E2011: Theoretical fundamentals of computer science Basic concepts about operating systems

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# Operating systems

Why?

- acts as an interface between user/applications and hardware
- resource manager: manages I/O and peripherals
- provide a virtual perspective on the underlying hardware
- manage programs



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Main roles of the OS:

- resource sharing:
  - allocate resources for all activities; separate the resources between activities
  - isolation of activities
  - communication between processes/activities
- virtualization
- provide standard services: process management, file systems, network services, etc



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#### OS over time

- early OS: set of routines for common procedures; single-user OS
- multi-user OS:  $\rightarrow$  batch processing  $\rightarrow$  multi-tasking  $\rightarrow$  virtual machines
- time-sharing OS: interactive use
- modern OS: usually a kernel+GUI; desktop-level (MacOS, Linux, Windows, etc); smart appliances (Android, iOS, Symbian, etc); server-level: GUI is optional (UNIX-based OSes, etc); etc

# Batch OS

- intially, a system in which *jobs* are run sequentially
- a *job monitor* supervises execution and manages the *job queue*
- modern OSes have their own job scheduler(s) allowing for non-interactive, eventually synchronized, execution of jobs



## Multitasking

- "concurrent" exectution of processes (tasks)
- does not imply parallel execution
- multiprogramming OSes: allow context switching between processes
- *cooperative multitasking*: processes voluntarily ceed time to OS/other process: ealry Windows and MacOS
- preemptive multitasking: OS decides to switch between executing tasks
- real time systems
- multi-threaded systems

## **OS** Kernel

- kernel: the core of the OS that provides services to all other components of OS
- talks directly to hardware
- usual start=up sequence: power-on  $\rightarrow$  BIOS (Basic I/O System)  $\rightarrow$  kernel loaded into a protected memory space

## OS Kernel - main functions

- loading and managing less-critical OS components, such as device drivers
- managing exectution threads and various processes spawned by running applications
- scheduling applications
- memory management
- managing and optimizing hardware resources and dependencies
- managing and accessing I/O devices (keyboards, mice, disk drives, USB ports, network adapters and display,...)
- handling device and application system calls using various mechanisms such as hardware interrupts or device drivers

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CPU modes to support kernel:

- *kernel mode*: code has unrestricted access to hardware; it is loaded in protected memory space and operates with highest privileges
- user mode: applications run with lower privileges; access to resources is made via system calls to kernel

Types of kernels:

- microkernel: delegates user services and processes in different address space; uses message-passing for communication; more flexibility and security (e.g. QNX - UNIX-based, real-time)
- monolithic: implements services in the same address space (e.g. most of UNIX-based kernels, Windows 9x)
- hybrid: tries to combine both (e.g. Windows 10, 11)



Figure: Comparison of three different kernel types (from Wikipedia)

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## Shells

- a program that allows users and programs to interact with OS services
- two modes: *command line interface (CLI)* and *graphical user interface (GUI)*
- CLI it has a specific *language* allowing the on line or scripted interaction with OS
- examples of CLI: Windows' Power Shell or UNIX's bash, tcsh, etc

#### Shells

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# Questions?

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