

- Chemical methods used to change physical and chemical properties –
 chemical composition, substituents, concentration, crystal structure....
- Size is another variable to change physical and chemical properties for constant chemical composition
- Each physical property or fenomenon has a characteristic length
- When particle size is comparable to the characteristic length, property starts to depend on the size

Nanoscopic Scales



Nanomaterials

1 – 100 nm

1 nm = 10⁻⁹ m

1 nm = 10 Å





Nanoparticles 1 – 100 nm Traditional materials > 1 μ m







2µm

EU definition (2011):

A natural, incidental or manufactured material containing particles, in an unbound state or as an aggregate or as an agglomerate and where, for 50% or more of the particles in the number size distribution, one or more external dimensions is in the size range **1 nm – 100 nm**.

http://ec.europa.eu/environment/chemicals/nanotech/faq/d efinition_en.htm

Nanoscale regime

Size 1 – 100 nm - Physical and chemical properties depend on the size !!

Natural examples:

 Human teeth, 1-2 nm fibrils of hydroxyapatite Ca₅(PO₄)₃(OH) + collagen

Asbestos, opals, chalcedony

Primitive meteorites, 5 nm C or SiC, early age of the Solar system

Nanoscale objects have been around us, but only now we can observe them, manipulate, and synthesize them

Scanning Tunelling Microscopy STM



Binning and Rohrer Nobel Prize 1986

> Nanoscale Writing STM positioned Xe atoms on a Ni crystal, 5 nm letters

There's Plenty of Room at the Bottom

What I want to talk about is the problem of manipulating and controlling things on a small scale ...

As soon as I mention this, people tell me about miniaturization, and how far it has progressed today. They tell me about electric motors that are the size of the nail on your small finger. And there is a device on the market, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing; that's the most primitive, halting step in the direction I intend to discuss. It's a staggeringly small world that is below. In the year 2000, when they look back at this age, they will wonder why it was not until the year 1960 that anybody began seriously to move in this direction.....

> Prof. Richa room at the annual mee 29 Decemb

Prof. Richard Feynman in "There's plenty of room at the bottom", lecture delivered at the annual meeting of the APS, Caltech, 29 December, 1959.



Richard Feynman (1918–1988) NP in Physics 1965



Nanoscale writing with an AFM (Mirkin et al.)

As soon as I mention this, people tell me about miniaturization, and how far it has progressed today. They tell me about electric motors that are the size of the noil on your small finger. And there is a device on the manket, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing: that's the most primitive, halting step in the direction I intend to discuss. It is a staggeringly small world that is below. In the year 2000, when they look back at this age, they will wonder why it was not until the year 1950 that anybody began seriously to move in this direction. 400 nm

Richard P. Feynman, 1960

Properties of Nanoscopic Materials

- Metallic behavior a single atom cannot behave as a metal, metal to nonmetal transition on decreasing the size: 100-1000 atoms
- Magnetic behavior Single domain particles, large coercive field
- Depression of melting points in nanocrystals bulk Au mp 1064 °C \rightarrow 10 nm Au 550 °C
- Negligible light scattering new optics
- Quantum size effects information technology, storage media
- High surface area catalysts, adsorbents
- Large interfacial area new composites

applications

Surface modifications - targeted drug delivery, medical and biological





The Nano-Family

3 dimensions are between 1 - 100 nm

Sinm

- **0-D structures (3-D confinement):**
- Quantum dots
- Nanoparticles



AFM 1 µm x 1 µm InAs on GaAs/InP













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Au nanoparticles

The Nano-Family

At least 2 dimensions are between 1 - 100 nm

- **1-D structures (2-D confinement):**
- Nanowires
- Nanorods
- Nanotubes
- Nanofibers









The Nano-Family

At least one dimension is between 1 - 100 nm

- 2-D structures (1-D confinement):
- Thin films CVD, ALD
- Planar quantum wells
- Superlattices
- Graphene
- SAM



Nanoscopic Behavior of Materials

Differences between bulk and nanoscale materials

- Surface Effects
- Quantum Confinement Effects



Decreasing grain size = Increasing volume fraction of grain boundaries (50% for 3 nm particles)



Dispersion *F* = the fraction of atoms at the surface

- F is proportional to surface area divided by volume
- N = total number of atoms r = radius V = volume

 $F \approx \frac{r^2}{r^3} \approx \frac{1}{r} \approx \frac{1}{\sqrt[3]{N}}$

 $V \sim r^3 \sim N$



n = number of atoms at the cube edge

Atoms at surfaces

- Fewer neighbors than atoms in the bulk = lower coordination number
- Stronger and shorter bonds
- Unsatisfied bonds
- Broad spectrum of interatomic distances and angles
- Surface atoms are less stabilized than bulk atoms
- Reduced atomic density (by 10 30 %)

The smaller is a particle, the larger is the fraction of atoms at the surface, and the higher is the average binding energy per atom

The melting and other phase transition temperatures scale with surface-to-volume ratio and with the inverse size 1/r



A = Atoms at surfaces (one layer) – fewer neighbors, lower coordination, unsatisfied (dangling) bonds

B = Atoms close to surface (several layers) – deformation of coordination sphere, distorted bond distances and angles

C = Bulk atoms, regular ordering – not present in particles below 2 nm

Experimental evidence



- > HR-TEM
- EXAFS, reduced number of nearest and next-nearest neighbors
- Raman spectroscopy
- > Mössbauer spectroscopy, quadrupole splitting distribution broadened
- Diffusivity enhanced by up to 20 orders of magnitude !!
- Solute solubility in the boundary region

Ag (fcc) and Fe (bcc) immiscible in (s) or (l), but do form solid solution as nanocrystalline alloy

EPR, nano-Si gives a sharp signal





Calculated mean coordination number <NN> as a function of inverse radius, represented by $N^{-1/3}$ for Mg clusters (triangles = icosahedra, squares = decahedra, diamonds = hcp

Full-shell "magic number" clusters	\$				
Number of shells	1	2	3	4	5
Number of atoms in cluster	13	55	147	309	561
Percentage of surface atoms	92	76	63	52	45

Atom binding (vaporization) energies lower in nanoparticles, fewer neighbors to keep atoms from escaping

Plasticity of nanocrystalline ceramics

man

Surface Effects in Nanoalloys







Mixed nanoalloy

Core-Shell nanoalloy

Janus nanoalloy

Alloys:

- Random mixture
- Core-shell
- Janus



Parameters influencing miscibility

- Atomic size
- Electronegativity
- Surface energy



Transmission Electron Microscopy – Energy Dispersive X-ray Spectroscopy

Ag@Ni Core-shell NPs





ICP-OES: Ag 68.8 mol%, EDS: Ag 84.2 mol%



ICP-OES: Ag 50.3 mol%, EDS: Ag 62.5 mol%

Localized Surface Plasmon Resonance (LSPR)

LSPR = the collective oscillation of the conduction electrons on the metallic NPs excited by the incident photons at the resonant frequency coupled to the electromagnetic field

Metallic NPs with sizes smaller than the wavelength of light

The resonance frequency of the oscillation = the surface plasmon (SP) energy



Localized Surface Plasmon Resonance (LSPR)



The resonance frequency of the oscillation (LSPR)

- dielectric properties of the metal
- the surrounding medium
- the particle size
- the particle shape

Effects of Synthesis on Ag-Cu NPs



Melting Point Depression

Surface atoms in solids are bound by a lower number of shorter and stronger bonds Nanoparticles with a large fraction of surface atoms

- Lowering of average cohesion energy
- Increasing average amplitude of thermal vibrations
- Increasing internal pressure

Melting temperature

Result = depression of melting point of nanoparticles



Size (number of atoms)



Melting Models



Homogeneous Melting Model

$$T_m(r) = T_m \text{ (bulk)} - \frac{2T_m \text{ (bulk)}M}{\Delta H_m^{bulk} \rho_s r} \left[\gamma_{sg} - \gamma_{lg} \left(\frac{\rho_s}{\rho_l} \right)^{\frac{2}{3}} \right]$$



Continuous Liquid Melting Model

Gibbs-Thomson Equation





Phase Transitions



With a lower number of atoms in a cluster a phase transition is less well defined and broadened

Small clusters behave more like molecules than as bulk matter





First-Order Phase Transitions



3 main consequences of a size decrease on caloric curve:

- The transition is shifted, usually to a lower temperature (surface atoms are less coordinated and less bound than interior atoms)
- The transition temperature is no longer sharp but becomes broad and takes place over a finite range (fluctuations in TD quantities)
- The latent heat of melting is lower than in the bulk limit

Reduction in particle size

- Metal particles usually exhibit a lattice contraction
- Oxide particles exhibit a lattice expansion



Correlation between the unit-cell volume (cubic) and the XRD particle size in γ -Fe₂O₃ nanoparticles

The smaller the particle size, the larger the unit cell volume



The inter-ionic bonding in nanoparticles has a directional character

lons in the outermost layer of unit cells possess unpaired electronic orbitals

Associated electric dipole moments, aligned roughly parallel to each other point outwards from the surface

The repulsive dipolar interactions increase in smaller particles

The repulsive dipolar interactions reduced by allowing unit cell volume to increase

Metal nanocrystals

A continuum elastic model

The lattice contraction observed in Ag nanoclusters

Interpreted as the result of hydrostatic pressure exerted by the surface stress

The surface stress 6.3 N/m for free Ag NPs 1–7 nm in diameter



The smaller the particle size, the smaller the unit cell volume

Quantum Confinement Effects

Physical and chemical properties depend on the size !!



Quantum Size Effects

Band gap dependency on the nanoparticle size





Fluorescence of CdSe–CdS core–shell nanoparticles with a diameter of 1.7 nm (blue) up to 6 nm (red)

Smaller particles have a wider band gap = blue shift ₃₈



Metal-to-Insulator Transition

Band gap increases with decreasing size



Metallic behavior Single atom cannot behave as a metal nonmetal to metal transition 100-1000 atoms

Magnetic behavior Single domain particles large coercive field

Quantum Size Effects

Hg Valence electron configuration

[Xe] 4f¹⁴ 5d¹⁰ 6s²

Photoelectron spectra of Hg clusters of nuclearity *n*

The 6p peak moves gradually towards the Fermi level

The band gap shrinks with increase in cluster size Hg clusters become metalic



Quantum Size Effects in Semiconductors



a) Absorption spectra of CdSe

b) Wavelength of the absorption threshold and band gap as a function of the particle diameter for various semiconductors The energy gap in the bulk state in parenthesis



Bohr Radii

Quantum confinement - particles must be smaller than the Bohr radius $r_{\rm B}$ of the electron-hole pair (exciton)

 $r_{\rm B}$ = the spatial separation of the electron-hole pair CB $r_B = \frac{\hbar^2 \varepsilon}{e^2} \left(\frac{1}{m_e} + \frac{1}{m_h} \right)$ Quantum dot hv Confined exciton Exciton Hole ► Electron Actual exciton bohr radius semiconductor $r_{\rm B}$ (Å) $E_{\rm g}~({\rm eV})$ CdS 282.5CdSe 531.7CdTe 751.5GaAs 1.412443 PbS 1800.41

Quantum Confinement Effects

Optical properties nc-TiO₂ is transparent - applications in suncreens

Blue shift in optical spectra of TiO₂ nanoparticles



Preparation Methods



Top-down: from bulk to nanoparticles Bottom-up: from atoms to nanoparticles

Bottom-up Synthesis: Atom Up

Sixteen components assemble into supramolecular macrocycle



Atom Aggregation Methods

GEM – gas evaporation method

evaporation by heating – resistive, laser, plasma, electron beam, arc discharge

 \diamond the vapor nucleates homogeneously owing to collisions with the cold gas atoms

♦ condensation

- in an inert gas (He, Ar, 1 kPa) on a cold finger and walls metals, intermetallics, alloys, SiC, C₆₀

- in a reactive gas O_2 - oxides TiO₂, MgO, Al₂O₃, Cu₂O

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N<sub>2</sub>, NH<sub>3</sub> - nitrides
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- in an organic solvent matrix - metals, carbides

SMAD – the solvated metal atom dispersion

1–2 g of a metal, 100 g of solvent, cooled with liquid N_2 more polar solvent (more strongly ligating) gives smaller particles Ni powder: THF < toluene < pentane = hexan Carbide formation

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 $77-300 \text{ K} \qquad 450 \text{ K}$ Ni (g) + pentane $\rightarrow \text{Ni}_x\text{C}_y\text{H}_z \rightarrow \text{Ni}_3\text{C}$

Bottom-up Synthesis

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Thermal or Sonocative Decomposition of Precursors
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 $Fe(CO)_5 \rightarrow nc-Fe + 5 CO$ sonolytic decomposition

 $[Co(en)_3]WO_4 \rightarrow nc-WC - 23\%$ Co thermolysis

PhSi(OEt)₃ + Si(OEt)₄ + H₂O \rightarrow gel \rightarrow β -SiC (in Ar, 1500 °C)

 $(CH_3SiHNH)_n (I) \rightarrow Si_3N_4 + SiC$ laser pyrolysis

 $M(BH_4)_4$ (g) \rightarrow borides MB_{2+x} (300–400 °C, M = Ti, Zr, Hf)

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Si(OEt)_4 + Ag^+ \text{ or } Cu^{2+} + H_2O \rightarrow SiO_2/Ag^+/Cu^{2+} metal-impregnated gel
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SiO_2/Ag^+/Cu^{2+} + H_2 \rightarrow SiO_2/Ag/Cu (550 °C)
metal NPs embedded in xerogel
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Surface Modification



A nanoparticle of 5 nm core diameter with different hydrophobic ligand molecules both drawn to scale

The particle is idealized as a smooth sphere

- trioctylphosphine oxide (TOPO)
- triphenylphosphine (TPP)
- dodecanethiol (DDT)
- tetraoctylammonium bromide (TOAB)
- oleic acid (OA)



LaMer Mechanism

Hot-injection synthesis

- 1) Monomer formation
- 2) Supersaturated solution
- 3) Burst of nucleation
- 4) Depletion of monomer
- 5) Slow growth of particles without additional nucleation

Separation of nucleation and growth - monodisperse



Other Mechanisms

Digestive Rippening

The conversion of polydisperse NPs into monodisperse ones The etching of large NPs by dissolution of clusters/atoms by digestive ripening agents - strongly coordinating ligands Clusters/atoms redeposited on small NPs = the growth of smaller NPs Narrowing of the particle size distribution = monodisperse system

A thermodynamic equilibrium size of the NPs is usually obtained Depends on the specific ligand and the reaction temperature



Watzky-Finke Mechanism

Slow continuous nucleation - Fast autocatalytic surface growth

Seed-mediated Mechanism

Au nanoclusters as seeds - Bi, Sn, In, Au, Fe, Fe₃O₄

Borohydride Reduction

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Reduction of Metal Ions
Manhattan Project
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Aqueous, under Ar $2 \operatorname{Co}^{2+} + 4 \operatorname{BH}_4^- + 9 \operatorname{H}_2 O \rightarrow \operatorname{Co}_2 B + 12.5 \operatorname{H}_2 + 3 \operatorname{B}(OH)_3$ Under air $4 \operatorname{Co}_2 B + 3 \operatorname{O}_2 \rightarrow 8 \operatorname{Co} + 2 \operatorname{B}_2 \operatorname{O}_3$ Nonaqueous $\operatorname{Co}^{2+} + \operatorname{BH}_4^- + \operatorname{diglyme} \rightarrow \operatorname{Co} + \operatorname{H}_2 + \operatorname{B}_2 \operatorname{H}_6$

 $TiCl_4 + 2 NaBH_4 \rightarrow TiB_2 + 2 NaCl + 2 HCl + H_2$





 $MX_n + n NR_4[BEt_3H] \rightarrow M + NR_4X + n BEt_3 + n/2 H_2$

M = group 6 to 11, Bi, Sn, ; n = 2,3; X = Cl, Br, NO₃, OAc, OOC-R, acac, O-R Solvents: Diethylenglycol, Oleylamine, Surfactant Mixed-metal particles AgNi, AgCu, BiNi, 54

Borohydride Reduction

Au colloidal particles

 $HAuCl_4 + NaBH_4$ in toluene/ H_2O system, TOABr as a phase transfer agent, Au particles in the toluene layer, their surface covered with Br, addition of RSH gives stable Au colloid



Two-dimensional array of thiol-derivatised Au NPs (mean diam 4.2 nm)





Alkali Metal Reduction

Solvents: dry anaerobic diglyme, THF, ethers, xylene

 $NiCl_2 + 2 K \rightarrow Ni + 2 KCl$

 $AICI_3 + 3 K \rightarrow AI + 3 KCI$

Reduction by Glycols or Hydrazine

"Organically solvated metals"



Alkalide Reduction

13 K⁺(15-crown-5)₂Na⁻ + 6 FeCl₃ + 2 CBr₄

THF _30 °C

2 Fe₃C (nano) + 13 K(15-crown-5)₂Cl_{0.43}Br_{0.57} + 13 NaCl

Anealed at 950 °C / 4 h

Fe₃C: 2 – 15 nm



Reactions in Porous Solids

Zeolites, Mesoporous materials

lon exchange in solution, reaction with a gaseous reagent inside the cavities: $M^{2+} + Na-Y \rightarrow M-Y + 2 Na^+$

 $M^{2+} + H_2E \rightarrow ME M = Cd, Pb; E = S, Se$

Ship-in-the-Bottle Synthesis $Ru^{3+} + Na-Y \rightarrow Ru(III)-Y + 3 Na^+$ $Ru(III)-Y + 3 bpy \rightarrow Ru(bpy)_3^{2+}$ reduction of Ru(III)

Conducting carbon nanowires Acrylonitrile introduced into MCM-41 (3 nm diam. channels) Radical polymerization Pyrolysis gives carbon filaments

Bottom-up Synthesis

Sol-Gel Methods

Sol drying Aerogels, supercritical drying

Aerosol Spray Pyrolysis

Aqueous solution, nebulization, droplet flow, solvent evaporation, chemical reaction, particle consolidation, up to 800 °C

 $3 \operatorname{Gd}(\operatorname{NO}_3)_3 + 5 \operatorname{Fe}(\operatorname{NO}_3)_3 \rightarrow \operatorname{Gd}_3\operatorname{Fe}_5\operatorname{O}_{12} + 6 \operatorname{O}_2 + 24 \operatorname{NO}_2$

 $MnCl_2 + 2 FeCl_3 + 4 H_2O \rightarrow MnFe_2O_4 + 8 HCI$



Bottom-up Synthesis

Inverse micelles





Size distribution histogram





Rapid Expansion of Supercritical Fluid Solution



Spinning Disc Processing SDP

A rapidly rotating disc (300-3000 rpm) Ethanolic solutions of $Zn(NO_3)_2$ and NaOH, polyvinylpyrrolidone (PVP) as a capping agent Very thin films of fluid (1 to 200 μ m) on a surface Synthetic parameters = temperature, flow rate, disc speed, surface texture Influence on the reaction kinetics and particle size

Intense mixing, accelerates nucleation and growth, affords monodispersed ZnO nanoparticles with controlled particle size down to a size of 1.3 nm and polydispersities of 10%



Electrospinning

Parameters

- Solution precursor + polymer + solvent • (viscosity, conductivity, surface tension)
 - Instruments (voltage, distance b/w electrodes, collector shape)
- Ambient (temperature, humidity, atmosphere) •





electric layer

 10^{4} m

stabil jet

Taylor cone

Solution variables

Vapor-Liquid-Solid (VLS) Growth

Synthesis of nanowires NW

Metal catalyst nanoparticles - Au(s) - (1)

Feed another element (Ge vapor, GeH₄ or SiH₄) at an elevated temperature (440-800 °C/ultrahigh-vacuum)

Gaseous precursor feedstock is absorbed/dissolved in Au(s) till the solid solubility limit is reached (2)

A liquid phase appears (3), melts to a droplet The droplet becomes supersaturated with Ge

When the solubility limit is reached (4), an excess material is precipitated out to form solid NWs beneath the droplet



Eutectic 360 °C Au (mp 1064 °C) Si (mp 1410 °C) Ge (mp 938 °C)





In-situ TEM images of the VLS process



In-situ TEM images recorded during the process of nanowire growth:

(A) Au nanoclusters in solid state at 500 °C
(B) Alloying initiated at 800 °C, at this stage Au exists mostly in solid state
(C) Liquid Au/Ge alloy
(D) The nucleation of Ge nanocrystal on the alloy surface
(E) Ge nanocrystal elongates with further Ge condensation
(F) Ge forms a wire

Top-down Synthesis: Bulk Down

***** Introduction of Crystal Defects (Dislocations, Grain Boundaries)

- High-Energy Ball Milling final size only down to 100 nm (contamination issues)
- Extrusion, Shear, Wear
- High-Energy Irradiation
- Detonative Treatment

Crystallization from Unstable States of Condensed Matter

- Crystallization from Glasses
- Precipitation from Supersaturated Solid or Liquid Solutions

Top-down Synthesis: Bulk Down

%Lithographic Techniques

♦electron beam and focused ion beam (FIB) lithography



Top-down Synthesis: Bulk Down

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