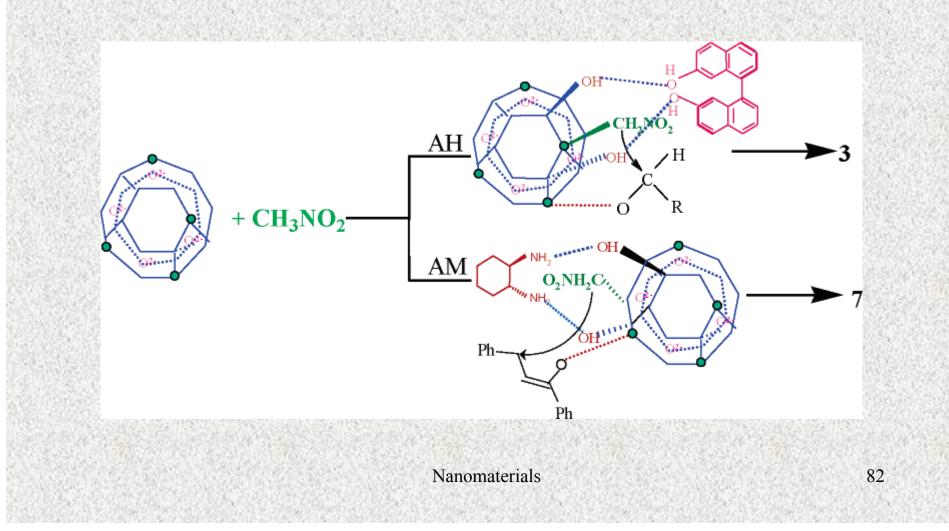
Polymers used as metal NP supports for catalysis



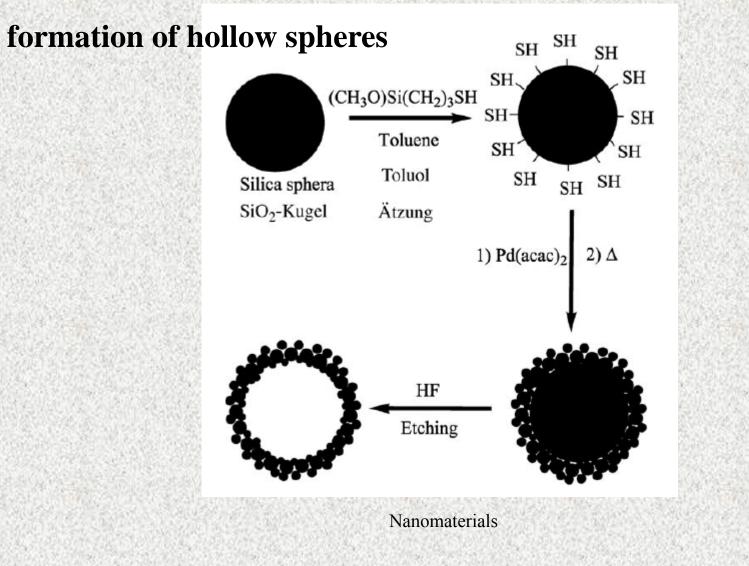
#### Catalysis by nanoparticles encapsulated in PAMAM or PPI dendrimers



#### Asymmetric heterogeneous catalysis on nanoparticles

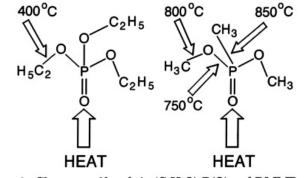


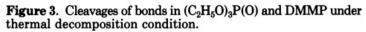


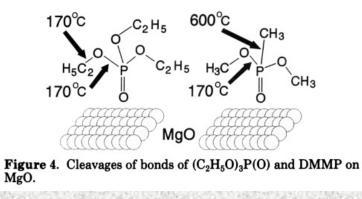


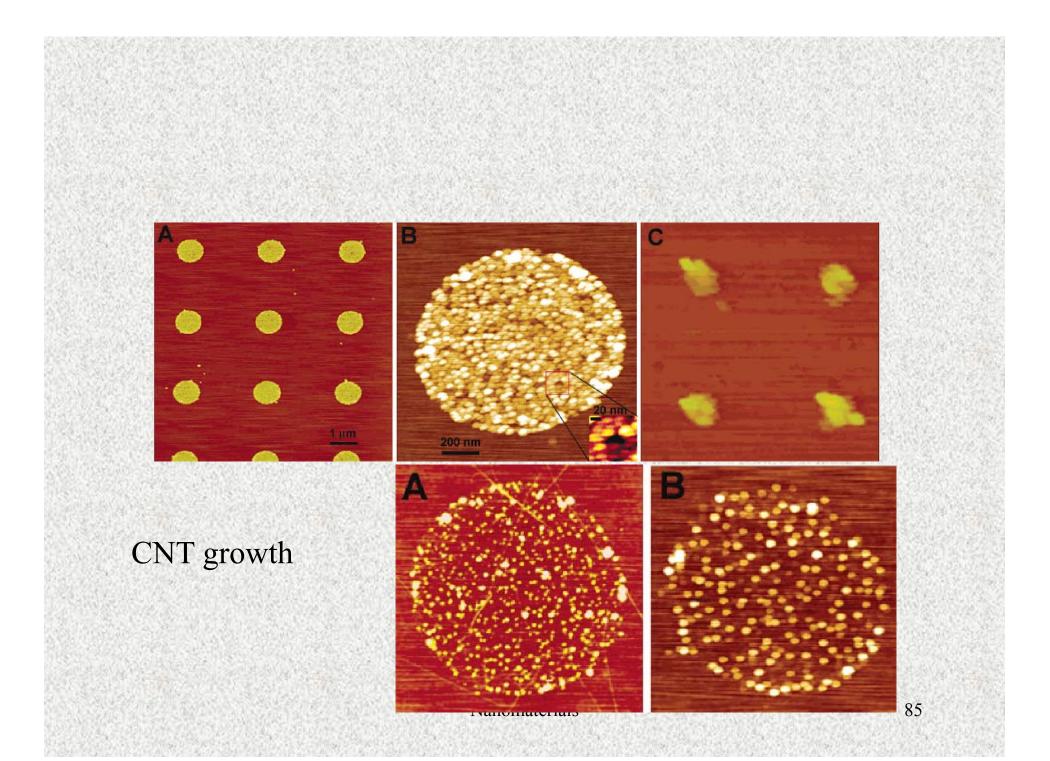
### Applications

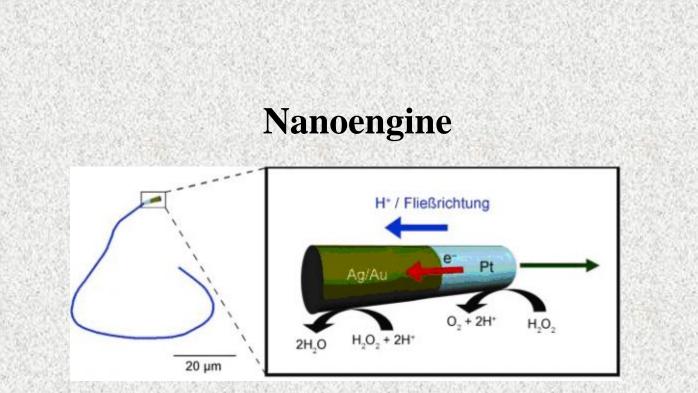
**Destruction of dangerous organic compounds** (organophosphates - VX, chlorinated - PCB)











Nanoengine runs on catalytic reactions:

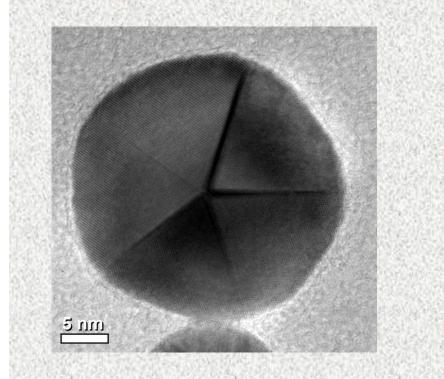
Pt part splits  $H_2O_2$  to  $O_2$  and protons  $H^+$ .

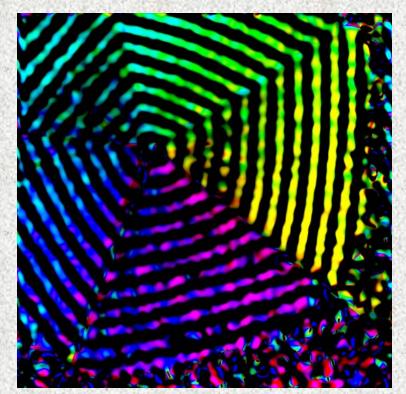
Excess electrons move to Ag/Au, reduce  $H_2O_2$  and protons to water.

Release of O<sub>2</sub> causes streaming that propels the engine through the liquid

- 150 micrometers per second
- Joseph Wang UC San Diego and Arizona State

## Growth twinning in gold nano-particle





The Moiré-fringe image of a 30 nm decahedral gold nanoparticle shows five-fold rotational symmetry (black fringes) that results from serial twinning and shows the internal distortion of the atomic structure (indicated by the gradual change of the color fringes) that accommodates this unique geometry. The Moiré-fringe image was extracted from the original TEM image taken on the spherical-aberration-corrected Tecnai F20 at the CEMES-CNRS in Toulouse, France. Such particles have tremendous potential as components of nanoscale plasmonic devices for imaging, cancer therapy, and biosensing among other applications.